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4 publication, via its DOI: 10.1037/xhp0000446

5 The average facial expression of a crowd influences impressions of individual  
6 expressions

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20 This research was supported by the Australian Research Council Centre of Excellence

21 in Cognition and its Disorders (CE110001021), an ARC Discovery Outstanding Researcher

22 Award to Rhodes (DP130102300), and a Worldwide University Network Researcher

23 Mobility Award to Griffiths. We thank Matthew Robson for collecting data for Experiment 2.

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28

**Abstract**

29           People can accurately assess the “mood of a crowd” by rapidly extracting the average  
30 intensity of all the individual expressions, when the crowd consists of a set of faces  
31 comprising different expressions of the same individual. Here, we investigate the processes  
32 involved when people judge the expression intensity of individual faces that appear in the  
33 context of a more naturalistic crowd of different individuals’ faces. We show that judgments  
34 of the intensity of happy and angry expressions for individual faces are biased towards the  
35 group mean expression intensity, even when the faces are all different individuals. In a  
36 second experiment, we demonstrate that this bias is not due to a generic tendency to endorse  
37 intermediate intensity expressions more frequently than more extreme intensity expressions.  
38 Together, these findings suggest that people integrate ensemble information about the group  
39 average expression when they make judgments of individual faces’ expressions.

40

41           **Keywords:** expression, context, crowd, ensemble coding, summary statistics

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43           **Word count:** 5291 (excluding references, figure captions and footnote)

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**Statement of Public Significance**

Most studies testing recognition of emotion from facial expressions show faces in isolation. However, faces are often seen in groups, and in this study we showed groups of different people whose faces varied in emotional intensity. We found that the facial expression displayed by an individual is seen as a combination of their actual expression and the average expression of the entire group (known as the ‘ensemble’ expression). For example, the face of a mildly angry person seen as part of a crowd of other angrier people is judged as more angry than it actually was. This means that both individual and ensemble information are used when judging an individual’s emotion. On a more general level, our study shows how our processing of individual expressions is malleable and can be informed by the context, such as surrounding faces in a crowd.

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## Introduction

Social situations often require us to judge other people’s emotional states. One very important source for this information is their facial expressions. However, the perception of facial expressions is malleable, and can be strongly influenced by the situational context ([for review, see Barrett, Mesquita, & Gendron, 2011](#)). Viewing a single facial expression in an emotionally congruent scene, or paired with an emotionally congruent object, body posture or word, can facilitate processing of the expression, while viewing the same face paired with emotionally incongruent stimuli can impair it ([Aviezer et al., 2008](#); [Aviezer, Trope, & Todorov, 2012](#); [Halberstadt & Niedenthal, 2001](#); [Ngo & Isaacowitz, 2015](#); [Righart & de Gelder, 2008](#))

The presence of other faces might also change how we process individual facial expressions, because the visual system utilizes a specialized process that computes the average properties of sets of similar objects. This “ensemble coding” is well-established for simple objects (often examined with sets of circles varying in size), and recent studies have suggested that it might also be involved in coding properties of sets of faces including facial expression ([Haberman & Whitney, 2012](#)), attractiveness (Walker & Vul, 2013), sex (Haberman & Whitney, 2007), and facial identity ([de Fockert & Wolfenstein, 2009](#); [Leib et al., 2014](#); [Neumann, Schweinberger, & Burton, 2013](#)). Ensemble coding is one possible means by which information can be compressed into more abstractive statistical representations in visual working memory, in order to deal with the constant stream of information from our environment ([Alvarez, 2011](#)). Ensemble coding of facial expressions is also assumed to be advantageous in social situations, as it could allow us to quickly read “the mood of a crowd” at a glance, maybe without having to attend to each individual face separately ([Haberman & Whitney, 2009](#)).

82 Previous studies on ensemble coding of expression have predominantly focused on  
83 the ability to form average representations under rather artificial conditions. Specifically,  
84 ensemble coding of expression has almost exclusively been examined for sets of faces  
85 containing *one single identity* ([Haberman & Whitney, 2007, 2009](#); [Leib et al., 2014](#); [Leib et  
86 al., 2012](#)). Faces in these sets were sampled from morph continua between two different  
87 emotional expressions (e.g., happiness to sadness; neutral to disgust) displayed on the same  
88 individual's face. These studies revealed that people are able to spontaneously and accurately  
89 code the average expression of these kinds of sets.

90 To our knowledge, only one study has investigated expression coding for naturalistic  
91 crowds consisting of different people's faces ([Yang, Yoon, Chong, & Oh, 2013](#)). Yang and  
92 colleagues presented crowds of different individuals, some with happy expressions and some  
93 with angry expressions (in different ratios) and participants had to report whether the crowd  
94 was overall "positive" or "negative" in emotion. All participants were able to accurately  
95 judge the overall mood of the crowd (although participants with low social anxiety exhibited  
96 a small bias towards positive emotion). One possible explanation for the accurate judgments  
97 of the overall emotion is that participants had used ensemble coding to determine the *average*  
98 expression (that is, the ensemble expression) of the set, and then judged whether this average  
99 expression was positive or negative. However, an alternative possibility is that participants  
100 were able to judge the relative number of faces belonging to each emotion category in the set.  
101 Accurate judgments of the relative numerosity of two large groups of items can be made  
102 under limited presentations times ([Anobile, Cicchini, & Burr, 2016](#)). As all the expressions  
103 were full-blown and the two categories of emotion (happy and angry) have very distinct  
104 facial features, rapidly distinguishing the two categories would be relatively easy.  
105 Judgements of the ratio of happy to angry expressions might be possible without integration  
106 of the expressions into an ensemble. The use of ratio judgements could be confined to groups

107 in which faces can be easily assigned to different categories such as angry and happy,  
108 because they exhibit full-blown expressions. However, ratio judgements would be less  
109 applicable for naturalistic groups of faces that are less easy to categorize, for instance when  
110 they all show a single expression with different intensities.

