

Acidity Constants of the Hematite-Liquid Water Interface from ab-initio Molecular Dynamics

Oliver R. Gittus, Guido F. von Rudorff, Kevin M. Rosso, and Jochen Blumberger

J. Phys. Chem. Lett., **Just Accepted Manuscript** • DOI: 10.1021/acs.jpcllett.8b01870 • Publication Date (Web): 04 Sep 2018

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7 **Acidity Constants of the Hematite-Liquid Water**
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10 **Interface from *ab-initio* Molecular Dynamics**
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15 Oliver R. Gittus^a, Guido Falk von Rudorff^b, Kevin M. Rosso^c, and Jochen Blumberger^{b*}

16 E-mail:

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18 * to whom correspondence should be addressed:

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20 e-mail: j.blumberger@ucl.ac.uk (J.B.)
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23 ^a Department of Chemistry, University College London, London WC1E 6BT, UK.

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25 ^b Department of Physics and Astronomy and Thomas Young Centre, University College London,
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27 London WC1E 6BT, UK.
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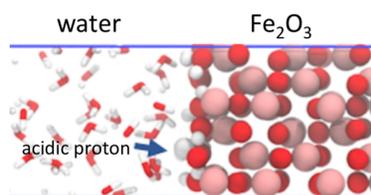
29 ^c Physical Sciences Division, Pacific Northwest National Laboratory, Richland, Washington
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31 99352, United States.
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56 *To whom correspondence should be addressed
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Abstract

The interface between transition metal oxides (TMO) and liquid water plays a crucial role in environmental chemistry, catalysis and energy science. Yet, the mechanism and energetics of chemical transformations at solvated TMO surfaces is often unclear, largely because of the difficulty to characterize the active surface species experimentally. The hematite (α -Fe₂O₃)-liquid water interface is a case in point. Here we demonstrate that *ab-initio* molecular dynamics is a viable tool for determining the protonation states of complex interfaces. The pK_a values of the oxygen-terminated (001) surface group of hematite, ≡OH, and half-layer terminated (012) surface groups, ≡²OH and ≡¹OH₂, are predicted to be (18.5 ± 0.3), (18.9 ± 0.6) and (10.3 ± 0.5) pK_a units, respectively. These are in good agreement with recent bond-valence theory based estimates, and suggest that the deprotonation of these surfaces require significantly more free energy input than previously thought.

Graphical TOC entry



$$\text{p}K_{\text{a}} \approx \frac{1}{k_{\text{B}}T \ln 10} \int_0^1 d\eta \langle \Delta E \rangle_{\eta}$$

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3 The hematite-liquid water interface (HLWI) plays an important role in many areas of the chem-
4 ical sciences, especially in atmospheric colloid chemistry,¹ surface water and soil chemistry,² het-
5 erogeneous catalysis,³ chemical energy storage⁴ and energy harvesting,⁵⁻⁹ to name a few. This is
6 in part because hematite is abundant, cheap and stable in aqueous environments over a wide pH
7 range. In particular, the potential use of hematite as photoanode material for photocatalytic water
8 splitting has attracted much interest and motivated increasingly many studies of the HLWI.¹⁰⁻¹²
9 Continuing efforts to improve the photocatalytic properties of hematite, for example via nanos-
10 tructuring¹³⁻¹⁵ and doping,¹⁶ would benefit from a better knowledge of atomic-scale structure and
11 interfacial processes.

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13 For a better understanding of any interface, the interactions between solvent and solute are
14 key. In the context of the HLWI, this includes the pH-dependent interactions between the sur-
15 face and liquid water, with acid-base chemistry arising from the types and arrangement of Fe³⁺-
16 coordinating aquo/hydroxy groups. Solid surfaces can develop local charge imbalances that affect
17 the apparent equilibrium constants of interfacial reactions; these electrostatic effects are highly
18 variable with the pH and ionic strength of solution.¹⁷ Intrinsic acidity constants correspond to the
19 surface site in pure water divorced from these electrostatic effects. The intrinsic p*K*_a values of
20 surface functional groups not only determine the protonation state of the system and the energetics
21 of interfacial proton transfer reactions at a given pH, but also provide a link between experiment
22 and theory. (With the caveat that intrinsic p*K*_a values cannot truly be accessed by experimental
23 methods directly, without modeling assumptions about electrostatic factors arising from the com-
24 position and structure of the aqueous solution. Even second-harmonic generation spectroscopy
25 techniques, which probe the surface potential, are calibrated based on double layer or constant
26 capacitance models,^{18,19} and in recent works¹⁹ rely on additional modelling assumptions (Gouy-
27 Chapman-Stern) to retrieve spatially resolved p*K*_a values.) Along with surface composition and
28 electrical double layer structure, they also control surface speciation and the sorption of ions. De-
29 spite their significance, p*K*_a values of HLWI surface groups remain largely unclear: they are not
30 known to within chemical accuracy, if at all.^{20,21}

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3 The modified^{22–24} MUltiSIte Complexation (MUSIC) model^{25,26} and the more recent model
4 of Bickmore *et al.*^{17,27} are two of the most successful empirical models for the pK_a prediction of
5 surface functional groups. However, their respective estimates for HLWI surface groups differ by
6 up to 10 pK_a units.^{20,21} Indeed, there are multiple reasons to doubt whether existing bond-valence
7 theory (BVT) based models can consistently predict accurate pK_a values for oxide surfaces; in
8 the case of MUSIC: quantitative structure-activity relationships (QSARs) are calibrated based on
9 the structures of (hydr)oxyacid monomers in solution; unrelaxed metal-oxygen bond lengths from
10 bulk crystal structures are sometimes used, though surface relaxations are often non-negligible; the
11 assignment of surface O^{2-} coordination numbers is ambiguous; and others (see ref. 28).^{22,24,25,28}
12 Furthermore, density functional theory-based molecular dynamics (DFT-MD) simulations indi-
13 cate that H-bonding between hydr(oxo)-groups and water molecules adjusts to obey the valence
14 sum rule, rather than maintaining a fixed valence based on O atom coordination, as predicted by
15 MUSIC.¹⁷ Bickmore *et al.*'s model predicts acidity constants based on three main factors: bond
16 valence, metal-oxygen bond ionicity and molecular shape. Like MUSIC, it was calibrated using
17 (hydr)oxyacid monomers, and is only applicable to surfaces if there are very localized electrostatic
18 effects, such that the shape factor can be correctly predicted for surface functional groups.¹⁷

