

1 Direct powder extrusion 3D printing of drug products

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2 Direct powder extrusion 3D printing: fabrication 3D printing of drug  
3 products using a novel, single-step process

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19  
20 **Key words**

21 Three -dimensional printing; fused deposition modeling; pellet extruder; 3D printing  
22 pharmaceuticals; additive manufacturing; ~~personalized medicines~~; ~~rapid prototyping~~; oral  
23 medicinal drug products; dosage forms

26 **Abstract**

27 Three-dimensional (3D) printing is revolutionising how we envision manufacturing in the  
28 pharmaceutical field. Here, we report for the first time the use of Direct Powder Extrusion 3D  
29 printing: a novel, single-step printing process. Single-screw direct powder extrusion 3D printing  
30 has been successfully used for the first time tofor the production of ~~prepare~~ printlets (3D  
31 printed tablets) directly from powdered materials. This ~~new~~ 3D printing technology ~~has~~  
32 allowed was used to prepare amorphous solid dispersions of itraconazole using the  
33 preparation ~~amorphous solid dispersion of itraconazole in an amorphous solid dispersion~~  
34 directly from powdered materials using ~~with~~ four different grades of hydroxypropylcellulose  
35 (HPC - UL, SSL, SL and L). All of the printlets showed good mechanical and physical  
36 characteristics and no drug degradation. The printlets showed sustained drug release  
37 characteristics, ~~with~~ and drug concentrations higher than the solubility of the drug itself. The  
38 printlets prepared ~~with~~ the ultra-low molecular grade (HPC – UL) showed faster drug release  
39 compared ~~with~~ than the other HPC grades, attributed to ~~the~~ fact that itraconazole ~~was~~ found  
40 in a higher percentage ~~a higher percentage~~ as an amorphous solid dispersion. This work ~~also~~  
41 demonstrates ~~the potential of this~~ at this innovate technology ~~to~~ can overcome one of the major  
42 disadvantages of fused deposition modelling (FDM) 3D printing by avoiding the need for  
43 preparation of filaments by hot melt extrusion (HME). This ~~novel~~ single-step ~~new~~ technology  
44 could revolutionize the preparation of amorphous solid dispersions as final formulations and  
45 it may be especially suited for preclinical studies, where the quantity of drugs is limited and  
46 without the need of using traditional HME.

47

48

## 49 1. Introduction

50

51 Three-dimensional printing (3DP) is an innovative technology that can convert 3D computer  
52 models into real objects by additive manufacturing (Basit and Gaisford, 2018). 3DP  
53 technologies have been available since the early 1990s, when they were developed for rapid  
54 and economic production of prototype models (Barnatt, 2016). The importance and relevance  
55 of ~~3D printing~~3DP for pharmaceutical applications have been extensively discussed elsewhere  
56 (Alhnan et al., 2016; Awad et al., 2018a; Goole and Amighi, 2016; Palo et al., 2017; Trenfield  
57 et al., 2018a; Trenfield et al., 2018b; Trenfield et al., 2019; Zema et al., 2017) as an enabling  
58 technology to produce patient-tailored medicines (Pietrzak et al., 2015), to engineer drug  
59 release profiles from dosage forms (Fina et al., 2018a; Fina et al., 2018b; Goyanes et al.,  
60 2015c; Martinez et al., 2018) and to deliver multiple drugs (Awad et al., 2019; Khaled et al.,  
61 2015a; Khaled et al., 2015b; Robles-Martinez et al., 2019).

62 There are a number of 3D printing technologies and variations, ~~although which~~ according to  
63 the 3D printing classification of the American Society for Testing and Materials (ASTM) ~~can~~  
64 ~~can~~ be classified into seven categories: VAT Photopolymerisation, Material Jetting, Binder  
65 Jetting, Material Extrusion, Powder Bed Fusion, Sheet Lamination and Directed Energy  
66 Deposition (ASTM, 2012; Madla et al., 2018). ~~Many of these technologies have been~~  
67 ~~successfully tested for pharmaceutical applications.~~

68 Among ~~these~~ 3DP technologies, material extrusion, (in particular fused deposition modelling;  
69 ~~FDM~~) is the most commonly used ~~3D printing technology in the~~ pharmaceutical ~~sciences~~ due  
70 to the wide availability and low cost of the printers (Awad et al., 2018b; Goyanes et al., 2015b).  
71 In vivo studies on FDM 3D ~~printed~~P products have ~~been~~ already ~~been~~ performed in animals  
72 (Arafat et al., 2018a; Genina et al., 2017; Goyanes et al., 2018) and in humans showing good  
73 acceptability (Goyanes et al., 2017b; Liang et al., 2018). FDM 3DP ~~printing~~ involves heating  
74 and extruding a drug loaded polymer filament, normally prepared using hot melt extrusion  
75 (HME), through a nozzle tip followed by cooling-solidification onto a build plate into the  
76 selected shape (Vithani et al., 2019).

