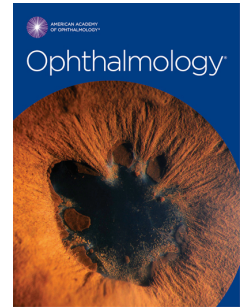


# Accepted Manuscript

Loss-of-function mutations in the *CFH* gene affecting alternatively encoded Factor H-like 1 protein cause dominant early-onset macular drusen

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1 **Loss-of-function mutations in the *CFH* gene affecting alternatively encoded**  
 2 **Factor H-like 1 protein cause dominant early-onset macular drusen**

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39 **Running head:** *CFH* loss-of-function mutations affecting FHL-1 cause early onset macular drusen

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46  
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48 1, 2, and 4, and Supplementary Data A, B, C and D.  
49

## 50 **Abstract**

51 **Purpose:** To characterise the molecular mechanism underpinning early-onset macular drusen  
52 (EOMD), a phenotypically severe sub-type of age-related macular degeneration (AMD), in a sub-  
53 group of patients.

54 **Design:** Multi-centre case series, *in vitro* experimentation and retrospective analysis of previously  
55 reported variants.

56 **Participants:** Seven families with apparently autosomal dominant EOMD.

57 **Methods:** Patients underwent comprehensive ophthalmic assessment. Affected individuals from  
58 families A, B and E underwent whole exome sequencing. The probands from families C, D, F and G  
59 underwent Sanger sequencing analysis of the Complement Factor H (*CFH*) gene. Mutant  
60 recombinant Factor H Like-1 (FHL-1) proteins were expressed in HEK293 cells to assess the impact on  
61 FHL-1 expression and function. Previously reported EOMD-causing variants in *CFH* were reviewed.

62 **Main Outcome Measures:** Detailed clinical phenotypes, genomic findings, *in vitro* characterization of  
63 mutation effect on protein function, and postulation of the pathomechanism underpinning EOMD.

64 **Results:** All affected participants presented with bilateral drusen. The earliest reported age of onset  
65 was 16 years with a median of 46 years). Ultra-rare (MAF  $\leq 0.0001$ ) *CFH* variants were identified as  
66 the cause of disease in each family: *CFH* c.1243del, p.(Ala415ProfsTer39) het; c.350+1G>T het;  
67 c.619+1G>A het, c.380G>A, p.(Arg127His) het; c.694C>T p.(Arg232Ter)het [identified in two  
68 unrelated families in this cohort]; and c.1291T>A, p.(Cys431Ser). All mutations affect complement  
69 control protein domains (CCP) 2-7, thus are predicted to impact both FHL-1, the predominant  
70 isoform in Bruch's membrane(BrM) of the macula, and FH. *In vitro* analysis of recombinant proteins  
71 FHL-1<sub>R127H</sub>, FHL-1<sub>A415f/s</sub> and FHL-1<sub>C431S</sub> demonstrated that they are not secreted and thus are loss-of-  
72 function. Intra-cellular expression of mutant proteins was low, suggesting they may be rapidly  
73 degraded due to protein unfolding or instability. Review of 29 previously reported EOMD-causing

74 mutations found that 75.8% (22/29) of impact FHL-1 and FH. In total, 86.2% (25/29) EOMD-  
75 associated variants cause haploinsufficiency of FH/FHL-1.

76 **Conclusions:** EOMD is an under-recognised, phenotypically severe sub-type of AMD. We propose  
77 that haploinsufficiency of FHL-1, the main regulator of the complement pathway in BrM, where  
78 drusen develop, is an important mechanism underpinning the development of EOMD in a number of  
79 cases. Understanding the molecular basis of EOMD will shed light on AMD pathogenesis given their  
80 pathological similarities.

81 **Key words:** early onset macular drusen, age-related macular degeneration, complement factor-H,  
82 *CFH*, FHL-1

### 83 **Introduction**

84 Age-related macular degeneration (AMD) represents a leading cause of irreversible vision loss,  
85 accounting for 8.7% of global blindness<sup>1</sup>. The condition is characterised by inflammation and the  
86 deposition of extracellular material in the form of drusen, in Bruch's membrane (BrM). Drusen can  
87 cause metabolic disruption that leads to dysfunction and death of retinal pigment epithelium (RPE).  
88 Later stages of AMD may be characterised by geographic atrophy or choroidal neovascularisation,  
89 these are associated with severe loss of central vision. It is now widely accepted that an excessive  
90 inflammatory response driven by inadequate regulation of the complement cascade is a major  
91 contributory factor to AMD<sup>2</sup>. AMD is a disease of multifactorial aetiology with a strong genetic  
92 component and the role of the complement pathway in AMD pathogenesis is corroborated by the  
93 implication of genetic variants in a number of complement factors with AMD risk<sup>3,4</sup>. Genetic  
94 variants associated with AMD represent a broad allelic range, from common polymorphisms (minor  
95 allele frequency (MAF) >1%) that confer a relatively low risk of disease<sup>5-9</sup>, to relatively rare variants  
96 (MAF<1%) that demonstrate high penetrance, e.g. the p.(Arg1210Cys) substitution in *CFH*<sup>10</sup>.  
97 The complement system is a crucial component of host innate immunity<sup>11</sup>. It is a cascade system  
98 comprising three activation pathways (classical, lectin and alternative), each of which is engaged

99 uniquely, but which converge upon three common goals: modifying the membrane of an unwanted  
100 cell for phagocyte recognition, generation of membrane attack complexes for cell lysis, and  
101 promoting an inflammatory response<sup>2</sup>. Complement can activate on all surfaces, both host or  
102 foreign, so the host requires mechanisms to prevent inappropriate self-directed damage<sup>12</sup>. The  
103 alternative pathway (AP) is constantly active at a low level and contains a positive feedback loop to  
104 allow rapid amplification of the complement response. Tight regulation is required to maintain  
105 balanced immune homeostasis and it is increasingly recognised that defective regulation of the AP  
106 plays a central role in human disease<sup>13,14</sup>.

107 The *complement factor H (CFH)* gene encodes a 155 kDa plasma protein known as Factor H (FH)<sup>15</sup>  
108 that functions as a complement regulator by binding to host surfaces to protect them against  
109 complement activation. It mainly exerts its effect on the AP pathway, negatively regulating the  
110 positive feedback loop. FH harbours 20 complement control protein (CCP) domains, each  
111 comprising 60 amino acids, that can be grouped into three functional domains, CCP 1-4 form a  
112 binding site for co-factor activity; while both CCP 6-8 and CCP19-20 facilitate binding of FH via  
113 glycoaminoglycans (GAGs) to cell surfaces and extracellular matrices (ECM)<sup>2,16</sup>.

