1	Predicted Behaviour of Saturated Granular Waste Blended with Rubber Crumbs
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41 Abstract: Recycling waste materials such as steel furnace slag (SFS), coal wash (CW), and 42 rubber crumbs (RC) for transport infrastructure is environmentally friendly and offers 43 significant economic benefits. This paper presents a fundamental study of the geotechnical 44 characteristics of this blended matrix (SFS+CW+RC). A semi-empirical constitutive model for 45 SFS+CW+RC mixtures is proposed within the framework of critical state soil mechanics and 46 based on the bounding surface plasticity theory. A critical state surface is formulated with the 47 changing RC contents in the waste mixtures, and an experimental relationship between the total work input (W_{total}) and critical state parameter (M_{cs}) is established to capture the energy 48 49 absorbing capacity of the matrix. The theoretical model is validated using two sets of data, i.e. 50 very recent triaxial test data obtained by the authors and totally independent test results from a 51 past study conducted on sand-RC mixtures.

52 KEYWORDS: Steel furnace slag; coal wash; rubber crumbs; critical state; energy absorbing
 53 property; constitutive model; bounding surface plasticity

54 Introduction

55 Steel furnace slag (SFS) and coal wash (CW) are granular waste by-products of the steel 56 manufacturing and coal mining industry, respectively. Rubber crumbs (RC) are derived from 57 waste tires. However, these waste granular materials cannot be used individually because of their adverse geotechnical properties, i.e. the expansive potential of SFS, the particle 58 59 degradation of CW and the high deformation of rubber materials (Indraratna et al. 1994; Heitor 60 et al. 2016; Wang et al. 2010; Lee et al. 1999). To minimize the detrimental effect of these 61 waste materials, they are usually mixed with other materials prior to their adoption in civil 62 engineering. SFS is usually blended with fly ash, cement, dredged materials, asphalt, or 63 concrete to be used in landfill or pavements (Xue et al. 2006; Yildirim and Prezzi 2015; 64 Lizarazo-Marriaga et al. 2011; Malasavage et al. 2012). RC usually mixed with sand, clay, 65 fine-grained soil, fine recycled glass, crushed rock or asphalt to serve as lightweight landfill, highway embankments, flexible or permeable pavements, as well as for applications in seismic 66 67 isolation (Fu et al. 2017; Ajmera et al. 2017; Lee et al. 1999; Li et al. 2016; Tsang et al. 2012; Sheikh et al. 2013; Disfani et al. 2017; Mohammadinia et al. 2018; Yaghoubi et al. 2018). It is 68 69 reported that the blends of SFS and CW can reduce the swelling of SFS and the particle 70 breakage of CW, and a SFS+CW mixture with an appropriate ratio of SFS:CW has been 71 successfully applied in Wollongong port reclamation (Chiaro et al. 2013; Tasalloti et al. 2015). 72 To extend the application of SFS+CW mixtures into dynamic loading projects (e.g. railway 73 subballast), RC was considered favourably in the granular matrix to enhance the energy 74 absorbing property as described by Indraratna et al. (2018).

The geotechnical properties of SFS+CW+RC mixtures under static loading have already been investigated earlier by Indraratna et al. (2018), Qi et al. (2018a), and Qi et al. (2018b). The test results indicate that incorporating RC into SFS+CW blends can further reduce particle breakage in CW and swelling of SFS. However, a more insightful understanding of the effect that RC has on the geotechnical behaviour of these waste granular mixtures can be attained from a mathematical perspective capturing the enhanced energy absorbing capacity of RC blends. Despite previous laboratory research carried out to investigate the behaviour of soilrubber mixtures, only a few have focused on the theoretical models within a constitutive framework.

84 Lee et al. (1999) proposed a hyperbolic model to predict the static stress-strain behaviour of 85 sand-tire mixtures, but it could not capture the post-peak phenomenon of the deviator stressstrain curves. Other previous studies such as Youwai and Bergado (2003) and Mashiri et al. 86 87 (2015a) modelled the static behaviour of sand-shred tire/tire chips mixtures using a 88 hypoplasticity model, but none of them considered the energy absorbing capacity of rubber materials. Youwai and Bergado (2003) indicated that for 30% < RC contents (R_b) < 100%, 89 sand-RC blends could barely achieve a critical state (CS) under laboratory conditions, so the 90 91 condition at the end of the test could only be postulated to reach CS, which is the same approach 92 adopted by Disfani et al. (2017) for recycled glass-tire mixtures; this is partly the reason why 93 the model predictions and experimental data have diverged. Therefore, obtaining more realistic 94 CS parameters is the key requirement to develop a constitutive model within the framework of 95 critical state for soil-RC mixtures.

96 Mashiri et al. (2015b) found that mixtures of sand-tire chips could not attain CS, and Fu et al. 97 (2014) also experienced difficulty in achieving a distinct CS for sand-tire fibre mixtures even 98 at larger axial strains. However, Qi et al. (2018a) indicates that SFS+CW+RC mixtures with 99 low RC contents (<20%) can achieve a CS, and for those with higher RC contents there is still 100 a possibility of attaining a CS at larger axial strain. This could be attributed to the fact that the 101 different shapes of various rubber additives were expected to have different packing 102 (compaction) arrangements upon loading; for instance, granulated rubber may impose a stress-103 strain and volumetric behaviour different to that of tire chips or fibres (Fu et al. 2017; Mashiri

