1	MELANOTIC NEUROECTODERMAL TUMOUR OF INFANCY:
2	<b>REFINING THE SURGICAL APPROACH</b>
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22	Running Title: MNTI – Surgical Approach
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# 26 Abbreviations

GOSH	Great Ormond Street Hospital
MNTI	Melanotic Neuroectodermal Tumour of Infancy
VMA	Vanillylmandellic Acid

28 This work was presented previously at the British Association of Oral and Maxillofacial Surgery

29 Annual Scientific Meeting on 21<sup>st</sup> July 2018 in Durham, England.

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### 44 Abstract

Melanotic Neuroectodermal Tumour of Infancy (MNTI) are particularly rare and although predominantly benign, are infiltrative and locally aggressive. Presenting in the first year of life, prompt diagnosis and effective management are critical in minimising morbidity and the risk of recurrence. A retrospective review of eleven MNTI managed at Great Ormond Street Hospital (GOSH) from 2000 to 2017 was undertaken. Eight tumours presented in the maxilla, two in the skull and one in the mandible. The primary modality of treatment was surgery in ten cases with one patient receiving neoadjuvant chemotherapy. In spite of microscopically incomplete resection in seven cases, only three recurred. Overall, there was a local recurrence rate of 27% with no distant metastases noted. Disease free survival was 100% with a follow up ranging from 0.75-17 years (median 5). Taking our results in conjunction with the available literature, there is a role for conservative initial surgery of MNTI and this should be coupled with delayed reconstruction and intensive short term follow up. We propose an adapted treatment algorithm that aims to balance the risk of recurrence and malignant change with surgical morbidity in an infant population. Keywords: Pediatric Oncology; Rare Tumours; Neuroectodermal Tumour, Melanotic/diagnosis, Neuroectodermal Tumour, Melanotic/surgery\* 

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### 71

## 72 Introduction

73 MNTI typically present in the first year of life as rapidly expanding, destructive lesions which are 74 dark blue or pigmented in nature. Knowledge of MNTI comes largely from collections of case series 75 and the scarcity of strong evidence-based approaches to their management stems from the rarity of the 76 condition. The best available epidemiological data comes from a systematic review of 472 cases<sup>1</sup>. The 77 majority of reported tumours presented in the maxilla (62%), followed by the skull (16%) and 78 mandible (8%) although there were cases noted in other regions such as the peripheral bones and 79 epididymis. There is a slight male preponderance with a 6:4 male:female ratio presenting at a median 80 age of 4.5 months old<sup>1</sup>. Surgery is the first line of treatment but there is no clear consensus on intra-81 operative margins and a relatively high rate of recurrence estimated between 10-27% in spite of their 82 predominantly benign nature<sup>2–8</sup>.

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The aim of this retrospective study is to report a series of eleven patients with MNTI and propose a treatment algorithm focusing on the risks and benefits of both the conservative and radical approaches to the management of MNTI.

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# 88 Patients and Methods

Institutionally approved as a case note review, a retrospective search of the GOSH histology database yielded twelve cases over the last 17 years. One was a review of slides from the Middle East to confirm the diagnosis and was excluded. Two cases had initial management undertaken elsewhere before onward referral to GOSH and the remainder of the cases were treated in their entirety at GOSH. Each case was reviewed in depth, looking at their clinical, radiological and histopathological features alongside their management and outcomes as summarised in Table 1.

95

96 **Results** 

97 The cohort displayed a male to female ratio of 7:4 and a median age of diagnosis of 5 months old with 98 no ethnical predisposition. Eight tumours were observed in the maxilla, two on the skull and one in 99 the mandible. MRI was available for review in eleven cases with seven patients having CT in 100 addition, an example of which is shown in Fig. 1. Urinary vanillylmandelic acid (VMA) was elevated 101 in two cases.

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Surgery was undertaken first in all but one case where chemotherapy was given at another hospital before disease progression prompted onwards referral to GOSH. This represented the one mandibular tumour and was subsequently resected with clear margins and a costochondral graft placed. On review, two years on from surgery, he was disease free with no deleterious effect on speech and normal oral intake for his age.

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109 Two maxillary resections included the floor of the orbit (Brown classification IIIb) and seven out of 110 the eight maxillary resections caused an oro-nasal fistula<sup>9</sup>. With regards to peri-operative feeding; of 111 the eight maxillary tumours, five required a nasogastric tube to be utilised in the post-operative period 112 but the other three adjusted well and were able to maintain adequate oral intake with a pack in situ.

113

Where the tumour was completely excised, no further treatment was required. However, using the residual tumour classification, there were seven cases with positive (R1) margins<sup>10</sup>. Out of these, three recurred rapidly after surgery and required chemotherapy as part of their primary course of management. Where chemotherapy was given as adjuvant therapy for the aforementioned recurrent tumours, it was started on average eight weeks (range 5-11) following the initial intervention.

