

**Title: Developing a Core Outcome-domain Set to assessing Effectiveness of Interdisciplinary Multimodal Pain Therapy - The VAPAIN consensus statement on core outcome-domains**

Running head: Multimodal Pain Therapy for chronic pain

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21 **Abstract**

22 Interdisciplinary multimodal pain therapy (IMPT) is a biopsychosocial treatment approach for  
23 patients with chronic pain that comprises at least psychological and physiotherapeutic interventions.  
24 Core Outcome Sets (COSs) are currently developed in different medical fields to standardize and  
25 improve the selection of outcome-domains, and measurement instruments in clinical trials, to make  
26 trial results meaningful, to pool trial results, and to allow indirect comparison between interventions.  
27 The objective of this study was to develop a COS of patient-relevant outcome-domains for chronic  
28 pain in IMPT clinical trials.

29 An international, multi-professional panel (patient representatives (n=5), physicians specialized in  
30 pain medicine (n=5), physiotherapists (n=5), clinical psychologists (n=5), and methodological  
31 researchers (n=5)) was recruited for a 3-stage consensus study, which consisted of a mixed-method  
32 approach comprising an exploratory systematic review, a preparing online survey to identify  
33 important outcome-domains, a face-to-face consensus meeting to agree on COS domains, and a  
34 second online survey (Delphi) establishing agreement on definitions for the domains included.

35 The panel agreed on the following eight domains to be included into the COS for IMPT: *pain intensity,*  
36 *pain frequency, physical activity, emotional wellbeing, satisfaction with social roles and activities,*  
37 *productivity (paid and unpaid, at home and at work, inclusive presentism and absenteeism), health-*  
38 *related quality of life, and patient's perception of treatment goal achievement.*

39 The complexity of chronic pain in a biopsychosocial context is reflected in the current  
40 recommendation, and includes physical, mental and social outcomes. In a subsequent step  
41 measurement instruments will be identified via systematic reviews.

42

## 43 Introduction

44 Interdisciplinary multimodal pain therapy (IMPT) is a biopsychosocial approach for patients with  
45 chronic pain<sup>12,13,14,24,26,27,30</sup>, meanwhile recognized internationally<sup>15,32</sup>. IMPT consists, minimally, of  
46 psychological and physiotherapeutic interventions, aiming to increase patients' physical and  
47 psychological function and activity towards defined goals and improving adjustment to pain. In some  
48 countries additionally active participation of physicians is mandatory<sup>21</sup>. Existing diversity of  
49 outcomes<sup>8</sup>, and methodologies for outcome assessment<sup>22</sup>, hampers comparison of clinical trials  
50 (including outcome-reporting bias<sup>42</sup>), and knowledge translation<sup>8</sup>. The development of a core  
51 outcome set (COS) would address this situation<sup>8,42</sup>. A COS is defined as a minimum set of relevant  
52 outcome-domains, and reliable measurement instruments within those domains, that are required  
53 to be measured and reported in all clinical trials<sup>28</sup>.

54 Developing COSs involves a multi-method approach consisting of systematic reviews and consensus  
55 processes<sup>2,34</sup>. The application of COSs should not be restricted to clinical trials only. Its application in  
56 routine care supports generation of evidence from observational studies and clinical registries as  
57 well<sup>6</sup>. It seems reasonable to create a COS which can be used across all study designs both in  
58 efficacy/effectiveness studies, and routine daily record keeping. A COS for daily record keeping refers  
59 to standardized documentation, with a minimal amount of outcome-domains, monitoring the  
60 patient's status throughout routine care and can be simultaneously used for quality management  
61 purposes.

62 The involvement of key stakeholders is highly recommended by the HOME roadmap (*Harmonizing  
63 outcome Measurement in Eczema*) for COS development<sup>34</sup>, with methodological approaches such as  
64 the use of expert panels, and considered essential for acceptance of a COS by future users<sup>25,31</sup>. For  
65 IMPT, relevant stakeholders consist of at least all key health professions involved, patient  
66 representatives, physicians specialized in pain medicine, physiotherapists, clinical psychologists, and  
67 methodological researchers.

68 The discussion about COS in the therapy of chronic pain has been established by IMMPACT<sup>17</sup>, and  
69 developed by other initiatives recently<sup>20</sup>. Nevertheless heterogeneity of outcome assessment is still  
70 present<sup>8</sup>. Applying COS in IMPT requires a multidimensional approach, consisting of biological,  
71 psychological, and social aspects thus mirroring therapy aims. IMMPACT<sup>37,38</sup>, as the most acquainted  
72 initiative, defined COS for all forms of chronic pain therapies, but focused mainly on medication in  
73 clinical trials<sup>36</sup> and not on comprehensive therapy approaches such as IMPT.

74 Inclusion of patients in COS development is recommended to provide a patient perspective on  
75 relevant outcome-domains<sup>2</sup>. Patient preferred domains<sup>38</sup> do not consistently match with previous  
76 COS recommendations<sup>37</sup>, and this gap remains to be filled.

77 Therefore, two main reasons exist to develop a COS in IMPT<sup>19</sup>:

78 1. IMPT patients have protracted, and ongoing, pain. Pain interferes with most life domains, thus  
79 changes in biopsychosocial aspects need to be considered when assessing therapies.

80 2. The primary aim of IMPT is not reduction of pain in the first instance, but focusing on general  
81 improvements in physical, psychological, and social aspects according to patients' experience.

82 "*Validation and Application of a patient-relevant core set of outcome-domains to assess multimodal  
83 PAIN therapy*" (VAPAIN)<sup>19</sup> targets on developing a consensus-based COS of patient-relevant  
84 outcome-domains for chronic pain in IMPT for efficacy/effectiveness studies (ES) and daily record  
85 keeping (DRK).

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## Methods

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### 88 Protocol, registration and ethical approval

89 Reporting of this study follows the Core Outcome Set-Standards for Reporting (COS-STAR)<sup>23</sup>  
90 guidelines. The study protocol was published *a priori*<sup>19</sup>, along with the registration of VAPAIN in the  
91 *Core Outcome Measures in Effectiveness Trials* (COMET) database<sup>7</sup>. Ethical approval was obtained  
92 from the Ethical Committee of the Medical Faculty of the Technical University Dresden (EK  
93 105032015).