104 et al. 2017). Further, the obvious differences in grain shapes and hardness as well as totally 105 different chemical compositions of SFS and CW compared to say natural sand (quartz) will 106 induce distinct differences in particle densification upon loading, variations in inter-particulate 107 friction and grain degradation, apart from other physical and geotechnical characteristics. CW 108 particles are usually a random blend of both angular and relatively flaky grains and are of dual porosity (Indraratna et al. 2018; Heitor et al. 2016), while SFS aggregates compose mainly of 109 110 prismoidal/cuboidal particles with strong interlocking properties thus reducing potential shear 111 failure, but undergo noticeable swelling in the presence of moisture (Shi 2004). More recently, 112 Heitor et al. (2016) demonstrated that for compacted CW, the critical state line (CSL) shifts 113 downwards significantly with respect to the $e - \ln p'$ plane (i.e. void ratio vs mean effective 114 stress) due to particle degradation. Chiaro et al. (2015) found that the CSL for SFS+CW blends 115 was not unique and was sensitive to the mix proportions and the extent of grain degradation upon loading. In view of the abovementioned reasons, experimental observations from past 116 117 studies conducted on soil-rubber chips/fibre mixtures or traditional granular soils such as sands 118 cannot be extrapolated to interpret or predict the behaviour of the current SFS+CW+RC matrix. 119 Qi et al. (2018a) recently reported that R_h (%) has a significant influence on the critical state 120 and the dilatancy behaviour of SFS+CW+RC mixtures, i.e. as R_h (%) increases, the dilatancy 121 and the slope of the critical state line in $e - \ln p'$ space decreases. Moreover, Qi et al. (2018a) 122 also introduced an empirical function between the total work input and the critical state stress ratio to capture the energy absorbing property of the waste mixtures in a dilatancy model, and 123 124 with this empirical model the critical state parameters of the waste mixtures can be obtained 125 more precisely. In this context, a constitutive model for SFS+CW+RC mixtures under static 126 loading condition extending the bounding surface plasticity theory (Dafalias and Popov 1975) 127 within the framework of critical state is proposed in this paper, and this model is able to

simulate strain softening and stress dilatancy for materials compacted in a dense condition moreaccurately.

To support the fundamental constitutive behaviour, the experimental results of a series of 130 131 consolidated drained triaxial tests conducted on initially fully saturated SFS+CW+RC mixtures 132 (with SFS:CW=7:3, $R_b = 0, 10, 20, 30, \text{ and } 40\%$) by Qi et al. (2018a) have been adopted. The 133 degree of saturation close to unity was established using the Skempton's B value ≥ 0.98 . Membrane correction was applied for the test results obtained under $\sigma'_3 = 10 \ kPa$, while for 134 higher effective confining pressures the membrane effect was ignored as the error was less than 135 136 3% (Indraratna et al. 2018; Lackenby et al. 2007). In Australia, there are many low-lying 137 coastal tracks in which the subballast is usually saturated by the high groundwater table (Qi et al. 2018c). To predict the stress-strain behaviour more accurately, the influence of R_h (%) on 138 the critical state of SFS+CW+RC specimens compacted at 95% of their maximum dry density 139 140 and sheared under three different effective confining pressures ($\sigma'_3 = 10, 40, \text{ and } 70 \text{ kPa}$) 141 have been studied. The proposed model is then verified by the experimental data obtained by the authors for SFS+CW+RC mixtures as well as totally independent data obtained from a past 142 143 study for sand-RC mixtures (Youwai and Bergado 2003).

144 The critical state of the granular waste mixtures

Fig.1 shows the typical stress paths of monotonic triaxial tests in q - p' plane and the stress ratio-dilatancy curves for SFS+CW+RC with $R_b = 10\%$ and 40%. In Fig.1 (a-b), the phase transformation state (PTS) line and the critical state line (CSL) are given, and the stress ratio according to these two special states is defined by (Fig.1 a-b):

$$\eta_{PTS,CS} = \frac{q_{PTS,CS}}{p'_{PTS,CS}} \tag{1}$$

149 where $q = \sigma'_1 - \sigma'_3$ is the deviator stress, $p = (\sigma'_1 + 2\sigma'_3)/3$ is the effective mean stress, 150 and the critical stress ratio η_{CS} can also be written as M_{cs} .

At the phase transformation state, as the volumetric strain ε_v reaches a minimum value, the 151 specimen changes from contraction to dilatancy, i.e. the dilatancy $d = d\varepsilon_v^p / d\varepsilon_q^p = 0$ (Fig.1 c-152 d), where $d\varepsilon_v^p$ and $d\varepsilon_q^p$ are the incremental plastic volumetric strain and incremental plastic 153 154 deviator strain, respectively. At the critical state, the specimen reaches a constant stress 155 condition upon further straining at which the dilatancy d also reaches zero. Note that the dilatancy of the waste granular mixtures decreases as σ'_3 and R_b increase (Fig.1 c-d). It was 156 reported that under laboratory conditions, only the SFS+CW+RC mixtures with $R_b < 20\%$ 157 could reach a critical state, whereas those with higher R_h (20-40%) indicated the potential for 158 attaining a critical state beyond the ultimate strain condition as evaluated in the laboratory (Qi 159 160 et al. 2018a). This may be attributed to the addition of RC that changes the skeleton of the 161 granular matrix. When $R_b \ge 20\%$, the skeleton of the specimen is overly influenced by RC (Qi et al. 2018c). Therefore, the critical state of the granular mixtures ($R_b \ge 20\%$) could be 162 163 determined by extrapolation (Qi et al. 2018a), following the technique first introduced by 164 Carrera et al. (2011).

The waste mixtures which were prepared at a relatively dense state represented a phase transformation stress ratio η_{PTS} greater than the critical stress ratio M_{cs} (Fig.1 a-b). As R_b increases from 10% to 40%, the slopes of the phase transformation line and the CSL decrease. Moreover, the CSL exhibits an apparent cohesion interception when p' = 0. This is in line with previous studies of sand-rubber mixtures tested by Mashiri et al. (2015b), Zornberg et al. (2004), and Youwai and Bergado (2003), which means that the critical stress ratio is no longer a constant for each SFS+CW+RC mixture, and it changes with R_b and σ'_3 . 172 Generally it is assumed that the critical state ratio (M_{cs}) or the friction angle at critical state is 173 constant and independent of density, but for most granular materials M_{cs} may vary depending 174 on the shearing mechanisms at a particular level as well as materials fabric and initial 175 anisotropy (Been et al. 1991), albeit limited evidence available from past literature. Changes 176 in the critical state ratio M_{cs} can occur in materials such as ballast and rockfill that are subjected 177 to substantial particle breakage, as reported by Indraratna et al. (2015) and Chavez and Alonso 178 (2003). Although it has been reported that the particle breakage would not affect the consistency of M_{cs} for natural sand (Coop 1990; Coop et al. 2004), the shearing behaviour and 179 particle breakage can be significantly different in other types of granular assemblies including 180 181 rail ballast or coarse rockfill due to their considerably varied particle sizes and shapes 182 (angularity) when compared to relatively finer sands and gravels as often used in traditional 183 small-scale geotechnical testing. Variation in M_{cs} can also occur to the granular mixtures when 184 RC is included such as SFS+CW+RC mixtures examined by Indraratna et al. (2018), and Qi et 185 al. (2018a), and sand-RC mixtures tested by Youwai and Bergado (2003), Mashiri et al. 186 (2015b), and Fu et al. (2014; 2017). The inclusion of RC reduces particle breakage as also 187 reported by Fu et al. (2014), probably because of the increased energy absorbing capacity of 188 the matrix, while providing a 'cushioning' effect to the otherwise more brittle grains. Indraratna 189 et al. (2018) examined the strain energy density of SFS+CW+RC mixtures and found that 10% 190 inclusion of RC could cause a 2-3 fold increase in the strain energy density. Further, for all the 191 RC-soil mixtures, the addition of RC could transform the stress-strain curve from a brittle to a 192 relatively ductile behaviour with strain hardening (Indraratna et al. 2018; Qi et al. 2018a; 193 Zornberg et al. 2004; Mashiri et al. 2015a). It can be assumed that part of work input causing particle breakage is now absorbed through greater deformation attributed to the addition of RC, 194 195 which is also in agreement with Fu et al (2014). It seems that the work input is a good indicator 196 of conditions leading to particle breakage and deformation. To reflect more on the variable

