

# Ultra-Low Cycle Fatigue Tests and Fracture Prediction Models for Duplex Stainless Steel Devices of High Seismic Performance Braced Frames

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## ABSTRACT

This paper presents ultra-low cycle fatigue tests and the calibration of different fracture models for duplex stainless steel devices of high seismic performance braced frames. Two different geometries of the devices were tested in full-scale under fourteen cyclic loading protocols up to fracture. The imposed protocols comprised of standard, constant amplitude, and randomly-generated loading histories. The test results show that the devices have stable hysteresis, high post-yield stiffness, and large energy dissipation and fracture capacities. Following the tests, two micromechanics-based models, i.e. the Cyclic Void Growth Model and the built-in Abaqus ductile fracture model, were calibrated using monotonic and cyclic tests on circumferentially-notched coupons and complementary finite element simulations. In addition, Coffin-Manson-like relationships were fitted to the results of the constant amplitude tests of the devices and the Palmgren-Miner's rule was used to predict fracture of the devices under the randomly generated loading protocols. Comparisons of the experimental and numerical results show that the calibrated models can predict ductile fracture of the devices due to ultra-low cycle fatigue with acceptable accuracy.

## INTRODUCTION

A modern seismic design philosophy is to isolate damage in steel energy dissipation devices and protect the main structural members from yielding with the aid of capacity design rules.

30 Energy dissipation devices can be designed to be easily accessible and replaceable (if needed)  
31 so that repair costs and downtime in the aftermath of strong seismic events can be  
32 significantly reduced (Soong and Spencer 2002; Symans et al. 2008). Steel yielding devices  
33 have stable and predictable hysteretic behavior and are insensitive to ambient temperature  
34 variations. Based on the first concepts developed in New Zealand in the 1970s (Kelly et al.  
35 1972; Skinner et al. 1975), a wide range of steel yielding devices have been proposed for  
36 beam-column connections, braces, and base isolation systems. Early developments include  
37 the U-strip hysteretic dampers and devices made of multiple plates with optimized shape.  
38 Examples of the latter are the added damping and stiffness (ADAS) damper (Steimer et al.  
39 1981; Whittaker et al. 1991) and the triangular-plate added damping and stiffness (T-ADAS)  
40 damper (Tsai et al. 1993). Other examples include the honeycomb damper used as seismic  
41 isolation system in bridges (Kajima 1991), C-shaped and E-shaped hysteretic dampers for  
42 bridges (Ciampi and Marioni 1991; Marioni 1997; Tsopelas and Constantinou 1997), slit-type  
43 dampers applied to beam-column connections or brace members (Chan and Albermani 2008;  
44 Oh et al. 2009), yielding shear panels (Nakashima et al. 1994), and cast-iron yielding fuses  
45 installed in braces (Gray et al. 2010). Steel cylindrical pins with hourglass-shape bending  
46 parts were used as the energy dissipation mechanism of a steel post-tensioned beam-column  
47 connection for self-centering moment-resisting frames (Vasdravellis et al. 2013a;  
48 Vasdravellis et al. 2013b).

49 A critical failure mode of steel yielding devices is ductile fracture. Under seismic loading,  
50 fracture of metals typically occurs after a relatively small number of cycles accompanied by  
51 large-scale plasticity. This loading is often termed as ultra-low cycle fatigue (ULCF). Nip et  
52 al. (2010) conducted low-cycle fatigue and ULCF tests on different structural steel grades,  
53 i.e. carbon steel and austenitic stainless steel, and found that the ductile fracture occurs when  
54 the number of cycles is below 100. Several studies have demonstrated that the fracture

55 mechanism for ULCF is similar to monotonic ductile fracture, since it involves cyclic growth  
56 and collapse of voids (Nip et al. 2010; Kanvinde 2017). Therefore, micromechanics-based  
57 approaches that originate from fracture models for monotonic loading have been recently  
58 proposed to predict ULCF fracture (Kanvinde and Deierlein 2007; Myers et al. 2010; Jia and  
59 Kuwamura 2015; Wen and Mahmoud 2016b; Smith et al. 2017).

60 Vasdravellis et al. (2014) investigated the ductile fracture behavior of hourglass-shaped pins  
61 made of different steel grades, i.e. high-strength steel, austenitic stainless steel, and duplex  
62 stainless steel. The results showed that duplex stainless steel pins, named as SSPs, have the  
63 most desirable behavior for seismic design purposes, as they exhibit excellent ductility, high  
64 post-yield stiffness, and large fracture capacity. The notably high post-yield stiffness of the  
65 SSPs was utilized to reduce the residual drifts in a dual concentrically-braced moment-  
66 resisting frame (CBF-MRF) proposed by Baiguera et al. (2016), where SSPs are installed in  
67 series with the braces. Nonlinear dynamic analyses of the dual CBF-MRF showed that the  
68 high post-yield stiffness of the SSPs results in negligible residual drifts under the Design  
69 Basis Earthquake (DBE, 10 % probability of exceedance in 50 years) and very small residual  
70 drifts under the Maximum Considered Earthquake (MCE, 2% probability of exceedance in 50  
71 years). In the assessment of the CBF-MRF, ductile fracture of SSPs was preliminarily  
72 evaluated based on the tests conducted in Vasdravellis et al. (2014). However, the available  
73 experimental data referred to a limited number of cyclic tests conducted under one-sided  
74 loading protocols.

75 This paper presents the results of an experimental investigation on the seismic performance  
76 of full-scale SSPs under full-cycle ULCF loading protocols. This study aims to provide  
77 calibrated models for predicting ductile fracture of the SSPs, which can be implemented in  
78 seismic collapse evaluation of buildings equipped with such devices. The results of fourteen  
79 cyclic tests on two full-scale SSP geometries, selected from the prototype dual CBF-MRF

80 proposed in Baiguera et al. (2016), are presented. The tests were conducted using a testing  
81 apparatus reproducing the SSP-brace connection, and various loading histories, i.e. standard,  
82 constant amplitude (CA), and randomly-generated protocols. Following the tests, two  
83 micromechanics-based fracture models, i.e. the CVGM and the built-in Abaqus ductile  
84 fracture model, were calibrated for SSD using tests on circumferentially-notched specimens  
85 (CNSs) and complementary simulations using the finite element method (FEM). Coffin-  
86 Manson-like relationships were fitted to the CA tests and were used to predict fracture of the  
87 SSPs under the random loading protocol tests, in combination with a Palmer-Miner linear  
88 damage accumulation rule. The ability of the models to predict fracture was assessed against  
89 the experimental tests of SSPs.

## 90 **PROTOTYPE FRAME**

91 Fig. 1(a) shows the CBF-MRF proposed by Baiguera et al. (2016). The SSPs are installed in  
92 series with the braces and pass through aligned holes between the gusset plate and a strong U-  
93 shaped plate, which is connected by either welding or bolting to the brace member [Fig.  
94 1(b)]. The SSPs dissipate energy due to inelastic bending perpendicular to their axis. The  
95 geometric properties of a SSP are shown in Fig. 2(a). The bending hourglass parts have  
96 length  $L_{SSP}$ , external diameter  $D_e$ , and mid-length diameter  $D_i$ . The hourglass shape promotes  
97 a constant curvature and a uniform distribution of plastic deformations along the length of the  
98 SSP, delaying in that way fracture. The design of a SSP includes the selection of  $D_e$ ,  $D_i$  and  
99  $L_{SSP}$  to provide the required force  $F_{SSP}$  and to ensure a ductile flexural rather than a non-  
100 ductile shear failure. A detailed design procedure for SSPs is given in Vasdravellis et al.  
101 (2014) and is not repeated herein. To meet capacity design requirements and avoid  
102 undesirable column failure due to high post-yield stiffness of the SSPs, friction pads are  
103 placed between the brace members and the beam gusset plate at the top of each floor [Fig.  
104 1(a)]. The friction pads are activated at a predefined story drift level. More details on the

105 geometry and seismic performance of the proposed dual CBF-MRF are presented in Baiguera  
106 et al. (2016).

## 107 **EXPERIMENTAL PROGRAM**

### 108 **Specimens**

109 In the dual CBF-MRF, each SSP-brace connection is made of four or more identical SSPs  
110 that work in parallel to resist the brace axial force [Fig. 1(b)]. Since all SSPs undergo the  
111 same displacement when loaded, tests were conducted on a single SSP. Two different SSP  
112 geometries were tested in full-scale, representing the devices at the third and sixth story of the  
113 prototype building, and denoted as SSP1 and SSP2, respectively. SSP1 has  $D_e = 50\text{mm}$ ,  $D_i =$   
114  $24\text{ mm}$  and  $L_{SSP} = 225\text{ mm}$ , while SSP2 has  $D_e = 40\text{mm}$ ,  $D_i = 18\text{ mm}$  and  $L_{SSP} = 225\text{ mm}$ .  
115 The two geometries are shown in Fig. 2(b).

116 Seven specimens of each geometry were manufactured by machining 740 mm long round  
117 rolled bars, having diameters equal to 65 mm and 51 mm. The material is SSD, certified as  
118 UNS S31803 F51 by the manufacturer (UGITECH, France). The specimens were fabricated  
119 with a slightly reduced maximum diameter (nominal value:  $D_e + 10\text{ mm}$ ) to allow for a small  
120 clearance of 0.2 mm in the holes of the supporting plates. The rolled bars were supplied in the  
121 solution annealed condition because the material yield strength was greater than 450 MPa.  
122 This type of stainless steel is much stronger (i.e. twice or more) than the common austenitic  
123 stainless steel.

### 124 **Material tests**

125 Before testing the SSPs, three uniaxial tensile tests were performed on round coupon  
126 specimens designed according to EN10002-1 (European Committee for Standardization,  
127 2001). The coupon specimens had an external diameter of 16 mm and were tapered to a  
128 reduced diameter of 12 mm. Table 1 lists the mechanical properties of the material from the  
129 coupon tests, i.e. the yield stress  $f_y$  defined using the 0.2% offset strain, the ultimate (peak)

130 stress  $f_u$ , the fracture strain  $\epsilon_f$ , and the Young's modulus  $E$ . The average yield stress is equal  
131 to 520 MPa, the average ultimate stress is equal to 750 MPa, and the average fracture strain is  
132 0.47, which indicate a material with large fracture capacity and high post-yield stiffness. The  
133 ratio of the post-yield stiffness to the elastic stiffness is equal 1/125.

#### 134 **Testing apparatus**

135 Tests on SSPs were conducted using a self-reacting structural testing machine employing a  
136 servo-hydraulic actuator with 2000 kN force capacity and  $\pm 120$  mm stroke capacity. The test  
137 setup had a configuration that reproduces the SSP-gusset plate connection of the dual CBF-  
138 MRF. Fig. 3 shows the test setup, which consists of vertical steel plates representing the  
139 gusset plate tied to the beam-column connection and the U-shaped plate tied to the bracing  
140 member, respectively [see Fig. 1(b)]. The SSPs were inserted into aligned holes drilled on the  
141 vertical plates. The top row of holes was used for the SSP1, whereas the bottom row was  
142 used for the SSP2. The top assembly is made of a 40-mm thick vertical plate welded  
143 normally onto a 50-mm thick 300x200 mm horizontal plate. The bottom assembly is made of  
144 two vertical 60-mm thick plates welded normally onto a 700x150x50 mm horizontal plate.  
145 Two 150x300x50 mm plates welded onto the top and bottom horizontal plates are gripped by  
146 the testing machine, as shown in Fig. 3. The minimum thickness of the supporting plates is  
147 based on the design rules presented by Vasdravellis et al. (2014).

148 Fig. 4 shows the SSP1 specimen installed. To prevent the unidirectional axial translation due  
149 to cyclic loading observed in Vasdravellis et al. (2014), the SSPs were axially restrained by  
150 welding a 10-mm thick steel collar at both ends of an SSP as shown in Fig. 4. Before the test,  
151 the collar was just in contact with the vertical plates. To prevent excessive bending of the  
152 vertical plates of the bottom assembly as the SSP deforms, 30 mm-thick triangular stiffeners  
153 were welded at the base of the plates.

#### 154 **Instrumentation**

155 Fig. 4 shows the two linear variable differential transformers (LVDTs) that were used to  
156 measure the relative displacement between the top and bottom plate assemblies. The LVDTs  
157 have  $\pm 150$  mm travel length and were fixed to the bottom horizontal plate by magnetic bases,  
158 while their tips were attached to the top horizontal plate.

### 159 **Loading protocols**

160 Table 2 lists the loading protocols that were used for the tests. All the loading protocols were  
161 applied under displacement control at a rate ranging from 5 to 40 mm/min. The first loading  
162 protocol, denoted as AISC protocol, is the one recommended in ANSI/AISC 341-10 (AISC  
163 2010) for the seismic evaluation of buckling restrained braces. The loading history is defined  
164 by the yield displacement of the SSP,  $u_y$ , and the displacement demand in the brace expected  
165 under the DBE,  $u_{DBE}$ . The values of  $u_{DBE}$  were determined from the seismic evaluation results  
166 in Baiguera et al. (2016). Preliminary values of  $u_y$  were derived from the results of the  
167 simulations using the three-dimensional FEM sub-models of the SSPs presented in Baiguera  
168 et al. (2016). Based on the above,  $u_y$  is equal to 8 mm and  $u_{DBE}$  equal to 17 mm for SSP1,  
169 while the same quantities are equal to 5 mm and 14 mm for SSP2. The AISC protocol  
170 prescribes a loading history that consists of increasing imposed displacements with  
171 amplitudes  $u_y$ ,  $0.5u_{DBE}$ ,  $u_{DBE}$ ,  $1.5u_{DBE}$ , and  $2u_{DBE}$ , each one applied for two cycles. To fully  
172 characterize the hysteretic response of each SSP up to fracture, the AISC protocol was  
173 extended to include four additional cycles at  $1.5u_{DBE}$ , followed by two cycles at  $2.5u_{DBE}$ , and  
174 then a series of cycles with an amplitude increased by  $0.5u_{DBE}$  every two cycles.