### 94 Organization of the VAPAIN consensus process

95 Three groups with different functions were involved into project conduction: the VAPAIN steering  
96 committee (UK, SD, CK, KN, LJ, RS), the VAPAIN advisory board and the VAPAIN panel. The steering  
97 committee was responsible for conducting all systematic literature reviews, the planning, conducting  
98 and preparing of all results to be provided to the VAPAIN panel for the consensus process on  
99 domains and definitions. The steering committee was not involved into content related activities.  
100 The VAPAIN advisory board consisted of clinicians, methodological researchers, and patient  
101 representatives and was responsible to observe and advice the project realization to ensure high  
102 quality. It was independent in person and action from all the other VAPAIN groups. The VAPAIN  
103 panel was invited to discuss and vote, to align on outcome domains for IMPT.

### 104 Eligibility criteria: Selection of the VAPAIN panel

105 Eligibility of VAPAIN panel members was defined as being representative of a relevant stakeholder  
106 group of IMPT in clinical research and/or daily practice: patients, physicians specialized in pain  
107 medicine, physiotherapists, clinical psychologists, and methodological researchers. VAPAIN panel  
108 members were experienced in their fields (clinicians were supposed to be at least acquainted with  
109 IMPT and its therapeutic components), were nominated by international organizations, and scientific  
110 associations related to pain and/or chronic pain to control for selection bias, and were able to take  
111 part in the full process.

### 112 Project schedule

113 We conducted a 3-stage consensus study, using a mixed-methods approach (*fig. 1*) consisting of an  
114 initial systematic review<sup>8</sup>, preparing online survey to identify outcome-domains (initial outcome-  
115 domains; *step 1, 1.1-1.2*; April-June 2014), a 2-day face-to-face consensus meeting to discuss and  
116 agree on COS domains (November 2014, *step 1, 1.3*), and a further online survey (Delphi) to establish  
117 agreement of domain definitions included in the COS (March-October 2015, *step 2, 2.1-2.3*). All  
118 stages were supervised by a multi-professional advisory board (including patient representatives) for  
119 quality assurance throughout.

### 120 Information sources

121 **-Systematic review:** First (see *fig. 1*), a systematic review was conducted to prepare a list of the most  
122 reported outcome-domains for chronic pain in the context of IMPT<sup>8</sup>. More than 140 different  
123 outcome-domains with different abstraction levels and conceptual quality were identified. It was  
124 infeasible to provide all of these to the VAPAIN panel for consideration; therefore only outcomes  
125 found in at least 10% of included studies were selected. Thus, a total of 19 outcome-domains were  
126 included (*table 1*). The Patient-Reported Outcomes Measurement Information System (*PROMIS*)<sup>3,16</sup>  
127 was assumed the theoretical framework to classify identified outcome-domains, capturing the  
128 biopsychosocial model of chronic pain and IMPT. Domains were organized into physical,  
129 psychological, and social health areas as aspects of health condition that need to be measured to  
130 appropriately assess the effects of a health intervention and comprises domains as components of  
131 core areas to be a specification of an aspect of health<sup>2</sup>.



132 - **online survey domains:** To prevent selection bias, the VAPAIN panel was asked during *round 1.1*  
133 (*fig. 1*) to add relevant outcome-domains not found among the 19 domains pre-selected. The pre-  
134 selected domains were provided with definitions according to literature. The outcome-domains  
135 indicated by the VAPAIN panel were forwarded into the domain selection without restriction. No  
136 other a priori criteria were applied at this point.

137 - **face-to-face meeting:** Prior to the meeting, all VAPAIN panel members received a booklet with  
138 pertinent information regarding the development of COS, VAPAIN, and additional publications for  
139 information about domains. Presentations provided information about IMPT, COS initiatives, HOME  
140 roadmap of COS development, the VAPAIN study, and the results from the online survey.

141 To avoid intimidation of the patient representatives, several strategies were used to promote their  
142 participation. Prior to the meeting patients had been invited to a briefing, at which the organizers  
143 explained the rationale of the meeting, definitions, and their role as participants. The small group  
144 discussions were held in peer groups so that patients had the opportunity to identify themselves as a  
145 group, and discussion in the large group started with the results of the patient peer group. During the  
146 large group discussion the facilitator started with patients' opinions, and supported different  
147 perspectives when they were presented by patient representatives. If the VAPAIN panel was not able  
148 to agree to a construct, patients' perspectives determined the final panel decision.

149 More details regarding the meeting can be found at VAPAIN Website<sup>38</sup>.

150 - **online survey domain definitions:** For all of the consented domains officially published and  
151 consented definitions if available were presented to the VAPAIN panel. Supplementary notes from  
152 the meeting were provided for a consistent awareness of the previous discussion.

153 >>Fig. 1

154 >>Table 1

### 155 Consensus process

#### 156 -Online Survey "domains for ES and DRK" (step 1, round 1.1-1.2)-

157 The aim of the first online survey (*round 1.1-1.2*) was to prepare preliminary multi-professional and  
158 international agreement on a specific COS domains for efficacy/effectiveness studies, and daily  
159 record keeping in IMPT.

160 Participants' characteristics of the VAPAIN panel were obtained.

161 The initial systematic-review-derived outcome-domains were provided to the VAPAIN panel during  
162 *round 1 of online survey (1.1)* via *Delphi manager* software (supported by the COMET initiative,  
163 <http://www.comet-initiative.org/delphimanager/>). VAPAIN panel members were asked to rate the  
164 importance of proposed domains regarding a) efficacy/effectiveness studies and b) daily record  
165 keeping. Furthermore, all members specified minimum and maximum number of outcome-domains  
166 to be included into the COS for both trial and daily use.

167 During *round 2 (1.2)*, VAPAIN panel members received feedback on their own ratings as well as those  
168 from the entire VAPAIN panel in a separate document attached to the invitation email. In different  
169 colors their personal and the vote of the group were highlighted for each question. New outcome-  
170 domains added by VAPAIN panel members in *round 1* were included into *round 2* for rating by all  
171 VAPAIN panel members. Participants were informed about the minimum and maximum number of  
172 domains (median of positive voting) to be included in the COS, according to results from *round 1*.  
173 VAPAIN panel members then indicated outcome-domains to be included in each COS according to  
174 the minimum/maximum number stated.