197 critical state parameter M_{cs} induced by particle breakage, Chavez and Alonso (2003) 198 introduced the plastic work. Moreover, to represent the influence of the enhanced energy 199 absorbing capacity (due to the increasing R_b) on M_{cs} , the total work input up to failure (W_{total}) 200 was introduced earlier by Qi et al. (2018a) (Equations 2-3; Fig.2a). Note that failure here is 201 defined when the specimen achieves its peak deviator stress in the same way as explained by 202 Zornberg et al. (2004) for sand-RC mixtures. In view of the above:

$$dW_{total} = p'd\varepsilon_{\nu} + qd\varepsilon_q \tag{2}$$

$$M_{cs}^{*}(W_{total}) = M_{0} * \left(\frac{W_{total}}{W_{0}}\right)^{\alpha}$$
⁽³⁾

where M_0 is the critical stress ratio when $W_{total} = 1 \, kPa$, α is a regression coefficient, and $W_0 = 1 \, kPa$ corresponds to M_0 . The work is expressed in units of work per unit volume of specimen, so the unit of work here considered to be the same as stress (i.e. kN/m^2 or kPa).

206 It is interesting to note that this empirical relationship between W_{total} and M_{cs} also applies to 207 other RC-soil mixtures such as sand-RC mixtures (Youwai and Bergado 2003; Fig.2b), and it 208 can also be extended to other materials which have varying value of M_{cs} , such as ballast 209 (Indraratna et al. 2015; Fig.2c) and rockfill, albeit the omission of elastic work input by Chavez 210 and Alonso (2003) (Fig.2d). This indicates that W_{total} is a unique parameter that relates to M_{cs} 211 for materials having variable critical stress ratios. Therefore, this can provide a convenient way 212 to obtain the critical state parameters for those materials with changing M_{cs} that cannot reach 213 a critical state using laboratory tests.

Based on Equations (1-3), a critical state surface can be generated for SFS+CW+RC mixtures in the in $q_{cs} - p_{cs} - W_{total}$ space (Fig.3). Although the plotted points scatter on the work input surface, a large difference in W_{total} between the waste mixtures with 0% and \geq 10% RC under the same σ'_3 can be observed, indicating a significant increase in energy absorbing capacity with the addition of RC, and this difference increases as σ'_3 increases. For each SFS+CW+RC mixture, the CSL in $e - \ln p'$ space presents a linear relationship (Fig.4):

$$e_{cs} = \Gamma^* - \lambda^* \ln p'_{cs} \tag{4}$$

where Γ^* is the void ratio at $p'_{cs} = 1 \, kPa$, and λ^* is the gradient of the critical state line in $e - \ln p'$ space. Note that the CSL for these waste mixtures is not unique, and it rotates clockwise as R_b (%) increases (Fig.4). Qi et al. (2018a) found earlier that Γ^* and λ^* are in a linear relationship with R_b :

$$\Gamma^*(R_b) = \Gamma_1 + \Gamma_2 R_b \tag{5}$$

$$\lambda^*(R_b) = \lambda_1 + \lambda_2 R_b \tag{6}$$

where $\Gamma^*(R_b)$ and $\lambda^*(R_b)$ are the critical state parameters as influenced by R_b . The parameters $\Gamma_1, \Gamma_2, \lambda_1$ and λ_2 are the regression indices calculated by laboratory test data of the granular waste matrix with SFS:CW=7:3 and $R_b = 0 - 40\%$ (Fig.4). This established relationship for SFS+CW+RC mixtures also suits sand-RC mixtures (data taken from Youwai and Bergado 2003). The values for the critical state parameters for SFS+CW+RC mixtures and sand-RC mixtures are shown in Table 1.

Substituting Equations (5) and (6) into Equation (4), produces the critical state surface shown
in Fig.5, which can be described using Equation (7) as follows:

$$e_{cs} = (\Gamma_1 + \Gamma_2 R_b) - (\lambda_1 + \lambda_2 R_b) \ln p'_{cs}$$
⁽⁷⁾

