1	An Update on the Genetics, Clinical Presentation and Pathomechanisms of Human
2	Riboflavin Transporter Deficiency
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12	Manuscript word count: 4114
13	Summary Word Count: 174
14	Tables: 1Figs: 1

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16 SUMMARY: Riboflavin Transporter Deficiency (RTD) is a rare neurological condition that 17 encompasses the Brown-Vialetto-Van Laere and Fazio-Londe syndromes since the discovery 18 of pathogenic mutations in the SLC52A2 and SLC52A3 genes that encode human riboflavin 19 transporters RFVT2 and RFVT3. Patients present with a deteriorating progression of 20 peripheral and cranial neuropathy that causes muscle weakness, vision loss, deafness, sensory 21 ataxia and respiratory compromise which when left untreated can be fatal. Considerable 22 progress in the clinical and genetic diagnosis of RTDs has been made in recent years and has permitted the successful lifesaving treatment of many patients with high dose riboflavin 23 supplementation. 24

In this review we first outline the importance of riboflavin and its efficient transmembrane transport in human physiology. Reports on 109 patients with a genetically confirmed diagnosis of RTD are then summarised in order to highlight commonly presenting clinical features and possible differences between patients with pathogenic *SLC52A2* (RTD2) or *SLC52A3* (RTD3) mutations. Finally, we focus attention on recent work with different models of RTD that have revealed possible pathomechanisms contributing to neurodegeneration in patients.

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33 Take Home Message: Here we outline the genetics, clinical features, and underlying 34 pathomechanisms of human riboflavin transporter deficiencies (RTDs). Lifesaving treatment 35 with oral riboflavin should be started as soon as a RTD is suspected and continued until the 36 diagnosis has been confirmed or excluded by genetic evaluation.

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38 <u>COMPLIANCE WITH ETHICS GUIDELINES</u>

Author Contributions: Ben O'Callaghan drafted the article. Annet Bosch and Henry
Houlden conceived and revised the content.

- 41 **Guarantor:** Ben O'Callaghan serves as guarantor for the article.
- 42 Corresponding Author: Henry Houlden
- 43 **Conflict of Interest:** Ben O'Callaghan, Annet Bosch and Henry Houlden declare that they
- 44 have no conflict of interest.
- 45 Funding: Ben O'Callaghan is supported by a PhD studentship from the MRC Centre for
- 46 Neuromuscular Diseases.
- 47 Ethics Approval: This article does not contain any studies with human or animal subjects
- 48 performed by any of the authors, and does not require ethics approval.
- 49 Keywords: SLC52A2, SLC52A3, RFVT, riboflavin, RTD

50 **INTRODUCTION**

51 Riboflavin belongs to the metabolic B class of vitamins (Vitamin B2) and is the sole precursor for the biologically active cofactors flavin mononucleotide (FMN) and flavin 52 adenine dinucleotide (FAD). During evolution, humans and other higher animals have lost 53 the ability to synthesise riboflavin and instead rely on dietary sources. Emphasising the 54 importance of riboflavin in human physiology and furthermore its efficient absorption and 55 homeostasis are the riboflavin transporter deficiencies (RTDs) (ORPHA 97229 56 https://www.orpha.net/; OMIM 211500, 211530 and 614707) caused by recessive, biallelic 57 mutations in the genes encoding human riboflavin transporters (RFVTs). 58

59 Essential Role of Riboflavin in Human Physiology

Following cellular absorption, riboflavin is rapidly converted into activated flavin cofactors: 60 FMN through riboflavin kinase (RFK: EC 2.7.1.26) mediated phosphorylation of riboflavin, 61 and subsequently FAD by flavin adenine dinucleotide synthetase 1 (FLAD1: EC 2.7.7.2) 62 mediated adenylation of FMN. FMN and FAD are incorporated into 90 different proteins 63 64 collectively termed the "flavoproteome" (Lienhart et al. 2013), the large majority of which 65 are oxidoreductases localised to the mitochondria that catalyse electron transfer during various redox metabolic reactions including: oxidative decarboxylation of amino acids and 66 glucose, and β -oxidation of fatty acids. Of particular note are a collection of flavoproteins 67 that are crucial for mitochondrial oxidative phosphorylation (OXPHOS) function including: 68 electron-transferring flavoprotein (ETF) electron-transferring flavoprotein-69 and dehydrogenase (ETFDH: EC 1.5.5.1), which together transfer electrons from various reduced 70 flavin groups to Complex III via Coenzyme Q10; and constituent subunits of Complexes I 71 72 (NADH Ubiquinone Oxidoreductase Core Subunit V1, NDUFV1: EC 1.6.99.3) and II (Succinate Dehydrogenase Subunit A, SDHA: EC 1.3.5.1). 73

Central to the successful incorporation of flavin cofactors into mitochondrial flavoproteins is 74 the transport of FAD from the cytosol, into the mitochondrial matrix by the mitochondrial 75 FAD transporter (MFT encoded by SLC25A32). Biallelic mutations in SLC25A32 have been 76 associated with riboflavin-responsive exercise intolerance (Schiff et al. 2016) and more 77 78 recently a severe neuromuscular phenotype (Hellebrekers et al. 2017), highlighting the subcellular importance of flavin availability within mitochondria in particular. For further 79 discussion on the mitochondrial FAD transporter, readers are referred to an accompanying 80 review in this issue that addresses disorders of riboflavin metabolism (Balasubramaniam et 81 al. 2019). 82

Other important roles of flavoproteins include: the activation of other B class vitamins, redox homeostasis, transcriptional regulation through enzymatic chromatin modifications, caspase independent apoptosis and cytoskeletal reorganisation (Lienhart et al. 2013; Barile et al. 2016).

87 Considering the importance of flavins in metabolically active cells it is unsurprising that
88 inadequate supply of riboflavin has been implicated in diseases of energy demanding tissues,
89 particularly the nervous system.