114 Disease-causing variants in *CFH* result in three distinct pathological syndromes: atypical haemolytic  
115 uraemic syndrome (aHUS), C3 glomerulopathy (C3G; a clinical entity that encompasses C3  
116 glomerulonephritis and dense deposit disease (DDD) -formerly membranoproliferative  
117 glomerulonephronophthitis type II or MPGN II), and AMD. An apparent genotype-phenotype  
118 correlation exists whereby the majority of aHUS-causing variants affect CCP19 and CCP20<sup>17</sup>, whilst  
119 variants associated with AMD predominantly affect CCPs 1-4, and 6-8<sup>18,19</sup>. In 2008, Boon et al., and  
120 then van de Ven et al. in 2012, showed that an early-onset sub-type of AMD was caused by  
121 monogenic inheritance of ultra-rare variants in *CFH*<sup>20,21</sup>. This AMD sub-type (which for clarity we  
122 term 'early-onset macular drusen' (EOMD)) demonstrates much earlier age of onset (mean age = 50  
123 years), causing many more years of substantial visual loss than AMD<sup>20,21</sup>. There have since been  
124 few EOMD cases reported<sup>22-25</sup>.

125 Alternative splicing of *CFH* exon 9 produces a variant of FH known as factor H-like 1 (FHL-1) that is  
126 identical to CCPs 1-7 of FH, has a unique carboxy-terminal tail of four amino acids, and is significantly  
127 smaller (49kDa). Recent work has suggested that FHL-1 retains the same regulatory functions as FH  
128 and is able to bind to surfaces via its single GAG-interaction domain at CCPs 6-7 to regulate the  
129 complement cascade<sup>26</sup>. Impaired FH and FHL-1 function leads to disease as a result of inflammation  
130 and cellular debris mishandling due to excessive AP activation driven by defective regulation of the  
131 complement cascade<sup>2,26</sup>. Genetic studies of AMD fail to distinguish between FH and FHL-1. FHL-1 is  
132 the major isoform present within BrM of the retina<sup>26,27</sup>, a major site of AMD pathogenesis and  
133 where drusen, the characteristic lesions of AMD, form. It has been suggested that it is this isoform  
134 that protects BrM against complement activation<sup>26</sup>.

135 Herein, we perform functional and variant localization analysis of the EOMD-causing variants that lie  
136 within FHL-1 and define the mechanism responsible for one subgroup of patients affected by this  
137 phenotypically severe condition.

## 138 **Methods**

### 139 ***Ethics and Patient Recruitment***

140 Ethics committee approval was obtained from the North West Regional Ethics Committee for all  
141 aspects of this study (15/YH/0365) and the protocol observed the tenets of the Declaration of  
142 Helsinki. Written informed consent was obtained from each study participant as an essential pre-  
143 requisite for study inclusion. Patients with early-onset macular drusen (EOMD) were included in our  
144 analysis. Given the heterogeneous nature of drusen and to limit bias, we did not select patients  
145 based on the type/size of drusen they presented with.

### 146 ***Clinical Assessment***

147 Each patient underwent full ophthalmic assessment including visual acuity and dilated fundus  
148 examination. Fundus photographs were obtained using Ultra-Widefield Optos™ colour fundus  
149 imaging (Optos plc, Dunfermlin, UK). Fundus autofluorescence (FAF) imaging was conducted using  
150 either the Spectralis (Heidelberg Engineering Ltd., Heidelberg, Germany) or ultra-widefield confocal  
151 scanning laser imaging (Optos™ plc, Dunfermlin, UK). Optical coherence tomography (OCT) was

152 performed using the Spectralis OCT platform (Heidelberg Engineering). Electroretinography (ERG)  
153 was performed to standards specified by the International Society for Clinical Electrophysiology of  
154 Vision (ISCEV). Clinical history was also obtained to discern the presence of additional health  
155 problems.

#### 156 **Whole-exome sequencing**

157 Whole-exome sequencing (WES), performed as previously published<sup>28</sup>, was carried out as part of an  
158 ongoing study on inherited retinal disease (UK Inherited Retinal Dystrophy Consortium, UKIRDC) in  
159 families without a molecular diagnosis following NGS screening for a panel of 105 or 176 genes  
160 known to cause inherited retinal dystrophy. Detailed methodology can be found in Supplementary  
161 Data A (available at [www.aaojournal.org](http://www.aaojournal.org)). WES data from affected relatives (A:II.1 and A:II.3; E:III.11  
162 and E:II.8) was analysed for shared rare variants. Variants in genes known to be involved in IRD  
163 were examined as a priority. Identified variants were interpreted according to the Association for  
164 Clinical Genetic Science Best Practice Guidelines for Variant Classification 2018.

#### 165 **Sanger sequencing of CFH**

166 Seventy-five patients diagnosed with dominant/early drusen who tested negative for the *EFEMP1*  
167 c.1033C>T; p.(Arg345Trp) variant were retrospectively identified from a referrals database and were  
168 subjected to screening for variants in the *CFH* gene by Sanger sequencing. The coding exons and  
169 flanking intronic sequences of *CFH* (NM\_000186) plus an additional four amino acids unique to the  
170 alternative transcript, FHL-1 (exon 10, NM\_0010149975) were amplified by PCR and subject to bi-  
171 directional Sanger sequencing (see supplementary data A, available at [www.aaojournal.org](http://www.aaojournal.org), for  
172 details). Variants were interpreted as before (see 'whole exome sequencing').

#### 173 **Functional Characterization of Genetic Variants**

174 N-terminal His-tagged, DNA sequences encoding human FHL-1 or mutant versions of FHL-1, were  
175 synthesized and inserted in to pcDNA3.1 by GeneArt (Invitrogen). Plasmid DNA was stably  
176 transfected in to HEK293 cells using polyethylenimine (PEI) and culture media was harvested from  
177 transfected cells at 24, 48, 72, and 144 hours. His-tagged recombinant proteins were purified from  
178 the harvested media using Amintra Ni-NTA affinity resin (Expedeon, CA, USA) by gravity flow

179 chromatography. Cell lysates were made from transfected HEK293 cells and quantified using the  
180 Pierce BCA protein assay. Western blotting was conducted as previously described<sup>26</sup>. Detailed  
181 methodologies for plasmid preparation, transformation and transfection, purification of  
182 recombinant proteins and Western blotting can be found in Supplementary Data A (available at  
183 [www.aaojournal.org](http://www.aaojournal.org)).