Bounding surface and loading surface

In this study, the concept of bounding surface first introduced by Dafalias and Popov (1975) is applied due to its versatility and its ability to accurately reproduce the stress-strain behaviour of various soil types (Russell and Khalili 2006; Sun et al. 2014). The bounding surface is shaped as a half tear drop that encompasses the triaxial compression part. To facilitate further analysis, the loading surface is assumed to follow the same shape as the bounding surface, i.e. the bounding surface $F(\bar{p}', \bar{q}, \bar{p}'_c) = 0$ and the loading surface $f(p', q, p'_c) = 0$ for the SFS+CW+RC mixtures inspired by Russell and Khalili (2006):

$$F(\bar{p}',\bar{q},\bar{p}'_{c}) = \left\{ \bar{q} + M_{cs}^{*}(W_{total})(\bar{p}') \left[N \ln(\frac{\bar{p}}{\bar{p}_{c}}) \right]^{1/N} \right\} = 0,$$
(8)

$$f(p',q,p'_{C}) = \left\{ q + M_{cs}^{*}(W_{total})(p') \left[N \ln(\frac{p'}{p'_{C}}) \right]^{1/N} \right\} = 0,$$
(9)

where $\bar{p'}_c$ and p'_c are the intercepts of the bounding surface and loading surface with q = 0241 242 axis, respectively, controlling the size of the bounding surface and the loading surface (Fig.6). $M_{cs}^{*}(W_{total})$ is the critical stress ratio modified according to the total work input W_{total} . Thus 243 W_{total} is an important parameter that indirectly influences the shape of the bounding surface 244 245 and the loading surface, as reflected by Equations (8-9). $N \ge 1$ is a material constant that 246 controls the curvature of the bounding surface. A material constant R is used here to express the ratio between p' at the intercept of the loading surface with M_{cs} line and the image point 247 p'_{C} ; and the ratio between $\overline{p'}$ at the intercept of the loading surface with M_{cs} line and the image 248 point $\overline{p'}_{C}$ (Fig.6), hence: 249

$$R = \frac{p'}{p'_c} = \frac{\overline{p'}}{\overline{p'}_c} \tag{10}$$

250 By using a radial mapping rule, the stress ratio can be written as:

$$\eta = \frac{q}{p'} = \frac{\bar{q}}{\bar{p}'}.$$
(11)

251 By combining Equations (8-11), the ratio R can then be calculated from:

$$R = exp\left[-\frac{1}{N}\left(\frac{\eta}{M_{cs}^{*}(W_{total})}\right)^{N}\right].$$
(12)

Note that M_{cs} decreases exponentially with the total work input W_{total} (Fig.2a), indicating decreased *R* with W_{total} . The evolution of the bounding surface is controlled by \bar{p}'_c which is related to the evolution of the volumetric strain, and the corresponding swelling line represented by:

$$e = e_{\kappa 0} - \kappa \ln p' \tag{13}$$

By recalling Equations (4-6, 10), the position of \bar{p}'_c on the bounding surface can be determined by:

$$\bar{p'}_{c} = \frac{p'_{r}}{R} exp\left(\frac{\Gamma^{*}(R_{b}) - e - \kappa \ln p'}{\lambda^{*}(R_{b}) - \kappa}\right)$$
(14)

where $e_{\kappa 0}$ is the void ratio when p' = 1 in Equation (13); p'_r is the unit pressure; κ is the gradient of the swelling line. Through $\Gamma^*(R_b)$ and $\lambda^*(R_b)$ the influence of R_b on $\bar{p'}_c$ as well as on the bounding surface and the loading surface can be incorporated.

The unit normal loading vector \mathbf{n} at the image point on the bounding surface can then be calculated using the following (see derivations in Appendix 1):

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264
$$\boldsymbol{n} = \frac{\partial F/\partial \overline{\boldsymbol{\sigma}}_{\prime}}{\|\partial F/\partial \overline{\boldsymbol{\sigma}}_{\prime}\|} = \left[\boldsymbol{n}_{\boldsymbol{p}}, \boldsymbol{n}_{\boldsymbol{q}}\right]^{T} =$$

$$265 \qquad \left| \frac{M_{cs}^{*}(W_{total}) \left[N \ln \frac{\overline{p}'}{\overline{p}'_{c}} \right]^{\overline{N}} \left[1 + \left(N \ln \frac{\overline{p}'}{\overline{p}'_{c}} \right)^{-1} \right]}{\sqrt{\left\{ M_{cs}^{*}(W_{total}) \left[N \ln \frac{\overline{p}'}{\overline{p}'_{c}} \right]^{\overline{N}} \left[1 + \left(N \ln \frac{\overline{p}'}{\overline{p}'_{c}} \right)^{-1} \right] \right\}^{2} + 1}, \sqrt{\frac{1}{\sqrt{\left\{ M_{cs}^{*}(W_{total}) \left[N \ln \frac{\overline{p}'}{\overline{p}'_{c}} \right]^{-1} \right] \right\}^{2} + 1}}} \right|} \right|$$

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267 Where $\overline{\sigma}'$ is the effective stress on the bounding surface; n_p and n_q are components of the 268 loading direction vectors.

(15)

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269 **Plastic potential**

The dilatancy of the material which is related to the plastic potential, represents the ratio between the incremental plastic volumetric strain and the plastic shear strain. Been and Jefferies (1985) reinvented a state parameter ψ inspired after Worth and Bassett (1965) to capture the influence that unit weight and applied stress have on the deformation of soil, where ψ is defined as the difference between the current void ratio and the critical void ratio at the same stress:

$$\psi = e - e_{cs} \tag{16}$$

As mentioned previously, the critical void ratio of the waste mixtures is related to R_b (%), therefore the state parameter ψ can be modified as:

$$\psi^*(R_b) = e - (\Gamma^*(R_b) - \lambda^*(R_b) \ln p'_{CS})$$
(17)

Following Li and Dafalias (2000), the dilatancy (*d*) of soil is associated with the state parameter (ψ) , and is expressed by:

$$d = \frac{d\varepsilon_{\nu}^{p}}{d\varepsilon_{q}^{p}} = \frac{\partial g/\partial p'}{\partial g/\partial q} = d_{0} \left(e^{m\psi^{*}(R_{b})} - \frac{\eta}{M_{cs}^{*}(W_{total})} \right)$$
(18)

Where *g* is the plastic potential; d_0 and *m* are two material parameters, $M_{cs}^*(W_{total})$ is the critical stress ratio modified in relation to W_{total} , and $\psi^*(R_b)$ is the state parameter modified with R_b (%).

With the dilatancy form of Equation (18), the plastic potential g = 0 can be attained by integration, and then the unit vector of plastic flow (**m**) at σ' (the effective stress on the loading surface) can be generally defined by:

$$\boldsymbol{m} = \frac{\frac{\partial g}{\partial \boldsymbol{\sigma}'}}{\left\|\frac{\partial g}{\partial \boldsymbol{\sigma}'}\right\|} = \left[\boldsymbol{m}_{\boldsymbol{p}}, \boldsymbol{m}_{\boldsymbol{q}}\right]^{T} = \left[\frac{d}{\sqrt{1+d^{2}}}, \frac{1}{\sqrt{1+d^{2}}}\right]^{T}$$
(19)

where, m is the plastic flow direction vector; m_p and m_q are components of the plastic flow direction vectors.