90 Human Riboflavin Transporters

In order to maintain a sufficient supply of flavins to cells throughout the body, humans and other higher animals have established an effective carrier-mediated system to transport riboflavin across plasma membranes. Three human RFVT homologues have been identified: RFVT1-3 encoded by genes *SLC52A1-3* respectively (note RFVT2 and RFVT3 were designated RFT3 and RFT2 respectively in previous nomenclature) (Yonezawa et al. 2008; Yamamoto et al. 2009; Yao et al. 2010; Yonezawa and Inui 2013). RFVT1 and RFVT2 display 87 % amino acid sequence identity, whereas RFVT3 only exhibits 44 % and 45 % 98 amino acid sequence identity with RFVT1 and RFVT2 respectively (ClustalW:
99 http://www.clustal.org/omega/).

100 <u>Transmembrane Topology</u>

101 Some confusion surrounding the transmembrane (TM) topology of RFVTs is present in the literature. Based on initial in silico predictions, RFVT1 and RFVT2 were predicted to have 102 10 TM domains (Yonezawa et al. 2008; Yao et al. 2010) whereas RFVT3 was predicted to 103 104 have 11 TM domains (Yonezawa and Inui 2013). In silico predictions made using other 105 membrane topology algorithms predict all three RFVTs to have 11 TM domains however (Yamamoto et al. 2009; Udhayabanu et al. 2016; Colon-Moran et al. 2017), and this is 106 107 supported by immunostaining of hemagglutinin (HA) tagged RFVT1 constructs that indicate an intracellular N-terminus and extracellular C-terminus (Mattiuzzo et al. 2007). Knowing 108 the correct RFVT topology might be important for correlating disease causing mutation sites 109 with differences in phenotypical presentations and/or responsiveness to therapeutic 110 interventions. 111

112 <u>Tissue Distribution</u>

113 mRNA expression of the three different RFVT genes in human tissues has been assessed 114 (Yao et al. 2010) and is largely in accordance with more recent gene expression data from the 115 GTEx V7 dataset (https://gtexportal.org/). *SLC52A1* is mainly expressed in the placenta and 116 intestine. *SLC52A2* is rather ubiquitously expressed but is particularly abundant in nervous 117 tissues. *SLC52A3* is most highly expressed in testis but also intestine and prostate. These 118 different but overlapping expression profiles might explain the vulnerability of certain tissues 119 to mutations in one or more of the *SLC52A* genes.

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122 **<u>RIBOFLAVIN TRANSPORTER DEFICIENCIES (RTDs)</u>**

123 Brown-Vialetto-Van Laere (BVVL) and Fazio-Londe (FL) are two phenotypically continuous syndromes presenting with a progressive sensorimotor and cranial neuropathy. 124 Both share a core phenotype of: bulbar palsy (e.g. dysphagia, dysphonia, tongue atrophy), 125 axial and distal muscle weakness, optic atrophy, sensory ataxia and respiratory compromise 126 due to diaphragm paralysis (Bosch et al. 2011; Horvath 2012; Manole and Houlden 2015; 127 Jaeger and Bosch 2016). Sensorineural deafness is present in BVVL only. Since 2010 128 biallelic mutations in the human riboflavin transporter genes SLC52A3 (previously C20orf54) 129 and SLC52A2 have been demonstrated to be the cause of the BVVL and FL syndromes which 130 131 were renamed to Riboflavin Transporter Deficiencies (RTDs) (Green et al. 2010; Johnson et al. 2010, 2012, Bosch et al. 2011, 2012; Foley et al. 2014; Manole and Houlden 2015). RTD2 132 and RTD3 refer to disorders caused by SLC52A2 and SLC52A3 mutations respectively 133 (Tables S2 and S3). 134

135 Transient Riboflavin Deficiency

136 Although pathogenic mutations in SLC52A1 have not been described in patients with a typical RTD phenotype, there have been two reports of transient riboflavin deficiency 137 occurring in the newborn children of mothers harbouring one heterozygous SLC52A1 138 mutation (OMIM 615026), in one case in combination with a riboflavin deficiency due to 139 deficient maternal intake (Table S1) (Ho et al. 2011; Mosegaard et al. 2017). In both cases the 140 children but not the mothers showed clinical symptoms of riboflavin deficiency after birth 141 that had subsided by two years of age. Whilst SLC52A1 is expressed in both the human small 142 intestine and placenta, the transient nature of the clinical presentation suggests that these 143 cases were caused by placental haploinsufficiency, and associated impairment in the transport 144 of riboflavin from the mother to the fetus. 145

147 Genetically Diagnosed Cases of Riboflavin Transporter Deficiency

An article in this journal three years ago (Jaeger and Bosch 2016) summarised reports of 70
genetically confirmed RTD patients that had been published at that time (Green et al. 2010;
Johnson et al. 2010, 2012; Bosch et al. 2011; Anand et al. 2012; Koy et al. 2012; Dezfouli et
al. 2012; Haack et al. 2012; Ciccolella et al. 2012, 2013; Spagnoli et al. 2014; Foley et al.
2014; Bandettini Di Poggio et al. 2014; Srour et al. 2014; Cosgrove et al. 2015; Horoz et al.
2016; Menezes et al. 2016a; Davis et al. 2016).