## 184 **Results**

### 185 ***Retinal Findings***

186 We report ten individuals from seven unrelated families with a monogenic form of early-onset  
187 macular drusen (Figure 1 available at [www.aaojournal.org](http://www.aaojournal.org)). Retinal phenotypes are summarised  
188 below and in Table 1. Detailed ophthalmic histories for each case can be found in Supplementary  
189 data A (available at [www.aaojournal.org](http://www.aaojournal.org)). None of the individuals included in this study had  
190 evidence of renal disease. The median age of drusen onset was 46 years. The average age at onset in  
191 our cohort is skewed by late identification of disease in individual B:II.2 who did not receive a  
192 diagnosis until 80 years of age. The earliest documented age at which drusen were identified was 16  
193 years in individual A:II.1, younger than has previously been reported in EOMD. In all affected study  
194 participants, drusen were bilateral and broadly symmetrical, and visible on fundus  
195 examination/colour photos (Figure 2, available at [www.aaojournal.org](http://www.aaojournal.org)), fundus autofluorescence  
196 (FAF) (Figure 3) and/or optical coherence tomography (OCT) (Figure 4, available at  
197 [www.aaojournal.org](http://www.aaojournal.org)). The impact on visual acuity was varied, from no apparent impact (B:I.1), to  
198 mild (A:II.3, A:II.1, C:I.2, D:I.7, E:III.11, F:II.2, G:III.7), moderate (D:II.2, E:II.2), and severe visual loss  
199 (B:II.2). As shown in Figure 2-4, varying phenotypes and degrees of disease severity were present in  
200 study participants. This is possibly reflective of the fact that individuals in our cohort are a range of  
201 ages and thus represent various disease stages. However, in younger participants, we cannot rule  
202 out non-progressive disease, at this time.

203 In family A, both affected siblings presented with small drusen, scattered throughout the retina in an  
204 appearance typical of 'basal laminar drusen' (i.e.- 'stars in the sky' appearance). Ophthalmic



205 examination of their mother found that she was unaffected. Proband B:I.1 from family B, was found  
206 to have multiple small drusen at the macula and nasal to the optic discs. His mother, B:II.2, was  
207 more advanced, showing drusen as well as atrophy at both the macula and the nasal retina. C:I.1,  
208 presented with central scotomata, and drusen surrounding a region of central atrophy that extended  
209 to the vascular arcades. She had patchy atrophy with reticular and drusenoid features in the retinal  
210 periphery with mid-peripheral sparing. Her mother and sister had also received a diagnosis of  
211 macular drusen. The proband from family D (D:I.7) presented with multiple large drusen bilaterally,  
212 associated with atrophy of the right macula. Her affected father (D:II.2) presented with advanced  
213 neovascular macular degeneration. Family history revealed the proband's paternal aunt (D:II.1) and  
214 great grandfather (D:IV.6) had experienced visual deterioration in their forties. It was also noted  
215 that her paternal great aunt (D:IV.2) and great uncle (D:IV.5) were affected in their fifties, although  
216 no further information was available. Her paternal grandmother (D:III.3) was deceased aged 56  
217 years and it is not known whether she was also affected. The proband from family E (E:III.11)  
218 presented with central vision problems. FAF revealed hypoautofluorescence centrally due to  
219 geographic atrophy with a surrounding ring of hyperautofluorescence and drusen nasal to the optic  
220 disc. Pigmentary changes and drusen were also seen in the peripheral retina. Her affected son  
221 (E:II.8) was found to have small, sparse macular drusen at the age of 40 years. Family history  
222 revealed multiple affected members in family E. Fundoscopy of the proband from family F (F:II.2)  
223 revealed isolated sparse drusen within the temporal macula. At the time of her diagnosis, her  
224 mother (F:III.2) was being treated for choroidal neovascularisation. Proband G:III.7 from family G  
225 presented with clustered drusen spread throughout the macula, with early non-foveal geographic  
226 atrophy in the left eye. Her sister (G:III.3) and two brothers (G:III.1 and G:III.4) were also affected.  
227 Her mother died aged 30 years and her father died aged 80 with no known visual problems.

### 228 ***Genetic Findings***

229 The proband from families A, B, and E underwent testing for variants in the coding and flanking  
230 intronic regions of 105 or 176 known retinal dystrophy-causing genes, including a number of genes

231 associated with macular drusen (*ABCA4*: NM\_000350; *CA4*: NM\_000717; *CNGB3*: NM\_019098;  
232 *EFEMP1*: NM\_001039348; *PROM1*: NM\_006017; *TIMP3*: NM\_000362). No putative disease-causing  
233 or carrier variants were identified. Subsequently, each was recruited to the UKIRDC study and WES  
234 was conducted on DNA from the affected sibling pair of family A (A:II.1 and A:II.3), the proband of  
235 family B (B:II.2), and the affected mother (E:II.2) and son (E:I.1) of family E. In each family, an ultra-  
236 rare (MAF<0.01%) or novel heterozygous *CFH* variant was identified as the probable cause of disease  
237 (Table 1). NGS analysis and interpretation of variants can be found in Supplementary Data F  
238 (available at [www.aaajournal.org](http://www.aaajournal.org)).

239 A cohort of 75 patients diagnosed with macular drusen, and negative for the *EFEMP1* c.1033C>T  
240 p.(Arg345Trp) variant, were screened for variants in the coding and flanking intron regions of the  
241 *CFH* gene (NM\_000186) including an alternatively encoded exon from the transcript  
242 NM\_0010149975. Four patients (the probands of families C,D, F, and G: C:I.2, D:I.7, F:II.2, G:III.7)  
243 were found to harbour novel or ultra-rare (MAF<0.01%) heterozygous variants in the *CFH* gene  
244 (Table 1).

245 In total, six different *CFH* variants were identified in seven EOMD families (Table 1). Segregation  
246 analysis was performed where possible and the respective variant segregated with disease in each  
247 case (family members tested, their disease status and their genetic status are indicated in  
248 Supplementary Data B, available at [www.aaajournal.org](http://www.aaajournal.org)). Three mutations, which have not  
249 previously been reported in association with disease, represent novel EOMD-causing mutations: *CFH*  
250 c.1243del, p.(Ala415ProfsTer39) het; *CFH* c.350+1G>T het; and *CFH* c.619+1G>A het. The three  
251 remaining variants have previously been reported as disease-causing: *CFH* c.380G>A, p.(Arg127His)<sup>25</sup>,  
252 <sup>29</sup>; *CFH* c.694C>T, p.(Arg232Ter)<sup>30</sup> identified in two unrelated families in this cohort; and *CFH*  
253 c.1291T>A, p.(Cys431Ser), which has been identified in the homozygous state underlying MPGN type  
254 I<sup>31</sup> and DDD<sup>30</sup>.