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Surface relaxation and steric constraints of adsorbates near the surface are likely to have a strong impact on the accuracy of BVT models, since the bond valences are most sensitive to the bond distance parameter R_0 .²⁴ This also highlights the importance of the reference data selection. While work based on crystal data has been successful in including hydrogen bond contributions,²² this seems to come from limited variation of $O \cdots O$ distances in the test cases. Using $O \cdots O$ distances as a more resilient alternative has been suggested early²⁹ to deal with the high donor hydrogen bond sensitivity to minor geometry changes. Equally relevant to the source of the parametrization data is the functional shape.³⁰ Originally chosen over alternatives for convenience reasons³⁰ such that the second distance parameter B can be fixed to an effective value²⁹ and, hence, reporting R_0 is sufficient, investigating alternative descriptions might yield more accurate bond valences.

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3 The BVT based models employ an empirical linear free energy relationship for the description
4 of solvent effects on pK_a . Eliminating such a phenomenological relation requires either monitoring
5 surface protonation as it evolves in MD simulations that allow for proton dissociation, or the ap-
6 plication of free energy sampling methods.³¹ The former approach has been applied to other iron
7 oxide (magnetite³² (Fe_3O_4) and goethite³³ ($FeO(OH)$)) interfaces using classical force fields with
8 dissociative water potentials. However, it is more difficult to model the HLWI using classical force
9 fields; for example, even CLAYFF force fields specifically modified for hematite are unable to
10 reproduce the atom number density profiles of surface protons from hybrid-DFT-MD simulations
11 of the (001)-HLWI.³⁴⁻³⁶ New force fields parameterized to reproduce the picosecond dynamics re-
12 ported in hybrid-DFT-MD simulations^{20,35,36} could be developed. Alternatively, MD simulations
13 using reactive force fields fitted to other quantum-chemical data could be employed. ReaxFF^{37,38}
14 has proven successful in modeling surface-water interactions for other metal oxides.³⁹⁻⁴⁵

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17 In this work we compute pK_a values for the hydroxy and aquo groups terminating the most
18 prevalent surfaces of hematite: (001) and (012) in contact with liquid water. We adopt the thermo-
19 dynamic integration scheme developed by Sprik and co-workers where both solute and solvent are
20 treated at the DFT level.⁴⁶⁻⁴⁸ This method has been successfully applied to several mineral-water
21 interfaces,^{31,49-52} but not to the HLWI, which is challenging to simulate due to the open-shell
22 $3d$ orbital character underlying the antiferromagnetic spin pattern of hematite. We obtain pK_a
23 values for the half-layer terminated (012) surface species directly from DFT-MD simulations at
24 GGA+U level with dispersion corrections, that are in good agreement with the recent BVT esti-
25 mates by McBriarty *et al.*²⁰ based on X-ray crystal truncation rod (CTR) diffraction data. Both
26 our calculations and recent BVT estimates suggest that the deprotonation of these surfaces require
27 significantly more free energy input than previously thought.⁵³

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29 In the following we briefly describe the thermodynamic integration method used to calculate
30 the pK_a values, as well as the simulation details. The simulation results are then presented, and
31 our DFT-MD pK_a values are compared to those previously reported in the literature. Finally, the
32 results are analyzed and discussed in terms of the bonding and solvation structure of protonated
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and deprotonated surface groups.

The aim is to compute the pK_a as

$$pK_a = \frac{\Delta G^\ominus}{k_B T \ln 10} \quad (1)$$

where ΔG^\ominus is the standard Gibbs free energy for acid-base dissociation:



where \equiv denotes the surface. We note in passing that ΔG^\ominus includes all free enthalpy terms including the work term required to transfer the proton from the surface to bulk aqueous solution. In condensed phase reactions the difference between ΔG^\ominus and the standard reaction free energy ΔA^\ominus is negligibly small, hence $\Delta G^\ominus \approx \Delta A^\ominus$. ΔA^\ominus is made amenable to a combination of condensed phase DFT-MD and gas phase quantum chemistry calculations using the thermodynamic cycle shown in Figure 1.

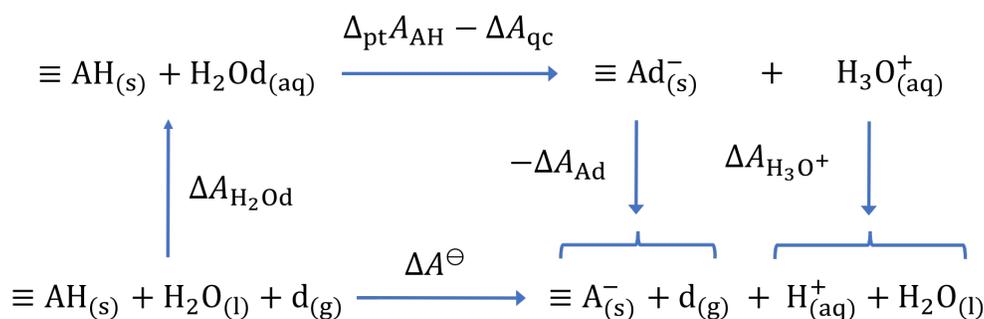


Figure 1: The thermodynamic cycle used to calculate the deprotonation free energy ΔA^\ominus and subsequently the pK_a via Eq. (1).

