



PD-L1 expressing granulomatous reaction as an on-target mechanism of steroid-refractory immune hepatotoxicity.

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4 1 **PD-L1 expressing granulomatous reaction as an**
5 2 **on-target mechanism of steroid-refractory immune hepatotoxicity.**
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31 **Abstract**

32 Immune-related hepatitis is an important toxicity from immune-checkpoint inhibitor (ICPI)
33 therapy, affecting up to 20% of patients on dual CTLA-4/PD-1 inhibitors. The mechanisms
34 underlying this type of drug-induced liver injury (DILI) are poorly understood. We report the
35 case of a patient with ICPI-related hepatitis where the presence of a diffuse granulomatous,
36 PD-L1-positive infiltrate on liver biopsy correlated with a poor response to corticosteroids.
37 Our findings suggest a potential role for activation of the PD-1 pathway within the histiocytic
38 infiltrate as a mechanism of toxicity; further study should attempt to target macrophages in
39 this patient group characterised by steroid-refractoriness.

40 **Keywords:** Immune checkpoint inhibitors, hepatotoxicity, hepatitis, DILI, toxicity.

41

42 **Introduction**

43 Immune-checkpoint inhibitors (ICPI) have revolutionised the treatment landscape of a wide
44 range of malignancies including metastatic melanoma, where combination immunotherapy
45 affords unprecedented 3-year survival rates of 58% in treatment-naïve patients[1]. Currently
46 approved ICPIs can reconstitute the host anti-tumour immune response by blocking tumoural
47 mechanisms of immune evasion, such as the programmed cell death 1 (PD-1) or the cytotoxic
48 T-lymphocyte antigen 4 (CTLA-4) pathway[2].

49 Inhibition of these immune-tolerogenic pathways acts at different levels of the immune
50 synapsis; where PD-1 inhibitors such as nivolumab re-invigorate the immune-exhausted
51 effector T-cell responses at the periphery, anti-CTLA-4 antibodies including ipilimumab act at
52 the priming phase of the cancer immunity cycle[2].

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3 53 Combination ICPI therapy exerts synergistic anti-tumour effects, and dual administration of
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5 54 nivolumab/ipilimumab confers a significantly improved rate of objective responses when
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8 55 compared to ipilimumab alone[3].
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11 56 Immunotoxicity is a major challenge in patients treated with ICPIs, where a wide range of
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13 57 immune-related adverse events (irAE) have been described and clinical presentation
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16 58 frequently mimics autoimmune pathology. Prevalence and intensity of immunotoxicity
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18 59 significantly increases with dual checkpoint blockade, where the probability of Grade 3-4
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21 60 adverse events can be as high as 59[3]. Hepatitis is a recognised form of immune-related drug-
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23 61 induced liver injury (DILI) that affects approximately 10% of patients on single-agent CTLA-4
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26 62 blockade and up to 18% of patients treated with dual CTLA-4/PD-1 antibody therapy[4]. The
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28 63 pathogenesis of DILI is influenced by a number factors relating to the offending agent and the
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31 64 host, and in the context of ICPI treatment, prediction of DILI remains clinically elusive[5].
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34 65 The mainstay of treatment of ICPI-induced DILI is represented by discontinuation of
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36 66 immunotherapy and immediate initiation of high-dose corticosteroids. However, responses
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38 67 are highly heterogeneous, with the toxicities of some patients resolving without
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41 68 corticosteroids and some patients requiring escalation to second-line immune suppressive
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43 69 therapy. Here, we investigated the mechanisms underlying ICPI-mediated hepatotoxicity
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46 70 using multiplex immunohistochemistry on a diagnostic liver biopsy sample of a patient with
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48 71 immune-mediated hepatotoxicity describing a PD-L1⁺ histiocytic reaction to be an
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51 72 immunopathologic correlate of steroid-refractory ICPI-related DILI.
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57 74 **Clinical case**
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3 75 We report the case of a 56-year old man who was first diagnosed with BRAF V600E-mutant
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6 76 melanoma of unknown primary site in September 2016, having presented with a painless left
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8 77 axillary lump. Following axillary lymphadenectomy, he relapsed in March 2017 with
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10 78 multifocal liver metastases, at which point he was commenced on induction ipilimumab (3
11
12 79 mg/kg) and nivolumab (1 mg/kg) immunotherapy. After 2 cycles he returned to clinic
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15 80 complaining of general malaise, arthro-myalgias and mildly raised ALT at 110 IU/L for which
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18 81 he was started on dexamethasone 8 mg OD.

