

1 Using low-cost drones to monitor heterogeneous submerged seaweed habitats: a case study
2 in the Azores

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35 **ABSTRACT**

- 36 1. Remote sensing is a powerful monitoring tool for seaweeds, providing large-scale insights into
37 their ecosystem benefits and invasive impacts. Satellites and manned aircraft have been
38 widely used for this purpose, but their spatial resolution is generally insufficient to map
39 heterogeneous seaweed habitats.
- 40 2. In this study, the potential of low-cost and high-resolution drone imagery to map
41 heterogeneous seaweed habitats was assessed on Azorean coasts, where an invasive and
42 commercial species, *Asparagopsis armata*, is present. A Phantom Pro 3 drone equipped with
43 a visible light sensor was used to create photomosaics in three sites on São Miguel island, and
44 ground-truth data for various seaweed groups were collected with exploratory kayak
45 sampling. The support-vector machine, random forest and artificial neural network algorithms
46 were used to construct predictive models of seaweed coverage.
- 47 3. Wind, clouds and sun glint were the most significant factors affecting drone surveys and
48 images. Exploratory sampling helped locate relatively homogeneous seaweed patches,
49 however, the data were limited and spatially autocorrelated contributing to over-optimistic
50 model evaluation metrics. Moreover, the models struggled to distinguish seaweeds deeper
51 than three to four metres.
- 52 4. In conclusion, using drones to monitor heterogeneous seaweed habitats is challenging,
53 especially in oceanic islands where waters are deep and weather is unpredictable. However,
54 this study highlights the potential use of photo-interpretation to collect modelling data from
55 drone imagery, instead of time-consuming exploratory ground-truth sampling. Future studies
56 could assess drones to map seaweeds in less challenging conditions and use photo-
57 interpretation to improve collection of modelling data.

58
59 Key words: coastal; archipelago; remote sensing; monitoring; algae; alien species; aquaculture

60
61 **1 | INTRODUCTION**

62
63 Seaweeds are important constituents of coastal habitats, and are often considered to be ecosystem
64 engineers (Jones, Lawton, & Shachak, 1994). Seaweed habitats support high levels of biodiversity
65 (Christie, Norderhaug, & Fredriksen, 2009; Steneck et al., 2002), by providing food (Dayton, 1985; Ince,
66 Hyndes, Lavery, & Vanderklift, 2007), shelter and nursery grounds (Borg, Pihl, & Wennhage, 1997;
67 Duffy & Hay, 1991) to a variety of fish and invertebrates including many commercially exploited

68 species (Smale, Burrows, Moore, O'Connor, & Hawkins, 2013). Seaweed habitats are also highly
69 productive and support high secondary productivity (Balmford et al., 1973).

70 Seaweeds provide various ecosystem services to humans, worth billions annually (Beaumont, Austen,
71 Mangi, & Townsend, 2008; Zemke-White & Ohno, 1999). Seaweeds are highly nutritious to humans
72 (Macartain, Gill, Brooks, Campbell, & Rowland, 2007) and produce bioactive compounds used in food,
73 medical and cosmetic products (Holdt & Kraan, 2011; Smit, 2004).

74 Seaweeds are threatened by various factors. Climate change is expected to affect species
75 distributions, due to the high thermal sensitivity of seaweed survival, growth and reproduction (Harley
76 et al., 2012). Distribution shifts and local extinctions have been observed in some cases (Brodie,
77 Andersen, Kawachi, & Millar, 2009; Simkanin et al., 2005). A meta-analysis in the British Isles showed
78 that seaweed distribution has increased with sea surface temperature in some cases (Yesson, Bush,
79 Davies, Maggs, & Brodie, 2015), although this relationship was not clear. Ocean acidification (Connell
80 & Russell, 2010; Koch, Bowes, Ross, & Zhang, 2013) and increased storminess (Lozano, Devoy, May, &
81 Andersen, 2004) are also expected to negatively affect seaweeds.

82 Invasive seaweed species also require attention due to their ecological and economic impacts. A
83 meta-analysis (Williams & Smith, 2007) showed that approximately 277 seaweed species have
84 become invasive, introduced mainly through shipping and aquaculture (Schaffelke, Smith, & Hewitt,
85 2006). Most experimental and observational studies have shown that invasive seaweeds tend to have
86 negative ecological impacts (Schaffelke & Hewitt, 2007; Williams & Smith, 2007), mainly based on their
87 effects on native seaweeds, but these impacts are case-dependent. In general, assessing the ecological
88 impacts of invasive seaweeds is difficult as most research only starts after their introduction
89 (Schaffelke, Smith, & Hewitt, 2006).

90 The management of beneficial or invasive seaweeds requires monitoring tools to map the spatio-
91 temporal distribution of target species. Traditional approaches such as diving provide high accuracy
92 and resolution, however, they are time-consuming and limited to small areas (Werdell & Roesler,
93 2003). On the other hand, remote sensing can provide large-scale information of submerged coastal
94 areas in a rapid and cost-effective way (Mumby, Green, Edwards, & Clark, 1999).

95 Satellites are remote sensing tools frequently used to map seaweeds. Various studies have
96 efficiently used multispectral satellite data to map submerged seaweeds (Andréfouët, Zubia, & Payri,
97 2004; Casal, Kutser, Domínguez-Gómez, Sánchez-Carnero, & Freire, 2011; Casal, Sánchez-Carnero,
98 Sánchez-Rodríguez, & Freire, 2011; Hoang, O'Leary, & Fotedar, 2016), achieving classification
99 accuracies near the recommended 85% (Congalton & Green, 2009). In general, most studies
100 accomplish broad taxonomic classifications, mainly focusing on brown, red and green seaweed
101 groups. Bio-optical modelling indicates that effective discrimination of submerged red and brown

102 groups (Kutser, Vahtmäe, & Martin, 2006), and potentially genera or species within these groups,
103 requires fine spectral resolution provided by hyperspectral imagery. The fine spectral resolution
104 presented by multi- and hyper-spectral imagery allows for attenuation correction, which is an
105 important consideration for remote sensing of submerged habitats (Zoffoli et al., 2014). However, a
106 few studies have highlighted the difficulty of using hyperspectral imagery to classify heterogeneous
107 submerged coastal habitats (Casal, Kutser, et al., 2011; Vahtmae & Kutser, 2007). Hyperspectral
108 imagery tends to have low spatial resolution (Govender, Chetty, & Bulcock, 2007), leading to
109 difficulties in mapping highly heterogeneous habitats, submerged or otherwise, due to mixing of
110 spectral information within single pixels. Thus, despite the efficient use of satellites to map submerged
111 seaweeds, higher spatial resolution is required for mapping heterogeneous habitats (Bennion et al.,
112 2018). From a cost perspective, there are coarser resolution (tens of meters) publicly accessible global
113 datasets such as Landsat and Sentinel, but finer scale resolution (1-5m) often requires novel
114 acquisition which incurs substantial costs.

