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# **ACCEPTED MANUSCRIPT BIOCHEMICAL JOURNAL**

Intermolecular disulfide bond influences unphosphorylated STAT3 dimerization and function

Elena Butturini, Giovanni Gotte, Daniele Dell'Orco, Giulia Chiavegato, Valerio Marino, Diana Canetti, Flora Cozzolino, Maria Monti, Piero Pucci, Sofia Mariotto

STAT3 is a pleiotropic transcription factor activated by phosphorylation of tyrosine 705 in response to many cytokines and growth factors. Recently, roles for unphosphorylated STAT3 (U-STAT3) have been described in response to cytokine stimulation, in cancers, and in maintenance of heterochromatin stability. It has been reported that U-STAT3 dimerizes, shuttles between the cytoplasmic and nuclear compartments, and binds to DNA, thereby driving genes transcription. Although many reports describe the active role of U-STAT3 in oncogenesis in addition to phosphorylated STAT3, the U-STAT3 functional pathway remains elusive. In this report, we describe the molecular mechanism of U-STAT3 dimerization and we identify the presence of two intermolecular disulfide bridges between Cys367 and Cys542 and Cys418 and Cys426 respectively. Recently, we reported that the same cysteines contribute to the redox regulation of STAT3 signaling pathway both in vitro and in vivo. The presence of these disulfides is here demonstrated to largely contribute to the structure and the stability of USTAT3 dimer as the dimeric form rapidly dissociates upon reduction of the S-S bonds. In particular, the Cys367-Cys542 disulfide bridge is shown to be critical for U-STAT3 DNA binding activity. Mutation of the two Cys residues completely abolishes the DNA binding capability of U-STAT3. Spectroscopic investigations confirm that the non-covalent interactions are sufficient for proper folding and dimer formation, but that the interchain disulfide bonds are crucial to preserve the functional dimer. Finally we propose a reaction scheme of U-STAT3 dimerization with a first common step followed by stabilization through the formation of interchain disulfide bonds.

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# Intermolecular disulfide bond influences unphosphorylated STAT3 dimerization and function

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# **Abstract**

STAT3 is a pleiotropic transcription factor activated by phosphorylation of tyrosine 705 in response to many cytokines and growth factors. Recently, roles for unphosphorylated STAT3 (U-STAT3) have been described in response to cytokine stimulation, in cancers, and in maintenance of heterochromatin stability. It has been reported that U-STAT3 dimerizes, shuttles between the cytoplasmic and nuclear compartments, and binds to DNA, thereby driving genes transcription. Although many reports describe the active role of U-STAT3 in oncogenesis in addition to phosphorylated STAT3, the U-STAT3 functional pathway remains elusive.

In this report, we describe the molecular mechanism of U-STAT3 dimerization and we identify the presence of two intermolecular disulfide bridges between Cys367 and Cys542 and Cys418 and Cys426 respectively. Recently, we reported that the same cysteines contribute to the redox regulation of STAT3 signaling pathway both *in vitro* and *in vivo*. The presence of these disulfides is here demonstrated to largely contribute to the structure and the stability of U-STAT3 dimer as the dimeric form rapidly dissociates upon reduction of the S-S bonds. In particular, the Cys367-Cys542 disulfide bridge is shown to be critical for U-STAT3 DNA binding activity. Mutation of the two Cys residues completely abolishes the DNA binding capability of U-STAT3. Spectroscopic investigations confirm that the non-covalent interactions are sufficient for proper folding and dimer formation, but that the interchain disulfide bonds are crucial to preserve the functional dimer. Finally we propose a reaction scheme of U-STAT3 dimerization with a first common step followed by stabilization through the formation of interchain disulfide bonds.

**Short title:** Dimerization of unphosphorylated STAT3

Keywords: STAT3, Disulfide Bridge, Dimerization, Aggregation.

Abbreviations: STAT3, signal transducer and activator of transcription 3; pSTAT3, phosphorylated STAT3; U-STAT3, unphosphorylated STAT3; JAK2, Janus kinase 2; SEC, Size-Exclusion Chromatography; EMSA, Electrophoretic Mobility Shift Assay; DLS, Dynamic light scattering; CD, Circular dichroism spectroscopy; SPR, Surface plasmon resonance.

#### **Introduction**

The signal transducer and activator of transcription 3 (STAT3) is a member of a family of seven closely related proteins that relay signals from activated cytokines and growth factor receptors in the plasma membrane to the nucleus, where they regulate gene transcription [1-3]. The domains arrangement is common to all STATs and encompasses five domains: the N-terminal domain (NTD), the coiled-coil domain (CCD), the DNA-binding domain (DBD), the linker domain (LD), the Src homology 2 domain (SH2) and the transcription activation domain (TAD) at the carboxy-terminus (Figure 1) [4, 5]. In addition to full length proteins, several shorter proteins exist arising from alternative splicing and proteolytic process [6].