154 There have since been a further 10 publications reporting on 23 newly diagnosed RTD2 cases (Petrovski et al. 2015; Menezes et al. 2016b; Guissart et al. 2016; Allison et al. 2017; Manole 155 156 et al. 2017; Woodcock et al. 2017; Cıralı et al. 2017; Babanejad et al. 2018; Nimmo et al. 2018; Set et al. 2018), and 12 reporting on 27 newly diagnosed RTD3 cases (van der Kooi et 157 al. 2016: Manole et al. 2017: Thulasi et al. 2017: Bashford et al. 2017: Chava et al. 2017: 158 Woodcock et al. 2017; Hossain et al. 2017; Kurkina et al. 2017; Khadilkar et al. 2017; 159 Nimmo et al. 2018; Camargos et al. 2018; Gowda et al. 2018). A patient harbouring a 160 161 heterozygous pathogenic mutation in SLC52A3 and heterozygous SLC52A2 variant of unknown significance has been described (Allison et al. 2017), which will be considered as a 162 RTD3 case here. The possibility that both heterozygous mutations within the two different 163 riboflavin genes are synergistically disrupting the same metabolic pathway to a pathogenic 164 level cannot be excluded however. Finally, a patient with homozygous mutations in both 165 SLC52A2 and SLC52A3 (Udhayabanu et al. 2016) has also been described (RTD2/3). In total, 166 various degrees of information are available on 109 patients (52 RTD2, 56 RTD3 and 1 167 RTD2/3) with 71 different SLC52A mutations (24 SLC52A2, 47 SLC52A3) (Table 1). 168

169 SLC52A2 and SLC52A3 Pathogenic Variants

170 Pathogenic variants in *SLC52A2* and *SLC52A3* are distributed throughout all coding exons

171 (Ex2-5) and include nonsense and missense mutations affecting RFVT amino acid residues

constituting: transmembrane domains, intracellular loops, extracellular loops and C-terminus 172 (Tables S2 and S3). Single nucleotide substitutions within intron-exon boundaries have also 173 been identified in SLC52A2 and SLC52A3 that likely cause splicing defects (Bosch et al. 174 2011; Manole et al. 2017; Çıralı et al. 2017). Single/double nucleotide insertions/deletions 175 176 causing frameshift mutations have been identified in SLC52A3 (Green et al. 2010; Bandettini di Poggio et al. 2013; Manole et al. 2017), in addition to a more recently described in-frame 177 insertion of 60 nucleotides (20 amino acid peptide) (Camargos et al. 2018). 178 Using heterologous expression systems the impact of different pathogenic SLC52A2/3 179 mutations on RFVT2/3 function has been assessed in vitro (Nabokina et al. 2012; Haack et al. 180 2012; Foley et al. 2014; Subramanian et al. 2015; Petrovski et al. 2015; Udhayabanu et al. 181 2016). In most cases the disease causing mutation reduces RFVT cell surface expression 182 which when assessed appears to be due to retainment in the endoplasmic reticulum (ER), 183 indicative of protein misfolding and/or trafficking defect. In some instances riboflavin 184 transport is impaired but with an apparently normal cell surface expression. Of the 15 mutant 185 RFVTs assessed only one (SLC52A3 Genbank NM_033409.3 c.1048T>A; RFVT3 186 p.Leu350Met) has been shown to be functionally normal (Nabokina et al. 2012). Evidence for 187 a reduction in mRNA stability has also been shown for a SLC52A2 single nucleotide 188 substitution (Ciccolella et al. 2013). Finally, impaired riboflavin uptake has been described in 189 fibroblasts from patients harbouring compound heterozygous SLC52A2 mutations (Ciccolella 190 et al. 2013; Manole et al. 2017). 191

192 Clinical Differentiation of RTD2 and RTD3

193 Disease Onset

194 The large majority of patients with either RTD2 or RTD3 present early in life but until now

- only in RTD3 has a late onset (as late as the third decade) been reported (Bashford et al.
- 196 2017; Camargos et al. 2018). Late onset RTD (>10y) might therefore be more suggestive of a

SLC52A3 mutation. Hearing loss and muscle weakness are among the most common
presenting symptoms at onset of both RTD2 and RTD3. Abnormal gait and/or ataxia is often
a presenting feature of RTD2 but rarely RTD3. By contrast RTD3 commonly presents with
bulbar symptoms, whereas in RTD2 these are generally observed later in the disease course.
Other symptoms regularly described upon RTD onset include hypotonia, facial weakness and
respiratory dysfunction due to diaphragmatic paralysis as well as muscle weakness.

203 <u>Common Symptoms</u>

204 Whilst hearing loss as a consequence of cranial nerve VIII degeneration is a presenting symptom of many patients, others develop sensorineural hearing loss later in the disease 205 206 course, and this remains the most commonly observed clinical feature of RTD2 and RTD3. Bulbar symptoms such as dysphagia and dysarthria are present in most patients and a large 207 number display feeding difficulties as a result of dysphagia that in many instances 208 necessitates a nasogastric tube or gastrostomy feeding device. Artificial respiratory devices 209 are also often required, with respiratory symptoms due to neurogenic diaphragm paralysis 210 211 being very common. Weakness and hypotonia of both limb and axial muscles was prevalent and commonly associated with neurogenic muscular atrophy, particularly of distal muscles. 212 Facial weakness caused by cranial nerve VII (facial nerve) degeneration was common in 213 214 RTD3 but rarely seen in RTD2. Abnormal gait and/or ataxia remains a distinguishing feature of RTD2, with RTD3 patients rarely showing signs later during the disease course. SLC52A2 215 mutations have recently been associated with spinocerebellar ataxia with blindness and 216 deafness type 2 (SCABD2) (Guissart et al. 2016; Babanejad et al. 2018). Finally, vision loss 217 caused by cranial nerve II (optic nerve) atrophy was observed in numerous RTD3 cases but 218 219 appears to be a much more prevalent feature of RTD2.

220

222 <u>Neurodiagnostic Tests</u>

223 Neurophysiological studies are suggestive of peripheral neuropathy in the large majority of RTD patients tested but normal results are also observed, particularly in RTD3. Motor and 224 sensory nerve conduction studies are indicative of an axonal rather than demyelinating 225 neuropathic phenotype, with signs of anterior horn dysfunction and chronic denervation in 226 most RTD cases. Slightly slowed sensorimotor conduction velocities suggestive of 227 228 demyelination have been described in a minority of RTD2 cases (Guissart et al. 2016; Allison et al. 2017), and a single RTD3 patient (Bandettini di Poggio et al. 2013; Bandettini Di 229 Poggio et al. 2014) however. 230 231 In the large majority of RTD cases brain magnetic resonance imaging (MRI) is unremarkable.

