

# Developing a Collaborative Strategy to Manage and Preserve Cultural Heritage during the Libyan Conflict. The case of the Gebel Nāfusa

Marco Nebbia<sup>1</sup>, Anna Leone<sup>1</sup>, Ralf Bockmann<sup>2</sup>, Mftah Hddad<sup>6</sup>, Hafed Abdouli<sup>5</sup>,  
Ahmed M. Masoud<sup>3</sup>, Nader M. Elkendi<sup>3</sup>, Hassan M. Hamoud<sup>3</sup>, Salah S. Adam<sup>4</sup>,  
Moncif N. Khatab<sup>4</sup>

<sup>1</sup> *Department of Archaeology, Durham University, UK*

<sup>2</sup> *Deutsches Archäologisches Institut – Rome Department, Italy*

<sup>3</sup> *Department of Antiquities of Tripolitania – Centre for Documentation and Digitalization of  
Heritage, Libya*

<sup>4</sup> *Department of Antiquities of Cyrenaica, Libya*

<sup>5</sup> *Department of History, Faculty of Arts of the University of Sfax, Tunisia*

<sup>6</sup> *Department of History, Tarhuna University, Libya*

## Abstract

The paper discusses the potential of a collaborative scheme for the development of a protocol for recording and managing the cultural heritage in Libya. The critical political situation in the country urges the development of cultural heritage management policies in order to protect it more thoroughly and consistently. Moving on from the numerous international initiatives and projects dealing with a mostly “remote” approach to the issue, the project here presented is trying to engage with staff members of the Department of Antiquities (DoA) in the elaboration of a joint strategy for the application of remote sensing and Geographical Information Systems (GIS) to the preservation and monitoring of the Libyan cultural heritage. A series of training courses resulted in an initial development of new ways of recording and analysing field data for a better awareness of the full range of threats that the archaeology, of the country, is subject to. Focussing on the case of the Jebel Nafusa the training involved the assessment of site visibility of satellite imagery, the analysis of high resolution satellite datasets for archaeological mapping, the creation of a GIS spatial database of field data, the mapping of risks and threats to archaeology from remote sensing data. This led to

1 the elaboration of risk map showing the areas that will be next affected by a number of threats, thus  
2 giving the DoA a tool to prioritise future fieldwork to keep the assessment of site damage up to  
3 date. Only a collaborative approach can lead to a sustainable strategy for the protection of the  
4 invaluable cultural heritage of Libya.  
5  
6

## 7 **Current situation of ancient site management in Libya**

8  
9

10 The past and present political situations in Libya have left the country without specific policies or  
11 programmes for controlling and preserving ancient sites (Abdulkariem and Bennett 2014). These  
12 sites are under threat for numerous reasons, such as: recent destruction targeting religious buildings,  
13 including marabouts; quarrying activities threatening and demolishing ancient traces in the  
14 landscape as well as larger sites; and the expansion of modern urban centres that compromise the  
15 survival of pre-Roman and Roman cities. In recent years, research projects have investigated and  
16 surveyed Libyan territories on a local scale, demonstrating the importance of recording  
17 archaeological evidence into a GIS platform (Sterry and Mattingly 2011; Mattingly and Sterry  
18 2013). Moreover, since the start of the conflict a series of meetings addressing the issue of Cultural  
19 Heritage have been organised and provided a forum for discussion in particular on the issue of  
20 recording sites in the landscape and managing them (Cultural Heritage in Libya – Tripoli 2013 and  
21 the very recent UNESCO-ICCROM meeting held in Tunis in April 2016). Since the conflict goes  
22 through phases of expansion of Isis (the Islamic State) and reconquest, the areas under threat and  
23 unaccessible often changes. Therefore, the recording system, in the field, changes according to the  
24 presence or absence of the conflict. A good example is offered for instance by the important Punic  
25 and Roman city of Sabratha, which has been under the control of Isis for a period and has now been  
26 freed again. The conflict developed in particular since 2013, the political situation is very complex  
27 and sees several groups in oppositions, as well as the expansion of Isis  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43

44 In this panorama of political instability and constant threats directed at national cultural heritage,  
45 there is an urgent need to develop a more centralised GIS recording system for the entirety of Libya,  
46 so that the status of sites can be constantly monitored and maintained by the relevant Departments  
47 of Antiquities (both Tripolitania and Cyrenaica). This project is working towards this goal through a  
48 series of specifically targeted training courses and joint work with the Departments of Antiquities.  
49 The aim is to build a toolset that local authorities can use on a daily basis to record and monitor  
50 archaeological sites and to plan future fieldwork, following a risk-prioritised schedule. It is the  
51 heritage management aspect of the project that this paper will concentrate on by discussing two  
52 examples from Jebel Nāfusa.  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

## **Aims and objectives**

In 2014, a joint project was initiated between Durham University (UK), the Deutsches Archäologisches Institut in Rome, the University of Sfax (Tunisia), and the Department of Antiquities of Libya (now of Tripolitania and Cyrenaica) – Centre for Documentation and Digitalization of Heritage (CDDH - Tripolitania). The project has two principal aims:

1. To understand the impact of the Arab conquest on Tripolitania and, from a wider perspective, the whole of North Africa. The region in fact played a key role in the Arab conquest of North Africa, bridging East and West. The project therefore intends to track the transition from the Byzantine into the Arab period up to the 15<sup>th</sup> c. when a substantial restructuring of the landscape took place.
2. To develop a shared protocol for site recording and management within the territory investigated in the project. This goal is developed in co-operation with the Libyan Departments of Antiquities and Susan Kane (Oberlin College - USA) in order to initiate a long-term plan for the management and preservation of multi-period archaeological sites

## **Landscape Archaeology in Libya**

Over the last few decades GIS applications and landscape studies have developed a more complex system of viewing archaeology within the contextual landscape. Sites are not considered individually, but as parts of an interconnected network in which archaeological evidence results from societal complexities, and each agent's actions can affect the whole system (Bentley and Maschner 2003: 5). This condition requires a more comprehensive approach in order to untangle different agents/features from the cumulative palimpsest of archaeological traces left in the landscape and to establish their diverse contributions to the encompassing system. In this view, even ephemeral traces of an economic landscape, such as relict field systems, can give insightful contributions to an understanding of the functions and roles of related settlements and sites (Sterry and Mattingly 2011: 112).

As much as archaeological research and cultural heritage management developed innovative methods and interpretations in Western countries (UNESCO World Heritage Centre 2008; Gullino and Larcher 2013), the cultural heritage preservation and management in Libya is still bound strongly to more traditional ways of conceiving sites as only archaeological monuments to be monitored and protected.

1 This paper will show how the concept of archaeological evidence developed in Landscape  
2 Archaeology could be considered on the agendas of institutions involved in the management and  
3 protection of cultural heritage in the North African country.  
4

5 The theoretical approach is reflected in the methodology adopted by this project, which relies on  
6 two main sources of data combined together: field survey and remote sensing.  
7

8 The current Libyan political condition prevents systematic fieldwork in the country, especially by  
9 foreign archaeologists, and this makes collaborations with local authorities hard to carry out. In fact,  
10 recently a number of projects have been developed that use remote sensing to map and control  
11 archaeological sites, such as: EAMENA (<http://eamena.arch.ox.ac.uk/>), focussing on the territory of  
12 North Africa and the Near East; the Mega-Jordan project (<http://megajordan.org/>) aiming to  
13 catalogue all of the archaeological sites in Jordan; and the recently started ATHENA project at the  
14 Remote Sensing Science Center for Cultural Heritage (<http://athena2020.eu>) focused on creating a  
15 centre of excellence for remote sensing application to cultural heritage, to mention just a few. The  
16 originality of this project is the opportunity to work closely with the Libyan Department of  
17 Antiquities, which granted the possibility of integrating remote sensing analysis with data collected  
18 in the field and constant monitoring and recording. This provides information otherwise  
19 unachievable solely by satellite imagery mapping, such as chronological references, states of  
20 preservation of sites, and recording of the smaller and more ephemeral archaeological evidence not  
21 visible by satellite. From this perspective, full collaboration with the Departments of Antiquities  
22 towards the development of a standardised procedure of site recording and monitoring, primarily  
23 with the use of remote sensing and GIS, appears necessary.  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39

## 40 **Recording sites and the definition of archaeological record**

41  
42  
43 Site recording and the way in which data have been acquired are illustrated here using specific case  
44 studies, in order to clarify and highlight the full potential of the applied methodology. The  
45 methodology has been developed during training courses during which theoretical and  
46 methodological approaches have been discussed and combined with the reality of the field  
47 archaeology experience of the DoA.  
48  
49  
50  
51

### 52 *The training*

53  
54  
55 Three intensive training courses have been organized between Durham University and Deutsches  
56 Archäologisches Institut on one side and the Libyan DoA on the other. The courses built up  
57 progressively the expertise in Landscape Archaeology, GIS and remote sensing, for six members of  
58 the DoA of Libya, which have been chosen among the staff of the former CDDH. The two-week  
59  
60  
61  
62  
63  
64  
65

1 courses have been held at the Faculty of Arts of the University of Sfax in Tunisia (a destination easy  
2 to reach by all the participants). In the first course the basics of GIS and remote sensing and their  
3 varied applications in archaeology have been presented to the attendees. Despite the short time and  
4 the complexity of the topic DoA staff appreciated the usefulness of these techniques and agreed on  
5 moving on with discussing their specific applications to Libyan archaeology. Therefore, the second  
6 two courses have been focussing on the application of GIS and remote sensing to a set of data that  
7 the DoA has been collecting on the ground in the Jebel Nāfusa region. The use of fieldwork data  
8 directly collected by some of the participants and other staff of the DoA was fundamental for the  
9 learning experience as the deep knowledge of the archaeological data benefitted the whole  
10 collaborative strategy. In fact, the combination of ground knowledge of what kind of archaeology  
11 needs to be recorded, monitored and protected, and the integration with a landscape perspective  
12 resulted to be the best approach to tackle the conservation of the Cultural Heritage of the region.  
13 Durham University provided with possible new operational tools the DoA who in turn provided  
14 with a real case study of great historical importance.