According to the canonical pathway, STAT3 is activated in response to a wide range of growth factors and cytokines by phosphorylation at the specific tyrosine residue (Tyr705) located near the carboxy terminal domain. This activation step requires receptor associated protein tyrosine kinases of the JAK or Src family. Upon activation, the phosphorylated STAT3 (pSTAT3) molecules dimerize through the interaction of SH2 domain, and translocate from the cytoplasm to the nucleus. The dimer binds to target DNA motifs and promotes the expression of a large variety of genes encoding mediators crucial for the classic physiological acute phase response or involved in a variety of critical cells functions, including differentiation, proliferation, apoptosis, angiogenesis, metastasis, and immune response [7-9]. Several posttranslational modifications such as S-glutathionylation, methylation, acetylation and Snitrosylation, have also been identified for STAT3, some believed to control dimerization, others affecting the nuclear import/export or DNA binding [10-14]. Although STAT3 activation normally leads to the physiological response, deregulation of this transduction cascade could promote tissue damage and could be directly or indirectly involved in different inflammatory correlated diseases [15-19]. Furthermore, accumulating evidence shows that STAT3 is overexpressed and constitutively activated in several human cancers [5, 20, 21]. The abnormal constitutive activation of STAT3 stimulates its own transcription causing an increase  $\Lambda$ 

in unphosphorylated STAT3 (U-STAT3) which drives expression of a set of genes distinct from those activated by pSTAT3, thus contributing to tumorigenesis [22, 23]. Although it was initially reported that U-STAT3 is monomeric in its latent state, several studies indicate the presence of dimeric or higher molecular weight complexes, termed "statosome", in cell extracts or in *in vitro* studies [24, 25]. It has been reported that U-STAT3 dimerizes, shuttles between the cytoplasmic and nuclear compartments, and binds to DNA, but it is not clear how U-STAT3 drives genes transcription. Yang J. et al. described that U-STAT3 binds to unphosphorylated NFKB (U-NFKB), in competition with IKB, and the resulting U-STAT3/U-NF KB complex accumulates in the nucleus with help from the nuclear localization signal of STAT3, and activates a subset of NFKB-dependent genes [26]. Recently, using 100-fold molar excess, the U-STAT3 core (lacking the N-terminal domain) was shown to bind directly, to a GAS consensus sequence and also to AT-rich hairpin and cruciform DNA structure in the monomeric as well as in the dimeric form [27]. Although a number of reports describe the active role of U-STAT3 in oncogenesis in addition to pSTAT3, the U-STAT3 functional pathway still needs to be elucidated. Structural studies on U-STAT3 dimerization are necessary to clarify the conformational changes regulating its nuclear translocation and DNA binding.

In this report, we describe the molecular mechanism of U-STAT3 dimerization in the recombinant purified form. We identified the presence of two intermolecular disulfide bridges linking Cys367 and Cys542 and Cys418 and Cys426 respectively. Then, we investigated U-STAT3 stability by using biophysical approaches showing that dimerization of U-STAT3 are crucial for DNA binding activity. Two double mutants, constructed by changing either Cys pair into Ser residues, demonstrated that the absence of the Cys367-Cys542 disulfide completely abolishes U-STAT3 DNA binding ability. Finally, on the basis of our results, we propose a multistate equilibrium process for U-STAT3 dimerization.

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#### **Experimental procedures**

#### Reagents

All chemicals used throughout the present study were of the highest analytical grade, purchased from Sigma, Milan, IT, unless otherwise specified.

#### Expression and purification of wild-type and mutants U-STAT3

The full-length cDNA coding for human STAT3 (STAT3 $\alpha$ ) cloned on a pOTB-STAT3 vector was purchased from ImaGENES. STAT3 $\alpha$  expression construct coding for aminoacid residues 124-723 (U-STAT3) (Figure 1) was amplified by polymerase chain reaction (PCR) and cloned into the Sal I-Not I site of the expression vector pGEX4T1 (GE Healthcare) and C367/542S and C418/426S U-STAT3 mutants were generated by QuikChange site-directed mutagenesis kit (Stratagene) and cloned into the Sal I-Not I site of the expression vector pGEX4T1. The U-STAT3 plasmids obtained were transformed into the *E.coli* strain BL21 (DE3, New England BioLab inc). Recombinant clones were analyzed by DNA sequence. The recombinant proteins were purified by Glutathione Chromatrix<sup>™</sup> (Jena Bioscience) and eluted in 20 mM Tris HCl, pH 8.5 and 150 mM NaCl [11].

#### Size-Exclusion Chromatography (SEC)

Analysis of the aggregation profiles of U-STAT3 were performed with a Superdex 200 HR 10/300 column (GE-Healthcare), connected to a ÄKTA-FLPC purifier system (GE-Healthcare) and equilibrated with 20 mM TRIS-HCl, pH 5.0 or 8.5/150 mM NaCl buffer. The column was calibrated with 7 molecular mass standards (ferritin 420 kDa; aldolase 158 kDa; bovine serum albumin 66 kDa; lactoalbumin 45 kDa; carbonic anydrase 29 kDa; RNAsi 13.7 kDa).

100-500 µL of U-STAT3 (0.5-1 mg/mL) were incubated under different experimental conditions and centrifuged (20,000xg, 4°C,10 min) to discard large aggregates. The supernatant was then injected onto the column and eluted at a flow rate of 0.12-0.15 mL/min. Analysis of the patterns obtained and measurement of the areas of the peaks were performed with the Unicorn 5.01 Software (GE Healthcare).