175 **Outcome scoring:** The importance of outcome-domains was rated on a scale from 1 to 9, with 1-3  
1 176 "not important", 4-6 "important but not critical", and 7-9 "critical"<sup>18</sup>. VAPAIN panel members were  
2 177 allowed to choose "unable to score". The median was used to summarize results for each domain.  
3

4 178 **-Presence meeting "domains for ES and DRK" (step 2, 1.3)-**  
5

6 179 The aim of the meeting was to achieve final consensus of outcome-domains to be included in the  
7 180 COS. A 2-day consensus meeting was held in Dresden, Germany from November 27 to 28, 2014. Two  
8 181 facilitators (EN and UK) guided discussions following group discussion methods<sup>18,43</sup>.

10 182 The meeting focused primarily on the COS domains for efficacy/effectiveness studies. Participants  
11 183 were separated into stakeholder groups, each supported by one methodological expert. The groups  
12 184 were advised to rate candidate domains as "included", "unclear", or "excluded" if considered not  
13 185 important for the COS. Results were presented to the complete panel. Starting patient-centered with  
14 186 the results from the patients' group, all domains labeled "to be included" were discussed by the  
15 187 entire group, followed by the "unclear" domains.

18 188 Panel members were advised to discuss the reasons for inclusion or exclusion of initial domains. They  
19 189 were encouraged to either drop or combine domains or get more abstract levels to feel as secure as  
20 190 possible about comprehensiveness of the domain for patients with chronic pain. The final consensus  
21 191 of the panel, by majority, was required in order to include the specific domain into the COS.

24 192 **Voting** was anonymous and analyzed simultaneously by VAPAIN steering committee members to  
25 193 enable immediate feedback for the discussion. The VAPAIN panel decided to vote anonymously and  
26 194 weighting was therefore not considered necessary by the entire group and steering committee.

28 195 **Definition of consensus:** The panel decided to use  $\geq 70\%$  of VAPAIN panel members voting yes for a  
29 196 domain, to include a domain into the COS<sup>2</sup>. In cases where the 70% criterion was not achieved,  
30 197 domains were discussed again and another vote was taken.

33 198 After the COS domains for efficacy/effectiveness studies were agreed, potential outcome-domains  
34 199 for the COS daily record keeping were discussed.

36 200 **-Online Survey "definition of domains" (step 2, round 2.1-2.3)-**  
37

38 201 The aim of this online survey was to achieve final consensus on definition of recommended outcome-  
39 202 domains, which was felt to be essential for subsequent systematic reviews to identify the most  
40 203 relevant measurement instruments<sup>19</sup>. The iterative web-based survey consisted of three Delphi  
41 204 rounds, and an intermediate round was conducted via SurveyMonkey<sup>35</sup> inviting the same VAPAIN  
42 205 panel members. They were asked to comment on, and rate, the proposed definitions in three rounds  
43 206 (2.1-2.3). For *emotional wellbeing* (conceptual divergences within panel members) and *patient's*  
44 207 *perception of treatment goal achievement* (divergences of wording) an additional round was needed  
45 208 (fig. 1 step 2, 2.2-1).

48 209 **Outcome scoring:** Panel members were asked to rate according to 4 possible categories: "I agree",  
49 210 "The proposed definition needs modification", "I disagree", or "unable to score". For each domain  
50 211 frequencies were estimated for all specific categories.

53 212 **Definition of consensus:** The same rule as described above (step 2, face-to-face meeting).

55 213 **Debating and voting**  
56

57 214 Discussion was led by two facilitators (EN, UK), aiming that everyone's comment and opinion was  
58 215 heard and sufficiently acknowledged by the entire VAPAIN panel. The facilitators encouraged  
59 216 maximum integration of outcomes of group work (applying nominal group technique), with visual  
60 217 records displayed to aid the process. Starting with the outcome of the patient representatives'



218 discussion, each domain was discussed in detail by the entire panel, proceeding to a vote once  
1 219 participants felt that all issues related to the particular domain had been comprehensively discussed,  
2 220 and facilitators assured that all panel members felt heard and their views acknowledged. In the case  
3 221 of an ambiguous decision, patient representatives were asked for their views.  
4

## 222 Results

### 223 Protocol deviations

224 During the online survey *step 1* it became obvious that the different professions with different  
10 225 backgrounds needed more space for detailed deliberation to enable a final agreement of COS  
11 226 domains by the entire VAPAIN panel. Therefore the last round of *step 1* online survey was skipped  
12 227 and the VAPAIN panel members were invited to the face-to-face-meeting originally planned to  
13 228 decide about measurement instruments. After completing agreement on outcome-domains a Delphi  
14 229 approach was conducted for defining the recommended domains (*fig.1 step 2, 2.1-2.3*) in a  
15 230 supplemental, originally not planned step.  
16 231

### 231 VAPAIN panel members

232 A total of 25 individuals were recruited (5 of each patient representatives, physicians,  
21 233 physiotherapists, clinical psychologists, and methodological researchers) and addressed as  
22 234 representatives of their organizations (*table 2*). Characteristics are presented in *table 3*. With  
23 235 reference to experience of scientific processes among patient representatives, four out of five were  
24 236 either long-term leading or active members in self-help organizations, had organized meetings, or  
25 237 had collaborated in scientific committees before.  
26 238

239 >> *Table 2*

240 >> *Table 3*

### 241 Outcomes

#### 242 Online Survey "domains for ES and DRK" (step 1)

243 Response rates for *round 1* and *round 2* were 100% (n=25) and 88% (n=22), respectively. VAPAIN  
41 244 panel members decided that the COS domains for efficacy/effectiveness studies should contain a  
42 245 minimum of 4 (in order to be comprehensive enough), and a maximum of 9 domains (due to concern  
43 246 about respondent burden). For daily record keeping a minimum of 3 and a maximum of 6 domains  
44 247 were considered appropriate. In total, 38 outcome-domains were provided and grouped into the 6  
45 248 core areas of *general quality of life, health related quality of life, sickness impact, mental health,*  
46 249 *social health and, physical health.*  
47 250

251 A wide range of ratings was observed during both rounds for most of the domains, with a median of  
50 252  $\geq 7$  (see *table 1*) for efficacy/effectiveness studies as well as daily record keeping, indicating very  
51 253 different perspectives among the VAPAIN panel members on what should be measured.  
52 254

