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Complete List of Authors:	Rodrigues, Ana; CEFE - Centre d'Ecologie Fonctionnelle et Evolutive, Monsarrat, Sophie; Aarhus University, Center for Biodiversity Dynamics in a Changing World (BIOCHANGE), Department of Bioscience; Aarhus University, Section for Ecoinformatics and Biodiversity, Department of Bioscience Charpentier, Anne; CNRS, Université de Montpellier, CEFE UMR 5175 Brooks, Thomas; IUCN; University of the Philippines Los Banos, World Agroforestry Center (ICRAF); University of Tasmania, Institute for Marine & Antarctic Studies Hoffmann, Mike; Zoological Society of London, Conservation and Policy Reeves, Randall; Okapi Wildlife Associates, ; Palomares, Maria L. D. ; The University of British Columbia, Sea Around Us, Institute for the Oceans and Fisheries Turvey, Samuel; Zoological Society of London, Institute of Zoology
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# Unshifting the baseline: a framework for documenting historical population changes and assessing long-term anthropogenic impacts

Ana S.L. Rodrigues<sup>1\*</sup>, Sophie Monsarrat<sup>2,3</sup>, Anne Charpentier<sup>1</sup>, Thomas  
M. Brooks<sup>4,5,6</sup>, Michael Hoffmann<sup>7</sup>, Randall Reeves<sup>8</sup>, Maria L. D.  
Palomares<sup>9</sup>, Samuel T. Turvey<sup>10</sup>

*1 Centre d'Ecologie Fonctionnelle et Evolutive, UMR 5175 (CNRS—Université de Montpellier—UPVM—EPHE), 1919 Route de Mende, 34293 Montpellier, France.*

*2 Center for Biodiversity Dynamics in a Changing World (BIOCHANGE), Department of Bioscience, Aarhus University, Ny Munkegade 114, DK-8000 Aarhus C, Denmark.*

*3 Section for Ecoinformatics and Biodiversity, Department of Bioscience, Aarhus University, Ny Munkegade 114, DK-8000 Aarhus C, Denmark.*

*4 International Union for Conservation of Nature, 28 Rue Mauverney, 1196 Gland, Switzerland.*

*5 World Agroforestry Center (ICRAF), University of the Philippines Los Baños, Laguna 4031, Philippines.*

*6 Institute for Marine & Antarctic Studies, University of Tasmania, Hobart, Tasmania 7001, Australia.*

*7 Conservation and Policy, Zoological Society of London, London, NW1 4RY United Kingdom.*

*8 Okapi Wildlife Associates, 27 Chandler Lane, Hudson, JOP 1H0, Quebec, Canada*

*9 Sea Around Us, Institute for the Oceans and Fisheries, University of British Columbia, Vancouver V6T 1Z4, Canada*

*10 Institute of Zoology, Zoological Society of London, Regent's Park, London NW1 4RY, United Kingdom.*

**Keywords:** ecological baselines, EPOCH assessments, anthropogenic impacts, population depletion, population recovery, shifting baseline

## 2 Summary

3 Ecological baselines – reference states of species' distributions and abundances – are key to the  
4 scientific arguments underpinning many conservation and management interventions, as well as to  
5 the public support to such interventions. Yet societal as well as scientific perceptions of these  
6 baselines are often based on ecosystems that have been deeply transformed by human actions.  
7 Despite increased awareness about the pervasiveness and implications of this shifting baseline  
8 syndrome, ongoing global assessments of the state of biodiversity do not take into account the long-  
9 term, cumulative, anthropogenic impacts on biodiversity. Here, we propose a new framework for  
10 documenting such impacts, by classifying populations according to the extent to which they deviate  
11 from a baseline in the absence of human actions. We apply this framework to the bowhead whale

\*Author for correspondence (ana.rodrigues@cefe.cnrs.fr).