#### STAT3/JAK2 kinase assav

STAT3/JAK2 kinase assay was performed using recombinant JAK2 active protein (Upstate Biotechnology), as described elsewhere [11, 28, 29] with slight modification. Briefly, kinase reactions were performed by incubating 1 µg of U-STAT3 with 10 ng of JAK2 protein in 30 µ of kinase reaction buffer (20 mM TRIS-HCl, pH 7.5, 50 mM MgCl<sub>2</sub>, 100 µM ATP). Samples were incubated at 20°C for 10 min within the linear reaction range and reactions were stopped by the addition of reducing SDS sample buffer. Samples were then boiled, separated by SDS-PAGE, and immunoblotted with anti-pTyr<sup>705</sup> STAT3 antibody (Cell Signaling Technology). To verify the autophosphorylation of JAK2, membranes were rehybridized with anti-pTyr<sup>1007/1008</sup> JAK2 antibody (Millipore). After being stripped, the membranes were rehybridized with anti-STAT3 K-15 antibody (Santa Cruz Biotechnology) and anti-JAK2 antibody (Cell Signaling Technology).

# Electrophoretic Mobility Shift Assay-EMSA

The DNA binding activity of U-STAT3 was assayed with a  $^{32}P$ -labeled M67 probe (5'gtcgaCATTTCCCGTAAATCg-3'). The probe was prepared by the end-labeling of doublestranded oligonucleotides with  $\int^{32}$ PJATP and T4-polynucleotide kinase. 0.1 µM U-STAT3 was incubated with  $2-5\times10^4$  cpm of  $32P$ -labeled double-stranded oligonucleotides in binding buffer containing 20 mM HEPES pH 7.9, 50 mM KCI, 0.1 mM EDTA, 2 µg of poly(dl-dC), 1 µg of salmon sperm DNA. Products were fractionated on a non-denaturing 5% polyacrylamide gel. Gels were dried and auto-radiographed, and the intensity of hybridization was quantified using the public domain NIH Image 1.61 program (developed at the U.S. National Institutes of Health and available on http://rsb.info.nih.gov/nih-image/). To control the DNA binding specificity, a scrambled M67 sequence was used.

#### Mass spectrometry analysis

The protein band showing the electrophoretic mobility compatible with U-STAT3 dimer on a non reducing gel, was excised from the gel, destained by washings with acetonitrile and 50 mM ammonium bicarbonate and hydrolyzed with 20 ng of trypsin in 50 mM ammonium bicarbonate, pH 8.0, at 37 °C overnight. The peptide mixture was extracted from gel by acetonitrile and formic acid 0.2% washings and dried in a speed-vac system. It was then directly analyzed by MALDI-MS onto a 4800 plus MALDI TOF-TOF mass spectrometer (ABI SCIEX) equipped with a reflectron analyzer and used in delayed extraction mode with 4000 Series Explorer v3.5 software. An aliguot of the same peptide mixture was treated with 50 mM DTT in 50 mM ammonium bicarbonate, pH 8.0 at 37 °C for 1 h, in order to reduce disulfide bonds. The peptide mixture was then acidified and directly analyzed by MALDI-MS.

For MALDI analysis, 0.5 µL of each peptide mixture was mixed with an equal volume of  $\alpha$ cyano-4-hydroxycynnamic acid as matrix (10 mg/mL in 0.2% TFA in 70% acetonitrile), loaded onto the metallic sample plate, and air-dried. Mass calibration was performed with the standard mixture provided by the manufacturer. MALDI-MS data were acquired over a 600-6000 m/z mass range in the positive ion reflector mode.

# Dynamic light scattering

DLS measurements were performed on samples of monomeric U-STAT3 as described earlier [30] in 20 mM Tris-HCl pH 8.5, 150 mM NaCl frozen immediately after their purification with SEC. In brief, a Zetasizer Nano-S (Malvern Instruments) and polystyrene low volume disposable sizing cuvettes (ZEN0112) were used for the experiments. Viscosity and refractive index were set at 0.8872 cP and 1.330 (default values for water), temperature was set to 10 °C, with 10 min equilibration time. The measurement angle was 173° backscatter and the analysis model was set to multiple narrow modes. For each measurement, a minimum of 30 determinations were performed, each consisting of 12-16 repetitions. The same sample was stored at 10 °C between subsequent measurements. Each recording lasted approx. 2 h and experiments were run for 4-day in the morning and in the afternoon, with an interval of approximately 4 h between subsequent experiments run on the same day.

#### Circular dichroism spectroscopy and thermal denaturation studies

CD spectroscopy studies were performed essentially as described earlier for U-STAT3 [11]. A Jasco V-710 spectropolarimeter equipped with a Peltier type thermostated cell holder was used to record both near-UV (250-320 nm; 1-cm cuvette) and far-UV spectra (200-250 nm; 0.1 cm cuvette) of monomeric and dimeric U-STAT3, right after separation by SEC. Spectra were recorded at 25 °C at a scan rate of 50 nm/min, bandwidth of 1 nm and integration time of 4 s. Five spectra accumulations were averaged for each sample.

Thermal denaturation of both monomeric and dimeric U-STAT3 was monitored between 10 °C and 96 °C using the same conditions as for the far-UV spectra. The ellipticity signal at 208 nm  $(\theta_{208})$  was recorded at a scan rate of 1°C/min and at a response time of 4 s, using a 0.1 cm quartz cuvette.

