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Autoimmunity, Autoinflammation and Infection in Uveitis

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Abstract

Purpose

To review the pathogenesis of uveitis in light of recent advances in our understanding of innate and adaptive immune responses and their regulation.

Design

A perspective.

Methods

 The methods included a review of prevailing views on the pathogenesis of uveitis, and an analysis of developments in immunology which impact on its conceptual basis, particularly the concept of immunological tolerance and its loss in autoimmunity. Importantly, the role of infection in the pathogenesis of uveitis is evaluated.

Results

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and an analysis of developments in immunological tolerance and it The results comprise a re-appraisal of the pathogenesis of anterior versus posterior uveitis in the context of the blood-retinal barrier and its relation to autoimmune, auto-inflammatory and infectious uveitis. Autoimmunity is seen as a possible cause of certain forms of uveitis but definitive proof is lacking. Autoinflammatory disease, involving activated innate immune mechanisms, is considered causative in a second set of uveitis conditions. A place for infection in uveitis generally is proposed within a unifying concept for the pathogenesis of uveitis.

Conclusion

 Infection may be implicated directly or indirectly in many forms of noninfectious or *undifferentiated* uveitis. In addition to the growing recognition that foreign antigen, including re-activatable infectious agents, might hide within ocular tissues, the possibility that a dysregulated microbiome might generate T cells which cause immune-mediated ocular inflammation has now been demonstrated experimentally. An uncontrolled, over-exuberant host immune response may cause continuing irreversible tissue damage even after the infection has been cleared.

Autoimmunity, Autoinflammation and Infection in Uveitis

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Uveitis is a threat to vision, either directly or through ocular complications 1 . With a prevalence of 115-204 /100,000 population and incidence of 17-52 new cases / 100,000 per year in Northern California, uveitis is infrequent but because it affects all age groups carries a significant socio-economic burden 2 . Uveitis specialists categorize uveitis etiologically as either infectious or non-infectious 3 . Infections are proven causes of some cases of uveitis $4-7$. In others, activation of innate immune processes in response to infection may cause tissue damage through a mechanism of autoinflammation.

Non-infectious uveitis is not synonymous with autoimmune uveitis. The autoimmune hypothesis for non-infectious uveitis derives from experimental models of retinal inflammation that create blood-retinal barrier breakdown and stimulate adaptive immunity directed toward retinal antigenic targets. In non-retinal tissues, autoinflammatory or innate immune-mediated mechanisms may prevail. Because infectious agents usually drive autoinflammation, infection may underlie the pathogenesis of most uveitis, either through cytolytic tissue damage or through uncontrolled and dysregulated host immune responses that continue after infection subsides.

This perspective discusses evidence to support the concept that infection may be *more* and autoimmunity *less* involved in the pathogenesis of uveitis.

Key role of blood retinal barrier in understanding the pathogenesis of uveitis

flammation.

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mume hypothesis for non-infectious uveitis derives from experimentia *Uveitis* as a universal term is not accurate regarding pathogenesis as other ocular components are often the inflammatory target. However, the uvea is consistently involved in intraocular inflammation, transporting more than 80% of the ocular blood volume, as well as managing the bulk flow of aqueous fluid 8 . The clinical classification of uveitis based on anterior, intermediate, posterior or panocular location 3 is insufficient when considering the pathogenesis of uveitis, which depends on whether the blood retinal barrier (BRB) is breached. Uveitis may be restricted to tissues external to the BRB, encompassing iritis, cyclitis, keratouveitis, sclerouveitis, and choroiditis, or uveitis may affect tissues normally protected by the BRB, including retinitis, retinal vasculitis, retinochoroiditis, and optic neuritis. In pathogenetic terms, *posterior uveitis* involves breakdown of the BRB while other forms of uveitis do not. Inflammation that involves compromise of the BRB is always associated with inflammation of the uveal tract but the reverse is not true. This distinction helps us to understand the etiology of uveitis.

Is non-infectious uveitis autoimmune?

