

1 **Magnetostratigraphic and archaeological records at the Early Pleistocene site**
2 **complex of Madigou (Nihewan Basin): implications for human adaptations in**
3 **North China**

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23 **ABSTRACT**

24 The Nihewan Basin in North China contains the densest concentration of early
25 Pleistocene Paleolithic sites outside Africa. This paper introduces a new
26 archaeological site complex at Madigou (MDG) that was systematically excavated
27 from 2011 to 2014 in the northeastern part of the Nihewan Basin. The site contains
28 fossils and well-preserved stone artefacts in fluvio-lacustrine sediments. Our
29 magneto- stratigraphic results situate the MDG sedimentary sequence in the early
30 Brunhes normal chron and the late Matuyama reverse chron, including the
31 Jaramillo normal subchron. The MDG artifact layers are positioned within the
32 pre-Jaramillo Matuyama chron, with an estimated age of ca. 1.2 Ma, close to the
33 onset of Mid-Pleistocene climate transition. The MDG core and flake technology
34 includes bipolar flaking of siliceous dolomite cobbles, and freehand flaking of
35 chert and brecciated chert block fragments. Mammalian fauna and pollen
36 compositions indicate that the MDG hominins lived in an open habitat varying
37 from lightly-wooded grassland to an ecosystem dominated by sparse steppe near
38 the shore of the Nihewan paleolake. Our combined results in the fields of
39 archaeology, paleontology, palynology and magnetostratigraphy suggest that
40 innovations in technological behavior may correlate with adaptations to high
41 environmental variability during the start of Mid-Pleistocene climate transition.

42 **Key words:** Magnetostratigraphy; early human adaptations; Early Pleistocene;
43 Madigou site complex; Nihewan Basin; North China

45 **1. Introduction**

46 Clarifying the precise age, geographic setting, and behavioral contexts of the
47 earliest colonization of the Old World are central issues in the study of human
48 evolution (Anton and Swisher, 2004; Zhu et al., 2003; Anton et al., 2014). The
49 success of early human migrations from Africa into Asia has been predicated on a
50 suite of morphological and behavioral adaptations to new environments and
51 rapidly changing climatic conditions (Potts, 1996, 2012; Dennell and Roebroeks,
52 2005; Anton, 2007; Dennell, 2009, 2010; Klein, 2009; Braun et al., 2010; Norton
53 and Braun, 2010; Henke and Hardt, 2011; Winder et al., 2015). The Nihewan
54 Basin in North China, which contains the densest concentration of Early
55 Pleistocene Paleolithic sites outside Africa, is a key area to explore early human
56 evolution and behavior in East Asian northern latitudes following the earliest ‘Out
57 of Africa’ (Schick et al., 1991; Zhu et al., 2001, 2004; Dennell, 2013).

58 The Nihewan Basin is located in the transition zone between the North China
59 Plain and the Inner Mongolian Plateau (Fig.1a, b), and is filled with Pliocene to
60 Holocene fluvio-lacustrine and aeolian deposits (Barbour, 1925; Barbour et al.,
61 1927; Deng et al., 2008, 2019; Liu et al., 2018). It was initially best known for its
62 long paleontological sequence (Teilhard de Chardin and Piveteau, 1930; Qiu, 2000;
63 Cai et al., 2013), but numerous Early Pleistocene archaeological sites have been
64 discovered since the 1970s as well (You et al., 1980; Wei and Xie, 1989; Xie et al.,
65 2006). During the past decades, more than 60 Paleolithic sites associated with
66 Mode 1 stone tools (i.e., core and flake assemblages) have been found in the basin

67 (Xie et al., 2006; Keates, 2010; Yuan et al., 2011; Liu et al., 2013), and a series of
68 early sites have now been dated between the upper boundary of the Olduvai
69 normal subchron and the Matuyama-Brunhes geomagnetic reversal (1.78–0.78 Ma)
70 (e.g., Zhu et al., 2001, 2003, 2004; Wang et al., 2005; Deng et al., 2006b, 2007;
71 Ao et al., 2010a, 2013a, b; Liu et al., 2010).

72 Current evidence shows that the Nihewan Basin was an area of consistent
73 hominin occupation for a long span of ~1300 kyr, from ~1.7 to ~0.4 Ma (Zhu et al.,
74 2001, 2004; Wang et al., 2005; Ao et al., 2010b, 2013a; Zuo et al., 2011). The
75 number of sites, density of archeological remains within each site and their
76 stratigraphic recurrence are in accord with an archaeological signal of hominins
77 “settling in” rather than merely “passing through” (Potts and Teague, 2010),
78 although convincing arguments have also been given to support a pattern of
79 sporadic occupation (Dennell, 2013). Therefore, the question remains whether
80 hominins with a Mode 1, core and flake technology could withstand the seasonal
81 and longer-term oscillations in climate, and how hominins adapted their
82 technological strategies during the Mid-Pleistocene climate transition (MPT) (ca.
83 1.25–0.7 Ma), characterized by high climate variability (Clark et al., 2006; Head et
84 al., 2008; Head and Gibbard, 2015). To address these questions, large-scale
85 archaeo-stratigraphic sequences with precise age determinations are vital to
86 explore the hominin behavioral patterns and adaptations in the Nihewan Basin
87 during MPT.

88 Madigou (MDG), a small gully of 400 m long and 20-40 m wide, is situated

89 940-1,000 m a.s.l. on the northwest margin of the Cenjiawan Platform (Barbour et
90 al., 1927) at N40°13'07-16", E114°39'58"-40'18", and between the two
91 well-known Early Paleolithic sites of Xiaochangliang and Donggutuo (Fig.1c).
92 The MDG site was discovered in 1981 and was re-explored in 2007 (Pei et al.,
93 2010), but systematic excavation was only conducted from 2011 to 2014, the
94 results of which are presented here. In this paper, we present the MDG site
95 complex archaeo-stratigraphic sequence, high-resolution magnetostratigraphic
96 dating, archaeological assemblages, and discuss the significance of hominin
97 technological behavior during the start of the MPT in the Nihewan Basin.