The analysis of thermal denaturation curves was performed for each sample assuming a twostate transition process. Complete unfolding was achieved for both monomeric and dimeric U-STAT3 under the experimental conditions used, as shown by the residual CD signal resulting after thermal denaturation (results not shown). Data were fitted according to a 4-parameter Hill sigmoid:

$$
y = b_n + \frac{|b_n - b_u| T^H}{T_m^H + T^H}
$$

 $\mathsf{q}$ 

where  $b_n$  is the baseline value ( $\theta_{208}$ ) of the native protein,  $b_u$  is the baseline value of the unfolded protein, T is the temperature, H is the Hill coefficient and  $T_m$  is the melting temperature.

#### Surface plasmon resonance

The kinetics of U-STAT3 dimerization was examined by SPR using SensQ Pioneer biosensor system at a controlled temperature of 15 °C. The SEC purified U-STAT3 monomer ("ligand STAT3", <sup>L</sup>STAT3), was dissolved in sodium acetate buffer, pH 5.0 and immobilized onto COOH5 sensor chip (Sens.Q Technologies), by standard amine-coupling reaction up to responses of 5000 resonance units (RU). A reference flow cell was prepared by the same procedure in the absence of protein. Increasing concentrations (0.05x  $10^{-6}$  M up to 2.5x10<sup>-6</sup> M) of recombinant U-STAT3 ("analyte STAT3", <sup>A</sup>STAT3) were injected at a flow rate of 10 µL/min for 30 min in running buffer (20 mM TRIS, pH 8.5, 150 mM NaCl) and the dissociation of bound proteins was monitored for 60 min. For all analyses, reference flow cell sensorgrams were subtracted from ligand flow cell sensorgrams.

The association and dissociation rate constant  $k_a$  and  $k_d$ , respectively for the <sup>L</sup>STAT3–<sup>A</sup>STAT3 complexes, were determined by direct curve fitting of the sensorgrams, assuming a 1:1 Langmuir model according to equations (1) and (2), relative to the association and dissociation phases, respectively:

 $dRU/dt = ka[ClRUmax -(ka[Cl+kd)RU (1)]$ 

$$
dRU/dt = k dRUto * e-kd (t-t0)
$$
 (2)

where RU is the signal response; RUmax, the maximum response level; RU $t_0$ , the response at the beginning of the dissociation phase, and [C] the molar concentration of <sup>A</sup>STAT3. The dissociation equilibrium constant,  $K<sub>D</sub>$ , was calculated as kd/ka. The dissociation phase was analyzed first and the obtained kd value (eq.  $(2)$ ) was kept constant and used in eq.  $(1)$ ). Kaleidagraph software (version 6.0; GraphPad software, Inc.) was used for the analysis of SPR data.

#### **Results**

#### STAT3 dimerizes in the absence of tyrosine phosphorylation.

In order to evaluate the dimerization and aggregation propensity of recombinant U-STAT3, we performed Size Exclusion Chromatography (SEC) following protein incubation under different experimental conditions, including temperature, protein concentration, time and pH.

The protein extensively aggregates at temperature exceeding  $10^{\circ}$ C, thus several attempts were performed to settle the right compromise to obtain a large enough amount of dimer and to avoid extensive U-STAT3 aggregation. We evaluated the effect of U-STAT3 dimerization tendency at 10 °C in 20 mM Tris-HCl, pH 8.5, 150 mM NaCl until 5-day incubation time. As shown in Figure 2A, U-STAT3 (1 mg/mL) eluted at  $t_0$  as a major peak at about 15.4 $\pm$ 0.1 mL corresponding to a monomer with an apparent MW of approximately 80 kDa (-, solid line) preceded by a small shoulder. The major peak (M) and the preceding shoulder (D) were analyzed by non-reducing SDS-PAGE and immunoblotted using anti-STAT3 antibody (Figure 2A, insert). After 24 h incubation, the shoulder increased and eluted at about 14.3±0.1 mL, corresponding to a MW approximately twice that of the monomer. The shoulder D progressively increased if the incubation time is extended to 3-day, and after 5-day also larger aggregates were detected, together with species not retained by the column (Figure 2A). Following several attempts the best compromise to obtain a certain amount of dimeric U-

STAT3 but avoiding extensive aggregation were established, protein concentration 1 mg/mL, temperature 10°C, and 3-day incubation time.

To clarify the molecular mechanism of U-STAT3 dimerization, we studied the monomer/dimer equilibrium at different pH values, which likely requlate the reactivity of cysteine residues and might affect the intermolecular disulfide formation. U-STAT3 was incubated under the previously defined conditions in 20 mM sodium acetate buffer, pH 5.5, containing 150 mM NaCl. As shown in Figure 2B, U-STAT3 eluted as a single peak at 15.5±0.1 mL at pH 5.5, indicating that the protein is almost totally present in the monomeric form, while at pH 8.5 a consistent amount of dimeric form was present. The pH dependence of the monomer/dimer equilibrium suggests that intermolecular disulfide bridges might promote and stabilize the dimer formation.

