

1 **Title: Functional vision and quality of life in children with microphthalmia /anophthalmia**
2 **/coloboma – a cross-sectional study**

3

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41 **Abstract**

42

43 *Purpose:* This study aimed to determine the child's and parental perception of functional visual
44 ability (FVA), vision- and health-related quality of life (VR-, HR-QoL in children with
45 microphthalmia/anophthalmia/coloboma (MAC).

46

47 *Methods:* Between 25 June 2014 and 3 June 2015 we carried out a cross-sectional observational
48 study at a single tertiary paediatric eye care center at XXX, enrolling 45 children age 2 to 16
49 years with MAC attending our clinics, and their parents. To assess FVA, VR- and HR-QoL we
50 asked participants to complete three validated tools, the Cardiff Visual Ability Questionnaire for
51 Children (CVAQC), the Impact of Vision Impairment for Children (IVI-C) instrument, and the
52 PedsQL™ V 4.0. The main outcome measures were the FVA, VR- and HR-QoL scores, reported
53 by children and parents.

54

55 *Results:* In children with MAC, FVA is moderately reduced, with median CVAQC score -1.4
56 (IQR -2.4 to 0.4; range -3.0 = higher FVA to +2.8 = lower FVA). VR-QoL and HR-QoL are
57 greatly reduced: IVI-C median score 63 (IQR 52 to 66; normal VR-QoL = 96), PedsQL™
58 median: self-report score 77 (IQR 71 to 90; normal HR-QoL = 100), parental report score 79
59 (IQR 61 to 93), family impact score 81 (67 to 93). Psychosocial well-being scores are lower than
60 physical well-being scores. Parents and children have a different perception of the impact of the
61 condition on the child's HR-QoL.

62

63

64 *Conclusions:* Microphthalmia/anophthalmia/coloboma (MAC) have a significant impact on a
65 child's FVA and QoL similar to that described by children with acute lymphoblastic leukaemia
66 and chronic systemic conditions. Healthcare professionals need to be aware of the psychosocial
67 impact, as children and families may benefit from psychosocial support.

68

69

70 **Introduction**

71

72 Microphthalmia/anophthalmia/coloboma (MAC) form a spectrum of rare congenital eye
73 malformations. The estimated prevalence of anophthalmia is 0.6–4.2, microphthalmia 2–17 and
74 coloboma 2–14 per 100,000 live births. ^{[1] [2]} In most children the condition is bilateral, and in
75 around a third of children it is part of a syndrome associated with extra-ocular abnormalities such
76 as brain, craniofacial, cardiac, renal and urogenital defects. ^{[1] [2] [3]}

77

78 The extent of the malformation determines the visual acuity in children with MAC. Vision is
79 often poor, and children with bilateral MAC often have severe sight impairment and require
80 developmental support. ^[4] It is estimated that MAC is responsible for approximately 15 to 20%
81 of severe visual impairment and blindness in children worldwide. ^[5] There is scarce published
82 literature on the clinical management of MAC. The growth of the orbital cavity and the
83 development of the maxilla can be significantly affected in the absence of a normal sized globe.
84 Therefore, in infants, orbital conjunctival conformers of progressively increasing size are applied
85 to expand the orbital tissues; fitting and exchanging expanders may require multiple anesthetics.
86 Cases of marked orbital asymmetry may require orbital reconstruction surgery to reduce
87 cosmetic disfigurement.

88

89 Despite the burden of MAC and its management on children and their families, no study has
90 explored functional visual ability (FVA), vision- and health-related quality of life (VR-, HR-
91 QoL) in this population. Two studies of adults with MAC reported low HR-QoL, increased
92 anxiety and psychosocial impact from feelings of shame, shyness, sadness and fear. [6] [7]

93 Validated tools to measure FVA, VR- and HR-QoL in children include the Cardiff Visual Ability
94 Questionnaire for Children for FVA, [8] Impact of Vision Impairment for Children (IVI-C)
95 instrument for VR-QoL, [9] and PedsQL™ V 4.0 for HR-QoL.[10] [11] The aim of the present
96 study is to describe the impact of MAC on FVA, VR- and HR-QoL from a child's
97 perspective. Parental views on the impact of MAC on their child's and family's HR-QoL are
98 also assessed.