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21 82 He presented to the Emergency Department 1 week later with fevers (39.5°C), right upper
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23 83 quadrant tenderness and nausea. Initial investigations revealed worsening liver laboratory
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26 84 tests (ALT 147, AST 120 IU/ml, ALP 144 IU/ml, Albumin 39 g/L, bilirubin 3 umol/L) with ongoing
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28 85 evidence of grade 2 transaminitis but no signs of acute liver failure such as jaundice, ascites
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30
31 86 or coagulopathy. Full blood count with differentials was within normal range (WCC 6.6 with
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33 87 Eosinophils 0.1, Hb 132, PLT 287). C-reactive protein was elevated at 26 ng/ml and a full septic
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36 88 screen inclusive of blood, urine, throat swab cultures and Chest X-Ray did not reveal a source
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38 89 of infection. Hepatitis serology including Virus B, C and Cytomegalovirus viraemia were
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41 90 negative and a TB elispot test was non-reactive. Serology screen including antibodies against
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43 91 Bartonella, Coxiella species, and anti Herpes Simplex virus IgM were negative, alongside auto-
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45 92 antibody screen inclusive of ANA, ANCA. Restaging MRI showed disease stability within the
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48 93 liver but no radiographic findings to account for the transaminitis. A doppler ultrasound ruled
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50 94 out Budd-Chiari Syndrome, and spleen volume was within normal limits.

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53 95 A liver biopsy showed moderate portal, periportal and lobular inflammation with evidence of
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56 96 multiple non-caseating epithelioid granulomata, with no evidence of fibrosis or ductular
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58 97 damage, consistent with previous descriptions of immune-mediated drug-induced liver injury
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3 98 (4). The inflammatory infiltrate was strongly PD-L1-positive and PD-L2-negative, with strong
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5 99 T-cell enrichment and little evidence of B-cell or NK cell involvement (Fig. 1 B-F). No fibrin ring
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8 100 granulomata were seen.
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11 101 As the patient's liver ALT levels continued to worsen to grade 4 (peak 915 IU/L), following
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13 102 hepatology consultation, he was started on pulsed methylprednisolone (up to 2 mg/kg OD)
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16 103 and subsequently escalated to mofetil-mycofenolate (MMF, 1 g BD). The systemic
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18 104 inflammatory reaction gradually subsided, however ALT normalisation was not reached until
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21 105 6 weeks after presentation.
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24 106 Written informed consent was taken from the patient prior to publication of this case report.
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27 107 **Discussion**

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30 108 ICPI-related DILI is a poorly understood irAE with varied clinical presentations and
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32 109 histopathological correlates[6]. The limited evidence currently available is derived from small
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35 110 number of case series of patients treated either with single agent CTLA-4 or dual agent CLTA-
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37 111 4/PD-1 blockade.
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40 112 Typically, clinico-pathological features of ICPI-related DILI are variable, and the formation of
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43 113 granulomas is a rare histopathological correlate, not reported in initial studies [7,8]. More
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46 114 recent evidence from a study of 16 patients with DILI secondary to ICPIs described for the
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48 115 first time a pattern of granulomatous hepatitis associated with lobular inflammation and
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51 116 necrosis as a typical feature of CTLA-4-associated DILI, which contrasted with the non-
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53 117 granulomatous inflammatory appearances seen in patients receiving single-agent anti PD-1
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55 118 therapy[4].
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3 119 Convincing evidence of association between ICPI exposure and granulomatous hepatitis has
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6 120 emerged in the studies by Kleiner, Johncilla and Everett, and is reviewed in Table 1 [4, 9-11].
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8 121 Interestingly, the presence of fever is not universally present as a prodromic symptom of this
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10 122 peculiar type of DILI, and immunopathologic features remain poorly characterised, more so
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13 123 with regards to the molecular pathways underlying this organ-specific type of auto-immunity.
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15 124 Liver laboratory test values may improve spontaneously following discontinuation of ICPIs or
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18 125 with low-dose corticosteroids, and it has been suggested that it may be possible to stratify
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20 126 patients according to a combination of the degree of derangement of serum bilirubin and INR,
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23 127 alongside the severity of histological appearance [4]. However, at present there exists no
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25 128 validated clinical or histopathological predictor to differentiate patients who will follow a
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28 129 benign course from those with steroid-refractory disease for whom escalation to
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30 130 immunosuppressants is recommended by clinical guidelines[12].
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33 131 The potential negative impact of steroids on long-term outcomes for patients receiving ICPI
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36 132 reinforces the need for a comprehensive immunopathologic characterisation of ICPI-related
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38 133 DILI in an attempt to improve patient stratification and optimise management[13].
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41 134 In our case, liver toxicity presented atypically. The systemic outset with fever and
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44 135 constitutional symptoms dominated the clinical picture and pre-dated the ALT rise, whilst the
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46 136 protean manifestation and the protracted time to ALT clearance were recognised challenges
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49 137 in the management of our patient.
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52 138 Despite discontinuation of ICPIs and administration of high-dose corticosteroids, liver
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54 139 laboratory test values continued to worsen requiring escalation to mofetil-mycophenolate
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57 140 (MMF).
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3 141 In this patient, dual checkpoint inhibition led to diffuse granulomatous infiltration of the liver
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5 142 parenchyma. Notably, a focal PD-L1-positive and PD-L2-negative, predominantly histiocytic,
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7 143 infiltrate was seen, a finding that was not documented in previous studies. These data, whilst
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9 144 based on a single case observation, may provide useful insight into the pathogenesis of this
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11 145 atypical form of liver injury, confirming, for the first time, ICPI-related DILI as an “on-target”
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13 146 adverse effect of immunotherapy.
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18 147 Mechanistic evidence suggests dexamethasone to suppress CD28-stimulated differentiation
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20 148 of naïve T lymphocytes, with blockade of CTLA-4, but not PD-1, significantly reducing the
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22 149 immune-suppressive effects of corticosteroids[14]. A PD-L1-expressing granuloma is an
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24 150 important hypothesis-generating finding: it suggests the importance of dual CTLA-4/PD-1
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26 151 blockade of the tissue resident macrophages that drive immune pathology, whilst activation
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28 152 of the PD-1 pathway within the infiltrate implies its role as a potential mediator of steroid-
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30 153 refractoriness.
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36 154 **Conclusion**