255 All six mutations identified in this study affect complement control protein (CCP) domains 2-7 (Table  
256 1), and are therefore predicted to impact both FH and FHL-1 (Figure 5).

**257 Functional characterization of CFH mutations in Recombinant FHL-1**

258 Previous studies have shown that rare *CFH* variants underlying aHUS and EOMD can prevent or delay  
259 secretion of FH<sup>32-35</sup>, or severely impair protein function causing reduced FH activity<sup>22, 25, 33, 36</sup>, leading  
260 to dysregulation of the complement pathway. However, the impact of rare *CFH* variants on the  
261 function of the alternative isoform FHL-1 has not previously been investigated. Given the probable  
262 importance of FHL-1 in the EOMD phenotype<sup>26</sup>, we investigated the expression and secretion of  
263 mutant forms of FHL-1 containing the respective mutations identified in EOMD families A (FHL-  
264 1<sub>A415f/s</sub>), E (FHL-1<sub>C431S</sub>), and F (FHL-1<sub>R127H</sub>) compared with full-length wild-type FHL-1 (FHL-1<sub>402Y</sub>).  
265 Secreted His-tagged recombinant protein was purified from media by affinity chromatography, and  
266 lysates were made from transfected cells for analysis of intracellular expression of recombinant  
267 wildtype or mutant FHL-1.  
268 Western blotting for both the N-terminal His-tag of the recombinant proteins revealed that FHL-1<sub>402Y</sub>  
269 (wildtype) transfected cells secreted a protein product of expected size (51kDa, slightly larger than  
270 native FHL-1 due to its N-terminal His-tag modification). However, cells transfected with mutant  
271 plasmids did not secrete a 51kDa protein product at detectable levels within 144 hours of  
272 transfection (Figure 6). Mock (i.e. - no vector) transfected cells did not secrete any detectable His-  
273 tagged protein product, as expected. Next we investigated whether the mutant proteins were being  
274 expressed but retained intracellularly by examining lysates made from the transfected cells.  
275 Western blot for the His-tag of recombinant mutant and wildtype proteins indicated mutant  
276 proteins were not detectable when analysed using OX23. In comparison, FHL-1<sub>402Y</sub> (wildtype) was  
277 present in abundance as indicated by the intense ~51kDa band despite equal protein loading as  
278 indicated by SOD2 (~26kDa). These findings suggest that although wildtype recombinant FHL-1 is  
279 expressed and secreted, mutant forms of FHL-1 are not. The absence of mutant proteins  
280 intracellularly suggests they are rapidly degraded by the cell soon after synthesis, possibly because  
281 they are unfolded or unstable, rather than synthesized and accumulated within the cell because they  
282 cannot be secreted.

283 Our findings indicate that the c.1243del, p.(Ala415ProfsTer39) het, c.1291T>A, p.(Cys431Ser) het and  
284 c.380G>A, p.(Arg127His) het variants found to underlie disease in families A, E and F respectively,  
285 could consequently be considered to result from loss-of-function of FHL-1, since the mutant proteins  
286 may be rapidly degraded upon synthesis and/or not secreted by the cell. T. Although beyond the  
287 scope of this study, it may be possible to assess this via the application of inhibitors of degradation  
288 pathways. The remaining putative EOMD-causing variants: c.350+1G>T het (family B), c.694C>T  
289 p.(Arg232Ter) het (families C and D), and c.619+1G>A het (family G), are also predicted to be loss-of-  
290 function variants. This leads us to suggest that haploinsufficiency of FHL-1 is the pathological  
291 mechanism underlying this severe, dominant, early-onset macular drusen phenotype in a subset of  
292 cases(i.e. the identified variants result in reduction in the amount of functional FHL-1 protein  
293 produced which is not sufficient to support the normal function of the retinal pigment epithelium,  
294 leading to disease).

#### 295 ***Review of previously reported EOMD mutations***

296 Following on from this hypothesis, we reviewed previously reported cases of EOMD to further define  
297 their mutational mechanisms. For this analysis we considered literature reports of mutations found  
298 to cause 'basal laminar drusen'<sup>20, 21</sup>, 'cuticular drusen'<sup>23, 37</sup> and 'early-onset AMD'<sup>22, 24, 25</sup> in the  
299 absence of kidney disease. A total of 25 different variants in 29 families have been reported  
300 (Supplementary Data D, available at [www.aajournal.org](http://www.aajournal.org)). Of these, 19 are non-synonymous  
301 missense variants. Three variants - c.1198C>A; p.(Gln400Lys) het, c.2850G>C; p.(Gln950His) het, and  
302 c.3628C>T; p.(Arg1210Cys) het - have each been identified in 2 unrelated families<sup>23</sup>. Six different  
303 loss-of-function (i.e. - splice-altering, frameshift or nonsense) variants have also been reported. One  
304 nonsense variant (c.1222C>T, p.Gln408Ter) het) has been identified in two unrelated families<sup>20</sup>. Of  
305 the 29 reported EOMD cases, 75.8% (n=22) are due to variants affecting CCP1-7 and impact both FH  
306 and FHL-1. Overall, including the cases reported here, 80.5% (n=29) of reported EOMD-causing  
307 variants (n=36) affect CCP1-7 and thus impact FHL-1 and FH. Furthermore, 100% of previously  
308 reported putative loss-of-function variants (i.e. - nonsense (n=2), frameshift (n=2), splice-altering

309 (n=3)) causing EOMD affect CCP1-7. Of the 15 missense variants affecting CCP1-7, 71.3% (n=11)  
310 have evidence that they prevent secretion or severely reduce protein function. The remaining four  
311 variants have not been assessed. In total, 81.8% (n=18) of previously reported EOMD variants  
312 affecting CCP1-7, likely result in haploinsufficiency of FHL-1/FH. Taking into account the variants  
313 reported herein, this figure increases to 86.2% (n= 25). Thus, there is considerable evidence in  
314 support of the hypothesis that haploinsufficiency of FHL-1 is the molecular mechanism underpinning  
315 the development of this severe, early-onset phenotype in a proportion of cases.  
316 Two of the other reported missense variants (p.(Pro139Ala) and p.(Arg175Gln)) have supporting  
317 evidence for their pathogenicity in that a different amino acid change at the same position of the  
318 peptide has been reported to cause *CFH*-related disease with a demonstrated effect on FH serum  
319 levels, suggesting the mutant protein is not secreted or is degraded upon synthesis/secretion<sup>25, 38</sup>.