Abnormal MRI observations rarely described in RTD2 brain include: mild atrophy of the 232 cerebellar vermis (Guissart et al. 2016), optic nerve abnormalities (Woodcock et al. 2017; Set 233 et al. 2018) and thinning/shortening of the corpus callosum (Srour et al. 2014; Set et al. 234 2018). Cerebellar abnormalities described in RTD3 brain MRI include: hyperintense T2-235 weighted signals within cerebellar peduncles (Koy et al. 2012; Bandettini Di Poggio et al. 236 2014), and volume loss of peduncles and vermis over an 8 year period (Bandettini Di Poggio 237 et al. 2014). Intense T2-weighted signals have also been noted in cortical, subcortical (basal 238 239 ganglia and internal capsule) and brainstem (vestibular nuclei and central tegmental tract) regions of some RTD3 patients (Koy et al. 2012; Spagnoli et al. 2014; Hossain et al. 2017; 240 Nimmo et al. 2018). Spinal MRI has been conducted much less frequently, but abnormal T2-241 weighted intensities have been described in ventral nerve roots and dorsal regions of the 242 spinal cord (Koy et al. 2012; Spagnoli et al. 2014; Davis et al. 2016; Woodcock et al. 2017; 243 244 Khadilkar et al. 2017) in accordance with the sensorimotor phenotype of RTD.

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Assessment of sural nerve biopsies from RTD2 (Haack et al. 2012; Foley et al. 2014; Srour et
al. 2014) and RTD3 (Johnson et al. 2010; Chaya et al. 2017) patients show evidence for
axonal neuropathy and degeneration which preferentially affects large calibre myelinated
axons (Foley et al. 2014; Srour et al. 2014; Chaya et al. 2017), in accordance with the sensory
impairments observed in these patients.

253 Recent neuropathological observations described in the central nervous system of two RTD3 254 patients are also reflective of the RTD clinical phenotype (Manole et al. 2017). In line with the bulbar symptoms that are commonly observed, nuclei and tracts of cranial nerves IX, X 255 256 and XII showed marked neuronal loss and gliosis. Loss of neurons was also observed in the nuclei of cranial nerves III and IV in accordance with eye movement impairments observed 257 in these patients. The nuclei of cranial nerve VIII and tracts of cranial nerve II showed 258 evidence of degeneration, underscoring the clinical presentation of sensorineural deafness 259 and vision loss respectively. Gliosis and neuronal loss was also evident in midbrain (medial 260 261 lemniscus, central tegmental tract) brainstem (pons, medulla), cerebellum (white matter structures including cerebellar peduncles, cerebellar nuclei) and spinal cord (anterior horn, 262 spinothalamic tracts, spinocerebellar tracts), fitting with MRI observations that have been 263 made in some RTD3 patients (see above). Of particular interest was the presence of 264 symmetrical lesions in the brainstem of both patients that showed demyelination and 265 macrophage infiltration but with relative sparing of the neurons. The authors highlighted the 266 similarities of these lesions to neuropathological observations made in mitochondrial disease 267 patients. 268

269 <u>Biochemical Tests</u>

An increase in plasma acylcarnitines is indicative of an impairment in the metabolism of fatty
acids by mitochondrial β-oxidation and is a characteristic observation of the multiple acyl-

272 CoA dehydrogenation defect (MADD) syndromes caused by mutations in ETF (encoded by

273 *ETFA* and *ETFB*) or ETFDH (encoded by *ETFDH*) flavoproteins (OMIM 231680).

274 Identification of a MADD-like acylcarnitine profile in BVVL patients without ETFA, ETFB

275 or *ETFDH* mutations led to a hypothesis of impaired riboflavin absorption and was key to the

initial identification of BVVL as a RTD (Bosch et al. 2011). However, nearly half of the

277 RTD cases described since show normal acylcarnitine profiles on diagnosis and thus it cannot

278 be used to exclude a RTD diagnosis.

279 Urine organic acid analysis has been reported less frequently, and in half of RTD cases

results are normal. Ethylmalonic aciduria suggestive of impairments in fatty-acid, methionine

and/or isoleucine oxidation is the most common abnormality noted (4/10 RTD2, 5/12 RTD3).

282 Flavoproteins constitute important steps in the metabolic pathways responsible for branched-

chain, lysine and tryptophan amino acid catabolism (Barile et al. 2016) and elevations in

acylglycines associated with impairments of such pathways have also been described.

Assessment of plasma flavin status necessitates mass spectrometry analysis and is not 285 286 routinely done in the clinical setting. In the small number of patients assessed, plasma flavin levels are generally within the normal range but low levels have been reported in both RTD2 287 (Srour et al. 2014) and RTD3 (Bosch et al. 2011). Following high dose riboflavin treatment, 288 289 increases in plasma flavin levels are observed in both RTD2 and RTD3 cases (Bosch et al. 2011; Haack et al. 2012; Foley et al. 2014), highlighting the partial redundancy of RFVT 290 homologues in intestinal absorption. Nevertheless, with many patients presenting with normal 291 flavins at diagnosis, plasma flavin status cannot be used as a tool to exclude a RTD diagnosis. 292 Measurements of the erythrocyte glutathione reductase activity coefficient (EGRAC) are 293 294 representative of flavin status and more routinely done in the clinic. An abnormal EGRAC measurement without acylcarnitine abnormalities has been reported in a single RTD3 case. 295 which normalised following riboflavin supplementation (Chaya et al. 2017). 296

297 <u>Genetic Diagnostic Strategy</u>

298 Whilst there does appear to be differences in the commonest clinical signs linked with RTD2 or RTD3, there is no observation that can definitively distinguish between the two. It is 299 therefore recommended that genetic analysis of SLC52A2 and SLC52A3 is performed 300 simultaneously rather than sequentially in suspected RTD cases (Manole and Houlden 2015). 301 Even though mutations in *SLC52A1* are yet to be associated with a typical RTD phenotype, it 302 303 remains a viable candidate that should also be considered. Whole or focused exome analysis using next generation sequencing (NGS) technology might then be performed, with a filtering 304 strategy targeting genes associated with: similar clinical phenotypes (e.g. amyotrophic lateral 305 306 sclerosis, OMIM 105400; Joubert syndrome, OMIM 213300; Nathalie syndrome, OMIM 255990; Madras motor neuron disease, ORPHA 137867; MADD, OMIM 231680), riboflavin 307 metabolism, the flavoproteome and/or mitochondrial metabolism. 308