Autoimmunity has been proposed as the pathogenesis for non-infectious uveitis⁹, paralleling the accepted pathogenesis of other organ specific autoimmune diseases $^{10, 11}$.

 In principle, an autoimmune disease requires the identification of an autoantigen and an experimental model which resembles human disease 12 . Although both lens protein and uveal pigment have been proposed as potential autoantigens 13 extensive experimentation with uveal extracts has failed to produce

a reliable animal model that mirrors human uveitis. The retina contains several potent autoantigens that are expressed in the thymus 14 and in secondary lymphoid tissue ¹⁵ where immunological tolerance and prevention of autoimmune disease is maintained by a range of mechanisms, including clonal deletion and anergy. Genetic defects in the autoimmune regulator (AIRE) gene 16 are known to cause experimental and clinical autoimmune diseases, including a form of posterior uveitis 17 . Regulatory T cells (Treg) also maintain self-tolerance and control immune responses $18, 19$, including those in the retina $20, 21$. Autoimmunity to retinal autoantigens can be induced in several animal models including primates 17 . Experimental autoimmune uveitis (EAU) is considered a classical organ specific autoimmune disease that resembles posterior uveitis in man 22 . Despite the many insights that EAU reveals about into mechanisms of tissue damage in posterior uveitis, the model is difficult to translate to the wide range of human uveitic entities.

ses ^{18. 19}, including those in the retina ^{20, 21}. Autoimmunity to retinitigens can be induced in several animal models including primitests in eneral and inclusing primites in eneral and inclusing primites in eneral a In EAU, there is extensive breakdown of the BRB $^{23, 24}$ with release of retinal autoantigens. Depending on context, these have the potential to activate the small number of self-antigen reactive T cells that have escaped thymic deletion and circulate in the periphery 15 . Accordingly there is a marked expansion of autoreactive T cells and autoantibodies to retinal antigens that can be detected in mice with EAU. Similar cellular or humoral immunological evidence is required to define autoimmune uveitis in humans. However, despite genetic conditions such as autoimmune polyendocrinopathy-candidiasis–ectodermal dystrophy/dysplasia (APECED)¹⁶, evidence for autoimmunity in undifferentiated non-infectious uveitis is sparse. Some clinical entities which are linked closely with restricted MHC genetic types such as Vogt-Koyanagi-Harada (VKH) disease ²⁵, sympathetic ophthalmia ^{26, 27} and birdshot retinochoroidopathy (BRC) ²⁸ have the strongest claim to be *bona fide* autoimmune diseases. Of these, BRC may resemble diabetes mellitus as a potential

CD8 T cell-mediated autoimmune disease in which presentation of cryptic peptide determinants of retinal S antigen 29 could theoretically be enhanced by presentation *via* HLA A29 ³⁰ .

 Several studies have demonstrated that both humoral and cellular responses to a range of retinal antigens and their epitopes occur in patients with either infectious or undifferentiated uveitis 31-33. Results have been inconsistent and selfreactivity to retinal antigens has been observed in healthy individuals $32, 34-37$. Similar confounding results have been observed in non-ocular diseases, and the number of definitive autoimmune diseases, in which autoreactive T cells or autoantibodies have been shown to be pathogenic, is limited. In addition, restoration of immunological tolerance in patients with diseases such as multiple sclerosis, rheumatoid arthritis and uveitis, although clearly effective in experimental models ³⁸ that use experimental therapies such as mucosal tolerance induction, has not yet reached the clinic 39 .

 Alternatively, a reduction in Tregs has been viewed as evidence that regulation of autoimmunity is impaired. However, the situation is complex. Circulating Tregs may be reduced in active disease but paradoxically may be increased in the tissues in both uveitis and rheumatoid arthritis 40 . The plasticity of Tregs and their possible interconversion with pathogenic T cells has also not helped to define immunoregulatory mechanisms 41 . In addition, because Tregs can control immune responses to both autoantigens and infectious agents 18 their involvement does not help to differentiate autoimmune disease from post-infectious immunemediated disease.