#### Time-course of U-STAT3 dimerization monitored by dynamic light scattering

The results obtained with SEC (Figure 2A) suggested that dimerization of U-STAT3 might be a dynamic process involving specific time frames. In order to explore this possibility, we performed dynamic light scattering (DLS) experiments by monitoring the size of U-STAT3 species on a regular basis over a 3-day time frame, at  $10^{\circ}$ C (Sup. Figure 1). The suspension showed satisfactory colloidal properties and appeared to be substantially monodisperse during the first 2 h (Sup. Figure 1A), with a high intensity peak corresponding to a hydrodynamic diameter  $d_1 = 12.4 \pm 0.4$  nm, involving approximately 87% of the scattered light intensity and fairly low polydispersity index (pdl = 0.24). Already in the following 2 h the average size of the suspension increased and a population with higher hydrodynamic diameter appeared (Sup. Figure 1B). Interestingly, both the size of the most intense peak  $(d_1 = 16.0 \pm 0.9 \text{ nm})$  and the polydispersity index (pdl = 0.42) increased. The same trend was observed after overnight incubation (Sup. Figure 1C;  $d_1 = 18.2 \pm 1.1$  nm; pdl =0.41). However, starting from the second day, the size distribution appeared generally broader (Sup. Figure 1D) with the onset of intermediate size peaks, i.e. 20-100 nm (as shown in the plot of the mean size distribution overlapped to individual recordings in Sup. Figure 1K). The size distribution did not significantly change after a second and a third overnight incubation steps (Sup. Figure 1E-G). Addition of 15  $\mu\Box$ DTT, i.e. in slight excess to U-STAT3 concentration (approx. 10  $\mu$ M), did not significantly modify the size distribution (Sup. Figure 1H). In order to estimate the size of the most intense peaks, the sample underwent a mild centrifugation step (20,000xg, 4°C, 15 min), resulting in a predominant peak (Sup. Figure 1I) corresponding to a hydrodynamic diameter  $d_1$  = 18.2±1.2 nm, with an intensity of 77.4 % and fairly low pdl (0.26). Interestingly, further addition of DTT to a final concentration of 2 mM, without any further centrifugation, significantly decreased the size of the predominant peak ( $d_1$  = 13.9 $\pm$ 1.5 nm), bringing it back to values essentially comparable to the initial monomeric conditions (Sup. Figure 1A and 1K). The results of DLS experiments are consistent with a dynamically slow formation of STAT3 oligomers under the conditions tested. Moreover, the clearly visible effect of DTT on the size distribution of U-STAT3 species, strongly suggests the involvement of disulfide bridges in the dimerization/oligomerization process.

# Interchain disulfide bonds are involved in STAT3 dimer stabilization

To better characterize the U-STAT3 dimerization, the dimeric fraction eluted from SEC was collected and divided in two aliguots. The first one was immediately incubated for 4 h in ice with DTT in a 1/1.2 protein/reducing agent molar ratio and then re-examined by SEC. The second aliquot was directly re-injected onto the column (Figure 3A, —— dashed line). In the presence of DTT, U-STAT3 eluted as a highly predominant peak at a volume corresponding to the MW of the monomeric form with a minor residual of dimeric peak (Figure 3A, — solid line). The untreated portion of the dimeric U-STAT3 fraction exhibited the expected elution volume

corresponding to the protein dimeric form. These data further provide strong evidence for the presence of interchain disulfide bonds in the U-STAT3 dimeric form.

Recently, the U-STAT3 core had been shown to bind directly to a GAS or cfos consensus sequence [27, 31]. Hence, the DNA binding activity of both monomeric and dimeric U-STAT3 was evaluated here by EMSA analysis using M67 dsDNA that is a modified cfos inducible enhancer. Figure 3B reveales that dimeric U-STAT3 was able to bind DNA (Figure 3B, lane 1) whereas monomeric U-STAT3 did not show any DNA binding capability (Figure 3B, lane 2). The addition of 1 mM DTT to the binding buffer noticeably decreased the DNA binding activity of dimeric U-STAT3, thus demonstrating the contribution of interchain disulfide bonds in U-STAT3 functional activity (Figure 3B, lane 8).

#### Interchain disulfide bonds assigment

The identification of cysteine pairings in U-STAT3 dimer was carried out by using the MALDI mapping strategy [11]. The dimeric fraction of recombinant U-STAT3 protein purified by SEC was loaded onto a non-reducing polyacrylamide gel in order to further purify the dimer from monomer contamination. The protein band showing the electrophoretic mobility expected for U-STAT3 dimer was excised from the gel and digested with trypsin. The resulting peptide mixture was extracted from the gel and directly analyzed by MALDI-MS. Most of the signals recorded in the mass spectra were mapped onto the anticipated U-STAT3 sequence. However, two signals occurring at m/z 3565.9, and 3733.9, respectively (Figure 4), could not be assigned to any peptide present in the protein sequence and were then tentatively considered as putative S-S containing fragments. The signal at m/z 3565.8 was interpreted as arising from peptides (366-382) and (532-548) linked by a disulfide bond between Cys367 and Cys542. Analogously, the MH<sup>+</sup> signal at  $m/z$  3733.9 was assigned to a two-peptide cluster involving fragments (418-423) and (424-451) linked by the disulfide bond between Cys418 and Cys426. Table 1 reports the results of the MALDI analysis of the peptides containing disulfide bond(s).

These findings were confirmed after re-examining by MALDI-MS the peptide mixture resulting after reduction with DTT, which showed the disappearance of the S-S bridges containing signals (data not shown).