175 Both specimens were tested under ultra-low cycle fatigue loading histories, i.e. constant  
176 amplitude (CA) and randomly-generated protocols. The imposed amplitudes are defined as  
177 multiples of the SSP yield displacement. SSP1 was tested under  $CA = 4u_y$ ,  $5u_y$ ,  $6u_y$  and  $7u_y$ ,  
178 while SSP2 was tested under  $CA = 4u_y$ ,  $5u_y$ ,  $6u_y$ ,  $7u_y$  and  $8u_y$ . Both specimens were also  
179 tested under random loading protocols, which consisted of randomly generated number of

180 cycles and imposed displacements. These protocols were defined assuming imposed  
181 displacement values in the range of 2 to 8 times  $u_y$  and number of cycles between 1 and 9.  
182 Note that the selected range of applied displacements for the tests reflects the demand that  
183 SSPs are expected to resist in the proposed dual CBF-MRF, where larger displacements lead  
184 to the activation of the friction pads.

## 185 **EXPERIMENTAL RESULTS**

### 186 **Cyclic behavior and fracture of SSPs**

187 Fig. 5 shows the force-displacement cyclic behavior of the two specimens under the extended  
188 AISC loading protocol.  $u_{DBE}$  is shown on the graphs as a vertical line. The SSPs successfully  
189 passed the imposed protocol showing stable hysteretic behavior up to an imposed  
190 displacement equal to  $4.5u_{DBE}$ , where the tests were terminated as no signs of fracture  
191 initiation in the SSPs were observed.

192 The rest of the tests were executed up to full-section fracture of the specimens. Fig. 6 shows  
193 the hysteresis of SSP1 and SSP2 under the CA loading protocols. Table 2 reports the number  
194 of cycles sustained by each specimen until full-section fracture. The SSPs sustained many  
195 inelastic cycles before fracture, showing a stable hysteretic behavior and large energy  
196 dissipation capacity.

197 Fracture typically initiated on the surface of the SSP at the middle sections of the bending  
198 parts, i.e. halfway between  $D_e$  and  $D_i$ , as shown in Fig. 7(a). These fracture locations are  
199 denoted as sections 1 and 2, where section 1 is the one closest to the lower supporting plate.  
200 The number of cycles to fracture initiation were recorded for each ultra-low cycle fatigue test  
201 and are reported in Table 2. Once fracture initiation occurred, several micro-cracks were  
202 gradually formed and propagated to full section fracture after several cycles [Fig. 7(b)]. Fig.  
203 7(c) shows the cracks observed on the surface after forty-eight cycles in the SSP2 tested  
204 under  $CA = 6u_y$  and how they propagated in the successive eleven cycles, leading to the full-



205 section fracture of the specimen at cycle fifty-nine. The optimized shape of the SSPs resulted  
206 in large plastic deformations throughout the length of their bending parts. This caused a large  
207 axial elongation of the SSPs which increased with cycles. Fig. 8 shows the noticeable axial  
208 elongation of SSP2 after thirty cycles under  $CA = 7u_y$ .

209 The force-displacement curves are characterized by a slight pinching at zero force due to the  
210 small clearance (0.2 mm) in the holes of the supporting plates that allows the pins to slip. It  
211 can be observed from Figs. 5 and 6 that the hysteretic curves exhibit a hardening behavior at  
212 large imposed displacement. This behavior is more evident in the CA protocols for  $CA > 6u_y$   
213 for SSP1 and  $CA > 5u_y$  for SSP2. This hardening response is attributed to the welded collars  
214 that at large imposed displacements bore on the vertical plates, while they were not in contact  
215 with them at small amplitudes (as shown in Fig. 8).

### 216 **Energy dissipation capacity**

217 The energy dissipated by a SSP in a cycle,  $W$ , is calculated as the area enclosed by the force-  
218 displacement curve. To have a consistent comparison,  $W$  is normalized by the product of  $u_y$   
219 and the corresponding yield force  $F_y$ . The experimental yield forces of the two specimens are  
220  $F_{y,SSP1} = 150$  kN and  $F_{y,SSP2} = 75$  kN. Figs. 9(a and b) show a comparison between the energy  
221 dissipating curves of SSP1 and SSP2 under the  $7u_y$  and  $4u_y$  CA loading protocols. The energy  
222 dissipation capacity of SSP1 and SSP2 is similar during the first cycles, with SSP2  
223 experiencing a more visible drop in its energy dissipation capacity than SSP1. However,  
224 SSP2 sustained a larger number of cycles than SSP1.

225 The energy dissipation curves computed for the AISC tests are shown in Fig. 9(c). SSP1  
226 appears to have a higher energy dissipation capacity in the initial cycles. This observation is  
227 consistent to all tests and can be attributed to the fact that the clearance between the external  
228 diameter and the supporting plate holes was slightly bigger in SSP2 than in SSP1. However,

229 SSP2 reached full-section fracture after having sustained more cycles than SSP1 under CA  
230 loading protocols.

231 Fig. 10 compares the energy dissipation capacity of the SSPs under all the CA loading  
232 protocols. The energy dissipation curves are descending until fracture with a rate that is  
233 proportional to the magnitude of the imposed displacement, i.e. the larger the CA is, the  
234 faster the energy dissipation capacity of the SSPs degrades. On the contrary, when the  
235 specimens are subjected to small amplitudes (i.e.  $CA = 4u_y$ ), the energy dissipation curve is  
236 almost horizontal until fracture.

### 237 **Prediction of strength of SSPs**

238 The strength of an SSP is predicted using the design equations presented in Vasdravellis et al.  
239 (2014) with modifications to account for the exact location of the plastic hinges. Fig. 7(a)  
240 shows that the plastic hinges form at midway between  $D_e$  and  $D_i$ . Therefore, the strength of  
241 an SSP is given by Vasdravellis et al. (2014):

$$F_{SSP} = \frac{2}{3} \frac{D_{PH}^3}{L_{PH}} f_y \quad (1)$$

242 where  $L_{PH} = L_{SSP}/2$ , and  $D_{PH} = (D_e + D_i)/2$ , based on the geometric properties shown in Fig. 2.  
243 Using this formula, the strength of SSP1 is 156 kN and that of SSP2 is 75 kN, which are in  
244 excellent agreement with the experimental values, i.e. 150 kN and 75 kN, respectively. Note  
245 that the capacity design rules to avoid shear failure at the section of diameter  $D_i$  are satisfied  
246 according to Vasdravellis et al. (2014).

### 247 **PREDICTION OF FRACTURE OF SSPs USING THE PALMGREN-** 248 **MINER'S RULE**

249 The results of the CA tests were used to derive a relationship between the applied  
250 displacement amplitude and the number of cycles to fracture. Such correlation may be  
251 convenient for establishing a fracture criterion in phenomenological models of the SSPs for

252 seismic collapse modeling of buildings equipped with such dampers. For instance, the  
253 ‘Fatigue material’ model available in the OpenSEES software (Mazzoni et al. 2006), which is  
254 based on the Coffin-Manson relationship and on a linear damage accumulation rule, can also  
255 be defined for spring-like elements with a force-displacement response.

256 Based on the CA test results, the points corresponding to the number of cycles to fracture ( $N_f$ )  
257 as a function of the applied amplitude ( $\Delta_f/2$ ) are plotted in Fig. 11. Then, a Coffin–Manson-  
258 like equation can be obtained, i.e.

$$\Delta_f/2 = \Delta_0 \cdot (N_f)^m \quad (2)$$

259 where  $m$  and  $\Delta_0$  are parameters with values that result in the best fit to the points in Fig. 11.

260 The calibrated values of  $\Delta_0$  and  $m$  are 350 mm and -0.6 for SSP1, and 455 mm and -0.6 for  
261 SSP2.

262 The Palmgren-Miner linear damage accumulation rule is applied to the random tests:

$$D = \sum_{i=1}^j \frac{n_i}{N_{f,i}} \quad (3)$$

263 where  $n_i$  is the number of cycles applied at a given amplitude,  $N_{f,i}$  is the number of cycles  
264 required to reach fracture at that given amplitude, and  $D$  is the damage index, which is equal  
265 to 1 when the low-cycle fatigue life is reached (Bruneau et al. 2011). Table 3 shows that the  
266 fracture prediction using Eq. (3) for the randomly generated cyclic loading protocols is in  
267 good correlation with the experimental results: SSP1 fractured at the end of phases 14 and 9  
268 for which the Miner’s rule estimates a value of  $D$  equal to 1.14 and 1.08, respectively, while  
269 SSP2 fractured at the end of phase 9 for which the Miner’s rule estimates a value of  $D$  equal  
270 to 0.99.

271 The calibrated parameters are only valid for the specific geometries tested in this study.

272 Instead, the mechanics-based fracture models, presented below, can be used to estimate the  
273 fracture behavior of new geometries under ULCF, without the need for further tests.

## 274 MICROMECHANICS-BASED FRACTURE MODELS

### 275 Fracture prediction under monotonic loading

276 Under monotonic loading, the Void Growth Model (VGM) and the Stress Modified Critical  
277 Strain (SMCS) model provide good predictions of ductile fracture in metals based on prior  
278 theoretical and experimental research (McClintock 1968; Rice and Tracey 1969; Hancock  
279 and Mackenzie 1976; Mackenzie et al. 1977; Hancock and Brown 1983; Johnson and Cook  
280 1985; Marini et al. 1985; Panontin and Sheppard 1995; Bandstra et al. 2004; Anderson 2005;  
281 Kanvinde and Deierlein 2006; Kanvinde 2017). These studies have shown that ductile  
282 fracture depends on two variables, i.e. the equivalent plastic strain  $\bar{\epsilon}^{pl}$  and the stress  
283 triaxiality, which is defined as the ratio of the mean stress,  $\sigma_m$ , to the von Mises stress,  $\sigma_e$ .  
284 The VGM assumes that ductile fracture initiates when a quantity named void growth index  
285 ( $VGI_{\text{monotonic}}$ ) reaches a critical value ( $VGI_{\text{monotonic}}^{\text{critical}}$ ):

$$VGI_{\text{monotonic}} = \int_0^{\bar{\epsilon}^{pl}} \exp(1.5T) d\bar{\epsilon}^{pl} > VGI_{\text{monotonic}}^{\text{critical}} \quad (4)$$

286 Calculation of the  $VGI_{\text{monotonic}}^{\text{critical}}$ , which is considered as a material property invariant to stress  
287 and strain states, requires complementary FEM analysis up to the point of fracture initiation  
288 (Kanvinde and Deierlein 2006).

289 The SMCS model does not account for variations in triaxiality during the loading history.

290 Fracture initiation occurs when  $\bar{\epsilon}^{pl}$  reaches the critical value  $\bar{\epsilon}_{\text{critical}}^{pl}$ :

$$\bar{\epsilon}_{\text{critical}}^{pl} = \alpha \exp(-1.5T) \quad (5)$$

291 where  $\alpha$  is the toughness index. The SMCS model requires complementary FEM analysis to  
292 calibrate  $\alpha$ , based on  $\bar{\epsilon}_{\text{critical}}^{pl}$  and  $T$  values at fracture initiation. The SMCS model was  
293 recently applied to predict fracture in various steel grades and in steel beam-column  
294 connections (Chi et al. 2006; Kanvinde and Deierlein 2006). Kiran and Khandelwal (2013)  
295 calibrated the parameters of the VGM and SMCS models for the A992 steel grade.

296 The Abaqus software offers a general criterion for predicting ductile fracture initiation that is  
 297 given by:

$$\omega_{\text{critical}} = \int \frac{d\bar{\varepsilon}^{pl}}{\bar{\varepsilon}_{\text{critical}}^{pl}(T)} \quad (6)$$

298 where  $\omega_{\text{critical}}$  is the fracture initiation index that increases monotonically with plastic  
 299 deformations and  $\bar{\varepsilon}_{\text{critical}}^{pl}(T)$  is the equivalent plastic strain at fracture initiation, which  
 300 depends on the instantaneous  $T$  value (Dassault Systèmes 2014). When  $\omega_{\text{critical}} = 1$ , it is  
 301 assumed that fracture initiation occurs.

302 More recently, a fracture criterion under monotonic loading that depends on both the  
 303 triaxiality and the Lode angle parameter was proposed in Wen and Mahmoud (2016a).