115 Airborne remote sensing involves collection of aerial imagery from a sensor mounted on an aircraft.
116 The high spatial and spectral resolution of airborne sensors can provide high classification accuracy of
117 submerged aquatic vegetation (Silva, Costa, Melack, & Novo, 2008) and tackle the issue of spatial
118 heterogeneity. Many studies have efficiently used hyperspectral aerial imagery to distinguish red,
119 green and brown groups for floating (Dierssen, Chlus, & Russell, 2015), intertidal (Oppelt, Schulze,
120 Bartsch, Doernhoefer, & Eisenhardt, 2012) and submerged environments (Casal, Kutser, Domínguez-
121 Gómez, Sánchez-Carnero, & Freire, 2013;;; Vahtmäe et al., 2012). However, spectral library modelling
122 has indicated that aerial hyperspectral imagery may be incapable of achieving fine taxonomic
123 resolution in submerged environments due to high spectral similarities (Casal et al., 2013), which can
124 be further complicated by mixing of spectral information in heterogeneous submerged coastal areas
125 (Vahtmae & Kutser, 2007). In addition, the high spatial resolution of typical airborne systems
126 compared to satellites may still be insufficient to map submerged seaweeds in heterogeneous
127 habitats.

128 Drones, or unmanned aerial vehicles (UAVs), are modern technological advancements which have
129 been increasingly used in the field of ecology (Ventura, Bruno, Jona Lasinio, Belluscio, & Ardizzone,
130 2016). Drones are airborne systems which can rapidly provide very high spatial resolution images
131 (centimeter scale) of wide areas, providing cost-effective solutions for environmental monitoring (Koh
132 & Wich, 2012). The present UAVs are payload restricted, limiting the sensors available, and most
133 commercial UAVs are fitted with optical cameras. Detailed spectral resolution can be important for
134 distinguishing groups with similar RGB (red, green, blue) optical profiles, and restricting spectral
135 resolution limits the potential for attenuation correction which is improved by hyperspectral data

136 availability (Zoffoli et al., 2014), although sensor development is proceeding rapidly and a variety of
137 multi-spectral camera systems are available for UAV systems. Compared to satellites and typical
138 airborne systems, drones can provide higher spatial and temporal resolution due to their practicality
139 (but lower spectral resolution), access to remote areas and insensitivity to cloud cover (Paneque-
140 Gálvez, McCall, Napoletano, Wich, & Koh, 2014). In fact, a study comparing remote sensing platforms
141 to map Mediterranean seagrass habitats showed that drone imagery provides the highest spatial
142 accuracy (Ventura, Bonifazi, Gravina, & Ardizzone, 2017), although there is a trade-off between spatial
143 coverage and resolution that must be considered when evaluating methods (Bennion et al. 2018). The
144 high spatial resolution provided by drones could be suitable for mapping heterogeneous seaweed
145 habitats, potentially to fine taxonomic detail due to less spectral mixing.

146 The red seaweed *Asparagopsis armata*, also known as harpoon weed, is believed to be native to
147 Australia, Tasmania and New Zealand (Horridge, 1951). Its gametophytes have been shown to support
148 rich crustacean assemblages (Pacios, Guerra-García, Baeza-Rojano, & Cabezas, 2011) and produce
149 toxic compounds which deter predators (Paul, De Nys, & Steinberg, 2006).

150 Currently, *A. armata* is mainly distributed in Oceania, the Mediterranean Sea and European Atlantic
151 coasts, while it is also reported in a few areas in the Americas, Africa and Asia based on the AlgaeBase
152 database (<http://www.algaebase.org>). *A. armata* has become invasive in the Mediterranean Sea and
153 Eastern Atlantic Ocean, introduced in the 1920s presumably from Australia (Mineur, Davies, Maggs,
154 Verlaque, & Johnson, 2010). In the Mediterranean, it is considered a highly important marine invasive
155 which tends to dominate seaweed canopies (Streftaris & Zenetos, 2006). Moreover, in the Strait of
156 Gibraltar, it has been shown to support less peracarid species than the native *Ellisolandia elongata*
157 (Guerra-García, Ros, Izquierdo, & Soler-Hurtado, 2012).

158 *A. armata* is known to produce halogenic and methanolic compounds (McConnell & Fenical, 1977)
159 with antimicrobial (Pesando & Caram, 1984; Salvador, Gómez Garreta, Lavelli, & Ribera, 2007) and
160 anti-cancer properties (Alves, Pinteus, Horta, & Pedrosa, 2016). In fact, it is harvested in seaweed
161 farms in Ireland (Kraan & Barrington, 2005) and Portugal, where it is considered an invasive. Invasive
162 species can be difficult and expensive to manage (Anderson, 2007), so finding commercial incentives
163 to control populations by harvesting can be a valuable approach to management (Pasko & Goldberg,
164 2014)

165 *A. armata* was introduced to the Azores in the early 20th century, where its close relative *A.*
166 *taxiformis* is also present. Currently, there are ongoing efforts to understand its ecological impacts
167 and potential for commercial exploitation (<http://aspazor2016.wixsite.com/aspazor>). Rapid
168 monitoring of the distribution and coverage of the species is essential to address these issues.

169 The aim of this study was to evaluate drones as monitoring tools for seaweeds, applied to the
170 invasive *Asparagopsis armata* in the Azores. The working hypothesis was that low-cost drone imagery
171 can be efficiently used to monitor seaweeds in heterogeneous habitats and potentially distinguish
172 species, owing to its high spatial resolution.

173

174 **2 | METHODS**

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176 **2.1 | Study area**

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178 The Azores archipelago consists of nine volcanic islands located approximately 1630 km west of
179 Portugal, and situated on top of the Mid-Atlantic Ridge (Figure 1). The islands are mainly formed by
180 basalt rock and surrounded by deep waters within short distances from the coasts. Due to recent
181 volcanic formation, the coastlines tend to have high slopes and irregular shapes, exhibiting semi-
182 diurnal tides with low tidal ranges. The climate is mild yet highly unpredictable due to the influence of
183 the surrounding Atlantic Ocean.

184 São Miguel is the largest and most densely populated island of the Azores. It is surrounded by rocky
185 shores which are mainly covered by bedrock, cobbles or boulders. The Caloura and Lagoa coasts are
186 located towards the south and have boulder substrates, the latter exhibiting a higher slope
187 (Wallenstein & Neto, 2006). The coast of Mosteiros is located to the north-west, and is covered by
188 bedrock and has a similar slope to Caloura. Wallenstein and Neto (2006) analysed the intertidal
189 biotopes of São Miguel and identified over 70 species of brown, green and red seaweed, mainly
190 growing in turf communities. *A. armata* was found to grow on all substrate types from the lower
191 littoral zone to the subtidal.

