

# **Can local ecological knowledge provide meaningful information on coastal cetacean diversity? A case study from the northern South China Sea**

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Running Head: Can local ecological knowledge reveal cetacean diversity?

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1 **Abstract** Identifying and evaluating potentially suitable tools to assess the status of  
2 cetaceans in coastal waters with high levels of anthropogenic threat represents a first  
3 step towards effective cetacean conservation management. Local ecological  
4 knowledge (LEK) can often provide more extensive information on focal species and  
5 biological resources than is available from standard ecological surveys, and is  
6 increasingly recognized as an important source of data for conservation research and  
7 management, but it has rarely been used as a tool to assess the status of cetaceans. We  
8 investigated the efficacy of using LEK from local fishers combined with stranding  
9 records to characterise the diversity and distribution of coastal cetaceans in the  
10 northern South China Sea, a region with high historical levels of cetacean abundance  
11 and diversity but which is experiencing intensifying anthropogenic pressures. Fishers  
12 were unable to identify most regionally occurring cetaceans to species level. However,  
13 we were able to determine the distributions of eight categories of cetaceans that were  
14 observed by fishers, and a previously unknown population of Indo-Pacific humpback  
15 dolphin reported from the coastal waters of Hainan that was later confirmed through  
16 boat-based surveys. The number of sightings of different cetacean categories reported  
17 by fishers has a significant positive linear relationship with independent data on  
18 numbers of stranded cetaceans, validating the accuracy of our respondent data and  
19 indicating that LEK can provide useful, quantitative information on abundance  
20 rankings of different cetacean categories.

21 **Keywords:** Hainan; Indo-Pacific humpback dolphin; questionnaire survey; South  
22 China Sea; traditional ecological knowledge.

## 24 **1. Introduction**

25 Cetacean populations are increasingly recognised to be showing declines across the  
26 globe (Taylor et al., 2007; Schipper et al., 2008). Cetacean declines are often detected  
27 through observed contractions in species' geographic ranges, which are associated  
28 with regional population extirpation (Channell and Lomolino, 2000; Mace et al.,  
29 2008). However, standardised cetacean monitoring data are unavailable for many  
30 regions, including some ecologically important coastal areas, due to limited resources  
31 (time, funding and manpower) available to conduct quantitative boat-based surveys  
32 (Aragones et al., 1997; Richman et al., 2014). This data limitation therefore makes  
33 estimation of cetacean status or geographic distribution difficult or impossible for  
34 these regions, hindering identification of possible range change through time.

35 Visual surveying and acoustic monitoring constitute the two main traditional  
36 cetacean ecological research methods. Precise data about cetacean species occurrence  
37 and group size can be collected through visual observation, and distance sampling and  
38 photo-identification can be further used to estimate abundance (Buckland et al., 1993;  
39 Fearnbach et al., 2012). Acoustic data can also be collected in the field using  
40 hydrophones, and used to determine species occurrence, distribution and even  
41 abundance (Mellinger et al., 2007; Li et al., 2010). However, visual and acoustic  
42 monitoring are time-consuming and associated with high financial and labour costs  
43 (Aragones et al., 1997; Richman et al., 2014), and these disadvantages can limit their  
44 use in long-term or large-scale studies.

45 Alternative sources of data on coastal cetacean status and diversity may also be  
46 available to inform scientific understanding and conservation planning, notably from  
47 untrained local marine resource users who utilise the same environments as cetaceans.  
48 Local ecological knowledge (LEK) represents experiential knowledge from such  
49 resource users that is derived from their lived interactions with the local environment  
50 (Inglis 1993; Berkes et al. 2000; Newing, 2011). Over the last 30 years, LEK has  
51 increasingly been recognized as a potentially useful tool for addressing diverse  
52 questions on ecological and applied conservation issues (Johannes et al., 2000; Olsson  
53 and Folke 2001). In particular, LEK is often able to provide useful information about  
54 the status of target species and ecological resources (Newing, 2011; Sousa et al., 2013;  
55 Ziembicki et al., 2013; Turvey et al., 2014; Marin et al., 2017), and thus represents a  
56 potential management tool for marine protected areas and wider marine biodiversity  
57 (Gerhardinger et al., 2009; Sanchez-Carnero et al., 2016). Questionnaire surveys to  
58 collect LEK from fishers have been suggested as a potentially effective and  
59 economical method for assessing cetacean status and diversity across wide geographic  
60 areas, especially for rare or elusive species that may otherwise be difficult to study or  
61 monitor, and this approach can also provide both historical and recent data with a  
62 single collection effort (Turvey et al., 2010). LEK has so far been demonstrated to  
63 represent an important tool for studying population declines and extinction patterns in  
64 freshwater cetaceans (Turvey et al., 2010, 2012), folk classification of cetaceans by  
65 fishers (Souza and Begossi, 2007), fishers' perceptions of dolphin-fisheries

66 interactions (Goetz et al., 2014; Gonzalvo et al., 2014), and patterns of bycatch in  
67 multiple cetacean species (Lopez et al., 2003; Liu et al., 2016).

68 However, there is considerable potential for error and bias in the collection,  
69 interpretation and quantification of LEK data, which can prevent its straightforward  
70 use for providing baselines on cetacean diversity and distribution (Turvey et al., 2014;  
71 Caruso et al., 2016). Although extensive data on local cetacean status can often be  
72 provided in systems where few or only single species are present (e.g. freshwater  
73 systems), or where researchers are primarily interested in LEK associated with  
74 specific easily identifiable target species, accurate LEK data on broader patterns of  
75 regional cetacean species composition in marine environments may be difficult to  
76 obtain if untrained local observers are unable to distinguish effectively between  
77 morphologically or ecologically similar species. Using approximated or qualitative  
78 data collected during interview surveys to develop quantitative estimates of cetacean  
79 abundance or to map species distributions across large marine areas is also a major  
80 challenge. It is therefore necessary to evaluate the extent to which the use of interview  
81 surveys to collect LEK can provide meaningful information on different aspects of  
82 cetacean status in high-diversity marine environments, and whether it is possible to  
83 validate the quality of LEK datasets.

84 The South China Sea (SCS), an area of over 3.5 million km<sup>2</sup> that extends from the  
85 Strait of Malacca in the southwest to the Strait of Taiwan in the northeast, is a  
86 geographic region with high historical levels of cetacean abundance and diversity  
87 (Wang, 2011). Data on the regional status of most cetacean species in the SCS is

88 restricted to sporadic stranding records (Hao et al., 2011; Wang, 2011), with scientific  
89 surveys conducted in coastal waters for only a few species, most notably the Indo-  
90 Pacific humpback dolphin *Sousa chinensis* (Wang et al., 2007; Zhou et al., 2007;  
91 Huang et al., 2012; Jutapruet et al., 2015). However, rapid human population growth  
92 and economic development in countries bordering the SCS has intensified pressure on  
93 coastal cetacean populations through a wide range of anthropogenic factors, including  
94 direct mortality caused by targeted exploitation, fisheries bycatch and vessel  
95 collisions, and indirect effects such as habitat destruction, prey depletion, and  
96 chemical and noise pollution (Wang and Han, 2007; Marcotte et al., 2015). It is  
97 therefore extremely important to establish an improved baseline on the diversity and  
98 distribution of coastal cetaceans in the SCS, both to allow assessment of species status  
99 for current conservation planning, and to act as a baseline against which to evaluate  
100 potential future declines. In order to address this knowledge gap, we conducted a  
101 large-scale interview survey of marine resource users in the northern SCS and  
102 compared LEK data on cetaceans obtained from this survey with independent data  
103 that we collected on cetacean strandings for the same region, to investigate the extent  
104 to which fishers' LEK can be used to characterise cetacean status and map cetacean  
105 diversity and distributions in a high-diversity and highly threatened marine  
106 environment.

107

## 108 **2. Materials and methods**

### 109 *2.1 Fisher survey*

110 We conducted a large-scale questionnaire-based interview survey around the coast  
111 of Hainan Island, which covers an area of 35,400 km<sup>2</sup> and is separated from mainland  
112 China by the Qiongzhou Strait and from Vietnam by Beibu Bay (Fig. 1). Spatial  
113 sampling design was based on information about fishing activities around Hainan (e.g.  
114 number and location of fishing ports, number of registered fishing boats/families in  
115 each region) provided by the China Fishery Statistical Yearbook (The People's  
116 Republic of China Ministry of Agriculture, 2012) and Bureau of Ocean and Fishery of  
117 Hainan Province. Sixteen big ports across ten cities: Changjiang, Dongfang, Haikou,  
118 Ledong, Lingao, Lingshui, Qionghai, Sanya, Wanning and Wenchang were selected  
119 to almost equally cover the whole island and to reflect the current distribution of  
120 fishing vessels (Fig. 1). As we aimed for the same amount of interviews, we  
121 interviewed 20-60 adult fishers in each survey site. Age, sex and ethnicity were not  
122 used as selection criteria, to avoid bias. Respondents were only selected for interview  
123 if they were professional fishers, they practising fishing as their main source of  
124 economic income, and they were born on Hainan or had lived on Hainan for most of  
125 their lives. These resource users typically conducted regular fishing activities with  
126 respect to both timing and location(s) likely to be inhabited by marine mammals,  
127 making them more likely to be familiar with local coastal cetacean populations.