77 FDM 3DP allows the preparation of solid dispersions and solutions (Alhijaj et al., 2016) ~~since~~  
78 ~~the drug is dispersed via the dispersion or or dissolved~~dissolution of drug in the polymer matrix,  
79 making it especially suitable for drugs with low water solubility and good thermal stability. Many  
80 polymers have been tested in HME + FDM 3DP ~~printing~~ (Melocchi et al., 2016), including  
81 hydroxypropyl cellulose (HPC) which is one of the most widely used polymers due to its  
82 suitable mechanical properties and ease of extrusion (Arafat et al., 2018b; Goyanes et al.,  
83 2017b; Pietrzak et al., 2015).

84 Itraconazole is one example of a drug that could benefit from this technology to increase drug  
85 solubility and drug bioavailability via creation of a solid dispersion. Itraconazole is a BCS Class  
86 II wide spectrum antifungal agent, with a very low water solubility (1-4 µg/mL) and a melting  
87 point of 166-167 °C. It is commercially available in a fixed dosage strength of 100 mg or in a  
88 solution of 10\_mg/mL which exhibit different oral bioavailabilities depending on the specific  
89 formulation (Barone et al., 1993). The evidence for the potential clinical benefits of drug  
90 monitoring and dose adjustment for patients receiving itraconazole is strong, and dose  
91 adjustment for renal impairment depending on creatinine clearance is often required (Ashbee  
92 et al., 2013). Due to its inherently low solubility, there is value in creating sustained release  
93 dosage forms with itraconazole present in the amorphous phase in order to enhance  
94 dissolution and absorption of the drug along the gastrointestinal (GI) tract. This is especially  
95 of importance in the distal GI tract regions (i.e. colon), whereby the intestinal fluid volume is  
96 reduced (Hatton et al., 2018).

97 One limitation of FDM printing is, however, the need for the preparation of drug-loaded  
98 filaments using ~~a hot melt extruder HME~~ (Goyanes et al., 2016a; Goyanes et al., 2017a). The  
99 use of HME before the 3D printing process increases the likelihood of drug degradation by the  
100 thermal effect. However, the most important disadvantage is the limitation in the use of  
101 excipients and drugs to obtain filaments with the appropriate mechanical and physical  
102 characteristics for 3D printing (Fuenmayor et al., 2018; Goyanes et al., 2015a). Nowadays, in  
103 all pharmaceutical publications relating to FDM 3DP, a significant part of the work is based on  
104 the excipient selection and optimisation to create filaments suitable for 3D printing, and there  
105 are normally limitations in the drug loading capacity of the selected polymers.

106 The possibility of avoiding the HME step in FDM 3D printing would be of immense value in  
107 pharmaceutical drug development (Awad et al., 2018a). Recently, direct pellet extrusion, a  
108 new material extrusion 3DP technology, has been introduced as a potential alternative to FDM  
109 printing in the plastics industry (Liu et al., 2017). In this technology, the material is extruded  
110 through the nozzle of the printer in the form of pellets/powder (not filaments) which is directly  
111 printed using a single screw extruder. This technology does not require the preparation of  
112 filaments using HME and could potentially allow the extrusion of mixtures that would not be  
113 possible to be printed by conventional FDM due to the inadequate mechanical characteristics  
114 of the filaments, such as being too brittle or too flexible.

115 As such, the objective of the present work was to explore the use of a novel direct powder  
116 extruder 3D printer ~~four HPC grades to prepare to prepare~~ sustained release itraconazole  
117 printlets (3D printed tablets) present as amorphous solid dispersions in the form of 3D printed  
118 formulations using ~~four HPC grades. Printlets a novel direct powder extruder 3D printer.~~

119 ~~Printlets~~ (3D printed tablets) were prepared by incorporating therapeutically relevant dosages  
120 of itraconazole (selected as a model drug of low solubility) and the effect of the different HPC  
121 grades on the characteristics of the printlets were evaluated, paying special attention to the  
122 drug phase and dissolution rate.

123

124

125

## 126 2. Material and Methods

### 127 Materials

128 Itraconazole USP grade (Fagron, UK) was used as a model drug (MW 705.64, water solubility:  
129 1-4 ng/mL). Four different grades of HPC (Nippon Soda, Tokyo, Japan) were evaluated: HPC-  
130 UL (ultra-low molecular weight, MW 20,000), HPC-SSL (MW 40,000), HPC-SL (MW 100,000)  
131 and HPC-L (MW 140,000). The salts for preparing the buffer dissolution media were  
132 purchased from VWR International Ltd., UK.

