



**Phase III Randomized Study of Second Line ADI-PEG 20
Plus Best Supportive Care versus Placebo Plus Best
Supportive Care in Patients with Advanced Hepatocellular
Carcinoma**

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Complete List of Authors:	<p>Abou-Alfa, Ghassan; Memorial Sloan-Kettering Cancer Center, Gastrointestinal Oncology</p> <p>Qin, S; PLA Cancer Center of Nanjing, Bayi Hospital, #34, Medicine</p> <p>Ryoo, Baek-Yeol; Asan Medical Center, Oncology</p> <p>Lu, Sheng-Nan; Kaohsiung Chang Gung Memorial Hospital, Department of Internal Medicine</p> <p>Yen, Chia-Jui; National Cheng Kung University Medical College and Hospital, Department of Internal Medicine, Division of Hematology and Oncology</p> <p>Feng, Yin-Hsun; Chi-Mei Medical Center, Liouying Campus, Hematology-Oncology</p> <p>Lim, Ho Yeong; Samsung Medical Center, Medicine</p> <p>Izzo, Francesco; Istituto Nazionale per lo Studio e la Cura dei Tumori "Fondazione Giovanni Pascale" – IRCCS, Napoli, Italy, Hepatobiliary Surgery Unit</p> <p>Colombo, Massimo; Fondazione IRCCS Ca` Granda Maggiore Hospital, University of Milan, A.M. & A. Migliavacca Center for Liver Disease, 1st Division of Gastroenterology</p> <p>Sarker, Debashis; King's College London, Dept of Research Oncology</p> <p>Bolondi, Luigi; University of Bologna, Division of Internal Medicine, Department of Medical and Surgical Sciences</p> <p>Vaccaro, Gina; Oregon Health & Science University, Knight Cancer Institute</p> <p>Harris, William; University of Washington Medical Center, Medicine</p> <p>Chen, Zhendong; First Affiliated Hospital of Anhui Medical University, Medical Oncology</p> <p>Hubner, Richard; The Christie NHS Foundation Trust, Medical Oncology</p> <p>Meyer, Tim; UCL, Cancer Institute</p> <p>Sun, Weijing; University of Pittsburgh, Medicine</p>

	<p>Harding, James; Memorial Sloan-Kettering Cancer Center, Medicine Hollywood, Ellen; Memorial Sloan-Kettering Cancer Center, Medical Oncology</p> <p>Ma, Jennifer; Memorial Sloan Kettering Cancer Center, Medicine</p> <p>Wan, Peter; Memorial Sloan Kettering Cancer Center, Medicine</p> <p>Ly, Michele; Memorial Sloan Kettering Cancer Center, Medicine</p> <p>Bomalaski, John; Polaris Pharmaceuticals, na</p> <p>Johnston, Amanda; Polaris Pharmaceuticals, Inc, Oncology</p> <p>Lin, Chen-Chun; Chang Gung Medical Foundation LK, Oncology</p> <p>Chao, Yee; Taipei Veterans General Hospital, Division of Gastroenterology, Department of Medicine</p> <p>Chen, Li-Tzong; National Health Research Institutes, Division of Cancer Research</p>
Keywords:	Hepatocellular carcinoma, HCC, ADI-PEG20, argininosuccinate synthetase, ASS1, FOLFOX
Abstract:	<p>Purpose: Arginine depletion is a putative target in hepatocellular carcinoma (HCC). HCC often lacks argininosuccinate synthetase, a citrulline to arginine-repleting enzyme. ADI-PEG 20 is a cloned arginine degrading enzyme – arginine deiminase – conjugated with polyethylene glycol. The goal of this study was to evaluate this agent as a potential novel therapeutic for HCC after first line systemic therapy.</p> <p>Patients and methods: Patients with histologically proven advanced HCC and Child-Pugh up to B7 with prior systemic therapy, were randomized 2:1 to ADI-PEG 20 18 mg/m² vs. placebo intramuscular (IM) injection weekly. The primary endpoint was overall survival (OS), with 93% power to detect a 4 to 5.6 months increase in median OS (1-sided $\alpha = 0.025$). Secondary endpoints included progression-free survival (PFS), safety, and arginine correlatives.</p> <p>Results: 635 patients were enrolled: median age 61, 82% male, 60% Asian, 52% hepatitis B, 26% hepatitis C, 76% stage IV, 91% Child-Pugh A, 70% progressed on sorafenib and 16% were intolerant. Median OS was 7.8 months for ADI-PEG 20 vs 7.4 for placebo ($p = 0.88$, HR=1.02) and median PFS 2.6 months vs. 2.6 ($p = 0.07$, HR=1.17). Grade 3 fatigue and decreased appetite occurred in less than 5% of patients. Two patients on ADI-PEG 20 had \geq grade 3 anaphylactic reaction. Death rate within 30 days of end of treatment was 15.2% on ADI-PEG 20 vs. 10.4% on placebo, none related to therapy. Post-hoc analyses of arginine assessment at 4, 8, 12 and 16 weeks, demonstrated a trend of improved OS for those with more prolonged arginine depletion.</p> <p>Conclusions: ADI-PEG 20 monotherapy did not demonstrate an OS benefit in second line setting for HCC. It was well tolerated. Strategies to enhance prolonged arginine depletion and synergize the effect of ADI-PEG 20 are underway.</p>

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7 **in Patients with Advanced Hepatocellular Carcinoma**
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12 Ghassan K. Abou-Alfa^{1,2}, Shukui Qin³, Baek-Yeol Ryoo⁴, Sheng-Nan Lu⁵, Chia-Jui
13 Yen⁶, Yin-Hsun Feng⁷, Ho Yeong Lim⁸, Francesco Izzo⁹, Massimo Colombo¹⁰, Debashis
14 Sarker¹¹, Luigi Bolondi¹², Gina Vaccaro¹³, William P. Harris¹⁴, Zhendong Chen¹⁵,
15 Richard A. Hubner¹⁶, Tim Meyer¹⁷, Weijing Sun¹⁸, James J. Harding^{1,2}, Ellen M.
16 Hollywood¹, Jennifer Ma¹, Peter J. Wan¹, Michele Ly¹, John Bomalaski¹⁹, Amanda
17 Johnston¹⁹, Chen-Chun Lin²⁰, Yee Chao²¹, and Li-Tzong Chen^{6,22,23}
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27

28 ¹ Memorial Sloan Kettering Cancer Center, New York, NY, USA
29

30 ² Weill Cornell Medical College, New York, NY, USA
31

32 ³ The Chinese People's Liberation Army 81 Hospital, Nanjing, China
33

34 ⁴ Asan Medical Center, Seoul, South Korea
35

36 ⁵ Kaohsiung Chang Gung Memorial Hospital and Chang Gung University College of
37 Medicine, Taiwan
38
39

40 ⁶ National Cheng Kung University Hospital, Taiwan
41

42 ⁷ Chi Mei Medical Center-Yong Kang, Taiwan
43

44 ⁸ Samsung Medical Center, Seoul, South Korea
45

46 ⁹ Fondazione Giovanni Pascale, Napoli, Italy
47

48 ¹⁰ Fondazione IRCCS Ca, Milan, Italy
49

50 ¹¹ King's College Hospital, London, United Kingdom
51
52
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54
55
56
57
58
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60