128 LEK data were collected using a standard questionnaire that took approximately  
129 30 minutes to complete, based on the combined qualitative and quantitative interview  
130 techniques described by Chambers (1992) and developed for conservation research in  
131 Chinese fishing communities by Turvey et al. (2010, 2012). The questionnaire

132 included a combination of multiple choice, short free response, and multi-part  
133 questions (Appendix A). We first asked respondents a series of questions about their  
134 age, education, fishing gear, fishing area and boat length, how many years ago they  
135 started fishing, how many days per year they typically spent fishing, and their  
136 perception of the present status of the SCS ecosystem and its fisheries. We then  
137 showed them an illustrated handbook including 35 cetacean species that are  
138 potentially present in the SCS (Wang, 2011), and asked them to identify the species  
139 that they encountered when fishing, together with information on the timing, location,  
140 and frequency of sightings. Initial interviews showed that identification of cetacean  
141 sightings to species level generally proved difficult for local fishers, and so we  
142 grouped the 35 cetacean species in the illustrated handbook into eight categories  
143 (Table 1). We used a grid map of the northern SCS (grid size = longitude 0.5 degree ×  
144 latitude 0.5 degree, approximately 55 km × 50 km) to help respondents locate their  
145 cetacean sightings.

146 Questionnaire surveys were conducted between 30 November and 21 December  
147 2013. Interviews were conducted by both cetacean researchers and trained volunteers.  
148 All respondents were interviewed on a one-to-one basis in relaxed, informal settings.  
149 Only one person per fishing vessel was interviewed to ensure that interview data were  
150 independent. All respondents were informed at the outset about the study's general  
151 aims (i.e. collecting LEK data to understand status of cetacean populations) and  
152 assured them that data would be kept anonymously; we only conducted interviews  
153 following verbal consent of participants. Research design was approved by the



154 Research Ethics Committee of the Institute of Deep-Sea Science and Engineering,  
155 Chinese Academy of Sciences (permit no.: SIDSSE-SYLL-MMMBL-01).

156

### 157 *2.2 Stranding records*

158 We also collected all available cetacean stranding records from the northern SCS,  
159 including the coastal areas of Fujian, Guangdong, Guangxi, Hainan, Hong Kong,  
160 Macau and Taiwan, for the period 2000-2014. Stranding records were collected from  
161 local news reports (local newspapers, television or internet) and scientific publications  
162 (papers and books), and from the integrated cetacean stranding rescue and record  
163 systems for Taiwan and Hong Kong provided by the Taiwan Cetacean Society and  
164 Ocean Park Hong Kong, respectively. For each stranding case, we recorded the  
165 species identity, number of individuals involved, associated photographic/video  
166 documentation, stranding date, locality and data source (Appendix B). Species  
167 identity was typically confirmed (or revised if reported inaccurately) on the basis of  
168 photographs or videos associated with news reports or publications; when the  
169 cetacean involved in a stranding event could not be accurately identified from  
170 available images, it was recorded as “unidentified stranded species”. All cetacean  
171 common and scientific names presented here follow Berta (2015).

172

### 173 *2.3 Data analysis*

174 We calculated the mean encounter rate ( $\pm$  standard error) for each cetacean  
175 category in each survey grid cell to reflect the abundance rankings of cetacean

176 categories. Encounter rate was calculated as the total number of sightings for a  
177 cetacean category in a specific grid cell divided by the total number of fishers  
178 reporting that they fished in that grid cell, expressed as a percentage.

179 All data were analysed in R version 3.2.4 (R Core Team, 2016). We used chi-  
180 squared tests to assess whether respondent sighting rate varied between the five west  
181 coast counties (Changjiang, Dongfang, Haikou, Ledong, Lingao) and the five east  
182 coast counties (Lingshui, Qionghai, Sanya, Wanning, Wenchang), and we conducted  
183 analysis of variance (ANOVA) followed by Tukey HSD multiple contrasts to test for  
184 significant differences between the ten counties in boat length (representing an  
185 indicator of fishing in coastal or offshore waters by respondents) and number of years  
186 that respondents have practised fishing. We then used a multivariate GLM model with  
187 logit link and a binomial error structure to investigate whether boat length or fishing  
188 effort affected the likelihood of respondents having seen cetaceans.

189 We used the stranding data to assess cetacean species composition and to validate  
190 the accuracy of our respondent data for ranking the relative abundances of different  
191 cetacean categories in the SCS. We assessed available stranding data for Taiwan,  
192 Hong Kong and other Chinese provinces separately, due to the different origin and  
193 quality of data from these three regions. We calculated the total number of strandings  
194 for each cetacean category, and then compared these stranding data with fishers'  
195 cetacean encounter rates using regression analysis.

196

### 197 **3. Results**

### 198 3.1 Overview of fisher survey data

199 A total of 510 fishers were interviewed in Hainan: Changjiang (n = 63), Dongfang  
200 (n = 49), Haikou (n = 48), Ledong (n = 58), Lingao (n = 55), Lingshui (n = 62),  
201 Qionghai (n = 41), Sanya (n = 54), Wanning (n = 31), Wenchang (n = 49). Mean  
202 respondent age was  $42 \pm 13$  years, 98% of respondents were men, fishing experience  
203 began at  $17 \pm 5$  years old, and 80% of respondents were educated to middle school  
204 level. Respondents used two categories of fishing vessels: small vessels ( $11 \pm 4$   
205 meters, mean  $\pm$  SD, n = 314) which generally fish within c. 50 km of ports, and large  
206 vessels ( $27 \pm 6$  meters, mean  $\pm$  SD, n = 196) which trawl or purse within c. 200 km of  
207 ports. Significant differences in boat length were present between sites ( $F = 24.95$ , d.f.  
208 = 9,  $p < 0.001$ ), with Dongfang, Lingao and Wenchang having larger boats, and  
209 Haikou and Wanning having smaller boats (Fig. 2). Mean number of years that  
210 respondents had practised fishing was  $23 \pm 12$  years, with Tukey HSD multiple  
211 contrasts showing no differences between any sites (all  $p > 0.05$ ). Respondents spent  
212 about half of each year at sea ( $177$  days per year  $\pm 57$ , mean  $\pm$  SD) and ranged across  
213 76 grid cells, so can be considered likely to be extremely knowledgeable about  
214 biological resources in the SCS.

215

### 216 3.2 Cetacean distribution

217 Overall, 93% of our respondent sample reported cetacean sightings, representing all  
218 eight cetacean categories: other dolphins (82% of respondents), Indo-Pacific  
219 humpback dolphin (30%), Indo-Pacific finless porpoise *Neophocaena phocaenoides*

220 (29%), baleen whales (19%), black whales (18%), beaked whales (4%), sperm whale  
221 (4%) and grey whale (1%). The five most frequently reported cetacean categories are  
222 shown in Figure 3. All respondents in Ledong and Qionghai reported cetacean  
223 sightings, followed in percentage of reported sightings by Lingshui (98%), Wanning  
224 (97%), Wenchang (96%), Changjiang (81%) and Haikou (79%). The five east coast  
225 counties had significantly higher levels of overall reported sightings compared with  
226 the five west coast counties ( $\chi^2 = 7.61$ , d.f. = 1,  $p = 0.006$ ).

227 The highest level of Indo-Pacific humpback dolphin sightings was reported from  
228 Ledong (88% of respondents), following by Sanya (61%) and Wenchang (47%); these  
229 three sites had significantly higher levels than Changjiang (10%) and other sites (all <  
230 25%,  $p < 0.001$ ). The highest level of Indo-Pacific finless porpoise sightings was  
231 reported from Dongfang (55%) and the lowest level was reported from Wanning (6%),  
232 with a significant difference in sighting levels between east coast counties (39%) and  
233 west coast counties (19%) ( $\chi^2 = 23.80$ , d.f. = 1,  $p < 0.001$ ). For black whales,  
234 Wanning and Wenchang had the same highest levels of reported sightings (27%) and  
235 Sanya had the lowest (6%), with no difference between east coast and west coast  
236 counties ( $\chi^2 = 1.46$ , d.f. = 1,  $p = 0.227$ ). For other dolphins, Lingshui had the highest  
237 level of reported sightings (97%) and Haikou had the lowest (60%), with a significant  
238 difference in levels between east coast counties (91%) and west coast counties (73%)  
239 ( $\chi^2 = 25.80$ , d.f. = 1,  $p < 0.001$ ). For baleen whales, Qionghai had the highest level of  
240 reported sightings (37%), whereas no respondents from Dongfang reported sightings,

241 and east coast counties (30%) had significantly higher sighting levels compared with  
242 west coast counties (11%) ( $\chi^2 = 27.81$ , d.f. = 1,  $p < 0.001$ ).

243 Respondent sighting experience of cetaceans was statistically correlated with both  
244 fishing effort and boat length in GLM analysis. Years spent fishing was a significant  
245 predictor of increased respondent likelihood of having seen Indo-Pacific humpback  
246 dolphin (effect size = 0.037, SE = 0.010,  $p < 0.001$ ), Indo-Pacific finless porpoise  
247 (effect size = 0.041, SE = 0.009,  $p < 0.001$ ), other dolphins (effect size = 0.031, SE =  
248 0.011,  $p = 0.004$ ) and baleen whales (effect size = 0.024, SE = 0.010,  $p = 0.017$ ),  
249 although it was not significant in predicting likelihood of having seen black whales ( $p$   
250 = 0.474) or “all species” ( $p = 0.082$ ). Increased boat length was a significant predictor  
251 of increased respondent likelihood of having seen other dolphins (effect size = 0.063,  
252 SE = 0.011,  $p = 0.004$ ), but did not predict any other cetacean categories (all  $p > 0.05$ ).

253 The distributions of reported encounter rates for the four most frequently seen  
254 cetacean categories show marked spatial variation (Fig. 4): Indo-Pacific humpback  
255 dolphin were reportedly encountered mainly around the Pearl River estuary, Sanniang  
256 Bay, Leizhou Bay, and in the southwest coastal waters of Hainan; Indo-Pacific finless  
257 porpoise were encountered mainly in the Changhua River estuary on the west coast of  
258 Hainan; and other dolphins and baleen whales were instead more commonly  
259 encountered along the east coast of Hainan.

