Original Research Article

The 12-Word Philadelphia Verbal Learning Test Performances in Older Adults: Brain MRI and Cerebrospinal Fluid Correlates and Regression-Based Normative Data

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Keywords

Abstract

Background/Aims: This study evaluated neuroimaging and biological correlates, psychometric properties, and regression-based normative data of the 12-word Philadelphia Verbal Learning Test (PVLT), a list-learning test. ***Methods:*** Vanderbilt Memory and Aging Project participants free of clinical dementia and stroke (*n* = 230, aged 73 ± 7 years) completed a neuropsychological protocol and brain MRI. A subset (*n* = 111) underwent lumbar puncture for

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analysis of Alzheimer's disease (AD) and axonal integrity cerebrospinal fluid (CSF) biomarkers. Regression models related PVLT indices to MRI and CSF biomarkers adjusting for age, sex, race/ethnicity, education, *APOE-ε4* carrier status, cognitive status, and intracranial volume (MRI models). Secondary analyses were restricted to participants with normal cognition (NC; *n* = 127), from which regression-based normative data were generated. ***Results:*** Lower PVLT performances were associated with smaller medial temporal lobe volumes ($p < 0.05$) and higher CSF tau concentrations ($p < 0.04$). Among NC, PVLT indices were associated with white matter hyperintensities on MRI and an axonal injury biomarker (CSF neurofilament light; $p < 0.03$). ***Conclusion:*** The PVLT appears sensitive to markers of neurodegeneration, including temporal regions affected by AD. Conversely, in cognitively normal older adults, PVLT performance seems to relate to white matter disease and axonal injury, perhaps reflecting non-AD pathways to cognitive change. Enhanced normative data enrich the clinical utility of this tool.

Introduction

Alzheimer's disease (AD) is an important public health issue, especially as the population continues to age. Episodic memory impairment is an essential and early feature of AD [1, 2]. Patterns of performance obtained from verbal list-learning tasks assessing episodic memory provide a wealth of information regarding underlying brain-behavior relations. Moreover, episodic memory impairment is one of the best predictors of future AD conversion [1]. Verbal list-learning tasks are widely recognized as critical tools for early detection of AD given their link with early pathological changes of AD [3]. Specifically, these measures correspond to amyloid deposition as measured by cerebrospinal fluid (CSF) amyloid-beta 42 ($A\beta_{42}$) [4] and PET radioligands [5], neurodegeneration evidenced by hippocampal atrophy [6] and CSF tau [4], and white matter disease captured by white matter hyperintensities (WMH) [7].

The Philadelphia Verbal Learning Test (PVLT) is a serial list-learning task that was modeled after the California Verbal Learning Test (CVLT) [8]. It was originally developed as a 9-word list to assess episodic learning and memory in older adults with dementia for the purposes of differential diagnosis [9, 10]. More recently, the PVLT has been updated to include a 12-word version for use in detecting early stages of cognitive impairment in older adults [11]. Similar to the CVLT, a multi-item shopping list consisting of three categories is presented to increase ecological validity with a familiar, real-life activity. The PVLT measures rate of acquisition, retrieval (free and cued recall), recognition (encoding), and susceptibility to proactive and retroactive interference. After five free recall trials of the List A shopping list, a distractor shopping list (List B) is presented with semantically related and unrelated items, followed by short delay free and cued recall, long delay free and cued recall, and recognition test conditions [11]. The 12-word PVLT offers a number of key advantages over existing episodic memory assessments. First, PVLT items were derived from prototypical exemplars based upon a sample of cognitively normal community-dwelling older adult volunteers [9]. See Price et al. [9] (2009) and Bezdicek et al. [11] (2014) for more detailed descriptions of prototypical exemplar derivation. Briefly, community dwelling, non-patient research volunteers were given 2 minutes to write down as many objects (exemplars) as possible from different categories (e.g., fruit, office supplies, cleaning supplies). Exemplars (words) with moderate familiarity or prototypicality (words generated by ~50% of the volunteers) were selected as the PVLT items. This selection process minimizes the chance that PVLT performance is influenced by item familiarity. Second, the PVLT comes with multiple versions, including three 9-word versions that facilitate repeated assessment and

minimize floor effects in more impaired patients and a 12-word list that was designed for detection of early stages of cognitive decline. Third, the PVLT offers a robust learning paradigm with five immediate free recall learning trials, a distractor trial, and cued recall trials that allows for the assessment of proactive and retroactive interference. Fourth, the recognition paradigm balances items from the original list (List A) and the distractor list (List B) with semantic and unrelated foils, allowing for generation of a more comprehensive set of error and recognition metrics. Finally, the PVLT is free and publicly available, has an alternate test form, and is a cost-effective tool for inclusion in both clinical and research settings.

However, critical validity data for the 12-word PVLT is lacking in older adult populations, thus limiting its utilization. Foremost, establishing a link between the 12-word PVLT and markers of unhealthy brain aging is essential for demonstrating this test's utility for identifying early pathology in older adults. Existing work suggests that retrieval deficits on the 9-word PVLT are associated with greater amounts of white matter damage, as assessed by MRI [12, 13]. However, whether the tool correlates with pathology in brain regions necessary for learning and memory (e.g., medial temporal lobe) or is affected by pathological processes common in aging adults (e.g., amyloidosis, neurodegeneration) is not yet known. Additionally, normative data for the 12-word PVLT are limited, with the only published data being derived from a Czech population of older adults [11].