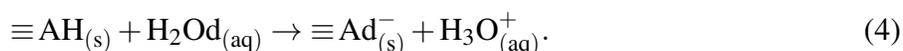
Starting with a water molecule in bulk water, a dummy proton d is connected to $\text{H}_2\text{O}_{(l)}$ to form species $\text{H}_2\text{Od}_{(aq)}$ at free energy cost $\Delta A_{\text{H}_2\text{Od}}$. The dummy proton is connected to the oxygen atom by harmonic springs, such that the geometry of H_2Od resembles that of a hydronium ion. The dummy proton does not interact with the system otherwise. The proton transfer reaction is achieved at cost $\Delta_{\text{pt}}A_{\text{AH}}$ by simultaneously transforming the acidic proton of surface species $\equiv \text{AH}_{(s)}$ into a

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3 dummy proton, and the dummy proton connected to $\text{H}_2\text{Od}_{(\text{aq})}$ into a proton. Finally, the dummy
4 proton is detached from $\equiv\text{Ad}^-_{(\text{s})}$ (free energy $-\Delta A_{\text{Ad}}$) and the hydronium ion is dissociated into a
5 water molecule and a solvated proton (free energy $\Delta A_{\text{H}_3\text{O}^+}$). In the case where $\Delta_{\text{pt}}A_{\text{AH}}$ is calculated
6 from a molecular dynamics simulation with classical nuclei, as is done here, a correction term
7 $-\Delta A_{\text{qc}}$ must be added to account for quantum nuclear effects. The $\text{p}K_{\text{a}}$ for Eq. (2) can then be
8 written as:
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$$16 \quad \text{p}K_{\text{a}} = \frac{1}{k_{\text{B}}T \ln 10} \left(\Delta_{\text{pt}}A_{\text{AH}} - \Delta A_{\text{qc}} + \Delta A_{\text{H}_2\text{Od}} - \Delta A_{\text{Ad}} + \Delta A_{\text{H}_3\text{O}^+} \right) \quad (3)$$

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20 We use a similar thermodynamic cycle as Mangold *et. al.*,⁵⁴ and readers are directed to this
21 reference for further details on the free energy terms. The only difference is that we consider
22 a proton transfer reaction instead of two deprotonation reactions. This is because a solid-liquid
23 interface is a highly inhomogeneous system, and the alchemical transformation must be carried
24 out in the same MD cell in order to maintain a constant electrostatic reference potential (i.e. the
25 Poisson potential shift has no effect as the overall system conserves charge).^{31,46,55}
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32 The crucial step in the above thermodynamic cycle is the proton transfer reaction:
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39 $\Delta_{\text{pt}}A_{\text{AH}}$ is calculated in the condensed phase using thermodynamic integration: separate DFT-MD
40 simulations were carried out on the potential energy surfaces (PESs) defined by mapping potential
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$$44 \quad E_{\eta} = (1 - \eta)E_0 + \eta E_1 \quad (5)$$

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48 where $0 \leq \eta \leq 1$ is a coupling parameter. E_0 is the PES of solvated surface species $\equiv\text{AH}_{(\text{s})}$ with
49 $\text{H}_2\text{Od}_{(\text{aq})}$ in bulk water (LHS of Eq. (4)), and E_1 is the PES of deprotonated surface species $\equiv\text{Ad}^-_{(\text{s})}$
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with $\text{H}_3\text{O}_{(\text{aq})}^+$ in the solvent (RHS of Eq. (4)). Finally, thermodynamic integration yields:

$$\Delta_{\text{pt}}A_{\text{AH}} = \int_0^1 \langle \Delta E \rangle_{\eta} d\eta \quad (6)$$

$$\text{where, } \frac{\partial E_{\eta}}{\partial \eta} = E_1 - E_0 = \Delta E \quad (7)$$

In practice, few $\langle \Delta E \rangle_{\eta}$ points are available owing to the computational cost of DFT-MD. The five-point composite Simpson's rule (CSR) was used for integral evaluation:

$$\Delta_{\text{pt}}A_{\text{AH}}^{\text{CSR}} = \frac{1}{12} (\langle \Delta E \rangle_0 + 4\langle \Delta E \rangle_{0.25} + 2\langle \Delta E \rangle_{0.5} + 4\langle \Delta E \rangle_{0.75} + \langle \Delta E \rangle_1) \quad (8)$$

In the linear response (LR) approximation, the integral is approximated by:

$$\Delta_{\text{pt}}A_{\text{AH}}^{\text{LR}} = \frac{1}{2} (\langle \Delta E \rangle_0 + \langle \Delta E \rangle_1) \quad (9)$$

The LR approximation becomes exact if and only if the thermal probability distribution of ΔE is Gaussian. The additional free energy terms on the RHS of Eq. (3) are computed in the gas phase as described previously.⁵⁴

The $\text{p}K_{\text{a}}$ values of three functional groups were calculated: the $\equiv\text{OH}$ group of the oxygen-terminated (001) surface, as well as the $\equiv^2\text{OH}$ and $\equiv^1\text{OH}_2$ groups of the half-layer terminated (012) surface. The fully periodic simulated systems (Figure 2) comprised of a hematite slab protonated on both surfaces to yield a charge neutral interface, and solvated with a water layer large enough to exhibit bulk-like water between the solvation shells of the two surfaces.³⁶ The (001) system consisted of a $2 \times 2 \times 1$ hematite supercell built from hexagonal unit cells with an additional oxygen layer, and a ~ 33 Å water layer (93 molecules). The (012) systems consisted of a $2 \times 1 \times 1$ hematite supercell built from the orthorhombic surface cell^{21,56} commonly used for this interface, two terminating half-layers, and a ~ 35 Å water layer (47 molecules). The modelled surfaces are charge neutral and correspond to hypothetical pH values that yield the point of zero net