309 <u>High Dose Riboflavin Therapy</u>

Identification of causative SLC52A mutations in these debilitating disorders has not only 310 311 advanced their genetic diagnoses but also highlighted high dose oral riboflavin 312 supplementation as an effective therapeutic intervention. Excess riboflavin is excreted in the urine and toxicity has not been reported, making riboflavin therapy a safe intervention. Over 313 70 % of patients demonstrate improvements in muscle strength, motor abilities, respiratory 314 function and/or cranial nerve deficits, with some patients no longer requiring ventilatory 315 support. No deaths have been reported in riboflavin treated patients, whilst over half of 316 untreated patients reported have died (Jaeger and Bosch 2016). 317

Effective doses which have been used vary between 10-80 mg/kg/day, whilst doses below 10
mg/kg/day are reported to be ineffective (personal communications). Doses as high as 80
mg/kg body weight per day (Chaya et al. 2017; Forman et al. 2018) have been tolerated with

minimal side effects, although gastrointestinal side effects are rarely noted (Bosch et al. 2011;
Foley et al. 2014; Woodcock et al. 2017; Nimmo et al. 2018).

Responses to high dose riboflavin are similarly observed in the majority of RTD2 and RTD3 323 324 cases (i.e. genotype is not predictive of treatment response). Clinical improvement following riboflavin treatment is observed for the majority of RTD patients (19/30 RTD2, 20/23 RTD3) 325 with the remaining patients showing stabilisation of the current disease state (10/30 RTD2, 326 327 1/23). In only two RTD3 patients has no beneficial response to riboflavin supplementation been reported. In one of these cases treatment was not started until 29 years after disease 328 onset (Davis et al. 2016), at which point irreversible neurodegenerative changes will have 329 330 occurred. In the second non-responsive case, treatment was discontinued after 1 week (Koy et al. 2012) which might have preceded a latent response, as clinical improvement is frequently 331 not observed for months following the beginning of treatment. For example patient 2 reported 332 by (Nimmo et al. 2018) was started on 80 mg/kg/day riboflavin at 8 months of age but his 333 ventilator dependency had not improved by 10 months of age and for this reason a 334 tracheostomy was performed. However, with continued riboflavin treatment clinical 335 improvement was observed, and by the age of 14 months he was able to maintain 336 spontaneous respiration. 337

Generally the most positive responses are reported in patients that receive riboflavin
supplementation shortly after disease onset (Foley et al. 2014). Of note, a newly born sibling
of an RTD3 patient harbouring the same pathogenic mutations has been administered
riboflavin since birth and remains asymptomatic after 1 year (Horoz et al. 2016), whilst
patient 2 from the first report of RTD3 who was symptomatic and treated from 3 months of

age (Bosch et al. 2011) is now still asymptomatic at 8 years of age.

For these reasons it is recommended that riboflavin is administered immediately upon

suspected RTD in order to prevent irreversible neurological changes, and continued until an

346 alternate unrelated cause of disease has been identified. Esterified derivatives of riboflavin

347 are less reliant on RFVTs for cellular absorption and might therefore represent a strategy for

348 future RTD therapeutics with improved bioavailability (Manole et al. 2017).

349

350 **RECENT INSIGHTS INTO RTD PATHOMECHANISMS**

Whilst there has been great advancement in RTD diagnosis and treatment, much less progress has been made in determining the pathomechanisms that lead to cranial and peripheral nerve degeneration. Flavins are important to the function of cells throughout the whole body, yet neurons appear to be especially vulnerable to riboflavin depletion. Recent work has started to unravel possible downstream consequences of RFVT dysfunction that might lead to neurodegeneration (*Figure 1*).

In a study by (Rizzo et al. 2017), human induced pluripotent stem cell (hIPSC) lines were 357 established from a RTD2 and RTD3 patient and differentiated into motor neurons. RTD 358 motor neurons displayed an increase in neurofilament heavy chain (NFH) expression and its 359 aggregation in inclusions, something previously characterised as an early event leading to 360 motor neuron degeneration in amyotrophic lateral sclerosis (ALS) (Chen et al. 2014). An 361 362 associated reduction in axonal length was also observed, however in a more recent study by (Manole et al. 2017) no such cytoskeletal abnormalities were described in the motor axons of 363 Drosophila with knockdown of the Drosophila homologue of SLC52A (drift). 364

365 The phenotypic overlap of RTD with primary mitochondrial diseases and important role of

366 flavins in mitochondrial function, might point towards mitochondrial dysfunction as an

367 important pathomechanism contributing to neurodegeneration in RTD. In accordance,

368 mitochondria within neurons of *drift* knockdown *Drosophila* are structurally abnormal, show

369 reduced activity of OXPHOS complexes I and II and more depolarised mitochondrial

membrane potential (Manole et al. 2017). Such abnormalities are also seen in RTD2

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371	fibroblasts (Manole et al. 2017) and RTD muscle biopsies (Foley et al. 2014; Chaya et al.
372	2017; Nimmo et al. 2018). OXPHOS activity is normal in hIPSC-derived RTD motor
373	neurons, but impairments in mitochondrial fusion and autophagy (mitophagy) were seen
374	(Rizzo et al. 2017), both of which are important for maintaining a healthy mitochondrial
375	network in post mitotic cells.
376	Neurons are among the most energy demanding cells of the body making them particularly
377	sensitive to impairments in cellular metabolic processes. Increases in the release of
378	mitochondrial derived reactive oxygen species (ROS) have also been implicated as
379	pathomechanisms contributing to neuronal death. Mitochondrial dysfunction and concurrent
380	impairments in their clearance might therefore be contributing to the specific vulnerability of
381	neurons in RTD patients, and represent an additional pathomechanism that is shared with
382	many other neurodegenerative conditions including primary mitochondrial diseases and ALS
383	(Golpich et al. 2017).