1
2
3 ¹² Azienda Ospedaliero-Universitaria di Bologna, Bologna, Italy
4

5 ¹³ Oregon Health Sciences University, Portland, OR, USA
6

7 ¹⁴ University of Washington Medical Center, Seattle, WA, USA
8

9 ¹⁵ 2nd Hospital of Anhui Medical University, Hefei, China
10

11 ¹⁶ The Christie NHS Foundation Trust, Manchester, United Kingdom
12

13 ¹⁷ Royal Free Hospital and UCL Cancer Institute, London, United Kingdom
14

15 ¹⁸ University of Pittsburgh, Pittsburgh, PA, USA
16

17 ¹⁹ Polaris Pharmaceuticals, Inc. San Diego, CA, USA
18

19 ²⁰ Chang Gung Medical Foundation LK, Taiwan
20

21 ²¹ Veterans General Hospital-Taipei, Taipei, Taiwan
22

23 ²² National Institute of Cancer Research, National Health Research Institutes, Tainan,
24

25 Taiwan
26

27 ²³ Kaohsiung Medical University Hospital, Kaohsiung Medical University, Kaohsiung,
28

29 Taiwan
30

31
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36
37 **Corresponding Author:**

38 Ghassan K Abou-Alfa, MD,
39

40 Memorial Sloan Kettering Cancer Center,
41

42 300 East 66th Street,
43

44 New York, NY 10065
45

46 Phone: + 1 646 888 4184
47

48 Fax: + 1 646 888 4255
49

50 e-mail: abou-alg@mskcc.org
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5 **Running head:** Phase III second line ADI-PEG 20 versus placebo in patients with
6 advanced HCC
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ABSTRACT (289 words)

Purpose: Arginine depletion is a putative target in hepatocellular carcinoma (HCC).

HCC often lacks argininosuccinate synthetase, a citrulline to arginine-repleting enzyme.

ADI-PEG 20 is a cloned arginine degrading enzyme – arginine deiminase – conjugated with polyethylene glycol. The goal of this study was to evaluate this agent as a potential novel therapeutic for HCC after first line systemic therapy.

Patients and methods: Patients with histologically proven advanced HCC and Child-Pugh up to B7 with prior systemic therapy, were randomized 2:1 to ADI-PEG 20 18 mg/m² vs. placebo intramuscular (IM) injection weekly. The primary endpoint was overall survival (OS), with 93% power to detect a 4 to 5.6 months increase in median OS (1-sided $\alpha = 0.025$). Secondary endpoints included progression-free survival (PFS), safety, and arginine correlatives.

Results: 635 patients were enrolled: median age 61, 82% male, 60% Asian, 52% hepatitis B, 26% hepatitis C, 76% stage IV, 91% Child-Pugh A, 70% progressed on sorafenib and 16% were intolerant. Median OS was 7.8 months for ADI-PEG 20 vs 7.4 for placebo ($p = 0.88$, HR=1.02) and median PFS 2.6 months vs. 2.6 ($p = 0.07$, HR=1.17). Grade 3 fatigue and decreased appetite occurred in less than 5% of patients. Two patients on ADI-PEG 20 had \geq grade 3 anaphylactic reaction. Death rate within 30 days of end of treatment was 15.2% on ADI-PEG 20 vs. 10.4% on placebo, none related to therapy. Post-hoc analyses of arginine assessment at 4, 8, 12 and 16 weeks, demonstrated a trend of improved OS for those with more prolonged arginine depletion.

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For Peer Review

INTRODUCTION

Arginine, a nonessential amino acid in humans, is acquired through external arginine rich foods, and is also synthesized in two steps from citrulline via argininosuccinate synthetase (ASS1) and argininosuccinate lyase (ASL) enzymes (1). HCC cells frequently lack ASS1, and thus cannot metabolize citrulline into arginine (2-3). ADI-PEG 20 is an arginine degrading enzyme, arginine deiminase, cloned from *M. hominis* and produced in *E. coli* and conjugated with polyethylene glycol. ADI-PEG 20 turns external supplies of arginine into citrulline (4). Restricting arginine sources through the degradation of external sources via ADI-PEG 20, combined with the lack of ASS1, renders arginine depletion a putative target for HCC.

Three phase II clinical trials have evaluated ADI-PEG 20 in advanced HCC, and have collectively suggested an improvement in survival (2, 5-6), across different etiologies of HCC (2).

Thus we embarked on the reported herein randomized phase III trial of ADI-PEG 20 plus best supportive care versus placebo plus best supportive care in patients with advanced HCC in the second line setting.

PATIENTS AND METHODS

This was a multi-institutional, randomized, placebo-controlled phase III clinical trial. The Institutional Review Board (IRB) of each institution reviewed and approved the protocol. Written informed consent was obtained from each patient. The study was registered with [www.clinicaltrials](http://www.clinicaltrials.gov) identifier NCT 01287585.

Patients' Eligibility

Men and women ≥ 18 years of age, with unresectable locally advanced, or metastatic histologically confirmed HCC, with at least one measurable lesion by RECIST 1.1 criteria (7), and who had received at least one prior systemic therapy with documented progression of disease or adverse events that resulted in discontinuance of that therapy were eligible. Previous local therapy, e.g. hepatic artery embolization, was allowed, as long as there was an untreated target lesion and/or evidence of progression of disease by RECIST 1.1 prior to enrollment. Patients were required to have an Eastern Cooperative Oncology Group (ECOG) performance status of 0 or 1, and a Child-Pugh score of A6 that was later amended to B7 in view of the excellent safety profile of ADI-PEG 20. Adequate hematologic function (absolute neutrophil count $\geq 1,500/\text{mcL}$, platelets $\geq 50,000/\text{mcL}$) and PT/INR ≤ 1.7 times upper limit of normal, adequate hepatic function (total bilirubin $\leq 3 \text{ mg/dL}$, albumin $\geq 2.8 \text{ g/dL}$, AST/ALT ≤ 5 times the upper limit of normal) plus an adequate creatinine of $\leq 1.5 \text{ mg/dL}$ or a creatinine clearance of $\geq 60 \text{ mL/min}$ were required. Serum uric acid $\leq 8 \text{ mg/dL}$, with anti-hyperuricemic treatment allowed, was required in view of prior hyperuricemia with ADI-PEG 20 treatment in this population (2, 5-6).

Any history of untreated variceal bleed within 3 months rendered patients ineligible, as did any serious inter-current illnesses, known brain metastases, clinically significant cardiac history, uncontrolled hypertension, ongoing infections, and/or known HIV infection. The study also excluded pregnant women and patients with other malignancies that might have affected patients' outcome.