288 Hardening rule

In light of the bounding surface concept, the hardening modulus H is divided into two components:

$$\boldsymbol{H} = \boldsymbol{H}_{\boldsymbol{b}} + \boldsymbol{H}_{\boldsymbol{\delta}} \tag{20}$$

where H_b is the plastic modulus at $\overline{\sigma}'$ on the bounding surface and H_{δ} is the arbitrary modulus at σ' . H_b can be defined by adopting an isotropic hardening rule with changes in the plastic volumetric strain as follows (see derivations in Appendix 2):

$$\boldsymbol{H}_{\boldsymbol{b}} = -\frac{\partial F}{\partial \bar{p}'_c} \frac{\partial \bar{p}'_c}{\partial \varepsilon_v p} \frac{\boldsymbol{m}_p}{\|\partial F/\bar{\boldsymbol{\sigma}}'\|}$$
(21)

$$=\frac{M_{cs}^{*}(W_{total})p'\left[N\ln(\frac{\bar{p'}}{p'_{c}})\right]^{\frac{1}{N}}}{\bar{p'}_{c}N\ln(\frac{\bar{p'}}{p'_{c}})}\frac{1+e}{\lambda^{*}(R_{b})-\kappa}\frac{d_{0}\left(e^{m\psi^{*}(R_{b})}-\frac{\eta}{M_{cs}^{*}(W_{total})}\right)}{\sqrt{1+\left[d_{0}\left(e^{m\psi^{*}(R_{b})}-\frac{\eta}{M_{cs}^{*}(W_{total})}\right)\right]^{2}}}\sqrt{\left\{M_{cs}^{*}(W_{total})\left[N\ln\frac{\bar{p'}}{p'_{c}}\right]^{\frac{1}{N}}\left[1+\left(N\ln\frac{\bar{p'}}{p'_{c}}\right)^{-1}\right]\right\}^{2}+1}$$

According to the bounding surface concept, H_{δ} is a decreasing function of the distance between σ' and $\overline{\sigma}'$ on the bounding surface (Khalili et al. 2008), and it can be taken as an arbitrary form:

$$H_{\delta} = h_0 \frac{\delta}{\delta_{max} - \delta} \frac{1 + e}{\lambda^* (R_b) - \kappa} \frac{p'}{\bar{p'}_c},\tag{22}$$

where h_0 is a scaling parameter controlling the steepness of the response in the $\varepsilon_v - \varepsilon_q$ plane. δ_{max} and δ are the distance from the stress origin and the current stress point to the image stress point, respectively (Fig.6). Due to the radial mapping rule, $\delta/(\delta_{max} - \delta)$ equals to $(\bar{p'}_c - p'_c)/p'_c$ (Fig.6). As $(1 + e)/[\lambda^*(R_b) - \kappa]$ stays positive, H_δ is always positive, and only when $\bar{p'}_c = p'_c$, H_δ reaches zero, at which $H = H_b$. When $\delta_{max} \le \delta$, $H_\delta = +\infty$, Hbecomes very large, and the response is purely elastic. When the magnitudes of H_b and H_δ are equal but have the opposite sign, H = 0, and at this point strain hardening transforms to strain softening.

304 **Evaluation of model parameters**

The parameters in this proposed model are divided into five categories: elastic, critical state, bounding surface, plastic potential, and the hardening domain. The parameters for the elastic part are explained in Appendix 3. All the parameters for SFS+CW+RC mixtures and sand-RC mixtures (data sourced from Youwai and Bergado 2003) are listed in Table 1 and Table 2, respectively.

The parameters α , M_0 , Γ_1 , Γ_2 , λ_1 and λ_2 are related to establish the critical state surface, where and M_0 can be obtained by fitting the relationship between work input and critical state stress ratio as shown earlier in Fig.2. The values of Γ_1 , Γ_2 , λ_1 and λ_2 can be determined via curve fitting as shown in Fig.4.

Parameter *N* defines the curvature of the bounding surface. It can be obtained by fitting $q \sim p'$ plot of the undrained triaxial tests on the loosest samples. Previous studies found $1 \le N \le 3$ for granular materials (Khalili et al. 2008; Russell and Khalili 2006; Russell and Khalili 2004; Sun et al. 2014). As no undrained tests for the waste mixtures were available herein, and the value of *N* was found to be insensitive in relation to the predicted results in this study, so N =1 was assumed for simplicity. 320 d_0 and *m* are two parameters used in soil dilatancy; *m* can be determined from Equation (18)

321 at the phase transformation state when $d = \frac{d\varepsilon_v^p}{d\varepsilon_q^p} = 0$, $\psi^* = \psi^*_{PTS}$, and $\eta = \eta_{PTS}$, thus

$$m = \frac{1}{\psi_{PTS}^*} \ln\left(\frac{\eta_{PTS}}{M_{cs}^*(W_{total})}\right).$$
(23)

322 The parameter d_0 can be calculated at the peak deviator point, i.e. $d = d_{peak}$, $\psi^* = \psi^*_{peak}$, 323 and $\eta = \eta_{peak}$, hence,

$$d_0 = \frac{d_{peak}}{\left(e^{m\psi^* peak} - \frac{\eta_{peak}}{M^*_{cs}(W_{total})}\right)}.$$
(24)

324 h_0 is the hardening parameter and it can be calculated by fitting the relationship between the 325 volumetric strain ε_{ν} and the shear strain ε_q .

