



The significance of measuring embodied carbon dioxide equivalent in water sector infrastructure



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2 **THE SIGNIFICANCE OF MEASURING EMBODIED CARBON DIOXIDE**
3 **EQUIVALENT IN WATER SECTOR INFRASTRUCTURE**

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10 Abstract

11 For the water sector to cope with rising populations and the anticipated impacts of climate
12 change, increasing amounts of construction output are needed to build water-related
13 infrastructure. Amidst emerging operational energy efficiencies and gradual grid
14 decarbonisation, the relative impact and extent of embodied carbon dioxide equivalent
15 (embodied CO₂e) effected from the construction and maintenance of water sector
16 infrastructure is likely to rise. For practitioners in the water and construction sectors, there is

17 a growing need to be able to understand and account for embodied CO₂e. However, the
18 contribution of embodied CO₂e as part of the whole life cycle impacts of water related
19 infrastructure is disputed in the current literature, and with only a handful of studies
20 suggesting it is important its significance is not established. This work aims to explore this
21 issue, and provide clarity. This paper shows the calculations involved to measure the
22 embodied and operational CO₂e of Old Ford Water Recycling Plant, a small blackwater
23 recycling treatment facility producing 574 m³/day of reclaimed water. For the analyses,
24 embodied carbon dioxide coefficients (ECCs) are used that were provided by the water
25 operator Thames Water Utilities Ltd (TWU), and based on its supply chain, and datasets from
26 Ecoinvent v3.1, a commercially available assessment tool. The final aggregated carbon
27 footprint values calculated are 1.430 kgCO₂e (TWU in-house analysis) and 1.566 kgCO₂e
28 (Ecoinvent) per cubic metre of recycled water. The results show that the contribution of
29 embodied CO₂e is significant, making up 50.7 % (TWU) and 77.3 % (Ecoinvent) of the total
30 carbon footprint value in each analysis. The research identified that assessments could be
31 improved if there was higher-quality data provided by manufacturers and suppliers on the
32 embodied CO₂e content of materials, components, and equipment. This paper further
33 illustrates differences between calculations using generic data (Ecoinvent) and supply chain
34 data, and the difficulties involved in producing functionally equivalent life cycle inventories.

35 Keywords: carbon footprint; water industry; embodied carbon; LCA (Life Cycle
36 Assessment); industry practice; supply chain

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41 **1. Introduction**

42 Construction sector activities make up 30 % of global anthropogenic greenhouse gas
43 (GHG) emissions, and despite efforts the International Energy Agency (IEA) estimates that
44 they will double by 2050 (IEA, 2014; 2016). For the water sector this is of particular
45 importance; with the need for potentially significant amounts of construction output and
46 energy needed to cope with rising populations, climate change and increasing service
47 resilience, the provision of water and wastewater treatment services could become more
48 resource intensive. Coupled with emerging operational energy efficiencies and gradual grid
49 decarbonisation, a rise in construction output means that the relative contribution of
50 embodied carbon is likely to increase. Over the last few years there is evidence to suggest
51 that embodied carbon dioxide equivalent ¹ (embodied CO_{2e}) associated with construction can
52 make up for a significant proportion of the whole life cycle impacts in water and wastewater
53 treatment (Mo et al., 2010; Singh and Kansal, 2018).

54 Previous life cycle assessment (LCA) studies in water and wastewater systems have
55 mainly addressed GHG emissions from energy use (operational CO_{2e} or ‘operational
56 carbon’) (Rothausen and Conway, 2011; Chang et al., 2017; Amores et al., 2013). A recent
57 review on existing LCA studies on water and wastewater treatment systems by Byrne et al.
58 (2017) found that only around half of all studies considered embodied CO_{2e} due to system
59 construction. Of these studies, the majority concluded that embodied carbon is negligible

¹ Embodied CO_{2e} is a shorthand for embodied GHG emissions associated with the physical construction and maintenance of infrastructure (including material extraction and manufacture, asset replacement, assembly, deconstruction, and waste disposal). In this paper the terms ‘embodied carbon’ and ‘embodied impacts’ are also used to refer to embodied CO_{2e}.

60 compared to operational carbon (Igos et al., 2014; Bonton et al., 2012); however, a few
61 papers have suggested it is important with embodied CO₂e effected from system construction
62 and chemical use accounting for 30-40 % of the whole life cycle impacts (Mo et al., 2010;
63 Stokes and Horvath 2010a; 2010b).

64 Both in the United Kingdom and internationally, embodied CO₂e accounting is becoming
65 a component in tender selection, and its reduction is being linked to more direct cost saving
66 and cost avoidance (Elhag, 2015). Organisations are increasingly becoming aware of its
67 significance both within the supply chain as well as beyond the conventional boundary of
68 capital investment e.g. by considering natural capital valuation and ecosystem services in the
69 wider catchment to identify sustainability solutions (Battle, 2014; Smyth et al., 2017;
70 UKGBC, 2017). In the UK, the introduction of carbon reporting of operational GHG
71 emissions for large industry has given impetus to collaborative research in the water sector to
72 develop carbon footprint methods to assess both operational and embodied impacts (Strutt et
73 al., 2008). Water operators in the UK have already begun developing their own in-house
74 bespoke embodied CO₂e calculation tools, using metrics and boundaries deemed most
75 appropriate to their function. However, a barrier to the water sector's efforts to understand
76 and account accurately for its embodied impacts is the lack of accessible and robust data from
77 manufacturers and suppliers on the embodied CO₂e assessment of construction materials and
78 products (Smyth et al., 2017; Gavotsis and Moncaster, 2015).

79 Several studies report that differences in this data can lead to a wide variation in the
80 results of embodied CO₂e calculations for civil works (Dixit et al., 2010; Clark, 2013; De
81 Wolf et al., 2016). Pomponi and Moncaster (2018) found that there is significant variability
82 in the embodied carbon dioxide coefficients (ECCs) of common construction materials,
83 which cannot be easily linked to a specific context (e.g. geographical location of production,

84 specific manufacturing processes etc.). Sinha et al. (2016) and Herrmann and Moltesen
85 (2015), who compared data from various LCA software including SimaPro and Gabi, found
86 notable differences in the results obtained large enough to influence the conclusions.
87 Differences in manufacturers' embodied carbon accounting approach (e.g. on which life
88 cycle stages to include), and a lack of available information to formulate ECCs are the issues
89 mainly responsible for this data variability (De Wolf et al., 2016). De Wolf et al. (2017)
90 discussed current industry approaches in embodied CO₂e measurement through a detailed
91 literature review, and through interviews with industry practitioners. Results showed that
92 there is a demand for reliable product life cycle inventories to be provided by manufacturers
93 and suppliers.