133

### 134 Methods

#### 135 2.1 Preparation of drug-loaded itraconazole- HPC dosage forms

136 For each batch, 8g of a blend of HPC and itraconazole were manually mixed using a mortar  
137 and pestle until no agglomerated particles of drug or polymers were observed. The  
138 compositions of the formulations evaluated in this study are listed in Table 1. The prepared  
139 mixture was then ~~added to the hopper of the 3D printer extruder. The 3D printer is is a~~  
140 ~~specially specifically designed 3D printing platform for to the preparation of pharmaceutical~~  
141 ~~products that can incorporate different exchangeable tools (FabRx, UK). The selected tool~~  
142 ~~was a~~ direct single-screw powder extruder (FabRx, UK) with a nozzle diameter of 0.8 mm  
143 (Figure 1). ~~The design is based on a single-screw hot melt extruder (HME)HME however the~~  
144 ~~rotationg speed (and hencese the extrusion) is controlled by the software of the 3D printer.~~  
145 ~~Furthermore, additionally, the extruder nozzle moves in the 3 dimensions of the space to~~  
146 ~~create the objects in a layer-by-layer fashion.~~

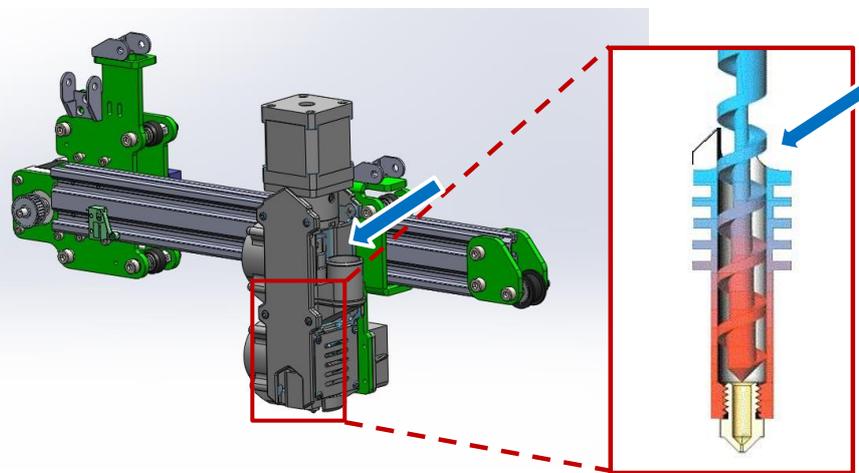
147

Table 1. Composition of the formulations

Formulation	Itraconazole (%)	HPC-UL (%)	HPC-SSL (%)	HPC-SL (%)	HPC-L (%)	Printing temperature (°C)
-------------	------------------	------------	-------------	------------	-----------	---------------------------

Formulation UL	35	65		170	
Formulation SSL	35		65	170	
Formulation SL	35		65	170	
Formulation L	35			65	170

148  
149



150  
151  
152

153 Figure 1. Design of the nozzle of the direct single-screw powder extruder FabRx 3D printer.  
154 [The blue arrows indicate the site of addition of the powder.](#)

155

156 AutoCAD 2014 (Autodesk Inc., USA) was used to design the templates of the printlets,  
157 exported as a stereolithography (.stl) file into 3D printer software (Repetier host v. 2.1.3,  
158 Germany). The .stl format contains only the object surface data, and all the other parameters  
159 need to be defined from the [Repetier Host](#) software in order to print the desired object. The  
160 selected 3D geometry was a cylindrical printlet (10mm diameter x 3.6mm height). The printer  
161 settings [of the software](#) were as follows: Feed 2100 steps/mm, infill 100%, high resolution with  
162 brim, without raft and an extrusion temperature of 170°C, speed while extruding (20 mm/s),  
163 speed while travelling (90 mm/s), number of shells (2) and layer height (0.20 mm).

164

165 **2.2 Morphology**

166 The physical dimensions of the printlets were measured using a digital Vernier caliper.  
167 Pictures of the devices were taken with a Nikon Coolpix S6150 with the macro option of the  
168 menu.

169  
170 *Scanning Electron Microscopy (SEM)*

171 Morphology of the extruded feedstock and printlets were evaluated by scanning electron  
172 microscopy (SEM) using a Philips XL30 FEG SEM, operating at 20kV. Samples were placed  
173 on double-sided carbon tape, mounted on stubs and sputter coated using a Polaron E5000  
174 machine with Au/Pd. Samples were coated for 1 minute prior to imaging.  
175

176 **2.3 Determination of the mechanical properties of the printlets**

177 The breaking force of 6 printlets of each type was measured using a traditional tablet hardness  
178 tester TBH 200 (Erweka GmbH, Heusenstamm, Germany), whereby an increasing force is  
179 applied perpendicular to the tablet axis to opposite sides of a tablet until the printlet fractures.

180 **2.4 X-ray Powder Diffraction (XRPD)**

181 Discs of 23mm diameter × 1mm height made from the mixtures of drug and excipient were 3D  
182 printed and analysed. Samples of pure itraconazole and the polymers were also analysed.  
183 The X-ray powder diffraction patterns were obtained in a Rigaku MiniFlex 600 (Rigaku, USA)  
184 using a Cu K $\alpha$  X-ray source ( $\lambda=1.5418 \text{ \AA}$ ). The intensity and voltage applied were 15 mA and  
185 40 kV. The angular range of data acquisition was 3–60° 2 $\theta$ , with a stepwise size of 0.02° at a  
186 speed of 5°/ min.