99

100 **Material and Methods**

101 This work presents an analysis of children with MAC who took part in a larger cross-sectional
102 observational study of quality of life in children with developmental eye defects, approved
103 prospectively by the National Research Ethics Committee South Central – Oxford A
104 (14/SC/1052). It adhered to the tenets of the Declaration of Helsinki. Between 25 June 2014 and
105 3 June 2015 we enrolled children age 2-16 years at XXX. Exclusion criteria were inability to
106 communicate in English and surgical intervention within one month of date of completing
107 questionnaires (before or after). We screened the notes of all children attending clinics in
108 advance to identify those who met the inclusion criteria. We consecutively approached these
109 children and families. For those who did not wish to take part, we noted the reasons given. We
110 gave parents and children age-appropriate information material and addressed any questions.
111 Parents gave written consent, and children could sign an assent form.

112

113 *Data collected*

114 We recorded age at study participation, gender and ethnic background, ocular and systemic
115 diagnoses, laterality and best corrected visual acuity (BCVA) with both eyes open in logMAR on

116 the day of study participation. Where BCVA was recorded as “counting fingers” we assigned a
117 value of 2.1 logMAR, for “hand movements”, 2.4 logMAR, for “light perception” 2.7 logMAR,
118 and for “no light perception” or “ocular prosthesis/artificial eye”, 3 logMAR. [12] We also
119 recorded details of previous and current treatment, such as number of previous surgical
120 interventions, and number of general anesthetics.

121

122 *Main outcome measures*

123 To assess FVA, children from the age of 5 years completed the CVAQC. [8] The CVAQC was
124 developed with focus groups of children with and without sight impairment and validated in
125 children with visual impairment to assess difficulties in performing activities of daily life. The
126 CVAQC is designed for completion by the child, and was validated in children with visual
127 impairment. It consists of 25 questions with answers selected on a four-point scale which cover
128 education, near and distance vision, getting around, social interaction, leisure and sports. Using a
129 Rasch conversion calculator provided, we transformed the raw scores into logarithmic scores.
130 The resulting scores range from -3.0 (higher FVA) to +2.8 (lower FVA).

131

132 To evaluate VR-QoL, a subgroup of children aged 8 years and older enrolled after 01 August
133 2014, when required agreements and permissions were granted, completed the IVI-C tool. [9]
134 The IVI-C was validated in visually impaired and normally sighted children. It entails 24
135 questions with 5 possible answers plus an additional option of “no, for other reasons”, covering
136 areas of school, mobility, interaction and emotion. We scored the IVI-C responses using the
137 relevant scoring sheet which allocates values between 0 and 4, and did not allocate a score when
138 the response “no, for other reasons” was selected. As the tool comprises 24 items, the resulting

139 raw scores range from 0 to 96, with the highest score indicating normal VR-QoL. No Rasch
140 conversion table is available for this tool as yet.

141
142 For HR-QoL, we used age-specific versions of the PedsQL™ Inventory (www.pedsql.org),
143 which allow children aged over 5 years of age to express their views on different aspects of their
144 physical and emotional state and their social and school life. [10] [11] Furthermore, parents
145 completed two questionnaires, one regarding their child (“parental report”) and one about the
146 impact on the condition on the family (“family report”). The parental report was specific to the
147 age of the child and consisted of 21-23 questions covering children aged 2-4, 5-7, 8-12 and 13-18
148 years. The family report contained 36 questions. Children from the age of 5 self-administered
149 the questionnaire (PedsQL™ administration guidelines) and answers were given on a Likert
150 scale from 0 to 4. We calculated the PedsQL™ scores following the scoring instructions. If
151 items were left blank, we adjusted the denominator, using the number of completed items instead
152 of the number of total items. It is recommended to remove questionnaires from the analysis if
153 50% or more of the items have been left blank; this did not occur in our sample. Scores range
154 from 0 to 100, with 100 indicating normal HR-QoL.

155 All questionnaires were completed on the same day, during a regular clinic appointment. When
156 children needed help, they were assisted by a member of the research team or play leaders, but
157 not by family members.

158

159 **Statistics**

160 We aimed for an overall sample size of 50, the smallest sample size required for Bland Altman
161 limits of agreement analysis. Where data were missing for individual items in the PedsQL™ and

162 IVI-C, we adjusted the denominator accordingly. For the CVAQC, the Rasch-analysis based
163 calculator takes into account missing data.