94 The significance of the contribution of embodied CO₂e as part of the whole life cycle
95 impacts of water related infrastructure is unclear in the current literature. The aim of the
96 present work is to address this issue, and provide clarity. In this paper, the embodied and
97 operational CO₂e of Old Ford Water Recycling Plant, a blackwater recycling demonstration
98 plant, are calculated using embodied carbon data (ECCs) that were directly provided by the
99 water operator Thames Water Utilities Ltd (TWU), and ECCs from Ecoinvent v3.1, a
100 commercially available assessment tool. This paper gives a first-hand insight into the
101 challenges involved in the carbon footprint calculation of a blackwater recycling
102 demonstration plant.

103 It is important to note that whilst this work focused retrospectively on the embodied
104 CO₂e associated with a single piece of wastewater-related infrastructure, it has become clear
105 that in the business decision making process embodied CO₂e should be considered in the
106 round alongside cost, affordability, water quality and other challenges to inform resilient and
107 more sustainable business decisions in the water sector and beyond.

108 **2. Description of the site: Old Ford Water Recycling Plant**

109 Old Ford Water Recycling Plant (OFWRP) is located in London, South East England, an
110 area classified as ‘seriously water stressed’ by the national authorities (Environment Agency,
111 2013). The plant mines and treats raw sewage to supply non-potable water to the Queen
112 Elizabeth Olympic Park for toilet flushing and irrigation via a dedicated non-potable reuse
113 (NPR) network. The plant was set up as part of the Olympic Delivery Authority’s sustainable
114 water strategy to achieve a 40 % reduction in potable water consumption. It also serves as a
115 research facility to help inform possible future capital investments.

116 The plant is designed to supply a maximum flow of 574 m³ per day of non-potable water.
117 First, raw sewage is treated successively through underground septic tanks and 1 mm screens
118 to eliminate grit and screening that could potentially damage downstream processes. The pre-
119 treated sewage then feeds into the membrane bioreactor (MBR) where parts of organic,
120 inorganic and microbiological compounds are removed. The MBR itself is a combination of
121 an activated sludge process, consisting of an anoxic and an aerobic zone, and an ultrafiltration
122 membrane process (UF) with a nominal pore size of 0.04 µm. Polyaluminium chloride is
123 added to the return activated sludge of the MBR to precipitate phosphorus compounds. The
124 granular activated carbon (GAC) process is used as a polishing step to remove any remaining
125 colour in the MBR effluent. The reclaimed water is finally disinfected with sodium
126 hypochlorite to achieve a chlorine residual of between 0.3 and 1.5 mg/L before being
127 supplied to the NPR network. Odour control is carried out using two activated carbon filters.

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131 **3. Methodology**

132 **3.1. System boundaries and scope**

133 The total aggregated carbon footprint (CF) of OFWRP associated with the life cycle
134 inventory (LCI) in each of the analyses is normalised over a 25-year operation lifetime to the
135 production of 1 m³ of recycled water, which is defined as the functional unit. The
136 construction and operation life cycle phases are considered, whilst emissions relating to
137 dismantling and asset disposal are excluded (see Fig. 1). This system boundary was chosen to
138 minimise uncertainty and concentrate on emissions associated with capital construction
139 processes and the operation phase. The construction phase includes terrain excavation,
140 production of building materials, plant equipment, chemical production, and materials
141 replacement. The operational phase accounts for the production of grid electricity. Although
142 renewably self-generated electricity produced at other sites run by the local water operator
143 satisfies 20 % of its demand on the whole, this has not been applied to this study as OFWRP
144 does not produce any onsite power. In both phases, the transport of material inputs to the
145 plant site is accounted for. The impact of sludge treatment and disposal of waste sludge from
146 the septic tanks and the MBR is also accounted for as part of the operational phase. Fugitive
147 emissions from the septic tanks and the MBR however are a function of the biology and
148 chemistry of processes; these are omitted from this study seeing as they remain unchanged
149 and unaffected as a result of any proposed investment to reduce carbon intensity in the water
150 infrastructure itself unless specific measures are taken to reduce them (UKWIR, 2012).

151 The life cycle of building materials, steel components, pipes and tanks, was assumed to be
152 50 years whereas the service life of plant equipment (compressors, air blowers, filter
153 receivers etc.) was assumed to be 25 years. Pumps, instrumentation and UF membranes were

154 assumed to have a service life of 10, 5 and 7 years respectively, and replacements were
155 accounted for accordingly.

156 **3.2. Life cycle and impact assessment methodologies**

157 Primary industry data was collected from Thames Water Utilities Ltd. ('the water
158 operator') to carry out an LCI analysis of the capital assets and operational requirements of
159 OFWRP ('TWU LCI analysis'). The list of equipment in the site operating manuals and the
160 engineering drawings for civil works were both used to produce a detailed bill of quantities.
161 The ECCs used to calculate the embodied and operational CO₂e were taken from the
162 inventory of emission factors provided by the water operator, which is compiled and updated
163 annually (Table 1). ECCs from the Ecoinvent database (v3.1) were then obtained to
164 reproduce the various component input flows in a separate LCI (Table 2). The ReCiPe
165 midpoint hierarchist indicator approach was used for the life cycle impact assessment
166 (LCIA). Material inputs having an exact or equivalent counterpart in the two LCIs are
167 analysed explicitly. The datasets for which no counterparts could be identified are categorised
168 in 'other material component production' in Tables 1 and 2, and 'other' in graphs.
169 Descriptions and hypotheses for key inputs in the LCIs are outlined below:

170 *Transport.* For the purposes of normalisation, default settings for transport modes and
171 distances considered by Borken-Kleefeld and Weidema (2013) were assumed in both LCIs
172 for materials, components and equipment. In the absence of data from the manufacturing
173 supply chain, sourcing and production of construction materials and products were assumed
174 to take place in Europe. The transport distances by heavy goods vehicles ('32 tonne trucks')
175 assumed to be involved in the production and sourcing of ferrous metals, plastics, and
176 bitumen were 100 km, and 50 km for concrete and minerals. Similarly, transport distances by
177 rail for ferrous metals and plastics were assumed to be 200 km and 600 km for bitumen. For

178 the sourcing and production of GAC filter media, transport distances by truck and rail were
179 assumed to be 50 km and 400 km respectively. The consumption of diesel fuel and electricity
180 in haulage was included in both LCIs. Ecoinvent datasets additionally cite production of
181 trucks, locomotives, wagons, rail infrastructure and vehicle disposal in land freight transport.
182 For the TWU LCI analysis, the ECCs for rail and truck materials transport were drawn from
183 the industry recommended UK government guidelines for company reporting (BEIS et al.,
184 2017).