192 The Caloura, Mosteiros and Lagoa coasts were selected for this study due to the high density of
193 *Asparagopsis* species and ease of accessibility. During the period of study, *A. taxiformis* was found to
194 be present in Caloura and Lagoa and *A. armata* in Mosteiros.

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196 **2.2 | Drone surveys**

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198 The drone surveys were carried out with a low-cost DJI Phantom 3 Professional quadcopter drone (DJI,
199 Shenzhen). The drone's visible light Sony EXMOR camera specifications are provided in the
200 supplementary information. The Phantom 3 was flown once per site around low tide to maximize
201 seaweed exposure to light and under optimal weather conditions, including relatively low cloud cover
202 and wave speed. The DroneDeploy software (DroneDeploy, Florida) was used to design flight plans,

203 flying at 114 m to achieve an approximate 4.93 cm/pixel resolution in acquired imagery. Such high
204 resolution increased the potential of capturing the smallest of seaweed patches within pixels. The
205 image overlaps were set to 85% frontlap and 80% sidelap. Ground control points (GCPs) were used by
206 taking GPS readings with a handheld GPS/GLONASS receiver (Trimble GeoXT 3.5G, Geoxplorer 6000
207 series, submetre accuracy) at locations readily identifiable in the aerial images, such as prominent or
208 distinctive rocks on the coastline.

209 Pix4Dmapper (Pix4D SA, Switzerland) was used to construct photomosaics through stitching the
210 images obtained in each flight and implementing GCPs for accurate georeferencing. The GCPs were
211 initially processed with GPS pathfinder office version 5.6 (Trimble, California) to improve their
212 accuracy up to approximately ± 0.5 m. The WGS84/UTM26 (EPSG:32626) coordinate system was used
213 to georeference the images.

214 A manual mask was applied to each image with QGIS (<https://www.qgis.org>), to remove pixels
215 corresponding to land. No value adjustment was made to account for brightness variation between
216 the images.

217 To reduce noise such as shadows, sun glint and foam, the images were converted to greyscale with
218 the average method to find thresholds for pixel removal (Movia, Beinat, & Crosilla, 2016). The
219 thresholds were conservatively set to 35 and 200 based on the greyscale value distribution of noise
220 and non-noise pixels, to prevent removal of the latter. Values below 35 belonged exclusively to
221 shadows, while values above 200 to sun glint and foam.

222

223 **2.3 | Ground-truth surveys**

224

225 Kayak surveys were undertaken to collect ground-truth data of each site. The targets involved healthy
226 *Asparagopsis armata*, healthy and decaying *Asparagopsis taxiformis*, brown seaweeds, as well as
227 white substrate, typically a mix of white rock and whitish *Corallina* species. In this case, large
228 homogeneous patches were defined as patches with at least 3 m radius and at least 50% coverage of
229 a particular target. The drone and kayak surveys were organized with a maximum of one day
230 difference to reliably correspond the ground-truth data with the drone images, considering the
231 gradual changes in the abundance of the seaweed targets.

232 Two transect surveys with exploratory sampling were designed at each site, covering the areas
233 present in the drone images. This targeted sampling design helped collect data representative of each
234 target on these heterogeneous coasts, by sampling areas with high density of a particular target. The
235 surveys involved designing transects above and below the 5 m depth. A snorkeller located large
236 homogeneous targets with a minimum interval of 10 m, taking videos of the patch with an action

237 camera (GoPro Hero 4, Silver) and estimating depth with a dive computer (Mares Puck Dive
238 Computer). The GPS coordinates for each sample were estimated from a kayak above, with a handheld
239 GPS/GLONASS receiver (Garmin Dakota 20, accuracy ± 3 m). The 10 m interval minimized overlap
240 between sampling points, considering the 3m spatial uncertainty of the GPS device.

241 The videos were qualitatively assessed to determine the approximate proportion of the most
242 frequent target in each ground-truth sample. The samples were included in the analysis only if this
243 proportion exceeded approximately 50%.

244

245 **2.4 | Image analysis**

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247 Supervised classification algorithms were used to construct predictive models of seaweed coverage,
248 through modelling of RGB spectral profiles. The classes were determined both by ground-truthing and
249 photo-interpretation (visual inspection of the images), in some cases including different groups due
250 to spectral similarities (Table 1). The one-vs-one support vector machine (SVM), random forest (RF)
251 and feed-forward artificial neural network (ANN) supervised algorithms were used to classify the
252 drone images, which have been used successfully in other classification studies (Breiman, 2001; Cortes
253 & Vapnik, 1995; Hsu & Lin, 2002; Schmidhuber, 2015).

254 To improve geospatial accuracy of the ground-truth samples, their location was manually adjusted
255 through detection of visual patterns between the images and underwater videos, such as shapes of
256 rocks or seaweed patches. The pixel values were rescaled to range between 0 and 1 dividing by 255.
257 Each ground-truth point was represented as a circle with 3 m radius around the central location (as
258 shown in Figure 3). All pixels from the aerial imagery that overlapped with this circle were selected as
259 representative of the observed target, including approximately 12320 to 13150 pixels depending on
260 the image. The frequency of each RGB triplet value was examined within this area, and the top 5% of
261 triplet values, corresponding to 5% of the area, were selected as representative of the target. Using
262 the most frequent values minimized the contribution of the less frequent targets and was more
263 reliable than using all values. This method of representative pixel selection was adapted from the
264 methodology used by Brodie, Ash, Tittley, and Yesson (2018).

265 To train the SVM and ANN algorithms, a five-fold cross validation was undertaken (Hastie, Tibshirani,
266 & Friedman, 2016). Random forest inherently splits the data into bootstrap subsets and uses each
267 subset to construct a decision tree, which is validated on the remaining data (Ho, 1998). The
268 algorithms were evaluated through the mean kappa coefficients of the contingency matrices
269 (Stehman, 1997).

270 Five-fold cross validation also helped adjust the parameters of SVM (Chang & Lin, 2013) and ANN
271 (Hansen & Salamon, 1990; Krogh & Hertz, 1992) through repeating the process for various parameter
272 combinations and choosing the parameters corresponding to the highest kappa (Table 2). The RF
273 parameters (Breiman, 2001) were adjusted based on the highest kappa acquired through the .632+
274 bootstrap method (Efron & Tibshirani, 1997).