Treatment Plan

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3 Eligible patients were randomized (2:1) to receive either weekly ADI-PEG 20 18
4 mg/m² or placebo by IM injection in a double-blinded fashion. Four weekly treatments
5 were defined as one cycle of therapy. Patients in both groups continued to receive best
6 supportive care. Patients were assessed for adverse events weekly, and had a doctor visit
7 with physical examination and safety blood work every other week. Computed
8 tomography (CT) or magnetic resonance imaging (MRI) scans were performed at
9 baseline and at the end of every 12 weeks (3 cycles). Patients could continue to receive
10 treatments unless one of the following occurred at any time during the course of therapy:
11 unacceptable adverse events, death, or progression of disease.
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24 As diet was a potential source of arginine, dietary restrictions for arginine-rich
25 foods were also strongly recommended.
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30 *Study Objectives*

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33 The primary outcome was OS. Secondary objectives included the assessment of
34 safety and tolerability using the NCI Common Terminology Criteria for Adverse Events
35 (CTCAE) version 4.02, tumor response rate, PFS and time to progression (TTP), the
36 latter two defined as the time from randomization to the date of radiologic disease
37 progression per the RECIST criteria 1.1 or death, and the time from randomization to the
38 date of radiologic disease progression respectively. Disease control rate was defined as
39 the percentage of patients with confirmed CR, PR or stable disease (SD).
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50 *Immunogenicity Assay*

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53 Peripheral blood anti-ADI-PEG 20 antibody titers were assessed using a validated
54 single-tier semi-quantitative ELISA-based assay. The assay was specifically developed
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3 for this study based on the recommendations of Mire-Sluis et al. (8). In brief, microtiter
4 plates were coated with ADI-PEG 20. Diluted human plasma samples were added to the
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6 plate, incubated for 60 minutes, and then treated with a goat anti-human
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10 IgA+IgG+IgM/HRP conjugate. Tetramethylbenzidine was added to the plate allowed to
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12 react and then the absorbance at 450 nm was recorded. Samples with absorbance greater
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14 than the cut-point were considered to be positive, and vice versa. The titer of a sample
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16 was based on the highest dilution that yielded a positive signal.
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19 20 *Pharmacodynamic Assay*

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Peripheral blood arginine levels were measured in samples at baseline and at the
start of each cycle using liquid chromatography (HPLC) with tandem mass spectrometric
detection (MS/MS). The assay was specifically developed and validated for this study.
Briefly, arginine and an isotopically labelled internal control were extracted from human
plasma samples by protein precipitation. The supernatant was loaded on to a Venusil
ASB C18 column. The mobile phase was 0.1% formic acid in water:acetonitrile (95:5,
v:v). Detection was performed with positive ion electrospray using a Sciex API 5000.
The ratio of the peak areas arising from the arginine and isotopically labelled internal
standard was used to quantify the arginine level.

51 52 53 54 55 56 57 58 59 60 *Pharmacokinetic Assay*

Peripheral blood ADI-PEG 20 levels were measured in samples at baseline and at
the start of each cycle using a fluorometric enzyme activity-based assay designed to
detect the production of ammonia. The assay, based on the method of Banerjee et. al. (9),
was specifically developed and validated for this study. It was conducted in two parts: the

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3 conversion of arginine to citrulline and ammonia; and the reaction of ammonia with o-
4 phthaldialdehyde (OPA) to produce a fluorescent isoindole-derivative. Plasma samples
5 were added to assay buffer. Arginine was then added, allowed to react, and then
6 development reagent (OPA and reducing agent) was added. The plate was read using a
7 fluorometric plate reader with excitation set at 405 nm and emission set at 460 nm.
8 Human plasma samples spiked with known amounts of ADI-PEG 20, and treated in the
9 same manner as the unknown samples, were used to generate a calibration curve.

20 *Statistical Analyses*

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23 All statistical analyses were based on intent-to-treat populations. The safety
24 population comprised all patients who were randomly assigned into the study and who
25 received at least 1 dose of study medication.

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28 Based on historical data (10-11), it was estimated that patients would have a
29 median OS of 4 months after progressing on sorafenib. An improvement of 40% resulting
30 in a median OS of 5.6 months was deemed to be clinically significant. It was estimated
31 that 633 patients needed to be accrued (original assumption was a 12-month enrollment
32 period plus 6-month follow up) with 487 deaths to be reached to demonstrate such
33 difference with an overall 1-sided type I error rate (α) of 0.025, and an overall type II
34 error rate (β) of 0.07. Treatments were compared using a log-rank test stratified by the 3
35 levels of region Asia vs. North America and Europe, and by prior sorafenib exposure
36 (non-sorafenib failure vs. sorafenib failure). OS, PFS, and TTP were summarized using
37 the Kaplan-Meier method, with 95% confidence intervals analyzed by treatment group.
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Analysis of OS was at the time of the 487th death.

Safety, tolerability, and adverse events were summarized using descriptive

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3 statistics.

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5 All pharmacokinetic and pharmacodynamic analyses were summarized
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7 descriptively. The relationship between anti-ADI-PEG 20 antibodies and changes in
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9 arginine was assessed using Pearson's Rho.
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12 In addition, a post-hoc analysis was performed on the ADI-PEG 20 group. The
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14 relationship between arginine depletion (based on blood samples taken at weeks 4, 8, 12,
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16 and 16) and OS was studied.
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19 20 21 *ASS1 Expression Induced by Sorafenib in HCC Cell Lines*

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26 ASS1 protein expression in both untreated and drug treated human HCC cell lines was
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28 assessed by western blot as described (12).
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31 32 33 **RESULTS**

34 35 *Patients Disposition*

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39 Between July 2011 and February 2015, a total of 854 patients were assessed for
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41 eligibility and 635 unique patients were randomly assigned to receive ADI-PEG 20
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43 (n=424) or placebo (n=211). Details are further depicted in the consort diagram (figure
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48 49 *Demographics*

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52 Demographics were as detailed in table 1. Worth noting is that a total of 332
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54 (52.3%) had hepatitis B as etiology of HCC, commensurate with 338 (53.2%) patients
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3 accrued in Asia. The group of prior sorafenib failure encompassed 549 (86.5%), versus
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5 86 (13.5%) non-sorafenib failure patients.
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8 9 *Treatment*

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12 The median number of doses administered of ADI-PEG 20 was 11 (range 0-145)
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14 vs. 11 (0-98) for placebo, with a duration of exposure of 10 (range 0-146) and 11 (range
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16 0-109) weeks respectively.
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19 20 *Safety and Tolerability*

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23 The incidence of adverse events was similar between the two treatment groups
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25 including grade 3 or more events (table 2). There was a statistical difference in the
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27 between the two groups. Fatigue was the most frequent adverse event. Grade 3 skin
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29 puritis or rash was limited to 1 (0.2%) and 2 (1%) patients who received ADI-PEG 20
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31 and placebo, respectively.
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35 One case of anaphylactic reaction (grade 3) and one of anaphylactic shock (grade
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37 4) occurred in patients who received ADI-PEG 20. The two cases of anaphylaxis
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39 occurred after the third and eighth injections, respectively. In the first case, there was no
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41 anti-ADI-PEG 20 antibody detected at the time of the anaphylaxis. In the second case, the
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43 antibody titer was 10^3 .
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47 There were 64 (15.2%) deaths in the ADI-PEG 20 group that occurred within 30
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49 days of the last dose of study drug, vs. 22 (10.5%) in placebo group. The deaths due to
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51 causes other than disease progression were 22/64 (34.4%) in the ADI-PEG 20 group and
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53 12/22 (54.5%) in the placebo group. These included ten gastrointestinal bleeds (6 in the
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55 ADI-PEG 20 group and 4 in the placebo group); eight liver failures (6 and 2,
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3 respectively); and one intracranial hemorrhage, one brain stem infarction, and one tumor
4 embolus, all in the ADI-PEG 20 group. One cardiac arrest on ADI-PEG 20 and four
5 respiratory failures (2 in each group) were reported. Sepsis/infection occurred in three (2
6 in the ADI-PEG 20 group and 1 in the placebo group). Four patients sustained general
7 health deterioration (1 in the ADI-PEG 20 group and 3 in the placebo group). One patient
8 in the ADI-PEG 20 group died of unexplained abdominal pain.
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18 *Outcome*