#### 255 Presence meeting „domains for ES and DRK“(step 2)

#### 256 COS for efficacy/effectiveness studies (ES) in IMPT

#### 257 - Results of the small group voting

258 In summary, patient representatives rated 14 outcome-domains as relevant for a COS for  
1 259 efficacy/effectiveness studies in IMPT. There were large differences between stakeholder groups,  
2 260 most notable between patient representatives and clinical psychologists; 50% (n=7) of the domains  
3 261 voted by the patients as "critical", were voted for exclusion by the clinical psychologists. This  
4 262 observation mainly referred to psychological issues, which patient representatives felt to be of high  
5 263 importance, whereby clinical psychologists defined most of those domains to be rather process  
6 264 variables of IMPT than outcomes. For *pain intensity* and *pain frequency* there was substantial  
7 265 disagreement due to some VAPAIN panel members arguing that both outcome-domains should not  
8 266 be part of the COS in chronic pain since there is not much change during therapy. The opposite  
9 267 opinion was to include both domains because the treatment approach still targets on pain. The sole  
10 268 agreement throughout all groups was observed for *health-related quality of life*.  
11 269

#### 14 270 - Results from the plenary voting

16 271 The following eight domains were voted for inclusion by at least 70% of all meeting VAPAIN panel  
17 272 members to be included for efficacy/effectiveness studies: 1) *pain intensity*, 2) *pain frequency*, 3)  
18 273 *physical activity*, 4) *emotional wellbeing*, 5) *satisfaction with social roles and activities*, 6) *productivity*  
19 274 *(paid and unpaid, at home and at work, including presentism and absenteeism)*, 7) *health-related*  
20 275 *quality of life*, 8) and *patient's perception of treatment goal achievement* (see table 4). Only *pain*  
21 276 *intensity*, *pain frequency*, and *health related quality of life* were adopted from the previous online  
22 277 survey, the other domains emerged from debating preselected and provided domains. A short  
23 278 summary of minutes from the face-to-face meeting is available via online supplement.

26 279 By reference to OMERACT recommendations<sup>2</sup>, the VAPAIN panel recommended *withdrawal from*  
27 280 *therapy/side effects* as critical domains.

29 281 >>Table 4

#### 32 282 COS for daily record keeping (DRK) in IMPT

34 283 The VAPAIN panel discussed the importance of daily record keeping COSs, referring particularly to  
35 284 the purposes of a COS for daily records, and different national requirements. No agreement was  
36 285 achieved, and the VAPAIN panel decided to primarily focus on the COS in efficacy/effectiveness  
37 286 studies, adjourning the debate about a COS for daily record keeping.

#### 40 287 Online Surveys "definitions of domains" (step 2, 2.1-2.3)

42 288 During online survey round 2.1-2.3 (fig. 1, table 5), VAPAIN panel members were provided with  
43 289 possible definitions (step 2, presence meeting). For *emotional wellbeing*, VAPAIN panel members  
44 290 received three possible definitions<sup>9,10,40</sup>; the wording of *patient's perception of treatment goal*  
45 291 *achievement* was discussed at length. Response rate of the four rounds (three to discuss, and vote,  
46 292 for domain definitions and an additional round to clarify the definitions of *emotional wellbeing* and  
47 293 *patient's perception of treatment goal achievement*) ranged from 100% (2.1, 2.3) to 80% (2.2, 2.2-1).  
48 294 Final results are presented in table 5. For all outcome-domain definitions but one (*pain frequency*) a  
49 295 consensus was achieved.

52 296 >> Table 5

#### 55 297 Discussion

56 298 Following an iterative process of evidence synthesis and international multi-stakeholder discussion  
57 299 among patient representatives, physicians specialized in pain medicine, physiotherapists, clinical  
58 300 psychologists, and methodological researchers, eight core outcome-domains were consented to be  
59 301 used in every efficacy/effectiveness study in interdisciplinary multimodal pain therapy.

302 All outcome-domains are provided with a definition agreed by the VAPAIN panel, except *pain*  
1 303 *frequency*. During the face-to-face-discussion, several earlier mooted outcome-domains were  
2 304 subsumed or changed into other outcome-domains. The VAPAIN recommendation comprises three  
3 305 frequently reported (*pain intensity, pain frequency and health related quality of life*)<sup>8</sup>, and five  
4 306 outcomes less commonly used. The balance of physical outcomes (*pain intensity, pain frequency, and*  
5 307 *physical activity*), psychological outcomes (*emotional wellbeing*), social outcomes (*satisfaction with*  
6 308 *social roles and social activities, productivity*) and overarching outcomes (*health related quality of*  
7 309 *life, patient's perception of treatment goal achievement*) satisfies the requirement for  
8 310 biopsychosocial applicability. There was discussion of the possible need in addition for outcome-  
9 311 domains specific to conditions such as low back pain, headache or neuropathic pain.

12 312 A core set for daily record keeping was not achieved due to dis-agreement amongst VAPAIN panel  
13 313 members on the purpose, and aim, in IMPT, further complicated by different national requirements,  
14 314 and resources. Nevertheless the VAPAIN panel did not reject the importance of a COS for daily record  
15 315 keeping.

18 316 Since the perspective of IMPT is multidimensional, the interests and perspectives at the beginning of  
19 317 the process were predictably heterogeneous. Legitimate differences of opinion were due to the  
20 318 multidisciplinary, personal and national backgrounds, and only by open face-to-face-discussion was it  
21 319 possible to achieve consensus on outcome-domains, as well as on umbrella terms and handling of  
22 320 subgroup specific views.

25 321 The use of consistent outcome-domains in trials would be an important contribution to assist in  
26 322 understanding the common problem of differing results between efficacy and effectiveness studies.  
27 323 Therefore, the uniform inclusion of the VAPAIN COS (including *withdrawal from therapy/side effects*)  
28 324 is recommended for both effectiveness and efficacy studies.

30 325 Efficacy/effectiveness cannot be assumed but needs to be proven by relevant (also including patient-  
31 326 relevant) criteria normally defined a priori and derived from the needs of a specific patient  
32 327 population. Treatment approaches can thereby be estimated to be beneficial or not, and compared  
33 328 to other interventions in order to estimate superiority for a specific health condition. Therefore it is  
34 329 necessary to start from therapy aims (as operationalized in outcome parameter and based on  
35 330 patients' needs) to identify effectiveness of interventions. Following this idea, outcome domains  
36 331 need to refer to what is important to patients undergoing the intervention, and need to be  
37 332 multidimensional in the case of chronic pain. The relevance of therapeutic aims in the development  
38 333 of COS has not been adequately discussed to date and this could lead to insufficient coverage of a  
39 334 COS for a specific target population. This might be an important issue particularly in future  
40 335 applications of COS.