111 Thus, a novel approach to answering the question of whether ensemble expression  
112 coding occurs for groups of different identities would be to test whether memory for the  
113 expression intensity of an individual face is systematically influenced by the ensemble  
114 expression of the entire group. Ensemble coding can influence perception of basic features  
115 (such as orientation of Gabor patches) by making the features of individual group members  
116 look more similar to the group average ([Ross & Burr, 2008](#)). Ensemble representations can  
117 also bias short-term memory for the individual members towards the mean property of a  
118 group ([Brady & Alvarez, 2011](#)). Specifically, when judging the size of individual circles,  
119 participants' responses are slightly biased towards the mean size of the group. When colour is  
120 a distinguishing feature between several different groups of circles, participants are not only  
121 biased towards the mean size of all circles, but also to the mean size of the group of circles  
122 that had the same colour as the to-be-remembered circle. Brady and colleagues (2011)  
123 concluded that information about the size of items in a group is represented on multiple levels  
124 of abstraction (e.g., individual circle, same-coloured circles, all circles), and integrated across  
125 the different levels during encoding or retrieval. Thus, ensemble representations play an  
126 important role for the processing of the individual items in a group.

127 As yet, it is unclear whether a systematic influence of the ensemble on exemplar  
128 information, indicating the integration of ensemble and exemplar information, also occurs for  
129 high-level information about facial properties such as expression or identity. Previous work  
130 has established that individual and ensemble representations of facial identity can be  
131 extracted and stored simultaneously (Neumann et al., 2013), but did not examine whether

132 these representations interact. Some evidence for a possible integration of average and  
133 individual information comes from other work by Sweeny and colleagues (2009), which have  
134 shown that interpretation of the emotion on a face can be influenced by the expression on  
135 another face that is seen at the same time. Very briefly presented valence-neutral faces were  
136 rated as more positive when paired with a happy face than when paired with an angry face  
137 ([Sweeny, Grabowecky, Paller, & Suzuki, 2009](#)). This difference was only found when both  
138 faces were shown in the same hemifield, but not when the two faces were in opposite  
139 hemifields. The authors suggested that this effect is due to a perceptual mechanism that  
140 averages expression information within, but not across, receptive fields. However, it is  
141 unclear to what extent such a mechanism is related to - or contributes to - ensemble coding,  
142 which has been consistently demonstrated for arrays of stimuli that cover different receptive  
143 fields (e.g., are presented in both hemifields).

144 In the present study, we ask whether integration of ensemble and individual  
145 information occurs for the expressions of a group of *different identity* faces, by determining  
146 whether the ensemble expression influences memory for the expression of individual “target”  
147 faces in a group, as has been demonstrated for the sizes of circles ([Brady & Alvarez, 2011](#)).  
148 We adapted a well-established membership identification paradigm, in which participants are  
149 asked whether a probe was a member of the preceding set (e.g., [Ariely, 2001](#); [Brady &](#)  
150 [Alvarez, 2011](#); [Haberman & Whitney, 2009](#); [Walker & Vul, 2013](#)). Membership  
151 identification paradigms encourage individuation of the set members, and ensemble coding is  
152 inferred from incorrect endorsements of the group average. Endorsements of the group  
153 average occur frequently, suggesting that participants engage in ensemble coding even when  
154 the task encourages individuation. In our study, participants determined whether a subsequent  
155 probe face had the same expression intensity or a different expression intensity compared to a



156 target face. Probe expressions could either be the same intensity as the target, closer to, or  
157 further away from, the group's average expression intensity.

158         If participants code the ensemble expression from groups of different identities, as  
159 well as the individual expressions of the group, then we expect that this ensemble expression  
160 will influence memory for individual expressions in a group ([as shown for the sizes of a](#)  
161 [group of individual circles, Brady & Alvarez, 2011](#)), which should be systematically shifted  
162 towards the *average intensity* of the group. In this case, participants would be more likely to  
163 report that they had seen probes with expression intensities that were closer to the group  
164 mean, than those that were further away from it. An alternative possibility is that the  
165 ensemble expression is either not coded or does not influence memory for the individual  
166 expression. In this case, participants would not exhibit a bias towards the average group  
167 expression. Finally, it is possible the participants code the ensemble expression, but not the  
168 individual group expressions ([Haberman & Whitney, 2009](#)). In this case, participants would  
169 endorse probe expressions that match the average expression of the group, independent of  
170 what expression the individual target face had. We will refer to these alternatives as  
171 “Exemplar biased by ensemble”, “Exemplar only”, and “Ensemble only”, respectively.

172

## Experiment 1 - Methods

### 173 **Participants**

174 Twenty-four students and staff from the University of Western Australia were  
175 recruited (mean age = 23.00, SD = 5.08 years; 9 male). Student participants received either  
176 course credits or compensation of \$5AUD for their time. Sample size was based on related  
177 studies (Brady & Alvarez, 2011; Walker & Vul, 2013).

### 178 **Stimuli**

179 Three images (happy, angry, and neutral) of four young male Caucasian identities  
180 were sourced from the Radboud face database ([Langner et al., 2010](#)). We selected individuals  
181 for which agreement was high regarding the expression displayed (>95% agreement) and  
182 which were rated as relatively intense (intensity >3.5, max = 5). We created “weaker”  
183 intensity levels for each emotion by morphing each of the original (100%) happy or angry  
184 faces with the neutral face of the matching identity, using FantaMorph 5 (Abrosoft,  
185 <http://www.fantamorph.com/>). The full intensity range consisted of 11 steps, including the  
186 100% emotional and neutral (0%) faces for each identity. All face images were transformed  
187 into grey scale, adjusted so that their pupils were horizontally aligned, and placed in a mask  
188 that covered external face features and hair.

189 Study groups consisted of four faces (one of each identity) arranged in a 2 x 2 grid.  
190 Grid positions were randomly assigned to each identity on each trial. Faces subtended a  
191 visual angle of approximately 3.5° x 4.0° with the total grid subtending 8.4° x 9.2° when  
192 viewed from a viewing distance of about 65 cm. Each face in a group displayed the same  
193 emotion (either all angry or all happy), but faces varied systematically in expression intensity  
194 around a “group mean” intensity level which itself was never shown in the study set. Each  
195 study set contained two expressions that were more intense than the group mean (+10%,