384

385 <u>CONCLUSION</u>

The RTDs are an excellent example of how the genetic diagnosis of an inborn error of 386 metabolism can translate an effective rational based therapy back in to the clinic. Although 387 clinical improvements upon riboflavin supplementation are observed in many patients, some 388 cases only show a stabilisation of the current disease state indicating quick intervention with 389 390 riboflavin supplementation is important to avoid irreversible damage from occurring. Therefore, start of oral riboflavin supplementation upon suspicion of RTD diagnosis without 391 awaiting test results is of utmost importance and lifesaving. Positive clinical responses to 392 393 riboflavin supplementation might occur with some latency and for this reason riboflavin therapy should be continued in all suspected or genetically diagnosed RTD cases, even if no 394 apparent clinical improvement has initially occurred. In the foreseeable future newborn 395

screening of *SLC52A1-3* might ensure riboflavin therapy is administered prior to the presentation of symptoms. Whilst biochemical screening parameters might in some instances be suggestive of RTD, diagnosis can only be made by genetic analysis. Genetic analysis of *SLC52A1-3* should therefore be the basis for such newborn screening tests. Understanding the pathomechanisms contributing to irreversible neuronal damage caused by riboflavin depletion might reveal additional targets for novel therapeutic intervention in patients which receive a delayed diagnosis.

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611 Table 1: Clinical Features of RTD2 and RTD3 patients described in published

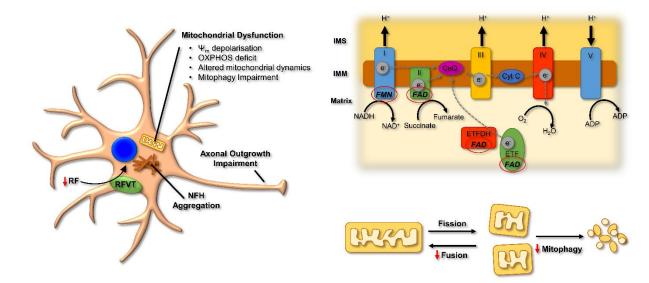
- 612 literature. *Numbers in brackets represent number of patients showing symptom at
- 613 disease onset (see text for details).

	RTD2 (n=52)	RTD3 (n=56)	RTD2/3 (n=1)	Total RTD (n=109)
Age of Onset	Mean 2.9yr	Mean 7.8yr	9yr	Mean 5.3yr
Age of Oliset	SD 2.3yr	SD 8.6yr	Jyi	SD 6.6yr
	Range 0-10yr	Range 0.2-35yr		Range 0-35yr
Gender	Males 22/52	Males 24/56	Males 1/1	Males 47/109
Gender	42 %	43 %	100 %	43 %
	Females 30/52	Females 30/56	Females 0/1	Females 60/109
	58 %	54 %	0 %	55 %
Bulbar Symptoms	26/52 (*1)	34/56 (*15)	1/1 (*0)	61/109 (*16)
» ,	50 %	61 %	100 %	56%
Optic Atrophy	37/52 (*7)	13/56 (*2)	0/1 (*0)	50/109 (*9)
- F	71 %	23 %	0%	46 %
Hearing Loss	47/52 (*21)	47/56 (*20)	1/1 (*0)	95/109 (*41)
U	90 %	84 %	100%	87 %
Muscle Weakness	43/52 (*8)	47/56 (*12)	1/1 (*0)	91/109 (*20)
/Hypotonia	83 %	84 %	100%	83 %
Facial Weakness	3/52 (*0)	26/56 (*7)	1/1 (*0)	30/109 (*7)
	6%	46 %	100 %	28 %
Gait Abnormality /	32/52 (*22)	7/56 (*1)	0/1 (*0)	39/109 (*23)
Ataxia	62 %	13 %	0%	36 %
Nystagmus	12/52 (*6)	4/56 (*2)	1/1 (*0)	17/109 (*8)
	23 %	7 %	100 %	16 %
Feeding Difficulties	13/52 (*0)	28/56 (*7)	0/1 (*0)	41/109 (*7)
	25 %	50 %	0 %	38 %
Respiratory	26/52 (*5)	41/56 (*12)	1/1 (*1)	68/109 (*17)
Symptoms	50 %	73 %	100 %	62 %
Peripheral	41/42	29/37	Not Performed	70/79
Neuropathy	98 %	78 %		89 %
(EMG/NCS)				
Abnormal Cranial	5/29	5/21	0/1	10/51
MRI	17 %	24 %	0 %	20 %
Abnormal Spinal	1/4	5/8	Not Performed	6/12
MRI	25 %	63 %		50 %
Plasma	20/30	9/16	Not Performed	29/46
Acylcarnitine	67 %	56 %		63 %
Abnormalities	0.47		4.4	
Plasma Flavin	2/17	3/7	1/1	6/25
Abnormalities	12 %	43 %	100 %	24 %
Urine Organic Acid	4/10	8/13	Not Performed	12/23
Abnormalities	40 %	62 %		52 %

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Patients	30/52	23/56	1/1	54/109
Administered	58 %	41 %	100 %	50 %
Riboflavin Therapy				

614 EMG: Electromyography, NCS: Nerve Conduction Study



615 616 Figure 1: Cellular Pathomechanisms of Riboflavin Transporter Deficiency

617 RFVT dysfunction alters a number of cellular processes which have been implicated in the specific vulnerability of neural cells in other neurodegenerative conditions. Of particular note 618 619 are deficits in mitochondrial oxidative phosphorylation caused by a reduced availability of necessary flavin cofactors (red circles), and impairments in the dynamic pathways 620 responsible for maintaining a healthy mitochondrial network. RF, riboflavin; RFVT, 621 riboflavin transporter; NFH, neurofilament heavy chain; Ψ_m , mitochondrial membrane 622 potential; IMS, intermembrane space; IMM, inner mitochondrial membrane; CoQ, coenzyme 623 Q10; Cyt C, cytochrome C; ETF, electron transferring flavoprotein; ETFDH, electron 624 transferring flavoprotein dehydrogenase. 625