185 *Sludge treatment.* The daily volume of waste sludge produced at OFWRP, averaged at 3
186 m³, is fed into the Olympic Park primary sewer which connects to the major gravity sewer
187 running to Beckton sewage treatment works (STW) in East London; waste sludge at Beckton
188 STW is treated using anaerobic digestion. The volume of sewage gas (biogas from sewage
189 sludge digestion) produced per cubic metre of sludge was estimated using data from Amiri et
190 al. (2015) and Daelman et al. (2012). For the Ecoinvent LCI, sludge treatment and disposal
191 are accounted for and the dataset is categorised in ‘other’. The model represents normalised
192 methane emissions from the overall off-gas leaving the water and sludge lines, raw sludge
193 settling, thickening and digestion, and onsite gas storage. The model includes transport of the
194 digested and dewatered sludge to the incineration facility.

195 *Electricity.* The total electricity consumption, averaged at 1.766 kWh per m³ of recycled
196 water delivered to the network, was derived from plant records. Grid electricity production in
197 the LCIs is modelled at medium voltage level assuming the UK electricity consumption
198 profile. Ecoinvent accounts for the direct emissions but excludes transmission losses, and
199 indirect emissions relating to the construction of transformer stations and the distribution
200 grid.

201 For the TWU LCI the industry recommended 1-year grid average ECC was used in
202 accordance with the 2017 UK government BEIS guidelines, accounting for direct and indirect
203 GHG emissions (BEIS et al., 2017). Direct emissions include the production of grid
204 electricity at UK power plants, the transmission network and losses, whilst indirect emissions
205 account for the fuel production upstream.

206 *Pumps.* For the Ecoinvent LCI, the production of pumps was modelled as their weight
207 (based on manufacturer datasheets) assuming they consist of pure stainless steel. No other
208 processes were considered aside from chromium steel production and hot rolling. It was
209 assumed the pumps are manufactured in Europe using a European production mix
210 (Frischknecht et al., 2005; Weidema et al., 2013). For the TWU LCI, the datasets for pumps
211 were drawn from the manufacturer and subdivided into ‘centrifugal non-submersible’ and
212 ‘wet well submersible’ (Xylem, 2013a; 2013b; 2013c).

213 *Ultrafiltration membranes.* No specific Ecoinvent dataset was found to model
214 polyvinylidene fluoride production, which is the material used for UF membranes. Instead,
215 the model for polyvinyl chloride production was used as a proxy being the closest dataset
216 found in the database. The membranes were modelled as their weight, and the steel casing for
217 the cells was assessed separately. For the TWU LCI the UF membranes were modelled as
218 part of the ‘MBR plant’ aggregate ECC (Table 1).

219 *Granular activated carbon.* For the Ecoinvent LCI, to model GAC production used in
220 pressure filters in the GAC and odour control plants, it was necessary to disaggregate the
221 ‘GAC plant’ into the elements ‘filter media’ and ‘pressure vessels’ (analysed in ‘steel’). The
222 service life of GAC beds was noted to be two years based on plant datasheets, which was
223 incorporated into the analyses to account for all bed refills throughout a 25-year operation
224 period. For the Ecoinvent LCI, the ECC was drawn from Bayer et al. (2005) who modelled

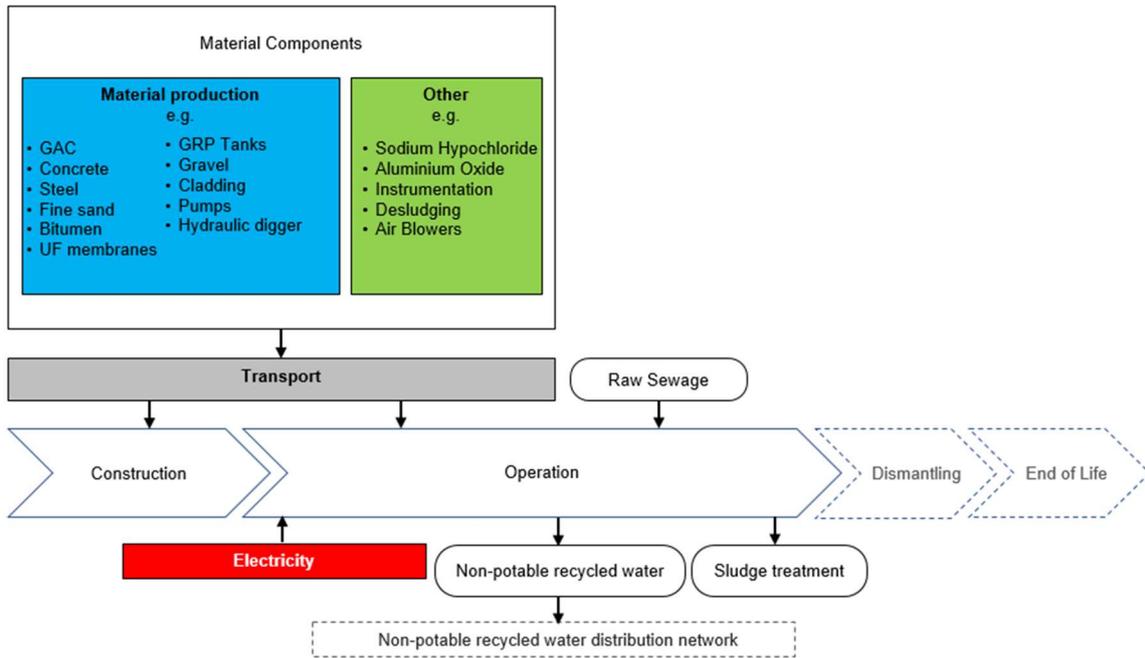
225 the main production processes of a coal-derived type of GAC manufactured in Europe by
226 Chemviron (Calgon, 1999). The ECC from their study was deemed appropriate as the
227 physicochemical properties of the GAC modelled are similar to those of the GAC type used
228 in the plant. It should be noted that the GAC used in OFWRP is derived from vegetal sources.