44 336 VAPAIN has started with therapeutic aims of IMPT, providing the basis for further investigation of  
45 337 which kind of interventions or combination of interventions (including dose, and content) best serve  
46 338 patients with chronic pain. This might lead to future adaptations of the composition of interventions  
47 339 of IMPT, enlighten the superiority of one intervention above others (e.g. specific physiotherapeutic  
48 340 or psychological interventions) by meta-analyses or even result in adaptations of treatment models.  
49 341 The provision of specific designed multicomponent treatments for specific subgroups of patients  
50 342 with chronic pain in public health delivery, including restricted applications of IMPT for patient with  
51 343 lower levels of chronicity, would be a potential and resource-saving consequence.

54 344 For the consideration of representativeness and generalizability of the findings, several aspects need  
55 345 to be addressed. The sample size in this project refers to the amount of specialists of IMPT who were  
56 346 willing to participate as well as to financial budget. Even though the eligibility criteria demanded  
57 347 clinicians experienced in the field of IMPT, it was not possible to ensure such an expertise  
58 348 internationally, so it was accepted when experienced clinicians were acquainted to IMPT, and  
59 349 experienced in the field of comprehensive pain therapy, even if their work environment did not

350 match the applied definition of IMPT completely. Nomination by scientific organizations was  
1 351 addressed to ensure a broad variety of international members, but many of the nominated  
2 352 individuals came from Germany where IMPT is frequently applied. Another limitation is the design of  
3 353 the first step; whereby systematic review identified outcome-domains were pre-structured before  
4 354 providing to VAPAIN panel voting. This was necessary because of the excessive number of identified  
5 355 outcome-domains in effectiveness studies investigating IMPT. The opportunity to add additional  
6 356 outcome-domains was considered valid and important.  
7 357

8  
9 357 Outcomes need to be important to patients, clinicians, and other key decision makers<sup>25,31</sup>. Patient  
10 358 perspective has often been insufficiently considered when developing COSs, or introduced only at a  
11 359 late stage. In VAPAIN, patient representatives were involved from the outset. Their opinions were  
12 360 invited first. The inclusion of patients legitimized the process and influenced the discussion at every  
13 361 point, such as the meaning of psychological factors and their heterogeneous distribution, or the  
14 362 difference between *physical function* and *activity*. Even though the participating patient  
15 363 representatives have been identified by public self-help organizations, the question remains if their  
16 364 opinion reflects the experience of the majority of patients with chronic pain. A potential selection  
17 365 bias could arise from the social background, financial resources and educational level of patients  
18 366 willing to participate in scientific processes. According to previous investigations on patients with  
19 367 chronic pain in a German sample the VAPAIN domains have essentially been validated<sup>27</sup>. Another  
20 368 survey in patients with chronic pain reveals considerable overlaps with the VAPAIN recommendation  
21 369 (*emotional wellbeing, physical activities, several social areas*), especially emphasizing the social  
22 370 component (8 out of 19 domains)<sup>38</sup>.

23 371 Compared to other recommendations (e.g. IMMPACT<sup>37,38</sup>, on low back pain<sup>5</sup>) VAPAIN has produced  
24 372 some different results. VAPAIN has primarily focused on a specific, per se, heterogeneous sample  
25 373 (chronic pain) for a specific treatment approach (IMPT) in efficacy/effectiveness studies, considering  
26 374 chronic pain as a bio-psycho-social phenomenon, referring to PROMIS framework<sup>3,16</sup> as a relevant  
27 375 framework capturing bio-psycho-social perspectives on health care. The different scopes of other  
28 376 outcome initiatives particularly regarded to drug trials<sup>37</sup>, or a specific target population (non-specific  
29 377 low back pain<sup>5</sup>). Both initiatives focus on functional aspects (*physical*), and *pain intensity*<sup>5,37</sup>, added by  
30 378 *emotional functioning, participants' ratings of global improvement, symptoms and side effects* as well  
31 379 as *participants dispositions*<sup>37</sup>, or *HrQL and number of death*<sup>5</sup>. Domains such as productivity or work  
32 380 ability, important domains according to the public health perspective, and ensuring patients' social  
33 381 participation and financial security, were not considered<sup>37</sup> or excluded<sup>5</sup>, while domains concerning  
34 382 social participation have not been recommended. Regarding the psychological health area VAPAIN  
35 383 recommended *emotional wellbeing* instead of *emotional functioning*<sup>37</sup>. Despite the fact, that  
36 384 *emotional functioning* mainly refers to basic abilities such as awareness, expression and regulation of  
37 385 emotions and therefore is not sufficiently operationalized by domains such as depression or  
38 386 anxiety<sup>37</sup>, patient representatives of the VAPAIN panel reported that it is more the emotional burden  
39 387 of chronic pain, including anger, grief, distress, anxiety and depressiveness, they want to be changed  
40 388 by IMPT. Because of the heterogeneity of distressing emotions an overarching domain became  
41 389 necessary to capture most of the emotional changes in patients attending IMPT, which was chosen  
42 390 by indicating *emotional wellbeing* as an outcome domain. However, according to a previous survey in  
43 391 patients with chronic pain<sup>38</sup>, especially the social as well as the psychological health area were  
44 392 indicated as of highest importance. Including the perspective of patients in establishing core  
45 393 outcome sets means to consider their needs of restoration ability to get back to a personally  
46 394 satisfying life, which always includes biological, at least in terms of functional, psychological and  
47 395 eventually social dimensions.  
48 396

49 396 VAPAIN has followed established guidelines for COS development to enhance transparency of  
50 397 process and results. Further, starting from therapy aims, and involving patient representatives fully in  
51 398 discussion throughout the consensus process of VAPAIN, may have contributed to the differences in  
52 399 outcome recommendations compared to other initiatives<sup>5,37</sup>. The experience of the stakeholders in  
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400 comprehensive treatment approaches in chronic pain and the steady awareness of a bio-psycho-  
401 social perspective have led to the suggestion of five completely new outcome domains. Above all, a  
402 consensus in this subject would not have been accomplished by online surveys, where perspectives  
403 cannot be negotiated.