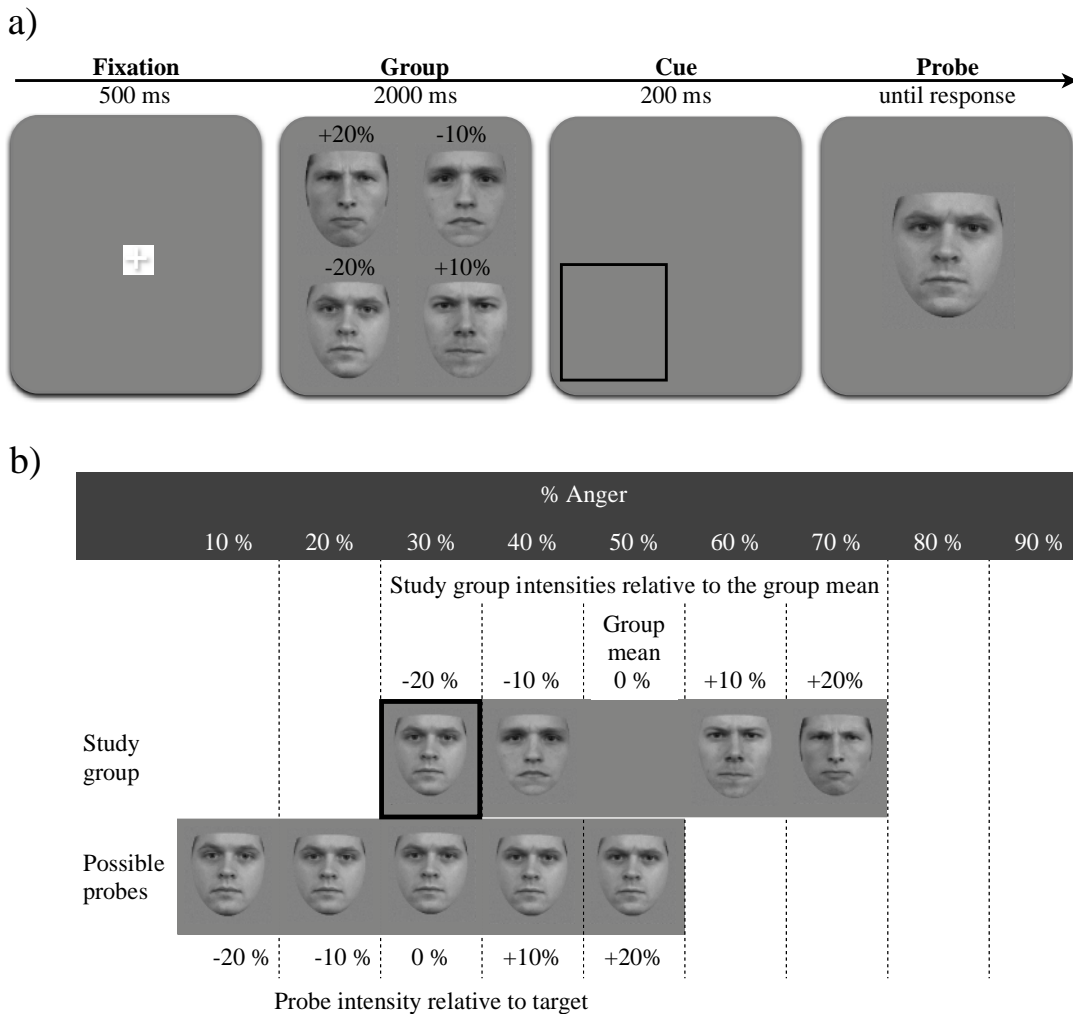
196 +20%), and two expressions that were less intense than the group mean (-10%, -20%). On  
197 each trial the group mean intensity was randomly selected, with the only restriction being that  
198 all faces presented fell within the range of the 11-step expression intensity sequence. The  
199 group mean was therefore always between 20%-80%, whereas the target and probe intensities  
200 could occupy any position in the 11-step sequence (0%-100%), depending on the relative  
201 positions of the target and probe.

## 202 **Procedure**

203 On each trial, participants were presented with a fixation cross in the centre of the  
204 screen for 500 ms, followed by the study group for 2000 ms (Fig. 1a). This study group  
205 duration matched that used in previous studies on expression ensemble coding (e.g.  
206 Haberman et al., 2009). Participants were instructed to remember the expression intensity for  
207 each of the four faces as accurately as possible. Immediately after the study group had  
208 disappeared, a cue (a black frame  $3.8^\circ \times 5.1^\circ$  VA) appeared for 200 ms at the position of a  
209 “target” identity. A single probe face ( $4.9^\circ \times 5.5^\circ$  VA) of the same identity as the cued target  
210 was then presented in the centre of the screen. Participants indicated whether they believed  
211 the probe face had the same or a different intensity expression as the target face by pressing  
212 “a” for “same”, and “l” for “different”, respectively (keys were labelled with response  
213 options). The probe face remained on the screen until the participant made a response.

214 Participants completed two blocks of 160 trials (320 trials in total) with a break  
215 between blocks. Each block contained one trial for all possible combinations of the four  
216 target face identities, four target expression intensities (+10%, +20%, -10%, -20% relative to  
217 group mean intensity), five probe expression intensities (0%, +10%, +20%, -10%, and -20%  
218 relative to target intensity), and two emotions (happy, angry). The whole session lasted  
219 approximately 25 minutes. To familiarise participants with the trial procedure, they were