## 320 Discussion

321 This study identified unrelated families with a history of EOMD associated with deleterious ultra-  
322 rare (MAF $\leq$ 0.0001) heterozygous *CFH* pathogenic variants. It provides evidence that clinical signs  
323 begin significantly earlier than seen in typical age-related macular degeneration cases; the earliest  
324 reported onset of retinal changes in our cohort is 16 years of age. The six different variants  
325 identified (Table 1) all localized within CCP2-7 and consequently impact FHL-1 and FH. FHL-1 is a  
326 short form of FH that retains all of the regulatory functions of the full-length protein that has been  
327 suggested to be the predominant regulator of complement in BrM, the extracellular matrix (ECM)  
328 layer that lies beneath the human retina, and the intercapillary septa<sup>26</sup>. We propose that lost or  
329 reduced function of FHL-1 leads to dysregulation of complement turnover in the ECM of Bruch's  
330 membrane and choriocapillaris, and may be the mechanism driving development of EOMD in these  
331 cases; complement over-activation is thought to be an important driver of pathogenesis in early  
332 AMD<sup>39</sup>.

333 The identified putative splice-altering and nonsense variants are likely to result in haploinsufficiency  
334 of both FH and FHL-1. By contrast, the c.1243del mutation in exon 9 of *CFH* is predicted to have

335 differing effects on the two isoforms. In FH (NM\_000186), this frameshift is predicted to undergo  
336 NMD while in FHL-1 (NM\_001014975), this same single base pair deletion is predicted to result in a  
337 frameshift within the penultimate exon that is likely to escape NMD, creating a stop codon 47 amino  
338 acids downstream [p.(Ala415ProfsTer47)] and resulting in an extension of the normal 449 amino acid  
339 product by 13 amino acids, as well as loss of its unique four amino acid C-terminal tail which is  
340 known to have a role in the proteins binding to pro-inflammatory monomeric C-reactive protein<sup>40</sup>.  
341 Predicting the impact of missense variants on protein function is challenging, particularly for the *CFH*  
342 gene<sup>33</sup>. Both of the missense variants, p.(Cys431Ser) and p.(Arg127His), as well as the  
343 p.(Ala415ProfsTer47) frameshift variant, were investigated *in vitro*. By expressing these  
344 recombinant mutant FHL-1 proteins we have demonstrated that none of the three allowed the  
345 production of a secreted FHL-1 product. We suggest that all three variants may also result in loss of  
346 protein function. The *CFH* p.(Cys431Ser) variant replaces the highly conserved, third cysteine  
347 residue of the 7<sup>th</sup> CCP domain. One of the defining characteristics of a CCP domain is the presence of  
348 two disulphide bonds with the cysteine-cysteine pattern of C<sub>1</sub>-C<sub>3</sub> and C<sub>2</sub>-C<sub>4</sub>, therefore this amino acid  
349 substitution likely disrupts the structure and function of CCP7, and results in a free cysteine that may  
350 covalently interact with surrounding residues. Functional characterization of a different amino acid  
351 substitution at the same position of the peptide, p.(Cys431Tyr), showed that it decreased stability of  
352 the recombinant FH<sup>41</sup>. Moreover, mass spectrometry analysis of plasma from the affected patient  
353 found that the protein product of the mutated allele was not present, suggesting that the change  
354 prevents secretion and/or the mutated protein is rapidly degraded<sup>41</sup>The *CFH* p.(Arg127His)  
355 substitution alters CCP2, in a region of FH/FHL-1 previously shown to be important for C3b binding  
356 and co-factor activity<sup>35</sup>. *In vivo* investigations have shown that p.(Arg127His) results in retention of  
357 mutant full-length FH in the endoplasmic reticulum (ER) of cultured patient fibroblasts<sup>35</sup>. Review of  
358 29 *CFH* mutations previously reported as the underlying cause of EOMD ('basal laminar drusen,  
359 'cuticular drusen', and 'early-onset AMD'), found that 75.8% impact FHL-1 as well as FH. This figure  
360 increases to 80.5% upon inclusion of the variants reported by this study. Importantly all 12

361 reported nonsense, splice-altering and frameshift variants (5 by this study, 7 reported previously in  
362 the literature) fall within CCP1-7. Furthermore, all missense substitutions (n =10) affecting CCP1-7,  
363 which have been evaluated *in vitro*, have been found to prevent secretion or result in loss of protein  
364 function. Consequently, at least 81% of reported EOMD variants result in loss of protein function,  
365 strongly supporting the hypothesis that haploinsufficiency of FHL-1 is one important mechanism  
366 underpinning the development of drusen in early adulthood. The contribution of AMD-related loci to  
367 EOMD if any, remains elusive but is an important area for future research. Moreover, identification  
368 of higher numbers of affected individuals or families would likely be required to associate  
369 demographics such as age at onset and severity with AMD risk alleles in this subgroup of patients.

370 Strict control of innate immunity at BrM is critical for maintaining normal homeostasis and health of  
371 the retina. It is becoming increasingly apparent that one of the main pathological characteristics of  
372 AMD is inflammation of the central retina and consequential particulate accumulation (drusen  
373 development), cellular damage and subsequent loss of vision as a result of complement  
374 dysregulation<sup>42</sup>. Factor H, and almost certainly FHL-1, are the only components of the complement  
375 system known to downregulate alternative pathway activation on host extracellular matrix and self-  
376 surfaces via interaction with binding partners and co-factors<sup>12, 39</sup>. There is increasing evidence that  
377 the eye synthesises complement pathway components locally<sup>5, 43, 44</sup>, and the importance of  
378 functional FHL-1 at the retina is becoming ever more apparent<sup>26, 27, 40</sup>.