15 To follow are the results of this collaboration.

### 16 *Field survey data – the current case study: Jebel Nāfusa*

17 In the spring of 2014 a field survey was carried out by staff members of the CDDH in different  
18 areas in the Jebel Nāfusa, around the towns of Kabaw, Haraba, Tendimira, Hawamed, Sherwes,  
19 Tamzin, and Giosh. The area is located on the mountain range that runs from Homs (Libya) to  
20 Gabes (Tunisia), near the Tunisian border by the major city of Nalut (Fig. 1).

21 A total of 126 sites, ranging from forts to fortified settlements, mosques to small graves, have been  
22 recorded. The field walking mainly involved rural areas around towns and villages (Fig. 2). On the  
23 ground, sites were located and recorded with handheld GPS devices, and material (mainly  
24 potsherds, but also metal objects) collected for dating purposes. A photographic documentation of  
25 monuments accompanied the survey. Information regarding site types, preliminary chronology,  
26 states of preservation, geo-morphological settings, and current and foreseen threats for each  
27 archaeological remain were entered into a database.

28 The DoA designed the structure of the database and during the first training course we worked out  
29 together how to store the information contained in a way that can be integrated with everyday  
30 management procedures. Field data were imported into a GIS geo-database with a consistent list of  
31 coded values, thus homogenizing data sourced from different surveys and eliminating information  
32 redundancies. In this way it was possible to handle the entire field survey dataset and combine it

1 with data recovered from remote sensing mapping (Fig. 3). The choice of adopting a GIS data  
2 model as a database was driven by the fact that compared to other platforms commonly used in  
3 cultural heritage management (like ARCHES<sup>1</sup>), the geodatabase allows us to perform spatial  
4 queries and spatial analysis, and favours the integration of data with the imagery. Overall, it seemed  
5 the best option in order to develop a tool and protocol that can be adopted easily by the DoA in its  
6 management tasks, both desk-based and in the field.  
7  
8  
9

### 10 11 12 13 14 *Remote sensing analysis* 15

16 The second main source of data was satellite imagery; as already stressed, it is fundamental to  
17 understand the potential of satellite mapping, as it depends on many variables such as shape and  
18 size of the site, nature of the site, location of the site, contrast between land use of the surroundings  
19 and of the site itself, state of preservation of the site, spatial and spectral resolution of the image,  
20 time of acquisition of the image, and differences in satellite sensors. The potential for recovering  
21 archaeological sites from remote sensing data has been tested in other areas of the country, like  
22 Central Fezzan in the Saharan region (Sterry and Mattingly 2011: 104-107). Nevertheless, a careful  
23 assessment of the full spectrum of archaeological evidence visibility on satellite imagery has never  
24 been published. Therefore, we proceeded with a systematic evaluation of all sites surveyed during  
25 the fieldwork.  
26  
27  
28  
29  
30  
31  
32  
33  
34

35 Along with standard and freely-available datasets such as Landsat 8 OLI, we used two different  
36 types of high-resolution imagery: Orbview-3 (panchromatic 1 m resolution, acquired between 2000  
37 and 2001) and Pleiades (4 bands: Blue, Green, Red and Near Infrared – pan-sharpened to 0.5 m  
38 resolution, acquired between 2013 and 2014). A geo-database of features representing potential  
39 archaeological sites has been produced on the basis of intensive photo-interpretation of the territory  
40 covered by satellite images (Fig. 3).  
41  
42  
43  
44  
45

46 The data stored describe each mapped feature and provide information on site location, the imagery  
47 used to map the feature, feature shape, the appearance of the feature on the image (spectral  
48 signature), the type of anomaly, and a first interpretation along with the level of certainty of the  
49 interpretation.  
50  
51  
52  
53

54 The aim of the database is to have a full set of information regarding the potential for recovering  
55 archaeological sites in an area currently inaccessible due to on-going conflicts. This helps  
56 prioritising and planning future fieldwork in territories where archaeological remains are more  
57  
58  
59  
60

---

61 <sup>1</sup> <http://archesproject.org> (accessed on 06/03/2016)  
62  
63  
64  
65

1 likely to be found. It is also intended to support the creation of a risk map of areas under threat due  
2 to the expansion of modern centres or the uncontrolled exploitation of natural resources. One of the  
3 great advantages of remote sensing is the possibility to contextualise a site within its surroundings.  
4  
5  
6  
7

### 8 *Sherwes: a landscape view* 9

10 The site of Sherwes, southwest of the modern town of Haraba, represents a key case study for  
11 defining a more complex conception of archaeological records within a *landscape* perspective.  
12 Photo-interpretation revealed a number of features defining a system, which comprises the main  
13 settlement, traces of roads/pathways, and field systems (Fig. 5).  
14  
15  
16  
17

18 The main settlement consists of four major neighbourhoods. The central one hosts a fortified  
19 building (*gasr*) and several other structures surrounding it on the mound slopes, including storing  
20 installations. From the survey data we know that in the middle of the site there is a mosque, and in  
21 the northeast quarter a synagogue (Basset 1899; Hirschberg 1974). From the satellite image, it was  
22 possible to map traces of a pathway (partially still in use) that leads from the settlement to the *wadi*  
23 valley bottom and goes upstream. The written sources tell us that the *wadi* was one of the main  
24 routes that linked the upland plateau of the *jebel* to the coast in the north, and that the valley bottom  
25 was cultivated at the time of the occupation of the settlement in the 10<sup>th</sup>-11<sup>th</sup> centuries (Warfalli  
26 1981, 119; Ibn Hawqal, *Súrat al-ard: Opus geographicum*, ed. Kramers, Leiden 2014: 94-95).  
27 Extraordinarily, some remains of a fossil landscape of field systems are still visible on the images,  
28 alongside the main *wadi* in areas where the valley opens up; these structures are visibly abandoned  
29 nowadays but it is clear that they were part of an agricultural system linked to the near Islamic  
30 settlement of Sherwes.  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41

42 The evidence shows the need for recording, monitoring, protecting, and preserving not just a single  
43 building or site, but the whole contextual landscape as part of cultural heritage. A Landscape  
44 approach has never been considered for cultural heritage management purposes before, by the DoA,  
45 therefore there is not a specific protocol on how to record and preserve *landscape features*, but the  
46 training courses expose the staff of DoA (who showed extreme interest) to these problematic for the  
47 first time. It is still a work in progress, but further courses and workshops will provide new insights  
48 on the best operational strategy to adopt in the field.  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

## Mapping risks and integration with archaeological data in Jebel Nāfusa

Results of the field investigation show how the predominant threats to archaeological sites in this area are water erosion and vandalism (Fig. 6). Water erosion is mostly due to the proximity of the sites to steep areas where the friable soil is more affected by the running water. Vandalism has been recorded more frequently in the area West of Kabaw and mostly regards the use of archaeological remains as overnight shelters where fires are set for heating and rubbish left in the monuments; this is mainly occurring in still upstanding or semi-collapsed structures. It is hard to estimate how this will affect the preservation of the historical buildings, but the first step for the DoA is to map and record this, in order to have a full quantitative and spatial awareness of its magnitude across the landscape.

We, therefore, focussed our attention on mapping these two major risks recorded from the ground assessment and on the threat derived from the approaching urban sprawl. Urban encroachment has not been mentioned in the overall list of primary threats to the archaeology of the Jebel Nāfusa recorded in the field, as it is not an easy assessment to do from the ground. In this sense, in fact, the Landscape perspective has not only been applied to the understanding of the complexity of the archaeological record, but also to the thorough evaluation of the full spectrum of on-going hazards to the archaeological remains. Thanks to the analysis and evaluation of satellite imagery, we agreed to include urban sprawl as one of the major hazards endangering the cultural heritage in the area of Jebel Nāfusa.

Relying on the latest enhanced land elevation dataset (released on September 2014) generated from the Shuttle Radar Topography Mission (SRTM), at 30 metres resolution, it was possible to automatically reclassify and extract the steepest slopes along the cliff line for the surveyed area in Jebel Nāfusa.

These areas, displayed by a number of polygons, are affected by wind erosion, water erosion, and landslides, which represent natural hazards to archaeological sites located in a buffer zone along the cliff line (Fig. 7).

Moreover, by using a series of historical Landsat imagery, covering a time span of 40 years (Landsat 1 MSS from 1972, Landsat 5 TM from 1987, and Landsat 8 OLI from 2015), it was possible to map the growth of urban centres located in the survey area and the main connecting road network (Fig. 7).

The results, comparing the size of urban areas between 1972 and 2015, show different levels of progressive expansion, from minimal increase (e.g. Tendimira, 10%) to a considerable rise in the

1 extension of the urban area of 250% (e.g. Kabaw), to the extreme of a major town like Nalut that  
2 grew by 540% over the period considered. Moreover, by looking at the road network it is clearly  
3 observable how in most cases the expansion of modern towns occurs along the main routes of  
4 communication, especially on the Jebel uplands, whereas on the northern plain the growth of settled  
5 areas is more isotropic. The field survey data analysis has shown that 27% of the recorded sites are  
6 located within 1 km of towns and are, therefore, endangered by urban expansion (although only  
7 15% of these are located along main roads).

8  
9  
10  
11  
12 Topographic position is another indicator of endangerment at archaeological sites. A GIS analysis  
13 considering elevation levels showed that 65% of the surveyed sites are situated within 200 m of  
14 steep slopes (either uphill or downhill) and are therefore threatened by wind and water erosion, as  
15 well as landslides. Unfortunately, due to limited spatial resolutions and georeferencing inaccuracies  
16 of the satellite imagery commonly available, it was not possible to undertake a calculation of  
17 historical rates of erosion in steep areas.