 The above observations do not exclude autoimmune pathogenesis. For instance, autoimmune pathology may be part of a dual process arising later in disease evolution, such as *via* bystander damage in which release of autoantigen during an infectious condition leads to activation of autoreactive T cells. Indeed most recently, peptide therapy with the autoantigenic peptide, proinsulin in patients with early Type1 diabetes has been shown to delay progression of disease as measured by insulin requirement⁴². Perhaps a consistently identifiable target retinal autoantigen might allow a peptide therapy approach for secondary autoimmunity in human uveitis.

Is non-infectious uveitis autoinflammatory?

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requirement ⁴². Perhaps a consistently identifiable target retinal autoantige

requirement ⁴². Perhaps a consistently identifiable target ret Autoinflammation and autoinflammatory disease are relatively recent concepts based on observations that patients with monogenic disorders affecting innate immune cells (predominantly myeloid cells such as macrophages and neutrophils) developed discrete syndromes, such as TNF-receptor associated periodic syndrome (TRAPS) and familial Mediterranean fever (FMF) (Table 1). Innate immune cells are central to classical autoimmune diseases due to their essential role in generating adaptive immunity (described by Janeway as "the immunologist's dirty little secret*"* ⁴³). Animal models of autoimmunity, including EAU, require a bacterial adjuvant to stimulate innate immune cells, particularly dendritic cells⁴⁴, which in turn activate specific T cells 45 . Pattern recognition receptors (PRR) recognize classes of micro-organisms by interaction with molecular patterns on pathogens (PAMPs) and then generate a range of T cells including Th1, Th17, Th9 ordinarily thought of as parts of the adaptive immune system ⁴⁶.

 TRAPS was the first reported auto-inflammatory disease in humans. Its defining features are episodes of unprovoked ocular, periocular, and skin inflammation in the absence of high titres of autoantibodies or T cell responses. The condition results from spontaneous production of cytokines due to a missense mutation in p53, one of the proteins in the NF-kB complex⁴⁷. Since then, several other auto-inflammatory conditions have been described (Table 1) and, as with discoveries relating to adaptive immunity, in which delineating the genetic defects in patients with T and B cell abnormalities revealed their physiological function 48 , mutations in genes controlling innate immune pathways have greatly assisted our understanding of how innate immunity is structured. Several classes of PAMPs have now been discovered, which activate specific signalling pathways through PRRs for bacteria, fungi, viruses, parasites and other foreign organisms and when these pathways are spontaneously activated, or homeostatic control dysregulated, they cause autoinflammatory diseases (Table 1).

 Since many cases of uveitis are episodic, unprovoked and lack good evidence for specific autoantibodies or T cell responses to support an autoimmune pathogenesis, it has been suggested that at least some forms of uveitis may be autoinflammatory. Many monogenic autoinflammatory conditions involve activation of the inflammasome and are characterised by the secretion of IL-1 or one of its related molecules⁴⁹. Importantly, skin /mucosal pathology in the form of vesicles or

ulceration is almost a common denominator. Uveitis features as part of the syndrome in several of these conditions 50 (Table 1). Moreover, the definition of autoinflammatory disease has been widening to an increasing list of complex genetic disorders, which includes Type 2 diabetes, macular degeneration and Behçet disease (Table 2).

ie (myeloid) cells in the absence of a specific trigger. Some of these are incircle cytemic conditions in which uverlits may be part of the dinic stration (Table 2). Candidate diseases include Behçet disease, JIA-associat A closer examination of uveitic conditions reveals some that might fit the description of autoinflammation in which there is evidence of activation of innate immune (myeloid) cells in the absence of a specific trigger. Some of these are polygenic systemic conditions in which uveitis may be part of the clinical presentation (Table 2). Candidate diseases include Behçet disease, JIA-associated anterior uveitis, pars planitis, intermediate uveitis, and tubulo-interstitial nephritis / uveitis (TINU) syndrome as well as others in which no auto-antigen has been identified, there are no representative animal models and clinical evidence for humoral or cell mediated autoimmunity is thin. In particular, the HLA B27-associated spondyloarthropathies, and presumably by association HLA B27-linked acute anterior uveitis, are considered by some to be autoinflammatory diseases $\left[5^{51}\right]$ with a predisposition linked to protein misfolding and IL23 polymorphisms ⁵². Both anterior and posterior uveitis occur in Behçet disease which resembles an autoinflammatory disease by its predominantly neutrophil-mediated pathology ⁵³.