379 It is known that FHL-1 is the only isoform that can passively diffuse across Bruch's membrane; FH  
380 cannot because of its large size<sup>26</sup>. FHL-1 is immobilized in Bruch's membrane and the ECM of the  
381 choriocapillaris by interaction with heparan sulfate *via* its glycosaminoglycan (GAG)-binding site in  
382 CCP7. In this way, FHL-1 functions to protect the ECM from complement activation<sup>26</sup>. The Y402H  
383 polymorphism has been shown to affect the function of FHL-1; the 402H variant reduces FHL-1  
384 binding to heparan sulphate<sup>26</sup>. According to the GnomAD database, 44.08% of individuals from all  
385 populations (ALL) (42.6% in the European (non-Finnish) population (EUR)) are heterozygous for the  
386 402H allele, whereas 32.54% ALL (38.4% EUR) are homozygous. Individuals heterozygous for the

387 402H variant are at a two-fold increased risk of AMD, whereas those that are homozygous have a  
388 greater than five-fold increased risk<sup>4</sup>. Studies have shown that while the AMD-associated Y402H  
389 allele does not alter FH protein conformation, nor does it alter FH levels in blood, it does result in  
390 decreased ability of FHL-1 to bind heparan sulfate<sup>26</sup>: any changes to FH binding to GAGs appears  
391 minimal due to this larger protein's second GAG binding site. Furthermore, recent work has shown  
392 that the Y402H polymorphism has a more pronounced effect on FHL-1 binding of Pentraxin-3 (PTX3)  
393 - an inflammation-associated protein that binds FH at CCP7 and CCP19-20 and serves to increase  
394 interaction of FH with apoptotic cells for iC3b opsonisation – than it does on FH binding of PTX3,  
395 most likely because it affects the only PTX3 binding site within FHL-1<sup>40</sup>. Taken together, this  
396 evidence suggests that loss of FHL-1 expression or function would have a detrimental impact on  
397 regulation of the complement system in the retina.

398 Previous publications that have identified rare, highly penetrant *CFH* mutations in association with  
399 EOMD have focussed on the location of mutations within the FH protein with respect to known  
400 functions of domains<sup>23,37</sup>. However, it is now recognised that FHL-1 is the predominant regulator of  
401 the complement pathway within BrM and the intercapillary septa<sup>26</sup>. FH and FHL-1 both negatively  
402 regulate the alternative complement pathway by competing for binding to C3b with factor B (FB) to  
403 govern the removal of immune complexes and pathogens, and modulate adaptive immunity. They  
404 also serve as co-factors for factor-I (FI) cleavage of C3b into its haemolytically inactive state, iC3b.  
405 We suggest that pathogenic variations in FHL-1 resulting in loss / impairment of function are an  
406 important cause of EOMD in the vast majority of cases.

407 There exists a well-recognised genotype-phenotype correlation with respect to *CFH* variants and  
408 disease; with variants affecting CCP1-4 and CCP6-8 mainly causing eye disease, whereas those  
409 affecting CCP19-20 cause kidney disease<sup>17</sup>. This is supported by research that has shown the GAG-  
410 binding site in CCP7 demonstrates selectivity towards heparan sulfates in Bruch's membrane and the  
411 choriocapillaris, whereas the CCP19-20 region preferentially binds heparan sulfates in the glomerular  
412 basement membrane of the kidneys<sup>12,47</sup>. However, a degree of allelic overlap exists that cannot be



413 explained by current understanding of the function of FH and FHL-1, representing an important area  
414 for future research.

415 In conclusion, rare, deleterious mutations in *CFH* resulting in haploinsufficiency of FH/FHL-1 are an  
416 important and under-recognised cause of dominant EOMD. Identification of *CFH* variants underlying  
417 EOMD has important consequences for clinical care, allowing genetic testing of other family  
418 members and counselling where appropriate; the identification of the underlying molecular cause  
419 can allow the provision of more accurate prognostic information, particularly where variants are  
420 known to increase the risk progressing to a severe phenotype resulting in significant visual loss<sup>10, 48</sup>.  
421 Furthermore, with complement modulating therapeutics being under development for AMD such  
422 genetic analyses may identify subsets of patients who may benefit from these new treatments. The  
423 impact of variants on the expression and/or function of FHL-1 have not previously been considered  
424 for EOMD, and we propose that this truncated form of FH plays a crucial role in EOMD and  
425 potentially AMD. Identification of further mutations causing the rare, genetically heterogeneous  
426 EOMD phenotype will lead to a better understanding of disease pathogenesis.

## 427 **Figure Legends**

428 **Figure 1: Fundus autofluorescence imaging in patients with EOMD with rare *CFH* variants.** a-b)  
429 Patient A:II.3 aged 51 years showing drusen at the macula and extending beyond the vascular  
430 arcades; c-d) Patient A:II.1 aged 49 years with drusen extending outside the macular region (c); e-f)  
431 Patient B:II.2 aged 89 showing drusen and retinal atrophy at the macula and in the nasal retina in  
432 both eyes; g-h) Patient B:I.1 aged 61 years with bilateral macular drusen and drusen nasal to the  
433 optic discs; i-j) Patient C:I.2 aged 64 years showing loss of central signal consistent atrophy, drusen  
434 are present around the atrophy and optic nerve; k-l) Patient D:I.7 aged 26 years showing geographic  
435 atrophy and large colloidal macular drusen; m-n) Patient E:II.2 aged 53 years showing  
436 hypoautofluorescence centrally due to geographic atrophy with a surrounding ring of  
437 hyperautofluorescence and drusen nasal to the optic disc; o-p) Patient F:II.2 aged 54 years showing

438 sparse temporal drusen; q-r) Patient G:III.7 aged 66 showing scattered macular drusen and patches  
439 of geographic atrophy.

440 **Figure 2: Protein schematic of FH and FHL-1.** Schematic diagram of FH and FHL-1 detailing protein  
441 domains and corresponding amino acid positions with locations of mutations identified in our EOMD  
442 cohort. Factor H (FH) contains 20 CCP domains (top), whereas FHL-1 encodes 7 CCP domains  
443 (bottom) FH CPP 1-7. Regions associated with C3b binding are indicated by adjacent red bars; co-  
444 factor activity by the green bar; heparin binding sites by blue bars; and sialic acid binding site by the  
445 purple bar. The locations of mutations identified by this study are indicated by grey-dashed lines.  
446 Bracketed letter following mutation nomenclature indicates the study ID of the family in which the  
447 mutation was identified.