18  
19  
20  
21  
22 Overall, 20 % of the recorded sites are affected by both urban expansion and natural erosion.

23  
24  
25 The GIS environment allows for the integration of different maps representing hazards into a single  
26 raster dataset, indicating the different levels of risk for archaeological remains in the territory under  
27 investigation. The quantitative analysis of each hazard can be represented with a raster image,  
28 where each pixel value represents the level of risk for that hazard at a specific point. A predictive  
29 map of high risk zones for cultural heritage has been generated utilising four hazards: (1) steep  
30 areas, (2) urban expansion, (3) vicinity to main routes, and (4) vandalism. The first three variables  
31 were mapped from satellite imagery (as described above), whereas vandalised areas have been  
32 considered by a *kernel density estimation* (Okabe et al. 2009) based on collected field data. The  
33 encroachment of each hazard (represented as polygons) has been calculated as a series of Euclidean  
34 multi-buffers, predicting areas potentially affected by each hazard. The reclassification of the four  
35 raster datasets into a risk gradient scale has produced four images that have been integrated, using a  
36 fuzzy approach, into a single weighted risk map. Each variable has been weighted taking into  
37 consideration its potential contribution to the predictive model; as such, a percentage of influence  
38 has been assigned to each hazard. On the basis of the analysis of the historical expansion of the  
39 urban centres discussed earlier, a proportional encroachment has been predicted (50 year time lapse)  
40 for each town with an overall contribution of 30% assigned to the variable. A 10% contribution has  
41 been assigned to the route network because there is an overall trend of urban expansion along the  
42 main road system. A 30% contribution was also assigned to the last two variables, taking into  
43 consideration the vicinity to steep slopes and the vicinity to areas affected by vandalism. The  
44 weighting of the different threats has been agreed with the DoA, as the previously unrecorded urban  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1 sprawl resulted to be among the major hazards, and equally dangerous, for the archaeology as the  
2 natural erosion and the on-going vandalism are. The estimate of how much each hazard has to be  
3 weighted is a work in progress, as more fieldwork will provide further data to evaluate the effect  
4 that each threat has overall. For this reason we agreed on giving an equal weight to natural erosion,  
5 urban sprawl and vandalism, as this seems to be the current situation. Routes systems have been  
6 assigned a smaller weight, as their contribution is, in this case, considered strictly related to the  
7 urban sprawl and a higher weight would have falsely affected the overall prediction of cumulative  
8 risks. Areas nearby roads, but far from modern settlements do not seem particularly endangered at  
9 the moment.

10  
11  
12  
13  
14  
15  
16  
17  
18 The resulting map (Fig. 8) represents the areas where the overall threat to cultural heritage is higher  
19 and therefore can assist in developing a more targeted site recording strategy.

20  
21 Moreover, the level of risk can be ‘inherited’ by the points, thus giving an overview on the  
22 representativeness of the surveyed sample. The risk level for the different areas has been mostly  
23 calculated on the basis of remote sensing mapping (only the vandalism has been derived from field  
24 data), but the fieldwork has been carried out without the knowledge and the spatial awareness of  
25 where the most endangered areas are. It is clear from Fig. 8, in fact, that only few sites are classified  
26 as high risk (red) because the field survey was not planned according to the risk map and the  
27 majority of areas at high-risk level have, indeed, not been surveyed. Areas like the town of Kabaw  
28 and its surroundings are most certainly at high risk and should be next surveyed and recorded.

29  
30  
31  
32  
33  
34  
35  
36 One of the major contributions of a GIS-based landscape approach is that it can help prioritizing  
37 future fieldwork in areas like Jebel Nāfusa, and in general the country of Libya, characterized by  
38 limited access due to on-going conflicts.

## 39 40 41 42 43 44 **Results**

45  
46 Overall, only 47% of the archaeological sites surveyed in the field are visible on satellite imagery  
47 (mid-high/high visibility). Furthermore, if we compare the visibility of sites between the two sets of  
48 high-resolution imagery, counting on similar variability of site types, background surroundings, and  
49 state of preservation in both datasets, the results show that 24% of sites on Orbview-3 have a mid-  
50 high/high visibility, whereas on Pleiades the number is 62%.

51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65  
66  
67  
68  
69  
70  
71  
72  
73  
74  
75  
76  
77  
78  
79  
80  
81  
82  
83  
84  
85  
86  
87  
88  
89  
90  
91  
92  
93  
94  
95  
96  
97  
98  
99  
100  
101  
102  
103  
104  
105  
106  
107  
108  
109  
110  
111  
112  
113  
114  
115  
116  
117  
118  
119  
120  
121  
122  
123  
124  
125  
126  
127  
128  
129  
130  
131  
132  
133  
134  
135  
136  
137  
138  
139  
140  
141  
142  
143  
144  
145  
146  
147  
148  
149  
150  
151  
152  
153  
154  
155  
156  
157  
158  
159  
160  
161  
162  
163  
164  
165  
166  
167  
168  
169  
170  
171  
172  
173  
174  
175  
176  
177  
178  
179  
180  
181  
182  
183  
184  
185  
186  
187  
188  
189  
190  
191  
192  
193  
194  
195  
196  
197  
198  
199  
200  
201  
202  
203  
204  
205  
206  
207  
208  
209  
210  
211  
212  
213  
214  
215  
216  
217  
218  
219  
220  
221  
222  
223  
224  
225  
226  
227  
228  
229  
230  
231  
232  
233  
234  
235  
236  
237  
238  
239  
240  
241  
242  
243  
244  
245  
246  
247  
248  
249  
250  
251  
252  
253  
254  
255  
256  
257  
258  
259  
260  
261  
262  
263  
264  
265  
266  
267  
268  
269  
270  
271  
272  
273  
274  
275  
276  
277  
278  
279  
280  
281  
282  
283  
284  
285  
286  
287  
288  
289  
290  
291  
292  
293  
294  
295  
296  
297  
298  
299  
300  
301  
302  
303  
304  
305  
306  
307  
308  
309  
310  
311  
312  
313  
314  
315  
316  
317  
318  
319  
320  
321  
322  
323  
324  
325  
326  
327  
328  
329  
330  
331  
332  
333  
334  
335  
336  
337  
338  
339  
340  
341  
342  
343  
344  
345  
346  
347  
348  
349  
350  
351  
352  
353  
354  
355  
356  
357  
358  
359  
360  
361  
362  
363  
364  
365  
366  
367  
368  
369  
370  
371  
372  
373  
374  
375  
376  
377  
378  
379  
380  
381  
382  
383  
384  
385  
386  
387  
388  
389  
390  
391  
392  
393  
394  
395  
396  
397  
398  
399  
400  
401  
402  
403  
404  
405  
406  
407  
408  
409  
410  
411  
412  
413  
414  
415  
416  
417  
418  
419  
420  
421  
422  
423  
424  
425  
426  
427  
428  
429  
430  
431  
432  
433  
434  
435  
436  
437  
438  
439  
440  
441  
442  
443  
444  
445  
446  
447  
448  
449  
450  
451  
452  
453  
454  
455  
456  
457  
458  
459  
460  
461  
462  
463  
464  
465  
466  
467  
468  
469  
470  
471  
472  
473  
474  
475  
476  
477  
478  
479  
480  
481  
482  
483  
484  
485  
486  
487  
488  
489  
490  
491  
492  
493  
494  
495  
496  
497  
498  
499  
500  
501  
502  
503  
504  
505  
506  
507  
508  
509  
510  
511  
512  
513  
514  
515  
516  
517  
518  
519  
520  
521  
522  
523  
524  
525  
526  
527  
528  
529  
530  
531  
532  
533  
534  
535  
536  
537  
538  
539  
540  
541  
542  
543  
544  
545  
546  
547  
548  
549  
550  
551  
552  
553  
554  
555  
556  
557  
558  
559  
560  
561  
562  
563  
564  
565  
566  
567  
568  
569  
570  
571  
572  
573  
574  
575  
576  
577  
578  
579  
580  
581  
582  
583  
584  
585  
586  
587  
588  
589  
590  
591  
592  
593  
594  
595  
596  
597  
598  
599  
600  
601  
602  
603  
604  
605  
606  
607  
608  
609  
610  
611  
612  
613  
614  
615  
616  
617  
618  
619  
620  
621  
622  
623  
624  
625  
626  
627  
628  
629  
630  
631  
632  
633  
634  
635  
636  
637  
638  
639  
640  
641  
642  
643  
644  
645  
646  
647  
648  
649  
650  
651  
652  
653  
654  
655  
656  
657  
658  
659  
660  
661  
662  
663  
664  
665  
666  
667  
668  
669  
670  
671  
672  
673  
674  
675  
676  
677  
678  
679  
680  
681  
682  
683  
684  
685  
686  
687  
688  
689  
690  
691  
692  
693  
694  
695  
696  
697  
698  
699  
700  
701  
702  
703  
704  
705  
706  
707  
708  
709  
710  
711  
712  
713  
714  
715  
716  
717  
718  
719  
720  
721  
722  
723  
724  
725  
726  
727  
728  
729  
730  
731  
732  
733  
734  
735  
736  
737  
738  
739  
740  
741  
742  
743  
744  
745  
746  
747  
748  
749  
750  
751  
752  
753  
754  
755  
756  
757  
758  
759  
760  
761  
762  
763  
764  
765  
766  
767  
768  
769  
770  
771  
772  
773  
774  
775  
776  
777  
778  
779  
780  
781  
782  
783  
784  
785  
786  
787  
788  
789  
790  
791  
792  
793  
794  
795  
796  
797  
798  
799  
800  
801  
802  
803  
804  
805  
806  
807  
808  
809  
810  
811  
812  
813  
814  
815  
816  
817  
818  
819  
820  
821  
822  
823  
824  
825  
826  
827  
828  
829  
830  
831  
832  
833  
834  
835  
836  
837  
838  
839  
840  
841  
842  
843  
844  
845  
846  
847  
848  
849  
850  
851  
852  
853  
854  
855  
856  
857  
858  
859  
860  
861  
862  
863  
864  
865  
866  
867  
868  
869  
870  
871  
872  
873  
874  
875  
876  
877  
878  
879  
880  
881  
882  
883  
884  
885  
886  
887  
888  
889  
890  
891  
892  
893  
894  
895  
896  
897  
898  
899  
900  
901  
902  
903  
904  
905  
906  
907  
908  
909  
910  
911  
912  
913  
914  
915  
916  
917  
918  
919  
920  
921  
922  
923  
924  
925  
926  
927  
928  
929  
930  
931  
932  
933  
934  
935  
936  
937  
938  
939  
940  
941  
942  
943  
944  
945  
946  
947  
948  
949  
950  
951  
952  
953  
954  
955  
956  
957  
958  
959  
960  
961  
962  
963  
964  
965  
966  
967  
968  
969  
970  
971  
972  
973  
974  
975  
976  
977  
978  
979  
980  
981  
982  
983  
984  
985  
986  
987  
988  
989  
990  
991  
992  
993  
994  
995  
996  
997  
998  
999  
1000

1 does not contrast with their surroundings; and finally, the objects do not have a sufficient  
2 topographical expression to produce shadows that can be detected in the images.