This study has several objectives. First, using a community sample of older adults aged 60–92 years and free of clinical dementia and stroke [14], we sought to identify biomarker correlates of performance patterns on the 12-word PVLT. We hypothesized that PVLT indices would relate to biomarker evidence of amyloid pathology measured by CSF $A\beta_{42}$ [4], neurodegeneration measured by brain MRI [6] and CSF total tau [4, 6, 15], WMH on brain MRI [16], and axonal injury assessed by CSF neurofilament light (NFL) [17]. Second, in a subset of participants with normal cognition (NC), we provide regression-based normative data accounting for demographic variables that often confound cognitive performance, including age, sex, and education [18]. The current study provides data supporting the utilization of the 12-word PVLT for assessing verbal episodic memory in older adults.

Methods

Participants

Participant data were drawn from the Vanderbilt Memory & Aging Project, a longitudinal observational study investigating vascular health and brain aging, enriched for mild cognitive impairment (MCI) [14]. Participants were recruited from the community through postal mailings, radio advertisements, newsletters, research distribution emails, community events, websites, and word of mouth. Inclusion required participants be aged 60 years or older, native English speakers, have adequate auditory and visual acuity for testing purposes, and have a reliable study partner. At eligibility, participants underwent medical history and record review, clinical interview, including functional questionnaire [19] and Clinical Dementia Rating (CDR) [20] with the informant, and neuropsychological assessment. Participants were excluded for a cognitive diagnosis other than the following:

1 NC or cognitively unimpaired was defined as (a) CDR = 0 (no dementia), (b) no activities of daily living deficits attributable to cognitive impairment, and (c) no objective neuropsychological impairment defined as standard scores falling 1.5 standard deviations within the age-adjusted normative mean.

2 Early MCI was defined as (a) CDR = 0.5 (reflecting mild severity of impairment), (b) no activities of daily living deficits attributable to cognitive issues, and (c) no objective
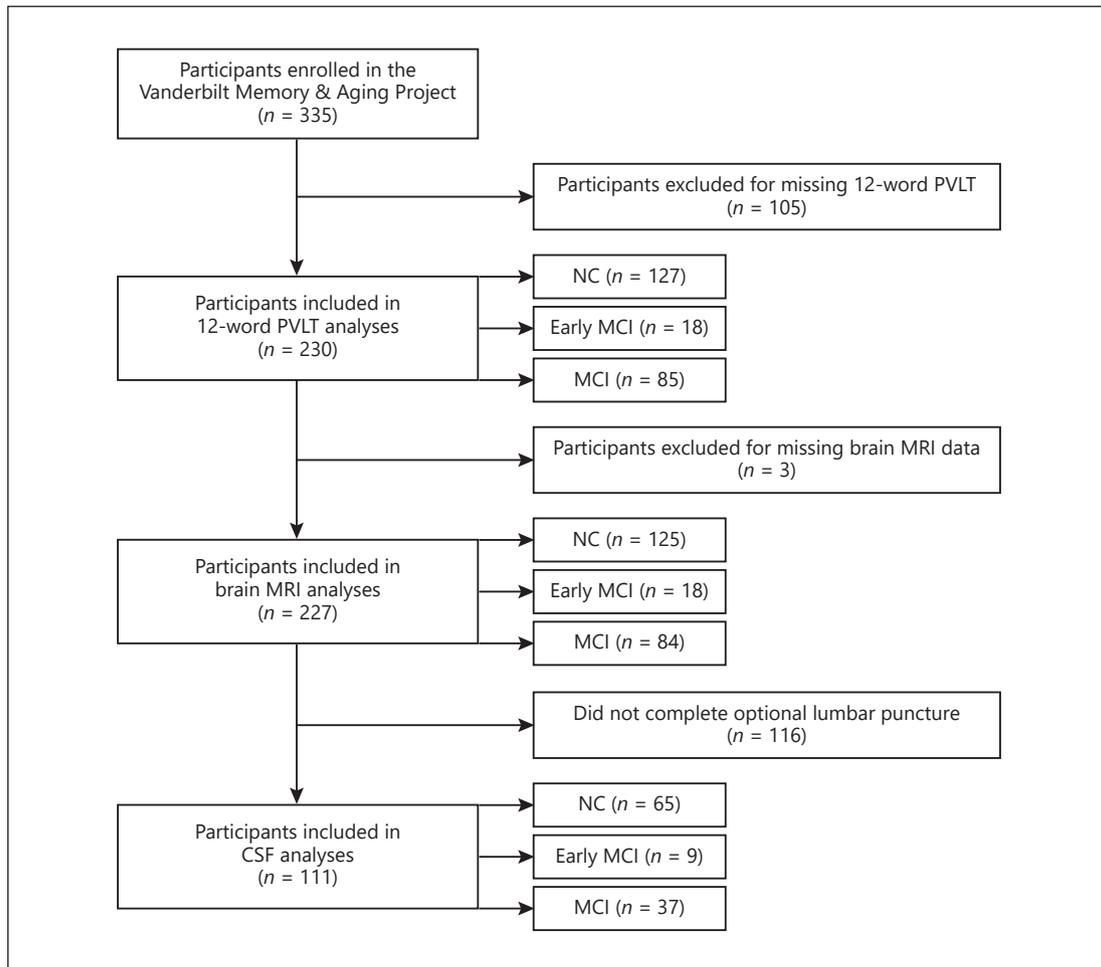
Fig. 1. Participant inclusion/exclusion criteria. Missing data categories are mutually exclusive. PVLT, 12-word Philadelphia Verbal Learning Test; CSF, cerebrospinal fluid; NC, normal cognition; MCI, mild cognitive impairment.

neuropsychological impairment defined as standard scores falling 1.5 standard deviations within the age-adjusted normative mean [21].