448 **Figure 3 Expression of wild type and mutant FHL-1 in transfected HEK293 cells.** HEK293 cells were  
449 stably transfected with His-tagged wildtype pcDNA3.1-FHL-1 (FHL-1<sub>y402</sub>) or one of three mutant  
450 constructs (FHL-1<sub>C431S</sub>, FHL-1<sub>R127H</sub>, FHL-1<sub>A415f/s</sub>) as indicated at the top of each gel image, to assess the  
451 effect of the identified variants on protein expression and secretion over 144hours. Recombinant  
452 proteins purified from culture media (secreted) and cell lysates (intracellular) were subjected to SDS-  
453 PAGE and transferred to a nitrocellulose membrane. Figure shows Western blot results from  
454 analysis of experimental samples for OX23. Presence of a band indicates presence of recombinant  
455 FHL-1. Cells transfected with mutants did not secrete a detectable FHL-1 product (~51kDa) in  
456 comparison to wildtype FHL-1. Mock (no DNA) transfected cells did not produce an FHL-1 product,  
457 as expected. Analysis of cell lysates found no accumulation of mutant recombinant proteins  
458 intracellularly but wildtype recombinant FHL-1 was found to be present. SOD2 (~26kDa) indicates  
459 equal sample loading of cell lysates.

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585

**Table 1:- Phenotypic and genetic findings in early-onset macular drusen patients with *CFH* mutations.** Table details study ID, age at most recent exam, retinal and extra-ocular phenotype (if any), visual acuity, findings from electrophysiology investigations, mutation identified, CCP domain affected, allele frequency according to the GnomAD database.

| Study ID/gender (M/F)/age at onset <sup>†</sup> (Y) | Age at most recent exam | Family history* | Phenotype   | Visual Acuity (Snellen (LogMar)) |                  | Electrophysiology Findings  | Mutation                          | CCP domain | GnomAD Allele Count (allele frequency) |
|---|-------------------------|-----------------|---|----------------------------------|------------------|---|-----------------------------------|------------|--|
|   |                         |                 |   | OD                               | OS               |   |                                   |            |  |
| A:II.3/M/18   | 51                      | Yes             | Bilateral, scattered/widespread early-onset drusen, RPE mottling at the fovea, reduced VA   | +5.75 (0.22; 6/9-1)              | +3.75 (0.0; 6/6) | Normal and grossly symmetrical light adapted response. Slightly reduced dark adapted response in RE suggesting asymmetrical rod involvement. EOG normal | c.1243del, p.(Ala415Profs*39) het | 7          | -                                      |
| A:II.1/M/16   | 49                      |                 | Bilateral widespread drusen, concentrated temporal to and within the macular  | 6/3.8-1                          | 6/3.8-1          | Normal and grossly symmetrical light adapted response. Slightly reduced dark adapted response in LE suggesting asymmetrical rod involvement. EOG normal |                                   |            |  |
| B:II.2/F/80   | 89                      | Yes             | Bilateral, symmetrical, outer retinal atrophy, multiple drusen, severe visual loss  | -                                | -                | NA  | c.350+1G>T het                    | 2          | 1/245972 (0.000004066)                 |
| B:I.1/M/61  | 61                      |                 | Multiple drusen bilaterally, pattern similar to that seen in affected mother, II.1  | 6/6                              | 6/6              | NA  |                                   |            |  |
| C:I.2/F/54  | 64                      | Yes             | Bilateral drusen surrounding central atrophy and extending into the arcades. Patchy atrophy in the peripheral retina with reticular and drusenoid features. | 6/6                              | 6/15             | NA  | c.694C>T p.(Arg232Ter) het**      | 4          | 2/244650 (0.000008175)                 |

|                     |    |     |   |                               |                                     |  |                                   |     |                           |
|---------------------|----|-----|---|-------------------------------|-------------------------------------|--|-----------------------------------|-----|---------------------------|
| <b>D:I.7/F/26</b>   | 50 |     | Large 'colloid' drusen  | 6/5                           | 6/5                                 | NA   |                                   |     |                           |
|                     |    | Yes |   |                               |                                     |  | c.694C>T p.(Arg232Ter)<br>het**   | 4   | 2/244650<br>(0.000008175) |
| <b>D:II.2/M/50</b>  | 64 |     | Bilateral retinal drusenoid dystrophy with CNV and significant scarring   | 1/60                          | 6/24                                | NA   |                                   |     |                           |
| <b>E:II.2/F/50</b>  | 52 |     | Early onset macula dystrophy, macular and mid-peripheral drusen   | 6/120<br>(1.34)               | 6/96<br>(1.24)                      | Extinguished PERGs, normal EOG, normal ERG; Ishihara: 1/17 OD, 2/17 OS |                                   |     |                           |
|                     |    | Yes |   |                               |                                     |  | c.1291T>A, p.(Cys431Ser)<br>het** | 6   | 1/245702<br>(0.000004070) |
| <b>E:III.2/M/40</b> | 53 |     | Bilateral small, sparse drusen at the maculae.  | 6/4                           | 6/7.5                               | NA   |                                   |     |                           |
| <b>F:II.2/F/46</b>  | 54 | Yes | Isolated sparse drusen within the macula and temporal raphes.   | 6/6<br>(-4/-1.00 x<br>180)    | 6/4.8<br>(-4.50/-<br>1.00 x<br>170) | NA   | c.380G>A, p.(Arg127His)<br>het**  | 2   | 2/121206<br>(0.0000165)   |
| <b>G:III.7/F/45</b> | 66 | Yes | Bilateral large, sparse white/yellow drusen at the maculae, nasal to the disc and the surrounding arcades. Patchy geographic atrophy in the LE. | 6/6<br>(+2.75/-<br>1.00 x 17) | 6/6<br>(+2.75/-<br>1.50 x<br>165)   | NA   | c.619+1G>A het                    | 3-4 | -                         |

†Age at onset is defined as age at which retinal changes were first detected; Y:Years; M: Male; F:Female; VA: visual acuity; NA: information not available; PED: pigment epithelial detachment; LE: left eye; RP: retinitis pigmentosa; EDT: Electrodiagnostic Testing; PERGs: Pattern electroretinograms; EOG: Electrooculogram; ERG: Electroretinogram; CNV: Choroidal neovascularisation \*A positive family history is defined as another blood relative reported to be affected by macular disease/drusen; \*\*mutation previously reported as disease causing.