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39 155 We have described a case of corticosteroid-refractory hepatotoxicity secondary to immune
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41 156 checkpoint inhibitors where biopsy revealed a granulomatous infiltrate that was shown to
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43 157 express PD-L1. This gives insight into the mechanisms underlying this on-target toxicity;
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45 158 further characterisation of its immunobiology may improve our ability to manage this
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47 159 challenging side-effect.
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52 160 **Future Perspective**

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55 161 The use of ICPIs is becoming more widespread for a variety of tumour types. As such, clinicians
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57 162 may see an increasing incidence of this hepatotoxicity in the future. Further characterisation
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3 163 of the immunobiology of ICPI-related DILI, with a focus on macrophage function, should be
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6 164 prioritised in future studies.
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9 165 Whilst this represents a key step to understanding the mechanism of liver immunotoxicity, it
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11 166 may also serve as a diagnostic tool to aid clinicians in dissecting the clinical and biological
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13 167 heterogeneity of this patient population and help to rationalise treatment strategies on the
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16 168 basis of severity. Importantly, our case suggests that selective inhibition of pathways
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18 169 regulating the activation of tissue-resident macrophages may be a therapeutic target within
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21 170 the challenging patient group characterised by refractoriness to steroids.
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24 171 Given that 10-20% of patients on single or dual agent ICPI are affected by ICPI-related DILI,
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26 172 more effective stratification and treatment of these patients is urgently required.
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32 174 **Summary Points**

33 175 Immune checkpoint inhibition

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39 176 • Immune checkpoint inhibitors are a highly effective therapy across a number of
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41 177 malignancies.
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44 178 • ICPIs target either the CTLA-4 or PD-1 axis, and in metastatic melanoma simultaneous
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46 179 dual-agent therapy against both pathways confers significant added benefit.
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49 180 • Immune-related adverse events are common with this form treatment and their
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51 181 clinico-pathology can appear to be similar to auto-immune pathology.
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53 54 182 ICPI-related DILI

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57 183 • Hepatotoxicity secondary to ICPIs is a common adverse event and has a varied clinical
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59 184 presentation.
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3 185 • A small number of studies have analysed liver biopsies of affected patients and have
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5 shown varied histo-pathology: a pattern of granulomatous hepatitis is demonstrated
6 186
7
8 187 within certain patients.
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10 188 • Recommended therapy involves immunosuppression with high-dose corticosteroids;
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12 responses are heterogenous, in certain patients the hepatitis fails to resolve and
13 189
14 frequently escalation to mofetil-mycophenolate (MMF) is required.
15 190
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17 191 • There is little evidence to stratify which patients will require, and respond to,
18 192
19 immunosuppression and this represents a significant barrier to anti-cancer treatment
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21 within this subset of patients on ICPI therapy.
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23
24 194 • The immunobiology of ICPI-related DILI is poorly understood.
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28 195 Our case
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- 31 196 • We describe a case of dual-agent ICPI-related DILI where a prodrome of fever and
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33 constitutional symptoms were followed by an ALT rise which did not resolve following
34 197
35 treatment with high-dose corticosteroids and required escalation to MMF.
36 198
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38 199 • PD-L1 positive diffuse granulomatous infiltrate was seen on liver biopsy,
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40 demonstrating that this ICPI-related DILI represents an 'on-target' immune-related
41 200
42 adverse event.
43
44 201
45
46 202 • This suggests a pathological role for the PD-1 pathway in mediating this steroid-
47
48 refractory ICPI-related DILI.
49 203
50