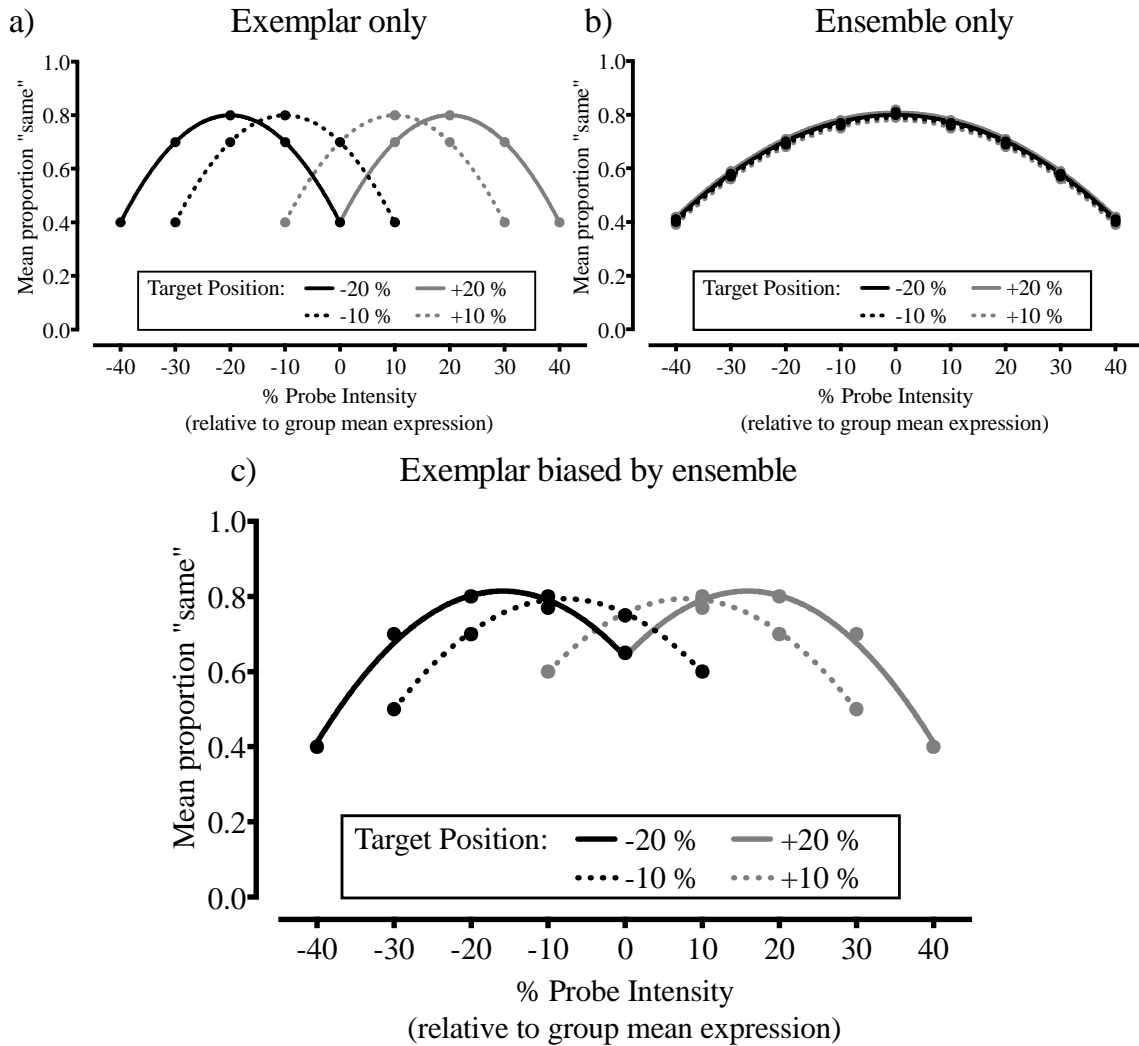
220 given three happy and three angry practice trials in which each of the four identities was  
 221 shown at least once before the experiment proper. No feedback was provided.



222  
 223 **Figure 1.** (a) Trial procedure. The intensity values give the intensity of the expressions in the  
 224 group relative to the group mean, with positive percentage-values indicating higher  
 225 intensities than the mean, and negative values indicating lower intensities than the mean.  
 226 Note that these values were not seen by the participants in the experiment. (b) Schematic of  
 227 the expression intensity range of the example study group, and the expression intensity range  
 228 of the five possible probes that could follow the example target (here: 30% anger, in black  
 229 frame). Participants reported whether or not the expression intensity of the probe face was the  
 230 “same” or “different” than that of the target.

231 **Possible outcomes**

232 Fig. 2 illustrates the three alternative possible outcomes outlined in the introduction.  
233 Panel a) shows the expected data pattern if individual expressions were coded accurately, and  
234 responses were not affected by the group mean intensity (“exemplar only” outcome, panel a).  
235 In this case, “same” responses should occur most frequently for probes that match the target  
236 intensity and errors should be normally distributed around the target intensity (that is, errors  
237 should be independent of the intensity of the probe relative to the group mean intensity). If  
238 however only the group mean intensity was coded (ensemble “only”, panel b), “same”  
239 responses should be most frequent for probes that correspond to the group mean intensity,  
240 and responses should not be affected by the target intensity (c.f., Haberman & Whitney,  
241 2007). Finally, if participants’ responses reflected a combination of representations for  
242 individual target intensities and the group mean intensity (“Exemplar biased by ensemble”,  
243 panel c), then “same” responses should be most frequent for probes with expression  
244 intensities that lie somewhere between the target intensity and mean group intensity.  
245 Furthermore, participants’ error distributions would be skewed towards the group mean  
246 intensity, such that errors should be more frequent for mismatch probes that deviate *towards*  
247 the group mean intensity, than to mismatch probes that deviate *away* from the group mean  
248 intensity. More specifically, for targets of a higher intensity than the mean (+10%, +20%),  
249 “same” responses should be more frequent for probes that are less intense than the target,  
250 compared to probes that are more intense than the target. Conversely, for targets of lower  
251 intensity than the mean (-10, -20%), “same” responses should be more frequent for probes  
252 that are of more intense than the target, compared to probes that are less intense than the  
253 target.



254

255 **Figure 2.** Proportions of “same” responses to probes plotted as a function of probe intensity  
 256 plotted relative to the group mean expression (e.g., for a -20% target (black solid line), the  
 257 five levels of probe intensity relative to target intensity (-20%, -10%, 0%, +10%, +20%) are  
 258 depicted as -40%, -30%, -20%, -10%, and 0%, respectively). Three possible outcomes are  
 259 shown: (a) *Exemplar only*: Separate curves are plotted for each target position (-20%, -10%,  
 260 +10%, +20%). Proportion “same” responses is highest for probes that match the target  
 261 intensities, and errors are independent of the group mean expression (responses are normally  
 262 distributed around each target intensity); (b) *Ensemble only*: Proportion “same” responses is  
 263 highest for the group mean expression; (c) *Exemplar biased by ensemble*: Proportion “same”

264 responses are distributed around the target intensity but skewed towards the group mean