275 The data were analysed with the raster (Hijmans, 2017) and caret (Kuhn, 2018) R packages.

276

277 **3 | RESULTS**

278

279 **3.1 | Drone surveys**

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281 Surveys were conducted in May and June 2018. Weather conditions were problematic, highly variable
282 and difficult to predict. Due to time limitations of the project coupled with limited blooming time of
283 *Asparagopsis*, surveys had to be conducted in sub-optimal conditions (waves and clouds). Two
284 hundred to 300 images were taken at each site covering areas between 1/5 - 1/4 km² (Table 3). The
285 original and masked Caloura photomosaics are illustrated in Figure 2. Georeferencing the
286 photomosaics using the GCPs resulted in a spatial uncertainty of at least one metre (Root-mean-
287 square error > 1 m). The proportion of pixels identified as noise was 1.5% for Caloura, 0.5% for Lagoa
288 and 0.4% for Mosteiros. The low noise values at Mosteiros obscures the difficulty removing the sun
289 glint affect on the water surface, these were not sufficiently bright to trigger exclusion through the
290 brightness filter (despite a number of attempts varying the thresholds). There remained a noticeable
291 glint effect on the Mosteiros images, but these were analysed regardless.

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295 **3.2 | Ground-truth surveys**

296

297 Ground truth surveys were conducted on days close to the equivalent drone surveys as possible. The
298 sample sizes per target and depth range are presented in Table 4. *A. taxiformis* was found in Caloura
299 and Lagoa, while *A. armata* was only found in Mosteiros. In general, the seabed was highly
300 heterogeneous, but the target coverage in the ground-truth samples was qualitatively determined to
301 exceed 50 %. Two *A. armata* samples were discarded from further analysis due to very low coverage.
302 Example views of *A. armata* samples from aerial and underwater images are presented in Figure 3.

303

304

305 **3.3 | Image analysis**

306

307 Each habitat class was represented in the model training data with between 4940-8279 pixels, based
308 on ground-truthing surveys and photo interpretation (Table 5). *A. armata* shows a relatively dark
309 profile (low values) compared to other classes (Figure 4), in comparison *A. taxiformis* shows a similar
310 escalation of values ($R < G < B$), but with less variation within each colour. As expected the sand class
311 shows the brightest RGB profile (highest values), and the class of green seaweed is the only class with
312 green values consistently higher than the other bands. Red values are consistently lower than other
313 bands, reflecting greater attenuation of the red light in the water.

314 These distinct spectral profiles were predicted well by the models. Model evaluation produced
315 consistently high kappa values for all models (Table 6), with consistently high prediction success for
316 all classes (see example contingency matrix for the ANN model in Table 7).

317 Prediction of habitat classes over the study sites produced a series of habitat maps, an example of
318 the ANN model predictions for the Lagoa region is presented in Figure 5. Predicted area of the target
319 species varies by modelling method (Figure 6), the RF model suggests 5.7 ha of *A. armata* was present
320 in Mosteiros, while the SVM model predicts only 1.8 ha. *A. armata* was not found during ground
321 truthing at Caloura or Lagoa and the models predict almost no habitat at these sites. Predictions of *A.*
322 *taxiformis* coverage are more consistent, with coverage for Lagoa (where it is most prevalent)
323 between 2.5 ha and 1.8 ha, and at Caloura 0.13-0.16 ha (Figure 6, Table 8).

324

325 **4 | DISCUSSION**

326

327 The overall aim of this study was to evaluate drones as monitoring tools for seaweeds, using a low-
328 cost aircraft. Drones can achieve very high spatial resolution which might tackle the issues of habitat
329 heterogeneity and possibly species differentiation, compared to satellites and typical airborne
330 systems. This monitoring methodology was assessed on a species of high importance in the Azores,
331 *Asparagopsis armata*, where the seaweed habitats are highly heterogeneous and closely related
332 species are also present.

333

334 **4.1 | Drone surveys**

335

336 The drone surveys in all study sites were strongly affected by weather conditions. In June, rain or
337 drizzle is expected 11 days of the month and the average wind speed is 16 km/h (Weather2 Ltd, 2018),

338 while DJI suggests not exceeding 20-28 km/h (DJI forum, 2016). Priority was given to days with low
339 wind to fly the drone, and early timing in the morning to minimize sun glint (Mount, 2005). However,
340 clouds were always present to various extents and despite the resulting variation in brightness
341 conditions between the surveys, no effort was made to account for this factor. Flying the drone at
342 similar times of day may be the simplest way of minimizing brightness variation, although this would
343 be dependent on other factors affecting brightness such as time of year (which influences sun
344 direction/height at a given time of day) and cloud cover.

345 The selection of weather conditions was aimed at minimizing noise due to sun glint, foam and
346 shadows. Optimal conditions were considered to be cloud-free days (or at least days where cloud was
347 unlikely to directly obscure direct sunlight), low wind (i.e. conditions with minimal waves and thus
348 minimal sea foam and glint from wave peaks – optimal wind speeds will vary by exposure of the site
349 and wind direction, but are likely to be substantially lower than the safe operating parameters of the
350 UAV) and limited to several hours around midday to minimise shadows (clearly this is dependent on
351 the time of year and latitude). However, ideal conditions were seldom forthcoming and these issues
352 (glint/foam/shadows) were sometimes evident in images. Some shadows and foam were observed
353 nearby rocks, but were excluded by the noise removal process (Movia et al., 2016). Sun glint on the
354 water surface was an issue for the Mosteiros survey, ideally this would have been re-surveyed but
355 suitable weather conditions (particularly calm seas) were not forthcoming. The result was an over
356 prediction of the sand class in Mosteiros, where it was not observed on the ground surveys. This
357 stresses the importance of surveying in the best possible conditions.

358 Seaweed and coral remote sensing studies have shown that mixing of spectral information between
359 different groups in heterogeneous coasts limits image classification accuracy (Andréfouët et al., 2004;
360 Caras, Hedley, & Karnieli, 2017; Vahtmae & Kutser, 2007). Specifically, the neighbouring presence of
361 small and spectrally variable patches results in merging of information within single pixels in low
362 spatial resolution images. Caras et al. (2017) suggest using a spatial resolution near the average size
363 of the desired targets to minimize this effect. In this study, a 4.93 cm/pixel resolution was used as a
364 compromise between the potential of capturing individual patches within pixels and practicality.
365 Increasing the resolution would entail flying the drone at a lower altitude, requiring more flight time
366 and possibly causing issues during photomosaic construction (Koh & Wich, 2012).

367 Georeferencing of the photomosaics had a spatial uncertainty of at least one metre. Spatial accuracy
368 of the photomosaics was important to reliably overlay the ground-truth points on the images, which
369 was further complicated by spatial uncertainty of the latter. However, the high spatial detail in the
370 images made it possible, in many cases, to determine the exact location of seaweed patches in the
371 images through identifying either substrate features, such as rocks, or the exact patches in the

372 underwater videos. This has strong implications for the accuracy and strategy of the ground-truthing
373 process for drone surveys, as discussed below.