51 204 **NO DISCLOSURES:**
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53
54 205 The authors have no relevant affiliations or financial involvement with any organization or entity
55 206 with a financial interest in or financial conflict with the subject matter or materials discussed in the
56 207 manuscript. This includes employment, consultancies, honoraria, stock ownership or options, expert
57 208 testimony, grants or patents received or pending, or royalties.
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3 210 No writing assistance was utilized in the production of this manuscript.
4
5 211

6 7 212 **Ethical conduct of research**

9 213 The authors state that they have obtained appropriate institutional review board approval or have
10 214 followed the principles outlined in the Declaration of Helsinki for all human or animal experimental
11 215 investigations. In addition, for investigations involving human subjects, informed consent has been
12 216 obtained from the participants involved.
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16 218 **INFORMED CONSENT DISCLOSURE:**

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18 219 The authors state that they have obtained verbal and written informed consent from the
19 220 patient/patients for the inclusion of their medical and treatment history within this case report.
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38 39 227 **References**

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9 268 * This reference outlines the mechanisms of corticosteroid-induced immunosuppression,
10 269 particularly in the context of T-cell activity.

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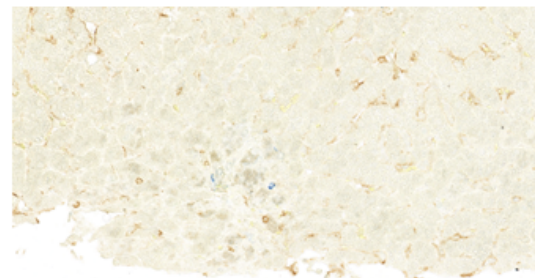
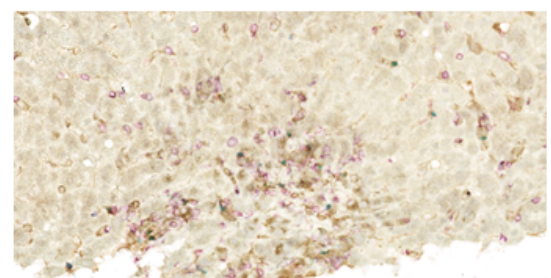
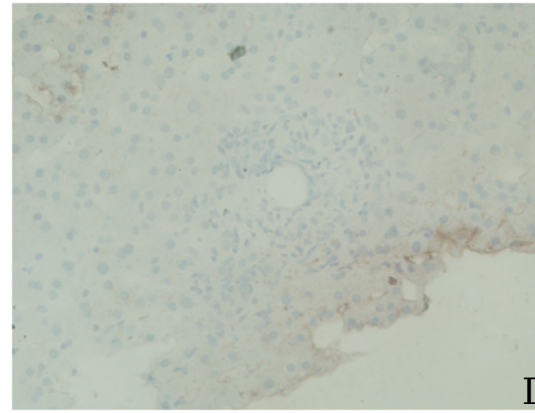
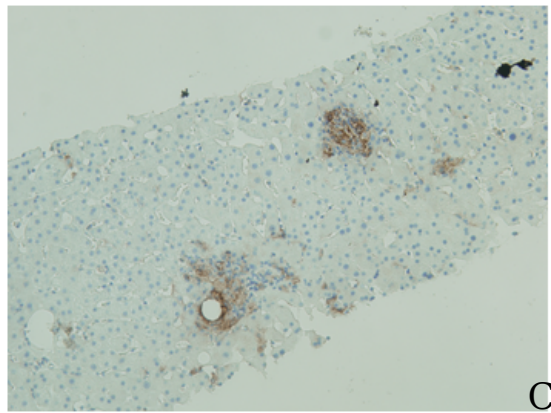
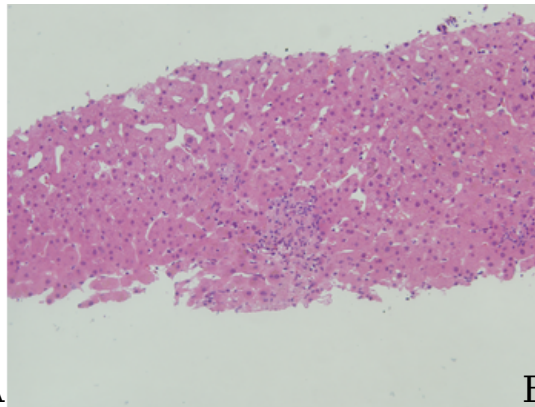
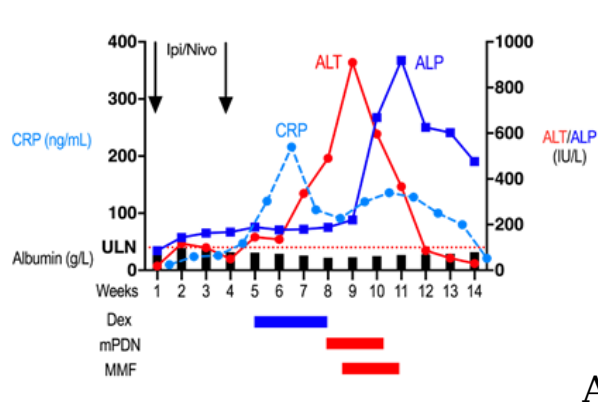
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46 281 **Figure Legends.**