#### 304 **Fracture prediction under ultra-low cycle fatigue**

305 In seismic applications, the initiation of ductile fracture in metals typically occurs due to  
 306 ULCF, i.e. the material is subjected to a relatively small number of large inelastic cycles.  
 307 Under this loading condition, the fracture mechanism is more similar to monotonic ductile  
 308 fracture rather than low or high cycle fatigue failure that typically involves hundreds or  
 309 thousands of cycles. To predict fracture initiation in metals under ULCF, Kanvinde and  
 310 Deierlein (2007) proposed the CVGM, which is an extension of the VGM accounting for  
 311 positive and negative triaxiality that develops at the point of interest under cyclic loading:

$$\text{VGI}_{\text{cyclic}} = \int_{T \geq 0} \exp(1.5|T|) d\bar{\varepsilon}^{pl} - \int_{T < 0} \exp(1.5|T|) d\bar{\varepsilon}^{pl} \quad (7)$$

312 The model assumes that fracture initiates in the material only under positive triaxiality.

313 Fracture initiation occurs when  $\text{VGI}_{\text{cyclic}}$  exceeds a critical value ( $\text{VGI}_{\text{cyclic}}^{\text{critical}}$ ), which is  
 314 calculated applying an exponential decay function to its monotonic critical value

315  $\text{VGI}_{\text{monotonic}}^{\text{critical}}$ , i.e.

$$\text{VGI}_{\text{cyclic}}^{\text{critical}} = \text{VGI}_{\text{monotonic}}^{\text{critical}} \exp(-\lambda \bar{\varepsilon}_{\text{acc}}^{pl}) \quad (8)$$

316 where  $\bar{\varepsilon}_{acc}^{pl}$  is the cumulative plastic strain up to the start of each tensile excursion and  $\lambda$  is the  
317 rate of cyclic deterioration, which takes values from 0 to 1 for structural steels (Kanvinde and  
318 Deierlein 2007). A small value of  $\lambda$  results in a faster degradation. The coefficient  $\lambda$  is  
319 experimentally determined by conducting cyclic tests on CNSs.  
320 Jia and Kuwamura (2015) have recently simulated ductile fracture of specimens subjected to  
321 cyclic loading using the Abaqus fracture initiation criterion [Eq. (6)]. To define an  $\bar{\varepsilon}_{critical}^{pl}(T)$   
322 function appropriate for cyclic loading, they modified the SMCS model by introducing a cut-  
323 off at  $T = -1/3$ , on the basis of experimental evidence that ductile fracture is practically  
324 inhibited in compression (Bridgman 1964; Bao & Wierzbicki 2004). Below  $T = -1/3$ , ductile  
325 fracture is assumed to initiate for an infinite value of  $\bar{\varepsilon}_{critical}^{pl}(T)$  and thus no damage is  
326 accumulated. The above conditions are expressed as:

$$\bar{\varepsilon}_{critical}^{pl}(T) = \begin{cases} \alpha_{cyclic} \exp(-1.5T) & \text{if } T \geq -1/3 \\ \infty & \text{if } T < -1/3 \end{cases} \quad (9)$$

$$\omega_{critical} = \begin{cases} \int \frac{d\bar{\varepsilon}^{pl}}{\bar{\varepsilon}_{critical}^{pl}(T)} & \text{if } T \geq -1/3 \\ 0 & \text{if } T < -1/3 \end{cases} \quad (10)$$

327 This fracture criterion was previously validated by Jia & Kuwamura (2015) against the  
328 response of specimens monotonically pulled to fracture after being subjected to few small  
329 inelastic cycles (fewer than five). For this purpose, the cyclic fracture parameter  $\alpha_{cyclic}$  was  
330 calibrated using monotonic tests on round specimens. However, its application to ultra-low  
331 cycle fatigue requires the calibration of  $\alpha_{cyclic}$  based on coupon tests under cyclic loading.  
332 All models derived from the work of McClintock (1968) and Rice and Tracey (1969) assume  
333 that the stress state is axisymmetric. However, recent studies have demonstrated that ductile  
334 fracture is also influenced by the Lode angle  $\theta$ , which is an additional indicator of stress state  
335 and related to the Lode parameter,  $\xi$ , as expressed in Eq. (11):

$$\xi = \cos \theta = \frac{3\sqrt{3}}{2} \frac{J_3}{J_2^{3/2}} \quad (11)$$

336 where  $J_2$  and  $J_3$  are the second and third stress invariants of the deviatoric stress tensor.  $\xi$   
 337 varies from -1, in case of axisymmetric compression, to 1, in case of axisymmetric tension.  
 338 Smith et al. (2014) and Smith et al. (2017) recently proposed the stress-weighted damage model  
 339 (SWDM), which is an enhanced version of the CVGM accounting for the effect of the  
 340 deviatoric stress state. Wen and Mahmoud (2016b) developed a new fracture model that takes  
 341 in full consideration both stress triaxiality and the Lode angle parameter.

342 In this study, the effect of the Lode angle parameter is not considered since complementary  
 343 FEM simulations of the SSP tests show that the fracture locations are characterized by  
 344 axisymmetric stress state, i.e.  $\xi = \pm 1$  [see Fig. 23(c)] at the locations of fracture on the SSPs'  
 345 external surfaces. This indicates that the deviatoric stress does not influence the prediction of  
 346 ductile fracture initiation in the SSPs under ULCF.

## 347 **CALIBRATION OF FRACTURE PARAMETERS FOR DUPLEX**

### 348 **STAINLESS STEEL**

#### 349 **CNS tests**

350 Monotonic and cyclic tests on CNSs made of duplex stainless steel were carried out to  
 351 calibrate the critical parameters of the CVGM and the Abaqus ductile fracture initiation  
 352 model. CNSs with three different radii were used, i.e.  $R = 2, 3, \text{ and } 4.5$  mm, to vary the  
 353 severity of triaxiality at the center of the notched cross-section. The notched specimens,  
 354 denoted as CNS-2, CNS-3, and CNS-4.5, were manufactured using 16-mm diameter round  
 355 bars from the same material batch of the SSPs. The CNS geometries are shown in Fig. 12(a).  
 356 CNS-2 is characterized by high triaxiality ( $T > 1$ ) at the center of the notch, while CNS-3 and  
 357 CNS-4.5 have moderate triaxiality ( $1/3 < T < 1$ ).

358 A total of six tests, three tensile monotonic and three cyclic, were conducted for each CNS up  
359 to fracture. Two types of ultra-low cycle fatigue protocols were defined, i.e. CA, consisting  
360 of cycles between zero and a positive displacement multiple of the yield displacement  $d_y$ ;  
361 and protocols with increasing amplitude where the specimen was subjected to amplitudes  
362 increased by  $2d_y$  every four cycles. Table 4 provides a summary of the loading protocols.  
363 The specimens were instrumented with a 50-mm gauge length extensometer as shown in Fig.  
364 12(b). The tests were performed under displacement control with a rate of 1 mm/min. The  
365 imposed displacement was controlled by the extensometer.  
366 The monotonic force-displacement curves of CNS-2 are shown in Fig. 13(a). Fig. 13(b)  
367 shows the cyclic force-displacement response of CNS-4.5 under no. 9 protocol. The CNSs  
368 showed a stable hysteretic response under all cyclic protocols. Ductile fracture of the  
369 specimen occurred in all the tests.

#### 370 **FEM simulations of the coupon tests**

371 Nonlinear three-dimensional FEM models of the CNSs were created in Abaqus. Fig. 12(b)  
372 shows the geometry of the FEM model of CNS-2. Only the gauge length was modelled and  
373 was discretized using C3D8R elements with reduced integration. The mesh is refined in the  
374 notch with an average element size of 0.45 mm. The displacement history measured by the  
375 extensometer was applied defining a smooth step amplitude. The model was analyzed using  
376 the explicit dynamic solver in Abaqus as the explicit direct integration procedure is  
377 computationally efficient for the simulation of highly discontinuous quasi-static problems  
378 that involve contact, damage and failure. To reduce the computational cost of quasi-static  
379 simulations, a smaller loading rate is typically applied. In addition, a variable mass scaling is  
380 used for computational efficiency by defining a minimum stable time increment target.  
381 Depending on the CNS geometry and test protocol, the loading rate was in the range of 0.06-  
382 0.45 mm/s and a value of 0.002 s was iteratively identified as a stable time increment. A



383 smooth step amplitude was defined for the FEM simulations of the monotonic tests to ensure  
 384 a stable quasi-static analysis.

385 An elastic plastic constitutive law with isotropic hardening, shown in Fig. 14, was specified  
 386 for the monotonic tests, based on coupon tests on round bars performed prior to the CNS  
 387 tests. To capture the cyclic behavior of duplex stainless steel, an elastic plastic material model  
 388 with combined isotropic and kinematic hardening was specified. The material model is  
 389 defined by the yield surface  $\varphi(\sigma)$  defined as (Dassault Systemes, 2016):

$$\varphi(\sigma) = \sqrt{\frac{3}{2}(\mathbf{S} - \boldsymbol{\alpha})^t(\mathbf{S} - \boldsymbol{\alpha})} - \sigma^0 \quad (12)$$

390 where  $\sigma^0$  is the yield stress,  $t$  is the transposition operation,  $S$  is the stress deviator,  $\sigma$  is the  
 391 stress vector and  $\alpha$  is the backstress vector. The hardening laws for each backstress are  
 392 defined as:

$$\boldsymbol{\alpha} = \sum_{k=1}^B \boldsymbol{\alpha}_k \quad (13)$$

$$\dot{\boldsymbol{\alpha}}_k = \frac{C_k}{\sigma^0} (\boldsymbol{\sigma} - \boldsymbol{\alpha}) \dot{\bar{\epsilon}}^p - \gamma_k \boldsymbol{\alpha}_k \dot{\bar{\epsilon}}^p \quad (14)$$

393 where a superimposed dot indicates an incremental quantity,  $B$  is the total number of the  
 394 backstresses,  $C_k$  and  $\gamma_k$  are the constitutive material parameters to be calibrated against the  
 395 experimental results, and  $\dot{\bar{\epsilon}}^p$  is the equivalent plastic strain rate. The evolution of  $\sigma^0$   
 396 (isotropic hardening component) is defined by the following exponential law:

$$\sigma^0 = \sigma|_0 + Q_\infty(1 - e^{-b\bar{\epsilon}^p}) \quad (15)$$

397 where  $\sigma|_0$  is the yield stress at zero plastic strain,  $b$  defines the rate at which the size of  $\varphi(\sigma)$   
 398 changes for increasing plastic strains, and  $Q_\infty$  is the maximum change in the size of  $\varphi(\sigma)$ .

399 Several simulations were iteratively conducted to identify the values of the parameters that  
 400 define the constitutive model. A good correlation was achieved adopting the following

401 values:  $\sigma|_0 = 400$  MPa,  $C_1 = 6,500$  MPa,  $\gamma_1 = 30$ ,  $C_2 = 100,000$  MPa,  $\gamma_2 = 700$ ,  $b = 5$ ,  $Q_\infty =$

402 200 MPa. Fig. 13 shows the experimental-numerical agreement for CNS-2 under monotonic  
403 loading and CNS-4.5 under no. 9 cyclic protocol. A similar agreement was found in all the  
404 tests.

### 405 **Calibration of the CVGM**

406 The parameters of the CVGM, i.e.  $VGI_{\text{monotonic}}^{\text{critical}}$  and  $\lambda$ , were calibrated following the  
407 procedure described in Kanvinde & Deierlein (2007). First, the  $VGI_{\text{monotonic}}^{\text{critical}}$  was identified  
408 based on the FEM simulations of the monotonic CNS tests. Then, the cyclic damage  
409 parameter  $\lambda$  was identified using the FEM simulations of the cyclic CNS tests.

410 Stress and strain histories extracted from the fracture location of the CNSs, i.e. the center of  
411 the notched section, were used to integrate Eq. (4) up to fracture (assumed to represent  
412 complete failure of the specimen) as indicated in the force-displacement response of CNS-2  
413 in Fig. 13(a). The values of  $VGI_{\text{monotonic}}^{\text{critical}}$  along with the plastic strain and triaxiality at  
414 fracture for the three CNS geometries under monotonic loading are summarized in Table 5.  
415  $VGI_{\text{monotonic}}^{\text{critical}}$  has a mean value of 2.88 and a small standard deviation equal to 0.29. This  
416 value agrees with the results presented in Vasdravellis et al. (2014), where  $VGI_{\text{monotonic}}^{\text{critical}}$  was  
417 found to have a mean value of 2.87 for the SSD material.

418 The value of the parameter  $\lambda$  was determined by deriving a relationship between the  
419  $VGI_{\text{cyclic}}^{\text{critical}}/VGI_{\text{monotonic}}^{\text{critical}}$  ratio and the associated  $\varepsilon_{\text{acc}}^{\text{pl}}$  at fracture initiation. Damage initiation  
420 in the cyclic tests is assumed to occur when there is a 10% drop in the force carrying capacity  
421 of the specimen based on the force time history. Fig. 15(a) shows the force versus cycle  
422 evolution for CNS-2 subjected to no. 3 loading protocol (Table 4). Fracture initiation is  
423 indicated on the graph by the vertical shaded area and the cycle where fracture initiated is  
424 denoted as  $N_0$ , i.e.  $N_0 = 18$  in this test.  $VGI_{\text{cyclic}}^{\text{critical}}$  values are calculated by integrating Eq. (7)  
425 for each cyclic CNS test. By fitting an exponential function to the resulting  $VGI_{\text{cyclic}}^{\text{critical}}/$

426  $VGI_{\text{monotonic}}^{\text{critical}} - \bar{\varepsilon}_{\text{acc}}^{pl}$  data, plotted in Fig. 16,  $\lambda = 0.12$ . The small value of  $\lambda$  obtained for SSD  
427 is consistent with the large fracture capacity exhibited by the coupon specimens.