326 Model Validation and discussion

327 This proposed constitutive model was validated by comparing the test data with the model predictions. Figs.7-9 compare the model predictions for static stress-strain curves with the 328 329 available test data. It is evident that the bounding surface model based on the critical state 330 framework accurately captures the overall stress-strain relationship and the volumetric response for SFS+CW+RC mixtures. In view of the behaviour shown in Figs.7-9, all the 331 332 SFS+CW+RC mixtures with $R_b < 30\%$ present a strain-softening behaviour accompanied by 333 a contractive-dilative response. As R_b increases, (a) the peak deviator stress decreases, (b) the 334 stress-strain curve of the granular waste mixtures changes from brittle to ductile, (c) the strain 335 softening changes to strain hardening, and (d) the specimen becomes more contractive. The effect of R_b on the stress-strain behaviour of sand-RC mixtures is similar to that for the 336 SFS+CW+RC matrix as shown in Fig.10. As expected, when σ'_3 increases, both the peak 337 deviator stress and strain hardening increase (Fig.11). Also, when σ'_3 increases, the 338

compression is greater at lower axial strain (<10%) and dilation occurs subsequently, with the specimen at a lower σ'_3 dilating at a faster rate. Specifically, in Fig.11, compared to the model proposed by Youwai and Bergado (2003), the current model can capture the stress-strain behaviour of sand-RC mixtures even better, because the critical state parameters are more realistically determined by relating them to the work input and R_b (%) whereas the end-of-test state was assumed as the critical state by Youwai and Bergado (2003).

345 There is a noticeable deviation between the laboratory test results and predictions based on the constitutive model for the stress-stain curves when $\varepsilon_1 < 5\%$. This is attributed to the possible 346 347 underestimation of elastic properties. In the bounding surface plasticity theory, the purely 348 elastic region is regarded as insignificant. This is generally in agreement with experimental 349 evidence for granular materials where purely elastic strain was observed in the order of 0.00001 (Bellotti et al. 1989). However this may not be the same for the rubber-soil mixtures as rubber 350 351 materials are more elastic than conventional hard aggregates, hence the elastic strains are more 352 when rubber is introduced. This can be considered as a limitation of the analysis. Moreover, 353 even with extreme experimental care, ideal conditions (e.g. homogeneous mixing to obtain 354 uniform density, perfect loading conditions of test specimens etc.) cannot be always met, 355 leading to some disparity between measured and predicted results.

The proposed model certainly has several limitations. The proposed bounding surface model is limited to compressive loading condition as the bounding surface is only defined for q > 0. Also, the empirical relationship between M_{cs} and W_{total} is only suitable for selected granular materials having variable M_{cs} under fully drained triaxial conditions. Back calculations are needed to obtain the critical state parameters (α and M_0). Therefore for conditions for which these granular materials cannot achieve a critical state, this empirical relationship can be used to obtain M_{cs} . Moreover, the rubber material in the mixtures is only limited to rubber crumbs or granulated rubber. Larger rubber particles (e.g. rubber chips) may keep deforming
 continually leading to excessive volumetric strain (compression), hence, may not conform to
 the above mentioned the critical state.

366 Conclusions

367 The addition of rubber crumbs (RC) can significantly influence the geotechnical behaviour of 368 waste granular mixtures (SFS+CW+RC), especially at or approaching their critical state. It was found that the critical state parameters in $e - \ln p'$ space have a linear relationship with R_b (%), 369 defining a more refined critical state surface in the $e - \ln p' - R_b$ space, incorporating the 370 influence of RC on the critical state of the waste matrix. Based on the relationship between M_{cs} 371 and W_{total} , an alternative critical state surface is generated in the $q_{cs} - p_{cs} - W_{total}$ space 372 capturing the effect of energy absorbing capacity of the waste matrix. Moreover, the empirical 373 relationships of the critical state parameters in relation to the total work input W_{total} 374 established for SFS+CW+RC mixtures could also be applied to selected sand-RC mixtures and 375 376 other granular materials (e.g. ballast and rockfill) taken from past studies which show variable M_{cs} . In this way, the relevant material parameters that often do not attain a critical state in the 377 378 laboratory can now be obtained more realistically using these empirical relationships.

379 Within the critical state framework, a constitutive model was proposed in this paper to predict 380 the stress-strain behaviour of this waste granular matrix under static triaxial loading. The 381 elasto-plastic deformation was quantified based on bounding surface plasticity. The energy 382 absorbing capacity of the matrix was innovatively captured through a new relationship between M_{cs} and W_{total} . The bounding surface model was validated by comparing the model 383 384 predictions with the test results of SFS+CW+RC mixtures conducted by the authors (Qi et al. 385 2018a), as well as using the available past data for sand-RC mixtures (Youwai and Bergado 386 2003). Excellent agreement between the model predictions and the test results was obtained.

387 Appendix 1 The Derivation equations for unit normal loading vector

388 The components of the loading direction vectors n_p and n_q can be determined as follows:

$$\boldsymbol{n}_{\boldsymbol{p}} = \frac{\partial F/\partial \bar{p}_{\prime}}{\sqrt{(\partial F/\partial \bar{p}_{\prime})^{2} + (\partial F/\partial \bar{q})^{2}}} = \frac{M_{cs}^{*}(W_{total}) \left[N \ln \frac{\overline{p}_{\prime}}{\overline{p}_{cc}}\right]^{\frac{1}{N}} \left[1 + \left(N \ln \frac{\overline{p}_{\prime}}{\overline{p}_{cc}}\right)^{-1}\right]}{\sqrt{\left\{M_{cs}^{*}(W_{total}) \left[N \ln \frac{\overline{p}_{\prime}}{\overline{p}_{cc}}\right]^{\frac{1}{N}} \left[1 + \left(N \ln \frac{\overline{p}_{\prime}}{\overline{p}_{cc}}\right)^{-1}\right]\right\}^{2} + 1}},$$
(25)

$$\boldsymbol{n}_{\boldsymbol{q}} = \frac{\partial F/\partial \bar{q}}{\sqrt{(\partial F/\partial \bar{p}')^{2} + (\partial F/\partial \bar{q})^{2}}} = \frac{1}{\sqrt{\left\{M_{cs}^{*}(W_{total})\left[N\ln\frac{\bar{p}\bar{l}}{\bar{p}'c}\right]^{\frac{1}{N}}\left[1 + \left(N\ln\frac{\bar{p}\bar{l}}{\bar{p}'c}\right)^{-1}\right]\right\}^{2} + 1}}.$$
(26)