187 **2.5 Thermal Analysis**

188 Differential scanning calorimetry (DSC) and thermogravimetric analysis (TGA) were used to  
189 characterise the melting point and degradation profile of the drug. DSC measurements were  
190 performed with a Q2000 DSC (TA Instruments, Waters, LLC, U.S.A.) at a heating rate of 10  
191 °C/min. Calibration for cell constant and enthalpy was performed with indium ( $T_m = 156.6 \text{ °C}$ ,  
192  $\Delta H_f = 28.71 \text{ J/g}$ ) according to the manufacturer instructions. Nitrogen was used as a purge  
193 gas with a flow rate of 50 mL/min for all the experiments. Data were collected with TA  
194 Advantage software for Q series (version 2.8.394), and analysed using TA Instruments  
195 Universal Analysis 2000. Melting temperature is reported as extrapolated onset unless  
196 otherwise stated. TA aluminium pans and lids (Tzero) were used with an average sample  
197 mass of 8–10 mg. For TGA analysis, samples were heated at 10 °C/min in open aluminium  
198 pans with a Discovery TGA (TA Instruments, Waters, LLC, U.S.A.). Nitrogen was used as a

199 purge gas with a flow rate of 25 mL/min. Data collection and analysis were performed using  
200 TA Instruments Trios software and percent mass loss or onset temperature were calculated.

201

## 202 **2.6 Determination of Drug Loading**

203 One printlet (approximately 300mg) of each formulation was placed in a volumetric flask with  
204 1:1 ethanol:acetonitrile mixture (100 mL) under magnetic stirring until complete dissolution (n  
205 = 2). Samples of the solutions were then filtered through 0.22µm filter (Millipore Ltd., Ireland)  
206 and the concentration of drug determined with high-performance liquid chromatography  
207 (HPLC; Hewlett-Packard 1050 Series HPLC system, Agilent Technologies, U.K.).

208 The validated HPLC assay entailed injecting 10 µL samples for analysis using a mobile phase,  
209 consisting of isocratic system composed of 70% acetonitrile (ACN) and 30% water pumped at  
210 a flow rate of 1 mL/min, through an Eclipse plus 5 µm C18 column, 150 × 4.6 mm  
211 (Phenomenex, U.K.) maintained at 40 °C. The eluent was screened at a wavelength of 260  
212 nm. The retention time of itraconazole was found to be at approximately 4 min.

213

## 214 **2.7 Dissolution testing**

215 Dissolution profiles were obtained using a USP-II apparatus (Model PTWS, Pharmatest,  
216 Germany). In each assay, the printlets were placed at the bottom of the vessel in simulated  
217 gastric fluid (0.2% w/v sodium chloride in 0.1N HCl, pH 1.2, 900 mL) under constant paddle  
218 stirring (100 rpm) at 37 °C. During the dissolution test, 5 mL samples of itraconazole were  
219 removed and filtered through 0.22 µm filters and drug concentration was determined HPLC.

220 Tests were conducted in triplicate. Data are reported throughout as mean ± standard  
221 deviation. [Multiple analysis of variance \(MANOVA\) was performed on the dissolution data to](#)  
222 [determine statistical significance.](#)

223

## 224 **3. Results and discussion**

225 A single-screw ~~extruder-direct powder extruder~~ 3D printer that was originally designed for  
226 printing with [polylactic acid \(PLA\)](#) or [acrylonitrile butadiene styrene \(ABS\)](#) pellets was [adapted](#)  
227 [and](#) used for the first time to print with [pharmaceutical powders/materials/formulations](#); a  
228 mixture of drug and excipients. Itraconazole printlets (3D printed tablets) were successfully  
229 printed with four different HPC grades and itraconazole using the 3D printer for all the  
230 formulations listed in Table 1. [The mixture was added into the hopper of the printer using a](#)  
231 [small spatula to push the material inside the single-screw extruder. The design of the extruder](#)  
232 [in a vertical orientation facilitates the flow of the powder into the screw and minimises the](#)

233 [presence of air bubbles during the printing process. After the printing of each formulation, the](#)  
234 [extruder was removed from the printing platform and the screw dismantled and washed to](#)  
235 [avoid cross-contamination between the different formulations.](#)

236 All the HPC polymers were found to be very suitable materials for direct powder 3D printing  
237 without the need for including other pharmaceutical excipients. The mixtures incorporating  
238 35% itraconazole (Table 1) were selected to obtain cylindrical printlets with dimensions of  
239 10mm diameter x 3.6mm height with a final dose close to 100mg of itraconazole. The printing  
240 time per printlet was similar to that using FDM technology (2-3 min per printlet). The fact that  
241 is not necessary to undertake a preliminary HME step makes the direct powder printing  
242 process much simpler and faster compared to HME coupled with FDM printing. Another  
243 advantage of this innovative [technology](#) is that small amounts of ~~a~~-mixtures of drug and  
244 excipients can be 3D printed (8 grams in this study), reducing the amount of wastage, since  
245 the material can be directly printed without intermediate steps where part of the material can  
246 be lost. [The reduced amount of material needed and the quick preparation of formulations](#)  
247 [could make this technology especially suited for preclinical studies, where ~~most of the times,~~](#)  
248 [only small amounts of drugs may be available and not many resources are ~~dedicated to~~](#)  
249 [prepare allocated for the preparation of oral dosage forms. Using direct powder extrusion it](#)  
250 [would be possible to ~~quickly~~fast and easily prepare oral formulations of different dose](#)  
251 [strengths using small amounts of raw material \(compared to other technologies like HME](#)  
252 [coupled with FDM printing\).](#)