3   MCI was defined as (a) CDR = 0 or 0.5 (reflecting mild severity of impairment), (b) relatively spared activities of daily living, (c) objective neuropsychological impairment within at least one cognitive domain (i.e., performances falling greater than 1.5 standard deviations outside the age-adjusted normative mean or pre-morbid level of functioning), (d) concern of a cognitive change by the participant, informant, or clinician, and (e) absence of a dementing syndrome [3].

Additional exclusions included MRI contraindication, a history of neurological disease (e.g., dementia, multiple sclerosis, stroke), heart failure, major psychiatric illness, head injury with loss of consciousness >5 minutes, or a systemic or terminal illness affecting follow-up examination participation. At enrollment, participants completed a comprehensive evaluation, including clinical interview, neuropsychological assessment, and multi-modal brain MRI. A subset completed a lumbar puncture. For the purposes of this study, participants were excluded for missing 12-word PVLT data (n = 105), resulting in 230 participants aged 60–92 years (see Fig. 1).

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Neuropsychological Assessment

Participants completed a neuropsychological protocol that has been described previously [14]. Briefly, measures were carefully selected to preclude floor or ceiling effects and were not used to screen or select participants into the study. As part of this protocol, individuals completed a screener of depressive symptoms, the Geriatric Depression Scale [22]. Of note, the 12-word PVLT was administered as part of a separate neuropsychological protocol and PVLT scores were not used to determine diagnostic status. PVLT methods are described in the Introduction above and indices examined in the current study include List A Total Learning (across 5 learning trials), Short Delay Free Recall, Short Delay Cued Recall, Long Delay Free Recall, Long Delay Cued Recall, and Long Delay Recognition Total Discrimination. See Table 1 for details.

Brain MRI

Participants were scanned at the Vanderbilt University Institute of Imaging Science on a 3T Philips Achieva system (Best, The Netherlands) with 8-channel SENSE reception. Parameters and post-processing steps have been detailed elsewhere [14]. Briefly, T1-weighted MPRAGE images (isotropic spatial resolution = 1 mm^3) were used to calculate regions of interest and intracranial volume using multi-atlas segmentation [23], and T2-weighted fluid-attenuated inversion recovery (FLAIR) images ($0.45 \times 0.45 \times 4$ mm^3) were acquired for quantification of WMH and post-processed using the Lesion Segmentation Tool toolbox for SPM8 [24].

CSF Acquisition

A subset of individuals ($n = 111$) completed an optional morning fasting lumbar puncture. CSF was collected with polypropylene syringes using a Sprotte 25-gauge spinal needle in an intervertebral lumbar space. Samples were immediately mixed and centrifuged, and supernatants were aliquoted in 0.5-mL polypropylene tubes and stored at –80°C. Samples were analyzed in batch using commercially available enzyme-linked immunosorbent assays (ELISA; Fujirebio, Ghent, Belgium) to determine levels of $A\beta_{42}$ (INNOTEST® β-AMYLOID$_{(1–42)}$) and total tau (INNOTEST® hTAU). NFL was measured using a commercially available ELISA (Uman Diagnostics, Umeå, Sweden). Processing was completed by board-certified laboratory technicians who were blinded to the clinical information [25]. Intra-assay CVs were <10%.

Statistical Analysis

Descriptive statistics were calculated for age, sex, self-reported race/ethnicity, education, mood – assessed with the Geriatric Depression Scale (GDS) total score [22], neuropsychological performances, CSF levels, brain MRI variables, and apolipoprotein E ε4 (APOE-ε4) carrier status (positive: ε2/ε4, ε3/ε4, ε4/ε4; negative: ε2/ε2, ε2/ε3, ε3/ε3). To assess construct validity, linear regressions related PVLT indices (List A Total Learning, Short Delay Free Recall, Short Delay Cued Recall, Long Delay Free Recall, Long Delay Cued Recall, and Long Delay Recognition Total Discrimination) to brain MRI and CSF markers of brain health, including amyloidosis (CSF $A\beta_{42}$), neurodegeneration (hippocampal volumes, entorhinal cortex volumes, inferior lateral ventricle volumes, CSF total tau), log-transformed white matter macrostructure changes (WMH), and axonal injury (CSF NFL). Models were adjusted for age, sex, race/ethnicity, education, APOE-ε4 carrier status, cognitive diagnosis, and intracranial volume (for brain MRI models). Significance was set a priori at $p < 0.05$. Correction for multiple comparisons was performed using the false discovery rate (FDR) procedure and are presented alongside the uncorrected p values. Exploratory models were repeated restricting the sample to NC only.