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21 The median OS as depicted in figure 2A, was 7.8 months (95% confidence
22 interval [CI], 6.77-8.57) for the ADI-PEG 20 group vs. 7.4 months (95% CI, 6.37-9.03)
23 for the placebo group, with a p value of 0.884 (hazard ratio = 1.022 [95% CI, 0.847-
24 1.233]). At the time of analysis there were 322 deaths (75.9%) in the ADI-PEG 20 group
25 and 165 (76.7%) in the placebo group. Forest plot and sensitivity analyses are shown in
26 supplemental figure 1.
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35 Planned subgroup analyses assessed geographical region and prior sorafenib
36 treatment. In Asia, the median OS was 6.2 months (n=226; 95% CI, 4.90- 7.13) for the
37 ADI-PEG 20 group vs. 6.5 months (n=112; 95% CI, 5.40- 8.30) for the placebo group. In
38 North America or Europe, the median OS was 8.6 months (n=198; 95% CI, 7.30- 10.03)
39 for the ADI-PEG 20 group vs. 7.8 months (n=99; 95% CI, 6.37- 10.17) for the placebo
40 group. There was no significant difference in OS for the treatment and placebo groups
41 when the geographical regions were analyzed.
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51 For those patients who had failed prior sorafenib, the median OS was 7.3 months
52 (n=367; 95% CI, 6.33- 8.17) for the ADI-PEG 20 group vs. 7.7 months (n=182; 95% CI,
53 6.53-9.47) for the placebo group. For those who had failed a chemotherapy that did not
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3 include sorafenib (non-sorafenib), the median OS was 6.5 months (n=57; 95% CI, 4.93-
4 9.3) for the ADI-PEG 20 group vs. 5.7 months (n=29; 95% CI, 3.43-6.53) for the placebo
5 group. There was no significant difference in OS for the treatment and placebo groups
6 when prior sorafenib failure or non-sorafenib subgroups were analyzed. However, for the
7 non-sorafenib group, the Chi-squared value for the comparison was 2.84, p=0.092.
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11 The median PFS as depicted in figure 2B, was 2.6 months for both groups with
12 ADI-PEG 20 95% CI, 2.6-2.63 vs. 2.6-2.7 for the placebo group, and a p value of 0.075
13 (hazard ratio = 1.175 [95% CI, 0.964-1.432]).
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16 There were no complete responses, while 2 and 6 partial responses were reported
17 for the ADI-PEG 20 and placebo groups respectively.
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20 There was no difference in AFP (supplemental table 1) and AFP decrease did not
21 correlate with arginine levels (supplemental table 2).
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24 25 26 *Immunogenicity*

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28 The median baseline value for anti-ADI-PEG 20 antibodies was 0 in both the
29 ADI-PEG 20 and placebo groups. The median post baseline change was an increase to a
30 titer of 2 around 8 weeks with a plateau at a titer of 3 at 12 weeks in the ADI-PEG 20
31 group. . The titer remained 0 in the placebo group. Changes from baseline in anti-ADI-
32 PEG 20 antibodies and blood arginine levels were correlated at all time points tested
33 (weeks 2, 4, 8, 12, 16; p<0.0001). Levels of anti-ADI-PEG 20 antibodies did not
34 correlate with adverse events. No attempt was made to discern neutralizing antibodies
35 from non neutralizing antibodies.
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52 53 *Pharmacokinetics*

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3 In the ADI-PEG 20 group, the mean blood ADI-PEG 20 levels were highest at
4 weeks 2 and 4, and then decreased to a plateau level at week 12, to ~45% of the highest
5 levels. This was commensurate with the development of anti-ADI-PEG 20 antibodies.
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10 *Pharmacodynamics*

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14 Circulating arginine level markedly decreased after the first dose of ADI-PEG 20.
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16 The median arginine level remained depleted for 8 weeks (data not shown). A patient was
17 defined as having arginine depletion if their arginine level reached and remained below
18 10 μ M post ADI-PEG 20 dosing.
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24 A post-hoc analysis was performed to determine if there was a relationship
25 between arginine depletion and OS. For this analysis, at each of the specified timepoints
26 (4, 8, 12 and 16 weeks), ADI-PEG 20 treated patients were divided into two groups. One
27 group consisted of patients with arginine depletion, as defined above, and one group
28 consisted of those whose blood arginine level no longer met the arginine depletion as
29 defined at the specified timepoint. Patients that had died, progressed, withdrawn consent
30 or were otherwise unable to have a blood draw at the specified timepoint were excluded
31 from these analyses. At each timepoint, the number of patients who had their arginine
32 level assayed along with their median OS are presented in supplemental table 3. At all
33 four timepoints tested, the patients with arginine depletion trended to have improved OS.
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49 **DISCUSSION**