### 428 **Calibration of the ductile fracture initiation and evolution criterion in Abaqus**

429 The calibration of the Abaqus ductile fracture initiation criterion involves determining the  
430 parameter  $\alpha_{\text{cyclic}}$  in Eq. (9) based on the cyclic CNS test results. Thus,  $\alpha_{\text{cyclic}}$  is calibrated  
431 using the same stress and strain histories extracted from the FEM simulations for the  
432 calibration of the CVGM. The fracture initiation index  $\omega_{\text{critical}}$  is determined by integrating  
433 Eq. (10) and  $\alpha_{\text{cyclic}}$  is iteratively found imposing  $\omega_{\text{critical}} = 1$  at the start of the cycle where  
434 fracture initiated. Fig. 15(b) shows the evolution of  $\omega_{\text{critical}}$  in the test no. 3 of CNS-2. To have  
435  $\omega_{\text{critical}} = 1$  at the 18<sup>th</sup> cycle,  $\alpha_{\text{cyclic}}$  should be equal to 10 in this test. The same procedure of  
436 determining  $\alpha_{\text{cyclic}}$  was applied to all CNS cyclic tests and the results are summarized in Table  
437 6.  $\alpha_{\text{cyclic}}$  has a mean value of 10.6 and a standard deviation of 1.4. The excessively small  
438 value of 5.5 resulted for specimen CNS-2 under no. 2 loading protocol was disregarded as  
439 non-representative. Note that the specimen in the specific test sustained fewer cycles than in  
440 test no. 3 despite being subjected to a smaller amplitude. Thus,  $\alpha_{\text{cyclic}} = 10$  is conservatively  
441 used in the fracture simulations of the SSPs in Abaqus. Fig. 17 shows the  $\bar{\varepsilon}_{\text{critical}}^{pl}(T)$  function  
442 expressed in Eq. (9) with  $\alpha_{\text{cyclic}} = 10$  and the cut-off at  $T = -1/3$ .

443 To simulate the progressive degradation of the material following fracture initiation, Abaqus  
444 offers a damage evolution criterion based on the approach proposed by Hillerborg et al.  
445 (1976). The stress-strain definition cannot accurately capture the degradation of the material  
446 as a strain localization would introduce a strong mesh dependency. Abaqus overcomes this  
447 issue by introducing a damaged stress-displacement response (Dassault Systèmes 2014). The  
448 damage evolution variable is specified as a function of the equivalent plastic displacement  
449  $\bar{u}^{pl}$ . The latter depends on the characteristic length of a finite element  $L_{\text{char}}$ , which is  
450 expressed by:

$$\dot{\bar{u}}^{pl} = L_{\text{char}} \dot{\bar{\varepsilon}}^{pl} \quad (16)$$

451 Before fracture initiation,  $\dot{\bar{u}}^{pl} = 0$ . Since  $L_{\text{char}}$  depends on the geometry and formulation of  
452 the finite element, the mesh dependency of the results is reduced (Dassault Systèmes 2014).  
453 In addition, the damage evolution capability offers the removal of the elements from the  
454 mesh when the damage evolution index  $D_{\text{evol}}$  in Eq. (17) is equal to 1:

$$D_{\text{evol}} = 1 - \frac{\sigma_{\text{dam}}}{\sigma} \quad (17)$$

455 where  $\sigma_{\text{dam}}$  is the ‘damaged’ stress of the material (Dassault Systèmes 2014). The  
456 calibration procedure proposed in Pavlovic et al. (2013) was used in this study to define the  
457 damage evolution law. The characteristic length of a finite element is given by the product of  
458 the element size and a factor accounting for the element type (e.g. 3.2 for C3D8R elements in  
459 Abaqus). The evolution of the damage variable  $D_{\text{evol}}$ , specified as a tabular function of  $\bar{u}^{pl}$ ,  
460 was derived using the results of tensile coupon tests on round bars. Details of this calibration  
461 procedure can be found in Pavlovic et al. (2013) and are not repeated herein.

#### 462 **Validation of fracture parameters using the CNS tests**

463 To validate the Abaqus fracture models for ultra-low cycle fatigue loading, the cyclic CNS  
464 tests were simulated in Abaqus/Explicit using the fracture parameters described in the  
465 previous section. The experimental and numerical hysteresis and force evolutions of three  
466 cyclic tests (one for each CNS geometry) are shown in Fig. 18. The calibrated Abaqus  
467 fracture initiation and evolution model capture well the response of CNSs.

## 468 **SIMULATION OF CYCLIC BEHAVIOR AND DUCTILE FRACTURE**

### 469 **OF SSPs**

#### 470 **Three-dimensional FEM models of SSP tests**

471 Three-dimensional FEM models of the full-scale tests on SSPs were constructed in Abaqus.  
472 Only half of the test setup was reproduced in full detail due to its symmetric geometry. The

473 steel collar and the triangular stiffeners were included in the model. Fig. 19 shows the mesh  
474 discretization applied to the FEM model of SSP1 along with the boundary conditions. Three-  
475 dimensional hexahedral elements with reduced integration (C3D8R) were used for all the  
476 parts of the assembly. A symmetry condition was defined to the nodes of the symmetry plane.  
477 The grip of the testing machine jaw faces was simulated by restraining all the degrees of  
478 freedom on the surface of the vertical plate welded to the bottom plate assembly. The  
479 imposed displacement history was applied to the upper supporting plate assembly as shown  
480 in Fig. 19. A relatively coarse mesh was used for the steel plate assemblies, while a more  
481 refined mesh is applied to the SSPs, where inelastic deformations and fracture were  
482 experimentally observed. To keep the computational time of analysis at reasonable levels, the  
483 average mesh size in the bending parts of the SSPs was 3 mm. It is noted that unlike fracture  
484 in existing crack tips or sudden geometric changes, the stress state at the free surface of a SSP  
485 is smooth, and the fracture models are less sensitive to the mesh size (Vasdravellis et al.  
486 2014). Therefore, the adopted mesh was considered a reasonable trade-off between  
487 computational time and accuracy.

488 Surface-based tie constraints, which impose equal displacements among the nodes of two  
489 surfaces, were used for modelling the welded joints in the two steel plate assemblies, i.e.  
490 between the lower and vertical plates, the triangular stiffeners at the base of the plates, and  
491 the steel washer welded onto the SSP. The welds around the supporting plates (Fig. 3) were  
492 not included in the FEM model because preliminary analyses showed that their effect is  
493 negligible. A general contact algorithm was defined to simulate the interaction between the  
494 SSP and the holes of the supporting plates. Based on experimental measurements, a clearance  
495 of 0.1 mm and 0.3 mm was used for the SSP1 and SSP2 models, respectively. A contact  
496 property with normal and tangential behavior with a friction coefficient equal to 0.2 was  
497 defined between the SSP and the holes of the supporting plates.

498 The hysteretic behavior of duplex stainless steel was simulated by the elastic-plastic material  
499 model with combined isotropic and kinematic hardening. An elastic-plastic material model  
500 with isotropic hardening behavior was defined for the steel assemblies made of S355 grade  
501 steel. The yield stress of S355 steel was conservatively reduced to 300 MPa to account for the  
502 large thickness of the steel plates (40-60 mm) since the yield stress reduces with increasing  
503 thickness of plate sections (European Committee for Standardization 2004).

#### 504 **Explicit FEM simulations without fracture**

505 To evaluate the ability of the FEM model to capture the cyclic hardening of the SSPs and to  
506 adjust the various parameters of the explicit solver so that it can capture the quasi-static  
507 loading conditions, the cyclic tests were first simulated in Abaqus/Explicit without the  
508 definition of any ductile fracture criteria. Displacement-controlled analyses were conducted  
509 under quasi-static loading conditions in the large displacement/strain nonlinear regime. To  
510 ensure that the loading rate is relatively low and no dynamic effects influence the  
511 analysis, the time step for one cycle was set equal to 60 sec. For example, for an imposed  
512 amplitude of 49 mm, the load was applied at around 3 mm/s. To ensure a stable analysis, the  
513 density of the material was decreased by six orders of magnitude, and the displacement  
514 history was applied with a periodic amplitude. Based on the mesh size, a stable target time  
515 increment equal to 0.0001 sec was iteratively identified.

516 Fig. 20 shows the comparison of the numerical and experimental hysteresis of SSP1 under  
517  $CA = 7u_y$  and SSP2 under  $CA = 6u_y$ . The results indicate that the FEM model is capable of  
518 tracing well the cyclic behavior of the specimens prior to fracture. Similar correlations are  
519 found for the rest of the loading protocols. It can be observed that the FEM simulations  
520 capture the pinching effect at zero force, indicating that the clearance between the SSPs and  
521 the holes of the supporting plates was modelled accurately.

#### 522 **CVGM fracture predictions**

523 The SSP simulations were post-processed to evaluate the accuracy of the CVGM to predict  
524 fracture in the SSPs. The stress and strain histories at the locations of fracture, i.e. at mid-  
525 distance between  $D_e$  and  $D_i$  (Fig. 3), were extracted at the end of the analyses. The results  
526 were then used to derive the  $VGI_{cyclic}$  and  $VGI_{cyclic}^{critical}$  histories.

527 Fig. 21 shows the evolutions of  $VGI_{cyclic}$  and  $VGI_{cyclic}^{critical}$  for the  $CA = 6u_y$  test of SSP2.

528  $VGI_{cyclic}$  varies with the sign of  $T$ , while  $VGI_{cyclic}^{critical}$  is a stepwise function starting at

529  $VGI_{monotonic}^{critical}$  and decreasing at the start of each cycle according to the exponential decay

530 function given by Eq. (8). The intersection of the  $VGI_{cyclic}^{critical}$  and  $VGI_{cyclic}$  curves indicates

531 fracture. As illustrated in Fig. 21, the CVGM predicts fracture at the same cycle observed in

532 the test. The CVGM fracture predictions are summarized in Table 7 for all tests. The results

533 indicate that the calibrated CVGM parameters predict with good accuracy the fracture in the

534 SSPs with a maximum error of 12%.

### 535 **Explicit simulation of SSP fracture in Abaqus**

536 Explicit fracture simulations of the SSPs were performed in Abaqus using the fracture

537 initiation criterion shown in Fig. 16. The parameters of the damage evolution model, which

538 depends on the mesh size (i.e.  $L_{char}$ ), were modified to account for the 3-mm average element

539 size used in the bending parts of the SSPs.

540 Fig. 22 shows a comparison between the experimental and numerical deformed shapes at the

541 onset of fracture initiation for both SSPs under  $CA = 7u_y$ . The contours of the fracture

542 initiation index, i.e. the output variable DUCTCRT, are plotted on the numerical models.

543 When DUCTRT = 1, then fracture has initiated in the model at the corresponding location. It

544 is shown that the FEM simulations predict the exact location of fracture in the SSPs, i.e. at

545 locations 1 and 2, which are midway between  $D_e$  and  $D_i$ .

546 The evolution of the variables governing ductile fracture, extracted at the location of fracture

547 from the simulation of SSP2 under the random protocol, are shown in Fig. 23. In Fig. 23(a),

548 the evolution of the damage variable  $\omega_{\text{critical}}$  during the cyclic loading is plotted. It takes the  
549 value 1 at the beginning of the 41<sup>st</sup> cycle, indicating fracture initiation. After this point,  
550 degradation initiates according to the specified damage evolution law until the element  
551 removal from the mesh. The histories of both  $\omega_{\text{critical}}$  and  $T$  over three consecutive cycles of  
552 the simulation, i.e. cycles 25 to 28, are plotted in Fig. 23(b). It is shown that triaxiality at the  
553 fracture section is characterized by alternating cycles of tension and compression with  
554 maximum absolute values in the range of 0.33-0.4. It can also be observed that, below the  
555 cut-off value of  $T = -1/3$ , no damage is accumulated. It is noted that the Lode parameter  $\zeta$  at  
556 fracture initiation is in within 0.96-1 [Fig. 23(c)]. This indicates that the fracture location in a  
557 SSP under cyclic loading is characterized by axisymmetric stress state and therefore the  
558 effect of the Lode angle is negligible on the prediction of ductile fracture.

559 The results of fracture initiation predictions for all the simulations are summarized in Table 8,  
560 where the cycle at which fracture initiates is compared with that from the experiments. The  
561 predictions are within  $\pm 10\%$  error. The latter has a mean value of 6% and standard deviation  
562 of 1.2%. Thus, it can be concluded that the calibrated model in Abaqus/Explicit can provide  
563 an accurate prediction for all the ultra-low cycle fatigue tests.

564 Following fracture initiation, the numerical force-carrying capacity of the SSPs decreases  
565 because of the deletion of elements from the mesh according to the damage evolution model.  
566 Fig. 24 compares the simulated fracture evolution with experimental photographic evidence  
567 of two representative cyclic tests on SSP1 and SSP2 (no. 2 and 11 tests in Table 2). The  
568 results show that the FEM model can simulate the progressive damage of the material due to  
569 cyclic loading after fracture initiation until complete fracture of the section occurs. However,  
570 comparison of the numerical and experimental force histories of the same tests in Fig. 25  
571 reveals that, once fracture initiates, the numerical force-carrying capacity decreases at a faster  
572 rate than in the experiments. A similar response can be seen in the numerical-experimental



573 force evolutions of the remaining tests. This indicates that the FEM simulation tends to  
574 underestimate the numbers of cycles between fracture initiation and complete failure. For  
575 instance, simulations of  $CA = 4u_y$  tests show a premature degradation of the force-carrying  
576 capacity of SSPs. Such discrepancy can be attributed to the relatively coarse mesh applied to  
577 the SSP bending parts. For an improved accuracy in simulating fracture evolution, a refined  
578 mesh should be ideally used at fracture locations. However, this would result in a significant  
579 increase in computational time.