260 Changes to the regional status of cetaceans over time in the SCS were revealed by  
261 both respondent perceptions and last-sighting records. For dolphin species (adult  
262 length  $< 4$  m, with no recognizable spray column), nearly half of respondents

263 considered that abundance had decreased, and very few thought that they had  
264 increased (increase: 8%; decrease: 49%; no change: 12%; don't know: 26%; no  
265 response = 5%). Respondents had similar perceptions about whale species (adult  
266 length > 4 m, with recognizable spray column), with substantially more respondents  
267 again considering that abundance had decreased (increase: 2%; decrease: 28%; no  
268 change: 4%; don't know: 42%; no response = 24%). A total of 445 cetacean last-  
269 sighting records were collected (Changjiang, n = 47; Dongfang, n = 44; Haikou, n =  
270 27; Ledong, n = 58; Lingao, n = 47; Lingshui, n = 59; Qionghai, n = 40; Sanya, n = 47;  
271 Wanning, n = 29; Wenchang, n = 47). Most cetacean last-sighting records dated after  
272 2010 (61%, n = 272), with only 25 records from before 1990, 33 records from  
273 between 1990-2000, and 115 records from between 2001-2010 (Fig. 5). For most  
274 counties, more than 60% of last-sighting records dated from after 2010, but more  
275 respondents in Changjiang (19%), Haikou (30%) and Lingao (43%) had not seen  
276 cetaceans during this period.

277

### 278 *3.3 Cetacean species composition and abundance rankings of cetacean categories*

279 In total, information for 1621 stranded cetacean individuals in 1367 separate  
280 stranding events between 2000-2014 was compiled for analysis (Table 3). For all  
281 administrative regions excluding Taiwan and Hong Kong, 249 stranded cetacean  
282 individuals from 193 stranding events (an average of 17.8 events/year) were  
283 documented, including 63 in Fujian, 58 in Guangdong, 50 in Hainan, 19 in Guangxi  
284 and three in Macau; the data include six identifiable baleen whale species, 19

285 identifiable toothed whale or dolphin species, and 10 baleen whale and five toothed  
286 whale or dolphin individuals that could not be identified to species level. Pantropical  
287 spotted dolphin *Stenella attenuata* (21 events, 67 individuals), Indo-Pacific finless  
288 porpoise (30 events, 30 individuals), and Indo-Pacific humpback dolphin (25 events,  
289 26 individuals) were the most commonly stranded odontocetes across this area; long-  
290 beaked and short-beaked common dolphin *Delphinus capensis* and *D. delphis*, melon-  
291 headed whale *Peponocephala electra*, pygmy killer whale *Feresa attenuata*, and  
292 Cuvier's beaked whale *Ziphius cavirostris* were also each recorded once. Bryde's  
293 whale *Balaenoptera edeni* (13 events, 13 individuals) was the most commonly  
294 stranded baleen whale species, and blue whale *B. musculus* and gray whale  
295 *Eschrichtius robustus* were each recorded once. For Hong Kong, 448 stranding events  
296 involving 450 cetacean individuals were documented during the same period. These  
297 data mainly involved Indo-Pacific finless porpoise (275 individuals, 61% of  
298 strandings) and Indo-Pacific humpback dolphin (145 individuals, 32% of strandings).  
299 For Taiwan, there were 726 stranding events involving 922 cetacean individuals; the  
300 most frequently stranded species was Indo-Pacific finless porpoise (129 events, 136  
301 individuals), followed by dwarf sperm whale *Kogia simus* (71 events, 81 individuals),  
302 common bottlenose dolphin *Tursiops truncatus* (54 events, 54 individuals), Risso's  
303 dolphin *Grampus griseus* (50 events, 55 individuals) and Pantropical spotted dolphin  
304 (48 events, 68 individuals). Twelve stranded Indo-Pacific humpback dolphin were  
305 recorded from Taiwan during this period.

306 Linear regression analyses show significant positive correlations between the  
307 percentage of interviewed fishers who reported sightings of each cetacean category  
308 and the total number of stranded cetacean individuals representing the corresponding  
309 cetacean category for data from Taiwan ( $R^2 = 0.863$ ,  $Y = 0.106X + 0.500$ ,  $p < 0.001$ ),  
310 Chinese provinces excluding Taiwan and Hong Kong ( $R^2 = 0.970$ ,  $Y = 0.137X +$   
311  $0.111$ ,  $p < 0.001$ ), and the total combined dataset ( $R^2 = 0.803$ ,  $Y = 0.136X + 0.125$ ,  $p$   
312  $= 0.003$ ), although no linear relationship was observed for data from Hong Kong  
313 alone (Fig. 6).

314

## 315 **4. Discussion**

### 316 *4.1 Species distributions*

317 Using LEK to quantify the distribution of cetaceans presents several potential  
318 challenges. Fishers are often unable to identify species accurately, so that there can be  
319 uncertainty over the identity of species associated with reported sighting locations.  
320 Fishers generally visit fixed fishing areas, meaning that LEK data may violate  
321 sampling assumptions that are required to analyse survey effort. Error and bias may  
322 also occur between actual and remembered sighting locations and dates, and fishers  
323 may only be able to provide a general sighting region when the same species have  
324 been observed on more than one occasion. Despite these potential limitations,  
325 however, by grouping similar species into categories, we have been able to use LEK  
326 data to determine spatial distribution patterns for the four most frequently encountered  
327 cetacean categories in the northern SCS (Figs 3 and 4). For example, our results show



328 that Indo-Pacific humpback dolphin and Indo-Pacific finless porpoise are mainly  
329 distributed in the west coastal waters of Hainan, whereas other dolphins and baleen  
330 whales are mainly encountered off the island's east coast close to deeper open ocean  
331 waters, suggesting that water depth is likely to play an important role in cetacean  
332 species distribution in the SCS.

333 Our results show that increasing boat length is a significant predictor of whether  
334 respondents have seen other dolphins, and there are significant differences in boat  
335 length between counties. These two facts together suggest that variation in the  
336 reported spatial data for other dolphins might partly be caused by different patterns of  
337 fishing activity/effort by respondents in different parts of Hainan, rather than  
338 reflecting actual ecological variation in dolphin populations. However, the usefulness  
339 of LEK for generating accurate maps of the distribution of some easily identifiable  
340 cetacean species is demonstrated by data for Indo-Pacific humpback dolphin.  
341 Jefferson (2000) hypothesized that there were about eight populations of humpback  
342 dolphins in Chinese waters, but there were no records of humpback dolphins from the  
343 waters around Hainan (Jefferson et al., 2008; Chen et al., 2009; Chen et al., 2011).  
344 Interestingly however, our interview survey revealed high encounter rates of this  
345 species reported by fishers around the southwest coastal waters of Hainan. Following  
346 our 2013 fisher survey, humpback dolphins were then recorded for the first time near  
347 Hainan in October 2014 during a boat-based field survey (Li et al., 2016) and a  
348 stranded individual was also collected in February 2015, confirming the presence of

349 this species in the coastal waters of Hainan and providing further independent  
350 verification of the general accuracy of fishers' knowledge of cetaceans in the SCS.

351

#### 352 *4.2 Species composition*

353 Our study indicates that LEK is unlikely to be able to provide accurate information  
354 on coastal cetacean species richness in a diverse marine system. When we asked  
355 fishers to identify cetacean species they had seen from an illustrated handbook, they  
356 often found it difficult to do so, frequently using phrases such as “it looked like this  
357 species”, “maybe this one”, and “this sort of dolphin”. Respondents were generally  
358 only able to confidently distinguish species that differed markedly in skin colour,  
359 dorsal fin or other unique morphology, and/or body length. As such, we were only  
360 able to gather estimates of cetacean abundance rankings and distribution in this study  
361 when species were grouped into categories.

362 Our study demonstrates that the potential limitations of LEK in determining the  
363 species composition of a diverse regional cetacean fauna can be addressed by the use  
364 of stranding records, which can often be sourced through a variety of information  
365 channels. Our stranding records database for the SCS contains 29 species of cetaceans,  
366 including six baleen whales and 23 odontocetes. This number is slightly lower than  
367 the SCS cetacean fauna reported by Wang (2011) and Jefferson et al. (2008), who  
368 reported between 30 and 32 regionally occurring species, respectively. This slight  
369 discrepancy may reflect different reasons. Some stranded animals were unidentifiable  
370 to species level due to the preservational state of carcasses or photo quality, and the

371 mean number of recorded annual stranding events is low (14 events combined across  
372 several other Chinese provinces, compared with 32 and 52 events in Hong Kong and  
373 Taiwan, respectively), which probably reflects under-reporting. Using the database  
374 established as part of this survey, a new online database for marine mammal stranding  
375 records for Hainan was created in July 2015 (<http://www.cetacean.csdb.cn/>). This  
376 database will provide more precise information on regional cetacean diversity and  
377 abundance in the future. Alternately, this difference may reflect a recent decline in  
378 cetacean biodiversity. Regional anthropogenic pressure on cetaceans may have  
379 already caused some species to decline and even disappear. For example, in the 1920s  
380 the blue whale was subject to commercial whaling in the southern waters of Taiwan,  
381 but it has now almost disappeared, and more than 10 humpback whales *Megaptera*  
382 *novaeangliae* were fished from 1957 to 1958 in Guangdong, but this is now a rare  
383 species in the SCS (Wang, 2011).