3 Structures like mosques or small buildings are indeed visible on both images, although better  
4 defined on Pleiades as the spatial resolution is higher and the colours make such objects more  
5 visible; however, the certainty of sites being archaeological remains is still rather low as the general  
6 state of preservation is quite good, so it is hard to distinguish them from modern buildings that are  
7 in use.  
8  
9

10  
11  
12 Bigger and more complex sites, such as different sorts of fortifications (e.g. Sherwes) and hilltop  
13 sites, are quite distinguishable on the satellite imagery due to their dimensions, shape, and  
14 topographical expression, although sometimes not clearly visible as the natural topography can  
15 obscure their presence (Table I).  
16  
17

18 The case of Sherwes shows that the use of remote sensing, if not integrated with fieldwork, does not  
19 provide enough information to fully understand the complex landscape. Apart from dating visible  
20 features, the details of individual sites are missing and do not allow a full understanding of the  
21 settlements. It is therefore seen as mandatory, even in difficult conditions, to work in association  
22 with local authorities who can provide ground control and data collection. Site recording and risk  
23 mapping have limited value if not integrated into a specific, controlled, managed, co-operative plan.  
24  
25  
26  
27  
28  
29  
30

## 31 **Discussion**

32  
33  
34  
35

36 The advantage of covering vast areas with remote sensing is still a great potential for archaeological  
37 mapping for conservation purposes; moreover, applications of satellite imagery allow for a more  
38 thorough monitoring of sites, landscapes, and changing environments that might affect the  
39 preservation of archaeological remains (Banerjee and Srivastava 2013).  
40  
41

42 In the current digital era, data storage and management have become a priority on the agenda of  
43 cultural heritage science, so that bespoke procedures may be developed in order to have both a more  
44 complete and accurate database and a fine-tuned tool-set to be used in the everyday workflow by  
45 local authorities in charge of cultural heritage management.  
46  
47  
48

49 Many methods and techniques have been developed over the last decades specifically to tackle  
50 archaeological applications, and standard procedures of data collection have been updated.  
51  
52

53 Within the framework of cultural heritage management new methodologies to record archaeology  
54 as well as to map threats have been established (Hesse 2015; Wang 2014; Risbøl et al. 2014). For  
55 imposing monuments, 3D recording is already a common practice that guarantees the complete  
56 storage of every detail of a building (Yastikli 2007; Remondino 2011).  
57  
58  
59  
60  
61  
62  
63  
64  
65

1 Predictive modelling has also been developed on a large scale both for research and conservation  
2 purposes, but has been devoted mainly to predict those areas that might contain archaeology  
3 (Verhagen and Whitley 2012). Fewer applications of predictive modelling have been focused on  
4 mapping areas that will be affected by hazards such as urban sprawl (Danese et al. 2013), but these  
5 are considered fundamental for areas like Jebel Nāfusa where this particular hazard has been  
6 already classified as imminent. “Remote” assessments quantified site damages by defining new  
7 ways of tackling big areas (Cunliffe 2014). New ways of predicting which areas will be next  
8 affected by damage to archaeological remains has been developed using remote sensing data and  
9 GIS analysis (Agapiou et al. 2015). The challenge here presented is to make these tools available to,  
10 and adoptable by, staff members of the Libyan DoA, so that can be used for regular recording and  
11 monitoring procedures.  
12  
13  
14  
15  
16  
17  
18  
19

20 The contribution of new technologies and methodologies to archaeological data collection and  
21 recording has to be flanked by close interactions with stakeholders and local authorities that are in  
22 charge of cultural heritage management and responsible for its preservation (Abdulkariem and  
23 Bennett 2014). A form of interaction is exemplified in this paper. The results are the outcome of a  
24 series of GIS and remote sensing training courses, in which methods and practices have been  
25 developed and discussed (and not simply taught) with staff members of the Department of  
26 Antiquities of Libya, to favour a thorough understanding of the full spectrum of potential of the  
27 applied methodologies.  
28  
29  
30  
31  
32  
33

34 The importance of field survey data for cross-validating remote sensing potential and interpretation  
35 has been fundamental to work out the best strategy for recording and managing sites at a medium  
36 scale. More training courses will follow in order to discuss and establish procedures enabling the  
37 accurate recording of archaeological remains at the site level.  
38  
39  
40

41 Overall, the collaborative strategy here presented shows how the development of a procedure that  
42 allows local authorities monitoring and protecting their archaeological landscapes, also provides the  
43 possibility to generate “freshly” recorded data to be used for the research project. This is the only  
44 way to generate ready-to-use primary datasets from a country that results difficult, if not impossible,  
45 to access to, in the current political situation. The participation of the DoA in the design of the  
46 training courses is fundamental for the development of a standard protocol of site recording and  
47 monitoring, thus giving an active scope to the application of technologies like remote sensing and  
48 GIS for the cultural heritage preservation, as it has been shown to have been successful in other  
49 disciplines (Ghose and Huxhold 2001).  
50  
51  
52  
53  
54  
55  
56  
57

58 The approach here presented even if based on the specific case of the Jebel Nāfusa, can find a wide  
59 spectrum of applicability whenever there is the willingness from the research institutions to engage  
60  
61  
62  
63  
64  
65

1 with local authorities in countries and areas where the physical access is limited due to unstable  
2 political situations. More technically speaking, the methodology has wide application to a variety of  
3 archaeological contexts and environments, as it is highly adaptable to the specific necessities of the  
4 different regions. Clearly the assessment of the potential of remote sensing and field data could be  
5 fundamental to establish the sustainability of the protocol to follow that can vary from context to  
6 context.  
7  
8  
9

## 10 **Acknowledgements**

11 Support for the development of this project has been given by Prof. Susan Kane (Oberlin College)  
12 and the Ambassador Funds for Cultural Heritage. Gratitude is due to the Faculty of History,  
13 University of Sfax for their hospitality, facilities, and support provided during the training  
14 courses. The training courses have been funded by Durham University and Deutsches  
15 Archäologisches Institut – Rome Department and the Society for Libyan Studies (London).

16 A great thanks is due to the two anonymous reviewers whose invaluable comments made it a better  
17 paper. The authors are the only responsible for any errors.  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38

## 39 **References**

- 40  
41  
42  
43  
44 Abdulkariem, A., and P. Bennett. 2014. "Libyan Heritage under Threat: The Case of Cyrene."  
45 *Libyan Studies* 45 (November 2014): 155–61. doi:10.1017/lis.2013.1.  
46  
47 Agapiou, A, V Lysandrou, D D Alexakis, K Themistocleous, B Cuca, and A Argyriou. 2015.  
48 "Cultural Heritage Management and Monitoring Using Remote Sensing Data and GIS: The  
49 Case Study of Paphos Area, Cyprus." *Computers, Environment and Urban Systems Journal*  
50 54: 230–39. doi:10.1016/j.compenvurbsys.2015.09.003.  
51  
52  
53 Banerjee, R., and P. K. Srivastava. 2013. "Reconstruction of Contested Landscape: Detecting Land  
54 Cover Transformation Hosting Cultural Heritage Sites from Central India Using Remote  
55 Sensing." *Land Use Policy* 34. Elsevier Ltd: 193–203. doi:10.1016/j.landusepol.2013.03.005.  
56  
57 Basset, R. 1899. "Les Sanctuaires Du Djebel Nefousa." *Journal Asiatique*, 5–83.  
58  
59 Bentley, A. R., and H. D. G. Maschner. 2003. *Complex Systems and Archaeology: Empirical and*  
60 *Theoretical Applications*. Salt Lake City: The University of Utah Press.  
61  
62  
63  
64  
65