374

375 **4.2 | Ground-truth surveys**

376

377 Accurate assignment of ground-truth locations to the image is fundamental to predictive modelling
378 (Brodie et al., 2018). The exploratory sampling strategy helped accurately position the ground-truth
379 classes in the images by targeting large exemplar patches, but might have increased spatial
380 autocorrelation and resulted in over-optimistic model evaluation metrics (Hammond & Verbyla, 1996;
381 Millard & Richardson, 2015). Indeed, the ground-truth points were sometimes clustered and normally
382 collected in limited depth ranges. For example, brown seaweeds and decaying *A. taxiformis* were
383 mainly sampled on top of large rocks near the surface, and *A. armata* samples were clustered within
384 a small area where the species was located. An important observation of this study, however, is the
385 potential of collecting modelling data through detecting shape patterns between the drone and
386 underwater footage. Thus, instead of searching for large homogeneous seaweed patches, it could be
387 possible to implement random or systematic sampling strategies which are less biased and time-
388 consuming. Photo-interpretation can then be used to collect modelling data, although great caution
389 should be given to its subjective nature.

390

391 **4.3 | Image analysis**

392

393 The spectral profiles were quite distinct between most classes. An interesting observation was the
394 substantial similarity between the *A. armata* and black rock profiles. Both classes showed dark spectral
395 profiles in drone imagery and this resulted in misclassifications between these groups. *A. armata*
396 patches typically displayed bright pink coloration when viewed in underwater imagery, and this red-
397 dominant spectral profile will be significantly affected by attenuation, resulting in difficulties
398 distinguishing this profile when viewed through the water column. Correcting the spectral profile for
399 water depth could be an effective way of combatting this issue (Cho & Lu, 2010), although this would
400 require estimating water depths from the same set of images, which in turn requires ground truthing
401 of water depths (Visser et al., 2015) and employing hyperspectral imaging may improve this method
402 (Lu & Cho, 2011). Depth invariant methods for water column radiometric correction have been
403 proposed (reviewed in Zoffoli et al., 2014), but these methods are dependant on multi- and hyper-
404 spectral data being available, which means RGB imagery from standard optical cameras are not
405 applicable for these methods (Zoffoli et al., 2014). Additionally, techniques of spectral unmixing (e.g.

406 Ettrich et al., 2018) could be employed to help disentangle habitats with similar spectral profiles, but
407 these are best employed when high spectral resolution data (hyperspectral) is available (Hu et al.,
408 2015).

409 This study presents a pixel based classification of the aerial imagery collected. An alternative approach
410 is object-based image analysis (OBIA – e.g. Blaschke, 2010). Habitat mapping using OBIA has increased
411 with the greater availability of higher spatial resolution data, and can be particularly useful where
412 habitat patches are larger the pixels of the remote sensing data (Blaschke, 2010). OBIA can reduce the
413 ‘salt and pepper’ effect often seen in pixel-based methods of classification and can utilise more
414 features than isolated pixel values by incorporating contextual information for classification (Benz,
415 2004).

416 An important question of this study was whether the high resolution of drone imagery can help
417 distinguish closely related species, partly due to less spectral mixing. The underwater footage showed
418 that *A. taxiformis* displayed a darker coloration than *A. armata*. The spectral profiles between the
419 *Asparagopsis* species were also distinct, but this is difficult to explain solely based on coloration
420 differences between the species. In any case, acquiring the pure profiles of seaweeds in
421 heterogeneous habitats is complicated by the difficulty of determining their exact pixel location. The
422 methodology of representative pixel extraction (Brodie et al., 2018) applied in this study to deal with
423 spatial uncertainty and patch heterogeneity assumes that the extracted pixels are representative of
424 the desired target. Extracting the top 5% of ground-truth circle values was a compromise between
425 sample size and target representativity. In this sense, it is not clear to what extent the profiles were
426 representative of the classes. Thus, this work is limited in its ability to address the potential of drone
427 visible light imagery to distinguish the *Asparagopsis* species, and closely related species in general.

428 The models showed very high evaluation metrics indicating overfitting to the training data.
429 Overfitting might have been partly caused by the presence of relatively few distinct values per class in
430 the 5% dataset. Objectively evaluating the generalizability of a trained model requires testing on a
431 distinct validation dataset (Witten & Frank, 2005). Radosavljevic and Anderson (2014) suggest using a
432 geographically masked cross-validation approach to evaluate training data on validation data collected
433 in different regions. However, in this study each class was typically present only at one site, and the
434 samples were too few to create spatial partitions within each site. Thus, both spatial autocorrelation
435 and limited values might have contributed to model overfitting.

436 An interesting observation was the heterogeneity of classifications in deep waters compared to
437 shallower waters. In fact, deeper than three to four metres, the models typically predicted a single
438 class indicating the difficulty of separating targets in such depths. This was likely amplified by the

439 shortness of turf-forming seaweeds dominating the Azorean coasts (Wallenstein & Neto, 2006),
440 compared to canopy-forming species.

441

442 **4.4 | Monitoring and management implications**

443

444 An important goal of this project was to develop models predicting *A. armata* coverage in drone
445 imagery, to monitor the abundance and distribution of this invasive in the Azores. Unfortunately, we
446 feel that, at present, our approach is not sufficient to produce reliable models for this study. This
447 should not discourage others from attempting other drone-based monitoring as there are some
448 particular issues with the Azores and *Asparagopsis* that inhibited this study. The short-lived
449 gametophyte phase places a particular time-pressure on surveys, which are further confounded by
450 highly unpredictable, oceanic weather. Monitoring targets with year-round visible presence, in
451 friendlier climates would make more obvious targets for similar studies.

452 There are many advantages to drone-based monitoring, such as the relative low-cost, accessibility
453 and practicality which may facilitate monitoring. The repeated monitoring of sites where invasive
454 species are known or suspected to be a threat could be a valuable tool for invasive management.
455 However, there are cost considerations beyond the price of the UAV hardware, these may include
456 equipment for high spatial precision georeferencing (cm scale), training to safely operate equipment,
457 specialised software (such as Pix4D) and computing resources for mass processing of imagery, as well
458 as the time required for image acquisition and analysis. These associated costs will inevitable come
459 down making studies more practical and reliable with improved technology, incorporating more
460 sensors, and improved methods for processing imagery (Bennion et al. 2018).

461

462 **5 | CONCLUSIONS**

463

464 An important conclusion of the study is that using drones to monitor the turfey and highly
465 heterogeneous seaweed habitats of the Azores is challenging, which may extend to similar habitats
466 and other oceanic islands. Firstly, collecting accurate ground-truth data in such habitats is challenging
467 and complicates the development of efficient predictive models. In addition, despite the practicality
468 of drones, the unpredictable weather limits their spatio-temporal flexibility and flights are restricted
469 to early morning or evening to avoid sun glint. It is also worth noting that the shortness of turfey
470 seaweeds amplified by the high slope of oceanic islands limits monitoring to a small distance from the
471 shore.