404 Other issues concern the importance of additional domains, and the associated need to classify  
405 outcome-domains in relation to their relevance to specific chronic pain conditions. Since the  
406 population of patients with chronic pain in IMPT is frequently heterogeneous, the idea of finding an  
407 overarching domain, which covers most of the heterogeneous sample, and is still sensitive enough to  
408 measure change, is particularly challenging. This emphasizes the importance of a continuing  
409 validation process, after identification of measurement instruments, to investigate overlaps, and  
410 distinction of theoretical underlying constructs.

411 Developing a COS on domains is insufficient to ensure high quality studies in trials and routine care.  
412 The identification of reliable and valid instruments will be a critical next step. A shortlist of reliable  
413 instruments and validation studies to date, related to outcome-domains, will provide an invaluable  
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41 576  
 42 577 **Legends of figures and tables**

43 578 **Figure 1 Procedure and Regulations of Consensus of VAPAIN**

44 579 **Table 1 Initial outcome-domains derived from systematic literature review and VAPAIN panel**  
 45 580 **suggestion with voting results from round 2 of online survey (step 1, 1.2)**

46 581 **Table 2 Nominating organizations and professions of the VAPAIN panel members**

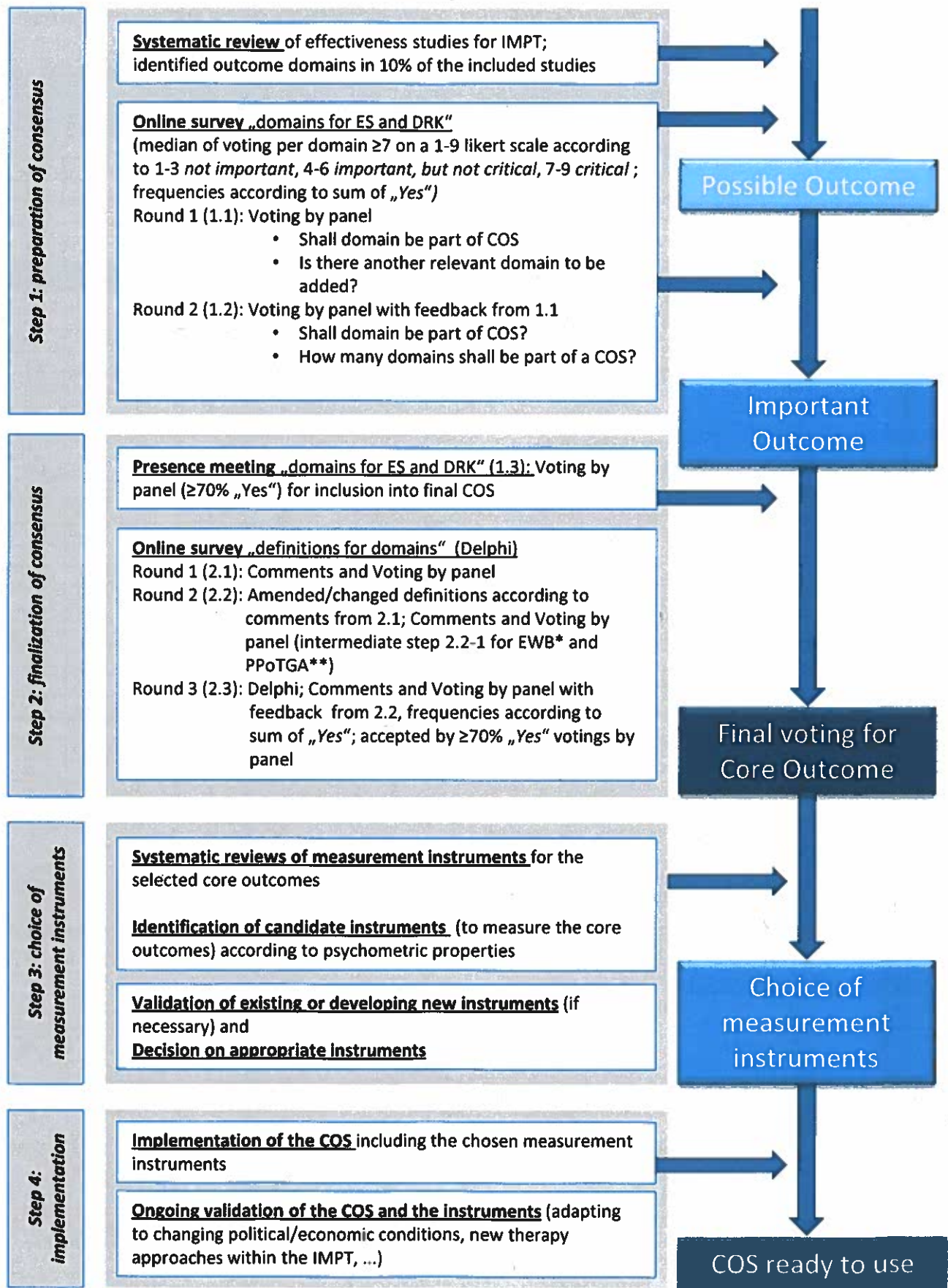
47 582 **Table 3 Sample size description of the VAPAIN panel members**

48 583 **Table 4 Final voting on outcome-domains for IMPT, face-to-face-meeting, step 2**

49 584 **Table 5 Definition of recommended outcome-domains and results of voting from round 3 online**  
 50 585 **survey (step 2, 2.3)**

51 586

Figure



\*EWB emotional wellbeing; \*\*PPoTGA patient perception of treatment goal achievement  
 IMPT interdisciplinary multimodal pain therapy; COS core outcome set; ES effectiveness studies; DRK daily record keeping

**Table 1** initial outcome-domains derived from systematic literature review and panel suggestion with voting results from *round 2* of online survey (*step 1, 1.2*)