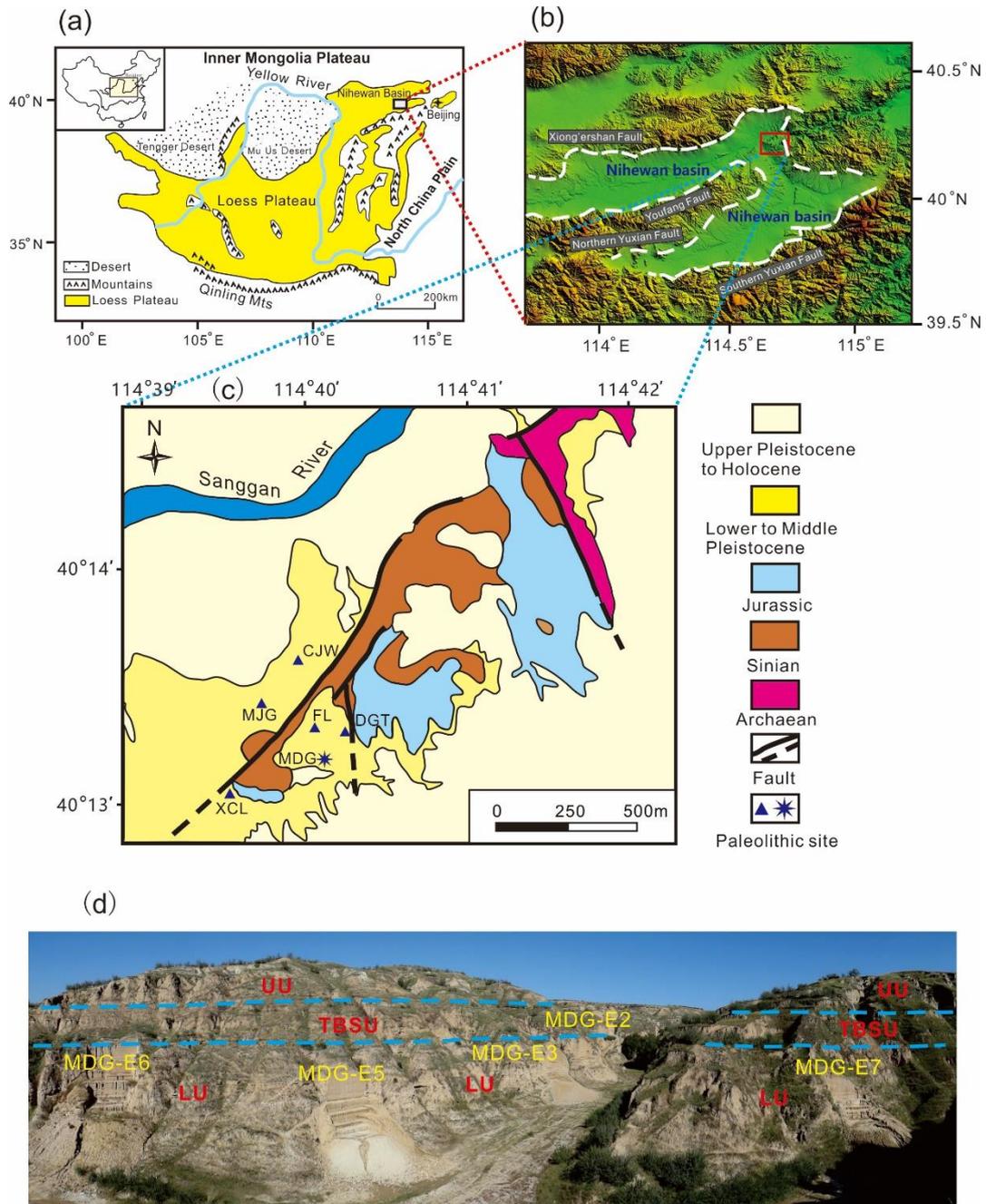
98 **2. Geology and stratigraphy**

99 **2.1 Geology**

100 The Nihewan Basin is an inter-montane down-faulted basin at the
101 northeastern margin of the Chinese Loess Plateau (Fig. 1a, b). Late Pliocene to
102 middle/late Pleistocene fluvio-lacustrine sediments were widely deposited in the
103 basin (Young, 1950; Zhou et al., 1991; Zhu et al., 2003; Deng et al., 2008, 2019;
104 Liu et al., 2012, 2018). The Nihewan Formation (Min and Chi, 2003) represents
105 the type section of the Early Pleistocene in North China (Young, 1950), and is
106 restricted to the lower part of the Nihewan Beds. Thick and continuous exposures
107 of these fluvio-lacustrine sequences (without obvious tilting) are found mainly
108 along the SW-NE trending Sanggan River and SE-NW trending Huli River on the
109 Cenjiawan Platform (Barbour et al., 1927) to the northeastern margin of the
110 Nihewan Basin, covering an area of some 20 km² with a local elevation of >120 m

111 (Fig. 1b, c).

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114

115 **Fig. 1.** Location of Madigou and its geological background. (a) Chinese Loess
116 Plateau. (b) Sketch map of the Nihewan Basin. (c) relevant sites in the
117 northeastern part of the Nihewan Basin. Upper Pleistocene to Holocene-loess/
118 paleosol/ alluvial deposits; Lower to Middle Pleistocene fine sand and silts;

119 Jurassic-volcanic lava and breccia; Sinian-siliceous dolomite with nodular or
120 banded chert; Archaean-granulite and gneiss with banded quartz and quartzite. (d)
121 Photo of the composite sections of MDG-E2, E3, E5, E6, and E7 at the MDG site
122 complex (view from southwest); LU-lower unit, TBSU-thick brown sand unit,
123 UU-upper unit.

124

125 **2.2 Stratigraphy**

126 The MDG site complex is located between the southern bank of the Sanggan
127 River and the eastern bank of the Huli River, around which several other Early
128 Pleistocene Paleolithic sites are documented (Fig. 1c). In Madigou, the 44.1
129 m-thick Nihewan fluvio-lacustrine exposed deposits consist mainly of
130 grayish-yellow and grayish-green silty clays, silts and sandy silts. Figure 1d shows
131 the lithostratigraphic profiles and position of the archaeological trenches at the
132 MDG site complex. The lowest part of the MDG Section is the 16 m-thick Lower
133 Unit (LU), which consists predominantly of massive sandy silts, silts, and pale
134 gray silty clays. This unit shows horizontal and ripple beddings, and contains
135 calcareous nodules and concretions, ferruginous nodules and rust spots, and
136 complete and fragmentary mollusks. Above the LU is the Thick Brown Sand Unit
137 (TBSU), with a thickness of 11.9 m and consisting of sands, silts, and clayey silts,
138 all light brown in color. Thin horizontal lamination and ripple bedding are
139 common. The next distinct unit is the Upper Unit (UU), which extends for 1.2 m in
140 the reference section, and is formed of alternating light grey and light brown sand,

141 silt, and clay. A dark gray clay that expands over 2 meters above the UU marks a
142 well-developed weathering surface at the top of the Nihewan fluvio-lacustrine
143 deposits. Loess sediments at the top of the section have been subjected to erosion,
144 and are better preserved in some higher stratigraphic sections over the MDG
145 sequence. Late middle to late Pleistocene tectonics and erosion shaped a west-east
146 trending ravine of over 400 m in length. The MDG archaeological trenches are
147 placed in the lake-margin silts and clays at 35.2–39.7 m from the top of the
148 sequence, i.e. extending through sediments from the LU.

149 **3 Methods**

150 **3.1 Rock magnetic measurements**

151 To determine the magnetic mineralogy, four samples were selected for rock
152 magnetic measurements, including temperature-dependent magnetic
153 susceptibilities (χ - T curves), isothermal remanent magnetization (IRM)
154 acquisition curves, backfield IRM demagnetization curves, and hysteresis loops
155 (Fig. 2).

156 χ - T curves were measured using an AGICO MFK1-FA equipped with CS-3
157 temperature control system. Hysteresis loops, IRM acquisition, and back-field
158 demagnetization curves were measured with a Princeton Measurements
159 Corporation MicroMag 3900 vibrating sample magnetometer (VSM).

160 **3.2 Paleomagnetic measurements**

161 Block samples oriented by magnetic compass in the field were taken from
162 four sub-sections, including MDG-E2, MDG-E3, MDG-E5 and MDG-E6. A total

163 629 block samples were taken at 10–20 cm intervals. Cubic specimens of
164 20×20×20 mm were obtained from those block samples in the laboratory.

165 Remanence measurements were made using a three-axis cryogenic
166 magnetometer (2G Enterprises, USA) installed in a magnetically shielded space
167 (<300 nT). To establish the magnetic polarity stratigraphy, 629 specimens were
168 selected for paleomagnetic measurements. The specimens were subjected to
169 progressive thermal or hybrid demagnetization. The thermally demagnetized
170 specimens were subjected up to a maximum temperature of 690°C with 25–50°C
171 interval below 585°C and 10–25°C above 585°C, using a Magnetic Measurements
172 thermal demagnetizer with a residual magnetic field less than 10 nT. The
173 hybrid-demagnetized specimens were subjected to 120°C thermal demagnetization
174 followed by alternating field (AF) demagnetization at peak fields up to 70 mT.
175 Both methods were capable of isolating the characteristic remanent magnetization
176 (ChRM) after removal of soft secondary components of magnetization.

177 Demagnetization results (Fig. 3) were evaluated by orthogonal diagrams
178 (Zijderveld, 1967) and the principal components direction was computed with the
179 least-squares fitting technique (Kirschvink, 1980). The high-stability ChRM
180 components were separated up to 585°C (Figs. 3a, 3h) or 680–690°C (Figs. 3b-3d,
181 3g, 3i), or up to 60–70 mT (Figs. 3e, 3f). The behaviors indicate that both
182 magnetite and hematite dominate the remanence carriers in the MDG sediments.
183 Total 261 (41.5%) specimens gave reliable ChRM directions. The maximum
184 angular deviations (MAD) were usually smaller than 15°, with 3.8% of the 261

185 specimens having MAD values more than 15°. The virtual geomagnetic pole
186 (VGP) latitudes were calculated from the ChRM data to construct the
187 magnetostratigraphy for the MDG section (Figs. 4, 5).