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Table 1. 12-Word Philadelphia Verbal Learning Test: protocol and variable derivation

Variable	Description	Range
PVLT List A Total Learning (Trials 1–5)	Assesses learning for a set of 12 words across 5 learning trials	0–60
Trials 1–5 Primacy	Number of words recalled from the beginning of the list (items 1–4; primacy effect)	0–20
Trials 1–5 Middle	Number of words recalled from the middle of the list (items 5–8)	0–20
Trials 1–5 Recency	Number of words recalled from the end of the list (items 9–12; recency effect)	0–20
PVLT Distractor Trial (Trial B)	Assesses interference of learning a similar, novel list of 12 words	0–12
PVLT Short Delay Free Recall	Assesses immediate free recall for a set of 12 words following 5 learning trials and presentation of a 12-item distractor trial (without re-exposure to the original 12 test items)	0–12
PVLT Short Delay Cued Recall	Assesses immediate cued (grouping) recall for a set of 12 words	0–12
PVLT Long Delay Free Recall	Assesses delayed recall for a set of 12 words following a 20-minute filled delay	0–12
PVLT Long Delay Cued Recall	Assesses delayed cued (grouping) recall for a set of 12 words after a 20-minute filled delay	0–12
PVLT Long Delay Recognition Total Correct	Assesses recognition of the 12 words in a 48-word randomized set after a 20-minute filled delay	0–12
PVLT Long Delay Recognition Total False Alarms	The number of total false positives (Distractor + Semantic + Unrelated) recognized from a 48-word randomized set after a 20-minute filled delay	0–36
PVLT Long Delay Recognition Discriminability	Assesses ability to recognize the list of 12 words from related and nonrelated nontarget words after a 20-minute filled delay ((Long Delay Recognition Total Correct + 0.5)/13) – ((Long Delay Recognition Total False Alarms + 0.5)/37)	0–1
PVLT Repetitions	The total number of word repetitions given across all trials (Trials 1–5, Distractor, Short Delay Free/Cued, and Long Delay Free/Cued)	n/a
PVLT Intrusions	The total number of incorrect words given across all trials (Trials 1–5, Distractor, Short Delay Free/Cued, and Long Delay Free/Cued)	n/a

Regression-based normative data were calculated from the NC and White/non-Hispanic subgroup using multiple regression analyses adjusting for age, sex, and education (common demographic confounders of episodic memory performance). Individuals who self-declared as non-White or Hispanic were excluded from the normative analyses due to the small sample size (n = 16) and resulting concerns about non-generalizability of the normative calculations among this small cohort. The raw scores on the PVLT indices were used as outcomes, including List A Total Learning, Distractor Trial, Short Delay Free Recall, Short Delay Cued Recall, Long Delay Free Recall, Long Delay Cued Recall, Long Delay Recognition Total Discrimination, and Total Intrusions (see Table 1). Sex was coded as male = 0, female = 1. Age and education in years were treated as continuous variables. Multi-collinearity was assessed by calculating variance inflation factors (VIF) and goodness of fit was assessed by visual inspection of residual plots for a functional form of the adjusting variables. Intercepts, β-coefficients, and

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Table 2. Participant characteristics

	NC (n = 127)	Early MCI (n = 18)	MCI (n = 85)	Total (n = 230)	p value
Demographic characteristics					
Age, years	73±7	72±7	74±7	73±7	0.92
Sex, % female	31	22	34	31	0.60
Race, % White/non-Hispanic	87	89	91	89	0.77
Education, years	16±3	16±2	15±3	16±3	0.003*·§
APOE-ε4, % carrier	26	22	41	31	0.045*
12-Word Philadelphia Verbal Learning Test performances					
List A Trial 1	5.7±1.7	5.2±1.7	4.4±1.5	5.2±1.7	<0.001*·§
List A Trial 2	8.0±1.9	6.7±1.7	5.8±1.7	7.1±2.1	<0.001*·‡·§
List A Trial 3	9.3±2.0	8.0±1.7	6.5±1.9	8.2±2.3	<0.001*·‡·§·¶
List A Trial 4	9.9±1.9	8.8±1.9	7.1±2.1	8.8±2.4	<0.001*·‡·§·¶
List A Trial 5	10.2±1.8	9.1±2.2	7.5±2.0	9.1±2.3	<0.001*·‡·¶
List A Total Learning (Trials 1–5)	43.1±7.8	37.8±6.9	31.5±7.6	38.4±9.4	<0.001*·‡·§·¶
Trials 1–5 Primacy	15.6±3.2	13.9±3.3	11.9±4.0	14.1±3.9	<0.001*·§
Trials 1–5 Middle	12.6±3.8	9.6±3.2	7.1±3.9	10.4±4.6	<0.001*·‡·§·¶
Trials 1–5 Recency	14.8±2.9	14.3±3.0	12.2±3.4	13.8±3.3	<0.001*·§
Trials 1–5 Learning Slope	1.1±0.5	1.0±0.6	0.8±0.4	1.0±0.5	<0.001*·§
PVLT Distractor Trial (List B)	5.7±1.9	4.7±1.7	4.0±1.5	5.0±1.9	<0.001*·§
PVLT Short Delay Free Recall	9.2±2.5	6.4±2.8	4.7±3.1	7.3±3.5	<0.001*·‡·§
PVLT Short Delay Cued Recall	9.9±1.8	8.3±2.6	6.4±2.4	8.5±2.7	<0.001*·‡·§·¶
PVLT Long Delay Free Recall	9.3±2.5	6.5±3.0	4.8±3.2	7.4±3.5	<0.001*·‡·§
PVLT Long Delay Cued Recall	9.9±2.0	7.8±2.6	6.3±2.7	8.4±2.9	<0.001*·‡·§
PVLT Long Delay Recognition Total Correct	11.4±0.9	11.1±1.1	10.4±1.8	11.0±1.4	<0.001*·§
PVLT Long Delay Recognition Total False Alarms	1.5±2.7	5.4±5.1	7.8±6.8	4.1±5.7	<0.001*·‡·§
PVLT Long Delay Recognition Discriminability	0.86±0.10	0.73±0.17	0.61±0.23	0.76±0.20	<0.001*·‡·§
PVLT Repetitions[a]	3.2±4.7	3.6±3.6	2.5±2.6	3.0±4.0	0.24
PVLT Intrusions[a]	2.2±2.8	5.7±4.6	6.5±5.9	4.1±4.8	<0.001*·‡·§
Brain MRI measures					
Right hippocampal volume, mm³	3,937±448	3,691±382	3,707±438	3,833±453	0.002*·§
Left hippocampal volume, mm³	3,585±430	3,339±350	3,295±471	3,458±461	<0.001*·§
Right inferior lateral ventricle volume, mm³	867±459	839±437	1,137±653	965±551	0.008*·§
Left inferior lateral ventricle volume, mm³	945±482	940±554	1,317±865	1,082±677	0.006*·§
Right entorhinal cortex volume, mm³	2,333±371	2,295±318	2,295±336	2,316±353	0.74
Left entorhinal cortex volume, mm³	2,210±332	2,192±315	2,097±375	2,167±350	0.03*·§
White matter hyperintensities, cm³	10.5±12.1	11.6±11.0	19.9±20.5	14.1±16.2	<0.001*·§
White matter hyperintensities (log-transformed), cm³	2.0±0.9	2.2±0.8	2.6±1.0	2.3±1.0	<0.001*·§
Intracranial volume, cm³	1,400±133	1,408±113	1,414±154	1,406±139	0.89
Cerebrospinal fluid markers					
Amyloid-β$_{42}$, pg/mL	769±227	889±260	618±233	728±245	0.001*·§·¶
Total tau, pg/mL	373±181	451±99	499±244	421±206	0.009*·§
Neurofilament light, pg/mL	959±466	1,145±477	1,395±795	1,120±625	<0.001*·§