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3 proton charge (PZC). Not necessarily equivalent points of zero potential (PZPs) for these surfaces
4 were measured at pH values 8-9, with $\text{pH}_{\text{PZP}}(001) < \text{pH}_{\text{PZP}}(012)$ (see ref. 57 for the relevant
5 discussion).
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9 Born-Oppenheimer DFT-MD simulations were carried using the open-source software pack-
10 age CP2K.^{57,58} Simulations were performed in the NVT ensemble with a timestep of 0.5 fs. The
11 PBE+U ($U_{\text{eff}} = 4$ eV on Fe 3d orbitals^{12,59,60}) functional and atom-pairwise Grimme D3 disper-
12 sion correction⁶¹ were used. m-TZVP and the short-range variants of m-DZVP basis functions
13 of were used for H atoms and all other atoms respectively,⁶² and the auxiliary plane wave basis
14 cut-off was set to 400 Ry. Core electrons were represented by analytic Goedecker-Teter-Hutter
15 pseudopotentials:⁶³⁻⁶⁵ only the 3s, 3p, 3d and 4s electrons (for iron atoms) and the 2s and 2p elec-
16 trons (for oxygen atoms) were treated explicitly. The simulation temperature was set to 330 K in
17 order to compensate for the over-structuring and low self-diffusion constant of PBE water, which
18 persists even with the D3 dispersion correction. Running the dynamics at the elevated temperature
19 gives a better approximation to the experimental dynamics at room temperature.⁶⁶ For calculation
20 of the pKa values according to Eq. (3) $T = 298$ K was used. Where applicable, equilibration times
21 were determined using reverse cumulative averaging,⁶⁷ and ranged from 1-4 ps. This was followed
22 by production runs of 8-12 ps for the (001) system and 15-38 ps for the (012) systems. Gas phase
23 calculations for the free energy correction terms used the same functional and basis.
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39 The harmonic potentials used to restrain the dummy proton take the form
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$$V_r = \sum_{\text{bonds}} \frac{k_r}{2} (r - r_{\text{eq}})^2 + \sum_{\text{angles}} \frac{k_\theta}{2} (\theta - \theta_{\text{eq}})^2 \quad (10)$$

42 where parameters k_r , r_{eq} , k_θ and θ_{eq} were chosen to mimic the equilibrium geometry of the solvated
43 species $\equiv \text{AH}_{(\text{s})}$ and $\text{H}_3\text{O}_{(\text{aq})}^+$, and are shown in Table 1. We note that the angle restraints for
44 $\equiv \text{OH}$ (001) biased sampling in favor of the out-of-plane configuration.³⁵ However, no significant
45 difference in $\langle \Delta E \rangle_\eta$, and hence deprotonation free energy, was observed for the in-plane and out-
46 of-plane configurations.
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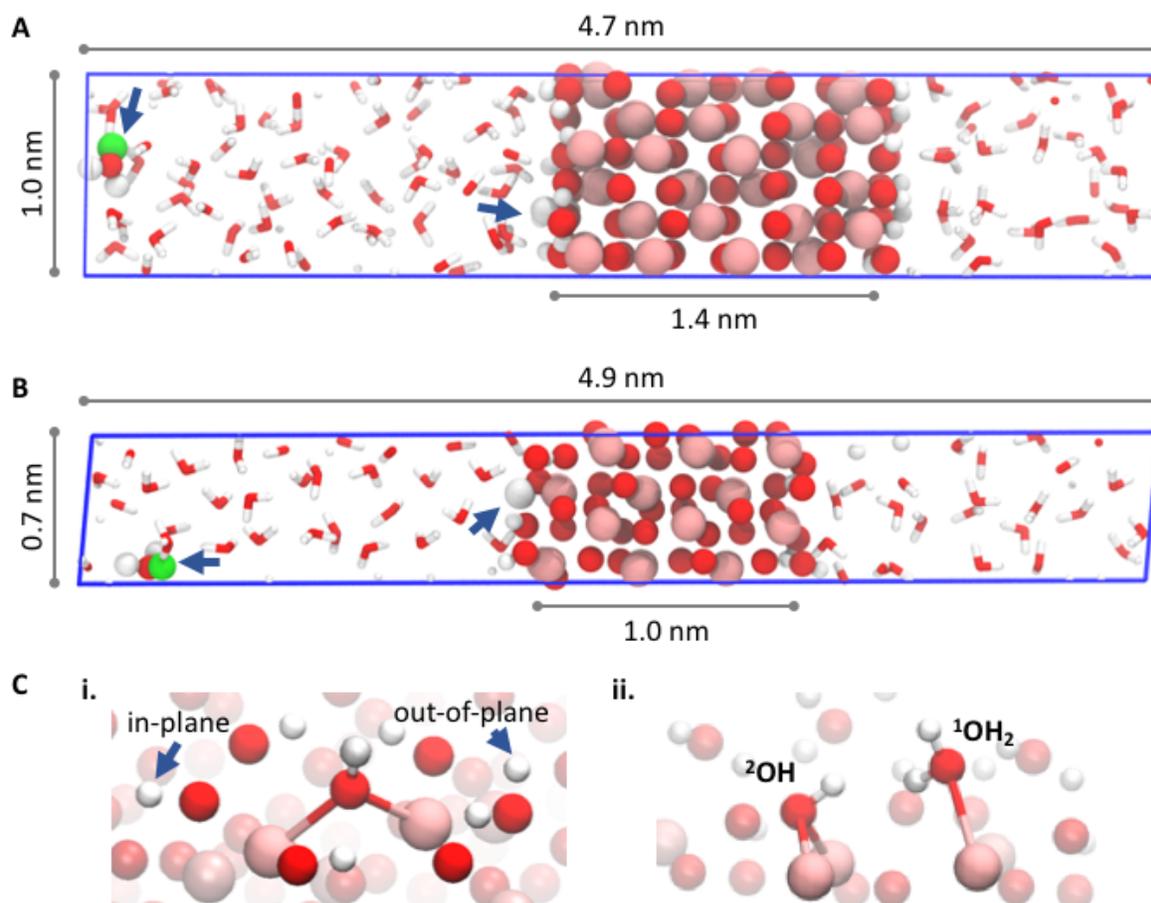


Figure 2: The simulated molecular dynamics systems and pictorial representation of the alchemical transformation used to compute the acidity constants of surface groups at the hematite-liquid water interface. Snapshots of the oxygen-terminated (001) (A) and half-layer-terminated (012) (B) interface systems. Fe, O and H atoms are shown in ochre, red and white respectively. Snapshots are taken from the E_0 trajectories: the dummy proton (in H_2O_d) is shown in green, while the surface proton in question is depicted by an enlarged sphere, both are indicated to by arrows. Panel B shows the $\equiv^2\text{OH}$ (012) group. Panel C shows the bonding of the surface groups: (i.) the $\equiv\text{OH}$ (001) group and its six neighboring hydroxyl groups, with in-plane and out-of-plane proton configurations highlighted by arrows; (ii.) the $\equiv^2\text{OH}$ and $\equiv^1\text{OH}_2$ (012) groups.