229 GAC requirements for the odour control plant were derived from the activated carbon
230 filter modelled by Alfonsín et al. (2015). Distinct aggregate ECCs were used to model the
231 ‘GAC plant’ and the ‘odour control plant’ for the TWU LCI, and both ECCs were sourced
232 from water operator provided datasets (Table 1).

233 *Steel.* Material inputs for steel were categorised in ‘steel components’ and ‘structural steel’
234 (categorised into ‘structural metalwork’). Ecoinvent datasets reflect steel production in plants
235 located in Europe.

236 *Concrete.* For the Ecoinvent LCI, the dataset reflects typical concrete mixture in
237 Switzerland using Swiss grid electricity. Steel rebar used in concrete foundations was
238 assessed separately as ‘structural steel’. For the TWU LCI, the ECC accounts for in-situ
239 concrete production, steel rebar reinforcement and formwork.

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Fig. 1. OFWRP LCI system boundaries. Emissions relating to plant construction and operation are included, whilst dismantling, asset disposal (End of Life), and the construction of the non-potable recycled water distribution network are excluded from the scope of this study. The production and transport of building materials, components, and consumables (for chemical consumption) are accounted for, and the impact of grid electricity production as well as sludge treatment is assessed for a 25-year operational phase.

243 **Table 1.** Life cycle inventory of OFWRP with ECCs used by Thames Water on the basis of 1 cubic
 244 metre of water recycled ('TWU LCI'), Reference year 2017.

Component	Quantity [unit]	ECCs kgCO ₂ e/unit	Assumptions
ELECTRICITY	1.766 kWh	0.38443	Direct and indirect GHG emissions from UK grid electricity production, transmission and distribution (BEIS et al., 2017).
TRANSPORT -			
Materials transport by truck	0.154 tkm ^a	0.14048	Direct emissions from truck fuel consumption (BEIS et al., 2017).
Materials transport by rail	0.107 tkm	0.03394	Rail freight. Direct emissions from diesel and electric rail (BEIS et al., 2017).
MATERIAL PRODUCTION			
Concrete	4.68E-04 m ³	814.89	
Bulk earthworks	7.666E-04 m ³	2.175	Terrain excavation for foundations. Fuel consumption of the crawler tractor and hydraulic digger included in the model.
GAC plant	4.77E-06 m ³ /hr	2689.446	Complete GAC plant, pressure vessels, interconnecting pipework and valves. Excludes GAC/sand washing plant.
Structural metalwork -			
Access platform	1.214E-05 m ²	334.116	
Hand railing	5.728E-05 m	214.938	
Cladding	2.132E-04 m ²	345.848	Roofing, building elevation, tank cladding and wire mesh flooring. Assumed to be made of steel.
Structural steel	9.00E-05 m ²	356.21	Complete above ground steel superstructure. Excludes building services and process specific equipment.
Steel components -			
Piles	5.025E-05 m ²	2.362	Piling for temporary foundation shaft.
Pressure vessels	2.88E-06 m ³	1242.545	Plate steel sections, above ground pipework, fixture and fittings. Based on volume capacity.
Stainless steel components	3.468E-05 m ²	523.405	Dry stainless steel weight.
Tanks	1.339E-04 m ³	63.027	Glass-fused-to-steel tanks production based on volume capacity. Inclusive of epoxy resin application.
Overhead travelling crane & gantry	3.818E-07 T	1521.511	Heavy duty electric chain hoist + crane beams.
Chemical dosing skids	5.23E-06 T	345.848	Filtrate dry skid steelwork.

Table 1 (continued)			
Component	Quantity [unit]	ECCs kgCO ₂ e/unit	Assumptions
MBR plant	4.96E-06 m ³ /hr	2872.397	Membrane filters, MEICA ^b equipment Excludes building superstructure and high-pressure pumps.
Pumps -			
Wet well pumps	1.867E-05 kW	480.989	Production of ITT Flygt submersible pumps. Environmental product declarations (Xylem, 2013a; 2013b; 2013c).
Centrifugal non-submersible pumps	5.489E-05 kW	58.979	
Dosing equipment	3.445E-06 m ³	610.57	
Pipes -			
Steel pipes	2.770E-05 m	82.80	Extrusion, bituminous primer coating and fibreglass wrapping included.
uPVC pipes	9.289E-05 m	5.20	uPVC polymer production and pipe extrusion, laid with minimal bedding surround.
GRP ^c -			
Chemical dosing kiosk	1.406E-06 m ²	7.093	
GRP tanks	6.122E-05 m ³	109.926	Volume input refers to liquid volume the tanks can hold and not their material quantity. Plant records.
MBR -			
Air handling unit/filter receiver	1.031E-04 m ³ /hr	1.896	
Compressor	1.428E-04 m ³ /day	3.012	
MBR air blowers	3.67E-05 m ³ /hr	0.344	
OTHER MATERIAL COMPONENT PRODUCTION ('other' in graphs)			
Building services	1.80E-04 m ²	9.53628	Telemetry, SCADA hardware, and telecommunications equipment.
Bubble diffuser domes	9.758E-06 m ²	369.098	Steel sheets covering gross surface area domes in aeration tank.
Ion exchange/softener vessels	8.19E-08 m ³ /hr	1099.650	
Air blowers	5.72E-05 m ³ /hr	0.344	
Desludging	2.12E-07 m ³ /hr	910.113	
Instrumentation	1.32E-03 items	94.709	Fittings e.g. valves, flow meters, temperature sensors, transmitters, etc.
Perforated screens	1.69E-05 m ³ /hr	2.741	Production of screens based on processing capacity.
Ventilation system	8.59E-04 m ³ /hr	2.304	Production of the odour control plant based on its processing capacity.
Odour control	2.880E-06 m ³	1242.55	

246 Notes: ^a tkm or tonne-kilometre: a unit of freight equal to the transport of one metric ton of goods by
247 one kilometre.