Figure 3

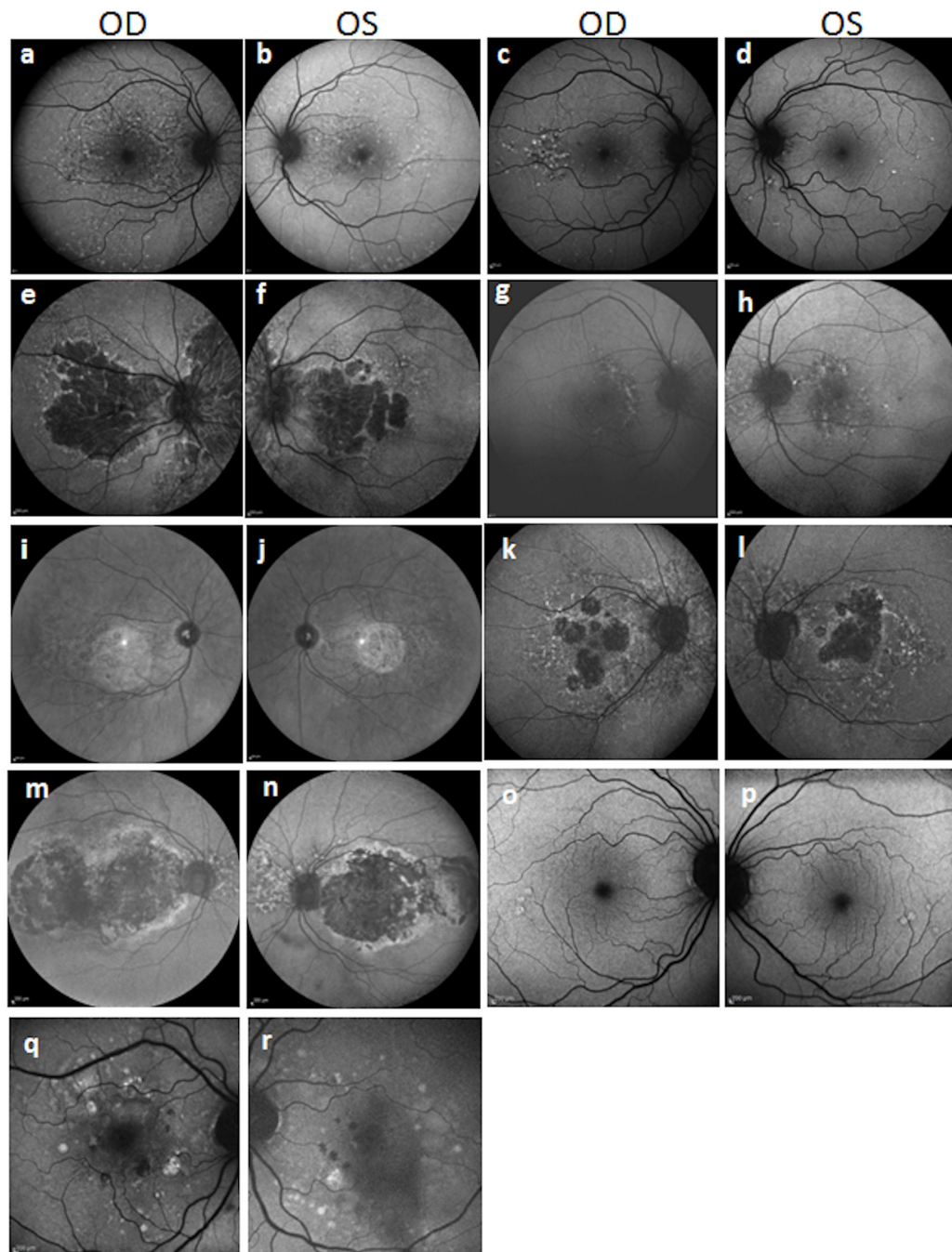




Figure 5

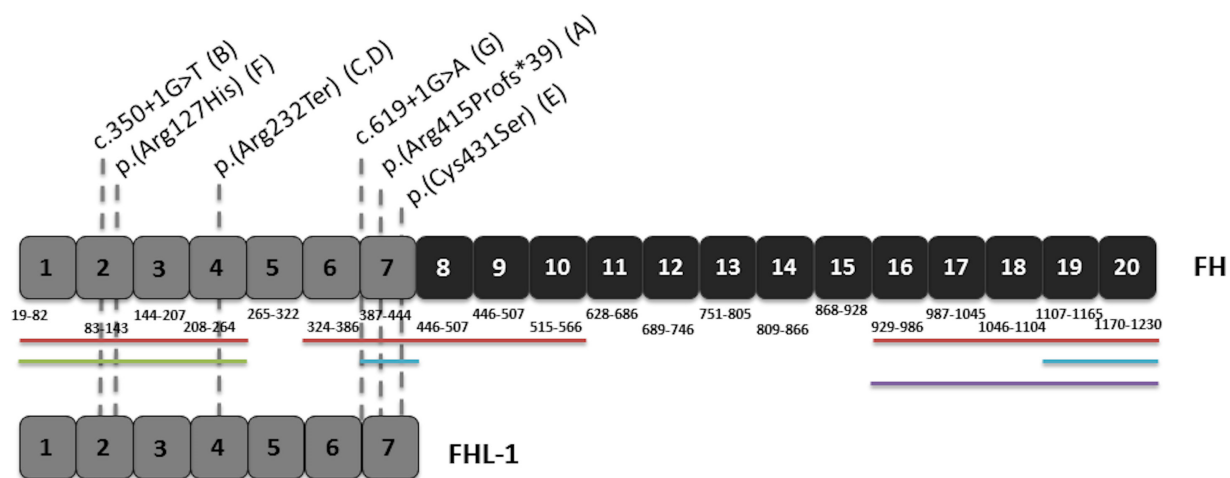
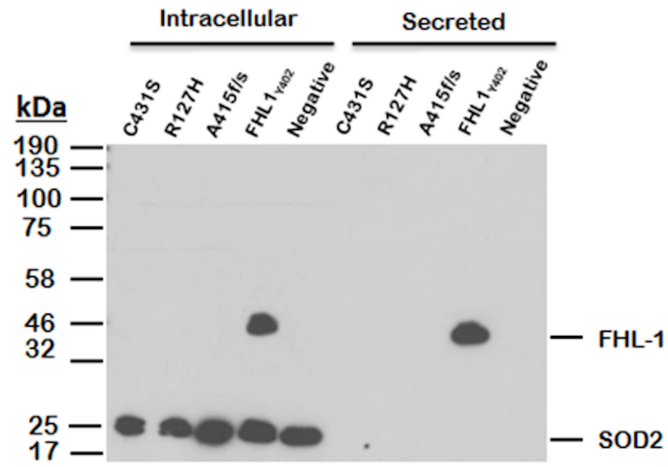


Figure 6



ACCEPTED

**Precis**

Loss-of-function mutations in *CFH* that impact FHL-1, the main regulator of the complement pathway in Bruch's membrane, cause early-onset macular drusen providing a novel mechanistic insight into macular disease that could inform AMD pathogenesis.

ACCEPTED MANUSCRIPT