- 1 Cunliffe, E. 2014. "Remote Assessments of Site Damage: A New Ontology." *International Journal*  
2 *of Heritage in the Digital Era* 3 (3): 454–73.
- 3 Danese, M., N. Masini, and B. Murgante. 2013. "Archaeological Risk and Spatial Analysis. How to  
4 Compare Urban Sprawl and Archaeological Sensibility Maps." In *EARSeL Proceedings*.  
5
- 6 Ghose, R., and W. E. Huxhold. 2001. "Role of Local Contextual Factors in Building Public  
7 Participation GIS: The Milwaukee Experience." *Cartography and Geographic Information*  
8 *Science* 28 (3): 195–208. doi:10.1559/152304001782153017.  
9
- 10 Gullino, P., and F. Larcher. 2013. "Integrity in UNESCO World Heritage Sites. A Comparative  
11 Study for Rural Landscapes." *Journal of Cultural Heritage* 14 (5). Elsevier Masson SAS: 389–  
12 95. doi:10.1016/j.culher.2012.10.005.  
13
- 14 Hesse, R. 2015. "Combining Structure-from-Motion with High and Intermediate Resolution  
15 Satellite Images to Document Threats to Archaeological Heritage in Arid Environments."  
16 *Journal of Cultural Heritage* 16 (2). Elsevier Masson SAS: 192–201.  
17 doi:10.1016/j.culher.2014.04.003.  
18
- 19 Hirschberg, H.Z. 1974. *A History of the Jews of North Africa, Vol. 1. From Antiquity to the 16th C.*  
20 Leiden: Brill.  
21
- 22 Mattingly, D. J., and M. Sterry. 2013. "The First Towns in the Central Sahara." *Antiquity* 87 (336):  
23 503–18. doi:10.1017/S0003598X00049097.  
24
- 25 Okabe, A., T. Satoh, and K. Sugihara. 2009. "A Kernel Density Estimation Method for Networks,  
26 Its Computational Method and a GIS-Based Tool." *International Journal of Geographical*  
27 *Information Science* 23 (1): 7–32. doi:10.1080/13658810802475491.  
28
- 29 Remondino, F. 2011. "Heritage Recording and 3D Modeling with Photogrammetry and 3D  
30 Scanning." *Remote Sensing* 3 (6): 1104–38. doi:10.3390/rs3061104.  
31
- 32 Risbøl, O., C. Briese, M. Doneus, and A. Nesbakken. 2014. "Monitoring Cultural Heritage by  
33 Comparing DEMs Derived from Historical Aerial Photographs and Airborne Laser Scanning."  
34 *Journal of Cultural Heritage* 16 (2). Elsevier Masson SAS: 202–9.  
35 doi:10.1016/j.culher.2014.04.002.  
36
- 37 Sterry, M., and D. Mattingly. 2011. "DMP XIII: Reconnaissance Survey of Archaeological Sites in  
38 the Murzuq Area." *Libyan Studies* 42: 103–16.  
39
- 40 UNESCO World Heritage Centre. 2008. "Operational Guidelines for the Implementation of the  
41 World Heritage Convention." *Operational Guidelines for the Implementation of the World*  
42 *Heritage Convention*. <http://whc.unesco.org/archive/opguide08-en.pdf>.  
43
- 44 Verhagen, P., and T. G. Whitley. 2012. *Integrating Archaeological Theory and Predictive*  
45 *Modeling: A Live Report from the Scene. Journal of Archaeological Method and Theory*. Vol.  
46 19. doi:10.1007/s10816-011-9102-7.  
47
- 48 Wang, Jieh-Jiuh. 2014. "Flood Risk Maps to Cultural Heritage: Measures and Process." *Journal of*  
49 *Cultural Heritage* 16 (2). Elsevier Masson SAS: 210–20. doi:10.1016/j.culher.2014.03.002.  
50
- 51 Warfalli, M. S. M. 1981. "Some Islamic Monuments in Jabal Nafusa." *School of Oriental and*  
52 *African Studies*. doi:10.1038/143019a0.  
53
- 54 Yastikli, N. 2007. "Documentation of Cultural Heritage Using Digital Photogrammetry and Laser  
55 Scanning." *Journal of Cultural Heritage* 8 (4): 423–27. doi:10.1016/j.culher.2007.06.003.  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

**Figures captions:**

**Fig. 1.** Location of the survey area in the Jebel Nāfusa, Libya.

**Fig. 2.** Distribution of sites mapped during the field survey conducted by the Department of Antiquities in Spring 2014 in the Jebel Nafusa territory.

**Fig. 3.** Sample of the geodatabase entries derived from data collected during the field survey imported in the GIS platform.

**Fig. 4.** Coverage of satellite imagery used for the project in the Jebel Nafusa and distribution of sites surveyed.

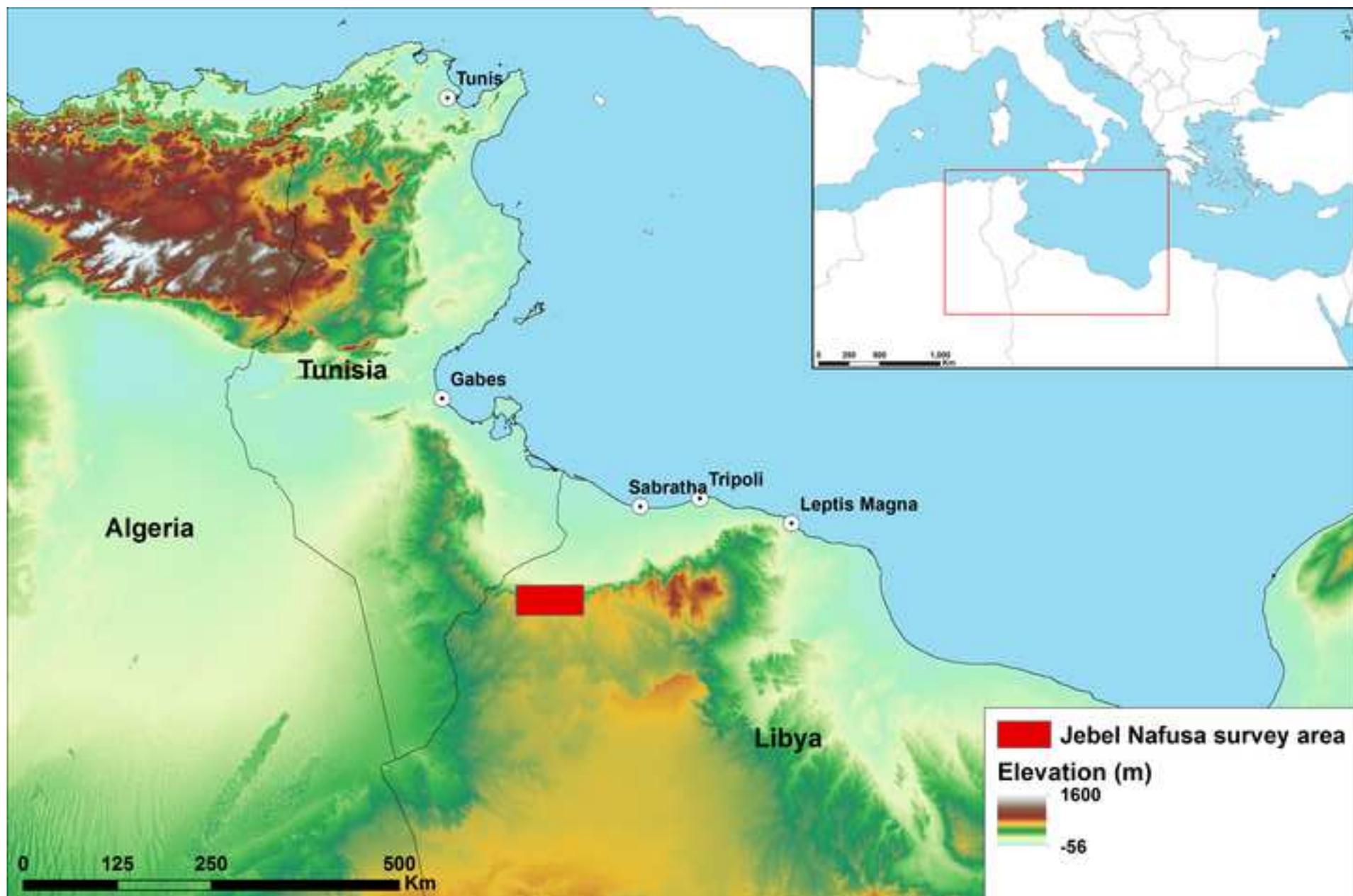
**Fig. 5.** Mapping of archaeological features from the complex site of Sherwes. (Pleiades 4-bands multi-spectral image – 0.50m res).

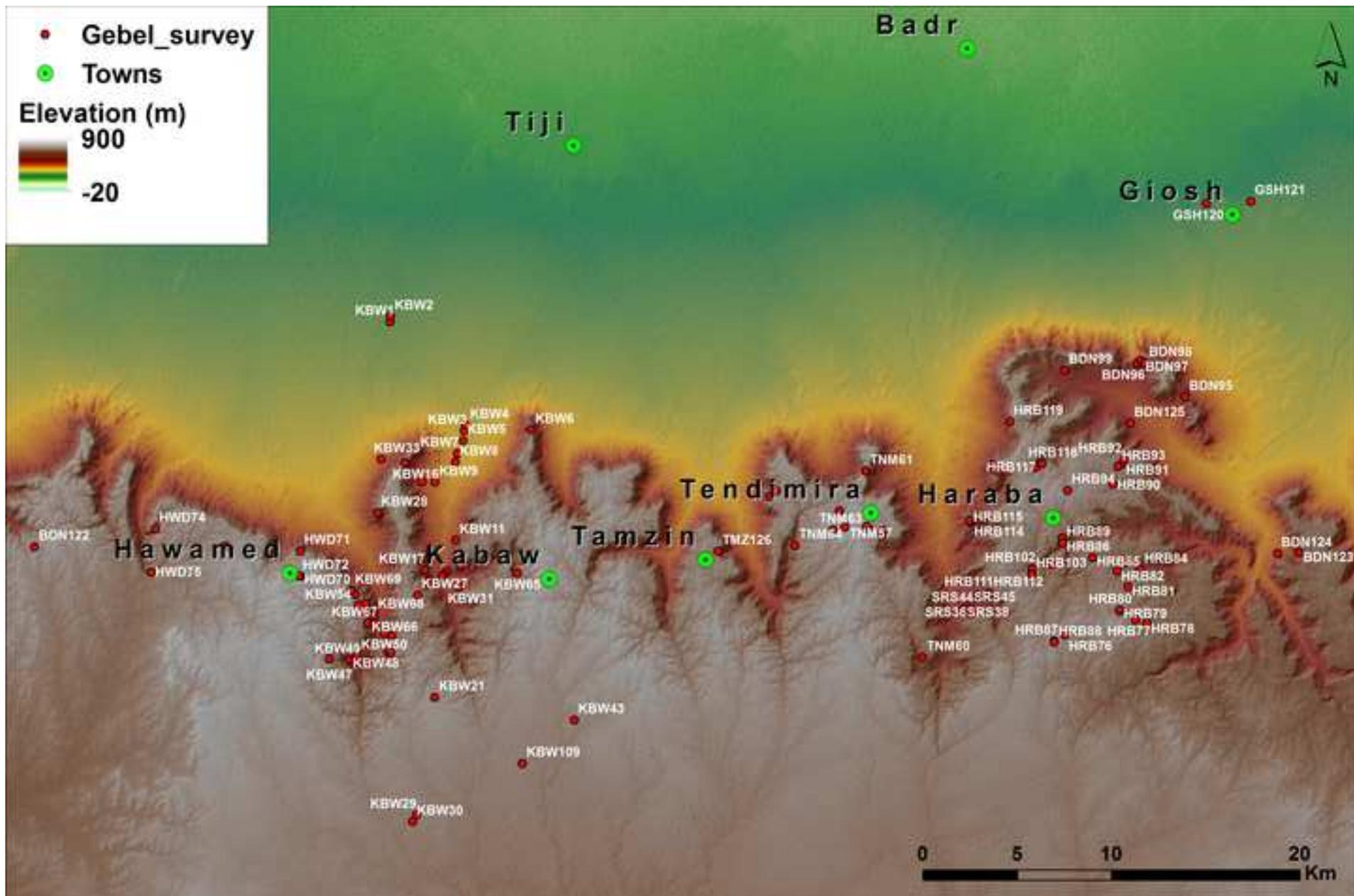
**Fig. 6.** Barplot showing the primary threats recorded during the field survey in the Jebel Nafusa.