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51 This phase III trial of ADI-PEG 20 monotherapy at a dose of 18 mg/m² did not
52 meet its primary object of improving OS vs. placebo in patients with advanced HCC who
53 had failed prior systemic therapy. However, patients with arginine depletion trended to
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3 have improved OS. A similar trend of improved OS with arginine depletion has been
4 shown with ADI-PEG 20 in a prior phase II HCC trial with ADI-PEG 20 monotherapy
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6 (2). In this study we did not formally statistically assess this relationship in the post-hoc
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8 analysis as there may be a selection bias as some patients came off study early due to
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10 progression and thus could no longer contribute to the pharmacodynamic analysis.
11
12 Furthermore, such an analysis might also be affected by other aspects, including the next
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14 line of therapy. Nonetheless, if there is a therapeutic utility of ADI-PEG 20 monotherapy,
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16 it would appear to be limited primarily to those patients in whom prolonged arginine
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18 suppression is obtained. Also, although antidrug antibodies were determined, neutralizing
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20 antibodies were not determined. Prior studies seem to indicate both a general lack of
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22 correlation between neutralizing antibodies and arginine levels, and the possibility of still
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24 present efficacy despite the presence of neutralizing antibodies for ADI-PEG 20 (2, 13),
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26 and unpublished data, Polaris Pharmaceuticals-data on file) . The same has been shown
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28 for other therapeutic agents (e.g., cetuximab, rituximab, and panitumumab) where the
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30 presence of antidrug antibodies often did not have a clinical effect (14). Strategies to
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32 prolong ADI-PEG 20 induced arginine suppression include: (a) an increased dose of
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34 ADI-PEG 20 (36 mg/m²) which has shown modest indication of benefit as monotherapy
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36 in a malignant pleural mesothelioma population (15), (b) combination with cytotoxic
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38 agents which may blunt the immune response to ADI-PEG 20 and has been shown to
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40 both delay and decrease the generation of antibodies to ADI-PEG 20 in several ongoing
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42 clinical trials, and (c) developing a new ADI that would not be so quickly neutralized by
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44 antibodies. This latter approach is currently under investigation, and would mirror the
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46 success observed with asparaginase from *Erwinia chrysanthemi* in patients with acute
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3 lymphocytic leukemia (ALL) who have developed antibodies to *E. coli* asparaginase
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5 (16), as well as by developing a new formulation (17).
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8 ADI-PEG 20 was well tolerated, as observed in other studies in HCC (3,5 and 6).
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10 Local injection site reactions, rash, pruritus and anaphylaxis were expected (18, 19), and
11
12 at occurred at a rate of 0.4%. This compares favorably with other pegylated non-human
13
14 enzymes used in the treatment of patients (21, 22). Local injection site reactions, rash and
15
16 pruritus in the placebo control group were consistent with the intramuscular injection and
17
18 placebo solution.
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21 One case of brain stem infarction and one of intracerebral hemorrhage occurred in
22
23 the ADI-PEG 20 treated group, thus with an occurrence rate of 0.4%. In cirrhotic patients
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25 intracerebral hemorrhage are observed (23) and reported at 1.3% (24).
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28 Respiratory failure, consistent with hepatopulmonary syndrome, is a well known
29
30 complication of cirrhosis, same for infection (25, 26).
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33 We noted in previous studies that archived HCC tissues samples were 70-75% of
34
35 samples ASS1 deficient (2,3). While this study was ongoing an experiment was
36
37 conducted across multiple HCC cell lines which demonstrated an increase in ASS1
38
39 expression in some cell lines treated with sorafenib (Supplemental figure 2). For those
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41 cell lines with little to no expression of ASS1 (SK-HEP-1, SNU398, SNU449, and Tong)
42
43 sorafenib treatment had little impact on ASS1 level. Sorafenib treatment also had little
44
45 impact on the ASS1 level of a cell line with a relatively high ASS1 expression level
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47 (PLC5). However, of the six cell lines with intermediate ASS1 expression, four (HepG2,
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49 Huh7, SNU182, and Malhavu) demonstrated an increase in ASS1 expression upon
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51 treatment with sorafenib, one (Hep3B) demonstrated a small decrease in ASS1
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3 expression, and one (HCC36) demonstrated little change on ASS1 expression after
4 sorafenib treatment. Considering that 86% of patients on the ADI-PEG 20 received prior
5 sorafenib, up-regulation of ASS1 expression may have contributed to the lack of efficacy
6 in the patient population in this study.
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12 At the time of the design of the study, the 4 months median OS anticipated for the
13 placebo group seemed reasonable. But in retrospect, it would be hard to justify given our
14 present understanding of OS in the good performance status, favorable Child-Pugh
15 population that is selected for clinical trials. The reported herein 7.4 months median OS
16 for the placebo group is commensurate with current data (27-30) and reflective of this
17 selection bias.
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26 Going forward, capitalizing on the attributes that may help potentiate the
27 efficacy of ADI-PEG 20 would be critical. Another arginine deprivation approach in
28 HCC has been investigated with pegylated recombinant human arginase (31).
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34 In summary, ADI-PEG 20 at the dose of 18 mg/m² proved to be ineffective in
35 prolonging OS in patients with advanced HCC who failed prior therapy. However, those
36 with arginine depletion from ADI-PEG 20 were observed to have a superior OS to those
37 who did not achieve prolonged depletion. New studies of ADI-PEG 20 are currently
38 focused on maximizing arginine depletion through elucidating and testing potential
39 synergistic effects and on modulating its antigenic structure as well as formulation.
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Table 1. Demographics of ADI-PEG 20 and placebo groups

Parameter	ADI-PEG 20 (n=424)	Placebo (n=211)
Median Age (years)	61	62
Male Gender	83% (352)	80% (168)
Asia Accrual	53% (226)	53% (112)
Etiology		
HBV	53% (226)	50% (106)
HCV	26% (112)	26% (55)
Alcohol	12% (51)	15% (32)
NASH	6% (24)	6% (12)
Other	13% (57)	14% (29)
ECOG 0/1	98% (414)	98% (207)
Child Pugh		
A	91% (387)	89% (188)
B7	9% (37)	10% (22)
BCLC		
B	18% (77)	19% (40)
C	82%(347)	81% (170)
Baseline AFP \geq 400 ug/L	210 (49.5%)	107 (50.7%)
Prior therapy		
Sorafenib failure	70% (299)	69% (146)
Sorafenib intolerance	16% (68)	17% (36)

Other	13% (57)	14% (29)
1 prior chemotherapy	73% (311)	79% (167)
≥ 2 prior chemotherapies	27% (113)	21% (44)

Table 2. Treatment-emergent adverse events by treatment group and CTCAE grade

	ADI-PEG 20 (N=421)					Placebo (N=209)				
	CTCAE Grade (%)					CTCAE Grade (%)				
Grade 1-5 AEs in Patients with ≥ 7.5% Grade 1-2 Events	1-2	3	4	5	Total	1-2	3	4	5	Total
Fatigue	21.4	1.9	0	0	23.3	23.5	3.3	0	0	26.8
Decreased appetite	21.0	1.9	0	0	22.8	18.2	1.4	0	0	19.6
Nausea	18.6	0.5	0	0	19.0	17.2	0.5	0	0	17.7
Abdominal Pain	14.2	4.3	0	0.2	18.8	14.8	2.4	0	0	17.2
Edema peripheral	16.2	2.4	0	0	18.5	17.3	1.4	0	0	18.7
Pyrexia	18.3	0	0	0	18.3	18.7	0.5	0	0	19.1
Cough	14.9	0.2	0	0	15.2	17.3	0.5	0	0	17.7
Abdominal distension	13.3	1.2	0	0	14.5	16.3	0.5	0	0	16.7
Diarrhea	12.8	1.0	0	0	13.8	15.6	1.0	0.5	0	17.2

Pruritus	13.1	0.2	0	0	13.3	12.5	0.5	0	0	12.9
Ascites	10.0	2.6	0	0	12.6	9.5	3.3	0	0	12.9
Vomiting	11.9	0.7	0	0	12.6	12.4	0	0	0	12.4
Constipation	11.9	0.2	0	0	12.1	13.9	1.0	0	0	14.8
Adbominal pain upper	11.7	0.2	0	0	11.9	10.5	1.0	0	0	11.5
Dyspnea	9.5	1.7	0.5	0.2	11.9	8.6	2.9	0	0	11.5
Back pain	10.3	0.5	0	0	10.7	9.1	2.4	0	0	12.0
Insomnia	10.3	0.2	0	0	10.5	8.2	0.5	0	0	8.6
Rash	10.2	0	0	0	10.2	7.6	0.5	0	0	8.1

Figure 1. Consort diagram

Figure 2.