384

#### 385 *4.3 Abundance rankings of cetacean categories*

386 LEK has been used to estimate both relative abundance patterns (Beaidreai and  
387 Levin, 2014) and sometimes also absolute abundance levels (Anadón et al., 2009). It  
388 is difficult or impossible to obtain absolute abundance data for cetacean species using  
389 LEK, as untrained fishers may be unlikely to be able to count or estimate the number  
390 of individuals in cetacean groups with high accuracy (Anadón et al., 2009). However,  
391 the abundance rankings for some easily identifiable cetacean species or categories  
392 were estimated in this study using the percentage of sightings reported for different

393 categories by fishers. Our results suggest that small dolphins are encountered more  
394 frequently in the SCS in contrast to larger beaked whales and baleen whales (Figs 2  
395 and 3). This suggestion is supported by independent stranding records from Hong  
396 Kong and Taiwan showing that delphinids and phocaenids are the main stranded  
397 species, suggesting that small dolphins are the most abundant coastal cetacean species  
398 in the northern SCS. Furthermore, we demonstrate that across our entire study area  
399 except for Hong Kong, levels of reported cetacean sightings correlate statistically with  
400 the independent measure of cetacean abundance represented by stranding records.  
401 This correlation provides crucial validation of the general accuracy of our regional  
402 cetacean LEK dataset, and confirms that LEK can provide accurate abundance  
403 ranking information about cetaceans. Although overall levels of reported cetacean  
404 sightings do not correlate statistically with independent stranding records around  
405 Hong Kong, Indo-Pacific finless porpoise and Indo-Pacific humpback dolphin both  
406 had relatively high observed regional stranding rates, were both widely reported from  
407 this region by respondents in our study, and are known to be relatively abundant  
408 compared with other cetacean species based on independent survey data (Wang,  
409 2011).

410 This validation suggests that other, non-verified quantitative LEK data obtained  
411 from our study on the regional status of cetaceans in the northern SCS may also be  
412 accurate. Analysis of last-sighting data from the ten surveyed counties around Hainan  
413 showed that more respondents in Haikou, Lingao and Changjiang had not seen  
414 cetaceans in recent years, indicating that cetaceans in waters off these three northwest

415 counties might have been affected more substantially by increasing anthropogenic  
416 pressure in marine areas close to mainland China. In particular, data for this region on  
417 respondent perceptions of cetacean declines and the long time period since many  
418 respondents have observed cetaceans together raise concerns about the local status of  
419 cetacean populations, and regional cetacean population trends should be investigated  
420 further through future field surveys.

421

#### 422 *4.4 Conservation and whale-watching*

423 Rapid economic growth in China and other Southeast Asian countries, along with  
424 rising anthropogenic pressures such as fishing, eutrophication, habitat destruction and  
425 shipping (Ng and Tan, 2000; Pitcher et al., 2000), have direct negative ecological  
426 impacts on cetaceans (Nowacek et al., 2007; Williams et al., 2008; Baird et al., 2015).  
427 However, other than the studies of Ng and Leung (2003), the impacts of these  
428 anthropogenic pressures on coastal cetaceans in the SCS have so far been the focus of  
429 little research. The findings of our study should be used to establish a conservation  
430 framework for cetaceans in the northern SCS. This aim could be achieved through the  
431 delineation of marine protected areas (MPAs) in locations where cetaceans occur in  
432 relatively high abundance, and our LEK and field data are currently being used to  
433 inform potential establishment of an MPA for Indo-Pacific humpback dolphin in  
434 coastal waters off southwest Hainan.

435 Cetacean-watching tourism may be an alternative to a local fishing-based economy  
436 around parts of Hainan. Cetacean-watching is commonly presented as a benign

437 method for enhancing public attitudes toward the marine environment, and it is  
438 increasingly popular in cetacean-rich western countries including Canada, the United  
439 States and Australia (Hoyt, 2001). Hainan is experiencing economic growth and  
440 tourism development, and cetacean-watching has the potential to be a new source of  
441 revenue. However, this type of tourism activity is currently only available in the  
442 northern SCS on the east coast of Taiwan and in Sanniang Bay, Guangxi (Tseng et al.,  
443 2011; Chen et al., 2016). Although cetacean-watching needs to be well regulated, this  
444 study provides important information to aid its potential establishment in Hainan. Our  
445 results indicate that there is potential for cetacean-watching for Indo-Pacific  
446 humpback dolphin and Indo-Pacific finless porpoise in southwest Hainan, and  
447 possibly for baleen whales and other dolphins in eastern Hainan.

448

## 449 **5. Conclusion**

450 Although interview data on fishers' LEK are likely to be both incomplete and  
451 biased, our study shows that such data can still provide quantitative information on  
452 both diversity and distribution for several coastal cetacean species or categories, such  
453 as Indo-Pacific humpback dolphin in the northern SCS. Interview surveys therefore  
454 represent an effective, rapid survey method for obtaining information on key  
455 parameters of cetacean ecology across large geographic regions, especially for regions  
456 where traditional cetacean field surveys have rarely been conducted.

457 As LEK and stranding data are now demonstrated to constitute a good indicator of  
458 regional cetacean status, there is a need to establish a cetacean database for the whole

459 of the SCS, ideally also including data from boat-based and/or plane-based visual  
460 surveys and boat-based acoustic surveys, to provide more accurate information about  
461 species composition, abundance and distribution. Understanding the relationship  
462 between cetacean distribution patterns and environmental factors is becoming  
463 increasingly important in the changing ecosystem of the SCS, and this region also  
464 requires a novel policy framework that should include ecological information together  
465 with analysis of the current and future ecosystem services associated with regional  
466 cetacean presence.

467

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485

486 **Appendix A. Fishermen survey questionnaire (English version).**

487 **Appendix B. Stranding data.**

488

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- 648

650 **Table 1.** Categories of cetaceans likely to be present in the South China Sea, that  
 651 were used in our questionnaires. Some species were listed individually as they are  
 652 easily identifiable due to distinctive skin colour or head morphology, or absence of  
 653 dorsal fin.

<b>Group categories</b>	<b>Code</b>	<b>Common name</b>	<b>Latin name</b>
Indo-Pacific finless porpoise	NPH	Indo-Pacific finless porpoise	<i>Neophocaena phocaenoides</i>
Indo-Pacific humpback dolphin	SCH	Indo-Pacific humpback dolphin	<i>Sousa chinensis</i>
Grey whale	ERO	Grey whale	<i>Eschrichtius robustus</i>
Sperm whale	PMA	Sperm whale	<i>Physeter macrocephalus</i>
Black whales	BLW	Killer whale	<i>Orcinus orca</i>
		Short-finned pilot whale	<i>Globicephala macrorhynchus</i>
		Pygmy killer whale	<i>Feresa attenuata</i>
		Melon-headed whale	<i>Peponocephala electra</i>
		False killer whale	<i>Pseudorca crassidens</i>
Beaked whales	BEW	Cuvier's beaked whale	<i>Ziphius cavirostris</i>
		Longman's beaked whale	<i>Indopacetus pacificus</i>
		Blainville's beaked whale	<i>Mesoplodon densirostris</i>
		Ginkgo-toothed beaked whale	<i>Mesoplodon ginkgodens</i>
Other dolphins	OTD		
Other baleen whales	BAW		

654

655



656 **Table 2.** Final multivariate generalized linear models (GLMs) investigating  
 657 respondent sighting experience of cetaceans around Hainan.

Predictor	Estimate	SE	z-value	P-value
<b>1. Indo-Pacific humpback dolphin</b>				
Intercept	-3.353	0.553	-6.062	<0.001
Haikou	0.386	0.623	0.619	0.536
Lingao	0.951	0.553	1.720	0.086
Dongfang	1.073	0.573	1.872	0.061
Ledong	4.329	0.596	7.262	<0.001
Sanya	2.674	0.524	5.105	<0.001
Lingshui	0.806	0.550	1.465	0.143
Wanning	-0.958	1.110	-0.863	0.388
Qionghai	0.287	0.647	0.444	0.657
Wenchang	2.017	0.551	3.660	<0.001
Boat length	0.011	0.014	0.740	0.459
Fishing year	0.037	0.010	3.838	<0.001
<b>2. Indo-Pacific finless porpoise</b>				
Intercept	-1.447	0.409	-3.538	<0.001
Haikou	-0.114	0.424	-0.268	0.789
Lingao	0.136	0.415	0.328	0.743
Dongfang	1.108	0.422	2.626	0.009
Ledong	-0.082	0.393	-0.209	0.834
Sanya	-0.622	0.431	-1.444	0.149
Lingshui	-0.855	0.434	-1.969	0.049
Wanning	-2.006	0.787	-2.547	0.011
Qionghai	-1.430	0.556	-2.575	0.010
Wenchang	-0.270	0.455	-0.594	0.553
Boat length	-0.011	0.014	0.749	0.454
Fishing year	0.041	0.009	4.699	<0.001
<b>3. Black whales</b>				
Intercept	-1.873	0.520	-3.600	<0.001
Haikou	0.449	0.564	0.796	0.426
Lingao	1.057	0.523	2.021	0.043
Dongfang	0.586	0.557	1.052	0.293
Ledong	-0.276	0.617	-0.447	0.655
Sanya	-0.725	0.719	-1.009	0.313
Lingshui	1.099	0.492	2.232	0.026
Wanning	0.391	0.639	0.612	0.541
Qionghai	0.954	0.542	1.761	0.078
Wenchang	1.107	0.542	2.042	0.041
Boat length	-0.003	0.015	-0.193	0.847
Fishing year	-0.007	0.010	-0.715	0.474