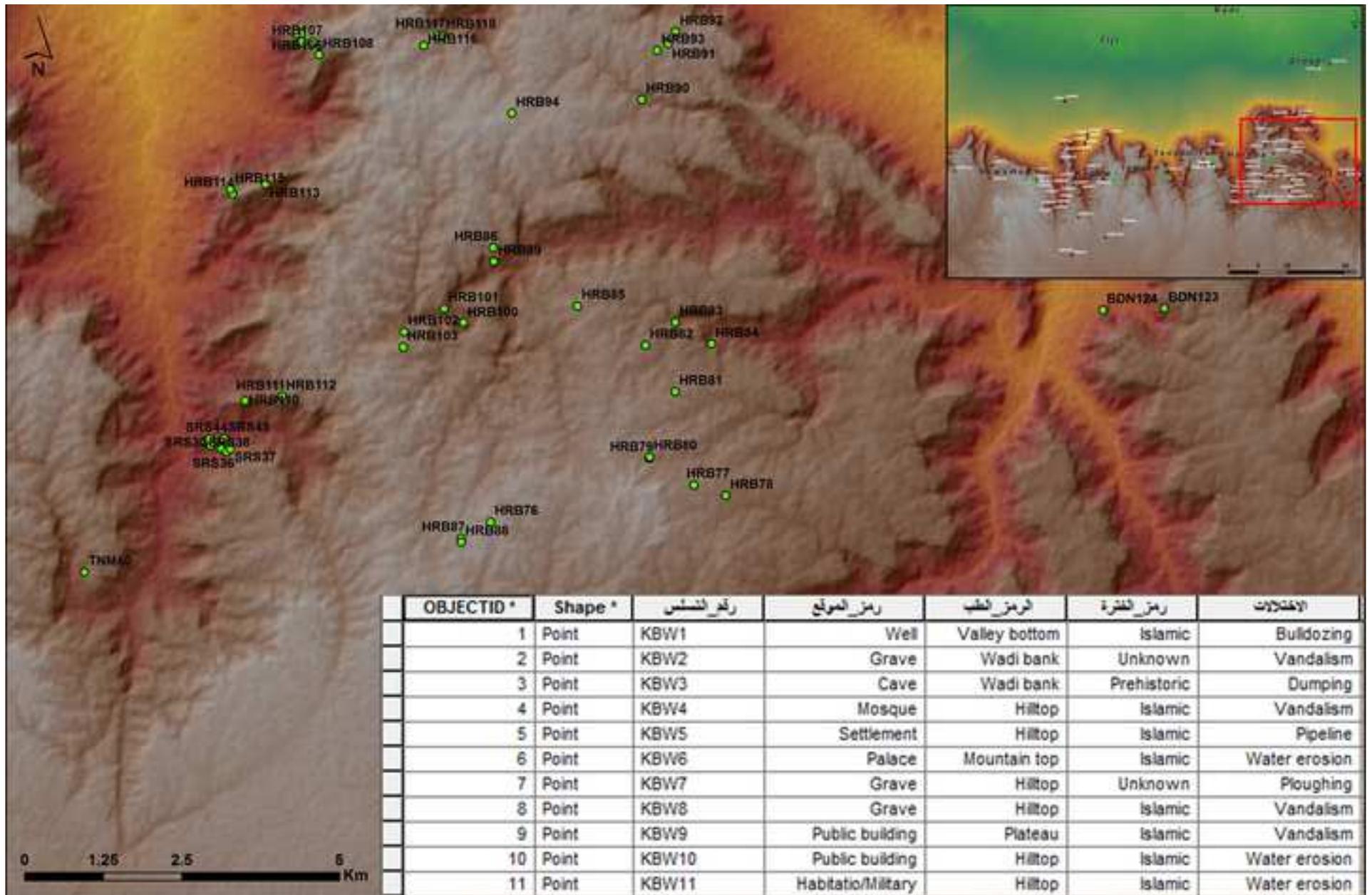
**Fig. 7.** Mapping risks and hazards for the archaeology in the Jebel Nafusa.

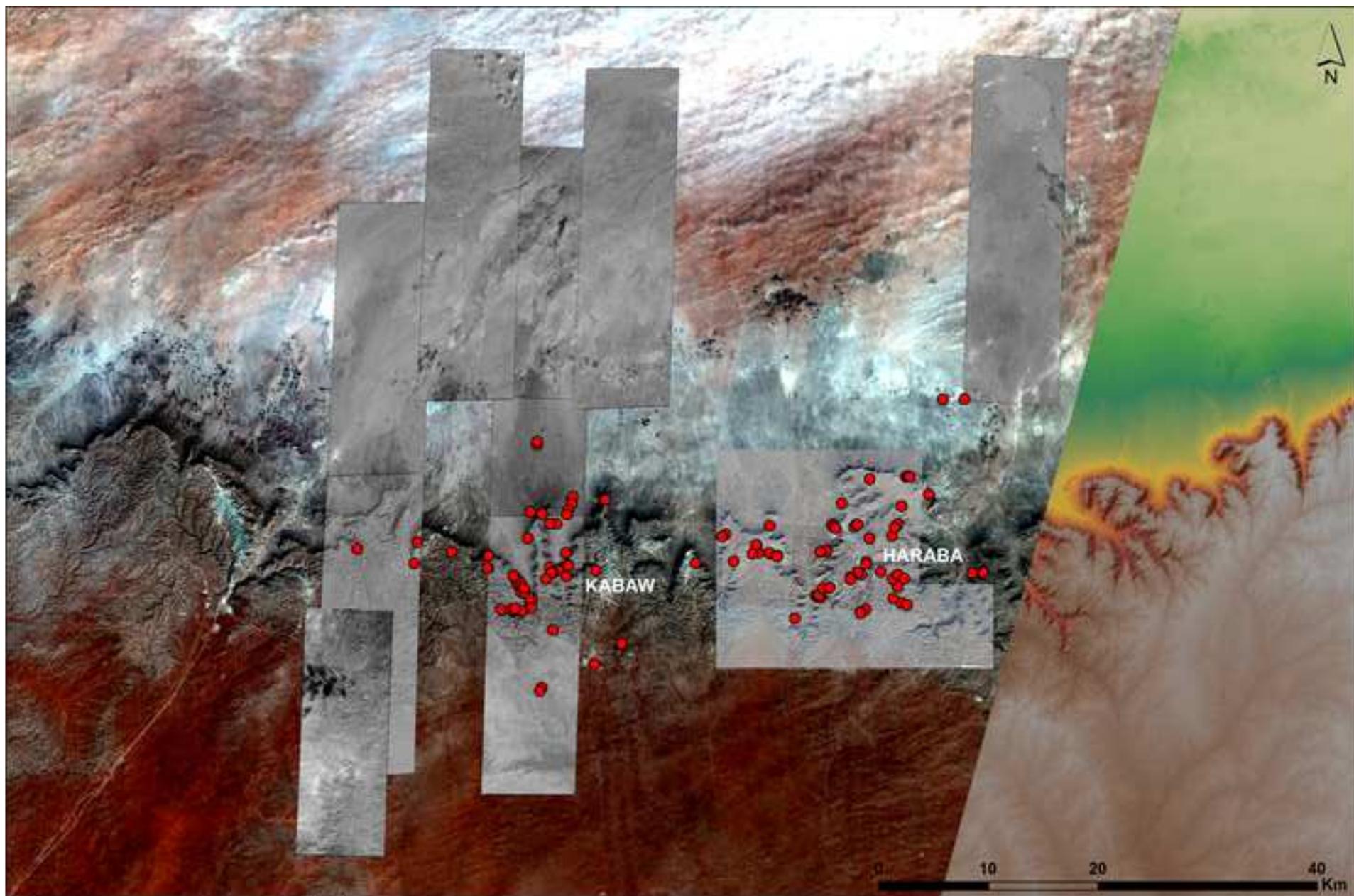
**Fig. 8.** Risk map showing areas potentially threatening archaeological sites.