253 All of the printlets showed a cylindrical shape and good adhesion between the printed layers  
254 (Figure 2). Regarding the surface characteristics, the smoothness of the printlets increased  
255 when reducing the molecular weight, with smoothness following this rank order: HPC-  
256 UL>SSL>SL>L. The printlets prepared with the higher molecular weight HPC-SL and HPC-L  
257 show small particles on the surface of the printlets.

258

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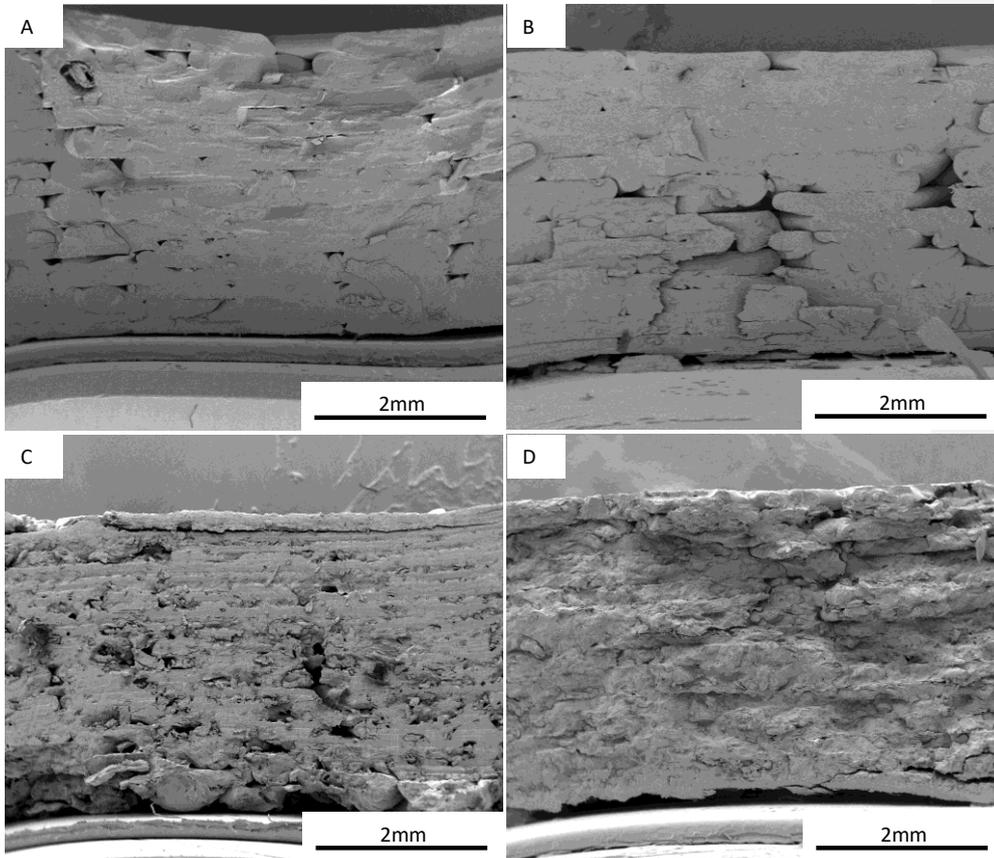
260 Figure 2. Pictures of itraconazole printlets, from left to right: Formulation UL, SSL, SL and L.  
261 Units are cm.

262 SEM pictures of the cross sections of the printlets provide visual confirmation of the different  
263 effects of the polymers on the printlets, even though the same printing parameters were used  
264 (Figure 3). The images show that HPC-UL and HPC-SSL undergoes a more intense melting,  
265 ~~where the polymer particles undergo a greater degree of melting,~~ indicated by the smoother  
266 surface and cross section (Figures 3A and B). However, the polymers HPC-SL and HPC-L  
267 produced a rougher surface and cross section.

268

269

270



271

272 Figure 3. SEM images of the cross sections of the printlets: A) Formulation UL, B)  
 273 Formulation SSL, C) Formulation SL, [and](#) D) Formulation L

274

275 The printlets showed good uniformity in physical dimensions (Table 2), with the size of the  
 276 printlets being slightly bigger than the theoretical size (3.6 mm x 10 mm), with a mean height  
 277 ranging from 3.73 mm to 3.86 mm and the diameter from 10.24 mm to 10.83 mm. The mean  
 278 mass of the formulations ranged from 309 mg to 348 mg; this variability could be attributable  
 279 to the different flow properties of the different polymer grades, which leads to different amounts  
 280 of mixture being deposited by the extruder.