164 Where data were missing, datasets were excluded from the relevant analyses. We applied
165 descriptive statistics throughout, reporting means and standard deviations for normally
166 distributed data or median and interquartile range (IQR) for data not normally distributed. We
167 assessed relationships between age at participation, age at diagnosis, BCVA in better eye, sum of
168 surgical interventions, sum of general anesthetics and CVAQC, IVI-C and Peds QL™ scores
169 using Spearman rank correlation, and relationships with uni/bilaterality using the Mann Whitney
170 test. Agreement between parent and child PedsQL™ scores was assessed using Bland-Altman
171 techniques. Statistical significance was set at the 5% level and all tests conducted were two-
172 tailed. We did not adjust for multiple comparison testing in our exploratory investigations of
173 associations, but would urge readers to review these as hypothesis generating rather than
174 confirmatory. [13]

175 We approached 62 families of children with MAC who met the inclusion criteria. Sixteen
176 declined to take part because of perceived lack of time. We enrolled 46 children, and removed
177 one dataset as the child did not have MAC, resulting in the analysis of 45 datasets.

178 The proportion of missing data was low. No data were missing for age, gender, diagnoses,
179 laterality and BCVA. Ethnicity was unknown in 13.3%. Questionnaire response rates were high
180 (Supplementary Material 1).

181

182 **Results**

183 The median (interquartile range, IQR) age of participants was 6.4 (3.7 to 9.9) years (Table 1). 27
184 participants (60%) were female. 73% of participant were White, 4% Asian or Asian British, 2%
185 Black or Black British, 2% mixed, 4% other, ethnicity was unknown in 13%.

186

187 Microphthalmia was isolated in 23 children (51%), associated with coloboma in ten (22%) and
188 with cataract in nine (20%). Two children developed glaucoma, one following lensectomy.

189 Three children (7%) had anophthalmia. The condition was bilateral in 20 cases (44%). Table 1
190 summarizes clinical and participant characteristics.

191

192 Eighteen children aged 5-16 years completed the CVAQC. The median of the Rasch transformed
193 scores indicated moderate impairment of FVA (Table 2). There was no evidence of an
194 association between CVAQC score and age or any other clinical factors such as BCVA or
195 bilaterality of the condition (Table 2, Fig. 1).

196

197 Eleven children and young people age 8-16 years completed the IVI-C tool. The median score
198 indicated markedly reduced VR-QoL (Table 2). There was no evidence of an association
199 between IVI-C and age or any other clinical factors such as BCVA or bilaterality of the condition
200 (Table 2, Fig. 1).

201

202 24 children completed the PedsQLTM self-report and were found to have a median score
203 significantly lower than healthy children (Table 2). There was evidence of an association
204 between self-report scores and the total number of surgical socket interventions (Spearman's rho
205 correlation coefficient SRCC -0.43, p=0.04, n=23; Suppl. Material 2) but no evidence of an

206 association with age (Fig. 1) or clinical factors (Suppl. Material 2). Self-reported scores for
207 psychosocial well-being were lower than those for physical well-being (Table 2); the mean
208 difference was -7 (CI -14 to -0.4).

209
210 The median PedsQL™ parental report score about the child was also reduced. There was an
211 association between parental report scores and number of previous operations (SRCC -0.45,
212 $p=0.002$, $n=43$) and anesthetics (SRCC -0.34, $p=0.02$, $n=43$) (Suppl. Material 2).

213
214 The PedsQL™ family report ($n=45$) median score was also reduced and with the same
215 associations as the parental report, namely previous operations (SRCC -0.416, $p=0.005$, $n=44$)
216 and anesthetics (SRCC -0.35, $p=0.02$, $n=44$) (Suppl. Material 2).

217
218 Overall PedsQL™ parent report scores were higher than self-report scores (Table 2), with a
219 mean difference of -4 (CI -9 to 1) (Fig. 1). The mean difference between parental and self-scores
220 on the PedsQL™ physical subscale was -4 (CI 11 to 2, and on the psychosocial subscale, -4 (CI -
221 10 to 2).

222

223 **Discussion**

224 This is the first report of FVA, VR- and HR-QoL in children with MAC. Previous studies
225 reported increased anxiety and feelings of shame, shyness, sadness and fear in adults with MAC
226 but these studies included also non developmental MAC such as post-traumatic or post-
227 infectious forms of anophthalmia. ^{[6] [7]} The reduction in HR-QoL in children with MAC we
228 report here is similar to levels reported by children with acute lymphoblastic leukaemia and

229 chronic diseases. ^[14]^[15] In addition, VR-QoL is profoundly reduced, whilst FVA is moderately
230 reduced. A greater number of surgical interventions is associated with worse HR-QoL scores
231 reported by both children and parents. No other associations were found, however, our sample
232 size may have limited our ability to find associations had they existed. In contrast to previous
233 findings [16] [17] we found parents reported MAC to have less of an impact on their child's HR-
234 QoL than young children themselves. Parents may be underestimating the impact of facial
235 disfigurement and placing more emphasis on visual impairment in a group where most cases
236 were unilateral.