 Pathogenetically, it is unlikely that the full range of heterogeneous uveitis conditions can be attributed to autoinflammatory mechanisms. In this evolving field, unlabelled conditions with a positive response to blockade of IL-1 are preliminarily described as *undifferentiated* systemic autoinflammatory diseases (uSAIDs) ⁵⁴. Similarly, non-infectious uveitis has been re-branded as *undifferentiated* uveitis to signifiy the lack of a clear etiology 55 . In this context, uveitis associated with Behçet disease ⁵⁶ and JIA has been reported to respond to anti-IL-1 therapy in small cohort studies. A larger study using the same criteria to investigate a range of non-Behçet posterior uveitis was inconclusive 57 . Despite the current uncertainty, increasing knowledge of the molecular signatures of disease seems likely to improve our understanding of the heterogeneity of uveitis.

Is non-infectious uveitis caused by infection?

 Infectious agents have been implicated in the pathogenesis of autoimmune and autoinflammatory diseases generally. There is also a long-standing suspicion that the morbidity that results from many forms of ocular inflammatory disease derives from infection, either directly or by a dysregulated host response to infection. Non-infectious uveitis or undifferentiated uveitis is described as immune-mediated when no direct infectious cause can be identified but may in fact have been initiated by infection.

 How infection might underpin the pathogenesis of uveitis relates to experimental models of autoimmune disease. Like most experimental models, EAU, a paradigmatic autoimmune disease 17 , requires mycobacterial extract and pertussis toxin to prime autoantigenic (IRBP)-specific Th1 and Th17 T cells, which target the retina and cause the disease. The mycobacterial extract commonly used to induce EAU is H37Ra which is an attenuated form of *Mycobacterium tuberculosis (MTb)* cultured from tissue samples of a patient with active tuberculosis ⁵⁸. MTb is rich in

PAMPS and activates the inflammasome and other pathways to release proinflammatory cytokines such as IL12, 23, 27 and IL-1. IL-1, the major cytokine driving autoinflammatory disease (see above section) is required for EAU induction by activating DC⁵⁹. In contrast, tolerogenic DC which protect experimental mice against EAU, specifically fail to generate IL-1 when activated by MTb 60 .

r cause of uveitls in humans, both in developed and developing countries ⁶².
Seesns in a large wriety of clinical phenotypes, some of which are included
tegory undifferentiated or non-infectious such as atypical serpigin MTb is believed to have infected up to a third of the world's population, the majority of whom remain latently infected, with a significant mortality rate 61 . MTb is a major cause of uveitis in humans, both in developed and developing countries $62, 63$ and presents in a large variety of clinical phenotypes, some of which are included in the category undifferentiated or non-infectious such as atypical serpiginous choroiditis ⁶⁴. How might MTb cause ocular inflammation? During the initial infection in the lung, myeloid cells (macrophages and DC) are specific targets of MTb that either kill or are killed by the MTb. In other cells, MTb evades killing and become latent within the cell. Latently infected myeloid cells traffic in and out of granulomas 65 and recirculate to reside in extrapulmonary sites such as the kidney, dermis, muscle, lymph nodes, meninges, and uveal tract where they can be reactivated at later times. If the pathogen thrives, a severe local infection with tissue damage (e.g. caseation) ensues. However, if the pathogen is contained, as in an immunocompetent individual, an over-exuberant host immune response to the reactivated MTb might then cause severe immune-mediated damage. In addition, at extrapulmonary sites of tissue damage, MTb antigen released from dead cells might act as a local adjuvant to innate immune cells which stochastically interact with autoreactive T cells in a bystander fashion and cause a secondary autoimmune reaction. Recent evidence for this secondary autoimmune reaction in patients with tuberculous uveitis has been reported ⁶⁶.