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49 282 **Figure 1. A.** Changes in alanine transaminase (ALT, IU/L red line) above upper limit of
50 283 normality (ULN, IU/L red dotted line), alkaline phosphatase (ALP, IU/L dark blue line), C-
51 284 reactive protein (CRP, ng/ml light blue line) and albumin (Alb g/L, black columns) following
52 285 treatment with ipilimumab/nivolumab (black arrows) dexamethasone (Dex), methyl-
53 286 prednisolone (mPDN), and mofetil-mycophenolate (MMF). **B-F.** Liver biopsy specimen

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3 287 showing evidence of non-necrotizing granulomata (**B**) with strong PD-L1-positive (**C**), PD-L2-
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6 288 negative (**D**) infiltrate. Multi-colour immunohistochemistry in panel **E** shows CD4 (brown
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8 289 chromogen) and CD8 (red chromogen) T-cell enrichment, with occasional presence of
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10 290 CD4⁺/FOXP3⁺ regulatory T-cells, and a paucity of B-cell (panel **F**, blue chromogen) and NK cell
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12
13 291 infiltration (brown chromogen).

16 292 **Table 1.** Table overviewing the clinical findings of reported cases of granulomatous
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18 293 hepatotoxicity from the studies of Kleiner, Johncilla and Everett (9-11). In particular, we
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20
21 294 outline whether fever was present in the prodrome, the value at which ALT peaked, the ICPI
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23 295 used, and whether the patient required treatment with corticosteroids, and if so, whether
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26 296 they responded sufficiently or if escalation to MMF was necessitated. N/a's represent cases
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28 297 for which the relevant information was not included in the named study.
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Case number	Reference	ICPI (Dual/Monotherapy)	Fever	ALT peak	Immunosuppressive therapy (corticosteroids/MMF)
1	De Martinet <i>al.</i> ⁴	Dual	Yes	n/a	None
2	De Martinet <i>al.</i> ⁴	Dual	Yes	n/a	Corticosteroids
3	De Martinet <i>al.</i> ⁴	Single (CTLA-4)	Yes	n/a	MMF
4	De Martinet <i>al.</i> ⁴	Single (CTLA-4)	Yes	n/a	Corticosteroids
5	De Martinet <i>al.</i> ⁴	Single (CTLA-4)	Yes	n/a	Corticosteroids
6	De Martinet <i>al.</i> ⁴	Single (PD-1)	No	n/a	None
7	De Martinet <i>al.</i> ⁴	Single (PD-1)	No	n/a	None
8	Kleineret <i>al.</i> ⁹	Single (CTLA-4)	Yes	304	MMF
9	Johncillaet <i>al.</i> ¹⁰	Single (CTLA-4)	n/a	3075	Corticosteroids - response unknown
10	Johncillaet <i>al.</i> ¹⁰	Dual	n/a	189	Corticosteroids - response unknown
11	Johncillaet <i>al.</i> ¹⁰	n/a	n/a	185	Corticosteroids - response unknown
12	Johncillaet <i>al.</i> ¹⁰	n/a	n/a	384	Corticosteroids - response unknown
13	Everettet <i>al.</i> ¹¹	Dual	Yes	130	Corticosteroids
14	Everettet <i>al.</i> ¹¹	Dual	Yes	643	MMF
15	Blacket <i>al.</i>	Dual	Yes	915	MMF