248 ^b MEICA: Mechanical, Electrical, Instrumentation, Controls, Automation (industrial equipment
249 systems).

250 ^c GRP: Glass reinforced plastic (or fibreglass); a polyester material reinforced with glass fibre.

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Table 2. Life cycle inventory of OFWRP using Ecoinvent V3.1 ECCs on the basis of 1 cubic metre of water recycled.

Component	Quantity [unit]	ECCs kgCO ₂ e/unit	Assumptions
ELECTRICITY	1.766 kWh	0.183	Transformation of 1 kWh of high to medium voltage electricity assuming the UK electricity consumption profile. Imported electricity is accounted for. The infrastructure itself and losses incurred are excluded.
TRANSPORT -			
Materials transport by 32 tonne truck	0.154 tkm	0.171	
Materials transport by rail	0.107 tkm	0.0608	
MATERIAL COMPONENT PRODUCTION			
Concrete	4.68E-04 m ³	285	Production of ready-mixed concrete based on Swiss production mix and electricity.
Bulk earthworks	7.666E-04 m ³	0.550	Terrain excavation. Assumed to be dug with a hydraulic digger. The model includes production of the machine and fuel consumption.
GAC -			
Filter media	0.017 kg	11	Virgin GAC production assumed (Bayer et al., 2005).
Odour control	0.029 kg	11	Production of virgin GAC for odour control (Bayer et al., 2005; Alfonsín et al., 2015). Filter casing and fans excluded.
Structural metalwork -			
Access platform & hand railing	6.94E-05 m ²	198	Assumed to be made from aluminium cladding.
Cladding	2.132E-04 m ²	198	Roofing, tank cladding and wire mesh flooring. Production of aluminium wire mesh.
Structural steel	0.022 kg	2.500	Rebar and steel superstructure. Inclusive of low and unalloyed-steel production, and hot rolling.
Steel components -			
Carbon steel	9.957E-04 kg	2.260	Production of chemical dosing skids, the overhead travelling crane and lifting gantry. The model includes raw material extraction, unalloyed steel production and hot rolling.
Pressure vessels	1.527E-03 kg	2.290	Low carbon structural steel. Production of a mix of differently produced steels, and hot rolling.

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Table 2 (continued)			
Component	Quantity [unit]	ECCs kgCO ₂ e/unit	Assumptions
Stainless steel components	0.059 kg	5.010	Production of pumps, ultrafiltration cells, tanks and other components. Chromium steel production and hot rolling was included assuming a density of 8000 kg/m ³ based on stainless steel type 316.
Pumps	0.001846 kg	5.010	See entry on 'stainless steel components'.
Pipes -			
Steel pipes	7.998E-04 kg	5.240	Proxy dataset used to model chromium steel pipe fabrication based on global production.
uPVC pipes	6.080E-05 kg	3.230	The model includes production of PVC polymer, transport, pipe extrusion and packaging.
GRP -			
Enamelling	7.615E-05 m ²	13.100	Vitreous porcelain enamelling used for coating tanks. The model includes all major process stages and the inventory for the materials used in enamelling.
GRP tanks	2.052E-03 kg	8.960	Gate to gate inventory for the injection moulding of glass fibre with polyamide resin, plus material inputs, and the infrastructure. Calculated from the volume of GRP used and a density of 1740 kg/m ³ .
MBR -			
UF membranes	6.965E-04 kg	4.97	Polyvinyl chloride used as a proxy dataset for PVDF. PVC polymer production (suspension polymerisation) and extrusion to obtain foils.
OTHER MATERIAL COMPONENT PRODUCTION ('other' in graphs)			
Sludge treatment	5.23E-03 m ³	0.45055	The proportion of biogas volume produced is assumed per sludge volume treated.
Artificial gravel	9.94E-03 kg	0.00492	Land preparation. The model includes extraction and crushing (Muñoz et al., 2008).
Fine sand	2.34E-03 kg	0.00441	Sand for civil works. The model includes extraction from mine.
Bitumen	4.68E-05 kg	0.587	Road paving. The model includes oil extraction, transport and the refinery process.
11 % Poly aluminium chloride (PAC)	3.88E-06 kg	1.730	Aluminium oxide (Al ₂ O ₃). Production of 11 % poly aluminium chloride coagulant. Calculated based on a weight.
Sodium hypochlorite NaOCl 10 % – 15 %	0.121 kg	0.958	Sodium hypochlorite, without water, in 15 % solution state for disinfecting, clean-in-place and maintenance wash of the ultrafiltration cells.