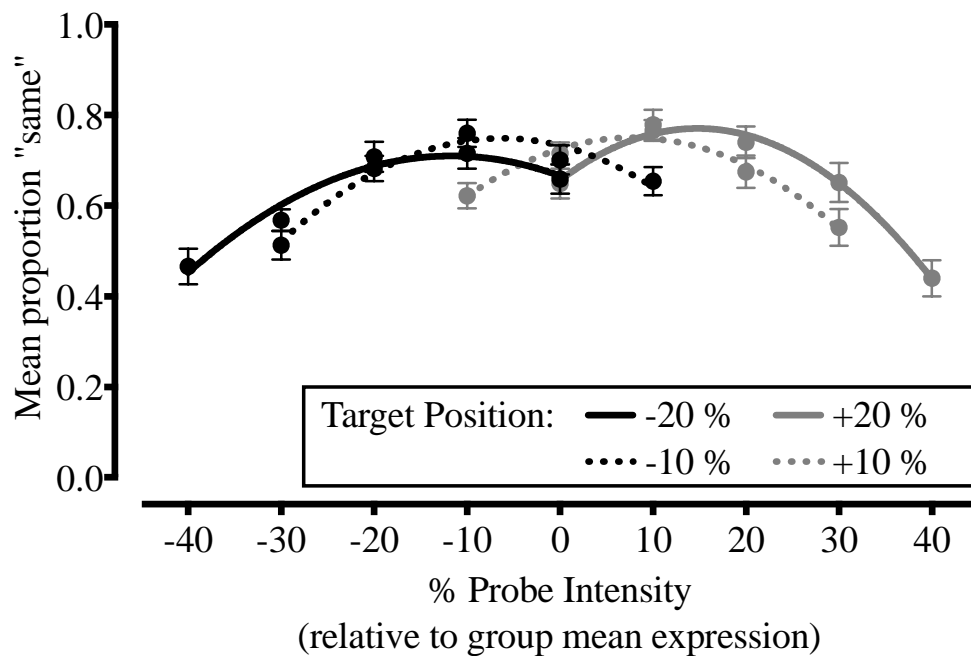
265 intensity.

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**Results**

268 Figure 3 shows participants' "same" responses as a function of the probe intensity relative to  
 269 the group mean intensity. Inspection of the pattern shown suggests that memory for exemplar  
 270 expressions is biased by the ensemble expression (Fig. 2c.). Participants were most likely to  
 271 respond "same" to probes that either matched the target intensity, or were close to it,  
 272 illustrated by the peaks occurring close to the centre of each target's response curve. This  
 273 result suggests that participants had some memory for individual expressions in the group. In  
 274 addition, memory for the individual expressions appears to be influenced by the group mean  
 275 expression, because "same" responses to mismatching probes were more frequent when the  
 276 probe's expression intensity was shifted towards the group mean intensity, compared to when  
 277 probe intensity was shifted away.



278

279 **Figure 3.** Mean proportion of "same" responses to probes as a function of probe intensity

280 plotted relative to the group mean expression (e.g., for a -20% target (black solid line), the

281 five levels of probe intensity relative to target intensity (-20%, -10%, 0%, +10%, +20%) are



282 depicted as -40%, -30%, -20%, -10%, and 0%, respectively). Separate curves are plotted  
283 for each target position (-20%, -10%, +10%, +20%). Error bars show standard error.

284  
285 First we tested whether the mean group expression had an effect on responses, or if  
286 the data could be explained solely by participants' ability to code exemplars without  
287 additionally coding the group mean expression ("exemplar only", Fig. 2a). If only the  
288 exemplars are coded then participants' responses should not be differently affected by the  
289 target position, that is, the target face's expression intensity relative to the other faces in a  
290 group. To test this we entered proportion of "same" responses into a repeated measures  
291 ANOVA with Target Position relative to group mean intensity (-20, -10, +10, +20), Probe  
292 Intensity (0%, +10%, +20%, -10%, and -20% relative to target intensity), and Emotion  
293 (happy versus angry) as factors.

294 We found no effect of Emotion, and no interaction involving Emotion and Probe  
295 Intensity (all  $F$ s < 2.69, all  $p$ s > .115) We found a main effect of Probe Intensity,  $F(4, 92) =$   
296  $28.22$   $p < .001$ ,  $\eta^2 = .55$ . However, there was also a main effect of Target Position,  $F(3, 69) =$   
297  $3.30$ ,  $p = .025$ ,  $\eta^2 = .126$ , qualified by a two-way interaction between Probe Intensity and  
298 Target Position,  $F(12, 276) = 12.61$ ,  $p < .001$ ,  $\eta^2 = .354$  (Figure 3). The significant effect of  
299 Target Position showed that participants' responses to the different probe faces were affected  
300 by how intense a target face's expression was in relation to the group mean expression, thus  
301 providing evidence against participants *only* coding the exemplar (see Fig 2b). Separate  
302 follow-up ANOVAs confirmed that Probe Intensity effects were significant on each level of  
303 Target Position, all  $F > 12$ , all  $p < .001$ , all  $\eta^2 > .340$ , but the interaction between Probe  
304 Intensity and Target Position suggests that the effect of probe intensity varied across the  
305 different levels of target position.

306           The interaction of Probe Intensity and Target Position could either indicate that  
307 participants code the mean group expression only ('ensemble only', Fig. 2b), or that  
308 participants code both mean and individual expressions, but are biased towards the group  
309 mean expression ('exemplar biased by ensemble', Fig. 2c). To distinguish between these two  
310 possibilities, we ran two sets of planned contrasts to explore the nature of the interaction,  
311 each set addressing one potential outcome specifically.

312           In the first set of planned contrasts, we determined whether encoding of only an  
313 ensemble expression could account for the interaction between Probe Intensity and Target  
314 Position. We compared the proportions of "same" responses to matching probes (that  
315 corresponded to the target intensity) with performance on mismatching probes that  
316 corresponded to the group mean intensity for each target position (see Table 1). If  
317 participants remembered only the group mean intensity, but not the individual target  
318 intensities ("ensemble only" outcome), then they should have endorsed group mean intensity  
319 probes most frequently. Instead, probes that matched the target intensity received at least as  
320 many, if not more, "same" responses as mismatching probes that had the group mean  
321 intensity, for all target types. Pairwise t-tests were significant for +20% targets, and  
322 marginally significant for +10% and -10% targets, (see Table 1). Importantly, the proportions  
323 of "same" responses were never higher for probes matching the group mean expression  
324 intensity than for probes matching the target expression intensity. Therefore, participants'  
325 responses were not simply reflecting the group mean expression.

326

327

328

329 **Table 1.** Comparison of proportions “same” responses for probes that match the target  
 330 intensity with probes that match the group mean intensity.