188 **3.3 Archaeological excavation and lithic analysis**

189 Systematic mapping and geomorphological study of the MDG area was
190 undertaken prior to excavations, focusing on the reference section of the
191 fluvio-lacustrine deposits identified along the MDG small valley. All excavations
192 were conducted in 2 to 5 cm spits, with larger spits used for sterile layers.
193 Sediments were dry sieved with 5 mm mesh.

194 Stone tool analysis followed methodology outlined by Pei et al. (2017), which
195 includes a consideration of basic technological categories of flaked, detached and
196 pounded pieces, plus unmodified material (Isaac, 1986, Isaac et al., 1981; Pei et al.,
197 2017; de la Torre and Mora, 2018).

198 **4. Results**

199 **4.1 Rock magnetic measurements**

200 Figure 2 shows the results of rock magnetic measurements. The $\chi-T$ curves
201 (Figs. 2a-2d) are characterized by a major drop in magnetic susceptibility at
202 $\sim 585^{\circ}\text{C}$, the Curie point of magnetite, indicating that stoichiometric magnetite is
203 the major contributor to magnetic susceptibility. Some samples display a clear
204 susceptibility drop near 680°C (Figs. 2a, 2b, 2d), the Néel temperature of hematite,
205 indicating that hematite contributed to the magnetic susceptibility. Some samples
206 exhibit heating curves with a susceptibility hump near $\sim 270-300^{\circ}\text{C}$ (Figs. 2a, 2c,

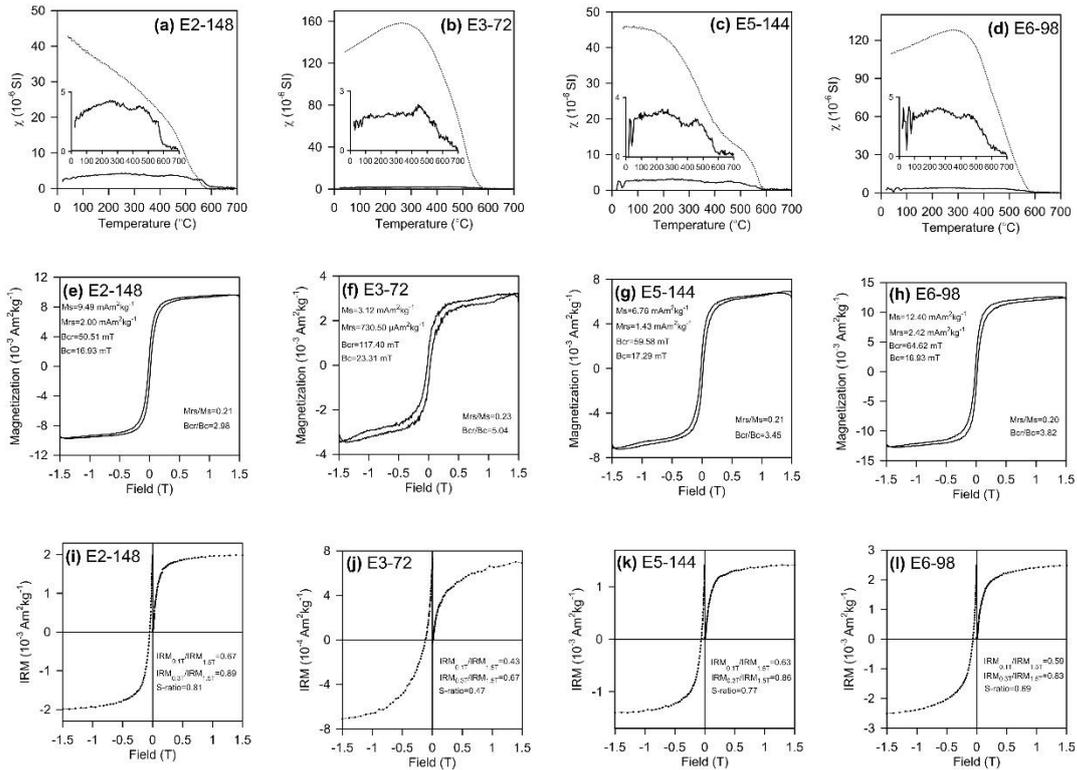
207 2d). Further decrease of magnetic susceptibility between $\sim 300^{\circ}\text{C}$ and $\sim 400^{\circ}\text{C}$ is
208 interpreted as the conversion of metastable maghemite (Stacey and Banerjee, 1974;
209 Deng et al., 2006a). Cooling curves are higher than heating curves after exposure
210 to $< 585^{\circ}\text{C}$. The significantly enhanced susceptibility after thermal treatment may
211 arise from the neo-formation of magnetite grains from iron-containing
212 silicates/clays (Deng et al., 2006a).

213 Analyzed samples display wasp-waisted hysteresis loops (Figs. 2e-2h), which
214 are attributed to the coexistence of two magnetic components with strongly
215 contrasting coercivities (Roberts et al., 1995). The low-coercivity component
216 consists of magnetite and/or maghemite, and the high-coercivity component is
217 mainly due to hematite. The open nature of the hysteresis loops up to fields of 1.0–
218 1.5 T and the significantly low values of S-ratio (King and Channell, 1991), which
219 is defined as the ratio of IRM acquired at -0.3 T ($\text{IRM}_{-0.3\text{T}}$) to IRM acquired at 1.5
220 T ($\text{IRM}_{1.5\text{T}}$), confirm the contribution of high-coercivity phases (e.g., Fig. 2j).

221 All samples show a rapid increase in the IRM acquisition curves below 100
222 mT (Figs. 2i-2l), indicative of the presence of magnetically soft components such
223 as magnetite and maghemite. However, the IRM of all the selected samples
224 continues to increase above 300 mT (Figs. 2i-2l), and the S-ratio has low values,
225 suggesting a significant contribution from high-coercivity components, e.g.,
226 hematite (Fig. 2j).

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229

230

231 **Fig. 2.** Rock magnetic properties of representative samples. (a–d)

232 Temperature-dependent magnetic susceptibilities ($\chi-T$ curves). Solid and dotted

233 lines represent heating and cooling curves, respectively. (e–h) Hysteresis loops

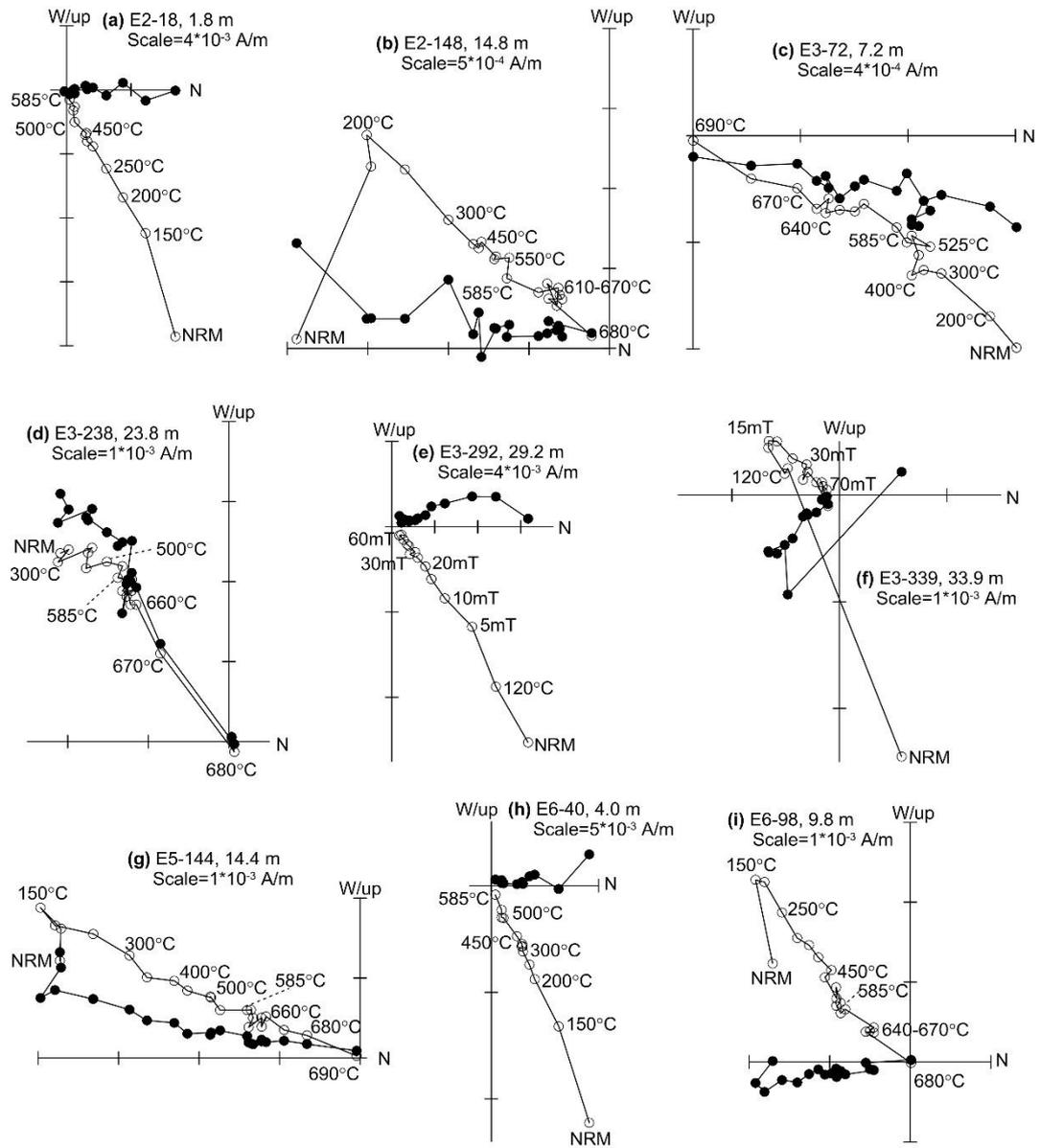
234 after high-field slope correction. Hysteresis parameters are indicated. (i–l)