## 580 **CONCLUSIONS**

581 This paper presented an experimental and numerical investigation on the cyclic behavior and  
582 fracture capacity of SSPs under ULCF conditions. SSPs are devices with large post-yield  
583 stiffness ratio, which can be used in series with conventional steel braces to increase the  
584 energy dissipation capacity and reduce the residual drifts of steel frames. The tests conducted  
585 on SSPs included fourteen ultra-low cycle fatigue loading protocols. Three predictive ductile  
586 fracture models were calibrated and assessed against the test results. Based on the findings of  
587 this work, the following conclusions can be drawn:

- 588 • SSPs successfully pass the AISC loading protocol, sustaining without fracture  
589 displacements up to 4.5 times the displacement demand of the Design Basis Earthquake.
- 590 • Under constant amplitude cyclic protocols, SSPs sustain many inelastic cycles without  
591 degradation before initiation of ductile fracture.
- 592 • The optimized shape of the SSPs results in large plastic deformations throughout the  
593 whole length of the bending parts. Ductile fracture initiates at the free surface, at a section  
594 half way between the maximum and minimum diameter.
- 595 • The Palmgren-Miner's rule predicts failure of SSPs under the randomly generated  
596 loading protocols with very good accuracy, and thus, the calibrated Coffin-Manson-like  
597 relationships can be reliably applied to phenomenological fracture models for seismic

598 collapse analysis of buildings equipped with these devices. However, the parameters  
599 associated with this rule depend on the geometry of the SSPs examined in this study.

600 • The calibrated micromechanics-based models, i.e. the CVGM and the built-in Abaqus  
601 criterion calibrated for cyclic loading, provide accurate predictions of ductile fracture  
602 initiation for the ULCF tests of SSPs. The Cyclic Void Growth Model (CVGM) predicts  
603 ductile fracture in SSPs under all loading protocols with a maximum error of 12%, mean  
604 error of 6%, and standard deviation of 5%, while the Abaqus model predicts fracture  
605 initiation with maximum error of 9%, mean error of 4%, and standard deviation of 3%.  
606 Therefore, the calibrated fracture parameters can be used to predict the ULCF fracture  
607 initiation of SSPs having different geometries and boundary conditions, without the need for  
608 further experimental tests. Note that the parameter  $\alpha_{\text{cyclic}}$  in the modified Abaqus fracture  
609 model is valid only for ULCF, while the CVGM can be used for monotonic loading and  
610 ULCF.

611 • The Abaqus explicit fracture simulations capture well the hysteretic behavior of the  
612 SSPs; however, the ability of tracing the degradation of the material following fracture  
613 initiation was less accurate due to the relatively coarse mesh applied to the bending parts of  
614 the SSP.

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**TABLE 1.** Summary of mechanical properties of duplex stainless steel

Specimen	$f_y$ (MPa)	$f_u$ (MPa)	$e_f$ (%)	$E$ (MPa)
Round bar 1	530	752.4	45.7	189,655
Round bar 1	513	750.9	47.5	181,250
Round bar 1	518	745.8	47.9	187,500
Mean	520	749.7	47.0	186,135

**TABLE 2.** Test matrix of SSP tests

Specimen	Test	Protocol	Failure mode	No. of cycles	
				Full fracture	Fracture initiation
SSP1	1	AISC	No failure	-	-
	2	$CA = 7u_y$	Ductile fracture	28	21
	3	$CA = 6u_y$	Ductile fracture	35	25
	4	$CA = 5u_y$	Ductile fracture	44	31
	5	$CA = 4u_y$	Ductile fracture	78	43
	6	Random-1	Ductile fracture	59	35
	7	Random-2	Ductile fracture	45	25
SSP2	8	AISC	No failure	-	-
	9	$CA = 8u_y$	Ductile fracture	33	30
	10	$CA = 7u_y$	Ductile fracture	43	36
	11	$CA = 6u_y$	Ductile fracture	59	41
	12	$CA = 5u_y$	Ductile fracture	76	45
	13	$CA = 4u_y$	Ductile fracture	89	54
	14	Random	Ductile fracture	48	40

**TABLE 3.** Prediction of fracture in SSPs under random loading protocols using the Palmgren-Miner rule

Specimen	Test	Phase	$\Delta_f/2$	$n$	$N_f$	$D$
SSP1	Random-1	1	$3u_y$	8	109	0.07
		2	$6u_y$	1	35	0.10
		3	$4u_y$	9	78	0.22
		4	$7u_y$	2	28	0.29
		5	$6u_y$	5	35	0.43
		6	$5u_y$	3	44	0.50
		7	$3u_y$	4	109	0.54
		8	$7u_y$	7	28	0.79
		9	$3u_y$	2	109	0.81
		10	$7u_y$	3	28	0.91
		11	$3u_y$	2	109	0.93
		12	$4u_y$	2	78	0.96
		13	$2u_y$	4	214	0.98
		14	$5u_y$	7 <sup>a</sup>	44	1.14
SSP1	Random-2	1	$6u_y$	9	35	0.26
		2	$7u_y$	8	28	0.54
		3	$2u_y$	2	214	0.55
		4	$7u_y$	6	28	0.77
		5	$5u_y$	4	44	0.86
		6	$2u_y$	6	214	0.89
		7	$3u_y$	4	109	0.92
		8	$5u_y$	5	44	1.04
		9	$7u_y$	1 <sup>a</sup>	28	1.08
SSP2	Random	1	$6u_y$	9	59	0.15
		2	$7u_y$	8	43	0.34
		3	$2u_y$	2	33	0.40
		4	$7u_y$	6	43	0.54
		5	$5u_y$	4	76	0.59
		6	$2u_y$	6	33	0.77
		7	$3u_y$	4	168	0.80
		8	$5u_y$	5	76	0.86
		9	$7u_y$	5 <sup>a</sup>	43	0.99

<sup>a</sup>Experimental fracture

**TABLE 4.** Cyclic loading protocols of CNS tests

Specimen	Test	Loading protocol
CNS-2	1	$(4)x[0;4d_y]+(4)x[0;6d_y]+(2)x[0;8d_y]+p.t.f.$
	2	$(22)x[0;5d_y]$
	3	$(24)x[0;6d_y]$
CNS-3	4	$(4)x[0;4d_y]+(4)x[0;6d_y]+(4)x[0;8d_y]+(4)x[0;10d_y] + (1)x[0;12d_y]$
	5	$(21)x[0;8d_y]$
	6	$(39)x[0;5d_y]$
CNS-4.5	7	$(41)x[0;5d_y]+p.t.f.$
	8	$(4)x[0;4d_y]+(4)x[0;6d_y]+(4)x[0;8d_y]+(4)x[0;10d_y]+(2)x[0;12d_y]$
	9	$(19)x[0;8d_y]$

Note: the number in parentheses indicates the number of cycles, followed by the prescribed amplitude in square brackets. For example,  $(22)x[0;5d_y]$  refers to a specimen subjected to twenty-two cycles between 0 and 5 times  $d_y$ ; p.t.f. = pull to fracture.

**TABLE 5.** Summary of  $VGI_{\text{monotonic}}^{\text{critical}}$ ,  $T$  and  $\bar{\epsilon}^{pl}$  values at fracture for CNS tests

Specimen	$VGI_{\text{monotonic}}^{\text{critical}}$	$T^*$	$\bar{\epsilon}^{pl*}$
CNS-2	2.66	1.02	0.77
CNS-3	3.21	0.76	1.00
CNS-4.5	2.77	0.63	1.08
Mean	2.88		
St dev	0.29		

\*Note: the values of  $T$  and  $\bar{\epsilon}^{pl}$  refer to the monotonic coupon tests.

**TABLE 6.** Summary of  $\alpha_{\text{cyclic}}$  values for the CNS tests

Specimen	Cyclic Test	$N_0$	$\alpha_{\text{cyclic}}$
CNS-2	1	p.t.f.	9.0
	2	16	5.5 <sup>a</sup>
	3	18	10.0
CNS-3	4	16	11.2
	5	16	13.4
	6	32	9.9
CNS-4.5	7	p.t.f.	11.6
	8	18	9.5
	9	18	10.2
	Mean		10.6
	Std dev		1.2

<sup>a</sup>Value ignored as not representative

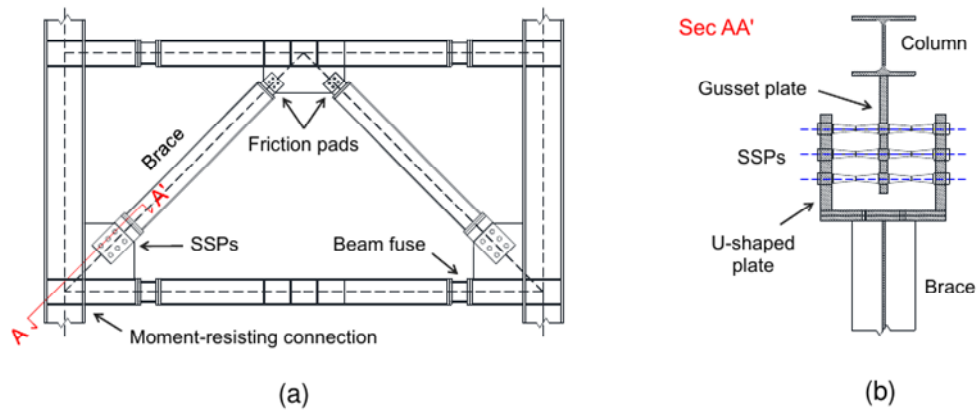
**TABLE 7.** Prediction of fracture initiation in SSPs according to CVGM versus experimental tests

Specimen	Test	Protocol	Fracture initiation $N_0$			
			Test	CVGM	CVGM-test difference	
			(cycle no.)	(cycle no.)	(cycle)	(% error)
SSP1	2	$CA = 7u_y$	21	19	-2	-10%
	3	$CA = 6u_y$	25	28	+3	+12%
	4	$CA = 5u_y$	31	29	-2	-6%
	5	$CA = 4u_y$	43	42	-1	-2%
	6	Random-1	35	35	0	0%
	7	Random-2	25	28	+3	+12%
	SSP2	9	$CA = 8u_y$	30	33	+3
10		$CA = 7u_y$	36	36	0	0%
11		$CA = 6u_y$	41	41	0	0%
12		$CA = 5u_y$	45	45	0	0%
13		$CA = 4u_y$	54	48	-6	-11%
14		Random	40	42	+2	+5%
					Mean	6%
				St dev	5%	

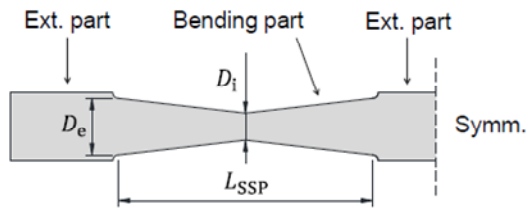
**TABLE 8.** Prediction of fracture initiation in SSPs according to Abaqus fracture model versus experimental tests

Specimen	Test	Protocol	Fracture initiation $N_0$			
			Test	Abaqus	Abaqus-test difference	
			(cycle no.)	(cycle no.)	(cycle)	(% error)
SSP1	2	CA = $7u_y$	21	21	0	0%
	3	CA = $6u_y$	25	26	+1	+4%
	4	CA = $5u_y$	31	29	-2	-6%
	5	CA = $4u_y$	43	40	-3	-7%
	6	Random-1	35	36	+1	+3%
	7	Random-2	25	26	+1	+4%
	SSP2	9	CA = $8u_y$	30	32	+2
10		CA = $7u_y$	36	37	+1	+3%
11		CA = $6u_y$	41	42	+1	+2%
12		CA = $5u_y$	45	45	0	0%
13		CA = $4u_y$	54	49	-5	-9%
14		Random	40	41	+1	+3%
					Mean	4%
				St dev	3%	





**Fig. 1.** Geometry of the dual CBF-MRF proposed in Baiguera et al. (2016): (a) overview; and (b) brace-SSP connection detail

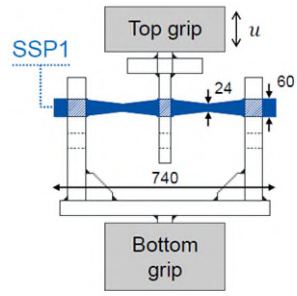


(a)

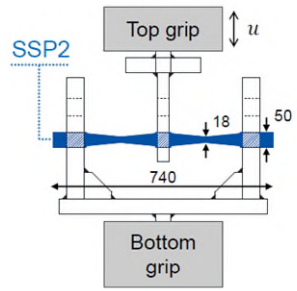


(b)

**Fig. 2.** (a) SSP geometry; and (b) SSP specimens

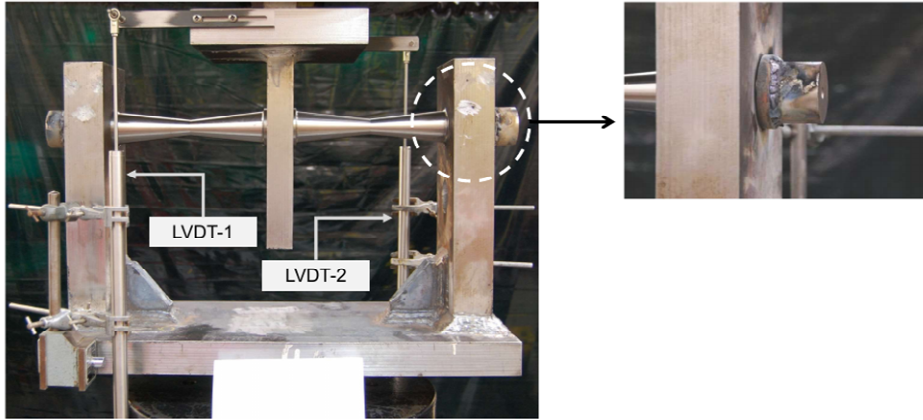


(a)