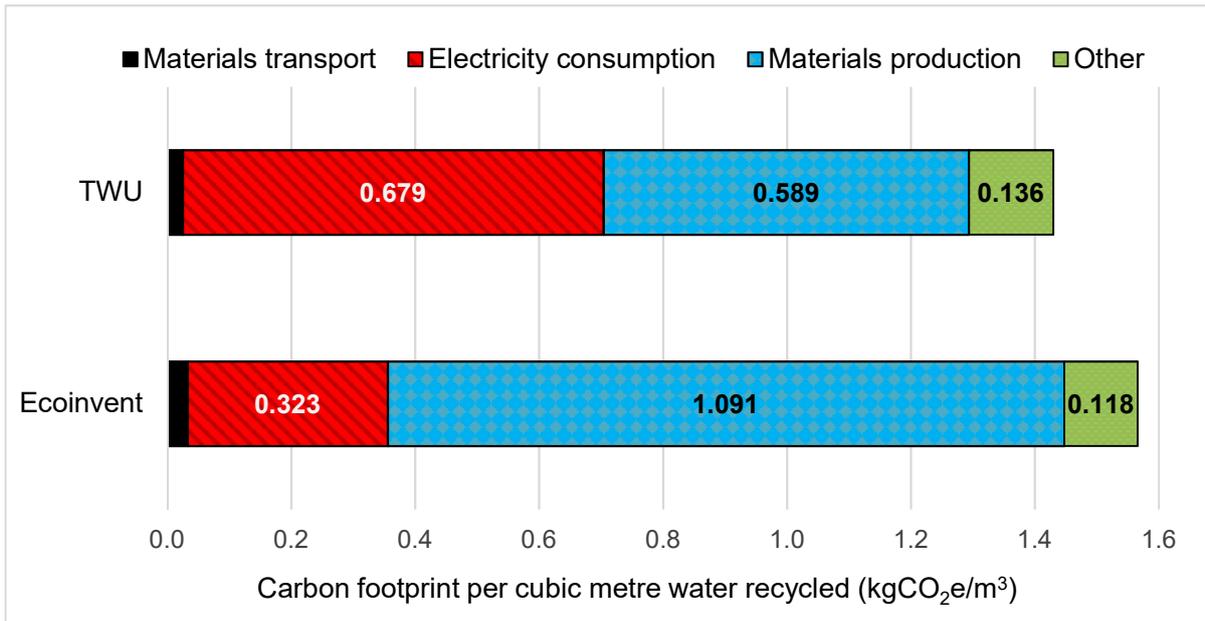


Fig. 2. Comparison and breakdown of subgroup contribution to the total OFWRP CF. The total aggregated CF values for the TWU and Ecoinvent analyses are 1.430 and 1.566 kgCO₂e/m³ respectively. For the categorical grouping of components, see Tables 1 and 2.

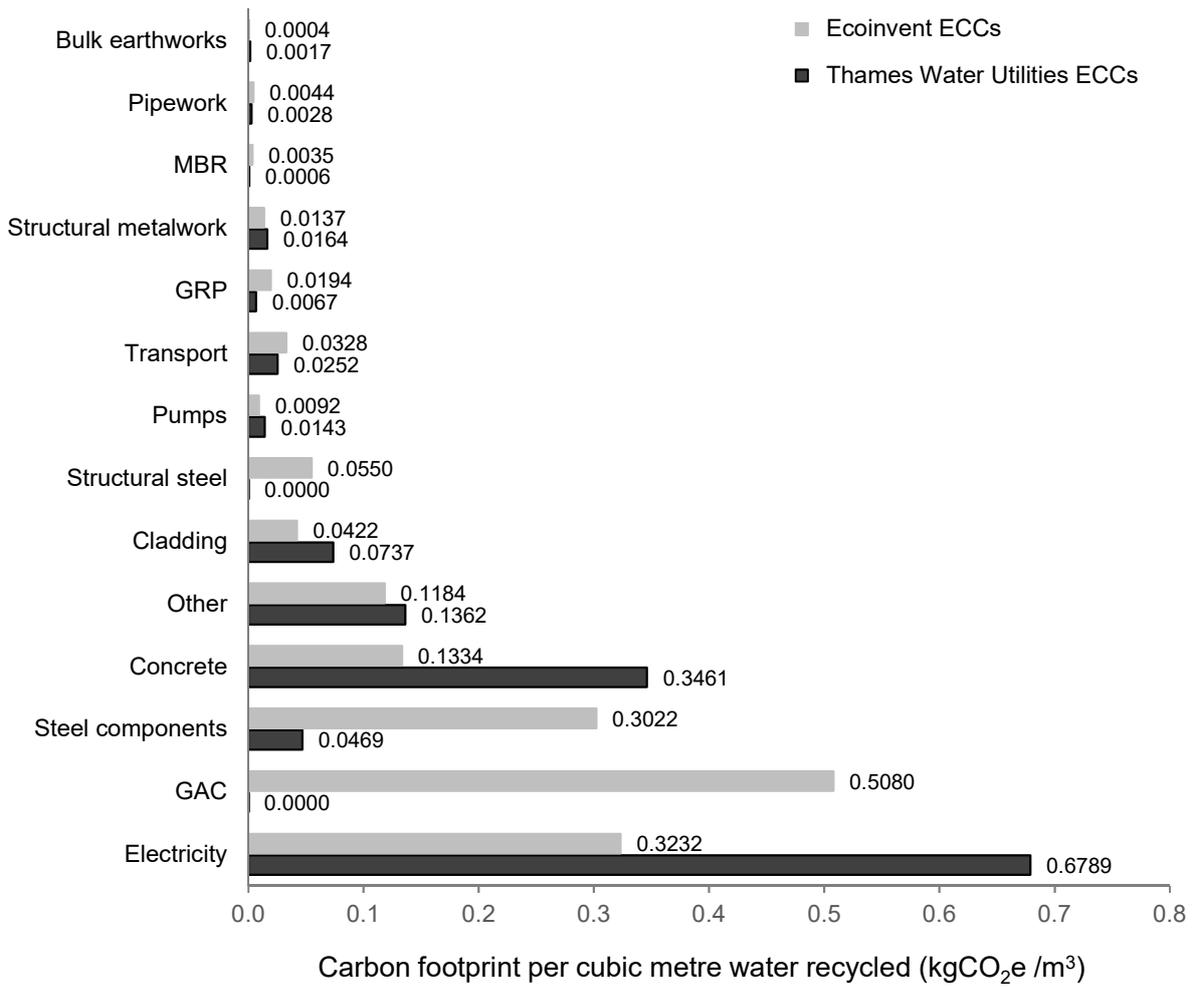


Fig. 3. Individual and relative contributions to the total OFWRP CF.

257 **4. Results and discussion**

258 This section discusses the results holistically and evaluates important factors which can
259 pose as limitations to the validity of the calculations. Fig. 2 reveals some interesting findings.
260 The final total aggregated CF values calculated for OFWRP are 1.430 and 1.566 kgCO₂e/m³
261 using ECCs from TWU (in-house) analysis and Ecoinvent respectively. It is important to note
262 that the final figures are similar in magnitude. The embodied CO₂e comprises subgroup
263 categories ‘materials production’ and ‘other’; the embodied CO₂e figures calculated for the
264 TWU and Ecoinvent analyses are 0.725 and 1.210 kgCO₂e/m³ respectively. The findings
265 show that in both analyses embodied CO₂e effected from the construction and maintenance of
266 capital assets (normalised over a 25-year operation period per m³ of water recycled) is a
267 significant contributor to the whole life cycle impacts of OFWRP, making up 50.7 % and
268 77.3 % of the total CF using TWU and Ecoinvent data sets respectively. The contribution of
269 operational CO₂e remains a significant part of the total CF assessments; the figures calculated
270 for OFWRP are 0.679 and 0.323 kgCO₂e/m³ in the TWU and Ecoinvent analyses respectively
271 (making up 47.5 % and 20.6 % of the whole life cycle impacts in each case).