Data are presented as mean ± standard deviation or frequency. NC, normal control; MCI, mild cognitive impairment; *APOE-ε4*, apolipoprotein E ε4 carrier; *PVLT*, 12-word Philadelphia Verbal Learning Test. * Significance threshold at $p < 0.05$. * Significance threshold at $p < 0.05$; ‡ NC > early MCI; § NC > MCI; ¶ early MCI > MCI. [a] higher score reflects worse performance.

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the root-mean-squared error for each model were calculated for derivation of a predicted PVLT performance value using the following equation (Equation 1):

Predicted score = β_0 (intercept) + β_{age} × age (years) + β_{sex} × sex (0 = male, 1 = female) + $\beta_{education}$ × education (years). (1)

To calculate a z-score normative value, the predicted score calculated in Equation 1 was used within the following equation (Equation 2) [26]:

z-score = (observed score – predicted score)/root-mean-squared error. (2)

All analyses were conducted using R 3.4.3 (www.r-project.org).

Results

Participant Characteristics
A total of 230 participants were included ranging in age from 60 to 92 years (73 ± 7 years), including 31% female. A majority of participants self-declared as White/non-Hispanic (89%). The entire sample had an education range from 7 to 20 years (16 ± 3 years). Global cognition as assessed by the MoCA ranged from 14 to 30 (25 ± 3). See Table 2 for participant characteristics and 12-word PVLT performances presented by the diagnostic groups. A subsample (n = 111) completed lumbar puncture (73 ± 7 years), including 24% female, 95% self-declared White/non-Hispanic, and education of 16 ± 3 years. The group completing a lumbar puncture had more males and White/non-Hispanic participants compared to the group who did not complete a lumbar puncture (see online suppl. Table 1 for full characteristics; for all online suppl. material, see www.karger.com/doi/10.1159/000494209).

12-Word PVLT and Markers of Brain Health (Entire Cohort)
Amyloidosis
Worse performance on only PVLT List A Total Learning was associated with lower CSF Aβ_{42} levels (p = 0.02; FDR corrected = 0.04). See Table 3 for details.

Neurodegeneration
Worse performance on all PVLT indices was associated with higher CSF total tau levels (p < 0.04; FDR corrected = 0.01–0.06). Worse performance on all PVLT indices was associated with smaller left hippocampal (p < 0.004; FDR corrected = 0.01–0.02), smaller left entorhinal cortex (all p < 0.01; FDR corrected = 0.01–0.02), and greater (reflecting more neurodegeneration) left inferior lateral ventricle (p < 0.03; FDR corrected = 0.01–0.049) volumes. Worse performance on most PVLT indices was also associated with smaller right hippocampal volume (p < 0.03; FDR corrected = 0.04–0.049) with the exception of PVLT Long Delay Free Recall (p = 0.06) and Long Delay Recognition Total Discrimination (p = 0.09). Worse performance on all PVLT indices was associated with smaller right entorhinal cortex volume (p < 0.04; FDR corrected = 0.01–0.06). Worse performance on most PVLT indices was associated with larger right inferior lateral ventricle volume (p < 0.05; FDR corrected = 0.01–0.049) with the exception of Short Delay and Long Delay Cued Recalls (p > 0.06). See Table 3 for details.