281

Table 2. Characteristics of the printlets

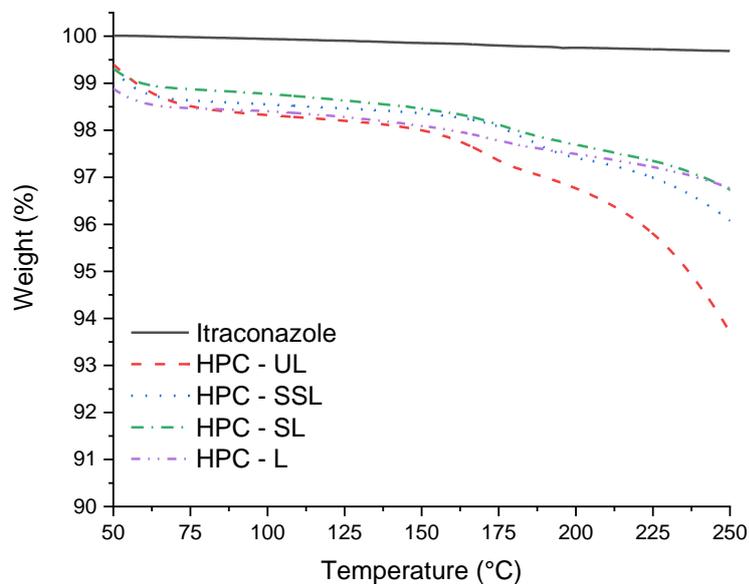
Formulation	Diameter (mm)	Height (mm)	Weight (mg)	Breaking force (N)	Itraconazole loading (%)
Formulation UL	10.53±0.31	3.79±0.15	348.9±39.5	148.0 ±12.5	34.70 ± 0.83
Formulation SSL	10.24±0.25	3.73±0.07	309.9±45.9	104.7 ±84.3	33.48 ± 0.65
Formulation SL	10.6±0.41	3.84±0.12	323.7±43.3	343.3 ±71.0	35.17 ± 0.63
Formulation L	10.83 ± 0.52	3.86±0.07	339.2±52.9	483.5 ±0.7	33.99 ± 0.32

282

283 All of the formulations were mechanically strong and showed appropriate properties for  
 284 handling and packing. The printlet breaking force data show values higher than 100N for all  
 285 formulations up to the value of 483 N for Formulations L, which represents the maximum value  
 286 measurable by the tablet hardness tester. These values are comparable to those previously  
 287 reported from printlets prepared by conventional FDM printing (Goyanes et al., 2015a;  
 288 Goyanes et al., 2016b).

289

290 It is of importance to demonstrate the stability of the itraconazole during the printing process  
 291 using the single-screw direct powder extruder. TGA was used to determine the degradation  
 292 profile of the drug and the excipients (Figure 4). All the HPC polymers were found to be stable  
 293 up to at least 250°C, while the drug did not show any significant degradation under 250°C.  
 294 TGA data predicted that all the components would remain stable and no degradation of the  
 295 drugs and excipients is likely to occur at the printing temperature (170°C), hence, itraconazole  
 296 is expected to be stable in the formulations ~~while printing, which are composed of 65% HPC,~~  
 297 ~~thereby exhibiting an additional insulating effect on the drug.~~ Chemical integrity of the drug in  
 298 the final printlets was analysed using HPLC (Table 2). The drug content values were in  
 299 agreement with the theoretical drug loading in all the printlets (35% w/w), confirming that no  
 300 significant drug loss occurred during the printing process.