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DNA Nucleotide Changed	Mutation Type	Intron/Exon	Mutation Site	Publications	Functional Studies / Other Details
Microdeletion spanning Ex2- Ex3				Ho et al., 2011	Heterozygous deletion identified in the mother of a child that presented with riboflavin deficiency as a new-born.
c.1134+11G> A	Splicing loss >> Ex4 skipping			Mosegaard et al., 2017	Heterozygous mutation identified in a mother and new-born child with transient riboflavin deficiency. Mutation introduces binding site for splice inhibiting hnRNPA1 and skipping of Ex4.

Table S1: Pathogenic SLC52A1 Variants (Genbank NM_071986.3)

Table S2: Pathogenic SLC52A2 Variants (Genbank NM_024531.4)

DNA Nucleotide Changed	Mutation Type	Intron/Exon	Mutation Site	Publications	Functional Studies / Other Details
c110–1G> A	5' Ex2 Splice Site	In1-2		Çıralı et al. 2017	Not Performed
c.92G>C	p.Trp31Ser	Ex2	TM1	Foley et al. 2014	Riboflavin uptake impaired but cell surface expression maintained.
c.155C>T	p.Ser52Phe	Ex3	TM2	Ciccolella et al., 2013	Reduced SLC52A2 mRNA expression shown in heterozygous carriers fibroblasts.
c.231G>A	p.Glu77Lys	Ex3	Int. TM2-TM3	Manole et al., 2017	Not Performed

c.297G>C	p.Trp99Cys	Ex3	TM3	Çıralı et al. 2017	Not Performed
c.368T>C	p.Leu123Pro	Ex3	TM4	Haak et al., 2012; Subramanian et al., 2015	Impaired riboflavin uptake and reduction in total protein. Reduction in cell surface expression with majority retained intracellularly colocalised with ER markers.
c.383C>T	p.Ser128Leu	Ex3	TM4	Manole et al., 2017	Not Performed
c.401C>T	p.Pro134Leu	Ex3	TM4	Guissart et al., 2016	
c.421C>A	p.Pro141Thr	Ex3	Int. TM4-TM5	Udhayabanu et al., 2016	Patient homozygous for SLC52A2 variant but also harboured homozygous SLC52A3 c.62A>G (p.N21S). Riboflavin uptake impaired but cell surface expression was maintained.
c.505C>T	p.Arg169Cys	Ex3	TM5	Allison et. al., 2017; Woodcock et al., 2017	Not Performed
c.700C>T	p.Gln234*	Ex3	Int. TM6-TM7	Foley et al. 2014	Impaired riboflavin uptake and absent cell surface expression.
c.808C>T	p.Gln270*	Ex3	Int. TM6-TM7	Petrovski et al., 2015	Absent cell surface expression.
c.851C>A	p.Ala284Asp	Ex3	TM7	Foley et al. 2014	Impaired riboflavin uptake and absent cell surface expression.
c.865C>T	p.Ala288Val	Ex3	TM7	Manole et al., 2017	
c.914A>G	p.Tyr305Cys	Ex3	Ext. TM7- TM8	Foley et al. 2014	Impaired riboflavin uptake and almost absent cell surface expression.
c.916G>A	p.Gly306Arg	Ex3	Ext. TM7-	Johnson et al., 2012; Foley	Not Performed

			TM8	et al. 2014; Srour et al., 2014; Menezes et al., 2016a; Menezes et al., 2016b	
c.917G>A	p.Gly306Glu	Ex3	Ext. TM7- TM8	Nimmo et al., 2018	Not Performed
c.935T>C	p.Leu312Pro	Ex3	TM8	Foley et al. 2014; Allison et al., 2017; Manole et al., 2017	Impaired riboflavin uptake and reduced cell surface expression.
c.973T>G	p.Cys325Gly	Ex3	TM8	Babanejad et al., 2018	Not Performed
c.1016T>C	p.Leu339Pro	Ex4	TM9	Haak et al., 2012; Foley et al., 2014; Subramanian et al., 2015; Menezes et al., 2016a; Menezes et al., 2016b; Manole et al., 2017	Impaired riboflavin uptake and absent cell surface expression. Retained intracellularly colocalised with ER markers.
c.1088C>T	p.Pro363Leu	Ex4	Ext. TM9- TM10	Manole et al., 2017	Not Performed
c.1255G>A	p.Gly419Ser	Ex5	TM11	Ciccolella et al., 2013	Not Performed
c.1258G>A	p.Ala429Thr	Ex5	Ext. C-term	Foley et al., 2014	Not Performed
c.1327T>C	p.Cys443Arg	Ex5	Ext. C-term	Manole et al., 2017; Set et al., 2018	Not Performed

DNA Nucleotide Changed	Mutation Type	Intron/Exon	Mutation Site	Publications	Functional Studies / Other Details
c.44G>T	p.Gly15Val	Ex2	TM1	Horoz et al., 2015	Not Performed
c.49T>C	p.Trp17Arg	Ex2	TM1	Bosch et al., 2011; Nabokina et al., 2012	Riboflavin uptake impaired but cell surface expression unaffected.
c.62A>G	p.Asn21Ser	Ex2	TM1	Dezfouli et al., 2012; Udhayabanu et al., 2016; Gowda et al., 2018	Riboflavin uptake impaired and protein retained intracellularly colocalised with ER markers.
c.71G>A	p.Trp24*	Ex2	TM1	Hossain et al., 2017	Not Performed
c.82C>A	p.Pro28Thr	Ex2	Ext. TM1- TM2	Johnson et al., 2010; Nabokina et al., 2012	Riboflavin uptake impaired and