A. Kaplan-Meier curves depicting OS for the ADI-PEG 20 and placebo cohorts

B. . Kaplan-Meier curves depicting PFS for the ADI-PEG 20 and placebo cohorts

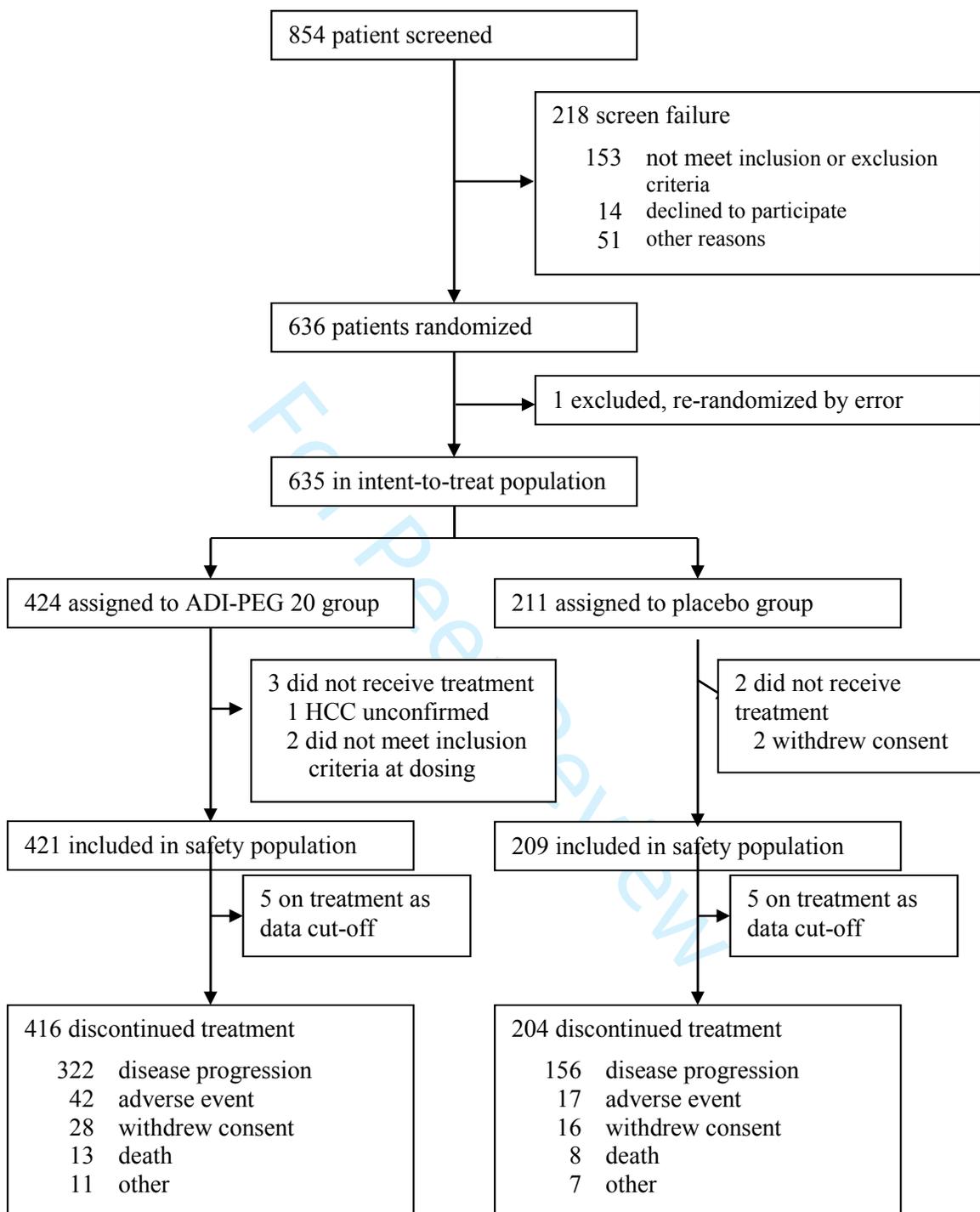
Supplemental Figure 1. Forest plot and sensitivity analyses

Supplemental Figure 2. Depiction of ASS1 level expression pre- and post-treatment with sorafenib

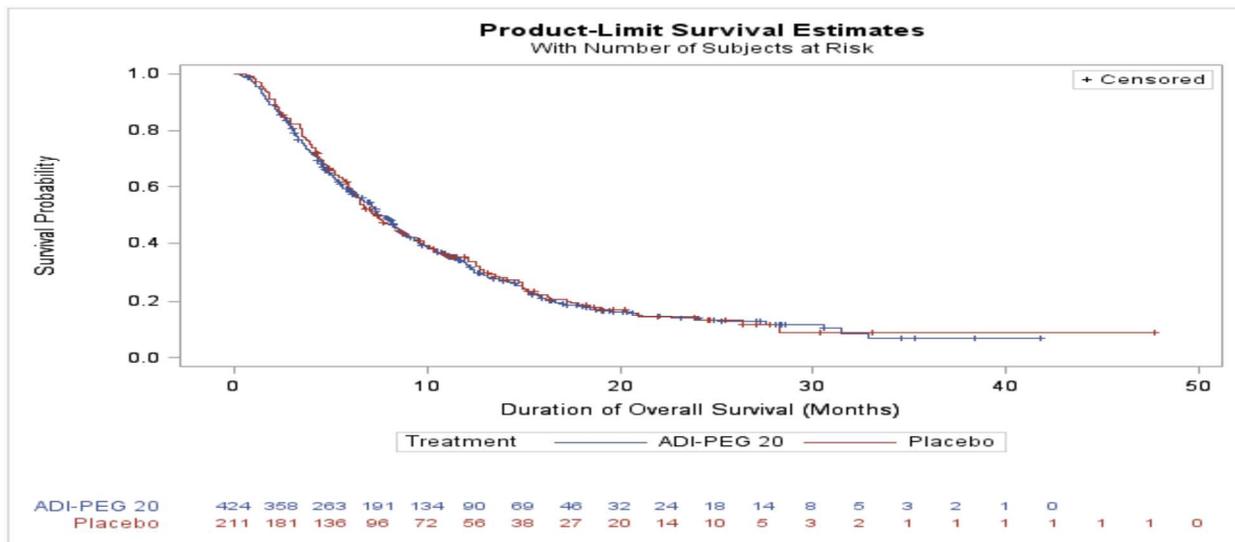
ACKNOWLEDGEMENTS

The statistical comments of Marinela Capanu, PhD, Memorial Sloan Kettering Cancer
are appreciated.