Table 1: Force constants and equilibrium values for bonds (k_r , r_{eq}) and angles (k_θ , θ_{eq}) of the restraining potential V_r for the dummy proton (Eq. (10)).

Species	Bond	k_r [a.u.]	r_{eq} [Å]	Angle	k_θ [a.u.]	θ_{eq} [deg.]
$\equiv\text{Od}^-$ (001)	O-d	0.2	1.00	O-O-d ^a	0.001	90
$\equiv^2\text{Od}^-$ (012)	O-d	0.2	1.00	O-O-d ^b	0.025	133
$\equiv^1\text{OHd}^-$ (012)	O-d	0.2	1.00	H-O-d	0.117	109
				Fe-O-d	0.066	101
H_2Od^c	O-d	0.2	1.00	H-O-d	0.2	111

^a 6 angle restraints were applied. ^b Includes the O bonded to d and its crystallographic image inside bulk hematite; the O-O displacement vector approximates the surface normal. ^c Parameters taken from ref. 46 and 54.

Table 2: Local normal mode frequencies of the dummy proton d ($\nu_{\text{Ad},i}$) and quantum mechanically treated proton ($\nu_{\text{AH},i}$) for the surface groups of hematite in vacuum, $\equiv\text{Ad}^-$ and $\equiv\text{AH}$ respectively. Frequencies and moments of inertia^a (I) for gas phase water/hydronium are also given, as required for the free energy correction terms.

Species	$\nu_{\text{Ad},i}$ [cm^{-1}]	$\nu_{\text{AH},i}$ [cm^{-1}]	I [a.u.]
$\equiv\text{OH}$ (001)	170, 170, 2290	780, 920, 3090	
$\equiv^2\text{OH}$ (012)	10, 430, 2290	570, 810, 3580	
$\equiv^1\text{OH}_2$ (012)	330, 1110, 2290	780, 1240, 3130	
H_2O		1610, 3710, 3820	4180, 7640, 11820
H_3O^+	1320, 1890, 1970, 2370, 3710, 3820	900, 1640, 1660, 3440, 3540, 3570	9880, 10590, 17060

^a For H_2O and H_2Od only, as required for the calculation of $\Delta A_{\text{H}_2\text{Od}}$ (see ref. 46).

Our pK_a estimates are given in Table 3 and their corresponding thermodynamic integrals are shown in Figure 3. As has been previously established,^{46,54} the LR approximation is largely inadequate: deviations from the CSR range from 2.6-3.3 pK_a units.

Table 3: The thermodynamic integrals, thermochemical corrections and intrinsic pK_a values for the surface functional groups. pK_a values correspond to a temperature of 298 K.

Species	ΔA_{pt} [eV]		Thermochemical Corrections [eV]			pK_a	
	LR ^b	CSR ^c	$\Delta\Delta A_{Ad}$ ^d	ΔA_{qc} ^e	$\Delta A_{H_3O^+}$ ^f	LR ^b	CSR ^c
$\equiv OH$ (001)	1.36 ± 0.04	1.17 ± 0.02	0.08	-0.03		21.7 ± 0.7	18.5 ± 0.3
$\equiv^2 OH$ (012)	1.00 ± 0.05	1.16 ± 0.03	0.14	-0.02	-0.19	16.2 ± 0.8	18.9 ± 0.6
$\equiv^1 OH_2$ (012)	0.59 ± 0.06	0.77 ± 0.03	0.02	-0.02		7 ± 1	10.3 ± 0.5

^aFree energy for the proton transfer reaction shown in Eq. (4); Using the ^blinear response approximation (Eq. (9)) and ^ccomposite Simpson's rule (Eq. (8)) to evaluate ΔA_{pt} ; Overall free energy corrections (Figure 1) for ^ddummy atom insertion $\Delta\Delta A_{Ad} = \Delta A_{H_2O_d} - \Delta A_{Ad}$, ^equantum nuclear effects and ^f reaction $H_3O^+_{(aq)} \rightarrow H_2O_{(l)} + H^+_{(aq)}$.

At (18.5 ± 0.3), our DFT-MD pK_a estimate for the $\equiv OH$ group of the oxygen-terminated (001)-HLWI is higher than previously predicted. Using a MUSIC model parameterized with bulk crystal structure data, the pK_a value of this surface group was first estimated at 11.9.²³ Subsequent MUSIC predictions using CTR data⁶⁹ of the interface provided a more accurate estimate of 12.4 pK_a units.⁷⁰ Lützenkirchen *et. al.* observed that upon aging, the surface potential vs. pH curve of the (001)-HLWI evolved to resemble the curve expected from MUSIC for the ideal oxygen-terminated surface (as opposed to the bi-domain surface structure, in which iron- and oxygen-terminations co-exist). Fitting this data using a purely diffuse layer model gave a pK_a of 13.7.⁷⁰ Similarly, using potentiometric titration data, a superposition of two triple layer models and an internally consistent fitting scheme, Chatman *et. al.* generated a pK_a value of 12.6 for the doubly coordinated hydroxy groups (Fe_2-OH) of the (001)-HLWI.⁷¹ These empirical estimates rely on surface complexation models. As discussed in the following paragraph, other more recent BVT based models¹⁷ have predicted substantially higher pK_a values for the Fe_2-OH groups of the (012)-HLWI.