Predictor	Estimate	SE	z-value	P-value
<b>4. Other dolphins</b>				
Intercept	-0.556	0.489	-1.136	0.256
Haikou	-0.309	0.435	-0.710	0.478
Lingao	0.502	0.544	0.923	0.356
Dongfang	-0.523	0.468	-1.116	0.265
Ledong	-0.228	0.414	-0.551	0.582
Sanya	0.121	0.460	0.262	0.793
Lingshui	2.399	0.781	3.073	0.002
Wanning	1.664	0.694	2.398	0.017
Qionghai	2.002	0.789	2.538	0.011
Wenchang	1.045	0.693	1.508	0.132
Boat length	0.063	0.021	3.065	0.002
Fishing year	0.031	0.011	2.889	0.004
<b>5. Baleen whales</b>				
Intercept	-3.978	0.688	-5.785	<0.001
Haikou	0.993	0.763	1.301	0.193
Lingao	1.180	0.703	1.679	0.093
Dongfang	-14.686	557.456	-0.026	0.979
Ledong	1.571	0.682	2.303	0.021
Sanya	1.449	0.691	2.098	0.036
Lingshui	2.136	0.658	3.247	0.001
Wanning	2.498	0.715	3.492	<0.001
Qionghai	2.461	0.678	3.632	<0.001
Wenchang	1.962	0.687	2.854	0.004
Boat length	0.025	0.014	1.798	0.072
Fishing year	0.024	0.010	2.381	0.017
<b>6. All cetacean species</b>				
Intercept	0.303	0.618	0.491	0.624
Haikou	0.124	0.506	0.244	0.807
Lingao	1.054	0.703	1.499	0.134
Dongfang	0.803	0.649	1.237	0.216
Ledong	18.147	1404.010	0.013	0.990
Sanya	0.718	0.575	1.249	0.212
Lingshui	2.699	1.060	2.546	0.011
Wanning	2.282	1.084	2.105	0.035
Qionghai	18.138	1661.241	0.011	0.991
Wenchang	1.306	0.832	1.570	0.116
Boat length	0.039	0.028	1.403	0.161
Fishing year	0.026	0.015	1.740	0.082

658 Changjiang represents the randomly selected reference county.

660 **Table 3.** Stranding information for cetaceans in Hainan, Guangdong, Guangxi, Fujian,  
 661 Macao (collectively “Other Provinces”), Hong Kong and Taiwan between 2000–2014.  
 662 T = stranding events; NM = number of individuals.

Common name	Scientific name	Other Provinces		Hong Kong		Taiwan	
		T	NM	T	NM	T	NM
Grey whale	<i>Eschrichtius robustus</i>	1	1	0	0	0	0
Common minke whale	<i>Balaenoptera acutorostrata</i>	5	5	0	0	4	4
Bryde’s whale	<i>Balaenoptera edeni</i>	13	13	1	1	1	1
Blue whale	<i>Balaenoptera musculus</i>	1	1	0	0	0	0
Omura’s whale	<i>Balaenoptera omurai</i>	4	4	1	1	5	5
Humpback whale	<i>Megaptera novaeangliae</i>	3	3	0	0	1	1
Long-beaked common dolphin	<i>Delphinus capensis</i>	1	1	1	1	5	5
Short-beaked common dolphin	<i>Delphinus delphis</i>	1	1	0	0	3	3
Pygmy killer whale	<i>Feresa attenuata</i>	1	2	0	0	32	37
False killer whale	<i>Pseudorca crassidens</i>	3	4	2	2	16	16
Short-finned pilot whale	<i>Globicephala macrorhynchus</i>	9	9	0	0	22	30
Risso’s dolphin	<i>Grampus griseus</i>	13	14	1	1	50	55
Fraser’s dolphin	<i>Lagenodelphis hosei</i>	0	0	0	0	44	45
Melon-headed whale	<i>Peponocephala electra</i>	1	1	0	0	9	17
Indo-Pacific humpback dolphin	<i>Sousa chinensis</i>	25	26	144	145	10	12
Pantropical spotted dolphin	<i>Stenella attenuata</i>	21	67	1	1	48	68
Striped dolphin	<i>Stenella coeruleoalba</i>	0	0	2	2	7	8
Rough-toothed dolphin	<i>Steno bredanensis</i>	3	3	3	3	35	44
Spinner dolphin	<i>Stenella longirostris</i>	7	8	0	0	9	9
Indo-Pacific bottlenose dolphin	<i>Tursiops aduncus</i>	10	13	1	1	13	14
Common bottlenose dolphin	<i>Tursiops truncatus</i>	6	6	4	4	54	54
Sperm whale	<i>Physeter macrocephalus</i>	4	4	1	1	5	5
Pygmy sperm whale	<i>Kogia breviceps</i>	9	9	2	2	31	140
Dwarf sperm whale	<i>Kogia simus</i>	4	4	0	0	71	81
Longman's beaked whale	<i>Indopacetus pacificus</i>	0	0	0	0	2	3
Blainville’s beaked whale	<i>Mesoplodon densirostris</i>	3	4	0	0	17	17
Ginkgo-toothed beaked whale	<i>Mesoplodon ginkgodens</i>	0	0	0	0	11	14
Cuvier’s beaked whale	<i>Ziphius cavirostris</i>	1	1	0	0	12	12
Indo-Pacific finless porpoise	<i>Neophocaena phocaenoides</i>	30	30	274	275	129	136
Unidentified toothed whales	-	4	5	6	6	4	4
Unidentified baleen whales	-	10	10	4	4	76	82
Total		193	249	448	450	726	922

663

664

665 **FIGURE LEGENDS**

666 **Fig. 1.** Survey sites in Hainan (names inside indicate survey counties; names outside  
667 indicate interview localities).

668

669 **Fig. 2.** Boxplot of respondent boat length and fishing effort. The same letter indicates  
670 no significance between counties, while different letters indicate significant  
671 differences ( $P < 0.05$ ). No significant differences were observed in fishing effort  
672 between sites with Tukey HSD multiple contrasts. CJ: Changjiang, DF: Dongfang,  
673 HK: Haikou, LD: Ledong, LG: Lingao, LS: Lingshui, QH: Qionghai, SY: Sanya, WC:  
674 Wenchang, WN: Wanning.

675

676 **Fig. 3.** Proportion of respondents who reported sightings of different cetacean  
677 categories (BAW: baleen whales, BLW: black whales, NPH: Indo-Pacific finless  
678 porpoise, OTD: other dolphins, SCH: Indo-Pacific humpback dolphin). CJ:  
679 Changjiang, DF: Dongfang, HK: Haikou, LD: Ledong, LG: Lingao, LS: Lingshui,  
680 QH: Qionghai, SY: Sanya, WC: Wenchang, WN: Wanning. Asterisks indicate  
681 counties with significantly lower awareness/experience levels than Changjiang; stars  
682 indicate counties with significantly higher levels.

683

684 **Fig. 4.** Distribution maps of encounter rate (i.e. proportion of respondents who  
685 reported sightings of specific cetacean categories against the total number of  
686 respondents fishing in each grid cell) for four cetacean categories (a): Indo-Pacific

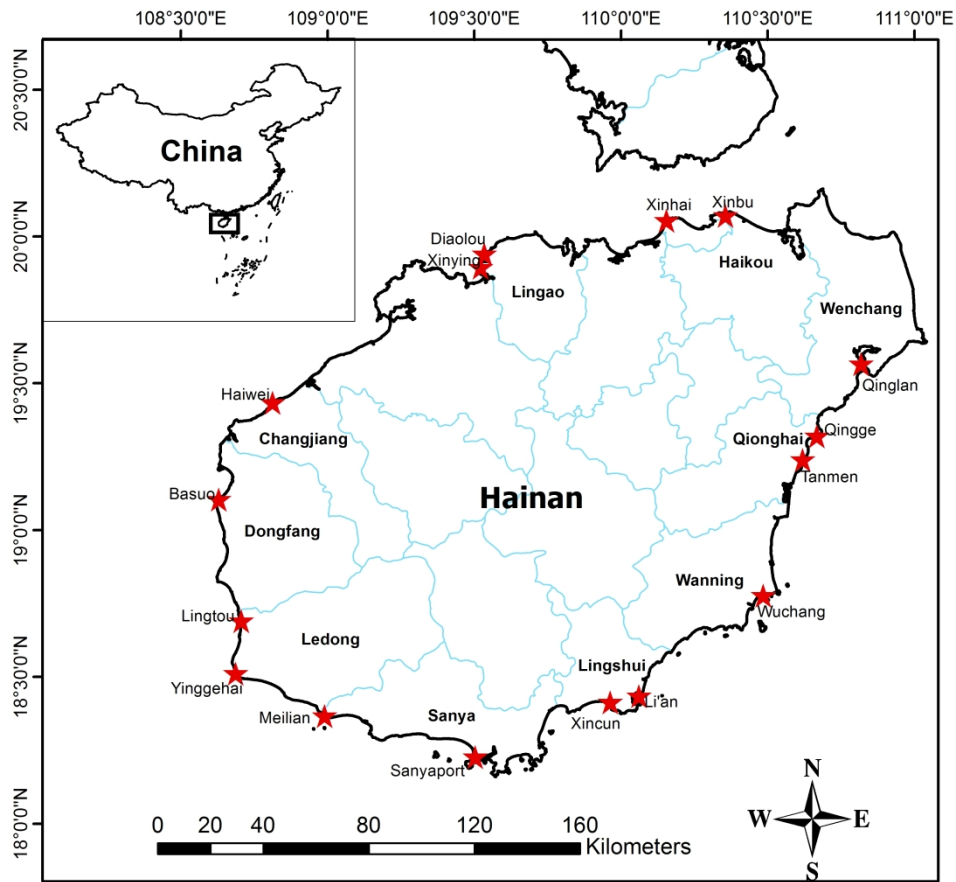
687 humpback dolphin, (b): Indo-Pacific finless porpoise, (c): baleen whales, (d): other  
688 dolphins.