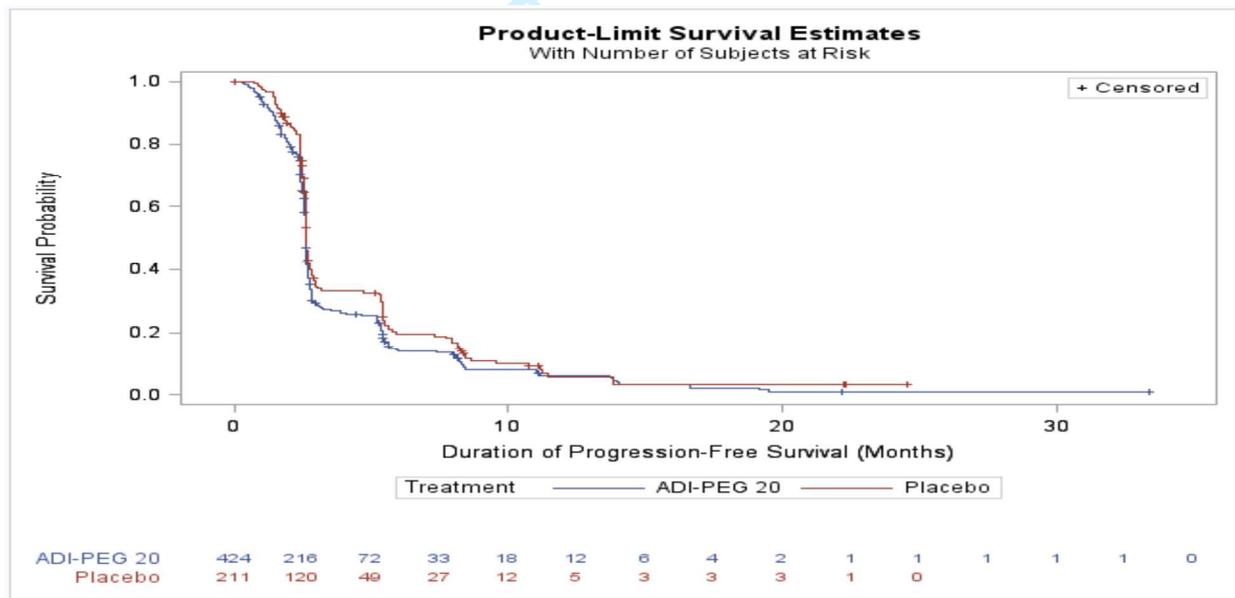
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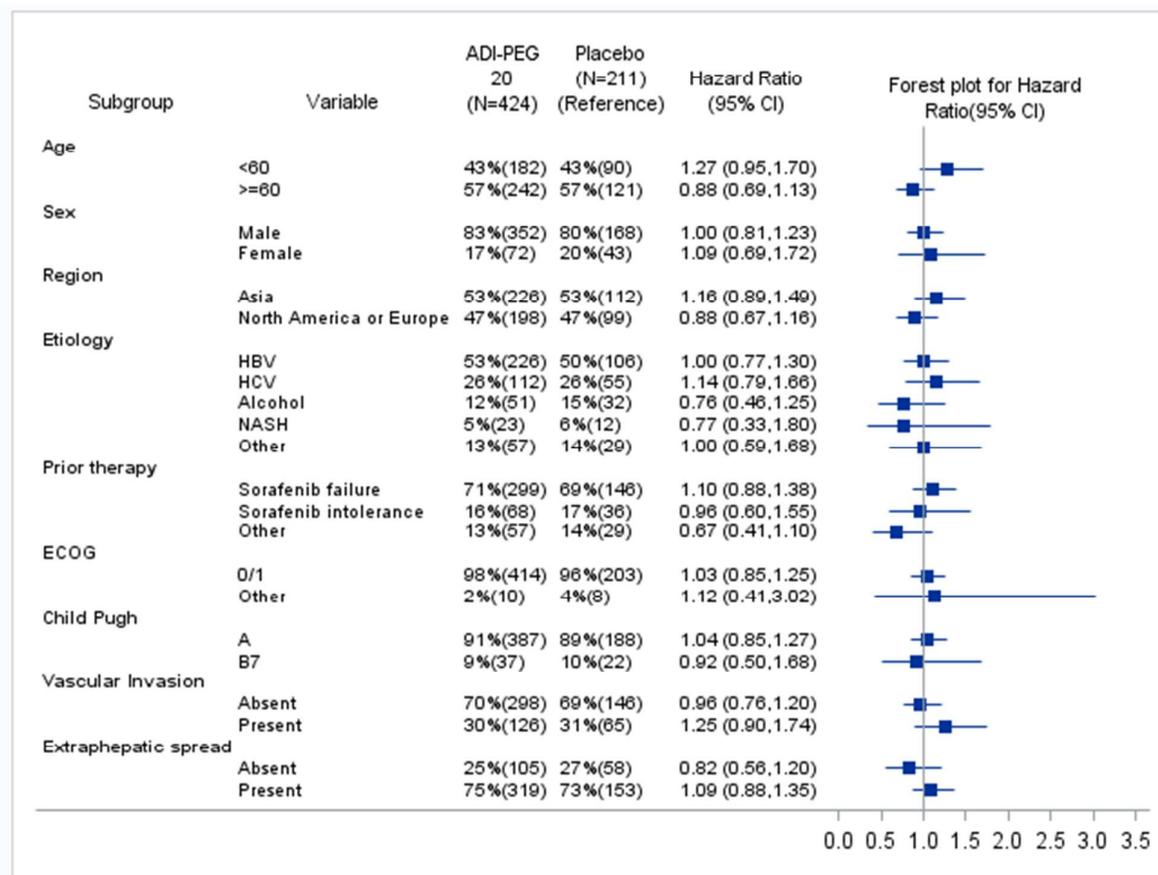
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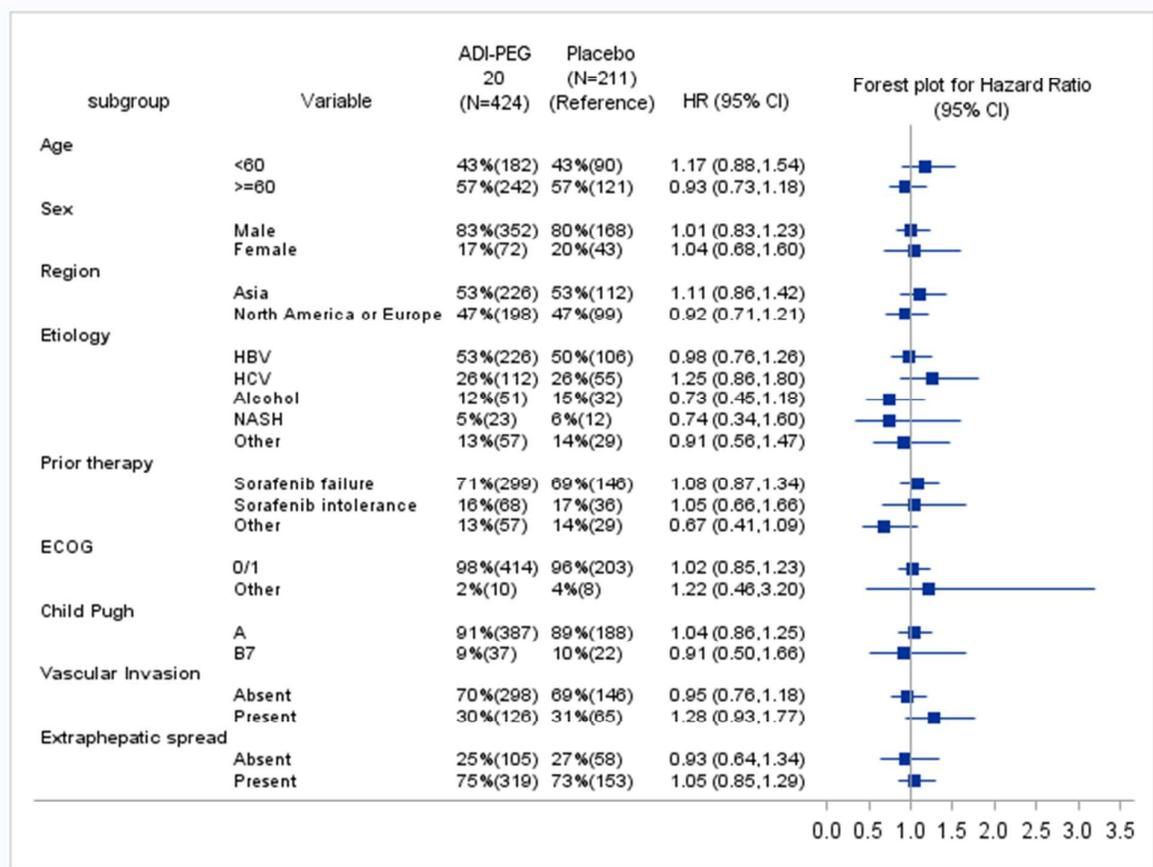
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Forest plots of demographics of ADI-PEG 20 and placebo groups

- Primary Analysis: The primary analysis includes deaths that occurred before the date of the 487th events.