The pK_a estimates for the half-layer terminated (012) surface groups also are higher than those

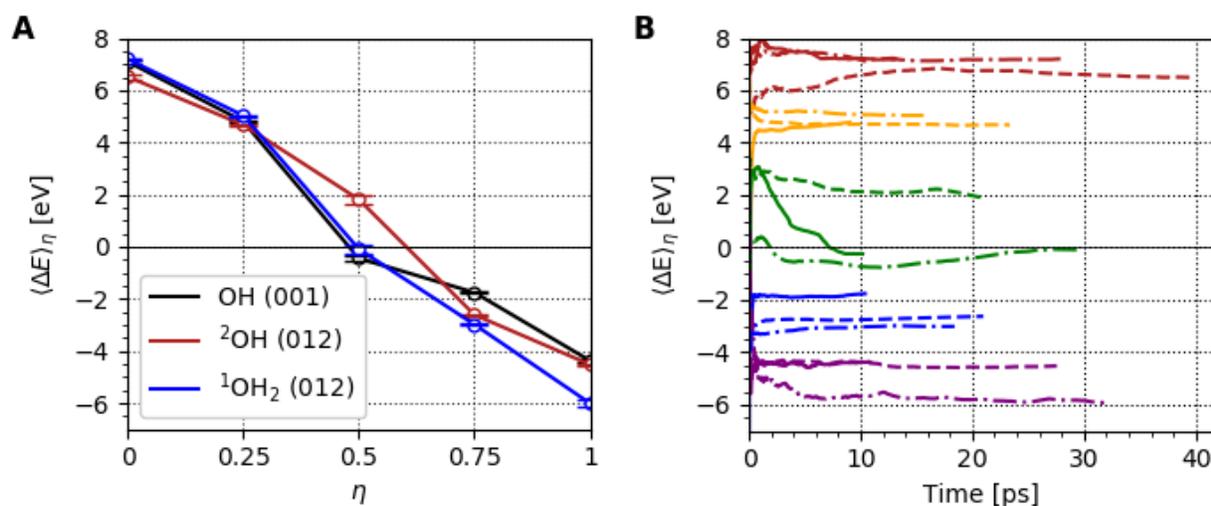


Figure 3: (A) The thermal average of the vertical energy gaps versus coupling parameter η for terminal oxygen species at the hematite-liquid water interface, as obtained from DFT-MD simulations. Error bars were obtained by block averaging.⁶⁸ The area below the (interpolated) data points corresponds to the free energy for proton transfer (Eq. (4)), approximating thermodynamic integral (Eq. (6)) using the composite Simpson's rule (Eq. (8)). (B) The running average of the vertical energy gaps. The average includes equilibration; final values may differ from those shown in A. $\equiv\text{OH} (001)$, $\equiv{}^2\text{OH} (012)$, and $\equiv{}^1\text{OH}_2 (012)$ species are denoted by solid (-), dashed (---) and dot-dashed (----) lines respectively. $\eta = 0, 0.25, 0.5, 0.75$ and 1 are shown in red, orange, green, blue and purple respectively.

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3 previously reported. Tanwar *et. al.* predicted values of 2.0 for $\equiv^1\text{OH}_2$, and 7.9 for $\equiv^2\text{OH}$.²¹ They
4 calculated a static hydration and protonation model using DFT, and analyzed it using Hiemstra
5 *et. al.*'s version²² of MUSIC. More recent CTR experiments by McBriarty *et. al.* have yielded
6 similar bond-valence sums for ^1O and ^2O , but significantly different $\text{p}K_a$ values of (7.6 ± 0.9)
7 and (17.5 ± 0.9) using Bickmore *et. al.*'s method.²⁰ Applying this method to Tanwar *et. al.*'s
8 measured interface structure gave 10.9 and 12.4 $\text{p}K_a$ units for $\equiv^1\text{OH}_2$ and $\equiv^2\text{OH}$ respectively.²⁰
9 estimates vary significantly between CTR experiments, even when the same QSAR model is used.
10 Both QSARs calculate Fe-O bond-valence sums using the parameters of Brown and Altermatt
11 ($R_0 = 1.759 \text{ \AA}$, $B = 0.37 \text{ \AA}$);²⁹ Tanwar *et. al.*'s MUSIC model additionally calculated O-H bond
12 valence contributions using the method of Bargar *et. al.*⁷²) However, Tanwar *et. al.*'s interfacial
13 structure reports O-O separations between adsorbed water and surface groups as short as $(2.0 \pm$
14 $0.3) \text{ \AA}$. As pointed out in a subsequent publication, this is perhaps unphysically short considering
15 steric factors.⁷³ McBriarty *et. al.*'s estimates are therefore the most valid available for comparison.
16 Given the associated uncertainties, their value for the $\equiv^2\text{OH}$ group agrees well with our value of
17 (18.9 ± 0.6) . A somewhat higher deviation is observed for the $\equiv^1\text{OH}_2$ group: our value of $(10.3$
18 $\pm 0.5)$ is $\sim 3 \text{ p}K_a$ units higher.

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35 The aforementioned BVT-based $\text{p}K_a$ estimates input structural data from CTR diffraction ex-
36 periments. CTR is not generally able to detect individual hydrogen atoms due to their small scat-
37 tering cross-section. Consequently, the analysis of surface protonation environments and the exact
38 nature of H-bonding in interfacial water is ambiguous when based on CTR data alone. Simula-
39 tions constrained by experimental data have provided some insight; results can quantify H-bonding
40 interactions, which are then used within refined MUSIC models. Machesky and coworkers have
41 obtained bond valences from DFT geometry optimizations, and both classical and DFT-based MD
42 simulations.⁷⁴⁻⁷⁶ These simulations and resulting MUSIC models were constrained using poten-
43 tiometric titration data, single-surface pH_{PZC} determination and CTR data. Studies of the (110)
44 surface of rutile⁷⁴ (TiO_2) and cassiterite^{75,76} (SnO_2) reproduced the pH_{PZC} values within ~ 1.4
45 units, which is reasonable considering all the potential sources of error.²⁸ However, site-specific
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3 pK_a values are expected to be less accurate: these predictions have differed significantly from DFT-
4 MD based estimates for rutile.³¹ pH_{PZC} values were derived from site-specific pK_a values averaged
5 over the entire structure; this version of MUSIC may correctly predict average behavior, but not the
6 acidity of individual functional groups. All BVT-based acidity QSARs are related exclusively to
7 the conjugate base,²⁸ yet CTR gives time-averaged structural data. These models therefore assume
8 that the structures of metal-oxygen surface groups are not affected by (de)protonation. As demon-
9 strated by the average Fe-O bond lengths in Table 4, the structural response of surface groups to
10 (de)protonation can be relatively large.