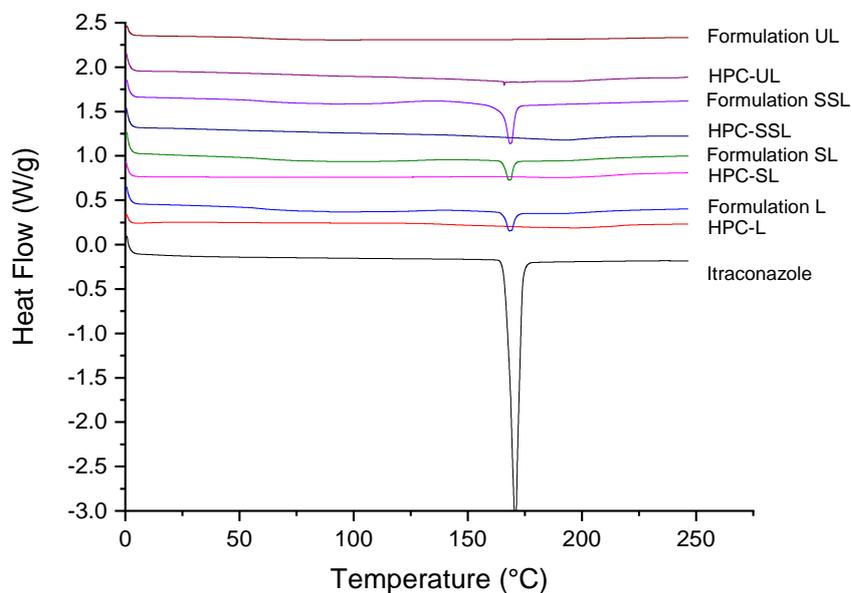


301

302 Figure 4. TGA thermal traces for itraconazole raw material and HPC polymers.

303

304 DSC and XRPD were used to examine the solid state of the drug in the final formulations. It is  
 305 apparent that itraconazole pure material melts around 170 °C (Figure 5), while HPC polymers  
 306 did not show any endothermic peaks, indicative of the amorphous form. The DSC data of the  
 307 itraconazole-loaded HPC – L, SL and SSL printlets each showed a melting endotherm at about  
 308 170°C, indicating that itraconazole is (at least partially) in its crystalline form. The formulation  
 309 UL shows no evidence of melting around 170 °C, indicating that the drug is molecularly  
 310 dispersed within the polymer matrix as a solid solution or dispersion.



311

312

313 **Figure 5.** DSC thermograms of pure itraconazole and individual polymers prior to printing and  
 314 the different printlet formulations.

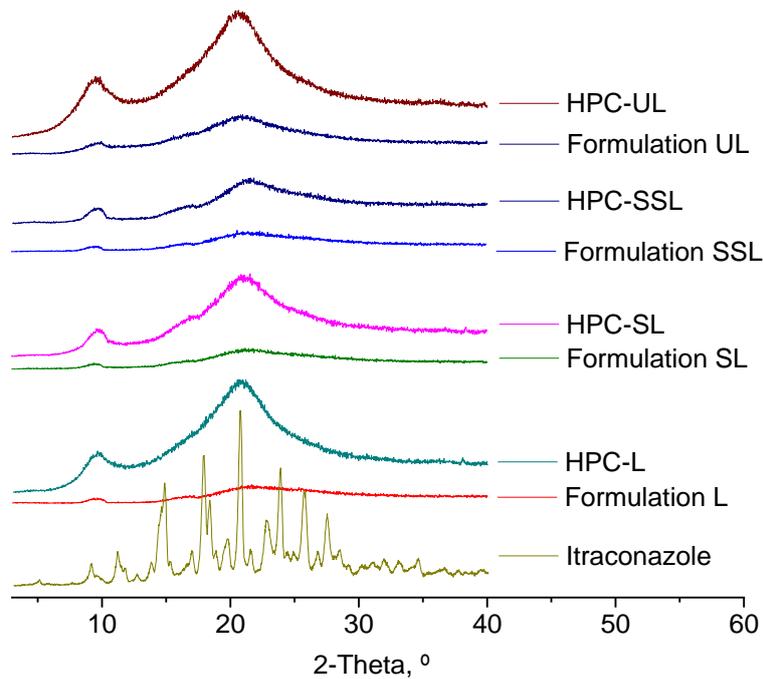
315

316 X-ray diffractograms of the drug, excipients and final formulation were studied (Figure 6).  
 317 Itraconazole showed sharp peaks indicative for the crystalline form of the drug, while HPC  
 318 polymers showed wide halos that are representative for their amorphous structure. The  
 319 absence of the sharp peaks of itraconazole in the diffractograms of the formulations, suggests  
 320 that the drug is in the amorphous form in the formulation, or if any fraction of it is crystalline  
 321 then it is beyond the limit of detection of the instrument (usually 5% w/w is typical).

322 The results of XRD and DSC suggest that the drug is amorphous in formulations prepared  
 323 with HPC – UL and partially amorphous in Formulations L, SL, and SSL. This implies that HPC  
 324 polymers enable the transition of the drug from the crystalline state to the amorphous state in  
 325 all the formulations, facilitated by the fact that the printing temperature is equal to the melting  
 326 point of the pure drug (170°C). From the XRD and DSC data it is clear that the HPC – UL  
 327 increases the amorphous transition at a higher rate than the other polymers, probably due to

328 the lower molecular weight (and hence viscosity) of the polymer, which may facilitate the  
329 inclusion of the drug molecules into the polymer.

330



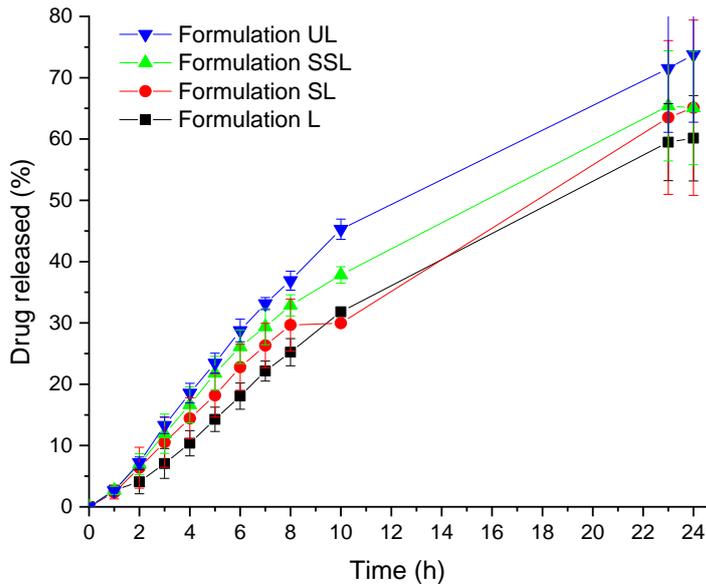
331

332 **Figure 6.** X-ray powder diffractograms of pure components and 3D printed disc of the four  
333 formulations.