235 Isothermal remanent magnetization (IRM) acquisition curves and backfield

236 demagnetization curves. Relevant magnetic parameters are indicated.

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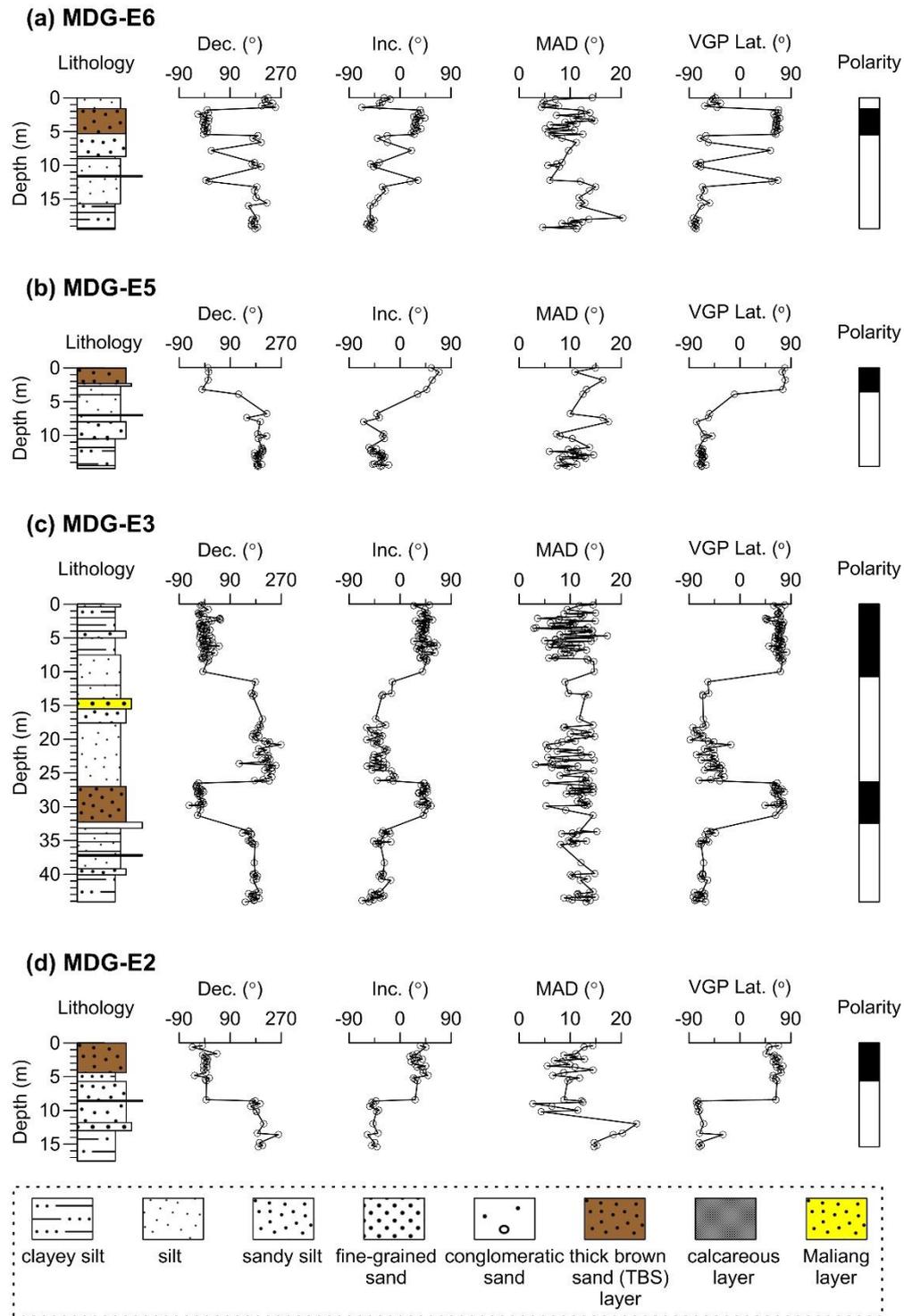
241 **Fig. 3.** Orthogonal projections of stepwise thermal and alternating field

242 demagnetization data. The solid and open circles represent projections onto the

243 horizontal and vertical plane, respectively. The numbers refer to temperatures

244 in °C or alternating fields in mT. NRM is the natural remanent magnetization.

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248 **Fig. 4.** Lithostratigraphy and paleomagnetic results of the four studied

249 sub-sections. Dec., declination; Inc., inclination; MAD, maximum angular

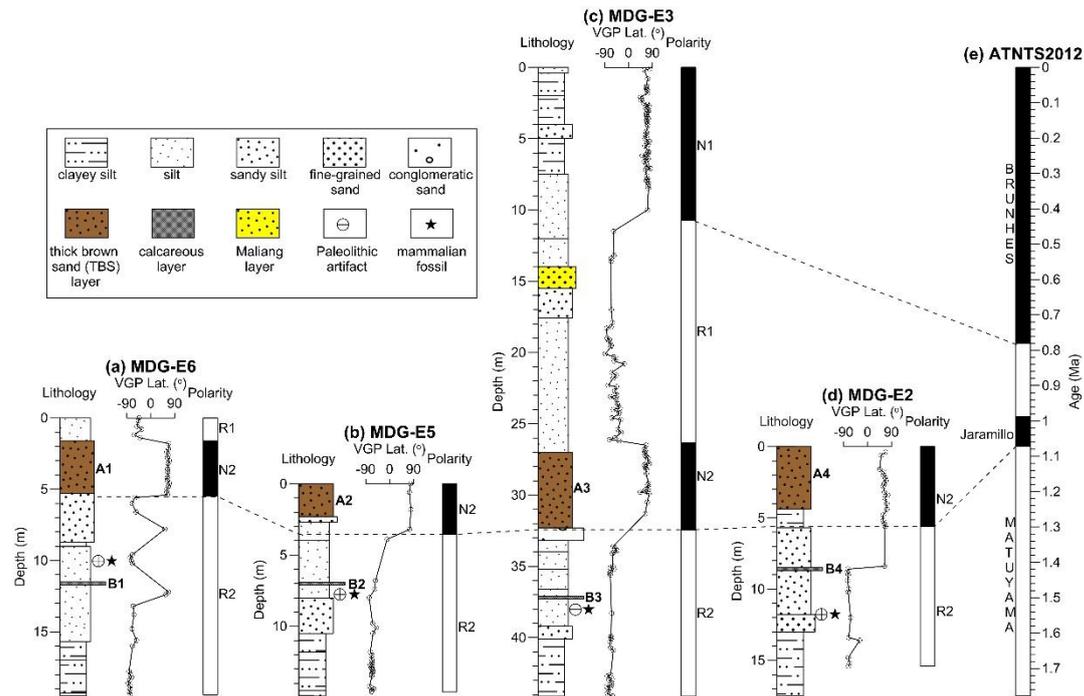
250 deviation; VGP Lat., latitude of virtual geomagnetic pole.