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There are five main sources of error associated with the pK_a estimates presented in this work:
finite MD sampling; thermodynamic integral evaluation, including the chosen numerical method
and the number of E_η windows used; the accuracy of the PBE+U functional; finite size effects;
and the assumptions⁵⁴ made in deriving the thermochemical corrections. The first is reflected in
the statistical uncertainty, while the others cannot be readily quantified without appropriate bench-
marks. Finite size effects were more significant in the (012) systems, for which the lateral dimen-
sions of the simulation cells are comparable to that of the Eigen cation ($H_9O_4^+$). However, the
smaller system sizes facilitated the longer trajectories (Figure 3B) required to adequately sample
the more dynamic²⁰ interface. The employed DFT-MD-based method has been able to reproduce
the pK_a values of small organic and inorganic acids within 1-2 units, even at GGA level.⁴⁸ Using
three E_η windows, its application to amino acids gave an unsigned mean error of 2.1 pK_a units.⁵⁴
While caution must be exercised when comparing such different systems, these errors provide an
indication of the level of accuracy expected from our pK_a estimates.

All three surface oxo groups are stabilized by stronger Fe-O bonds, as expected from electro-
static considerations. Upon deprotonation, a 0.37 Å decrease in Fe-O bond length was observed
for \equiv^1OH_2 (012). Smaller average decreases were observed for the hydroxy groups, since they
are stabilized by two bonds: the shorter (longer) Fe-O bond length decreased by 0.12 Å (0.21 Å)
for $\equiv OH$ (001), and by 0.20 Å (0.22 Å) for \equiv^2OH (012).

Contributions to the coordination number (CN) of the (de)protonated acidic sites are shown in

Table 4: The Fe-O bond lengths ($r_{\text{Fe-O}}$), coordination number^a contributions from surface protons (CN_{surf}) and solvent molecules (CN_{solv}), and the total coordination number (CN_{total}), for the protonated and deprotonated acidic sites of hematite.

Species	Protonated			Deprotonated				
	$r_{\text{Fe-O}}$ [Å]	CN_{surf}	CN_{solv}	CN_{total}	$r_{\text{Fe-O}}$ [Å]	CN_{surf}	CN_{solv}	CN_{total}
$\equiv\text{OH}$ (001)	1.95, 2.15	0.1	0.8	0.9	1.83, 1.94	0.6	1.0	1.6
$\equiv^2\text{OH}$ (012)	2.05, 2.10	1.0	0.0	1.0	1.85, 1.89	1.0	0.9	1.9
$\equiv^1\text{OH}_2$ (012)	2.23	1.2	0.0	1.2	1.91	1.3	0.4	1.7

^aOnly H atoms within 2.4 Å of the $\equiv\text{O}/\equiv\text{O}^-$ species were counted. For the (012) surface, terminal aquo (surface) groups were distinguished from (solvent) water molecules using a Fe-OH₂ distance criteria of 3.0 Å - this corresponds to the first minimum in the Fe-OH₂ density profile (Figure 4B).

Table 4 and Figure 4. The CNs for the protonated and deprotonated $\equiv\text{OH}$ (001) groups are 0.9 and 1.6 respectively. The $\equiv\text{O}^-$ (001) anion is stabilized by the both surface and solvent H atoms. As previously reported in hybrid-DFT-MD simulations,^{35,36} we observe surface protons that point either parallel (in-plane) or perpendicular (out-of-plane) to the surface (Figure 2C(i.)). Approximately 80% of the 0.5 increase in CN from surface protons arose from in-plane protons. However, longer MD trajectories are required for a representative average over surface proton configurations. While deprotonation results in an increase of only 0.2 for the solvent contribution, the neighboring water molecule participates in a strong H-bond, with an average O-O distance of 2.5 Å. Indeed, the transition state for protonation was frequently observed: 48% of the sampled distances between the $\equiv\text{O}^-$ H-bond acceptor and the donated hydrogen were below 1.5 Å. While the entire proton transfer reaction did not occur during the simulation, it is expected to at longer timescales. Therefore in our simulations the deprotonated state is kinetically, not thermodynamically stable. The employed DFT-MD method enables us to estimate the $\text{p}K_a$ values of species that are higher than that of liquid water ($\text{p}K_a = 14.0$), and therefore typically inaccessible to experimental measurements.

As previously reported in hybrid-DFT-MD simulations of the (012)-HLWI,²⁰ we observe the picosecond exchange of terminal aquo groups and adsorbed water molecules. The two species are distinguished by a Fe-OH₂ distance criterion of 3.0 Å - this corresponds to the first minimum in the Fe-OH₂ density profile (Figure 4B). The surface CN contributions for both $\equiv^2\text{OH}$ and

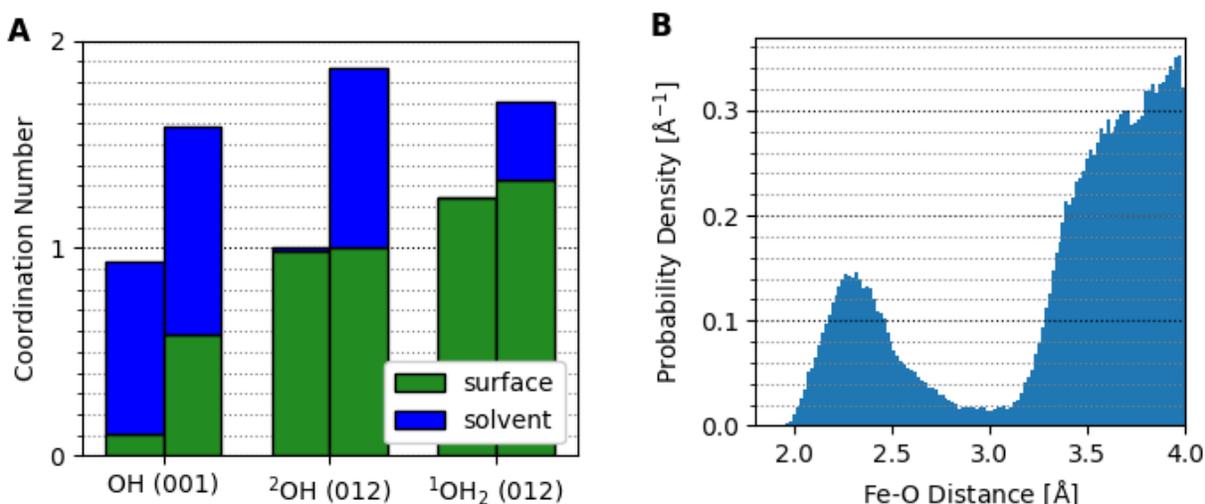


Figure 4: (A) Coordination numbers of the protonated (left) and deprotonated (right) acidic sites of hematite. Only H atoms within 2.4 Å of the $\equiv\text{O}/\equiv\text{O}^-$ species were counted. For the (012) surface, terminal aquo (surface) groups were distinguished from (solvent) water molecules using a Fe-OH₂ distance criteria of 3.0 Å. This corresponds to the first minimum in the Fe-OH₂ density profile for the (012) surface (B).