472 Despite these limitations, an important implication of this work is the potential use of photo-
473 interpretation to collect accurate modelling data from drone imagery. Pattern detection between
474 aerial and underwater footage can reduce the necessity of explorative ground-truth surveys in such
475 cases, encouraging systematic or random surveys which are less biased and time-consuming.

476 This study was implemented in challenging geographic and weather conditions, confounded by the
477 short-lived target species. In areas with more stable and predictable weather, and with long-enduring
478 monitoring targets, drones should be efficient tools for monitoring seaweeds. .

479

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481

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491

492

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711

712

713 **TABLES AND FIGURES**

714

715 **TABLE 1**

716 Description and data source of classes used in classification

Class	Description	Source
A. armata	<i>A. armata</i> in its reddish state	Ground-truth
A. taxiformis	Reddish <i>A. taxiformis</i>	Ground-truth
Brown	Brown seaweeds and decaying <i>A. taxiformis</i> in a brownish state	Ground-truth
Deep	Deep samples (>5m) and white substrate (white rock and <i>Corallina</i>)	Ground-truth
Green	Green seaweeds and the yellowish <i>Cystoseira abies-marina</i>	Photointerpretation
Black rock	Black basalt rock	Photointerpretation
Sand	White sand	Photointerpretation

717

718

719

720 **TABLE 2**

721 Parameter combinations tested with five-fold cross validation for SVM and ANN, and 0.632+
722 bootstrapping for RF

SVM		RF		ANN	
<i>Gamma</i>	<i>Cost</i>	<i>Trees</i>	<i>Features</i>	<i>Neurons</i>	<i>Weight decay</i>
$2^{-13} - 2^{-12} - \dots - 2^3$	$2^{-6} - 2^{-5} - \dots - 2^{13}$	50 - 100 - 200 - 500	1 - 2	1 - 2 - 3 - 4 -5	0 - 0.01 - 0.1 - 0.5

723

724 **TABLE 3**

725 Flight specifications for the Caloura, Mosteiros and Lagoa study sites

	Caloura	Lagoa	Mosteiros
Target altitude (m)	114	114	114
Target resolution (cm/pixel)	4.93	4.93	4.93
Total area (km²)	0.247	0.251	0.197
Images	335	314	204

GCPs	4	4	4
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726

727 **TABLE 4**

728 Ground-truth sample size for each target and depth range

Target	Shallow (0-5m)	Deep (>5m)
<i>A. armata</i>	10	-
<i>A. taxiformis</i> (healthy)	9	-
<i>A. taxiformis</i> (decaying)	8	-
Brown seaweeds	6	2
White substrate	8	2

729

730 **TABLE 5**

731 Classification sample sizes as pixels and circles (ground-truth classes only)

Class	Pixels	Circles
<i>A. armata</i>	4940	8
<i>A. taxiformis</i>	5928	9
Brown	8279	14
Green	6138	-
Sand	6538	-
Black rock	6162	-
Deep	5133	12

732

733 **TABLE 6**

734 Kappa statistics and optimal parameters obtained through five-fold cross validation for SVM and
735 ANN, and 0.632+ bootstrapping for RF

Kappa (%)	SVM		RF		ANN	
Mean ± SD	0.998 ± 6.42e-4		0.998 ± 4.27e-4		0.983 ± 4.91e-3	
Parameters	Gamma	Cost	Trees	Features	Neurons	Weight decay
Value	8	8192	500	2	5	0.1

736

737

738

739 **TABLE 7**

740 Contingency matrix for ANN tested on the whole training dataset

Actual class	Predicted class							Producer accuracy (%)
	A. armata	A. taxiformis	Brown	Green	Deep	Sand	Black rock	
A. armata	4751	0	0	0	0	0	189	0.96
A. taxiformis	0	5814	114	0	0	0	0	0.98
Brown	0	0	8279	0	0	0	0	1
Green	22	0	0	6110	0	0	6	0.99
Deep	0	0	0	0	5133	0	0	1
Sand	0	0	0	0	0	6538	0	1
Black rock	5	0	243	0	0	0	5914	0.95
User accuracy (%)	0.99	1	0.95	1	1	1	0.96	Total accuracy 0.98 %

741 **TABLE 8**

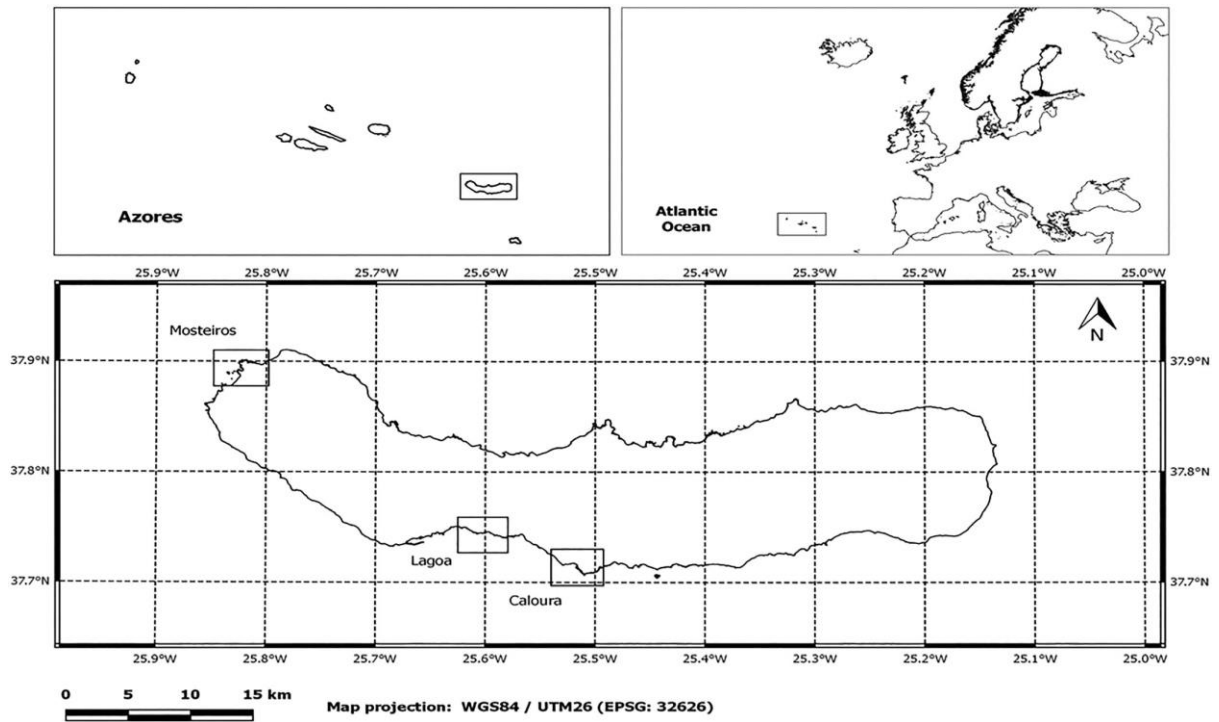
742 Class proportions per location as predicted by RF, ANN and SVM. Total area refers to non-masked area
 743 estimated with the S.1 formula.