334

335 Drug dissolution studies from printlets incorporating 100 mg of itraconazole were performed  
336 within 0.1N HCl with 0.2% NaCl, simulating gastric conditions (Figure 7). All the formulations  
337 show a similar zero order drug release during the first 8 hours. After 24h, the drug release  
338 achieved was 60.1%, 65.1%, 65.7% and 73.8% for Formulation L, Formulation SL,  
339 Formulation SSL and Formulation UL respectively. Formulation UL, which has the lowest  
340 molecular weight, showed the fastest release compared with the other HPC grades used, with  
341 Formulation L (with the highest molecular weight) showing the slowest release. Although in  
342 this study we were not looking for any specific release rate For all formulations, a sustained  
343 release profile was achieved the drug release profiles showed that all the formulations could

344 ~~be suitable for sustained release of enabling drug release to occur the drug over more than~~  
345 ~~24h.~~



346

347 **Figure 7.** Dissolution profiles of itraconazole printlets with a dose equivalent of 100 mg.

348 The drug dissolution profiles from the printlets showed a drug concentration ~20 times higher  
349 than itraconazole solubility 4.57  $\mu\text{g}/\text{mL}$  (Konnerth et al., 2018; Miller et al., 2008). As a  
350 sustained release is achieved, the presence of itraconazole in the amorphous phase would  
351 enable the enhanced dissolution and absorption of the drug along the gastrointestinal (GI)  
352 tract, which is of importance in the distal GI tract regions (i.e. colon) whereby the intestinal  
353 fluid volume is lower (Hatton et al., 2018). The observed solubility enhancement is higher than  
354 obtained using an alternative technology (nanosuspension technology) with the same HPC  
355 grades and similar drug/HPC ratio, which released only about 20% itraconazole (Konnerth et  
356 al., 2018). This shows the superiority of the melting process to obtain solid amorphous  
357 dispersions, and confirms the use of the powder extrusion 3D printing technology to increase  
358 the drug solubility of the formulations. HPC, a hydrophilic carrier, may facilitate water  
359 penetration and wetting of the hydrophobic itraconazole, which is found as amorphous solid  
360 dispersion. The reduction on the molecular weight of the polymer may increase the wettability  
361 of the whole system leading to increased drug release.

362 The dissolution enhancement of itraconazole using HME has been previously reported by  
363 combining the drug with mixtures of different excipients including hypromellose acetate  
364 succinate-L, polyethylene oxide and poloxamer (Lang et al., 2014) or polyethylene glycol,  
365 polyvinyl acetate and polyvinylcaprolactame-based graft copolymer, cyclodextrins and  
366 superdisintegrants (Thiry et al., 2017). The solubility enhancement and the extent of  
367 itraconazole supersaturation in vitro directly correlates with the in vivo oral absorption of the  
368 drug and bioavailability (Miller et al., 2008). It is worthy to mention that in the previous studies,  
369 the evaluated formulations were the extrudates (granulates) obtained from the hot melt  
370 extruder that should be considered as intermediate products, since they have to be filled into  
371 capsules or sachets or compressed into tablets. However, in this study the formulations tested  
372 are the printlets that do not require later manufacturing process for administration. Additionally,  
373 the drug release can be tuned by the selected 3D computer model design or by changing  
374 parameters like the surface/volume ratio (Martinez et al., 2018; Sadia et al., 2018).

375 The use of this innovative direct powder extrusion 3D printing technology with HPC (especially  
376 HPC –UL) has been successful in not only solubilising the very poorly soluble drug, but also  
377 producing printlets in a single step process. This innovative 3D printing technology obviates  
378 the need for the expensive and laborious HME step and makes the technology more  
379 accessible for research and facilitates the preparation of formulations as amorphous  
380 dispersions for preclinical and clinical evaluation of drugs using small amounts of drugs and  
381 excipients.

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383

#### 384 **4. Conclusion**

385 Preparation of printlets containing itraconazole-HPC by single-screw direct powder extrusion  
386 3D printing has been successfully demonstrated for the first time. All of the printlets showed  
387 good mechanical and physical characteristics, and a drug release higher than the solubility of  
388 the drug. The printlets prepared with the ultra-low molecular grade hydroxypropylcellulose  
389 (HPC – UL) showed faster drug release than the other HPC grades, attributed to fact that  
390 itraconazole is found in a higher percentage as an amorphous solid dispersion.

391 This work demonstrates that this innovate technology can overcome one of the major  
392 disadvantages of fused deposition modelling (FDM) 3D printing by avoiding the preparation of  
393 filaments by hot melt extrusion. This single-step new technology could revolutionise the

394 preparation of amorphous solid dispersions as final formulations and it may be especially  
395 suited for preclinical studies, where the amount of drug is often limited.

396

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