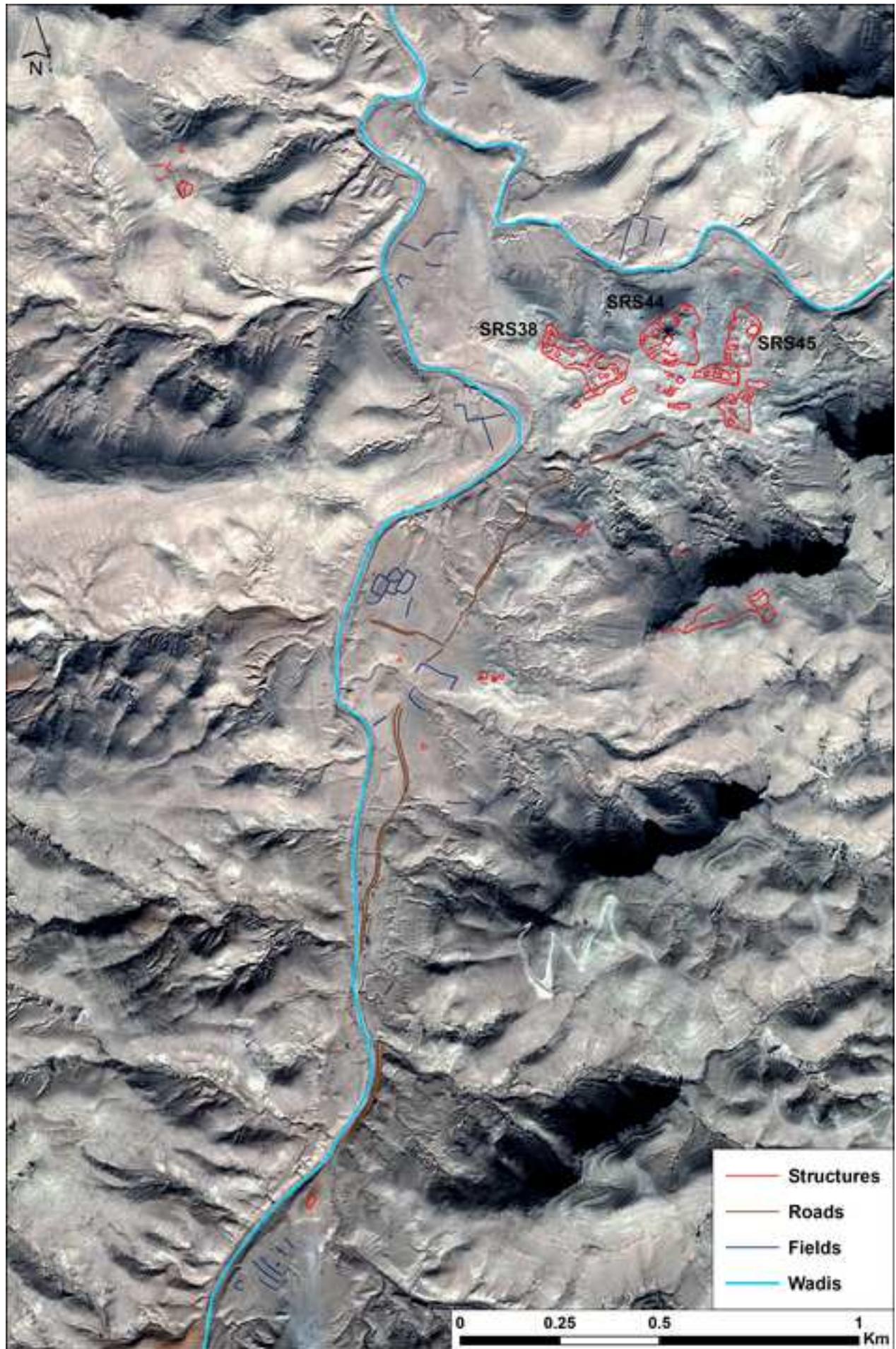
**Table I.** Comparative table showing the visibility of the archaeological remains on satellite imagery.