RF	A. armata	A. taxiformis	Brown	Green	Deep	Sand	Black rock	Total area (km ²)
Caloura	<0.001	0.097	0.097	0.054	0.434	0.202	0.097	0.160
Lagoa	0.009	0.240	0.073	0.073	0.319	0.103	0.174	0.106
Mosteiros	0.445	0.046	0.012	0.098	0.023	0.049	0.319	0.126
ANN								
Caloura	0.002	0.099	0.236	0.073	0.434	0.013	0.063	0.160
Lagoa	0.049	0.239	0.115	0.166	0.319	0.066	0.045	0.106
Mosteiros	0.382	0.040	0.031	0.389	0.023	0.019	0.118	0.126
SVM								
Caloura	<0.001	0.087	0.065	0.348	0.35	<0.001	0.132	0.160
Lagoa	0.002	0.170	0.038	0.280	0.305	0.047	0.148	0.106
Mosteiros	0.142	0.010	0.002	0.620	0.011	0.007	0.200	0.126

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746 **FIGURE 1** Location of the Azores, São Miguel and study sites: Mosteiros, Lagoa and Caloura (Europe
747 coastline data, European Environment Agency, <https://www.eea.europa.eu>)

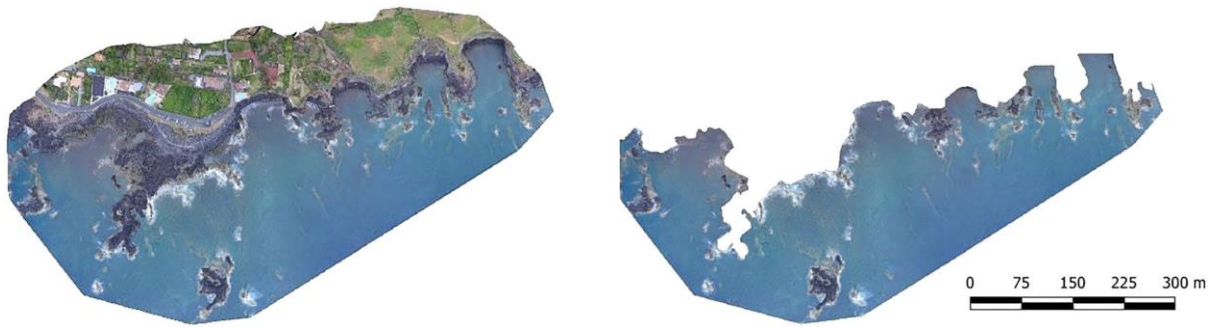


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750 **FIGURE 2.** Original (left) and masked (right) versions of the Caloura photomosaic

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753 **FIGURE 3** Aerial and underwater (top right) view of *A. armata* samples in Mosteiros

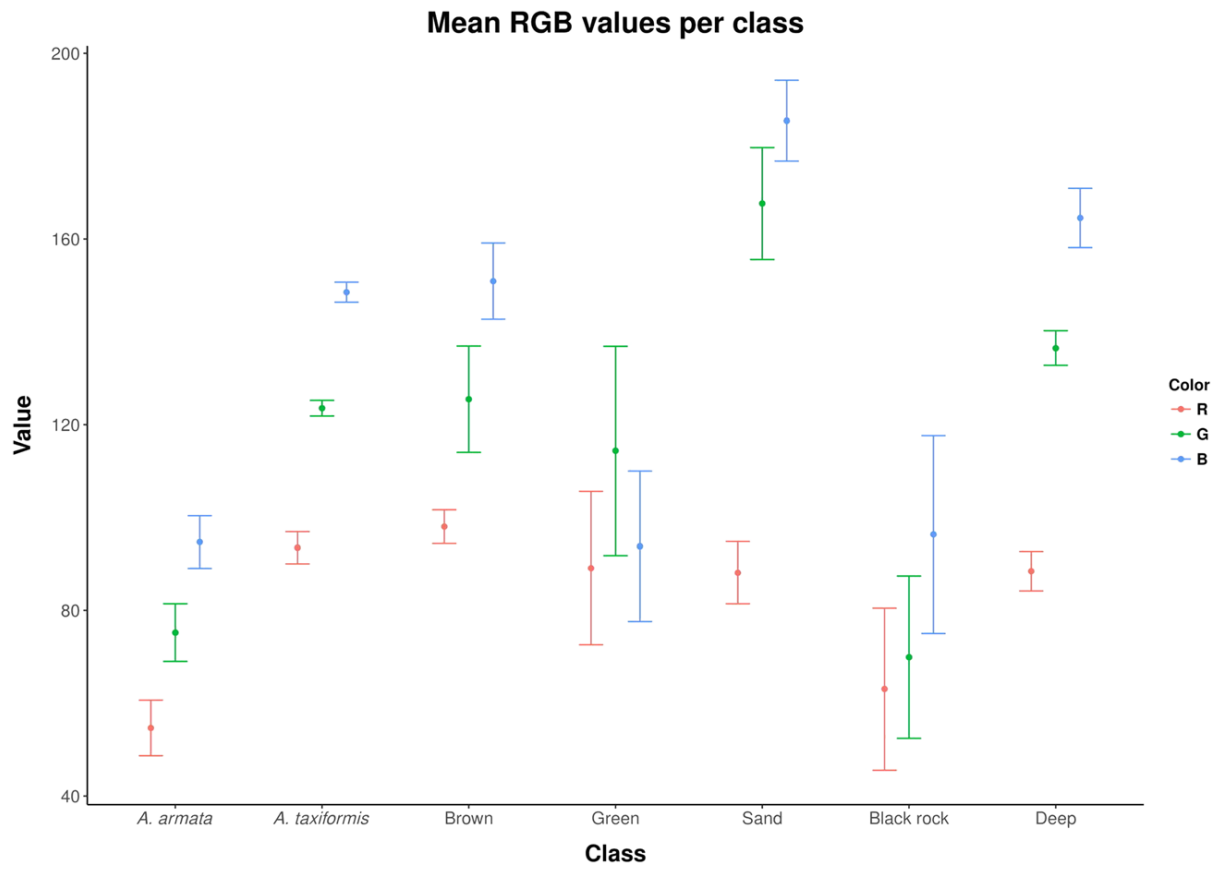
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756 **FIGURE 4** Mean (dot) and standard deviation (line) of RGB values per class