272 Fig. 3 shows the individual and relative contributions to the total CF of OFWRP calculated
273 in both analyses. Apart from ‘electricity’ (operational CO₂e) being the single largest
274 individual contribution in Fig. 3, the rest of the noteworthy contributions are: GAC, concrete,
275 steel (components and structural), cladding, and ‘other’. The rest of the contributions shown
276 in Fig. 3 are at least an order of magnitude smaller.

277 As shown in Fig. 2 and Fig. 3, there is a discrepancy between the operational CO₂e
278 figures calculated in the TWU and Ecoinvent analyses. This difference can be attributed to
279 the fact that Ecoinvent ECCs are based on generic secondary data sets which are not up to
280 date nor specific to the UK electricity market. The Ecoinvent ECC is representative of British

281 electricity production during the years 2010-2013, and relies on International Energy Agency
282 (IEA) statistics to apportion the fuel mix for British electricity production (Itten et al., 2014).
283 The ECC used for the TWU analysis on the other hand is specific to the UK national
284 electricity grid supply and generation. It is more representative than the IEA data as it is
285 derived from UK GHG Inventory data and the UK fuel consumption data, which are updated
286 annually (Jones et al., 2017; BEIS et al., 2017). The Ecoinvent CF for electricity production
287 is thusly a considerable underestimate. It should be noted that as the UK energy grid
288 decarbonises gradually including decentralised self-generated renewable electricity
289 substitutes, there will be a significant impact on the ECC applied. This will in turn
290 considerably alter the aggregate CF values calculated for operational CO_{2e}. The carbon
291 intensity of the electricity grid is generally on a downward trajectory, but it can be volatile
292 (Li and Trutnevyte, 2017; Staffell, 2017; Green and Staffell, 2016); in this case, as far as the
293 operational CO_{2e} calculations for OFWRP are concerned, possible future energy mix
294 scenarios were not considered, and the potential future decarbonisation of the UK national
295 electricity grid was not accounted for. The ECC published in government guidelines for
296 company reporting (BEIS et al., 2017) was used instead to account for the assumed 25-year
297 operation period of the plant in the TWU LCI analysis.

298 A few elements that are immediately evident from comparing the two analyses are the
299 lack of functional equivalence and the different units in which quantities of products,
300 materials and equipment are accounted for (Tables 1 and 2). For example, the component
301 ‘concrete’ in the TWU LCI analysis includes steel reinforcement and formwork, whereas this
302 is not the case with Ecoinvent where structural steel reinforcement and concrete are recorded
303 separately. Several components were accounted for in different units e.g. ‘structural steel’,
304 ‘GRP tanks’, ‘pipework’, ‘MBR plant’, ‘pumps’, etc. The lack of a standard method for data
305 collection on the number, specification and various types and grades of components was one

306 of the most significant and difficult issues to tackle in the context of this study. Commercial
307 software such as the Ecoinvent database often do not contain ECCs readily available in a
308 format that accounts for the complete supply chain of specific products and their
309 subcomponents. For the bill of quantities data to be translated from the original OFWRP
310 format of metrics in which it was collected, a few assumptions were made as detailed in
311 *Section. 3.2*. It is also worth noting that comparative assessments involving structural and
312 other building materials should be based on units of performance instead of units of mass
313 (Pomponi and Moncaster, 2018). In other words, one material or product with a relatively
314 higher embodied CO₂e compared to another may have a longer life service and better
315 performance requiring fewer replacements. The environmental friendliness of one product or
316 material over others should not simply be judged based on a lower embodied carbon
317 footprint, and just during its manufacturing stage (Pomponi and Moncaster, 2018). There are
318 other factors apart from a lower embodied carbon content that need to be taken into account
319 when choosing a product or material such as the final disposal and waste degradation of
320 materials and consumables. For example, due to the expected increase of membrane
321 production and use alternative end of life options merit further study to limit the final
322 disposal of membranes sent to landfill (Lawler et al., 2012; 2013).

323 Due to a lack of information regarding the exact nature of the manufacturing supply
324 chain for material components, it was assumed that products and components were
325 manufactured in and sourced from Europe. The default settings for transport modes and
326 distances developed by Borcken-Kleefeld and Weidema (2013) were applied in both LCIs
327 (Tables 1 and 2). Moreover, whilst GAC used in OFWRP is produced using vegetal sources,
328 due to poor availability of data, Ecoinvent data sets assumed production of virgin GAC based
329 on hard coal. This is evidently a considerable overestimate of the actual impacts of GAC
330 production which introduces a large uncertainty in the overall CF results.

331 **5. Uncertainty analysis**

332 In *Section 3.2.* input flow quantities in the LCI analysis (or ‘components’ in Tables 1 and
333 2) have been described in single figures (mean values). This practice involves uncertainty,
334 which can be caused by various reasons as previously described by Frischknecht et al. (2004)
335 cited in Muñoz and Fernández-Alba (2008): variability and stochastic error, appropriateness
336 of the data, model uncertainty, and omitting or missing data. In this study, only the first two
337 types of uncertainty have been considered at the inventory level, and the effect has been
338 quantified on the overall CF results in each analysis. It must be noted that uncertainty due to
339 the characterisation models used at the LCIA stage is considered as integral as the uncertainty
340 relating to inventory analysis. Uncertainty due to the characterisation models has not been
341 included in this analysis as these models do not reveal this information as of yet.