251 **4.2 Stratigraphic correlation and age estimation of the Madigou site complex**

252 The Madigou Paleolithic site is located ~150 m southwest of the Donggutuo
253 and Huojiadi sites, and ~150 m southeast of the Feiliang site (Fig. 1c). The
254 Donggutuo, Feiliang and Huojiadi sections mainly comprise fluvio-lacustrine silts,
255 silty clays and sandy silts (Wang et al., 2005; Deng et al., 2007; Liu et al., 2010). A
256 distinctive marker layer consisting of yellow sandy silts was used to
257 stratigraphically correlate the Madigou section with the Donggutuo, Feiliang and
258 Huojiadi sections. This marker layer (see position of the Maliang layer in Figures
259 4 and 5) which lies below the Matuyama-Brunhes boundary (Fig. 5) and can be
260 traced in the field across several localities, is found at a depth interval of 14–15.5
261 m in the Madigou section (Fig. 5c), at 10–11 m depth in the Huojiadi section (Liu
262 et al., 2010), 22.2–22.7 m depth in the Donggutuo section (Wang et al., 2005) and
263 at 5.3–5.8 m depth in the Feiliang section (Deng et al., 2007).

264 Following demagnetization, four magnetozones are recognized within the
265 Madigou section: two normal (N1 and N2) and two reverse (R1 and R2). The
266 stone artifact layers occur within magnetozone R2.

267 Based on paleomagnetic and sedimentological data (Fig. 5), the Madigou
268 magnetozones can be correlated with the astronomically-tuned Neogene timescale
269 of Hilgen et al. (2012) (ATNTS2012). Magnetozones N1 and N2 correspond to the
270 Brunhes normal chron and the Jaramillo normal subchron, respectively.
271 Magnetozones R1 and R2 correspond to the pre- and post-Jaramillo Matuyama
272 reverse chron, respectively.



274

275

276 **Fig. 5.** Lithostratigraphy and magnetic polarity stratigraphy of the Madigou
 277 sub-sections MDG-E2, MDG-E3, MDG-E5 and MDG-E6, and their correlations
 278 with the astronomically-tuned Neogene timescale of Hilgen et al. (2012)
 279 (ATNTS2012). Layers A1–A4 and B1–B4 show the sedimentary marker layers
 280 used for local stratigraphic correlation.

281

282 The Madigou stone artifact layers occur just below the Jaramillo normal
 283 subchron, which was dated at 1.072–0.988 Ma in ATNTS2012 (Hilgen et al.,
 284 2012). We estimate the age of the Madigou artifact layer by extrapolating the
 285 sediment accumulation rate of MDG-E3 magnetozones R1–N2 (that is, between
 286 the Matuyama-Brunhes boundary and the lower boundary of the Jaramillo
 287 subchron) (Fig. 5). The average sediment accumulation rate of magnetozones

288 R1–N2 at MDG-E3 is 7.11 cm kyr^{-1} ; hence, the extrapolated age estimate for the
289 MDG-E3 stone artifact layer is 1.18 Ma. Given the significant variability in
290 sediment accumulation rates among the fluvio-lacustrine sequences at MDG-E2,
291 MDG-E3, MDG-E5 and MDG-E6, the age of the Madigou artifact layers is
292 concluded to be around 1.2 Ma.

293 Importantly, two distinctive marker layers (namely A1–A4 and B1–B4) can be
294 used for local stratigraphic correlation, further assisting to sequence the artifact
295 layers of the Madigou sub-sections (Fig. 5). The marker layers A1–A4 consist of
296 thick brown sand (TBS; named TBS layer) and are attributed to the Jaramillo
297 interval. The marker layers B1–B4 consist of calcareous silts and sandy silts,
298 which occur 2.9–6.0 m below the lower boundary of the Jaramillo subchron.
299 Varying thickness of the Jaramillo and pre-Jaramillo sediments across the
300 Madigou sub-sections is due to the high variability in sediment accumulation in
301 the lake margin sequence.

302 The MDG-E3 and MDG-E5 artifact layers occur just below the marker layers
303 B3 and B2 (Figs.5b and 5c), respectively, thus indicating the same age for both
304 archaeological units. The MDG-E2 artifact layer occurs 3.1 m below the marker
305 layer B4 (Fig. 5d), indicating that it is possibly older than the MDG-E3 and
306 MDG-E5 artifact layers. The MDG-E6 artifact layer occurs 4.5 m below the lower
307 boundary of the Jaramillo subchron and 1.5 m above the marker layers B1 (Fig.
308 5a). Considering these results, we propose a chronostratigraphic sequence for the
309 early Pleistocene Madigou Paleolithic site complex that begins with MDG-E2, is

310 followed by MDG-E3 and MDG-E5, and finishes with MDG-E6.

311 4.3 Archaeological assemblages

312 The excavation of five trenches (MDG-E2, MDG-E3, MDG-E5, MDG-E6,
313 and MDG-E7) exposed a total area of 175 m² and more than 10 meters of the
314 archaeo-stratigraphic sequence in the back walls. Archaeological remains,
315 including 1517 stone tools and 900 fossil specimens, were unearthed from the
316 lower part of the stratigraphy in each trench. Main features of each trench are
317 available in Supplementary Information SI Table S1.

318 MDG bones are usually fragmentary. As shown in Table 1, the fossil
319 assemblage includes *Equus* (represented mostly by isolated teeth), Rhinocerotide,
320 *Gazella* and indeterminable bovids. The only rodent remain is a fragmentary skull
321 with a well-preserved upper dentition, attributed to the genus *Spermophilus* (a
322 typical ground squirrel). This faunal composition, dominated by grazers, indicates
323 open grasslands and sparse steppe in the area.

324

325 **Table 1 Taxonomic groups in the MDG fossil assemblage**

Class	Taxon	Anatomical element	Environment
Perissodactyla	<i>Equus</i> sp.	Cheek teeth, metacarpal and tibia frag.	OG, SS
	Rhinocerotide gen. and sp. indet.	Cheek teeth and calcaneus frag.	OG
Artiodactyla	<i>Gazella</i> sp.	Cheek teeth and tibia frag.	OG, SS
	Bovidae gen. and sp. indet.	Phalange, tibia frag.	OG
Rodentia	<i>Spermophilus</i> sp.	Skull	OG, AS

326 Palaeoecological setting: OG=Open Grassland; AS= Arid Steppe; SS= Sparse Steppe

327

328 The lithic assemblage contains 1517 artefacts (Table 2) that weigh nearly 92

329 kg (frequency and weight of MDG stone tools per raw material is available in
330 Supplementary Information SI Table S2). Most of the materials derive from
331 MDG-E2 (n=857) and MDG-E3 (n=452), while the rest of trenches present low
332 artefact densities (Table 2, also see the Supporting Information SI Table S1). Chert
333 (n=679) is the most abundant raw material in terms of frequency of artefacts,
334 followed by siliceous dolomite (n= 507) (see Supporting Information SI Table S2).
335 Nonetheless, the latter is substantially more relevant (~42 kg) than chert (~24 kg)
336 in terms of weight contribution to the assemblage, followed by brecciated chert
337 and lava (see Supporting Information SI Table S2).

338 Numerically, detached artefacts (n=1194) dominate the assemblage, although
339 the weight contribution of flaked pieces (~47 kg) is larger than that of detached
340 pieces (~34 kg) (see Supporting Information SI Table S2, S3). Whole flakes
341 (n=243) and flake fragments (n=385) are the most abundant categories and
342 constitute 41.4% of detached artefacts (Table 2). Split cobbles are abundant
343 (n=102), show clear anthropogenic signatures (e.g., clustered battering, bipolar
344 damage, fresh fracture), and outnumber the frequency of cores (n=92) in the
345 assemblage (Table 2).