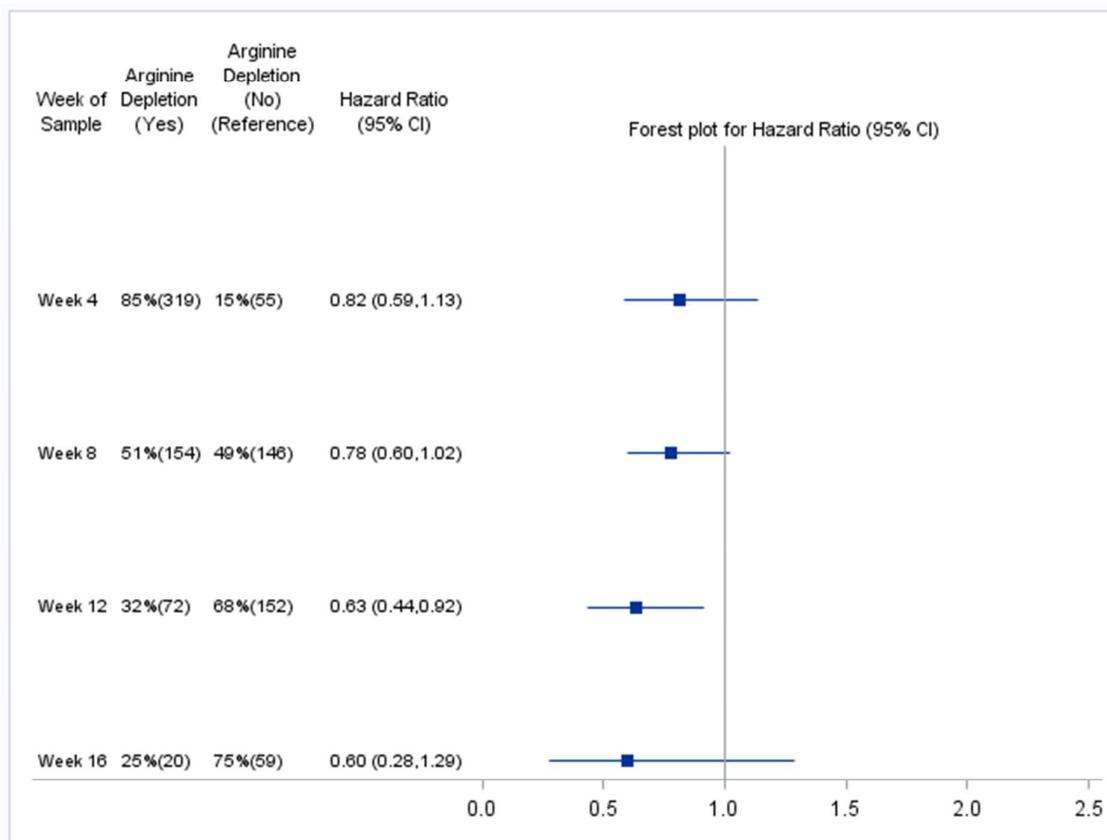


- Sensitivity Analysis: The sensitivity analysis includes all deaths.



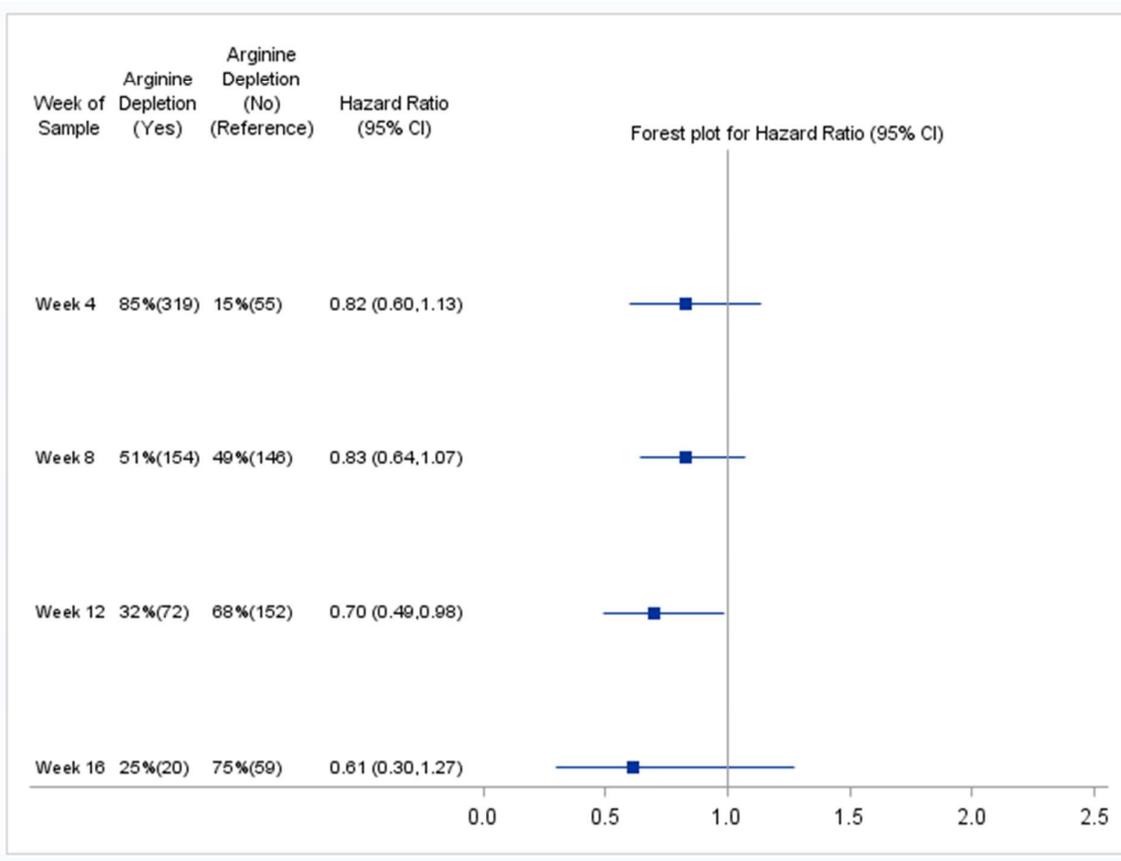
Forest plot of Arginine depletion

- Primary Analysis: The primary analysis includes deaths that occurred before the date of the 487th events.



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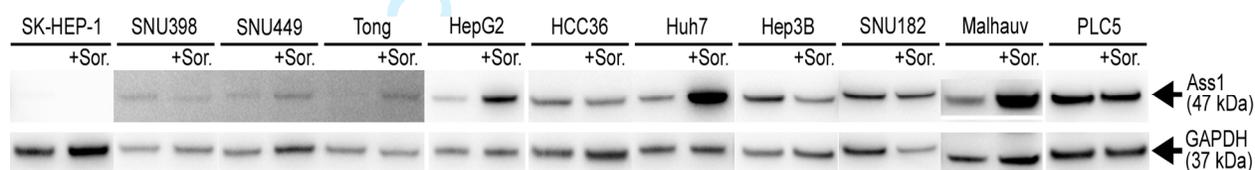
➤ Sensitivity Analysis: The sensitivity analysis includes all deaths.



Review

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3 **Supplemental Figure 2. Depiction of ASS1 level expression pre- and post-treatment with**
4 **sorafenib**
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8 After establishing an IC50 for sorafenib, each cell line was treated with sorafenib starting with a
9 concentration below the IC50, and then over the course of 6 to 8 weeks subjected to increasing
10 concentrations of sorafenib up to a maximum tolerated dose (1 to 4 μ M depending upon the cell
11 line). After a 24 hour recovery period the ASS1 level was measured by IHC and compared with
12 the pre-treatment ASS1 level.
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No	55	5.9	146	8.3	152	10.5	59	15.1
Yes	319	8.2	154	11.5	72	15.8	20	27.5

For Peer Review

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