689

690 **Fig. 5.** Frequency distributions for cetacean last-sighting records across 10 counties in  
691 Hainan for the period 1980–2013.

692

693 **Fig. 6.** Linear regression of respondent encounter rate of different cetacean categories  
694 against number of reported strandings for different geographic regions around the  
695 SCS (BAW, baleen whales; BEW, beaked whales; BLW, black whales; ERO, grey  
696 whale; NPH, Indo-Pacific finless porpoise; OTD, other dolphins; PMA, sperm whale;  
697 SCH, Indo-Pacific humpback dolphin).



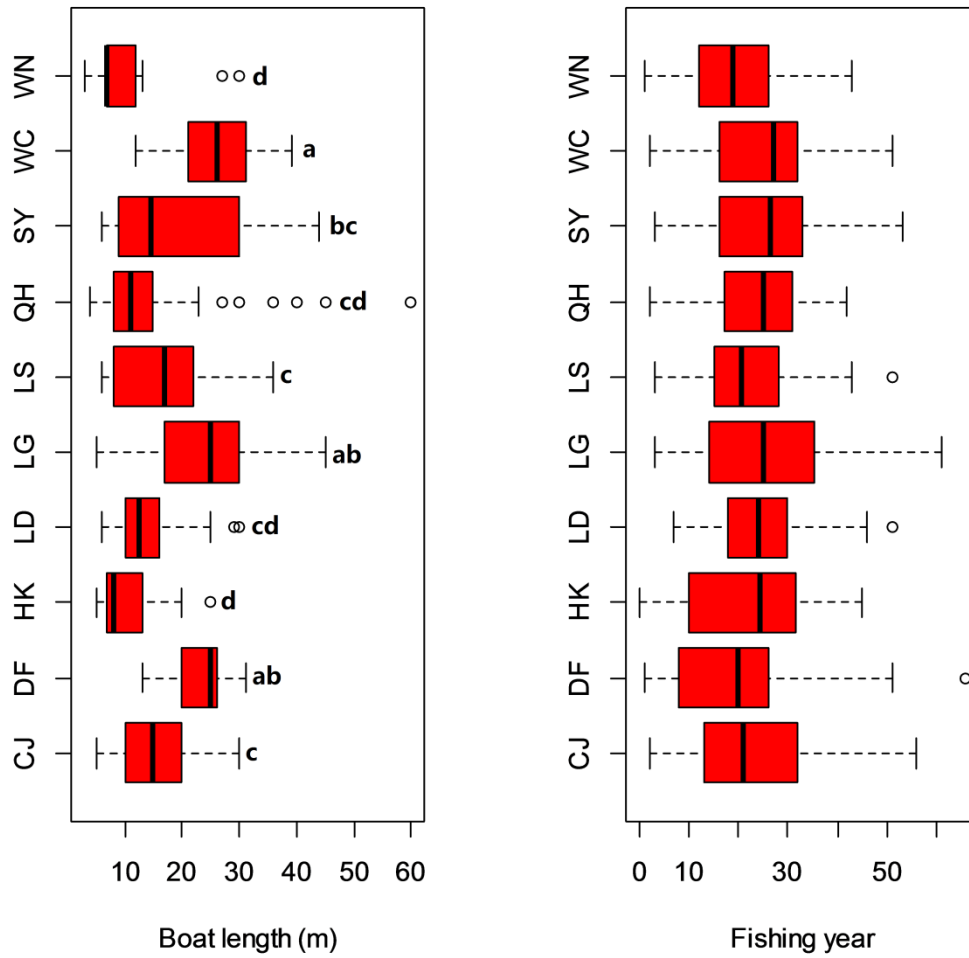
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700 **Fig. 1**

701

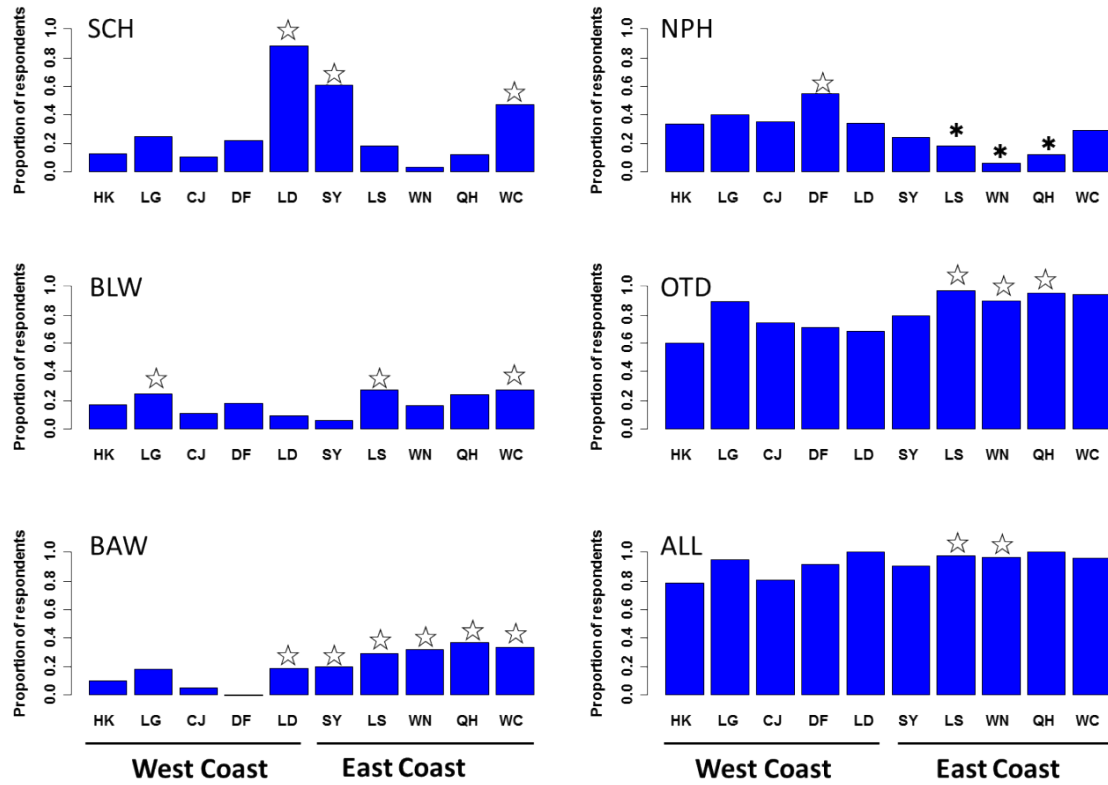
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705 **Fig. 2**

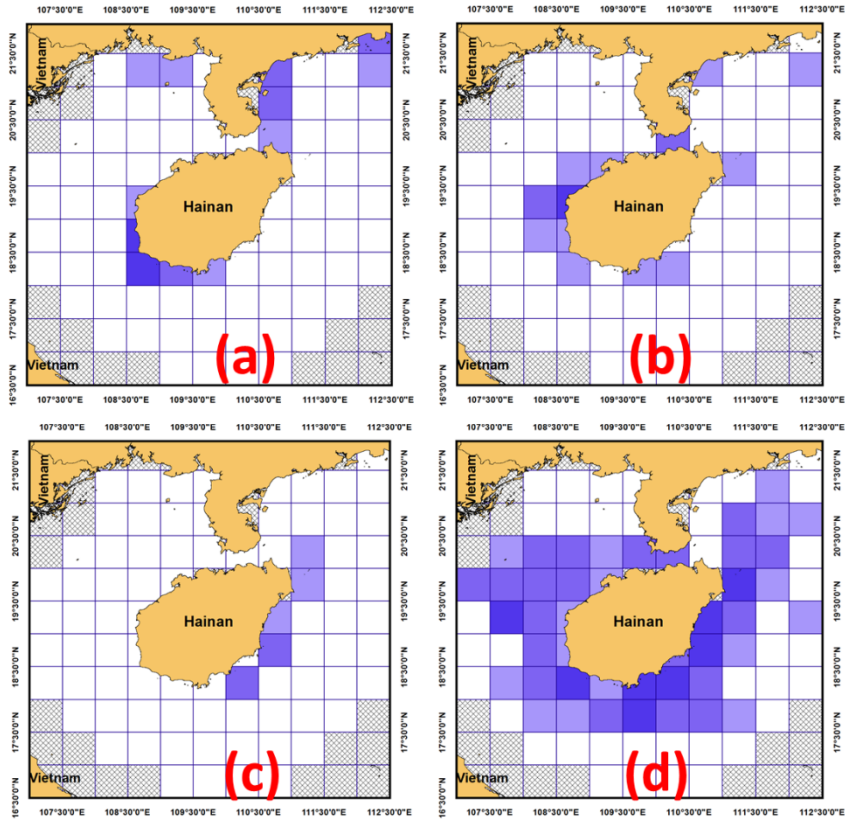
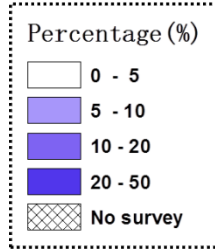