 A link with infection or infectious material is difficult to identify in many cases either clinically and experimentally. For instance, spontaneous models of EAU occur in retinal antigen-specific T cell receptor transgenic mice without use of adjuvant 67 . However, exposure to microbial antigen still appears to be necessary since EAU fails to develop in these mice if they are bred in germ-free conditions ⁶⁸. In fact, even the standard model of IRBP / MTb-adjuvant-induced EAU cannot be induced in germfree mice 69 . Remarkably, it appears that commensal antigen from the bowel activates antigen specific T cells which traffic to the retina and cause disease. These observations have considerable significance for the long known association between IBD, uveitis, and the spondyloarthropathies. Interestingly, not all commensal antigen is harmful; some commensals appear to protect from uveitis and so the context, and possible association with dysbiosis, might determine whether infection-associated immune-mediated uveitis occurs 70 . These clinical and experimental observations suggest that non-infectious, undifferentiated uveitis may be initiated by persistent, non-replicating micro-organisms or residual microbial antigen. The infectious etiology of chronic anterior uveitis caused by *Propionbacterium acnes* may not be immediately recognised. Similarly, recurrent chronic anterior uveitis due to herpes viruses often fails to yield active virus on investigation. Other forms of previously undifferentiated anterior and posterior uveitis are now recognised as being due to infection, such as hypertensive uveitis caused by CMV; Fuch's heterochromic uveitis due to rubella; and Chikungunya, West Nile virus, Dengue, and Rickettsia as causes of retinal vasculitis.

 Much of the evidence for infection in these cases is not based on strict criteria of demonstrating replicating virus, but is simply based on viral DNA detected in ocular fluid or on serological evidence of a prior infection. As such, direct causative relationships between the infection and uveitis cannot be established but an adjuvant or, at least, an immune-meditated role whereby persistent antigen drives reactivation of resident memory T cells $71, 72$, can be envisaged. Recurrent uveitis might thus result either from reactivated replicating infectious agent or from a reactivated host immune-mediated response to an infectious agent. This has particular relevance, for instance, to uveitis associated with TB or toxoplasmosis where both anti-microbial therapy as well as immune-modulating agents may be required to minimise tissue damage but risk reactivating the latent micro-organism. In some cases viable replicating microbial agents have been demonstrated. The recent reports of late cultured virus from ocular and other tissue samples in patients who have recovered from Ebola, Zika and other viral infections indicate that viable virus persists in the tissues for long periods⁷³⁻⁷⁵.

ed host immune-mediated response to an infectious agent. This has particulate,
concorbial therapty, to uveit is associated with TB or toxoplasmosis where bot
corobial therapty as well as immune-modulating agents may be req This may be a common mechanism for the pathogenesis of disease, namely that if the host survives the initial infection, and the infectious agent is not completely cleared, then, to varying degrees, the organism persists undetected either as a viable infectious agent or as a residual antigenic pool which allows recurrent immune-mediated recrudescence of anti-microbe and/or anti-host (bystander effect) tissue damage. Perhaps an "either-or" approach to the pathogenesis of uveitis in terms of infection is too restrictive and a greater role for pathogens either as a direct effect (infectious uveitis) or as the cause of a dysregulated host response to pathogens once they have either been cleared or become latent (non-infectious, undifferentiated uveitis) offers a more unified pathogenetic framework.

Does the BRB regulate both infectious and non-infectious / undifferentiated uveitis?

 As argued in the first section of this Perspective, the pathogenesis of posterior uveitis, affecting the retina and optic nerve, is different from uveitis affecting sites outside the BRB. Retinal and CNS antigens are *protected* from damage by the BRB, which in the immunocompetent, healthy individual prevents both invasion by infectious agents and the passage of potentially damaging immune cells. It does this through two mechanisms: a physical barrier composed of the tight junctions of the retinal blood vessels and the retinal pigment epithelium (RPE), and an immunological barrier formed by cells of the neurovascular unit (retinal endothelium, perivascular macrophages, pericytes, microglia and the foot processes of glial cells forming the glia limitans)^{76, 77} and by the RPE. The RPE in particular produces immunosuppressive mediators and, importantly, converts naïve T cells to Treg $78-80$.