Appendix 2 The Derivation equations for plastic modulus

391 To determine the plastic modulus H_b on the bounding surface, the following derivation 392 equations are used:

$$\frac{\partial F}{\partial \bar{p'}_c} = -\frac{M_{cs}^*(W_{total})p' \left[N \ln(\frac{\bar{p'}}{\bar{p'}_c})\right]^{\frac{1}{N}}}{\bar{p'}_c N \ln(\frac{\bar{p'}}{\bar{p'}_c})},$$
(27)

$$\frac{\partial \bar{p}'_c}{\partial \varepsilon_v p} = \frac{1+e}{\lambda^*(R_b)-\kappa'},\tag{28}$$

$$\frac{m_p}{\|\partial F/\bar{\sigma}'\|} = \frac{d/\sqrt{1+d^2}}{\sqrt{(\partial F/\partial\bar{p}')^2 + (\partial F/\partial\bar{q})^2}} =$$
(29)

$$\frac{d_{0}\left(e^{m\psi^{*}(R_{b})}-\frac{\eta}{M_{cs}^{*}(W_{total})}\right)}{\sqrt{1+\left[d_{0}\left(e^{m\psi^{*}(R_{b})}-\frac{\eta}{M_{cs}^{*}(W_{total})}\right)\right]^{2}}\sqrt{\left\{M_{cs}^{*}(W_{total})\left[N\ln\frac{\overline{p}}{p'_{c}}\right]^{\frac{1}{N}}\left[1+\left(N\ln\frac{\overline{p}}{p'_{c}}\right)^{-1}\right]\right\}^{2}+1}}.$$

393

Appendix 3 The governing equations

Based on the theory of bounding surface plasticity (Dafalias 1986), the governing equationsfor the stress-strain relationship are illustrated as follows:

$$\begin{bmatrix} dp' \\ dq \end{bmatrix} = \left(\boldsymbol{D}^{e} - \frac{\boldsymbol{D}^{e} \boldsymbol{m} \boldsymbol{n}^{T} \boldsymbol{D}^{e}}{\boldsymbol{H} + \boldsymbol{n}^{T} \boldsymbol{D}^{e} \boldsymbol{m}} \right) \begin{bmatrix} d\varepsilon_{v} \\ d\varepsilon_{q} \end{bmatrix}$$
(30)

397 where D^e is the elastic compliance defined by:

$$\boldsymbol{D}^e = \begin{bmatrix} K & 0\\ 0 & 3G \end{bmatrix},\tag{31}$$

where K is the tangential bulk modulus, and G is the tangential shear modulus. They can bedetermined by:

$$K = \frac{(1+e_0)p'}{\kappa} \tag{32}$$

$$G = \frac{3(1-2\nu)}{2(1+\nu)}K$$
(33)

400 where v is the Poisson's ratio.

401 Notations

CS, CSL = critical state, and the critical state line, respectively; CW = coal wash; D^e = the elastic compliance; d = dilatancy d_0 = dilatancy parameter; d_{peak} = dilatancy at peak deviatoric stress state; dp', dq = the increment of the effective mean stress and deviator stress, respectively; $darepsilon_{v}, darepsilon_{v}^{p}$ = total, elastic, and plastic volumetric strain increment, respectively; $d\varepsilon_a, d\varepsilon_a^p$ = total, elastic, and plastic deviator strain increment, respectively; dW_{total} = the increment of total work input; = void ratio, and the void ratio at initial state and critical state, respectively; e, e_0, e_{cs} = the void ratio when p' = 1 for the swelling line; $e_{\kappa 0}$ G, K, **H** = the shear, bulk, and hardening moduli, respectively; = the plastic modulus at $\overline{\sigma}'$ on the bounding surface and the arbitrary H_b, H_δ modulus at σ' , respectively; = a scaling parameter controlling the steepness of the response in the ε_v – h_0 ε_q plane; m = dilatancy parameter;m, n = the unit normal loading direction vector and the plastic flow direction vector, respectively; = components of plastic flow direction vectors; m_{p}, m_{q} = are components of loading direction vectors; n_p, n_q = is a material constant controlling the curvature of the bounding surface; Ν M_0 = is the critical stress ratio when $W_{total} = 1 kPa$; M_{cs} = the critical state stress ratio; PTS = phase transformation state; p', p'_{cs} = the effective mean stress and the effective mean stress at critical state (kPa), respectively; \bar{p}'_{c}, p'_{c} = the intercepts of the bounding surface and loading surface with the q = 0 axis, respectively; q = the deviatoric stress (kPa); R = the ratio between p' at the intercept of the loading surface with the M_{cs} line and the image point p'_{C} ; R_h = the RC content (%); RC = rubber crumbs; SFS = steel furnace slag; W_{total} = the total work input up to failure (kPa); α = materials constant related to the total work input W_{total} and critical stress ratio M_{cs} ; σ'_1, σ'_3 = the effective axial stress and the effective confining pressure (kPa), respectively; $\varepsilon_{v}, \varepsilon_{q}$ = the volumetric strain and the deviatoric strain, respectively; η = the stress ratio;

 η_{PTS}, η_{peak} = the stress ratio at phase transformation state, and peak deviator stress state, respectively;

κ	= the gradient of the swelling line
Γ^*	= void ratio at $p'_{cs} = 1 kPa$;
Γ_1, Γ_2	= calibration parameters for Γ^* ;
λ^*	= the gradient of the critical state line in $e - \ln p'$ space;
λ_1, λ_2	= calibration parameters for λ^* ;
ν	= Poisson's ratio;
$\psi,\!\psi^*$	= state parameter and modified state parameter, respectively;
ψ^*_{neak} , ψ^*_{PTS}	= modified state parameter at peak deviatoric stress state and phase
peuk	transformation state, respectively;
δ_{max} , δ	= the distance from the stress origin and the current stress point to the
	image stress point, respectively.