# Dimerization of U-STAT3 mutants

The role played by the identified cysteines in U-STAT3 dimerization and the DNA binding activity was evaluated by generating two double mutants in which both the two cysteine pairs involved in S-S bonds were substituted with serine. As demonstrated by in vitro tyrosine kinase assay, the tyrosine phosphorylation site was not affected in the two mutants, thus indicating that the mutations did not alter the gross conformation of the protein (Sup. Figure 2). As expected, SEC analyses clearly indicated that both U-STAT3 mutants were present in the dimeric form, due to the presence of the remaining S-S bridge (Figure 5A and 5B, - solid line). In the presence of DTT, in fact, both mutants eluted as a highly predominant peak associable to a MW corresponding to the monomeric form (Figure 5A and 5B,  $\cdots$  dot line). However, subtle differences could be detected in the two mutants. The mutant lacking the Cys367-Cys542 disulphide bond produced a major unresolved peak (Figure 5A), whereas the absence of the Cys418-Cys426 bridge gave rise to an elution profile similar to the one shown by wild type U-STAT3, although with a definitely minor amount of dimeric form. On the basis of these results, we speculate that the lack of Cys367-Cys542 intermolecular disulfide should trigger structural changes that alter the overall conformation of the dimeric species.

The DNA binding capability of U-STAT3 mutants was investigated by EMSA analyses. According to our hypothesis, only the DNA binding capability of U-STAT3 lacking the Cys367-Cys542 disulfide was completely abrogated (Figure 3B, lane 3). Suggesting an essential role of this disulfide bridge in conferring to dimeric U-STAT3 the proper conformation to bind DNA.

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On the other hand, U-STAT3 lacking the Cys418-Cys426 disulfide bridge still retains a little DNA binding ability due to the presence of small amount of U-STAT3 dimer (Figure 3B, lane 4), again underlining the importance of Cys367-Cys542 S-S for the stability of a functional dimer.

STAT3 monomer and dimer have similar secondary and tertiary structure and thermal stability In order to check for structural differences between monomeric and dimeric U-STAT3 forms, the fractions collected from SEC were analyzed by circular dichroism (CD) spectroscopy. As shown in Sup. Figure 3, no substantial differences could be observed in the spectra both in the far (200-250 nm) and in the near UV (250-320) range, indicating that dimeric U-STAT3 preserves monomer-like secondary and tertiary structures under the tested conditions.

To investigate the thermal stability of both monomeric and dimeric U-STAT3 species we took advantage of the high  $\alpha$ -helix content of the protein, which is reflected by the typical minima in the far UV CD spectra at 208 and 222 nm (Sup. Figure 3). The ellipticity at 208 nm ( $\theta_{208}$ ) was monitored also at increasing the temperature from 10 to 96 °C (Figure 6). Monomeric and dimeric U-STAT3 showed very similar thermal denaturation profiles. Both forms exhibited a sigmoidal trend compatible with a two-state unfolding process, with very similar melting temperatures  $(T_m$ <sup>mon</sup>=57.9°C and  $T_m$ <sup>dim</sup>=59.1°C) and very high Hill coefficients (26.5 for the monomer, 36.9 for the dimer), indicative of a highly cooperative transition process occurring without the involvement of partly folded intermediates. This finding, together with the very similar spectroscopic features observed for the monomeric and dimeric forms of U-STAT3 (Sup. Figure 3), suggests that the thermal unfolding of the monomers within the covalently bound dimer essentially occurs independently of one another.

Association/dissociation kinetics of U-STAT3 monomers investigated by surface plasmon resonance

In order to characterize the specific binding event occurring between U-STAT3 monomers, surface plasmon resonance (SPR) was performed on 20 different injections, assuming a simple dimerization scheme in which homodimerization was modeled as a pseudo-first order process, while the dissociation reaction as a first order process. The SEC purified U-STAT3 monomer (ligand STAT3, <sup>L</sup>STAT3) was immobilized on a sensor chip at 5000 RU (corresponding to approximately 8 ng of protein) and the SEC purified U-STAT3 monomer (analyte STAT3, <sup>A</sup>STAT3) was used as the analyte. The concentration of <sup>A</sup>STAT3 ranged between 0.05 uM and 3 uM. Interestingly, within a broad range of <sup>A</sup>STAT3 concentrations  $(0.05 \mu M \text{ up to } 0.25 \mu M)$ , the proposed kinetic model nicely fitted the experimental data (Figure 7), leading to relatively low rate constants for both association (ka =  $4.19x10^{4} \pm 0.98x10^{4}M^{-1}s^{-1}$  and dissociation kinetics (kd =  $3.94x10^{4} \pm 0.13x10^{-4}s^{-1}$ ), thus resulting in an average apparent affinity  $K<sub>D</sub> = 9.40$  nM. However, higher concentrations of  $\textsuperscript{A}$ STAT3, in the range 0.4  $\mu$ M - 3  $\mu$ M, resulted in association paths that were incompatible with the proposed mechanism, as neither a clear dependence on the analyte concentration nor saturation after injection could be observed in any case (data not shown).

At the tested SPR experimental conditions, the protein-binding detected mimics the dimerization process measured as the ability of <sup>A</sup>STAT3 to bind to the immobilized <sup>L</sup>STAT3, but it cannot reveal the formation of disulfide bridges, an event whose detection requires conditions and time-frames that are unsuitable for on-chip analysis.