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Where the tumour did recur, it was noted clinically in all cases within one month of the initial surgery.
This pattern has also been mirrored in the literature<sup>2,11</sup>. Following completion of treatment as stated in *Table 1*. there were no local or regional recurrences noted at follow-up with a range of 0.75-17 years.

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### 124 Discussion

Working-up of cases should comprise routine blood tests, a chest x-ray and screening of urine for VMA and catecholamines, which can be elevated in neuroendocrine tumours<sup>12</sup>. MRI is the preferred modality for imaging with an iso/hypointense expansile lesion being seen on T1 and T2 weightings with marked uptake of gadolinium. T1 shortening due to melanin deposits has been reported but importantly is not always noted<sup>2,13</sup>. CT may also be indicated to assess the bony component, but the radiation dose must be weighed up against how significantly it would change management or aid surgical planning.

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Where possible, biopsy should be carried out after the MRI but under the same general anaesthetic and often reveals the dark pigmentation within the lesion (Fig. 2). Early referral of a lesion that is clinically suspicious to a tertiary centre ahead of formal biopsy and a structured approach to treatment is advised even where there may be temptation to curette smaller intra-oral lesions.

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On microscopy the characteristic features are of melanin containing epithelium and small, round cells that have a darkly staining nucleus with little cytoplasm (Fig. 3). Staining for synaptophysin and HMB-45 may also further aid in diagnosis<sup>1,14</sup>. Regrettably, histological appearance seems to give little insight into how the tumour will behave. In spite of this, increased cellular proliferation shown by Ki-67 staining and membrane expression of CD99 have been proposed as possible indicators of more aggressive subtypes<sup>15</sup>.

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Other differential diagnoses include infection, eruptions cysts, infantile haemangioma, neuroblastoma, rhabdomyosarcoma and Ewing sarcoma<sup>1,2</sup>. MNTI are predominantly benign but due consideration to malignant variants should be given. This has been reported to be between 2-6% but debate has been held regarding whether these are misnomers for neuroblastoma<sup>3,4</sup>.

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150 Comprehensive review of each case at a paediatric oncology multi-disciplinary team meeting is

mandatory. The management of these tumours is generally accepted as surgical resection but, as we
will discuss later, is slightly contentious<sup>1,16–18</sup>. Adjuvant chemotherapy may also be required for
persistently recurrent or malignant tumours and is supported by guidelines from the Children's Cancer
and Leukaemia Group (CCLG)<sup>3</sup>.

For maxillary tumours, resection is undertaken via a vestibular approach. However, utilising a transfacial, combined approach can be justified in certain circumstances. Due to the rapid growth of the tumours in relation to the infant, the resections are significant, and have a lasting impact on their facial development.

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To manage the maxillectomy defect, simple measures can be highly effective. Taking an alginate impression post resection enables the fabrication of an acrylic cover plate whilst the patient is on the table. This is then secured using self-tapping screws and a bismuth iodoform paraffin pack can be secured underneath it (Fig. 4). This has been found to be a well-tolerated, functional approach that heeds caution to the risk of recurrence.

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In the mandible, surgical management is less straightforward and if the tumour is resected will almost always result in a continuity defect that requires reconstruction with a costo-chondral graft in the first instance. Again, tumours presenting on the skull are more complex and surgical resection with clear margins is desirable but the bony infiltration of MNTI means that this has the potential to carry significant morbidity. Surgery with the aid of navigation and staged resection is often required.<sup>19,20</sup>.

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173 Current CCLG guidelines advocate chemotherapy in unresectable tumours or where

174 metastatic disease is present. Additionally, it is indicated where there is progression of disease after

two surgical interventions. Two regimes are supported, with the first involving cyclophosphamide and

176 vincristine for benign but recurrent tumours. The second regimen of OPEC/OJEC (vincristine (O),

177 cisplatin (P), etoposide (E), cyclophosphamide (C), and carboplatin (J) as per CCLG guidelines) is

178 reserved for persistently progressing tumours or malignant variants<sup>3,21</sup>.

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180 The primary aim in MNTI treatment is to cure with the benefit of minimising the number of 181 surgical interventions and their sequelae an additional consideration. Successful surgery 182 should remove the need for adjuvant therapy.