 Immune regulation at the BRB applies both to infectious and non-infectious uveitis. For example, infectious forms of uveitis which occur outside the BRB such as CMV- and HSV-induced anterior uveitis do not involve the retina unless the patient has lost immune regulatory control at the BRB. Thus, a CD4 count <50 cells/ml in untreated AIDS patients allows unchecked viral replication and CMV retinitis. When the CD4 count rises above 50, viral replication and infection in the retina can be controlled but if the Treg / Teffector $81, 82$ cell ratio is not normalised, cell immunemediated uveitis ensues. Only if the BRB is physically broken or immunologically impaired as has been shown repeatedly in experimental animal models 83 can viral retinitis be induced.

 The BRB presents both a physical and an immunological barrier to infectious agents and to circulating immune cells, and it serves in this capacity for both infectious uveitis in which damage is caused directly by the infecting agent, and noninfectious immune-mediated uveitis in which an uncontrolled, dysregulated immune response is the cause. An appropriate immune response that adequately clears any infection is clearly desirable. Regulating the host cellular immune response to the infectious agent or to an autoantigen, before either the infectious agent or the tissue damaging immune cells can cross the BRB, is also essential. Most infectious agents, particularly viruses and parasites, are held in check by the BRB but have ready access to other ocular tissues and cause uveitis either by replicating *in situ* in the acute phase or by inducing chronic / recurrent immune-mediated inflammation. In contrast, infectious agents that manage to cross the BRB either cause massive replicative end-stage damage or become latent (as in toxoplasmosis) mainly due to effective immunoregulatory mechanisms of the retinal parenchymal microenvironment.

Conclusion

ions immune-mediated uveitis in which an uncontrolled, dysregulated immunes is the cause. An appropriate immune response that adequately clears and position is clearly desirable. Regulating the host cellular immune respons There is a growing awareness that while examples of autoimmune and autoinflammatory mechanisms have been well described and may explain the pathogenesis of uveitis, many cases of idiopathic or undifferentiated uveitis may ultimately be seen to be initiated by infection. Chronic inflammation and tissue damage may be the result of an exaggerated or dysregulated host response to the infection and the microbiome might be a significant source of infectious antigen and antigen-specific T cells. Thus, chronic or recurrent uveitic disease may be caused by local reactivations of persistent microbial agents or inadequately cleared antigen, including retroviral antigen, which intermittently disrupt the Treg / Teffector cell ratio. In addition, a dysregulated microbiome may predispose to, or even be the source of uveitogenic pathogens or adjuvants. Indeed, it is also likely that periodic episodes of uveitis in monogenic autoinflammatory diseases are driven by microbes that would normally be harmless in immunocompetent individuals.

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> JVF: has received an honorarium for lecturing from Janssen, London, UK LK: has undertaken consultancy work for Abbvie, London, UK

 ADD: has undertaken consultancy work for Abbvie,(London, UK); Roche (London, UK); Genentech, London, UK; and has received honoraria from Janssen, London. UK and Abbvie, London, UK

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Table 1

Title: Autoinflammatory Monogenic Disorders and Uveitis

Footnote: Uveitis, predominantly anterior, contributes the overall phenotype of several monogenic autoinflammatory disorders.

* HIDS: hyperimmunoglubulinaemia D and periodic fever syndrome; CAPS: cryopyrin-associated periodic syndrome; TRAPS: tumor necrosis factor associated periodic fever syndrome; NOMID: neonatal onset multisystem inflammatory disease; HLH: haemophagocytic lymphohistiocytosis

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Table 2.

Title: Multisystem disorders with possible autoinflammatory pathogenesis and their association with uveitis

Footnote: An increasing number of polygenic, multisystem diseases may have an autoinflammatory pathogenesis; uveitis, both posterior and anterior, are prominent features of some of these conditions

 * CRMO: chronic recurrent multifocal osteomyelitis; SAPHO: synovitis-acnepustulosis-hyperostosis-osteitis

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