Axonal Integrity and White Matter Disease
Worse performance on PVLT Long Delay Cued Recall was only associated with higher CSF NFL levels (p = 0.03; FDR corrected = 0.049). Worse performance on PVLT Short Delay Free

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Table 3. 12-Word Philadelphia Verbal Learning Test in relation to brain and CSF biomarkers: entire cohort

	PVLT List A Total Learning		PVLT Short Delay Free Recall		PVLT Short Delay Cued Recall	
	β	*p* value	β	*p* value	β	*p* value
CSF Aβ$_{42}$	5.96	0.02*	2.12	0.78	13.82	0.15
CSF T-tau	−6.53	0.008*	−17.41	0.01*	−20.22	0.02*
CSF NFL	−11.27	0.11	−31.04	0.12	−32.01	0.21
Right hippocampus volume	8.36	0.02*	20.73	0.03*	25.98	0.03*
Left hippocampus volume	10.64	0.004*	35.48	<0.001*	48.25	<0.001*
Right entorhinal cortex volume	6.43	0.03*	17.23	0.04*	33.73	0.001*
Left entorhinal cortex volume	8.25	0.005*	20.53	0.01*	40.21	<0.001*
Right inferior lateral ventricle volume	−10.01	0.03*	−25.93	0.04*	−30.45	0.06
Left inferior lateral ventricle volume	−16.71	0.004*	−37.60	0.02*	−60.63	0.002*
WMH volume	−0.01	0.12	−0.05	0.048*	−0.05	0.10

	PVLT Long Delay Free Recall		PVLT Long Delay Cued Recall		PVLT Discrimination	
	β	*p* value	β	*p* value	β	*p* value
CSF Aβ$_{42}$	8.65	0.21	7.20	0.42	257.34	0.07
CSF T-tau	−13.70	0.03*	−17.39	0.04*	−406.58	0.002*
CSF NFL	−34.27	0.06	−51.94	0.03*	−174.11	0.65
Right hippocampus volume	16.99	0.06	24.22	0.03*	261.65	0.09
Left hippocampus volume	39.30	<0.001*	45.17	<0.001*	589.50	<0.001*
Right entorhinal cortex volume	23.51	0.002*	29.54	0.002*	440.45	<0.001*
Left entorhinal cortex volume	24.81	0.001*	36.60	<0.001*	413.95	0.002*
Right inferior lateral ventricle volume	−23.77	0.049*	−24.36	0.10	−428.12	0.04*
Left inferior lateral ventricle volume	−37.93	0.01*	−40.29	0.03*	−828.77	0.001*
WMH volume	−0.05	0.01*	−0.05	0.046*	−0.41	0.28

PVLT, 12-word Philadelphia Verbal Learning Test; CSF, cerebrospinal fluid; Aβ, amyloid-beta; T-tau, total tau; NFL, neurofilament light; WMH, white matter hyperintensity (log-transformed). * Significance threshold at *p* < 0.05.

Recall, Long Delay Free Recall, and Long Delay Cued Recall was associated with greater WMH (*p* < 0.05; FDR corrected = 0.02–0.07). See Table 3 for details.

12-Word PVLT and Markers of Brain Health: Exploratory Analyses in NC Only
Secondary exploratory analyses repeating models limited to NC participants revealed no associations between any PVLT variable and CSF Aβ$_{42}$ or total tau (*p* > 0.19). See Table 4 for details.

Worse performance on PVLT Long Delay Free Recall (*p* = 0.02) was associated with smaller left hippocampal volume. PVLT indices were not related to any other brain region of interest (all *p* > 0.09). See Table 4 for details.

Worse performance on all PVLT indices (all *p* < 0.03) was associated with higher CSF NFL. Additionally, worse performance on PVLT Short Delay Cued Recall, PVLT Long Delay Free Recall, and PVLT Long Delay Recognition Total Discrimination (*p* < 0.05) was associated with greater WMH burden. See Table 4 for details.

12-Word PVLT Regression-Based Normative Data
Review of VIF revealed no multicollinearity between demographic variables (all VIF < 1.4). The residuals plotted against predicted values did not reveal any systematic patterns,

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Table 4. 12-Word Philadelphia Verbal Learning Test in relation to brain and CSF biomarkers: cognitively normal cohort

	PVLT List A Total Learning		PVLT Short Delay Free Recall		PVLT Short Delay Cued Recall	
	β	p value	β	p value	β	p value
CSF Aβ$_{42}$	4.55	0.23	7.00	0.56	21.48	0.19
CSF T-tau	−2.35	0.44	−6.87	0.47	−3.05	0.82
CSF NFL	−21.58	0.003*	−64.18	0.004*	−76.60	0.02*
Right hippocampus volume	3.13	0.50	19.27	0.17	3.02	0.87
Left hippocampus volume	4.16	0.34	22.27	0.09	12.51	0.48
Right entorhinal cortex volume	−0.06	0.99	8.84	0.48	17.23	0.31
Left entorhinal cortex volume	−0.67	0.85	7.11	0.49	13.00	0.36
Right inferior lateral ventricle volume	2.35	0.68	0.99	0.95	−2.97	0.90
Left inferior lateral ventricle volume	0.54	0.93	5.63	0.76	8.85	0.72
WMH volume	−0.01	0.32	−0.05	0.15	−0.10	0.03*