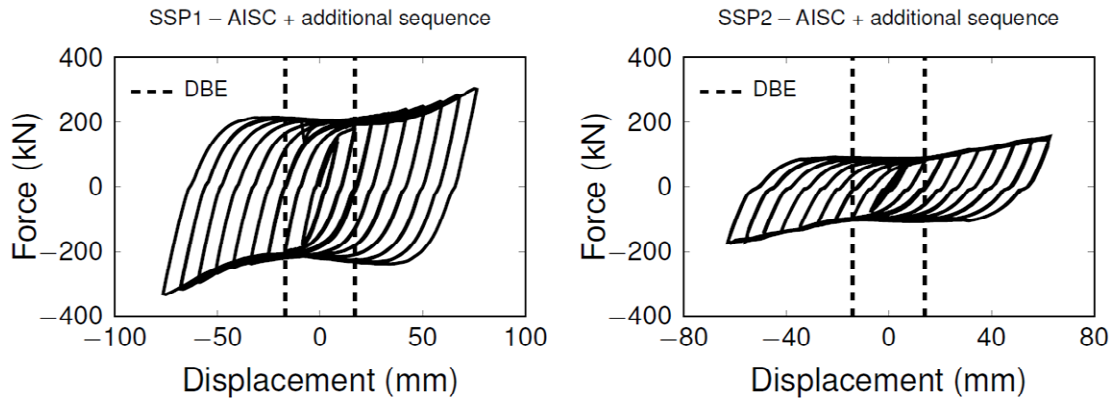


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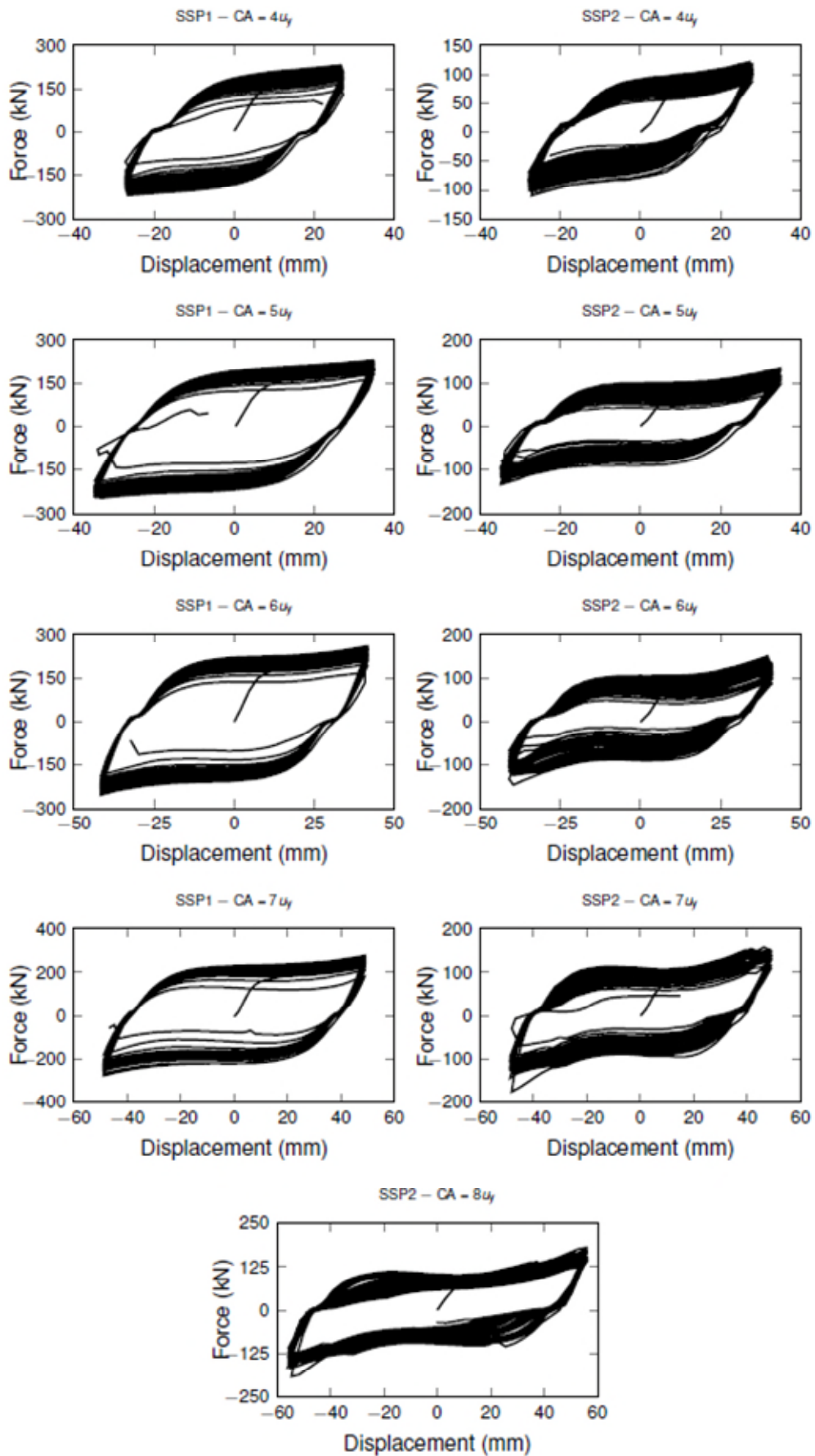
**Fig. 3.** Test setup: (a) SSP1; and (b) SSP2



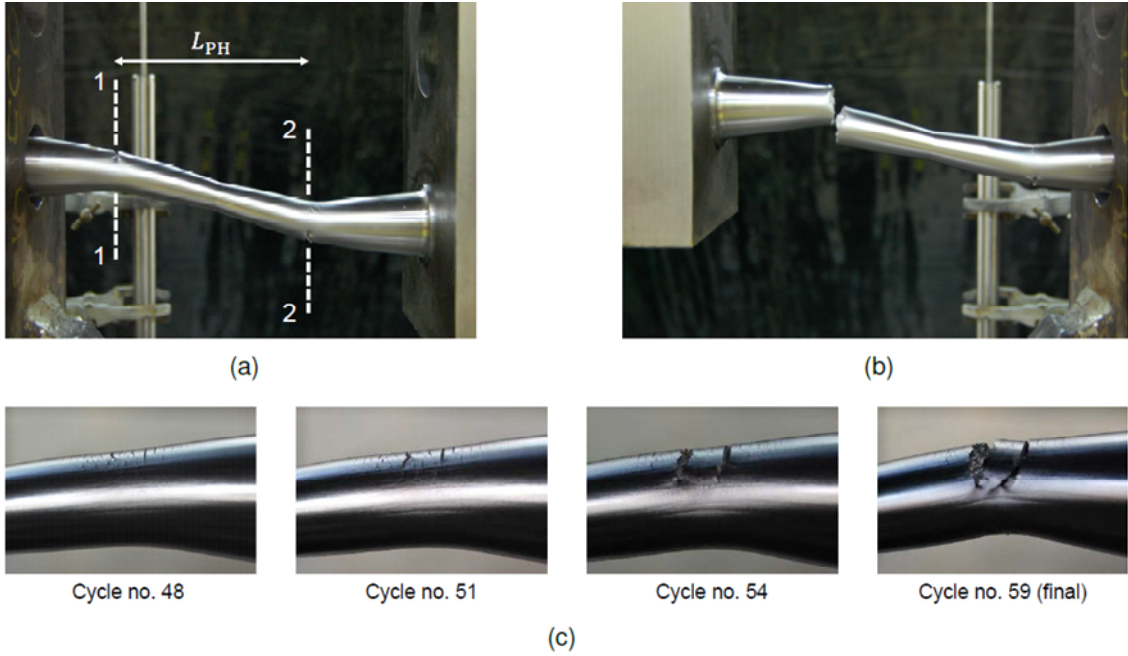
**Fig. 4.** Test setup and welded collar on SSP1



**Fig. 5.** Hysteresis of SSPs under the AISC protocol with additional cycles up to four and half times  $u_{DBE}$



**Fig. 6.** Hysteresis of SSPs under the CA protocols

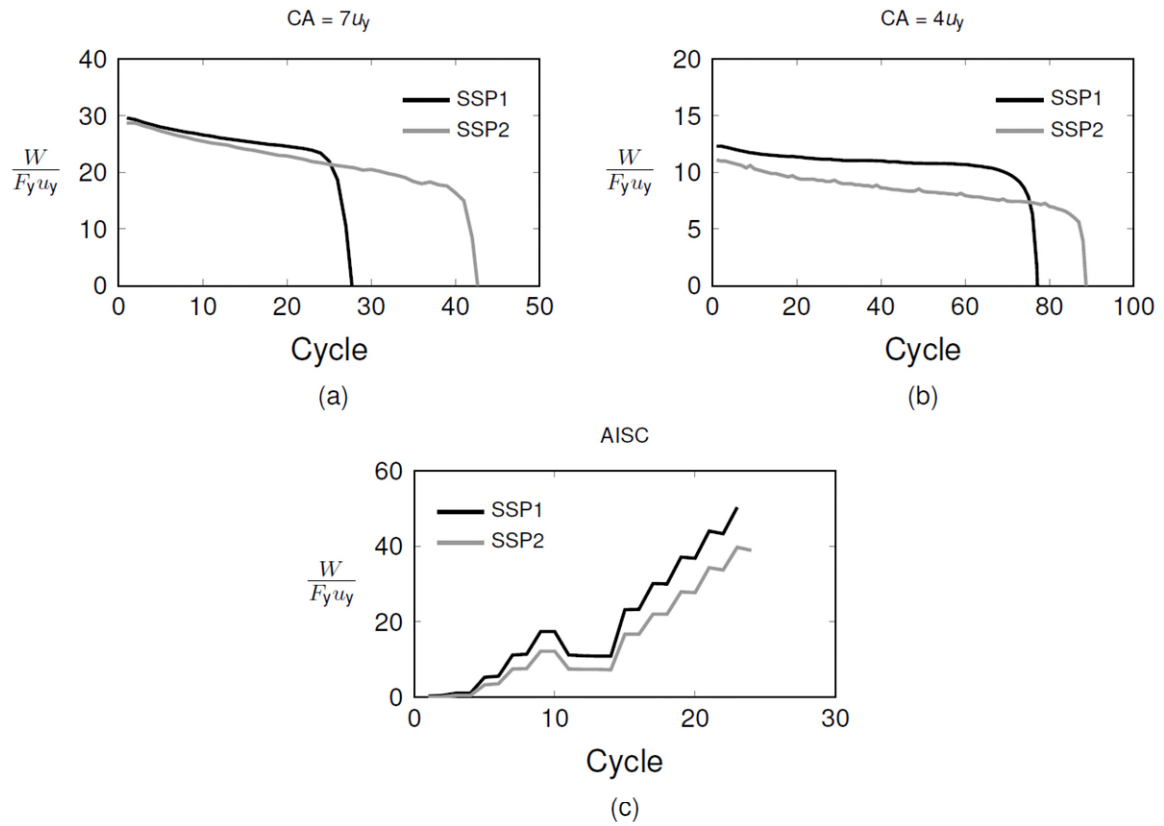


**Fig. 7.** (a) Typical fracture locations in SSPs; (b) full section fracture; and (c) fracture evolution in SSP2 under  $CA = 6u_y$