Target Position	Probe Intensity		Difference	t-statistics			
	Target Match Mean (SEM)	Group Mean Match Mean (SEM)		df	t	p	d
+ 20%	.740 (.035)	.648 (.033)	.092	23	2.14	.043	0.55
+ 10%	.766 (.023)	.716 (.024)	.050	23	1.86	.076	0.42
- 20%	.708 (.033)	.659 (.032)	.049	23	1.25	.222	0.31
- 10%	.760 (.030)	.701 (.032)	.059	23	1.78	.089	0.39

331

332 In a second set of planned contrasts, we tested whether memory for the target  
 333 intensity was *systematically* shifted in the direction of the group mean intensity, as would be  
 334 expected if participants’ memory for individual expressions was influenced by the ensemble  
 335 representation of the group expression. We calculated bias scores by subtracting the  
 336 proportion of “same” responses for probes shifted away from the mean from the proportion  
 337 of “same” responses for *equidistant* probes shifted towards the mean, for each target position.  
 338 As can be seen in Table 2, the average bias for every probe pair was positive, indicating a  
 339 bias in the direction of the mean group expression in all conditions. One sample t-tests  
 340 (Bonferroni-corrected) carried out for each probe intensity level were significant, except for  
 341 probes that were  $\pm 10\%$  from target when the target was 10% more or 10% less intense than  
 342 the group mean, and probes which were  $\pm 20\%$  from the target when the target was 10% more  
 343 intense than the group mean (see Table 2). Note that a bias was expected to be smaller for  
 344 targets that are closer to the group mean, and also for probes that were closer to the target.  
 345 Thus, the critical comparisons, for which a stronger bias was expected, were the 20% target  
 346 comparisons, which were all significant. These findings indicate that the ensemble  
 347 information had a systematic influence on participants’ responses, consistent with the  
 348 “exemplar biased by ensemble” outcome alternative (Fig 2c).

349

350

351

352 **Table 2.** Results of t-tests for level of bias towards the mean in “same” responses for  
 353 mismatching probes. Bias is computed by taking proportion of “same” responses for probes  
 354 shifted away from the mean from proportion of “same” responses for equidistant probes  
 355 shifted towards the mean, separately for the two possible probe distances. Bonferroni  
 356 correction for multiple comparisons have been applied to p values.

Target Position	Probe Distance	Bias <i>Mean (SEM)</i>	t-statistics			
			<i>df</i>	<i>t</i>	<i>p</i>	<i>d</i>
+20%	±20	.208 (.034)	23	6.05	.000	1.24
	±10	.128 (.035)	23	3.61	.012	0.74
+10%	±20	.070 (.039)	23	1.80	.686	0.37
	±10	.042 (.032)	23	1.31	1.00	0.28
-20%	±20	.193 (.041)	23	4.72	.000	0.96
	±10	.148 (.025)	23	5.83	.000	1.19
-10%	±20	.141 (.033)	23	4.31	.002	0.88
	±10	.018 (.030)	23	0.60	1.00	0.12

357

358 **Experiment 2: A response bias to intermediate intensities?**

359 A possible alternative explanation for the bias towards the group mean expression in  
 360 Experiment 1 is that participants could have a generic bias to endorse intermediate expression  
 361 intensities (e.g., moderately angry faces of 50% absolute expression intensity) more often  
 362 than expression intensities at the endpoints of the intensity range (e.g., neutral expressions of  
 363 0% absolute intensity or very angry/happy expressions of 100% absolute intensity). ‘Central  
 364 tendency’ biases, in which participants’ estimates are drawn towards the centre of the range  
 365 of presented stimuli, are well established ([Allred, Crawford, Duffy, & Smith, 2016](#);  
 366 [Crawford, Huttenlocher, & Engebretson, 2000](#); [Duffy, Huttenlocher, Hedges, & Crawford,](#)  
 367 [2010](#); [Hollingworth, 1910](#); [Olkkonen, McCarthy, & Allred, 2014](#)). Therefore, we must  
 368 consider whether the bias to endorse intermediate expression intensities found in Experiment  
 369 1 could have been induced by a higher probability of probe and target faces with intermediate  
 370 than extreme (low or high) expression intensities.

371

372 **Table 3.** *Probability of probes and targets for each level of absolute expression intensity.*

	Absolute expression intensity										
	0%	10%	20%	30%	40%	50%	60%	70%	80%	90%	100%
Target	0.02	0.06	0.08	0.11	0.15	0.15	0.15	0.11	0.08	0.06	0.02
Probe	0.04	0.06	0.09	0.11	0.13	0.13	0.13	0.11	0.09	0.06	0.04

373

374 *Note.* The sum of the shown probabilities may not equal 1 due to rounding errors.

375

376 In Experiment 1, participants endorsed probe faces that deviate towards the mean  
 377 group intensity more frequently than probe faces that deviate away from the mean group  
 378 intensity. A potential central tendency bias might also lead to this response pattern, because  
 379 probes that deviated away from the group mean were more likely to be of extreme intensities  
 380 than probes that deviated towards the group mean (Table 3). For instance, a 0% (neutral) face

381 could only ever occur as a probe that deviated away from the mean of the group, because for  
382 it to deviate towards the mean, the group would had to have a mean group intensity *below*  
383 0%, which was outside the range of expressions used in the present study design.

384 To rule out a central-tendence bias account of our results in Experiment 1, in  
385 Experiment 2 we removed non-target faces from the sets (leaving just the target face  
386 presented alone) while keeping the procedure otherwise identical to Experiment 1. If the bias  
387 observed in Experiment 1 reflects a genuine influence of ensemble coding, then it should no  
388 longer be seen, because there is no group to be ensemble coded.

389

390

## Methods

### 391 **Participants**

392 Twenty-four students and volunteers from the University of Western Australia  
393 participated (mean age = 20.3, SD = 3.4 years; 8 male). Student participants received course  
394 credits.

### 395 **Stimuli**

396 Face stimuli and the experimental protocol from Experiment 1 were used. However,  
397 the critical change to Experiment 1 was that only the target face of each study group was  
398 presented.

### 399 **Procedure**

400 The trial procedure was identical to Experiment 1, except that the target face was  
401 presented alone. Participants were instructed to remember the expression intensity of the  
402 target face as accurately as possible. A single probe face of the same identity as the target  
403 was then presented in the centre of the screen, and participants indicated whether they  
404 believed the probe face had the same or a different intensity expression as the target face.