	PVLT Long Delay Free Recall		PVLT Long Delay Cued Recall		PVLT Discrimination	
	β	p value	β	p value	β	p value
CSF Aβ$_{42}$	5.88	0.62	9.88	0.53	−53.49	0.86
CSF T-tau	5.18	0.58	−2.07	0.87	181.36	0.44
CSF NFL	−58.21	0.01*	−68.76	0.02*	−1,286.67	0.03*
Right hippocampus volume	15.99	0.25	5.61	0.75	375.52	0.28
Left hippocampus volume	29.61	0.02*	17.14	0.29	587.23	0.07
Right entorhinal cortex volume	18.19	0.14	24.81	0.11	388.41	0.21
Left entorhinal cortex volume	12.57	0.22	14.45	0.26	254.91	0.31
Right inferior lateral ventricle volume	−3.89	0.82	1.20	0.96	−129.82	0.76
Left inferior lateral ventricle volume	−0.46	0.98	5.76	0.80	60.24	0.89
WMH volume	−0.07	0.03*	−0.07	0.09	−1.70	0.045*

PVLT, 12-word Philadelphia Verbal Learning Test; CSF, cerebrospinal fluid; Aβ, amyloid-beta; T-tau, total tau; NFL, neuro-filament light; WMH, white matter hyperintensity (log-transformed). * Significance threshold at $p < 0.05$.

suggesting an appropriate functional form of demographic variables and goodness of fit. Means, intercepts, and regression coefficients are presented in Table 5 for transforming raw scores into demographically adjusted z-scores using Equations 1 and 2 in Statistical Analysis.

For illustrative purposes, normative data for PVLT Total Learning were calculated for a 75-year old woman with 16 years of education. Using Equation 1, the predicted score was calculated as follows:

56.71 (PVLT Total Learning intercept) + −0.40 × 75 (PVLT Total Learning $β_{age}$ × actual age) + 7.71 × 1 (PVLT Total Learning $β_{sex}$ × 1 = female) + 0.85 × 16 (PVLT Total Learning $β_{education}$ × number of years of education completed) = 48.02. (1)

To calculate a normative value with an obtained score on PVLT Total Learning of 36, Equation 2 is used:

(36 [observed score] – 48.02 [predicted score])/6.86 [root-mean-squared error for PVLT Total Learning] for a resultant z-score of –1.75. (2)

In this illustration, a total learning score of 36 for a 75-year old woman with a college education would be impaired at z = –1.75.

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Table 5. Mean and regression coefficients for normative data calculation

	Mean ± SD	Intercept	β (age)	β (sex)	β (education)	RMSE
PVLT List A Total Learning	43.1±7.8	56.71***	−0.40***	7.71***	0.85**	6.86
PVLT Distractor Trial	5.7±1.9	9.99***	−0.08**	1.44***	0.07	1.73
PVLT Short Delay Free Recall	9.2±2.5	13.37***	−0.12***	1.92***	0.27**	2.26
PVLT Short Delay Cued Recall	9.9±1.8	10.36***	−0.06*	1.77***	0.20**	1.67
PVLT Long Delay Free Recall	9.3±2.5	13.44***	−0.12***	2.28***	0.23*	2.22
PVLT Long Delay Cued Recall	9.9±2.0	12.01***	−0.08**	1.82***	0.18*	1.75
PVLT Total Discrimination	0.86±0.10	1.09***	−0.006***	0.09***	0.01**	0.09
PVLT Total Intrusions	2.2±2.8	−0.59	0.06	−0.58	−0.08	2.82

PVLT, Philadelphia Verbal Learning Test; RMSE, root-mean-squared error. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

Discussion

The current study assessed the validity of the 12-word PVLT, a verbal list-learning episodic memory test, and offers robust normative data for increased clinical utility among older adults. When our sample was analyzed as a whole, worse performance on nearly all of the 12-word PVLT indices was related to increased CSF total tau and smaller left medial temporal lobe brain volumes, whereas fewer associations were noted with white matter macrostructure or amyloidosis. However, when analyzing only NC older adult performances, worse PVLT performance was broadly related to increased axonal injury and white matter macrostructure damage.

These results are among the first to highlight that the 12-word PVLT performances are associated with medial temporal lobe structures critical for intact learning and memory [27, 28]. Specifically, the PVLT was broadly related to atrophy of the medial temporal lobe, including the hippocampus and entorhinal cortex, on brain MRI. This regional pattern of atrophy has been linked to episodic memory decline [6], presumably because they are the first regions to be affected by AD pathology [29–31]. PVLT performance was also related to CSF total tau, a biomarker of neurodegeneration. Tau pathology, including neurofibrillary tangles associated with AD, begins in the entorhinal cortex and adjacent limbic structures with evolution into the cortex [32], resulting in axonal loss and neurodegeneration. Tissue volume loss is closely related to CSF total tau [33] and correlated with cognition and disease severity [34], which aligns with the current results suggesting performance on the 12-word PVLT correlates with underlying neurodegeneration. Results remain largely unchanged after correction for multiple comparisons. Overall, the 12-word PVLT appears to have good construct validity for assessing structural integrity of the medial temporal lobe and may be an important tool for the assessment of neurodegenerative disorders.