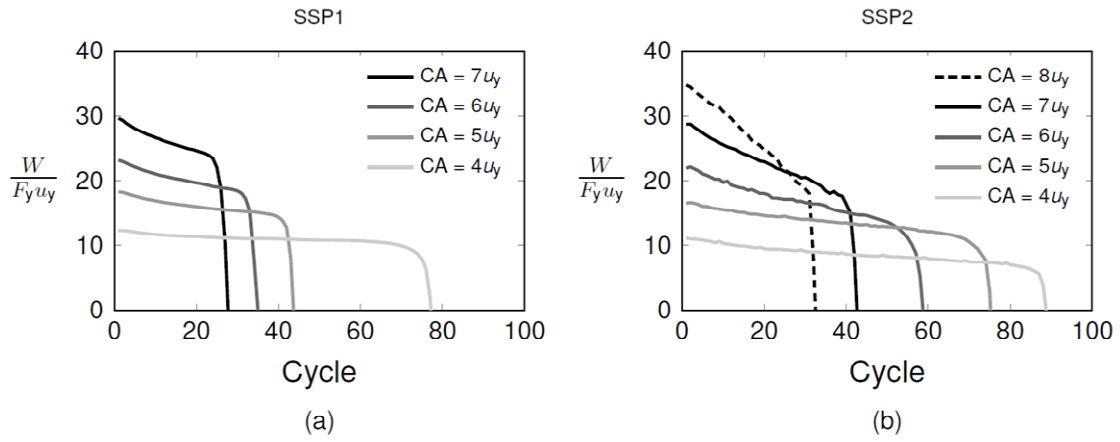


**Fig. 8.** Axial elongation of SSP2 after 30 cycles under  $CA = 7u_y$

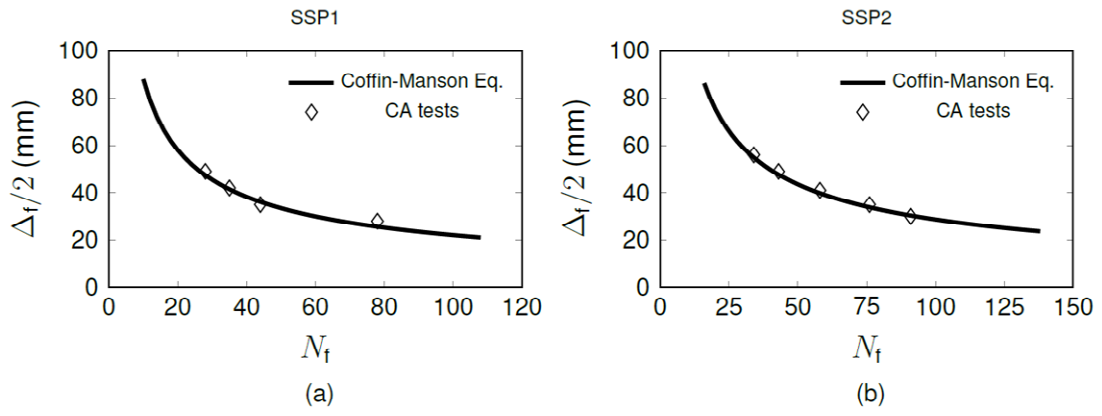




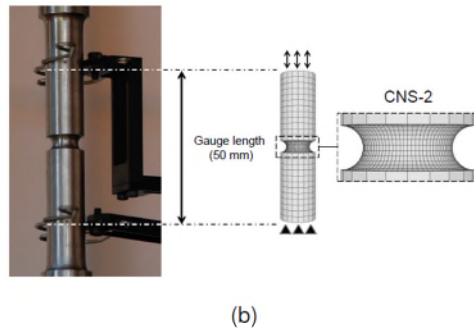
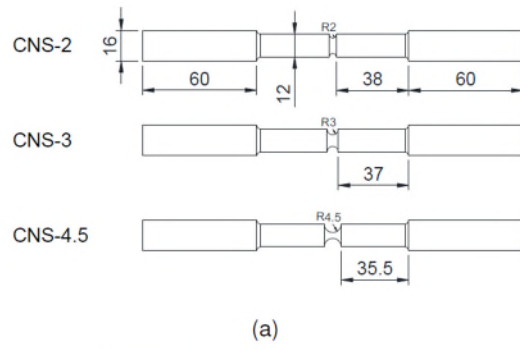
**Fig. 9.** Comparison of the energy dissipation of SSPs: (a)  $CA = 7u_y$  test; (b)  $CA = 4u_y$  test; and (c) AISC test



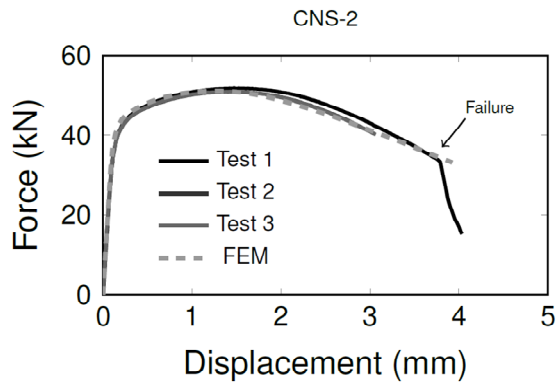
**Fig. 10.** Energy dissipation in CA tests: (a) SSP1; and (b) SSP2



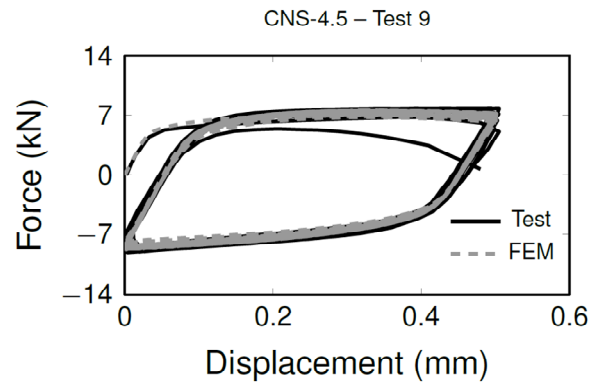
**Fig. 11.** Imposed amplitude versus number of cycles to failure relationship: (a) SSP1; and (b) SSP2



**Fig. 12.** (a) CNS geometry; (b) FEM model of CNS-2 (gauge length)

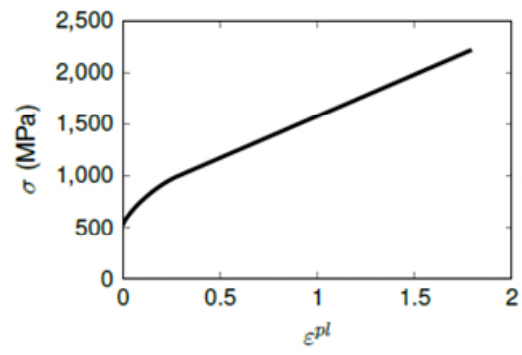


(a)

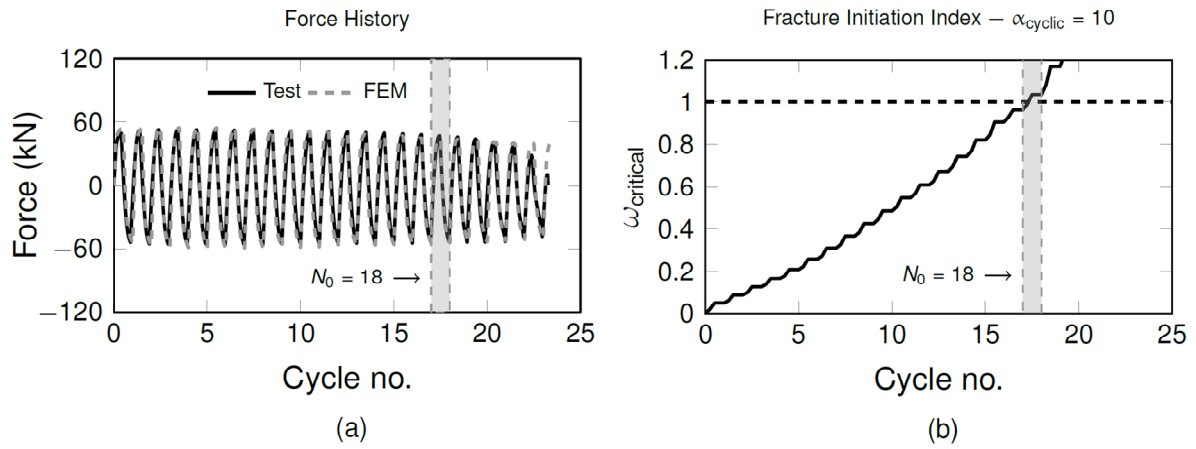


(b)

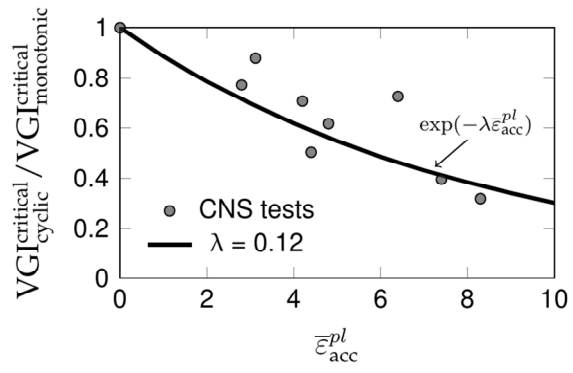
**Fig. 13.** Experimental-FEM comparison of CNS response (simulation without fracture definition): (a) monotonic; and (b) cyclic



**Fig. 14.** True stress-true plastic strain curve of SSD.

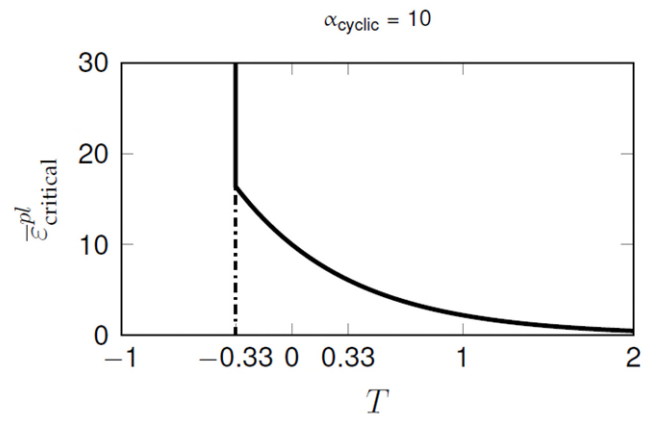


**Fig. 15.** CNS-2 (test 3): (a) experimental versus FEM force history; and (b)  $\omega_{critical}$  evolution up to fracture. The grey vertical area denotes fracture initiation

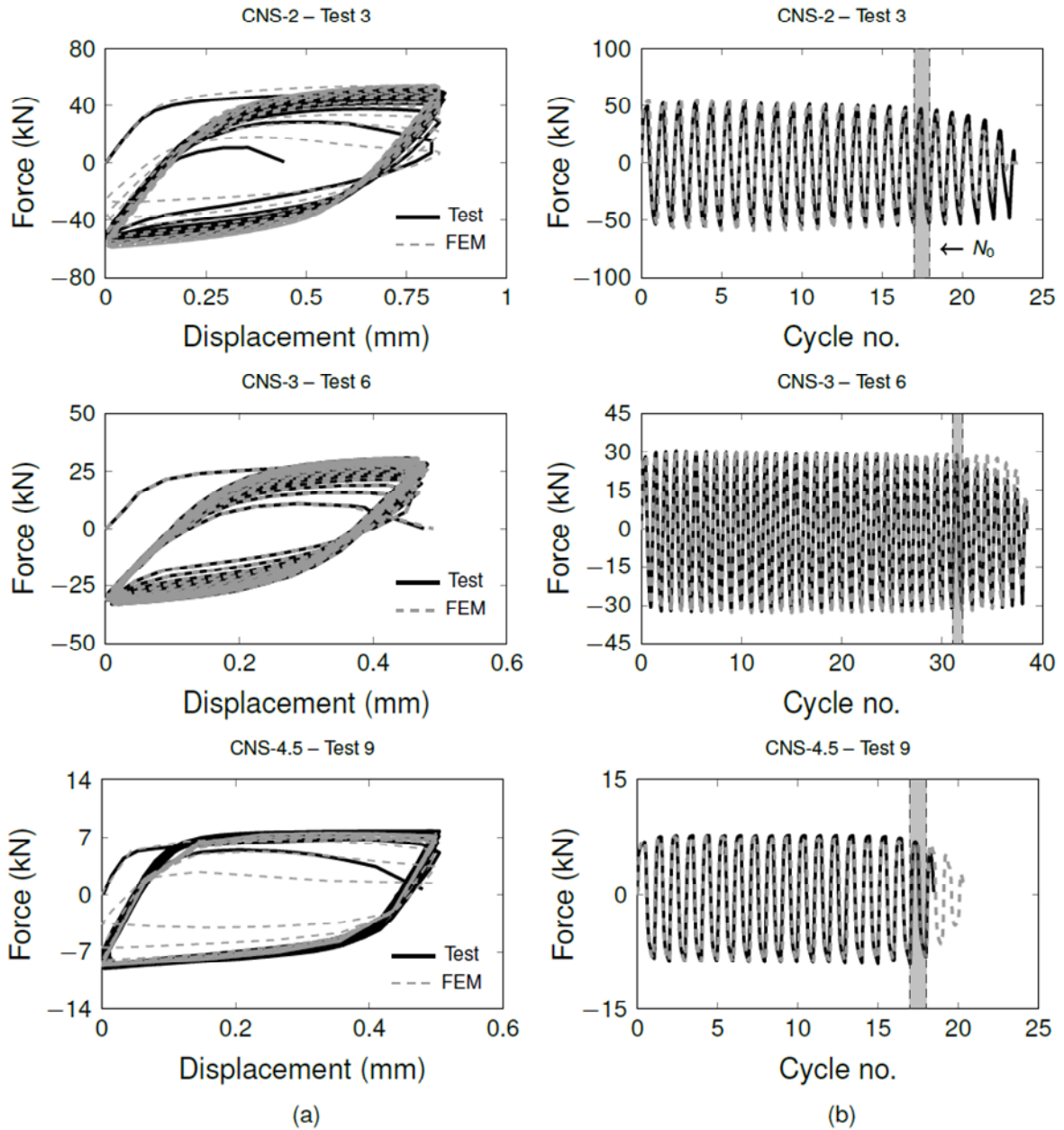


**Fig. 16.** Calibration of  $\lambda$  based on  $VGI_{cyclic}^{critical} / VGI_{monotonic}^{critical}$  ratios and associated  $\bar{\epsilon}_{acc}^{pl}$  values from CNS tests

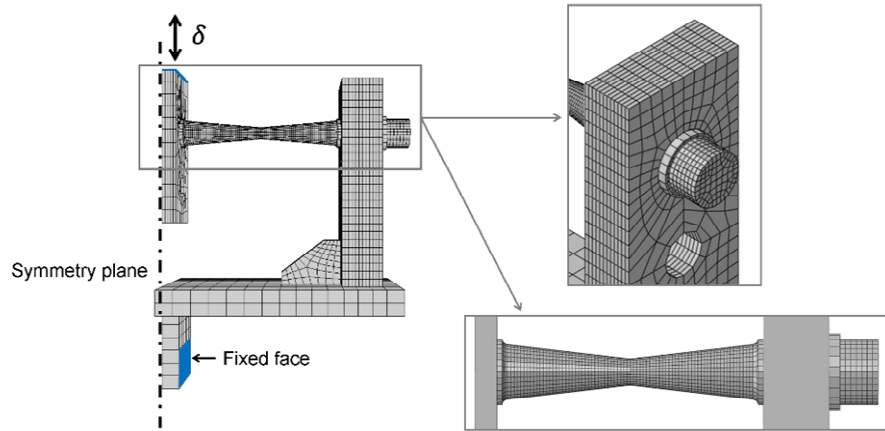




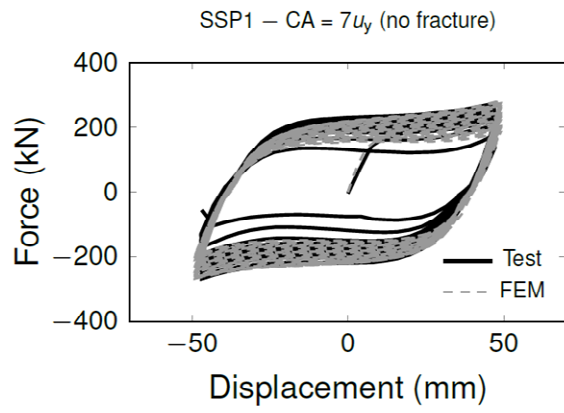
**Fig. 17.** Abaqus fracture initiation model calibrated for ultra-low cycle fatigue



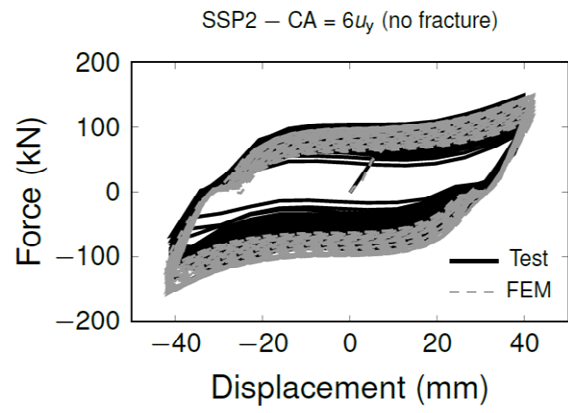
**Fig. 18.** Comparison of the experimental and numerical results: (a) force-displacement behavior; and (b) force history with indication of experimental ductile fracture initiation (cycle no.)