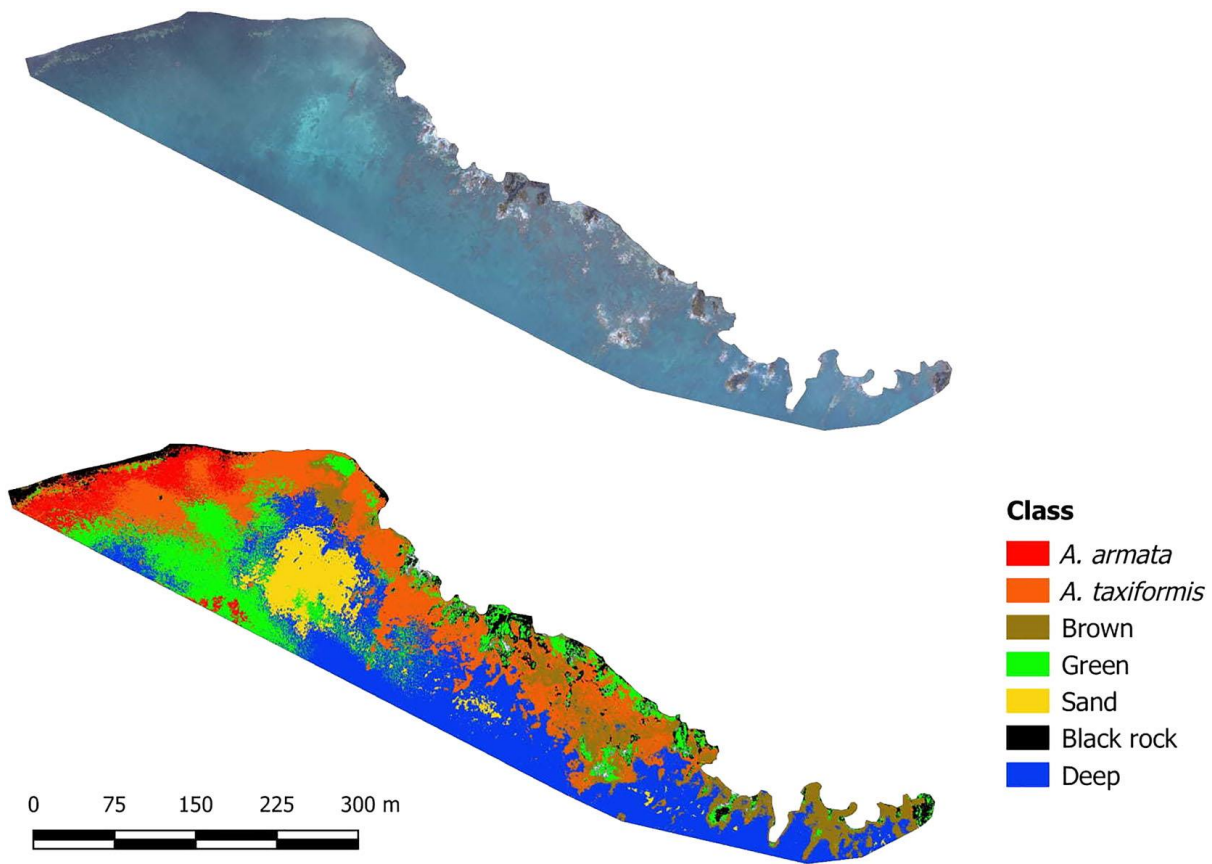
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759 **FIGURE 5** Original masked image (above) and ANN model projection (below) for Lagoa

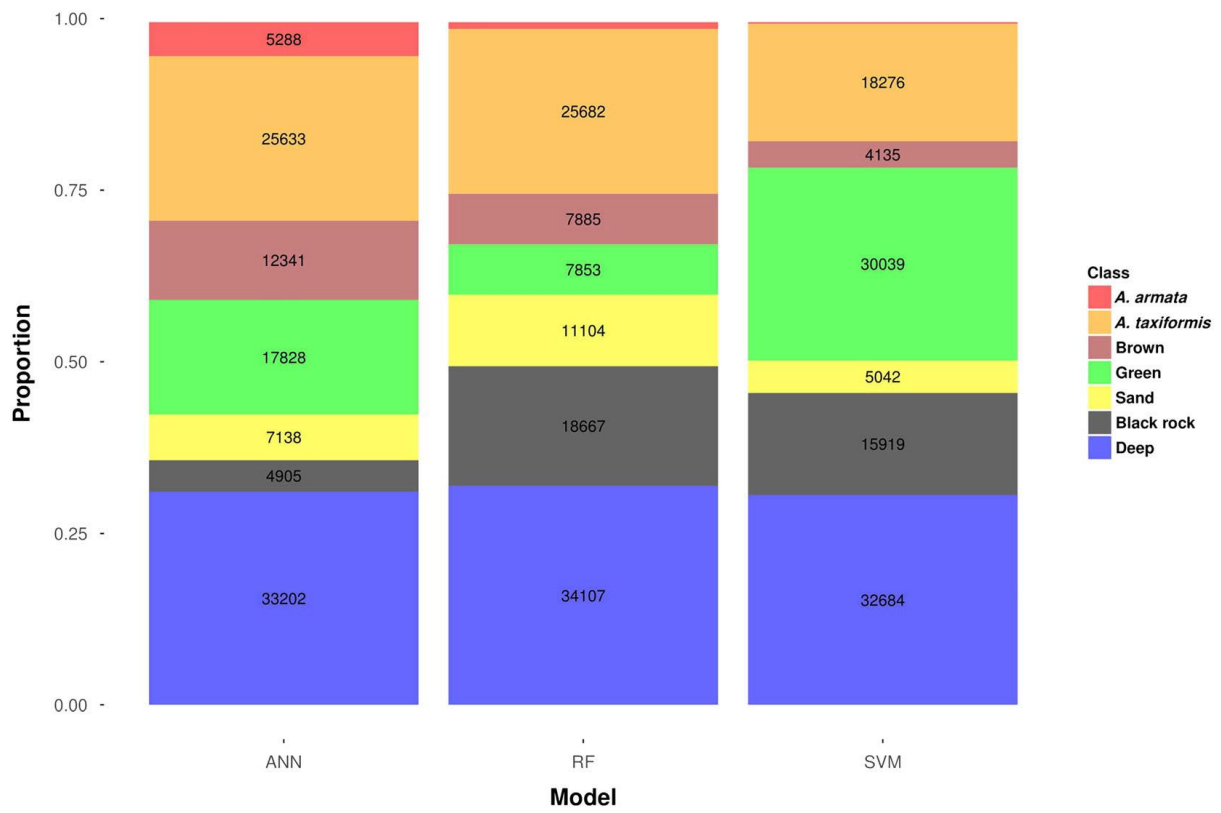
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762 **FIGURE 6** Class proportions predicted by ANN, RF and SVM in Lagoa. The numbers within the bars
 763 display area (m²), estimated with the S.1 formula (supplementary information)

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