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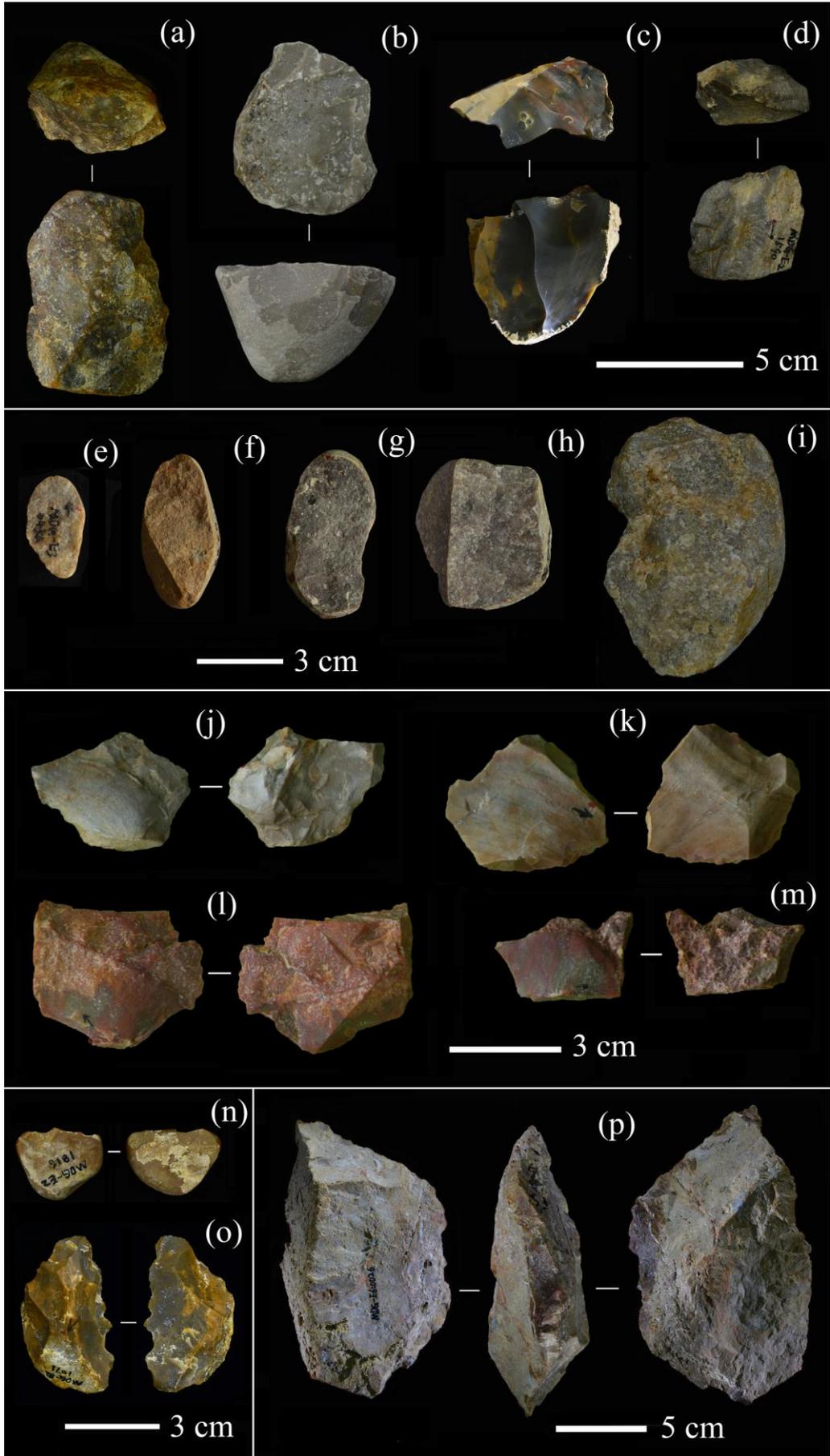
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350 **Table 2 Breakdown of stone tool categories in the MDG site complex**

Category		MDG-E2		MDG-E3		MDG-E5		MDG-E6		MDG-E7		Total	
		N	%	N	%	N	%	N	%	N	%	N	%
Detached	Flake	151	17.6	62	13.7	11	17.2	14	22.2	5	6.2	243	16.0
	Flake fragment	217	25.3	131	29.0	4	6.3	10	15.9	23	28.4	385	25.4
	Bipolar product	52	6.1	15	3.3	2	3.1	1	1.6	19	23.5	89	5.9
	Angular fragment<20mm	122	14.2	24	5.3	0	0.0	0	0.0	3	3.7	149	9.8
	Angular fragment>20mm	171	20.0	122	27.0	15	23.4	8	12.7	12	14.8	328	21.6
	Total Detached	713	83.2	354	78.3	32	50.0	33	52.4	62	76.5	1194	78.7
Flaked	Core	38	4.4	16	3.5	18	28.1	16	25.4	4	4.9	92	6.0
	Core fragment	3	0.4	9	2.0	1	1.6	2	3.2	0		15	1.0
	Retouched piece	52	6.1	13	2.9	8	12.5	2	3.2	1	1.2	76	5.0
	Bipolar core	13	1.5	4	0.9	1	1.6	2	3.2	2	2.5	22	1.5
	Split cobble	34	4.0	52	11.5	3	4.6	3	4.7	10	12.3	102	6.7
	Total Flaked	140	16.4	94	20.8	31	48.4	25	39.7	17	21.0	307	20.2
Pounded	Anvil	2	0.2	0		0		0		0		2	0.1
	Hammerstone	2	0.2	4	0.9	1	1.6	5	7.9	2	2.5	14	1.0
	Total Pounded	4	0.4	4	0.9	1	1.6	5	7.9	2	2.5	16	1.1
Grand total		857	56.5	452	29.8	64	4.2	63	4.2	81	5.3	1517	100

351

352 The MDG technology is geared towards the production of flakes of 3-4 cm in
353 size using freehand and bipolar knapping techniques (Figs. 6j-m). Freehand cores
354 are relatively small (74.6 mm of average length) and irregular, show short
355 reduction sequences, and do not suggest standardized flaking methods (Figs. 6a-d).
356 Cores and debitage bearing bipolar features are relatively abundant (see Table 2)
357 which, added to the significant number of split cobbles [although see de la Torre
358 and Mora (2018) for alternative interpretations of this category], indicate a great
359 emphasis on the use of hammer-and-anvil techniques to knap stone tools. As
360 shown in Supporting Information SI Table S2, there is a strong preference for the
361 use of siliceous dolomite to produce split cobbles (see also Figs. 6e-i).



363 **Fig. 6.** Stone tools from the MDG site complex

364 (a–d) cores, (e–i) split cobbles, (j–m) flakes, (n–o) small retouched tools, (p) large
365 shaped tool.

366

367 Whilst MDG is essentially a core-and-flake assemblage, there is a significant
368 number of finely retouched tools, predominantly of chert (see Supporting
369 Information SI Table S2). Retouched tools are small in average (mm of mean
370 length = 37.4 mm) and are usually made on flake blanks, although some retouched
371 artifacts are made on small cobbles (Fig. 6m); convergent, point-shaping retouch is
372 frequent (Fig. 6o). Two of these retouched artifacts surpass the 10 cm arbitrary
373 cutoff (Kleindienst, 1962) for shaped pieces to be considered as Large Cutting
374 Tools (LCTs) (Fig. 6p).