**Fig. 19.** SSP1 FEM model: mesh discretization and boundary conditions

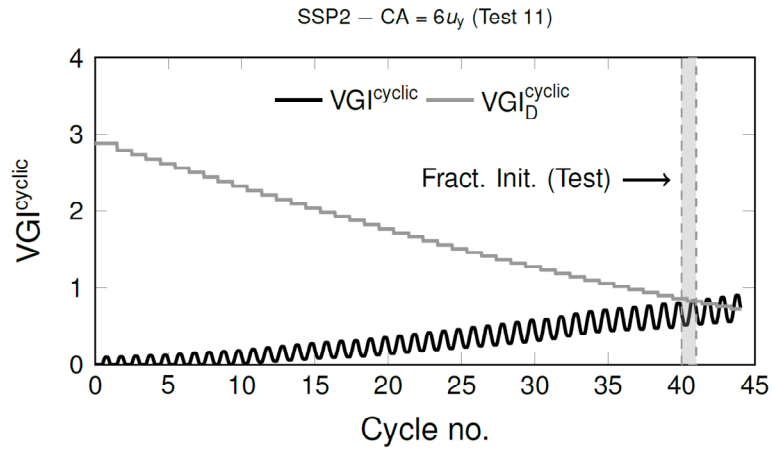


(a)



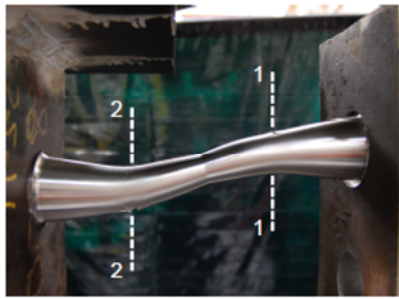
(b)

**Fig. 20.** Experimental and numerical (without fracture criteria) hysteresis: (a) SSP1 (CA =  $7u_y$ ); and (b) SSP2 (CA =  $6u_y$ )

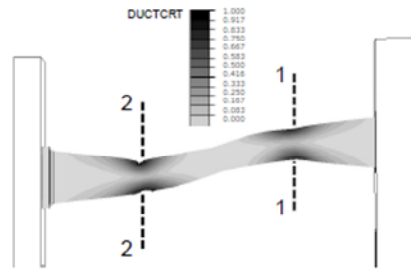


**Fig. 21.** CVGM fracture prediction in SSP2 under CA =  $6u_y$

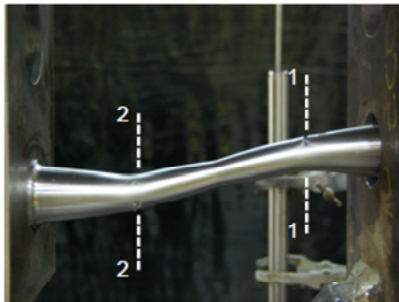
Test – SSP1



FEM model – SSP1



Test – SSP2



FEM model – SSP2

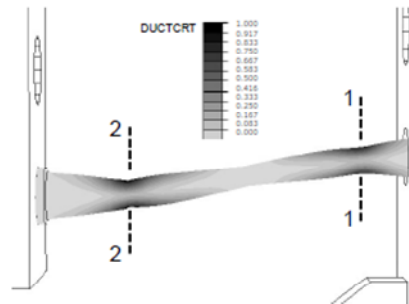
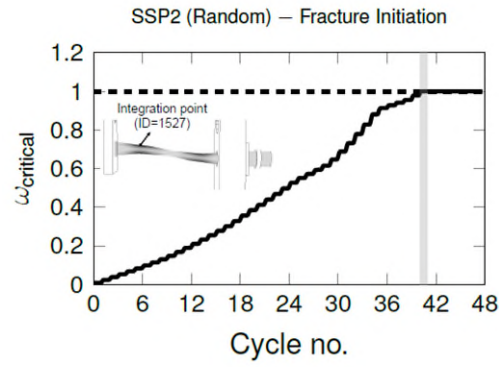
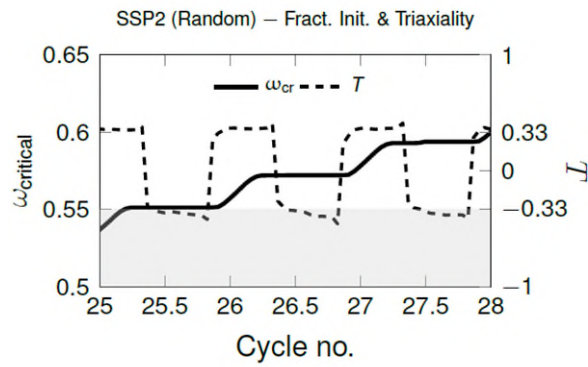


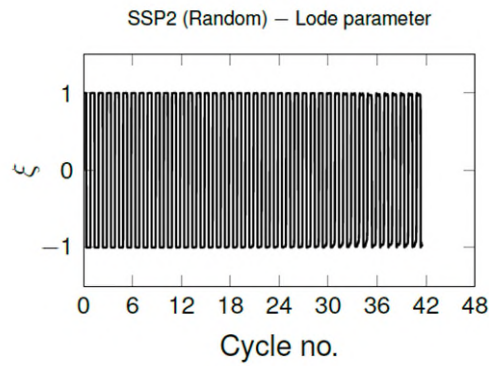
Fig. 22. Experimental and numerical fracture locations in SSPs



(a)

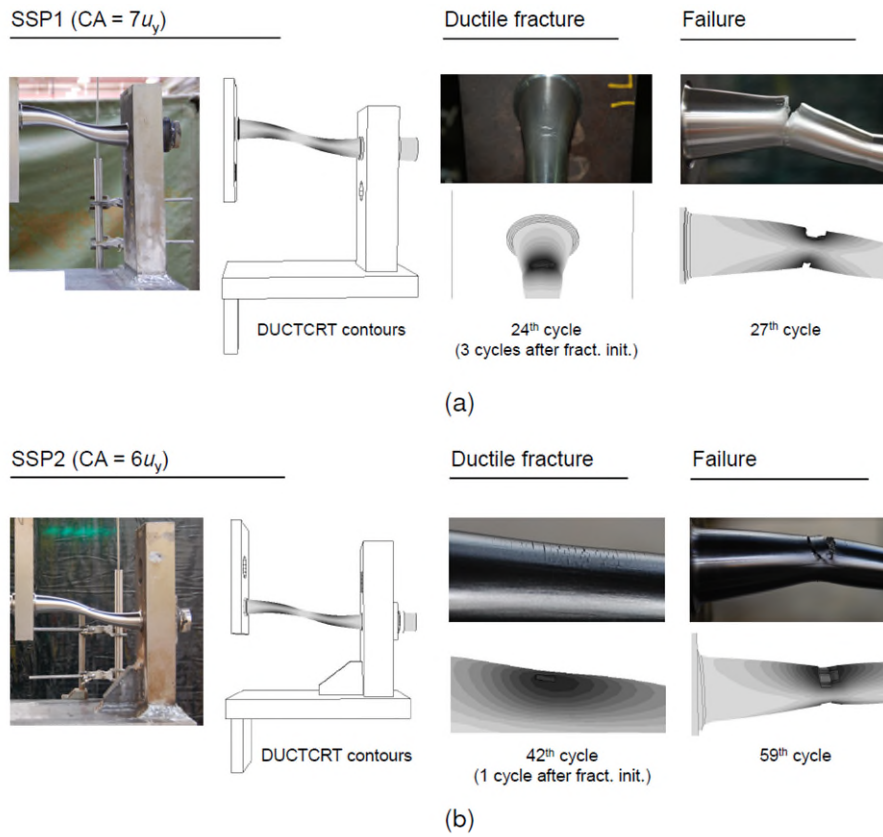


(b)



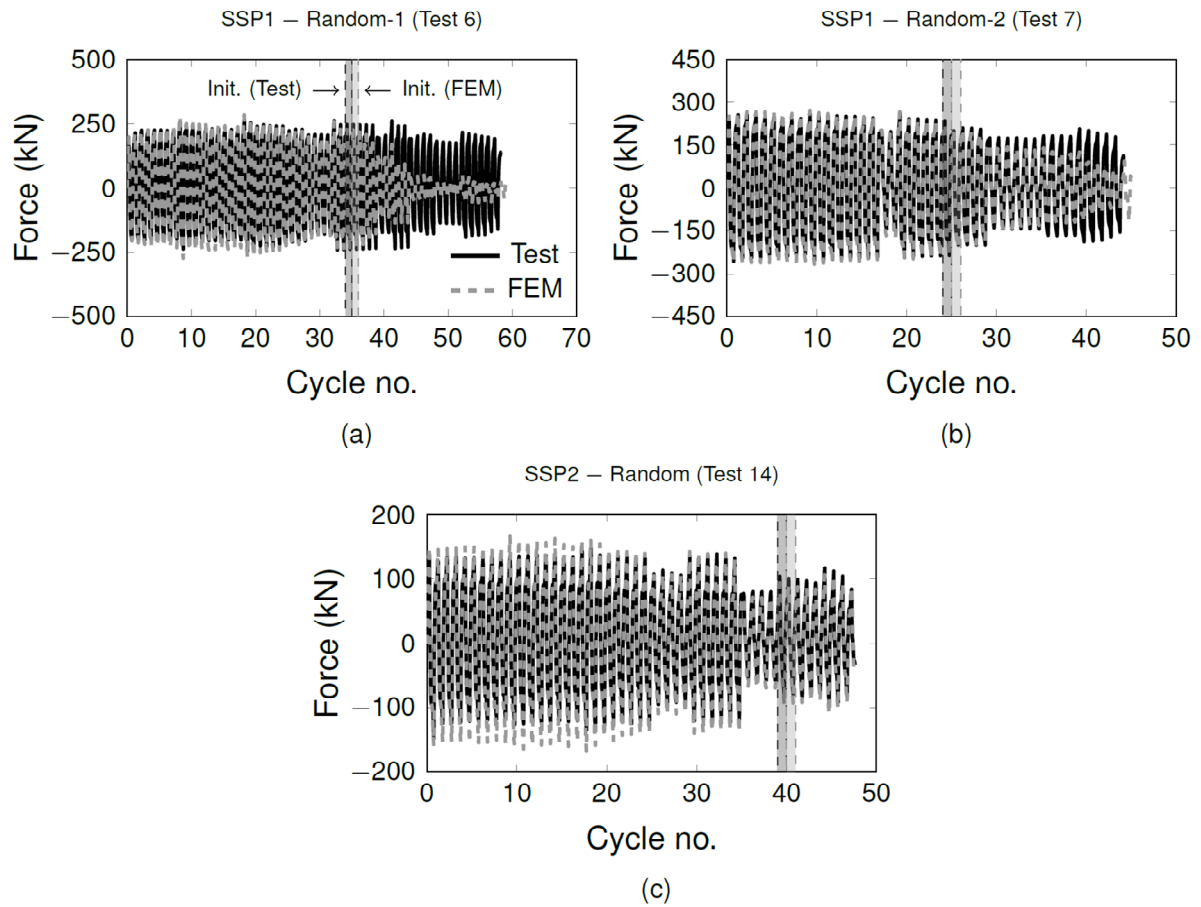
(c)

**Fig. 23.** SSP2 Random test: (a) fracture initiation index evolution; (b) fracture index and triaxiality evolution; and (c) Lode parameter evolution.



**Fig. 24.** Comparison of experimental and numerical ductile fracture evolution in section 2 for: (a) SSP1; and (b) SSP2.





**Fig. 25.** Experimental-numerical force histories of SSPs: random tests.