#### **Discussion**

Recent studies demonstrate that, besides pSTAT3, also U-STAT3 can dimerize, translocate to the nucleus, and bind to the STAT3 binding sites, thereby activating the transcription of target genes. In the present paper, the biochemical properties of purified recombinant U-STAT3

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were investigated to understand the molecular mechanisms underlying U-STAT3 dimerization. The STAT3 core (residues 124-723) lacking the NT-domain was expressed in *E.coli* strain BL21, and the identity of the eluted proteins was confirmed by immunoblot analysis that results in a major protein band of approximately 67kDa and by MALDI mapping. The correct folding and biological activity were assessed by CD measurements and protein kinase assay [11]. Non-reducing SDS-PAGE, gel filtration and DLS experiments suggested the existence of intermolecular disulfide bond linking two U-STAT3 monomers. Mass mapping experiments confirmed these data indicating the occurrence of two intermolecular S-S bridges joining Cys367-Cys542 and Cys418-Cys426 respectively. The presence of these disulfides was demonstrated to largely contribute to the structure and stability of U-STAT3 dimer, as the dimeric form rapidly dissociates upon reduction of the S-S bonds.

Dimerization is crucial for the biological activity of U-STAT3, as only the dimeric form is able to bind DNA and to promote gene expression. In this respect, the interchain disulphide bridges play a fundamental role as they substantially contribute to the stabilization of the protein dimer. In particular, the Cys367-Cys542 disulfide bridge has been shown here to be critical for U-STAT3 DNA binding activity. Mutation of these two Cys residues completely abolished the DNA binding capability of U-STAT3, although the protein is still in the dimeric form, indicating that the absence of this S-S bridge might induce structural changes altering the overall conformation of the dimeric species. Recently, we reported that modification of the intracellular redox state induces inhibition of STAT3 activity through the reversible oxidation of thiol groups and identified two glutathionylated cysteine residues, Cys328 and Cys542, within the DNAbinding domain and the linker domain respectively [11], and further partially glutathionylated Cys367 and Cys426 (unpublished data). The involvement of the same cysteine residues in the disulfide-bond mediated U-STAT3 dimerization suggests that these S-S bridges could stabilize the dimer formation in an in vivo system, thus originating the correct conformation for DNA binding. Disulfide bond formation is rather rare ev, ent within the reducing environment of 18

cells. The recent discovery of a number of cytosolic proteins that use specific and reversible disulfide bond as a functional switch suggests that this view needs to be revised. Redoxsensitive proteins possess cysteine residues that exist as thiolate anions at neutral pH due to the lowering of their  $pK_a$  values by charge interactions with neighboring amino acid residues, and are therefore more vulnerable to oxidation [32]. In the cytoplasm, multiple pathways are involved in the reduction of disulfide bonds that occur as part of the catalytic cycle of a variety of metabolic enzymes. Recent reports pointed out that STAT3 exists in the cytosol in complex with the disulfide isomerase ERP57 and advanced the occurrence of a sophisticated redox regulation of the protein activity. Anyway, it should be noted that some conflicting results have also been reported on STAT3/ERp57 interaction and function [25, 33-36]. Thus, our findings upon U-STAT3 dimer stabilization through S-S interchain bonds formation, open up new scenarios on STAT3 signaling suggesting that the chaperone ERp57 may regulate signaling by sequestering inactive and activated STAT3.

In a very recent work, Sgrignani et al. [37] used an integrated modeling approach based on protein-protein docking and molecular dynamics simulations to predict the three-dimensional architecture of U-STAT3 dimers, resulting in two distinct, non-covalent dimers with significantly different interfaces. The spatial distribution of Cys328, Cys542, Cys367 and Cys426 in the resulting computational models is not compatible with the formation of the two disulfide bonds observed in the present study. However, it should be noted that the three dimensional structure of the two predicted U-STAT3 dimers is mutually exclusive and involves substantially different regions of the NT- and SH2-domains, thus suggesting that, at least in the first steps, the dimerization of U-STAT3 occurs by non-covalent interactions that may involve a rather plastic interface. This concept is fully in line with our results, as our spectroscopic investigations confirmed that non-covalent interactions are sufficient for proper folding and dimer formation, but that interchain disulfide bonds are needed to preserve and stabilize the functional dimer. Overall, the presented data allow us to propose a reaction scheme for U-19

STAT3 dimerization in a three-state process by means of two kinetic barriers or in a four-state by means of three kinetics barriers. The proposed U-STAT3 dimerization process occurs through initial rapid non-covalent interaction between U-STAT3 core fragments eventually stabilized by the formation of disulfide bonds (Figure 8).

It has been reported that STAT3 performed its function through protein-protein interactions, many of which depend on NT-domains and that NT-domain interactions are fundamental for dimer formation and stability [38, 39]. Our data do not exclude the importance of initial noncovalent NT-NT domains interaction in STAT3 dimer formation, but underline that non covalent interactions might occur even in the recombinant protein lacking the NT-domain, suggesting that other regions of U-STAT3 may compensate for the absence of the dimerizing interactions normally contributed by the NT-domain. Thus, further studies are needed to clarify the molecular mechanism of U-STAT3 dimerization.

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#### **Figure legends**

**Figure 1. Structure of U-STAT3.** Schematic representation of the six domains of STAT3, Ndomain (NT), coiled-coil domain (CCD), DNA binding domain (DBD), linker domain (LD), a Src homology 2 domain (SH2) and transcriptional activation domain (TAD). A tail segment containig the phosphorylation site Y705 is locad between the SH2 and TAD domains. The construct used (U-STAT3) comprises residues 124–723 and lacks the N-terminal cooperativity domain as well as the C-terminal transactivating domain.