$\equiv^2\text{O}^-$ species arise exclusively from the nearest neighbouring terminal aquo group, which donates an H-bond of approximately equal strength (O-O distance of 2.6 Å) to $\equiv^2\text{OH}/\equiv^2\text{O}^-$. In the deprotonated case, the solvent contribution CN_{solv} arises entirely from a neighbouring aquo group that detaches from the surface (and is thus redefined as a solvent water molecule); in order to donate a H-bond, this species must leave a Fe^{3+} site uncoordinated. However, the O-H bonds of neighbouring aquo groups were restrained to prevent the protonation of $\equiv^2\text{O}^-$. The observed $\equiv^2\text{O}^-$ solvent configuration therefore corresponds to a short-lived proton configuration.

The $\equiv^1\text{OH}^-$ (012) group is predominately stabilized by solvent water molecules, but also by $\equiv^2\text{OH}$ groups. Both $\equiv^1\text{OH}_2$ and $\equiv^1\text{OH}^-$ accept an H-bond from the closest aquo group that contributes 1.0 to CN_{surf} ; upon deprotonation, the average $\equiv^1\text{O}-\text{OH}_2$ distance remains approximately constant at 2.6 Å. The 0.1 increase in CN_{surf} arises from the increased coordination by $\equiv^2\text{OH}$ groups. As for the solvent contribution: when H-bonding with overlying water molecules (not those participating in ligand exchange), $\equiv^1\text{OH}_2$ acts only as the donor (no CN contribution), while $\equiv^1\text{OH}^-$ acts as both a donor and acceptor (CN contribution of 0.4). The accepted H-bonds

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3 are approximately equal in strength to those in liquid water, with an average O-O distance of 2.8
4 Å. The coordination numbers CN, CN_{surf} and CN_{solv} were calculated from averages over the sin-
5 gle (de)protonated species only; other $\equiv^1\text{OH}_2$ groups were observed to participate in H-bonding
6 networks in which they accept H-bonds from solvent water molecules. More extensive sampling
7 may shift the balance of CN_{surf} and CN_{solv}, while the total CN value is likely better converged.
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11 In conclusion, we have used the DFT-MD-based thermodynamic integration to compute the
12 intrinsic pK_a values of HLWI surface groups. These are the most accurate computational estimates
13 to date. In line with recent BVT-based estimates,²⁰ our estimates suggest that protons at the HLWI
14 may be less reactive than previously thought. Upon deprotonation, all three resultant bridging
15 or terminal oxo groups are stabilized by stronger Fe-O bonds and H-bonds donated by overlying
16 water molecules. Additionally, the $\equiv\text{O}^-$ (001) species is stabilized by an increased coordination
17 by surface protons.
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21 The next step in achieving a more comprehensive understanding of acidity and reactivity at the
22 HLWI would be experimental confirmation. Scanning force microscopy (SFM) based methods⁷⁷
23 could offer accurate isoelectric point pH values of specific crystal faces. These, in turn, could
24 be used to test various QSARs, and ultimately improve BVT-based pK_a estimates.⁷⁷ With further
25 development, SFM measurements could in theory be extended to the single-site scale, where pK_a
26 values could be extracted from force-pH curves. Sum-frequency vibrational spectroscopy probes
27 the vibrational modes at the surface of specific crystal faces; analysis of the OH stretch region gives
28 detailed microscopic structural information on surface protonation environments and H-bonding
29 networks.^{78,79} It has been applied to other mineral-water interfaces,^{78,79} and in certain situations
30 offers a possible route to information useful for constraining experimental pK_a values.
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34 There is also cause for further computational work applying the same methodology reported
35 herein to other HLWI surface groups. Unlike on the oxygen-terminated surface, $\equiv\text{O}^-$ and $\equiv\text{OH}_2^+$
36 groups coexist on the iron-terminated (001) surface in addition to neutral $\equiv\text{OH}$ groups. The pK_a
37 values of these $\equiv\text{OH}$ and $\equiv\text{OH}_2^+$ groups are therefore necessary for a complete picture of acid-
38 ity at the (001)-HLWI. However, PBE+U DFT-MD simulations are unable to reproduce a stable
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3 iron-terminated (001)-HLWI, and more computationally demanding hybrid functionals would be
4 required.³⁶ The strongly non-linear hysteretic pH-potential relationships observed in potentiomet-
5 ric pH titrations of specific hematite crystal faces is thought to stem from a “leaky screen” trapping
6 mechanism.⁸⁰ This hypothesis could be (in)validated by calculating the pK_a of the $\equiv^3\text{OH}$ (012)
7 group when neighbouring ^2O species are singly protonated. Simulations to this end are currently
8 underway in our laboratory.
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17 Acknowledgement

18
19 GvR gratefully acknowledges a Ph.D. studentship co-sponsored by University College London and
20 the Pacific Northwest National Laboratory through its Geosciences program supported by the U.S.
21 Department of Energy’s Office of Science, Office of Basic Energy Sciences, within its Chemical
22 Sciences, Geosciences and Biosciences Division.
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27 Via our membership of the UK’s HEC Materials Chemistry Consortium, which is funded
28 by EPSRC (EP/L000202), this work used the ARCHER UK National Supercomputing Service
29 (<http://www.archer.ac.uk>). We are grateful to the UK Materials and Molecular Modelling Hub for
30 computational resources, which is partially funded by EPSRC (EP/P020194/1).
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