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522 **Figure list**

- 523 Fig.1 (a-b) Critical state line (CSL) and phase transformation state (PTS) line in p'-q plane; (c-
- 524 d) stress ratio-dilatancy curve of SFS+CW+RC mixtures
- 525 Fig.2 The relationship of W_{total} and critical stress ratio M_{cs} for: (a) SFS+CW+RC mixtures
- 526 (data from Qi et al., 2018, (b) Sand-RC mixtures (data from Youwai and Bergado, 2003), (c)
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532 Fig.6 Schematic representation of the bounding surface and loading surface in q - p' plane

533 Fig.7 Test results and model prediction for waste mixtures with different RC contents under

534 $\sigma'_3 = 10 \, kPa$: (a) deviator stress-axial strain curves; (b) volumetric strain-axial strain curves

535 Fig.8 Test results and model prediction for waste mixtures with different RC contents under

- 536 $\sigma'_3 = 40 \, kPa$: (a) deviator stress-axial strain curves; (b) volumetric strain-axial strain curves
- 537 Fig.9 Test results and model prediction for waste mixtures with different RC contents under
- 538 $\sigma'_3 = 70 \, kPa$: (a) deviator stress-axial strain curves; (b) volumetric strain-axial strain curves

Fig.10 Test results and model prediction for Sand-RC mixtures with different RC contents under $\sigma'_3 = 50 \, kPa$ (data sourced from Youwai and Bergado, 2003): (a) deviator stress-axial strain curves; (b) volumetric strain-axial strain curves

- Fig.11 Test results and model prediction for Sand60+RC40 (data sourced from Youwai and
 Bergado, 2003): (a) deviator stress-axial strain curves; (b) volumetric strain-axial strain curves
- 545



547 Fig.1 (a-b) Critical state line (CSL) and phase transformation state (PTS) line in p'-q plane;
548 (c-d) stress ratio-dilatancy curve of SFS+CW+RC mixtures



Fig.2 The relationship of W_{total} and critical stress ratio M_{cs} for: (a) SFS+CW+RC mixtures (data from Qi et al., 2018, (b) Sand-RC mixtures (data from Youwai and Bergado, 2003), (c) Ballast (data from Indraratna et al., 2015), and (d) Saturated and unsaturated rockfill (data sourced from Chavez and Alonso, 2003)









Fig.4 CSL in $e - \ln p'$ space and the critical state parameters





562 Fig.5 Critical state surface for SFS+CW+RC mixtures in $e - \ln p' - R_b$ space



Fig.6 Schematic representation of the bounding surface and loading surface in q - p' plane





Fig.7 Test results and model prediction for waste mixtures with different RC contents under $\sigma'_{3} = 10 \ kPa$: (a) deviator stress-axial strain curves; (b) volumetric strain-axial strain curves 570



571

572 Fig.8 Test results and model prediction for waste mixtures with different RC contents under 573 $\sigma'_3 = 40 \, kPa$: (a) deviator stress-axial strain curves; (b) volumetric strain-axial strain curves





576 Fig.9 Test results and model prediction for waste mixtures with different RC contents under 577 $\sigma'_3 = 70 \, kPa$: (a) deviator stress-axial strain curves; (b) volumetric strain-axial strain curves





Fig.10 Test results and model prediction for Sand-RC mixtures with different RC contents under $\sigma'_3 = 50 \ kPa$ (data sourced from Youwai and Bergado, 2003): (a) deviator stress-axial strain curves; (b) volumetric strain-axial strain curves



Fig.11 Test results and model prediction for Sand60+RC40 (data sourced from Youwai and
Bergado, 2003): (a) deviator stress-axial strain curves; (b) volumetric strain-axial strain

588 Table list

- 589 Table 1 Parameters of critical state and dilatancy for current SFS+CW+RC mixtures and for
- 590 previous Sand-RC mixtures
- 591 Table 2 Hardening and elastic parameters for SFS+CW+RC mixtures and for previous studies

Table 1 Parameters of critical state and dilatancy for current SFS+CW+RC mixtures and for previous Sand-RC mixtures

Data source	Mixtures	RC (%)	${\pmb \sigma'}_{{\bf 3}}$ (kPa)	m	d_0	Critical state parameters
	SFS70+CW30	0	10	-0.659	3.307	
			40	-0.876	3.119	_
			70	-1.30	3.03	
	SFS63+CW27+RC10	10	10	-0.46	2.95	
			40	-2.15	2.17	- Г —0 <i>с1</i>
			70	-2.86	1.83	$I_1 = 0.04$
Oi at al	SFS56+CW24+RC20	20	10	-0.53	5.12	$-1_2 = 0.01$
QI et al.,			40	-2.98	2.18	$\lambda_1 = 0.009$
(2010a)			70	-5.29	3.19	- M - 2.259
	SFS49+CW21+RC30	30	10	-0.93	3.80	$M_0 = 2.238$
			40	-2.36	3.29	u = -0.117
			70	-4.16	2.49	
	SFS42+CW18+RC40	40	10	-0.556	6.014	_
			40	-2.819	2.325	_
			70	-4.307	2.890	
	Sand100+RC0	0	50	0.2	1.045	_
			100	1.425	2.987	_
			200	0.528	1.977	$-\Gamma - 0.110$
	Sand80+RC20	20	50	-2.197	1.871	$I_1 = 0.418$ - $\Gamma = 6.00 \times 10^{-3}$
Youwai			100	2.809	0.772	$1_2 = 0.09 \times 10$
and			200	1.356	1.216	$\lambda_1 = -1.04 \times$
Bergado,	Sand70+RC30	30	50	-0.634	1.907	$-1 -1 04 \times 10^{-3}$
2003			100	0.853	0.374	$\lambda_2 = 1.04 \times 10$ - M = 1.472
			200	0.332	0.806	$\alpha = -0.025$
	Sand60+RC40	40	50	-0.544	1.360	u = -0.055
			100	0.439	1.258	
			200	0.356	0.867	

596 Table 2 Hardening and elastic parameters for SFS+CW+RC mixtures and for previous

studies										
Data source	mixtures	R_{b} (%)	h_0	κ	υ					
			4.0	0.0020	0.29					
Data sourced	SFS63+CW27+RC10	10	2.5	0.0035	0.3					
from Qi et	SFS56+CW24+RC20	20	0.77	0.0048	0.31					
al., (2018a)	SFS49+CW21+RC30	30	0.88	0.0059	0.35					
	SFS42+CW18+RC40	40	0.68	0.0063	0.35					
Variationd	Sand100+RC0	0	3.5	0.0046	0.33					
Youwai and	Sand80+RC20	20	0.8	0.0015	0.33					
2003	Sand70+RC30	30	0.6	0.0053	0.33					
2005	Sand60+RC40	40	0.5	0.0040	0.33					