Figure 2. A) Time-dependence of U-STAT3 self-association. Protein (1 mg/mL) was incubated at 10 °C in 20 mM TRIS-HCI buffer, pH 8.5 containing 150 mM NaCl. Aliquots of 200 µL were withdrawn at times indicated in the panel, centrifuged, and supernatants were injected onto a Superdex 200 HR 10/300 column equilibrated with the same buffer. Insert: non-reducing SDS-PAGE and Western Blot with anti-STAT3 antibody analyses of monomer (M) and dimer (D) U-STAT3. Bands at about 67 kDa and 130 kDa were revealed and assigned to U-STAT3 monomer and dimer species, respectively. **B) pH-dependence of U-STAT3 self-association.** Protein (1 mg/mL) was incubated at 10°C either in 20 mM sodium acetate pH 5.5 (solid line) and 20mM TRIS-HCI buffer pH 8.5 (dashed line) both containing 150 mM NaCl. Following 3-day incubation, samples were centrifuged, and 200 µL of the supernatant were injected onto a Superdex 200 HR 10/300 column.

Figure 3. A) Effect of DTT on dimeric U-STAT3. The dimeric U-STAT3 fraction eluted from SEC was collected and divided in two aliguots. The first one was immediately re-injected onto the Superdex 200 HR 10/300 column (dashed line), while the other was incubated with DTT for 4 h and then was injected in the same column (solid line). B) DNA binding activity of U-STAT3. U-STAT3 dimer (lane 1), U-STAT3 monomer (lane 2), C367/542S mutant (lane 3) and C418/426S mutant (lane 4) were incubated with M67 dsDNA, and analysed by EMSA. U-STAT3 dimer was also incubated with M67 dsDNA in the presence of 10 uM DTT (lane 8).

As a negative control, U-STAT3 dimer (lane 5), C367/542S mutant (lane 6) and C418/426S mutant (lane 7) were incubated with M67 scramble dsDNA.

The image is representative of 4 independent experiments.

Figure 4. Partial MALDI-MS spectra of the tryptic digest from STAT3 dimeric form. The dimeric U-STAT3 fraction eluted from SEC was digested with trypsin and the resulting peptide mixture directly analysed by MALDI-MS. The mass signals at 3565.9 and 3733.9 were associated to the peptides pairs 366-382 and 532-548 and 418-423 and 424-451 respectively jointed by the disulfide bridges Cys367-Cys542 and Cys418-Cys426 respectively.

Figure 5. Dimerization of U-STAT3 mutants. The two U-STAT3 double mutants (1 mg/mL) were incubated at 10°C in 20 mM TRIS-HCI buffer, pH 8.5 containing 150 mM NaCI, After 3day incubation, samples ware centrifuged, and 200 µL of the supernatants were injected onto a Superdex 200 HR 10/300 column and compared with WT. For S-S bridges reduction, samples were treated with 2 mM DTT for 4 hs and then re-injected onto the column. Panel (A) represents the C367/542S U-STAT3 mutant and (B) the C418/426S U-STAT3 mutant.

Figure 6. Thermal denaturation of U-STAT3 monitored by CD spectroscopy. Thermal denaturation of both monomeric and dimeric U-STAT3 in 20 mM TRIS-HCl buffer, 150 mM NaCl, pH 8.5 was followed by monitoring the ellipticity signal at 208 nm over a 10-96 °C  $24$ 

temperature range. Protein concentration was approximately 1 mg/mL for the monomer and 0.5 mg/mL for the dimer. Quantitative data obtained by fitting the experimental curve to a sigmoidal function led to the following melting temperatures, corresponding to half maximal denaturation conditions:  $T_m^{\text{mon}} = 57.9^{\circ}$ C (Hill coefficient h = 26.5) for the monomer, and  $T_m^{\text{dim}} =$ 59.1 °C (h = 36.9) for the dimer.

Figure 7. Kinetic analysis of U-STAT3 dimerization. Panel (A) and (B) represent reference subtracting association and dissociation sensorgram corresponding to 125 nM of <sup>A</sup>U-STAT3. Data were fitted according to a simple concerted model, which separately considers the dissociation (kd) and the association processes (ka) (black lines). The obtained kinetic parameters for this example are kd = 4.13 x 10<sup>-4</sup> s<sup>-1</sup> and ka = 3.2x10<sup>4</sup> M<sup>-1</sup>s<sup>-1</sup>. Mean and standard deviations for kinetic parameters were assessed from 20 different experiments in which  $I^A$ U-STAT31 varied from 0.05  $\mu$ M to 0.3  $\mu$ M.

Figure 8. Schematic representation of U-STAT3 dimerization. The three-state and fourstate pathways proposed for U-STAT3 dimerization process and leading to the same active product are depicted. Our data cannot discriminate between the two proposed pathways.



A



B



B



Free oligo





B

A



# Thermal denaturation STAT3







active covalent U-STAT3 dimer

# **Tables**

Table 1. MALDI-MS analysis of disulfide bridges containing peptides from the tryptic digest of dimeric U-STAT3. The theoretical MH<sup>+</sup> values of reduced peptides are also indicated.