A different pattern of associations emerged in exploratory analyses including only cognitively normal individuals. Specifically, lower performance on the 12-word PVLT was associated with increased white matter macrostructure damage (WMH) and poorer axonal health (CSF NFL), suggesting these white matter integrity markers are preferentially important to cognition in NC older adults. Prior literature has linked greater WMH to reduced verbal episodic memory performance in cognitively normal older adults [35], consistent with the current results. The NFL association in cognitively normal participants represents a novel finding but is in line with previous research linking NFL and WMH [17, 36, 37]. Collectively, these results might represent age-related changes given that WMH burden increases with age [38] and is associated with age-related decline in verbal episodic memory [39]. Furthermore, these episodic memory changes that occur prior to overt cognitive impairment appear to be

preferentially related to white matter integrity [40] as compared to cortical thickness [41]. Similarly, CSF NFL is hypothesized to be indicative of damage to large-caliber myelinated axons and microstructural integrity within the cerebral white matter [42, 43] that may be highly relevant to cognition prior to clinical impairment. Plus, prior research has linked CSF NFL and WMH burden, including data from this cohort. Alternatively, these results may highlight a non-amyloid pathway to cognitive changes [44, 45], such as cerebral small vessel disease [46, 47]. Regardless of etiology, these findings add to the existing literature and support the importance of white matter health in episodic memory in the absence of, or prior to, overt evidence of clinical or pathological AD. However, given the exploratory nature of the current analyses, these findings require replication.

The limited association between PVLT and CSF amyloid in the entire group and the null associations between PVLT and CSF amyloid in the NC group warrant brief discussion. Structural or CSF markers of neurodegeneration more strongly relate to cognition than amyloidosis [48–50]. Thus, the limited associations reported here between PVLT and CSF amyloid may be due to the temporal nature of AD pathology, with amyloid levels increasing years prior to the onset of neuronal loss and cognitive decline [51–53]. Similarly, the lack of associations between the 12-word PVLT and brain and CSF markers of neurodegeneration in the NC group may have been related to limited pathology in this group or insufficient power to detect differences due to the smaller sample size.

Advancing age was related to poorer performance on all PVLT indices, consistent with previous research linking increasing age with decline in verbal memory [18, 54]. Sex and education were generally related to the PVLT indices, with overall results aligning with previous research suggesting better cognitive performance is related to more years of education and female sex [18]. Given these potential demographic confounds on task performance, the regression-based normative data provided here incorporate all of these demographic factors, allowing for more robust generation of normative data. Of note, race and ethnicity are known confounders of cognitive performance [18]; however, the current study was unable to thoroughly consider race or ethnicity in normative data calculations due to limited diversity in the sample (n = 16). We excluded these participants a priori from the regression-based normative data calculations to prevent the normative information published here from being incorrectly applied to diverse populations (potentially leading to incorrect interpretation of patient performances). This exclusion of participants (and lack of representation in the sample) limits the utilization of this tool, and future work should emphasize expanding the normative data to represent more racially diverse cohorts.

The current study has several strengths. First, this study is the first to link 12-word PVLT performances with various biomarkers of brain health. Similarly, we are among the first to examine the cognitive correlates of CSF NFL in cognitively normal older adults using a comprehensive episodic memory paradigm. Second, the current study provides older adult normative data for the 12-word PVLT for the first time, emphasizing regression-based methodology that incorporates multiple demographic confounds. Finally, all enrolled participants underwent an extensive phenotyping of cognitive status, including CDR interview, medical record and health history review, comprehensive neuropsychological protocol, and consensus decision for diagnostic status. Of note, PVLT performance was not part of the diagnostic determinations; it was administered in a separate testing session.

Despite these strengths, several limitations warrant consideration. First, aspects of the cohort limit generalizability of the results. For example, the sample is predominantly White with a mean college education level. The normative data provided are for White/non-Hispanic individuals due to the small sample of non-White/Hispanic individuals and concerns about non-generalizability of the normative values. These factors should be considered when using the presented normative data and it is essential that future research provide normative data

for younger cohorts and more racially/ethnically diverse cohorts to increase generalization and utility of the 12-word PVLT. Second, the use of CSF biomarkers limits our ability to speak to regional associations of amyloid or tau deposition. Third, as this study is cross-sectional and without longitudinal information, we are unable to confirm that the cognitively normal participants do not have underlying neuropathology that ultimately leads to MCI or dementia conversion. Next, the PVLT items were selected from a single sample within one geographic location. It is possible that the typicality of these words differs between different countries; however, efforts are ongoing to address this limitation and construct PVLT versions in Mandarin, Czech, Spanish, and Farsi. Lastly, the cohort used to derive normative data has a smaller sample size in comparison to previous normative research with other learning and memory tests [55, 56]. However, regression-based normative procedures require smaller samples sizes than traditional normative procedures [57] and allow for simultaneous consideration of multiple factors known to influence cognitive performance. The current study represents a first effort for assessing validity and providing normative data, but future research is needed to replicate these findings with a larger sample.

Overall, this study is among the first to enhance the clinical utility of the 12-word PVLT, an episodic memory tool that assesses learning, encoding, retrieval, recognition, and freedom from interference. Results include regression-based normative data based upon age, sex, and education to enhance the clinical utility of this promising verbal episodic memory test. Furthermore, results suggest the PVLT has good psychometric properties and relates to brain health biomarkers, including medial temporal lobe integrity. The 12-word PVLT also appears to be sensitive to very early cognitive changes, prior to overt cognitive impairment. Longitudinal research is needed to examine the prognostic utility of the 12-word PVLT and to determine if associations represent age-related processes, non-AD pathological processes common in aging (e.g., small vessel disease), or very early precursors to AD.

Acknowledgments

Statement of Ethics

The Vanderbilt University Medical Center Institutional Review Board approved the protocol. Written informed consent was obtained from all participants prior to data collection.

Disclosure Statement

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