405

## Results

406 Figure 4 shows participants' "same" responses as a function of the probe intensity  
407 relative to the group mean intensity. Inspection of the pattern shown suggests that memory  
408 for the exemplar expressions was accurate (cf. Fig. 2b expected "exemplar only" pattern),  
409 with no evidence of any systematic bias as found in Experiment 1. To formally test accuracy  
410 we conducted a repeated measures ANOVA with Emotion (happy, angry), Target Position  
411 relative to group mean intensity (-20, -10, +10, +20) and Probe Intensity (0%, +10%, +20%, -

412 10%, -20% relative to target intensity) as factors. Importantly, there was no interaction of  
 413 Target Position and Probe Intensity, and no triple interaction of Target Position, Probe  
 414 Intensity, and Emotion, both  $F < 1.1$ , both  $p > .40$ , indicating the absence of a systematic bias  
 415 towards the mean expression intensity. These results confirm that the bias towards the mean  
 416 in Experiment 1 reflects the influence of the surrounding set rather than any generic bias to  
 417 endorse probes with intermediate intensity expressions.

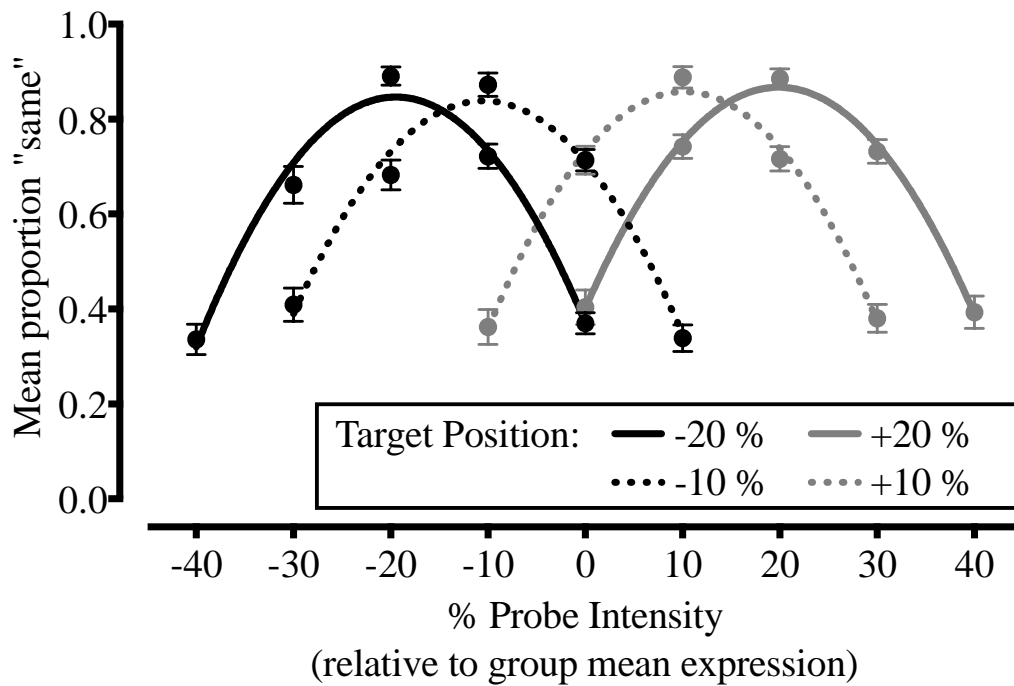
418 We found several other effects that were not of any theoretical significance. We  
 419 report them for completeness. There were significant main effects of emotion (angry >  
 420 happy,  $F(1,23) = 35.87$ ,  $p < .001$ ,  $\eta^2 = .609$ ) and Probe Intensity,  $F(1,23) = 155.27$ ,  $p < .001$ ,  
 421  $\eta^2 = .871$ . Emotion interacted both with Target Position,  $F(3,69) = 13.29$ ,  $p < .001$ ,  $\eta^2 = .366$   
 422 and with Probe Intensity,  $F(4,92) = 15.85$ ,  $p < .001$ ,  $\eta^2 = .408$ .<sup>1</sup>

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<sup>1</sup> The interaction of Emotion and Probe Intensity is driven by participants' higher rate of "same" responses to mismatching probes (that is, lower accuracy) for angry compared to happy faces, and this effect was particularly pronounced for the  $\pm 20$  % probes. Post-hoc t-tests confirmed that there were fewer endorsement in happy compared to angry trials when probes were -20 %,  $t(23) = 11.09$ ,  $p < .001$ ,  $d = 1.68$ ; +20 %,  $t(23) = 4.84$ ,  $p < .001$ ,  $d = 1.43$ ; -10 %,  $t(23) = 2.30$ ,  $p = .031$ ,  $d = 0.55$  and +10 %,  $t(23) = 3.42$ ,  $p = .002$ ,  $d = 0.98$  from the target. In contrast, there was no difference between emotions when the probe matched the target (0 %),  $t(23) = 0.32$ ,  $p = .75$ ,  $d = .06$ . The Emotion by Target Position interaction was driven by more "same" responses to happy faces of higher intensity than lower intensity (greater "same" responses for probes testing -20 % targets compared to +20 % targets,  $t(23) = 5.05$ ,  $p < .001$ ,  $d = 1.00$ ). In contrast, responses on trials with angry faces did not appear to depend on target intensity ("same" responses to -20 % targets and to +20 % targets were not significantly different,  $t(23) = 1.42$ ,  $p = .169$ ,  $d = 0.31$ ).



423



424

425 **Figure 4.** Mean proportion of “same” responses to probes as a function of probe intensity  
 426 plotted relative to the group mean expression (e.g., for a -20% target (black solid line), the  
 427 five levels of probe intensity relative to target intensity (-20%, -10%, 0%, +10%, +20%) are  
 428 depicted as -40%, -30%, -20%, -10%, and 0%, respectively). Separate curves are plotted  
 429 for each target position (-20%, -10%, +10%, +20%). Note that the target and probe intensities  
 430 are relative to the mean intensity of a “group” that was not seen. Thus, any potential bias in  
 431 the data is unrelated to the context of a group. Error bars show standard error.

432 **Discussion**

433  
434 We found that memory for the intensity of a facial expression is biased towards the  
435 average intensity of the expressions of a surrounding crowd. Participants overestimated the  
436 intensities of individual expressions that were less intense, and underestimated the intensities  
437 that were more intense, than the average expression of the group. A control experiment  
438 confirmed that this bias could not be explained by a general bias to endorse intermediate  
439 intensity expressions. Our results provide an important and novel extension to the studies  
440 indicating ensemble coding of expression for groups containing a single face identity  
441 ([Haberman & Whitney, 2007, 2009](#)) by showing that representations of individual  
442 expressions are biased by the ensemble expression. This extends our own previous work that  
443 showed that extraction of exemplar and ensemble identity information can co-occur  
444 ([Neumann et al., 2013](#)), by suggesting an information transfer between these representations  
445 (for facial expressions). Finally, by showing that ensemble coding of facial expression occurs  
446 for more naturalistic, heterogeneous groups containing distinct identities, our data and those  
447 of [Yang et al. \(2013\)](#), provide convincing evidence for the idea that such coding could play  
448 an important role in determining the mood of a crowd.