Online survey 1.2 (N=22)		-daily record keeping- [1-9]			-Efficacy/effectiveness studies- [1-9]				
Core area		Md	min	max	Md	min	max		
Derived from Systematic review (step 1)	General quality of life	4,5	1	9	7	3	9		
	Health related quality of life	7	1	9	8	7	9		
	Sickness impact	4,5	1	9	7	3	9		
	Mental health	4	1	8	7	3	9		
	Physical health	4,5	1	8	7	3	9		
	Social health	4,5	1	8	7	3	9		
Domain		Md	min	max	Md	min	max		
Derived from Systematic review (step 1)	Physical Health*	Disability in general	4	1	9	7	2	9	
		Pain-related disability	7,5	1	9	8,5	3	9	
		Functional/physical disability	6,5	1	9	7	3	9	
		Pain as a symptom	7	1	9	8	1	9	
		Pain intensity/severity	9	3	9	9	5	9	
		Pain site	5,5	1	9	7	2	9	
		Physical function	7	1	9	7,5	5	9	
	Mental Health*	Psychological/emotional distress/strain	7,5	1	9	8,5	3	9	
		Depressive symptom	7	1	9	7	3	9	
		General fear	4	1	7	5	2	8	
		Fear of pain	7	1	9	7	3	8	
		Avoidance of movement	7,5	1	9	8	3	9	
		General coping	5	1	8	6	3	9	
		Pain-related coping	7	1	8	7	3	9	
		Pain-related catastrophizing	6	1	9	7	3	9	
	Social Health*	General self-efficacy <sup>1</sup>	4	1	8	6	3	9	
		Pain-related self-efficacy	6	1	9	8	3	9	
		Work ability	7	1	9	7	5	9	
		Return to work	5	1	9	8	5	9	
		Sick leave	5	1	9	7	5	9	
	Derived from panel by suggestion in step 1, 1.2	unassigned	Actual work status	3,5	1	7	6,5	1	9
			Patient's individual treatment goals	6	1	8	7	1	9
			Ability to do unpaid work activity	4,5	1	9	6	2	9
			Fatigue due to pain	7	1	9	7	2	9
			Difficulty concentrating due to pain	6	1	9	7	2	9
			Maintain relationships/maintain relationships in the presence of pain	6	1	9	7	5	9
			Confidence to live with pain	5	1	8	6,5	1	8
Analgesic medication/taken taken analgesics			7	3	9	6	4	9	
Reasons for dropout or withdrawal from treatment			6	1	9	7	1	9	
Daily physical activity			7	1	9	6,5	1	9	
Patients global impression of change			5,5	1	9	7	4	8	
Pain frequency, i.e. attacks in migraine			7	1	9	7	3	9	
Pain diary and medication for at least 4 continuous days			6	1	9	6	1	8	
Body awareness			5	1	8	6	1	9	
Autonom function			5	1	9	5	1	9	
Quality of relationships and engagement in social activities			6	1	9	7	3	9	
Engagement in leisure activities			6,5	2	9	7	3	8	
Use of health care services			7	1	9	7	5	9	
Activities to compensate pain			6,5	1	9	6	1	8	
Personal goal achievement			7	1	9	7	1	9	

\* referring to PROMIS systematic of self-reported Health outcome areas and domains<sup>29</sup> to ensure bio-psycho-social complexity  
Md Median, min Minimum, max Maximum, ES effectiveness studies, DRK daily record keeping



**Table 2 Participants and Organizations**

	<b>Name of organization/scientific association</b>	<b>n</b>
<b>Physicians</b>	German Pain Society (Chapter of International Association for the Study of Pain)	2
	German Migraine- and Headache Society (DMKG)	1
	European Pain Federation (EFIC)	2
<b>Physiotherapists</b>	Chartered Society of Physiotherapy (C.S.P.)	1
	German Federation of Physiotherapy (ZVK)	1
	AXXON, Physical Therapy in Belgium	1
	Swedish Association of Physiotherapists	1
	Koninklijk Nederlands Genootschap Voor Fysiotherapie (K.N.G.F.)	1
<b>Psychotherapists</b>	German Association for Psychological Pain Therapy and Research (DGPSF)	2
	European Pain Federation (EFIC)	1
	International Association for the Study of Pain (IASP)	2
<b>Researchers</b>	Harmonizing Outcome Measures for Eczema (HOME)	2
	Core outcome measures in effectiveness trials (COMET)	1
	Outcome Measures in Rheumatology (OMERACT)	1
	Consensus-based standards for the selection of health measurement instruments (COSMIN)	1
<b>Patient representatives</b>	German pain league	1
	Patient federation "SchmerzLOS e.V."	1
	German headache league	1
	Pelvic Pain support network	1
	nominated by survey participants	1

**Table 3** Sample size description of participants

<b>Age (years)</b>	<b>Mean</b>		<b>Range</b>
	48		29 – 70
<b>Gender</b>	<b>Gender</b>		<b>Number</b> (Percent of participants)
	Female		11 (44 %)
	Male		14 (56 %)
<b>Country</b>	<b>Name</b>		<b>Number</b> (Percent of participants)
	Germany		11 (44 %)
	United Kingdom		4 (16 %)
	Netherlands		3 (12 %)
	Belgium		2 (8 %)
	Italy		2 (8 %)
	Canada		1 (4 %)
	Sweden		1 (4 %)
	United States of America		1 (4 %)
<b>Experience*</b>	<b>N</b>	<b>Mean (years)</b>	<b>Range (years)</b>
Professional Experience	20	19	5 - 45
Professional Experience in Current Role	19**	17	2 - 45

*\* no patients; \*\* n=19; one missing answer*



**Table 4 final voting on outcome-domains for IMPT, face-to-face-meeting, step 2**

	%			N
	Yes	No	Abstention	
Pain Intensity	87	13	0	23
Emotional Wellbeing	83	9	8	23
Health related Quality of Life	82	18	0	22
Satisfaction with Social Roles and Activities	81	19	0	21
Productivity (at home and at work, paid/unpaid work) including the terms presentism and absenteeism	81	14	5	21
Pain Frequency	78	22	0	23
Patient's Perception of Treatment Goal Achievement	78	17	5	23
Physical Activity	73	27	0	22
<b>Reasons for Withdrawal/Dropout*</b>	<b>68</b>	<b>32</b>	<b>0</b>	<b>22</b>