237

238 A strength of our study is that children completed the questionnaires by themselves, or were
239 supported by play specialists eliminating parental perceptions influencing the children's
240 answers.

241

242 Our study has some limitations. MAC are rare conditions, and although we enrolled participants
243 over one year, only 62 families could be approached, a quarter of which declined to take part.
244 Selection bias may arise from families stopping attending clinics as their child gets older. We
245 have no data to estimate this proportion of these families, but consider the overwhelming
246 majority of parents eager to provide the best healthcare for their child. However, our teenage
247 group only included three young people. Selection bias may also have arisen from limiting
248 enrollment to a single site, and to English-speaking families only. Lack of a control group of
249 normal-sighted children may be considered a limitation, however, the questionnaires we used
250 were specifically developed for the age range of children we included. In addition, CVAQC was
251 developed for children with visual impairment, leading to an expected ceiling effect if used in

252 normal sighted children. For both IVI-C and PedsQLTM a normative database of healthy children
253 is available.

254

255 Furthermore, the use of number of surgical procedures as a proxy of painful treatment episodes
256 may be less valid than using validated pain scales, but has been used in similar studies before.¹⁵

257 Similarly, the number of general anesthetics (including EUAs, as these are often arranged on the
258 understanding that should findings indicate a need for additional surgery, this will be carried out
259 under the same anesthetic) as a proxy for episodes of emotional upset and anxiety is not as valid
260 as using a validated scale measuring anxiety, but has previously been used by others.^[18]

261

262 Whilst logMAR visual acuity is a well-established measure of visual function, it is not always
263 possible to use logMAR methods in children with visual impairment, and “hand movements” or
264 “counting fingers” at a specified testing distance are occasionally used. Complete blindness, “no
265 perception of light”, or “artificial eye/ocular prosthesis” can also not be expressed in logMAR. In
266 order to allow a quantitative analysis, we followed a published approach of using logMAR
267 values of 2.1 to 3 in these cases.¹² This conversion was required in 8 cases (17.8%, 7 cases of
268 NPL, one of PL) and may have led to an underestimation of logMAR acuity.

269

270 Within the limits of the study design, that is selection bias which may have led to inclusion of a
271 higher proportion of more treatment-adherent families and the limitation of enrolling participants
272 at a single site in a highly developed country, our findings can be generalized to other children
273 with MAC who receive care in similar settings.

274

275 **Conclusions**

276 MAC have a profound impact on the life of affected children and their families. Healthcare
277 professionals need to be aware of these emotional and practical difficulties. Children and
278 families may benefit from support to address psychosocial problems and difficulties with
279 children's activities of daily living.

280

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291

292

293 **References**

294

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350 **Figure legends**

351 Fig. 1 Box plots of median and interquartile range (IQR) for Cardiff Visual Ability
352 Questionnaire for Children (top left), Impact of Vision Impairment for Children (top right) and
353 PedsQL™ self-report scores (bottom left) of children with MAC. Bottom right: Bland Altman
354 plot showing agreement between parental and child self-report PedsQL™ total scores.

355

356 **Table legends**

357 **Table 1.** Age at study participation and at diagnosis and clinical characteristics.

358 **Table 2.** Scores for functional visual ability (FVA), vision- and health-related quality of life
359 (VR-QoL, HR-QoL) reported by children according to age and parents. Possible CVAQC scores
360 (FVA) range from -3.00 (higher FVA) to +2.80 (lower FVA). IVI-C scores range from 0 to 96
361 (severe reduction to normal VR-QoL). PedsQL™ scores range from 0 to 100 (severe reduction
362 to normal HR-QoL). Children reported markedly reduced FVA and VR-QoL. All HR-QoL
363 scores were significantly reduced as reported by both children and parents (self-, parental, family
364 report) and psychosocial more than physical scores.

365

366 **Supplementary Material:**

367 1) Table “Response Rates”. Parents were asked to complete two questionnaires, and children
368 from the age of 5 years were asked to complete two or three questionnaires. Response rates were
369 high.

370 2) Statistical significance and strengths of associations. In bold those associations that reach
371 significance with $p < 0.05$.