449 Participants in the current study not only coded ensemble information, but also  
450 retained memory for the individual expressions of the group (shown by the fact that “same”  
451 responses were given at least as often to probes that matched the target as to probes that  
452 deviated towards the mean expression intensity). In previous studies using only one identity  
453 face little information about the individual exemplar expressions appeared to be retained  
454 ([Haberman & Whitney, 2007, 2009](#)). The memory for individual expressions seen here may  
455 reflect the increased discriminability of facial expressions displayed on different identities  
456 ([see Avons, 1999 for evidence that similarity of visual stimuli reduces accuracy of short term](#)  
457 [memory](#)). Our finding that ensemble expression representations influence memory for the

458 individual expressions in a group parallels findings for ensemble coding of properties of  
459 simple objects ([Brady & Alvarez, 2011](#); [Brady, Konkle, Oliva, & Alvarez, 2009](#); [Brady &  
460 Tenenbaum, 2013](#)). Thus, although there is evidence that ensemble coding for higher level  
461 properties such as facial expression is supported by a system that is separate to a system  
462 supporting ensemble coding for low-level properties such as size ([Haberman, Brady, &  
463 Alvarez, 2015](#)), our findings suggest that both types of ensemble representation can have  
464 similar effects on memory for the properties of individual group members.

465 Another line of evidence has suggested that ensemble representations of face  
466 properties systematically influence representations' of individual faces in groups. Walker and  
467 Vul ([2013](#)) showed that individual faces are perceived as more attractive when seen in a  
468 group than when seen alone. This finding, known as the “cheerleader effect”, has been  
469 attributed to the influence of the ensemble identity representation on perception of  
470 attractiveness of the individual faces. Average faces (such as an ensemble identity) are  
471 generally perceived as attractive ([Rhodes, 2006](#)), so it is argued that attractiveness ratings for  
472 the individual faces are pulled up by the group ensemble identity. However, others have  
473 argued that the “cheerleader effect” could be explained by selective attention to the most  
474 attractive individual in a group ([van Osch, Blanken, Meijs, & van Wolferen, 2015](#)). Crucially,  
475 here we found that memory for individual expressions was biased toward the average  
476 expression intensity regardless of whether the individual expression was more or less intense  
477 than the average, which rules out selective attention to the most emotionally intense  
478 expression in a group as the source of the effect. The demonstration of the cheerleader effect  
479 (Walker and Vul, 2013), and the present data provide converging evidence that ensemble  
480 representations can influence the coding of information about the individual faces in a group  
481 (here, different expression intensities), and that this effect cannot be explained by higher  
482 selective attention to the most intense face in a group.

483           A bias towards the mean property of a group, as seen here, reflects the integration of  
484 ensemble information with information about individual members of a group. It has been  
485 suggested that the optimal combination of ensemble and individual information could serve  
486 to minimize the effects of perceptual errors that result from capacity limitation during  
487 encoding or retrieval of individual group members ([Brady & Alvarez, 2011](#)). When viewing a  
488 crowd, capacity limitations (e.g., too little time to attend to all individual faces) may lead to  
489 either inaccurate representations of each individual's expression, or coding only a subset of  
490 the group's faces. In contrast, ensemble representations have been shown to accurately  
491 represent the mean facial expression of all faces of the group, even when encoding time is  
492 very limited ([Haberman & Whitney, 2009](#)). Therefore, it is possible that a bias to see  
493 individual faces to be slightly more like the group mean reflects an adaptive "optimal  
494 integration" process ([Brady & Alvarez, 2011](#)) by which the visual system integrates the  
495 group information (which contains some information about the individuals) into the  
496 representation of the individual items, to increase accuracy of the individual representations,  
497 on average. Knowing something about the group, e.g., that the faces were overall very angry,  
498 could help us decide that one of the faces that we have perceived as relatively neutral could in  
499 fact be more angry than we thought, particularly if our memory for this face is inaccurate.

500           A strategy of "optimal integration" ([Brady & Alvarez, 2011](#)) might predict that the  
501 knowledge about the group mean becomes increasingly useful (and could thus cause stronger  
502 bias), as our knowledge of an individual becomes less accurate. If we know very little about  
503 an individual face of a group, but have encoded the group as overall very angry, it is  
504 reasonable to assume that the individual face was about as angry as the group on average. If  
505 however we have a very accurate representation of an individual face's expression, we might  
506 not need the ensemble group information at all. Future research could establish the precise  
507 relationship between representation strength of individual expressions and the strength of the

508 bias towards the mean expression of the group, for instance, by manipulating the presentation  
509 duration of the study group. Longer presentation times would be expected to facilitate the  
510 coding of individual exemplars, thus potentially reducing the influence of the ensemble,  
511 which would be indicated by a weaker bias towards the mean expression intensity of a group.

512         Finally, in our study we tested participants' visual short-term memory for a previously  
513 presented expression. However, it is possible that the bias observed in Experiment 1 is the  
514 result of a bias that occurs during perception, rather than a bias that occurs when expressions  
515 are stored in short term memory. A perceptual source of the bias would be consistent with  
516 evidence from ensemble perception of simpler features, for instance the computations of  
517 orientation statistics from Gabor patches ([Ross & Burr, 2008](#)). From the present data we are  
518 unable to determine whether the observed bias occurs in perception or memory (or both).  
519 Whether there is a bias in perception, which alters how the expressions individuals in  
520 crowds are perceived, will be an interesting question for future studies.

521

522

## Conclusion

523

This study demonstrates that judgements of the intensity of individual facial

524

expressions in a group are biased towards the group “ensemble” expression intensity. This

525

bias suggests that ensemble coding of expression occurs for groups that consist of different

526

identity faces. It also shows, for the first time, that information about the ensemble expression

527

of a group is integrated with information about the individual group expressions, consistent

528

with an “optimal integration model” ([Brady & Alvarez, 2011](#)). More generally, our results

529

add to increasing evidence that emotional expression processing is malleable and generally

530

affected by context ([Barrett et al., 2011](#)).

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