342 **5.1. Stochastic Monte Carlo simulation model**

343 The method followed to quantify the overall uncertainty related to the CF results involves
344 two steps. Firstly, the uncertainty of individual input flows for electricity, transport and
345 material production ‘components’ (as shown in Tables 1 and 2) was determined and then
346 applied to the overall CF results in each analysis using a stochastic Monte Carlo simulation
347 model. The uncertainty relating to the amount of a specific input flow cannot often be derived
348 since the information is provided in a single value originating from a single source, without
349 any further information (Frischknecht et al., 2004). The uncertainty related to individual input
350 flows was not available in either analysis; uncertainty factors first had to be assigned to each
351 of the inventory component quantities shown in Tables 1 and 2. In order to do so, the
352 simplified approach developed by Frischknecht et al. (2004) was applied. In this standard
353 procedure a lognormal distribution of uncertainty is considered, and the squared geometric
354 standard deviation (σ^2) is used to express uncertainty factor contributions. Attribution of

355 uncertainty factors to each of the inventory components in each analysis was carried out by
356 means of a semiquantitative approach which included expert judgement and a data quality
357 assessment using indicators from a pedigree matrix developed by Weidema and Wesnaes
358 (1996) cited in Frischknecht et al. (2004) and Muñoz and Fernández-Alba (2008). Table 3
359 gives a summary of the uncertainty factors (expressed as ‘squared geometric standard
360 deviation’ (σ^2)) assigned to the components in each analysis.

361 In order to establish a minimum-maximum uncertainty range in each case, the stochastic
362 Monte Carlo simulation model was used, and the entire inventory was ran 10 000 times at a
363 confidence interval of 95 % for each analysis. This allowed for a statistically adequate and
364 sturdy number of results. The principle followed in the Monte Carlo simulation is that
365 singular estimates are taken as mean estimates which are then replaced with random variables
366 drawn from probability density functions (Muñoz and Fernández-Alba, 2008; La Grega et al.,
367 1994). Each time the simulation was ran new variables were selected based on the uncertainty
368 factor assigned to each of the components thusly creating a random set of numbers to obtain a
369 new score. Table 3 gives a summary of the uncertainty factors assigned to the components in
370 each analysis. For example, a σ^2 of 1.1 for electricity in the TWU LCI analysis indicates that
371 a mean value of 1.766 kWh/m³ has minimum maximum values of 1.30-2.41 kWh/m³. For the
372 Ecoinvent LCI analysis, the GAC (‘filter media’), ‘odour control’, ‘concrete’ and ‘electricity’
373 components display a high level of uncertainty. For the TWU LCI analysis, the higher
374 uncertainty is seen in steel components and concrete.

375

376 **Table 3.** Uncertainty factors estimated for inventory components (expressed as ‘squared geometric
 377 standard deviation’).

Squared geometric standard deviation (σ^2)	TWU LCI components	Ecoinvent LCI components
1.1	Electricity, GAC plant, Access platform, Hang railing, Cladding, Odour control, Pressure vessels, Wet well pumps, Centrifugal non-submersible pumps, Dosing equipment, Air handling unit/ filter receiver, Compressor, MBR air blowers, Bubble diffuser domes, Ion exchange/ softener vessels, Air blowers, Desludging, Instrumentation, Perforated screens	Access platform & hand railing, Cladding, Structural steel, Carbon steel, Pressure vessels, Stainless steel components, Steel pipes, uPVC pipes, Enamelling, GRP tanks, Artificial gravel, Fine sand, Bitumen, 11 % Poly aluminium chloride PAC, Sodium hypochlorite NaOCl 10 %-11 %, Sludge treatment
1.3	Structural steel	
1.4		Pumps
2.0		Bulk earthworks
2.1	Bulk earthworks, Ventilation system	Materials transport by 32 tonne truck, Materials transport by rail
2.4	Materials transport by truck, Materials transport by rail	UF membranes
2.6	Chemical dosing skids, MBR plant, Chemical dosing kiosk, GRP tanks	Electricity
3.0	Concrete	
3.1	Overhead travelling crane & gantry, Steel pipes, uPVC pipes, Piles	
3.3	Tanks	
3.4	Stainless steel components	
4.2	Building services	Concrete, Filter media, Odour control

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385 **5.2. Results of the uncertainty analysis**

386 After running the Monte Carlo simulation model 10 000 times, with a confidence interval
387 set at 95 %, the results displayed in Table 4 are obtained. It can be deduced that the results
388 are uncertain as there is a wide variability in the minimum – maximum ranges obtained. The
389 difference between the minimum and maximum values is approximately twofold in both
390 analyses. However, a lower difference and a stricter uncertainty range can be observed in
391 Table 4 for the TWU LCI analysis compared to the score for the Ecoinvent LCI analysis.
392 Moreover, an overlapping of their distributions can also be seen. With the production stage of
393 material components and products being at the remit of the construction supply chain, the
394 variability in these uncertainty ranges can be considerably reduced through the provision of
395 accurate, up to date and representative data (for the material or product analysed) from
396 suppliers and manufacturers.

397 **Table 4.** Results of the uncertainty analysis with the stochastic Monte Carlo simulation
398 model with a 95 % confidence interval and 10 000 simulations.

TWU CF Uncertainty Range	Ecoinvent CF Uncertainty Range
1.03 - 2.09 kgCO ₂ e/m ³	1.21 - 2.61 kgCO ₂ e/m ³

399
400

401 **6. Conclusions**

402 The results of this study show that the contribution of embodied CO₂e effected from the
403 construction and maintenance of capital assets can potentially be significant in the whole life
404 cycle impacts of the water recycling plant. By providing one industrial perspective on the
405 carbon footprint assessment of a water recycling plant this work underlines the potential
406 importance of accounting for embodied CO₂e in project design, delivery and operation.

407 The difference between the two calculated results reveals that there is a clear need for
408 robust and accessible ECCs to be made available from the supply chain; this would aid in
409 reducing differences in the measurement of embodied impacts which would improve the
410 accuracy of LCAs carried out by practitioners. More to this point, the sizeable discrepancy
411 between the operational CO_{2e} figures accounting for British electricity production in the two
412 analyses provides clear evidence that the use of generic data can distort the true extent of
413 embodied impacts.

414 More efforts in data collection and data quality assessment are still required to produce
415 databases for reliable and transparent product LCIs and ECCs in the appropriate format
416 which would better inform industry practitioners in design decision making. The creation of
417 environmental product declarations (EPDs) provides an opportunity for practitioners to obtain
418 robust, representative and up to date data directly from manufacturers and suppliers. The
419 manufacturing industry should be encouraged to improve availability of robust assessments
420 of embodied CO_{2e} for construction products and materials as well as improving data
421 collection methods. The use of geographically and technologically appropriate embodied
422 CO_{2e} information would help to reduce variability in assessments.

423

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428

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