183

Recurrence rates have previously been reported varying between 10-15%<sup>3-8</sup>. However, a recent French 184 multi-centre review reported a recurrence rate of 27%<sup>2</sup>, which is more in keeping with our series 185 186 where exactly that percentage of cases recurred. Complete resection gives a low incidence of 187 recurrence. However, out of our cases with positive margins, less than half recurred. This is reflected 188 in the literature and has been attributed to the host response stimulated by surgery removing the 189 residual tumour<sup>3</sup>. This raises the question of the relevance of clear margins in the management of 190 MNTI, especially when considering surgical morbidity in the paediatric population. Some authors have suggested conservative surgery or curettage<sup>2,18</sup>, with the majority advocating resection<sup>1</sup>. 191

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193 There is no established surgical margin but a 5mm macroscopic margin has been proposed<sup>22,23</sup>. 194 Complete resection seems to provide the most reliable cure, but a successful outcome can also be 195 achieved with preservation of key anatomical landmarks and microscopically incomplete resection. 196 Any significant surgical intervention to the facial skeleton in a child is likely to cause altered growth 197 and development. This could burden the patient with long-term rehabilitative care and reconstructive 198 needs. In certain anatomical sub-sites, if an extensive resection can deliver a macroscopically clear 199 margin then this may be appropriate. However, in more anatomically sensitive sites, then the balance 200 in favour of a more conservative approach may be more acceptable. This alternative may be coupled 201 with a delayed approach to reconstruction and intensive short-term follow-up to aid clinical 202 surveillance and allow early detection of potential recurrence. If unsuccessful, repeated surgery with 203 or without chemotherapy would then be indicated.

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205 Indications for conservative initial surgery are where resection would involve the orbital floor,

extensive intracranial dissection or interrupt mandibular continuity. These areas carry significant risks
and pose problems with reconstruction that will likely affect the child's future development. Bearing
in mind the nature of MNTI, it is difficult to justify radical surgery to obtain microscopically clear

209 margins. The emphasis on conservative surgery in these areas is not absolute and consideration should

210 be given to ease of surveillance and the anticipated difficulty in managing recurrent disease. However,

211 on balance, the possibility of successful management with a minimum or morbidity is favoured.

212 Discussion at the paediatric oncology MDT is essential for all cases, especially taking into account the

213 differential diagnoses and possibility of malignant variants<sup>3–5</sup>. Our proposed treatment algorithm has

been amended from the CCLG guidelines and is shown in Fig. 5.

215 It is important to highlight the limitations of this paper, which is a common theme amongst rare

216 diseases, that our conclusions are drawn from small numbers. We would support a multicentre

217 international database collaboration to further delineate disease patterns and outcomes.

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#### 225 **Declarations**

226 **Funding:** No funding was received for this research

227 **Competing Interests**: Nothing to declare

228 Ethical Approval: Ethical approval was not required but the study was institutionally approved as a

case note review

230 **Patient Consent:** Consent has been obtained

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# 292 Legends

Figure 1. CT and MRI demonstrating a MNTI presenting in the left occipital, temporal and parietal bone with marked spiculated periosteal reaction and extradural involvement of the left middle and

295 posterior cranial fossae. Also noted is extensive temporoparietal lobe oedema adjacent to the lesion.

Figure 2. Maxillary MNTI presenting in a three-month-old girl causing incompetent lips and difficulty

feeding. Biopsy revealed the pathognomic darkly pigmented appearance of the tumour owing to thepresence of melanin deposits.

299 Figure 3A. Melanotic neuroectodermal tumor of infancy(MNTI). The neoplastic proliferation consists

300 of a biphasic cell population comprised of nests and cords of eosinophilic epithelioid cells

301 accompanied by darker-staining cells within a fibrous stroma. (Hematoxylin and eosin;

302 magnification x 40).

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Figure 3B. Tumor nests composed of two cell types: larger epithelioid cells in intimate association
with smaller, hyperchromatic round cells. Light melanin pigmentation is seen in a few epithelioid
cells.(Hematoxylin and eosin; magnification x200).

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308 Figure 4. Surgical Management of a Maxillary MNTI. A: En-bloc surgical resection preserving the

309 orbital rim and floor. B: Placement of a cover plate over a bismuth iodoform paraffin paste pack,

310 secured with self-tapping screws visible in the right posterolateral maxilla. C: Assessment of the

311 specimen returned with positive margins but here good granulation was noted upon pack change two

312 weeks after the initial intervention with no signs of local recurrence. D: Photograph taken one year

after initial surgery demonstrating good healing and no signs of recurrence. The oro-nasal fistula will

314 be closed with local flaps in two-layers.

315 Figure 5. Revised treatment algorithm adapted from CCLG guidelines<sup>3</sup>

316 \*As defined by a resection involving the orbital rim or floor, interrupting mandibular continuity or

317 requiring extensive intracranial dissection.

318 Table 1. Melanotic Neuroectodermal Tumours of Infancy Treated at GOSH from 2000 to 2017

- 319 \*Success was defined as disease free survival at the given follow-up
- 320 †Margins of surgery as per the residual tumour classification<sup>10</sup>
- 321 ‡Maxillary resections as per Brown's classification<sup>9</sup>
- 322 §OPEC/OJEC—vincristine 1.5 mg/m<sup>2</sup> (O), cisplatin 80 mg/m<sup>2</sup> (P), etoposide 200 mg/m<sup>2</sup> (E),
- 323 cyclophosphamide 600 mg/m<sup>2</sup> (C), and carboplatin 500 mg/m<sup>2</sup> (J) as per CCLG guidelines<sup>3</sup>