375 **5. Discussion**

376 **5.1 Implications for hominin colonization of the northern high latitudes in** 377 **East Asia**

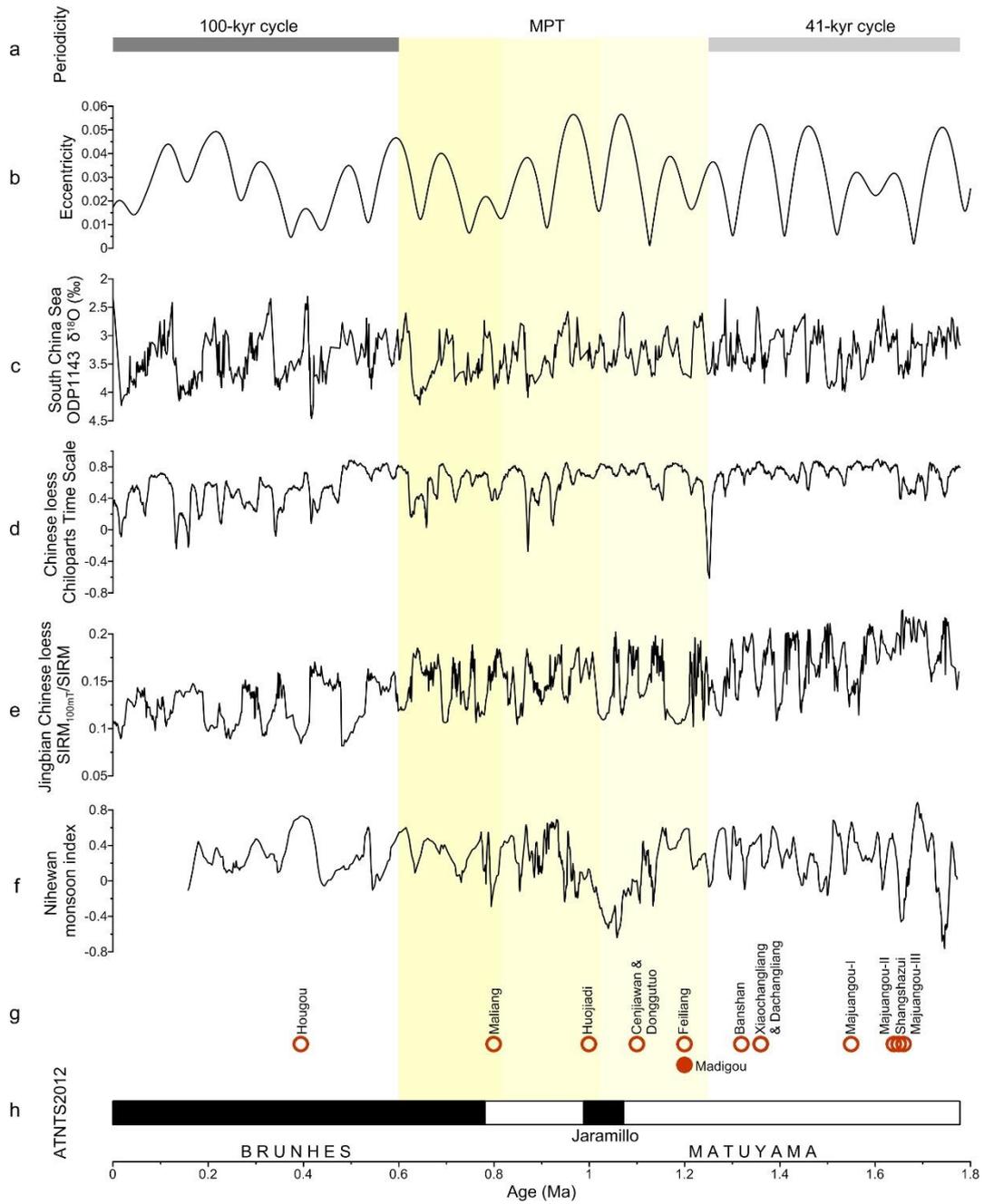
378 Early human evolution is significantly influenced by climate and
379 environmental changes (Potts, 1996; Antón, 2007; Abbate and Sagri, 2012). The
380 MPT was marked by a progressive increase in the amplitude of climate oscillations
381 from 41 to 100 kyr cycles, which largely reflects combined changes in global ice
382 volume, sea level, and ocean temperature (Ruddiman et al., 1986; Mudelsee and
383 Schulz, 1997; Raymo, et al., 1997, Medina-Elizalde and Lea, 2005; Clark et al.,
384 2006) (also see Fig. 7). This variability was accompanied by a series of

385 paleoenvironmental processes of global or regional significance, such as rises in
386 aridity and monsoonal intensity in Asia and Africa during the increased amplitude
387 of climatic oscillations (Clark et al., 2006; Sun et al., 2019). Current evidence
388 indicates that the earliest hominin populations to reach cold northeast Asia were
389 able to survive for at least 0.5 myr prior to the MPT of high amplitude climate
390 oscillations (Zhu et al., 2004) (Fig. 7). The environmental shifts in northern and
391 northwestern China (An et al., 2005; Ding et al., 2005; Ao et al., 2012), which
392 serve as habitat episodic disturbances, may have provided stress for early human
393 evolution in this region, especially in the Nihewan Basin (Deng et al., 2006).

394 The MPT, previously known as the mid-Pleistocene Revolution (Maasch,
395 1988; Berger and Jansen, 1994; Mudelsee and Schulz, 1997), was marked by a
396 progressive increase in the amplitude of climate oscillations (Ruddiman et al.,
397 1986; Mudelsee and Schulz, 1997; Clark et al., 2006). Current evidence indicates
398 that the MPT represents a critical phase in the evolution and dispersal of early
399 *Homo* (Larick and Ciochon, 1996; Abbate and Sagri, 2012). During the earliest
400 dispersal, hominins may have occupied Chinese Loess Plateau by 2.11 Ma (Zhu et
401 al., 2018), were certainly present as far north as Dmanisi in Georgia by ~1.78-1.85
402 Ma (Gabunia and Vekua, 1995; Gabunia et al., 2000; Ferring et al., 2011), with
403 sparse early records across the lower latitudes of central and tropical eastern Asia
404 and southeastern Asia and subtropical southern China at around 1.7–1.6 Ma
405 (Antón and Swisher, 2004; Dennell and Roebroeks, 2005; Zhu et al., 2008). By
406 about 1.7–1.5 Ma, early *Homo* had definitely colonized the southern Loess Plateau

407 in central China (Zhu et al., 2015) and the Nihewan Basin at high northern
408 latitudes (40°N) (Zhu et al., 2004; Ao et al., 2013b). In East Asia, a population
409 increase and geographic expansion from middle to high northern latitudes is
410 observed at the onset of MPT (Larick and Ciochon, 1996; Deng et al., 2007;
411 Abbate and Sagri, 2012). Our magnetochronological findings at the MDG
412 Paleolithic site complex further document an unambiguous presence of early
413 hominins during the MPT interval in the Nihewan Basin, previously supported by
414 the evidence in Feiliang at ~1.2 Ma (Deng et al., 2007), Donggutuo (Wang et al.,
415 2005) and Cenjiawan (Wang et al., 2006) at ~1.1 Ma, Huojiadi at ~1.0 Ma (Liu et
416 al., 2010), and Maliang at 0.8 Ma (Wang et al., 2005).

417



418

419

420 **Fig. 7.** Synthesis of well-dated early Paleolithic sites in the Nihewan Basin

421 with respect to ATNTS2012 (Hilgen et al., 2012), and temporal variations of both

422 marine and terrestrial paleoclimatic proxies in East Asia. (a) Paleoclimatic

423 periodicities. (b) Long-term variations of eccentricity (Berger and Loutre, 1991).

424 (c) $\delta^{18}\text{O}$ record from ODP Site 1143, South China Sea (Tian et al., 2002). (d)

425 Chinese loess Chiloparts time scale, which is the stacked grain-size age model for
426 Chinese loess/paleosol sequences (Ding et al., 2002). (e) Changes in the
427 $SIRM_{100mT}/SIRM$ ratio from the Jingbian loess/paleosol sequence (SIRM is the
428 saturation isothermal remanent magnetization, and $SIRM_{100mT}$ represents the
429 residual SIRM after 100-mT alternating field demagnetization) (Deng et al.,
430 2006a). (f) Tuned summer monsoon index of the Xiaodukou fluvio-lacustrine
431 sedimentary sequence in the Nihewan Basin (Ao et al., 2012). (g) early Paleolithic
432 sites in the Nihewan Basin. (h) ATNTS2012 (Hilgen et al., 2012). The shaded area
433 represents the Mid-Pleistocene climate transition (MPT) (1.25–0.6 Ma) (Clark et
434 al., 2006; Mudelsee and Schulz, 1997; Medina-Elizalde and Lea, 2005).