Table S3: Pathogenic SLC52A3 Variants (Genbank NM_033409.3)

					protein retained intracellularly
c.106G>A	p.Glu36Lys	Ex2	Ext. TM1- TM2	Green et al., 2010; Nabokina et al., 2012; Manole et al., 2017; Allison et al., 2017	Riboflavin uptake impaired and protein retained intracellularly colocalised with ER markers.
c.160G>A	p.Gly54Arg	Ex2	TM2	Johnson et al., 2012	Not Performed
c.173T>A	p.Val58Asp	Ex2	TM2	Ciccolella et al., 2012	Not Performed
c.193C>T	p.Arg65Trp	Ex2	Int. TM2-TM3	Davis et al., 2016	Not Performed
c.211G>A	p.Glu71Lys	Ex2	Int. TM2-TM3	Johnson et al., 2010; Nabokina et al., 2012	Riboflavin uptake impaired and protein retained intracellularly

c.211G>T	p.Glu71*	Ex2	Int. TM2-TM3	Green et al., 2010	Not Performed
c.224T>C	p.lle75Thr	Ex2	TM3	Johnson et al., 2012	Not Performed
c.354G>A	p.Val118Met	Ex2	TM4	Manole et al., 2017	Not Performed
c.374C>A	p.Thr125Asn	Ex2	TM4	Chaya et al., 2017; Manole et al., 2017	Not Performed
c.383C>T	p.Pro128Leu	Ex2	TM4	Cosgrove et al., 2015	Not Performed
c.394C>T	p.Arg132Trp	Ex2	Int. TM4-TM5	Green et al., 2010; Nabokina et al., 2012	Riboflavin uptake impaired and protein retained intracellularly
c.403A>G	p.Thr135Ala	Ex2	TM5	Manole et al., 2017	Not Performed
c.497G>C	p.Cys166Ser	Ex2	Ext. TM5- TM6	Kurkina et al., 2017	Not Performed
c.634C>T	p.Arg212Cys	Ex3	Ext. TM5- TM6	Manole et al., 2017	Not Performed
c.639C>G	p.Tyr213*	Ex3	Ext. TM5-	Green et al., 2010;	Not

			TM6		Performed
c.659C>A	p.Pro220His	Ex3	TM6	Dezfouli et al., 2012	Not Performed
c.670T>C	p.Phe224Leu	Ex3	TM6	Green et al., 2010	Not Performed
c.935C>T	p.Ala312Val	Ex3	TM7	Dezfouli et al., 2012; Khadilkar et al., 2017	Not Performed
c.955C>T	p.Pro319Ser	Ex3	Ext. TM7- TM8	Ciccolella et al., 2012	Not Performed
c.989G>T	p.Gly330Val	Ex3	Ext. TM7- TM8	Koy et al., 2012	Not Performed
c.1048T>A	p.Leu350Met	Ex3	TM8	Green et al., 2010; Nabokina et al., 2012	Riboflavin uptake unaffected.
c.1074G>A	5' Ex4 Splice Site	Ex4		Manole et al., 2017	Not Performed
c.1081C>G	p.L361V	Ex4	Int. TM8-TM9	Bandettini Di Poggio et al., 2013; Bandettini Di Poggio et al., 2014	Present on same allele as c.1127A>G (p.Tyr376Cys) variant.
c.1124G>A	p.Gly375Asp	Ex4	TM9	Dezfouli et al., 2012	Not Performed

c.1127A>G	p.Tyr376Cys	Ex4	TM9	Bandettini Di Poggio et al., 2013; Bandettini Di Poggio et al., 2014	Present on same allele as c.1081C>G (p.L361V) variant.
c.1128C>G	p.Tyr376*	Ex4	Int. TM6-TM7	Van der Kooi et al., 2016	Not Performed
c.1128-1129_insT	p.Tyr376Leufs*129	Ex4	Int. TM6-TM7	Manole et al., 2017	Not Performed
c.1156T>C	p.Cys386Arg	Ex4	Ext. TM9- TM10	Thulasi et al., 2017	Not Performed
c.1198-2A>C	5' Ex5 Splice Site	In4-5		Bosch et al., 2011	Not Performed
c.1203insT	p.Ser402Phefs*103	Ex5	TM10	Bandettini Di Poggio et al., 2013; Bandettini Di Poggio et al., 2014	Not Performed
c.1222G>C	p.Gly408Arg	Ex5	TM10	Kurkina et al., 2017	Not Performed
c.1223G>A	p.Gly408Asp	Ex5	TM10	Nimmo et al., 2018	Not Performed
c.1232_1233insCTAC GCTTCCCTCCCGGCC CCGCAGGTGGCCTCGTG	p.Ser411_Tyr412insTyrAla SerLeuProAlaProGlnValAla SerTrpValLeuPheSerGlyCy	Ex5	TM10	Camargos et al., 2018	Not Performed

GGTGCTTTTCAGCGGCTGCCTCA	S				
G	LeuSer				
c.1237T>C	p.Val413Ala	Ex5	TM10	Green et al., 2010; Bashford et al., 2017; Manole et al., 2017	Not Performed
c.1238T>C	p.Val413Ala	Ex5	TM10	Ciccolella et al., 2012; Davis et al., 2016	Not Performed
c.1292G>A	p.Trp431*	Ex5	TM11	Cosgrove et al., 2015	Not Performed
c.1294G>A	p.Trp431*	Ex5	TM11	Manole et al., 2017	Not Performed
c.1296C>A	p.Cys432*	Ex5	TM11	Ciccolella et al., 2012	Not Performed
c.1316G>A	p.Gly439Asp	Ex5	TM11	Woodcock et al., 2017	Not Performed
c.1325_1326delTG	p.Leu442Argfs*35	Ex5	TM11	Green et al., 2010	Not Performed
c.1371C>G	p.Phe457Leu	Ex5	Ext. C-term	Green et al., 2010	Not Performed
c.1381G>T	p.Asp461Tyr	Ex5	Ext. C-term	Bashford et al., 2017	Not Performed