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708 **Fig. 3**

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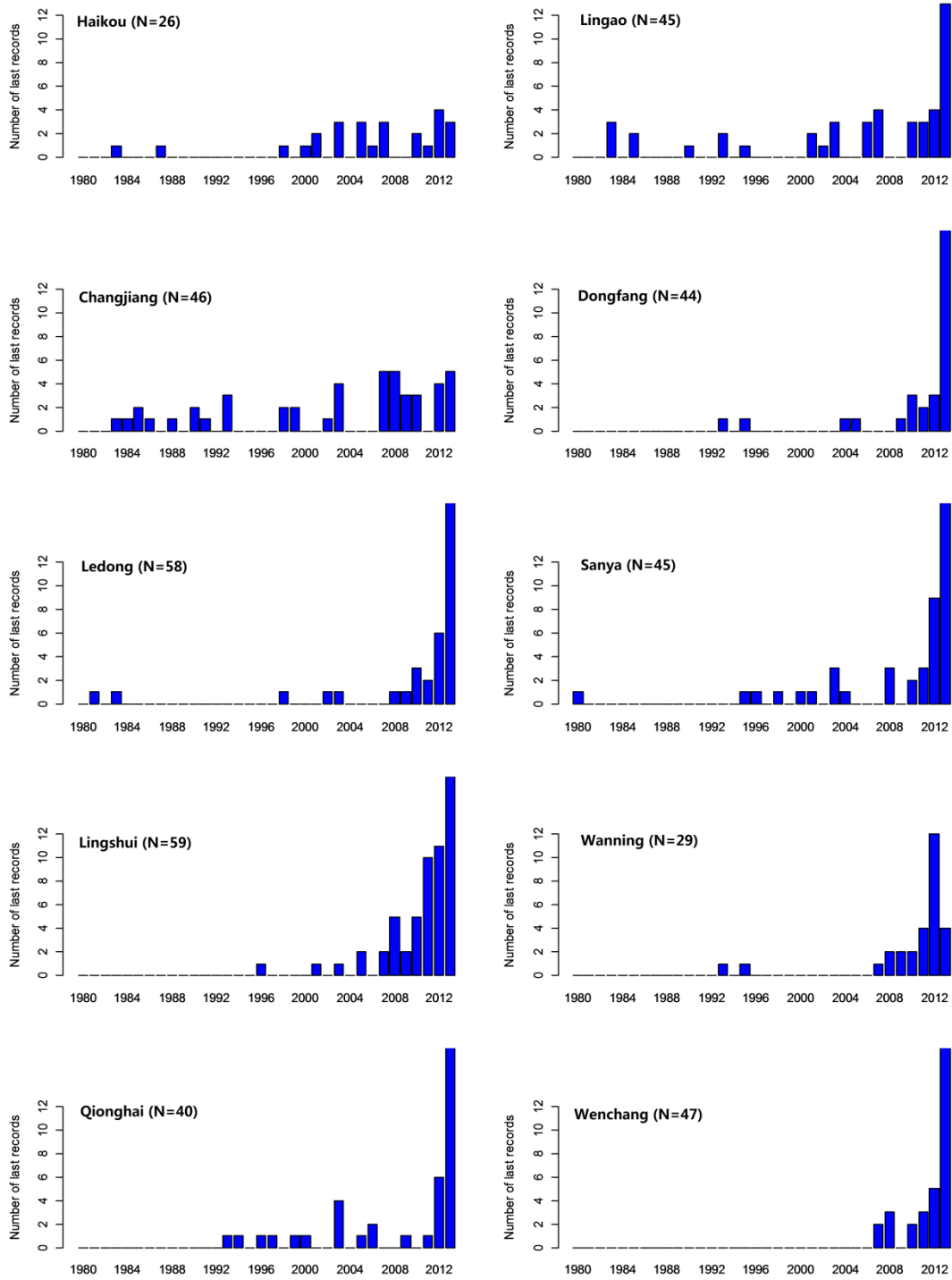




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711 **Fig. 4**

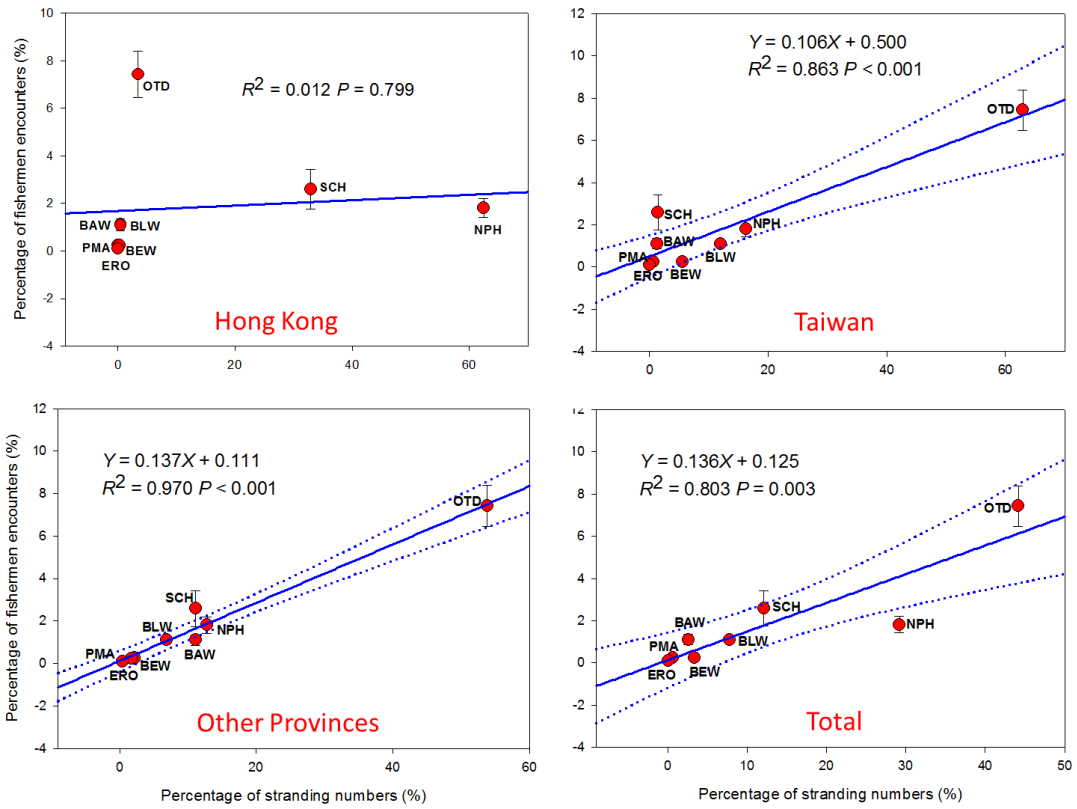
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714 **Fig. 5**

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717 **Fig. 6**

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## Appendices A. Fishermen survey questionnaire (English version)

DATE: \_\_\_\_\_ LOCATION: \_\_\_\_\_ INTERVIEWER: \_\_\_\_\_

We are undertaking an opinion survey related to fishers' local ecological knowledge on cetacean species. The outcome of the survey will be published in a peer-reviewed journal but will remain anonymous. Would you consent to participate in this study and answer questions related to this subject?

Yes  No

### A: FISHERY AND CETACEANS

1) How old are you? \_\_\_\_\_

Gender \_\_\_\_\_

Nationality \_\_\_\_\_

What is your education level?

- University/College       Senior mid school  
 Junior mid school       Elementary school  
 Illiterate       Other level (Please describe: \_\_\_\_\_)

2) You have engaged in fishing from \_\_\_\_\_ years old to \_\_\_\_\_ years old

3) Which months do you fish at sea each year? \_\_\_\_\_

4) How long is your vessel? \_\_\_\_\_

5) Which fishing gears have you used recently? \_\_\_\_\_

1. Drift gillnets       2. Fixed gillnets  
 3. Trawls       4. Purse nets  
 5. Light-trapping       6. Electric-fishing

7. Hooking                       8. Long-line
9. Stow nets                       10. Bomb-fishing
11. Other fishing gears or methods (Please describe: \_\_\_\_\_)

6) Please identify your target fish species (A-V) with the help of photographs of commercial fishes in the South China Sea; please list and describe if you mainly catch other species such as crustaceans or cephalopods.

- A. *Engraulis* spp. (Anchoveta)
- B. *Pampus* spp. (Butterfish)
- C. *Pagrosomus* spp. (Sea bream)
- D. *Pseudosciaena* spp. (Croaker)
- E. *Trichiurus* spp. (Ribbonfish)
- F. *Etrumeus* spp. (Herring)
- G. *Muraenesox* spp. (Conger)
- H. *Argyrosomus* spp. (White croaker)
- I. *Nibea* spp. (Yellow croaker)
- J. *Nemipterus* spp. (Nemipterus)
- K. *Decapterus* spp. (Scad)
- L. *Scombermorus* spp. (Horse mackerel)
- M. *Thunnus* spp. (Tuna)
- N. *Trachurus* spp. (Mackerel)
- O. *Cynoglossidae* spp. (Tonguefish)
- P. *Collichthys* spp. (Croaker)
- Q. *Branchiostegus* spp. (Tilefish)
- R. *Sardinella* spp. (Sardine)
- S. *Mugil* spp. (Mullet)
- T. *Navodon* spp. (Filefish)
- U. *Epinephelus* spp. (Grouper)
- V. *Pneumatophorus* spp. (Chub mackerel)

Other species (Please describe and list: \_\_\_\_\_)

7) How many days do you devote to fishing on average every year?

\_\_\_\_\_

8) Where do you typically engage in fishing?

\_\_\_\_\_ (Please write down the grid numbers)

9) How have fishery resources changed during your fishing career?

a) Fishing catch

Increase     Decrease     Unchanged

b) Number of fishing vessels

Increase     Decrease     Unchanged

10) Recent incidental catch of cetaceans:

a) Which fishing gears or methods? \_\_\_\_\_

b) Which species? \_\_\_\_\_

c) How many? \_\_\_\_\_

d) Where? \_\_\_\_\_ (Write down the grid numbers)

e) When? \_\_\_\_\_

11) If you have incidentally caught a cetacean, please describe its status:

Dead     Alive but injured     Alive and not injured

Other status (Please describe: \_\_\_\_\_)

12) Have you ever seen or heard that cetaceans were hit by propellers of fishing vessels?