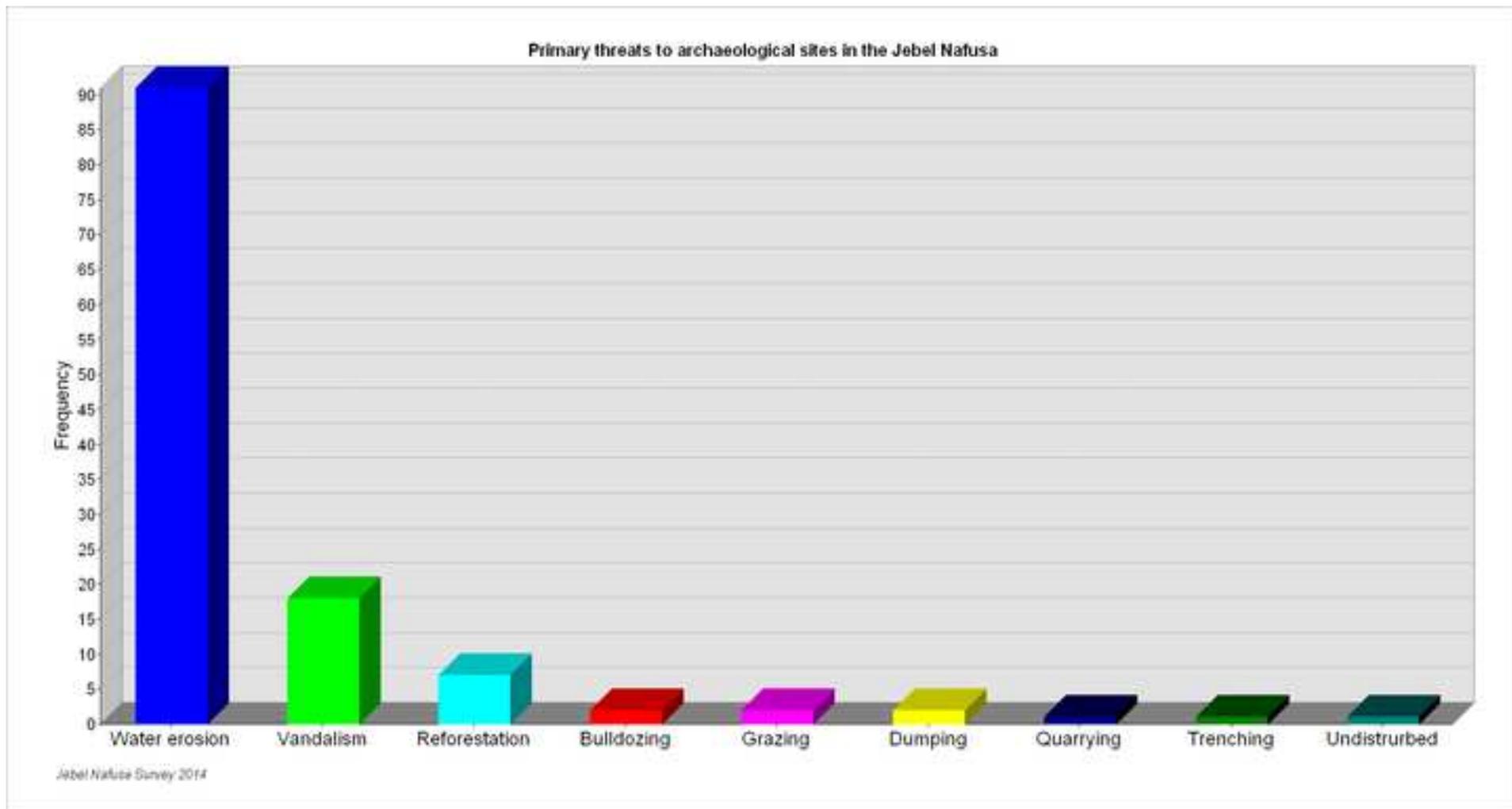


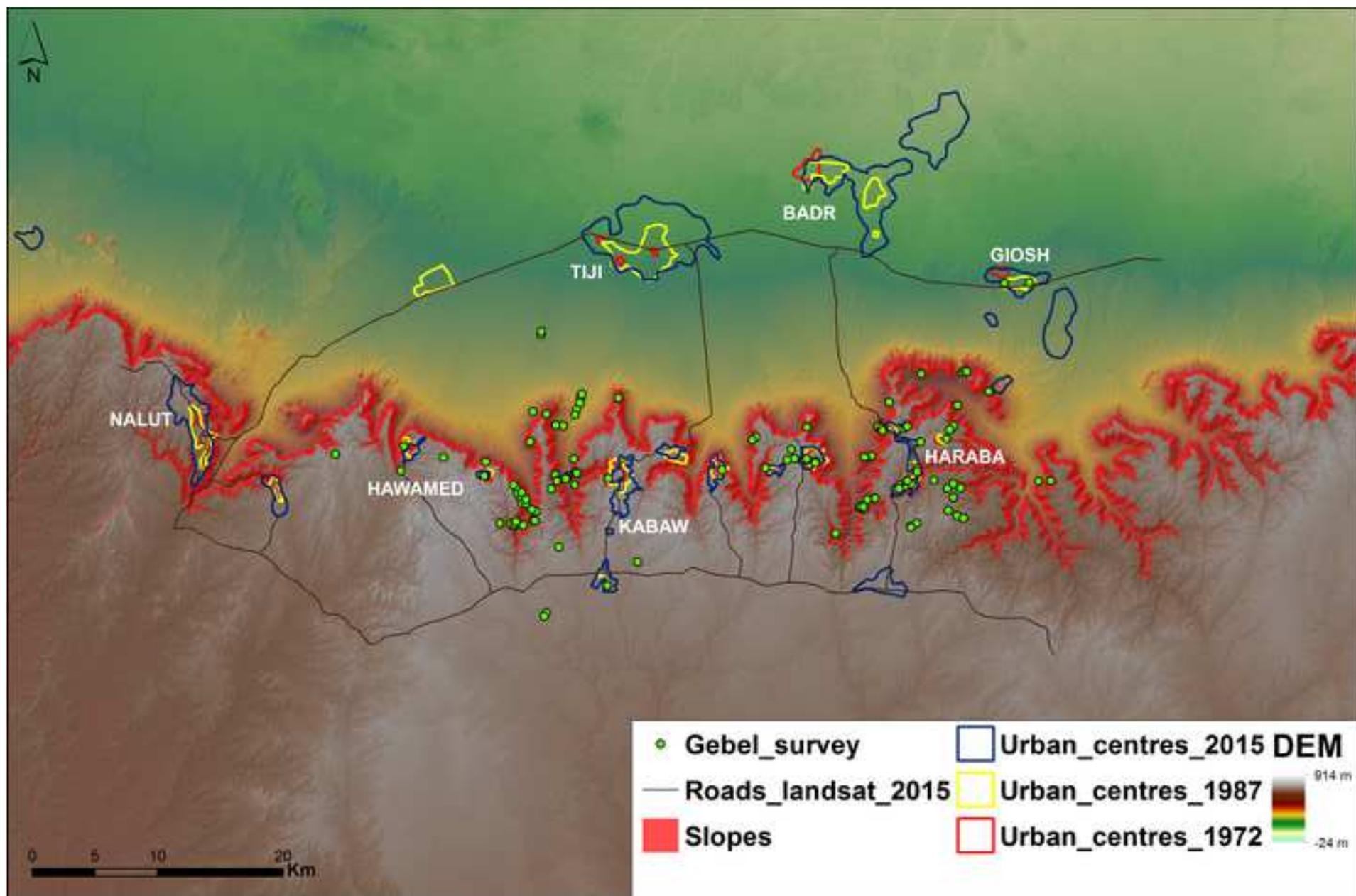


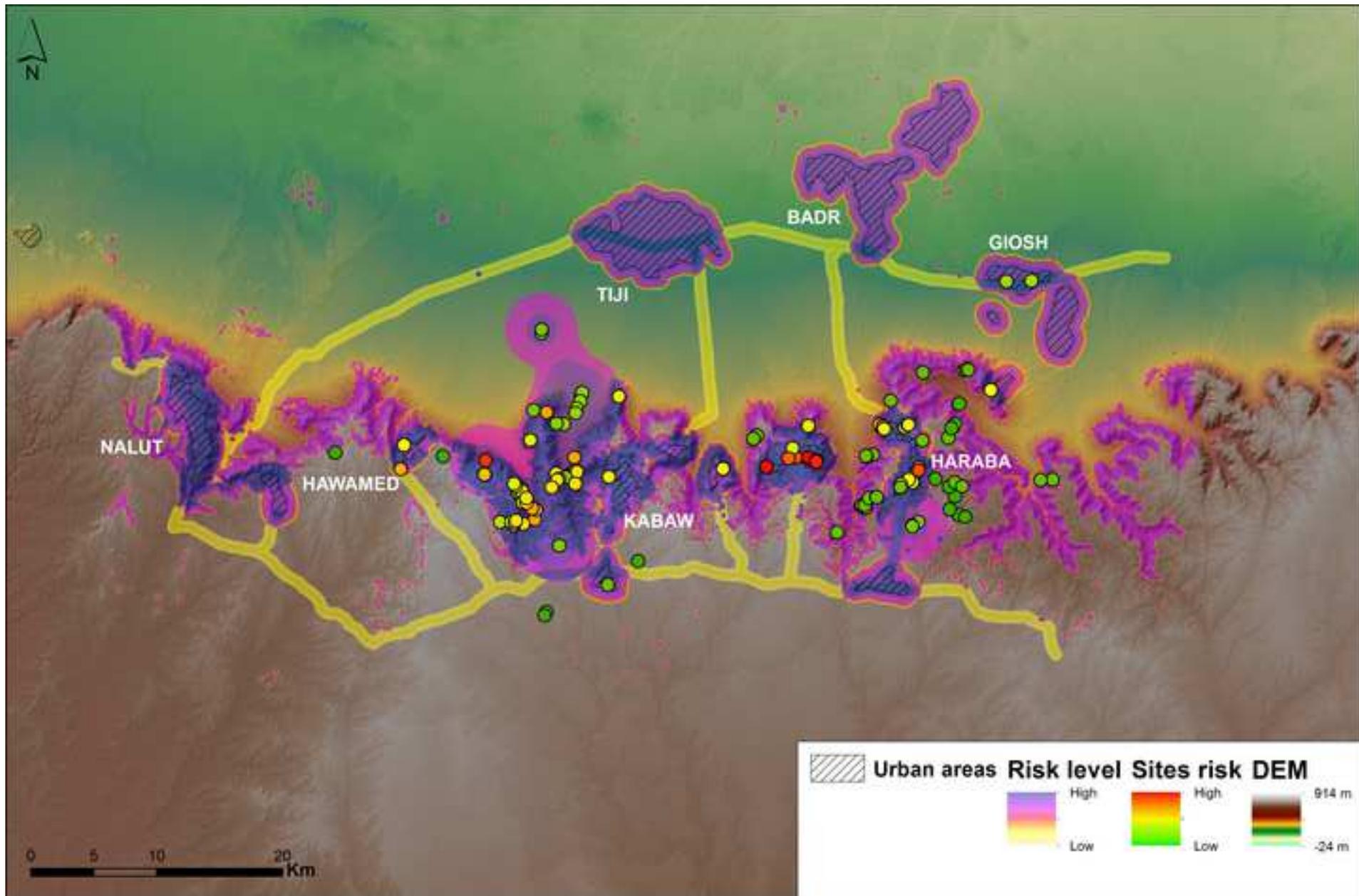




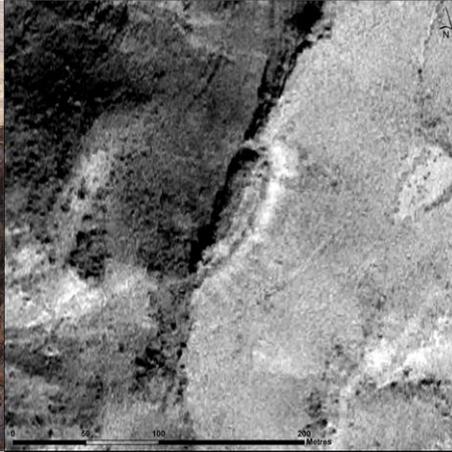








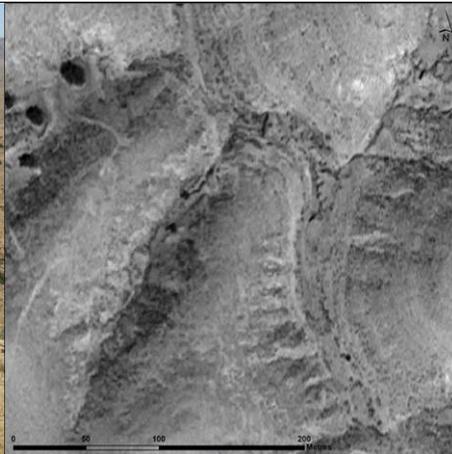
		<p>BDN99 – Large Islamic fortification with structures for storage situated on a hilltop north of the town of Haraba. The site is highly visible on the satellite image. The location of the structure is easily detectable and the nature of the site determinable.</p> <p><i>(4-bands multi-spectral images Pleiades 2013 - 0.50m res).</i></p>
		<p>HRB77 – Islamic watchtower located on a mound in the uplands south of Haraba. The small structure is highly visible on the satellite image, as well as the mound where it sits. Part of the building plan can be detected.</p> <p><i>(4-bands multi-spectral images Pleiades 2013 - 0.50m res).</i></p>
		<p>HRB100 – The urban settlement located in the surroundings of Haraba dates back to the 10<sup>th</sup>-12<sup>th</sup> century. The entire plan of the settlement is clearly visible on the satellite image. The complexity of the site is detectable on the satellite image.</p> <p><i>(4-bands multi-spectral images Pleiades 2013 - 0.50m res).</i></p>



KBW28 – Islamic small fortification with structures for storage situated in the northwest of Kabaw at the edge of the uplands area. The location of the site is guessable on the image although the anomaly could also be referred to a rock formation.  
*(Panchromatic OrbView-3 images 2006 – 1m res).*



TNM58 – Large Islamic fortification located on a mountain top northwest of Tendimira on the edge of the upland area. The upstanding structure is visible on the satellite image; the extensive collapsed buildings are not detectable. The largest part of the site cannot be mapped and the interpretation could be biased.  
*(4-bands multi-spectral images Pleiades 2013 - 0.50m res).*

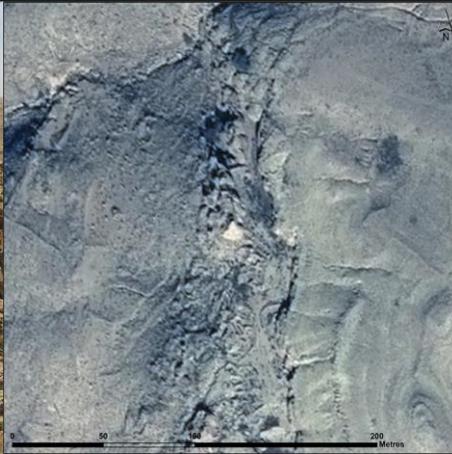


BDN122 – Undefined structure located on a hilltop between the towns of Nalut and Kabaw. The site shows a considerable complex structure from the ground visit photos, although chronology and function are unclear. The extensive standing structures are totally invisible on the satellite image.  
*(Panchromatic OrbView-3 images 2006 – 1m res).*



HRB84 –Islamic watchtower located east of Haraba, overlooking a wadi valley. The shape of the building, which preserves tens of metres high walls, is disguised by the topography of the terrain. The structure appears like a rock formation in the satellite image.

*(4-bands multi-spectral images Pleiades 2013 - 0.50m res).*



TNM60 – Islamic watchtower with storage structures, located south of Tendimira. The structure is situated at the edge of a mid-slope terrace. The site visibility on the satellite imagery is prevented by the topography of the terrain .

*(4-bands multi-spectral images Pleiades 2013 - 0.50m res).*