435 **5.2 MDG contributes to extent the knowledge of early Paleolithic** 436 **technological variability**

437 The early Pleistocene archaeological evidence suggests that making and using
438 stone artifacts was a regular part of early humans' subsistence strategies in the
439 Nihewan Basin (Shen and Chen, 2003; Shen and Wei, 2004; Gao et al., 2005
440 Dennell, 2009; Keates, 2010; Liu et al., 2013; Guan et al., 2016; Yang et al., 2016,
441 2017). Chinese early stone tool assemblages have been traditionally attributed to a
442 Mode 1, core-and-flake technology, which apparently underwent no significant
443 innovations until the second part of the Late Pleistocene (Schick et al., 1991;
444 Schick and Dong, 1993; Gao and Norton, 2002; Xie et al., 2006; Braun et al., 2010;
445 Keates, 2000). In our recent review of the Nihewan early Pleistocene
446 archaeological sequence (Pei et al., 2017), we have highlighted the expediency of

447 core flaking methods and predominance of informal artifacts among retouched
448 tools. However, Pei et al. (2017) and Yang et al. (2017) have both suggested that
449 some variability may have existed in post-1.3~1.1 Ma assemblages, which is
450 relevant to the present paper.

451 With regards to raw material procurement, there is consensus that early
452 Pleistocene Nihewan hominins did not generally select higher-quality raw
453 materials (Chen et al., 1999; Li, 1999; Shen and Chen, 2003; Keates, 2010).
454 Instead, they collected locally ubiquitous poor-quality chert, which explains why
455 most assemblages are characterized by very high frequencies of angular fragments,
456 short reduction sequences, and low standardization of flaking schemes (Yang et al.,
457 2016; Pei et al., 2017). Despite prevalence of this pattern, Shen and Wei (2004)
458 observed that Maliang (0.8 Ma) and Cenjiawan (1.1 Ma) hominins might have
459 preferentially selected good-quality raw materials, and Pei et al. (2017) reported
460 that hominins at Feiliang (1.2 Ma) procured some fine-grained, high-quality chert,
461 lava, and quartz. In the case of the MDG assemblage discussed here, a clear
462 preference for some particular raw materials is observed: hominins used
463 preferentially siliceous dolomite cobbles for bipolar flaking (Fig. 6g, 6h, and 6i),
464 favored chert and brecciated chert block fragments for freehand flaking (Figs. 6j,
465 6k, 6l, and 6m), and selected high-quality chert for retouched tools (Figs. 6c and
466 6o).

467 As far as flaking techniques are concerned, the Nihewan Basin assemblages
468 show that dominance of freehand expedient technologies was accompanied by

469 variable frequencies of bipolar artefacts during the Early Pleistocene (Chen et al.,
470 1999; Keates, 2000, 2010; Yang et al., 2016). Yang et al. (2017) see indications of
471 novel flaking methods at Donggutuo (1.1 Ma), where they observe the use of
472 freehand hard hammer percussion to pre-determine core shapes. At Cenjiawan,
473 stone tool refitting indicates multidirectional flaking methods and continuous
474 rotation of cores (Xie et al., 1994; Guan et al., 2016, Yang et al., 2017). Whilst
475 MDG flaking techniques are majorly expedient, a more intensive reduction is
476 observed in cores of good quality chert and dolomite (Figs. 3b and 3c), again
477 suggesting raw material selectivity but also occasional use of recurrent flaking
478 methods.

479 Proportions of retouched pieces in the Nihewan early Pleistocene lithic
480 assemblages vary greatly, from less than 5% to more than 20% (Pei et al., 2017).
481 Although often poorly standardized, morpho-types such as scrapers, notches,
482 points, and denticulates have been described throughout the sequence (Wei, 1985;
483 Xie et al., 1994; Guan et al., 2016; Yang et al., 2017; Pei et al., 2017; Liu et al.,
484 2018). Despite yielding a lower proportion (5.0%) of retouched tools than the
485 average in the Nihewan Early Pleistocene sequence, MDG shows that some
486 elaborated retouched tools were manufactured in high quality raw materials (Figs.
487 6n and 6o), even if many still were relatively unstandardized. It is also relevant to
488 comment on the size of retouched tools; the overwhelming predominance of
489 small-sized flakes and retouched tools in the Nihewan assemblages is often
490 attributed to poor quality of local raw materials, which render production of large

491 blanks difficult (Yang et al., 2017). Nonetheless, evidence for core rotation and
492 bifacial working of small clasts across some of the Nihewan assemblages indicate
493 that hominins had the ability to fashion bifacial implements and, potentially, LCTs.
494 In fact, two retouched artefacts from the MDG assemblage (Fig. 6p) exceed
495 the >10 cm arbitrary cut-off often used to define LCTs, which might open new
496 paths for the discussion on the reasons for their paucity in the Chinese sequence
497 (Schick, 1994).

498 Overall, the MDG lithic assemblage contributes to highlight the variability of
499 technological strategies across the Nihewan Basin sites, and to challenge the
500 notion that the Mode 1, core-and-flake technology that characterize the Chinese
501 early Pleistocene record was a homogeneous and static entity through time. Our
502 results in MDG show that by ~1.2 Ma, there was a higher emphasis on bipolar
503 flaking, strong raw material preference in flaking techniques and retouched tools,
504 and that knappers possessed the ability to shape large tools, even when these are
505 not the most characteristic artifacts in the assemblages.

506 It is still unclear to what extent techno-typological differences between MDG
507 and other Nihewan early Pleistocene sites can be explained by palaeoecological
508 and palaeogeographical constraints. Multiple lines of evidence at MDG show that
509 the environment varied from a lightly-wooded grassland to an open semi-arid
510 sparse steppe habitat with seasonally wet climate, and intermittent laminar flow
511 control on the lake margin system (Li et al., 2016). The MDG bone assemblage
512 responds to a steppe fauna adapted to the dry open grasslands. Increased

513 palaeoecological variability associated with the onset of the MPT may have played
514 a role in the affordances available to hominins, and may have contributed to the
515 appearance of novel technological responses to the new climatic challenges.

516 Future research should place such hominin adaptations across the Nihewan
517 Basin in a global perspective. Climate instability at the onset of the MPT might be
518 responsible for technological variability in East African contexts (e.g., Potts, 1998,
519 2001), and could also potentially explain significant toolkit differences during the
520 earliest colonization of western Europe (e.g., Parfitt et al., 2010; Vallverdu et al.,
521 2014). By combining results across the Old-World record, we may be able to
522 achieve a better understanding of how technological and biological plasticity
523 enabled humans to adapt to variable and rapidly-changing conditions during one of
524 the most challenging climatic periods of hominin evolution.

525 **6. Conclusions**

526 (1) Magnetostratigraphic results situate the Madigou sedimentary sequence in the
527 early Brunhes normal chron and the late Matuyama reverse chron, including the
528 Jaramillo normal subchron. Stratigraphic correlations of lithological and magnetic
529 polarity sequences between the Madigou, Feiliang, Donggutuo and Huojiadi
530 sections indicate that the Madigou artifact layers are contained within the
531 pre-Jaramillo Matuyama chron. The age of the Madigou Paleolithic site complex is
532 estimated to be ca. 1.2 Ma.

533 (2) The MDG assemblage contains fossils of several mammal species, including
534 *Equus*, Rhinocerotide, *Gazella* and indeterminable bovids. The lithic assemblage is

535 typical of a Mode 1, core-and-flake technology. Like other Old World Mode 1
536 assemblages, the MDG stone industry is characterized by a simple technological
537 design, low degree of standardization, expedient flaking, and a few
538 non-standardized retouched flakes. The MDG core and flake technology includes
539 bipolar flaking of siliceous dolomite cobbles, and freehand flaking of chert and
540 brecciated chert block fragments. Knappers intentionally selected good-quality
541 raw materials to manufacture small flakes and finely-retouched tools.

542 (3) Mammalian faunal and pollen compositions indicate that the MDG hominins
543 lived in an open habitat varying from lightly-wooded grassland to an ecosystem
544 dominated by sparse steppe near the shore of the Nihewan paleolake.

545 Overall, our findings suggest that the increased variability associated to the
546 onset of the MPT may have played a role in the affordances available to hominins,
547 and may have contributed to the appearance of novel technological responses to
548 the new climatic challenges.

549

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564

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