Ever seen     Ever heard

Never seen     Never heard

If you have you ever seen or heard:

a) When did it happen? \_\_\_\_\_

b) Which species? \_\_\_\_\_

c) Where? \_\_\_\_\_ (Please write down the grid numbers)

13) Relationship between fishing gears and cetaceans:

a) Which fishing gear is able to catch cetaceans most easily? \_\_\_\_\_

- b) How many cetaceans do you know this gear has caught? \_\_\_\_\_  
c) Which other gears can also catch cetaceans? \_\_\_\_\_

**B: DISTRIBUTION AND QUANTITY OF DOLPHINS**

**(Adult length <4m, typically without column of spray)**

- 14) Please identify and list all dolphin species you have seen  
(Identify species with the help of the illustrated handbook, without any prompting or influence from colleagues present)

- a) Which dolphin species have you seen most frequently? \_\_\_\_\_  
b) Which dolphin species have you seen in the greatest numbers?  
\_\_\_\_\_

- 15) During which months is it easiest to see dolphins in your fishing area?  
During which months is it more difficult to see dolphins in your fishing area?

- 16) Where do you see dolphins most frequently?  
\_\_\_\_\_ (Please write down the grid numbers)

- 17) About the largest size of dolphin group you have ever seen.  
a) When? \_\_\_\_\_  
b) Where? \_\_\_\_\_ (Please write down the grid numbers)

- 18) How has the amount of dolphins changed during your fishing career?

Increase     Decrease     Unchanged     Do not know

- 19) About your recent sighting(s) of stranded dolphin(s):

- a) Which species? \_\_\_\_\_  
b) How many? \_\_\_\_\_  
c) When? \_\_\_\_\_  
d) Where? \_\_\_\_\_ (Please write down the grid numbers)

- 20) Have you ever seen Indo-Pacific humpback dolphins?

Yes     No

- a) When? \_\_\_\_\_  
b) Where? \_\_\_\_\_ (Please write down the grid numbers)  
c) How many? \_\_\_\_\_

- 21) Have you ever seen finless porpoises?

Yes  No

- a) When? \_\_\_\_\_  
b) Where? \_\_\_\_\_ (Please write down the grid numbers)  
c) How many? \_\_\_\_\_

**C: DISTRIBUTION AND QUANTITY OF WHALES**  
**(Adult length >4m, with recognizable spray column)**

22) Please identify and list all whale species you have seen  
(Identify species with the help of the illustrated handbook, without any prompting or influence from colleagues present)

23) Which whale species have you seen most frequently? \_\_\_\_\_

24) Describe recent sightings of whales at sea (not including stranded cetaceans):

- a) Which species? \_\_\_\_\_  
b) When? \_\_\_\_\_  
c) Where? \_\_\_\_\_ (Please write down the grid numbers)

25) About the largest group size of whales you have ever seen?

- \_\_\_\_\_
- a) Which species? \_\_\_\_\_  
b) How many? \_\_\_\_\_  
c) When? \_\_\_\_\_  
d) Where? \_\_\_\_\_ (Please write down the grid numbers)

26) Have you ever seen or heard of stranded whale(s):  Yes  No

- a) Which species? \_\_\_\_\_  
b) How many? \_\_\_\_\_  
c) When? \_\_\_\_\_  
d) Where? \_\_\_\_\_ (Please write down the grid numbers)

27) How has the number of whales changed during your fishing career?

Increase  Decrease  No change  Not sure

**D: PERCEPTIONS OF CETACEANS AND FISHERY**



28) Average family income per month:

- <2000 RMB       2000-4000 RMB       4000-6000 RMB  
 6000-8000 RMB       8000-10000 RMB       >10000 RMB

29) Do you think fishing is a good profession?  Yes  No

Do you hope that your children work as fishers?  Yes  No

30) How often do you talk about cetaceans with other fishers?

- Frequently       Sometimes       Occasionally       Never

31) What topics do you discuss when you talk about cetaceans?

- Sighting cetaceans at sea       Cetaceans hurt by vessels or nets  
 Too many fish eaten by cetaceans       Stranding events  
 Other topics (Describe: \_\_\_\_\_)

32) Have you ever eaten dolphin or whale meat?  Yes  No

When have you recently eaten it? \_\_\_\_\_

33) Have you ever sold cetaceans?  Yes  No

a)  Dead       Alive

b) How much? \_\_\_\_\_

c) When? \_\_\_\_\_

d) Purposes of the buyer: \_\_\_\_\_

34) Do you think cetaceans play an important role in the marine ecosystem?

- Yes       No       Not sure

35) Do you think cetaceans should be protected?

- Yes       No       Not sure

36) Will fishery resources increase cetaceans are removed?

Yes     No     Not sure

37) Why have marine fishery resources decreased in your opinion?

Increase of advanced fishing methods and gears

Increased number of marine fishers

Fishery resources consumed by cetaceans

Increasing water pollution

Other reasons (Please describe: \_\_\_\_\_)

38) Why have cetacean populations decreased?

Reduction of food resources due to overfishing

Increased collision risk from increasing vessel traffic

Increased water pollution

Other reasons (Please describe: \_\_\_\_\_)

39) How do you deal with stranded cetaceans?

Free                       Sell                       Eat

Inform local fisheries administration                       Ignore

Other choices (Please describe: \_\_\_\_\_)

40) How do you deal with entangled cetaceans?

Free alive                       Discard dead                       Sell

Inform local fisheries administration                       Eat

Other choices (Please describe: \_\_\_\_\_)

41) Do you think cetaceans are national protected animals in China?

Yes     No     Not sure

42) Do you think that hunting or selling cetaceans is illegal?

Yes     No     Not sure

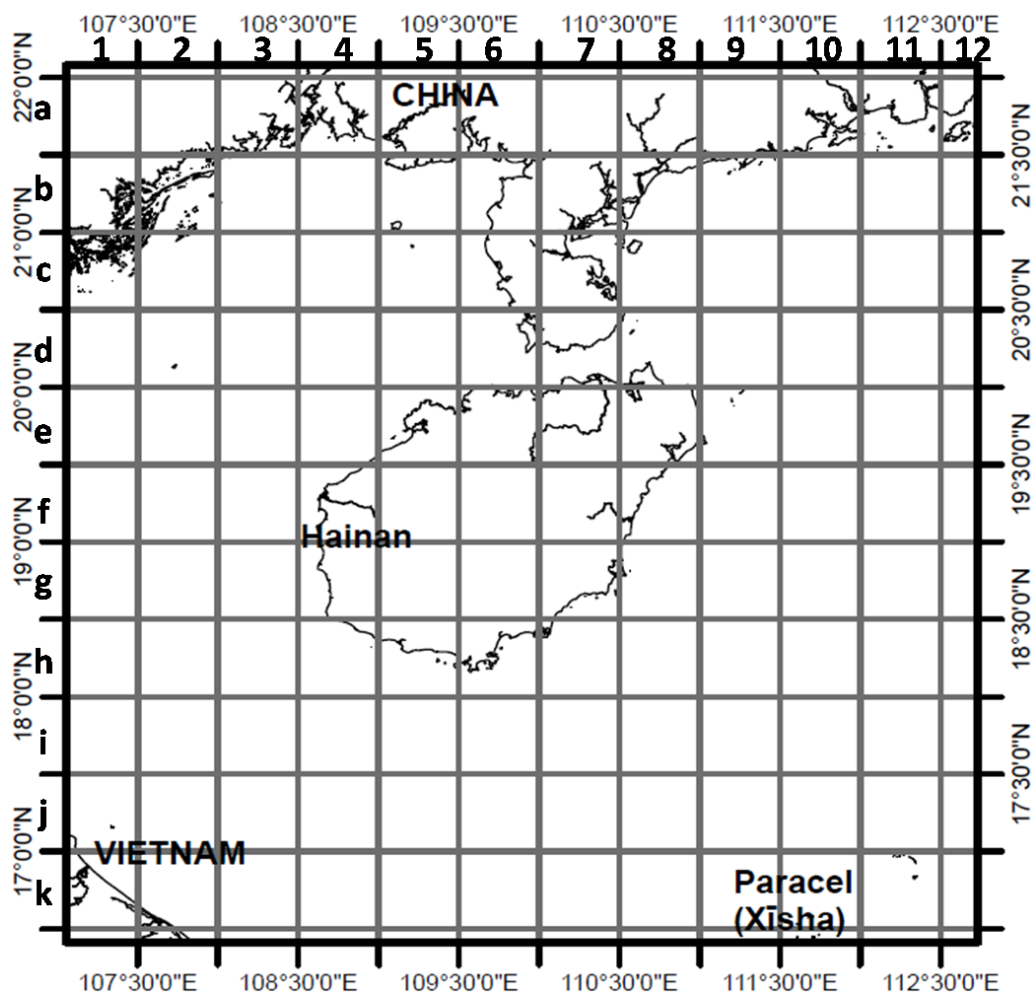


Figure S1 Grid map of Hainan and adjacent waters

- 1). Please write down grid numbers according to the format “Letter + Number” (e.g., b4).
- 2). Please record names or geographic locations on the map of other areas in the South China Sea that you have mentioned (e.g., Nansha, Xisha).

**SPACE FOR OTHER USEFUL OR ADDITIONAL INFORMATION:**