*\* not voted into COS ES but strongly recommended for enhanced report quality*

**Table 5** Definition of recommended outcome-domains and results of voting from *round 3* online survey (*step 2, 2.3*)

Definition of recommended outcome-domains		Final voting (2.3; N=25, 100 %)		
		Agree [%]	Disagree [%]	Abstent. [%]
<b>Pain intensity</b> is defined as how much a patient hurts, reflecting the overall magnitude of the pain experience <sup>11</sup>		100	0	0
<b>Pain frequency</b> is defined as the rate of pain episodes relative to a specified time frame. It can be described by duration, frequency and intensity of attacks of (increased) pain. It might occur on top of background pain or in between pain-free periods [no citable reference available]		68	32	0
<b>Physical activity</b> is defined as any bodily movement produced by skeletal muscles that requires energy expenditure – including activities undertaken while working, playing, carrying out household chores, travelling, & engaging in recreational pursuits <sup>4,22</sup>		100	0	0
<b>Emotional well-being</b> is defined as feeling a preponderance of pleasant rather than unpleasant affect in one's life over time <sup>9</sup>		88	8	4
<b>Health related quality of life</b> is the functional effect of a medical condition and/or its consequent therapy upon a patient. It is thus subjective and multidimensional, encompassing physical & occupational function, psychological state, social interaction & somatic sensation <sup>4,34,3</sup>		80	16	4
<b>Satisfaction with social roles and social activities</b> describes the impact on patient's satisfaction in performing usual social roles and activities (including family and work) <sup>29</sup>		84	16	0
<b>Productivity</b> is defined by the output per unit of input, for example production output per labor hours. It comprises paid and unpaid work as well as home work (e.g., housekeeping, caring for infants or sick relatives) <sup>1</sup>		88	4	8
Sub-domains of Productivity	<b>Absenteeism</b> is defined by days off work comprising paid/unpaid work as well as home work (e.g., housekeeping, caring for infants or sick relatives) <sup>1</sup>	100	0	0
	<b>Presentism</b> is defined by having difficulties/inefficiencies at work, where work is paid/unpaid work, or home work (e.g., housekeeping, caring for infants or sick relatives) <sup>1</sup>	96	4	0
<b>Patient's perception of treatment goal achievement:</b> The own perception of the patient regarding the efficacy of the therapy to achieve the predefined treatment goals [no citable definition available]		96	4	0

### Minutes from the face to face meeting of VAPAIN panel

Consideration for or against domains to be part of a Core outcome set to assess effectiveness in clinical trials referring to interdisciplinary multimodal pain therapy in chronic pain patients

#### - Minutes from the meeting about outcome domains according to physical health-

The panel agreed that *pain intensity* and *pain frequency* should be considered as core outcome domains. Suitable instruments to assess both domains should ideally include information about *pain intensity* as well as *pain frequency*. The majority of panel members emphasized that the sole reduction of *pain intensity* is no primary aim of IMPT and therefore additional outcomes are highly relevant for the COS. Patient representatives and health professionals highlighted that the coping of pain may be more important than the reduction of intensity. According to the differentiation between the terms *physical function*, *disability* etc. patient representatives claimed to prefer the term *physical activity* because they found much more important what patients really do (*physical activity*) instead of what they are able to do (*physical function*). It was consented in the panel that activity means "what you do" and ability was defined as "what you could do". It was consistently stated that having the ability to perform any kind of activity does not compelling change behavior (for instance a depressive mood or fear of pain keep patients from climbing stairs even though they have the ability and unrestricted function to do so).

#### - Minutes from the meeting about outcome domains according to mental health-

There were several mental outcome domains provided to the panel members. Especially patients emphasized that not all of them have depressive symptoms or fear of pain. Psychological factors are heterogeneous among the patients and there is none to cover psychological impairment in all of them. Resulting from that situation the challenge was seen in finding a COS ES outcome domain (as a comprehensive endpoint) as to be as specific as possible and as general as necessary. The panel decided to emerge *emotional wellbeing* as overarching endpoint of all possible psychological burdens in patients with chronic pain in IMPT. The close term of mental wellbeing was rejected because mental aspects comprise cognitive factors as well as emotional. The emotional burden of chronic pain was considered highly relevant whereby cognitive impairment was seen to be less relevant in the target population of patients with chronic pain.

IMPT is provided to a very heterogeneous patient sample. Individual goal arrangement was discussed to therefore be an essential part of this therapy approach. The success of IMPT depends strongly on the willingness of the patient to maintain adaptive behavior agreed on during IMPT as well as on the individual goal of the patient. The panel members decided to take the outcome domain of *patient's impression of treatment goal achievement* into COS ES.

#### - Minutes from the meeting about outcome domains according to social health-

The outcome domain *satisfaction with social roles and social activities* was stated by patient representatives to be more important than the mere existence of relationships. They emphasized that quality of relationships and activity engagement is essential for patients. Suggestion to call the domain "quality of social relationships" was rejected because aims of IMPT do not mainly include therapeutic work on relationships. Therapy aims of IMPT shall enable the patient to return into social roles and settings by improving function and coping with pain and impairment. Neither settings nor maladaptive schemes are focus of IMPT.

Among all possible outcome domains to picture work specific aspects the panel members debated about the role of work and work related activity. Outcome domains such as *work status* or *work ability* were seen critically because they match working people only. A considerable amount of patients of IMPT does not return to work because of persisting physical limitations and impairments. Besides the aspects of paid work, activities such as house holding, caring for relatives and children and volunteer commitment are existent among IMPT patients and important to those who receive disability pension. The limitations or activities of patients in IMPT affect more than paid work. So the panel defined and finally included the term *productivity (absenteeism and presentism at work and at*

home) as umbrella term for paid and unpaid work to cover patients' situation comprehensively in a COS ES.

**- Minutes from the meeting about health related quality of life (generic outcome domain)-**

The outcome domain *health-related quality of life* was discussed to comprise a very broad concept with a lot of aspects and hence limitations in application. Another argument was a possible redundancy since the emerging COS ES already includes different bio-psycho-social aspects.

**- Further minutes from the meeting -**

With 68% just under the threshold for being included *reasons for withdrawal/dropout* was rejected as COS domain. Despite, the panel emphasized that *reasons for withdrawal/dropout* should be consistently reported for every single study and reflect reporting quality of ES studies in IMPT. The meaning of "recommended" domains became more tangible when considering condition specific outcome domains referring to a subgroup of chronic pain patients. E.g. *fear of pain*, frequently found in patients with chronic (low) back pain, could be a recommended outcome domain to accomplish the COS ES in this specific condition. Unfortunately this important discussion was unfinished because of the lack of time.

Abbreviations: IMPT interdisciplinary multimodal pain therapy, COS core outcome set, ES effectiveness studies