1 12 (*Balaena mysticetus*) to illustrate how it can be used to assess populations with different geographies  
2  
3 13 and timeline of known or suspected impacts. Through other examples, we discuss how the  
4  
5 14 framework can be applied to populations for which there is a wide diversity of existing knowledge,  
6  
7 15 by making the best use of the available ecological, historical, and archaeological data. Combined  
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9 16 across multiple populations, this framework provides a standard for assessing cumulative  
10  
11 17 anthropogenic impacts on biodiversity.  
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## 19 Introduction

20 20 The human footprint is now ubiquitous across the world's ecosystems [1,2]. Nonetheless the extent  
21  
22 21 to which humans have already transformed the natural world is still poorly understood, and  
23  
24 22 generally underestimated, because impacts started millennia ago (e.g., [27]), long before  
25  
26 23 conventional ecological recordings started [3]. Furthermore, and even for relatively recent and  
27  
28 24 ongoing changes, impacts tend to be progressively forgotten as human perceptions readjust, thus  
29  
30 25 shifting the accepted norm for the condition of ecosystems [4–11]. This readjustment, what Pauly  
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32 26 termed the “shifting baseline syndrome” [6] and Kahn Jr. called “environmental generational  
33  
34 27 amnesia” [7], can be so rapid that even large and culturally significant species can be forgotten soon  
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36 28 after they disappear [8], and it can take place even within individuals' lifetimes [4].

37  
38 29 Returning to a planet with pre-human levels of disturbance is out of the question, but  
39  
40 30 understanding how we have already changed and are continuing to change the world around us  
41  
42 31 has important implications to our future capacity to inhabit it alongside other species. Firstly, it  
43  
44 32 improves our knowledge of how ecosystems are structured, function, and evolve when not strongly  
45  
46 33 moulded by anthropogenic pressures (e.g., [12]). Secondly, and by extension, it allows us to  
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48 34 understand better the world we currently live in, how it has been shaped by the interplay between  
49  
50 35 natural and anthropogenic processes (e.g., [13]), and how it will respond to future pressures. And  
51  
52 36 finally, it broadens our ambitions regarding what is possible as future goals for the sustainable  
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54 37 exploitation [14], conservation [15], and recovery of species [16] and ecosystems [17].

55 38 Here, we focus on understanding the past, cumulative human impacts on species' distributions and  
56  
57 39 abundances. This is a first step towards a broader comprehension of ecosystem structure and  
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59 40 function, and one with practical implications to conservation and management decisions. Indeed,  
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41 decisions on which populations to exploit, to protect, to reinforce, to cull, to create or to extirpate are

1 42 frequently underpinned by societal as well as scientific perceptions of whether the species is part of  
2 43 the native fauna (and thus whether it should be there in the first place), and if so whether it is  
3 44 artificially depleted or overabundant (i.e., below or above the perceived norm of abundance). For  
4 45 example, the forest cobras of São Tomé Island in the Gulf of Guinea were long believed to represent  
5 46 an introduced subpopulation of the mainland cobra (*Naja melanoleuca*), presumed to negatively  
6 47 affect native wildlife and thus considered for eradication, until phylogenetic and historical analyses  
7 48 revealed that they are in fact a native endemic species (*N. peroescobari*), likely to play an important  
8 49 role in the control of invasive rodents [18]. Conversely, Gulf groupers (*Mycteroperca jordani*) were  
9 50 considered naturally rare throughout their range in the Gulf of California, and believed to be  
10 51 resilient to ongoing levels of exploitation, but historical records and interviews of old fishers  
11 52 demonstrate that it has been substantially depleted through past overfishing, and that its fishery  
12 53 needs to be carefully managed [19].

13 54 The growing awareness of the pervasiveness of the shifting baseline syndrome [4–10], and the  
14 55 subsequent development of historical ecology as an applied discipline [20] translate into rapidly  
15 56 expanding data regarding the extent to which individual species or their subpopulations have been  
16 57 impacted by human activities. However, there is currently no framework for bringing all of it  
17 58 together into a standardised way, which can be used for contrasting human impacts across species  
18 59 and across regions. Here, we propose such a framework, a method for classifying populations  
19 60 according to the extent to which they have been impacted by human actions, what we call “EPOCH  
20 61 assessments” (from Evaluation of POpulation CHange).

21 62 EPOCH assessments are related to three existing frameworks: the IUCN Red List of Threatened  
22 63 Species [21], the Living Planet Index [22,23] and the IUCN Green List of Species [16]. The Red List  
23 64 assessments are classifications of species according to their risk of extinction [21]. They reflect  
24 65 human impacts, but only those in the recent past (past 10 years or three generations, whichever is  
25 66 longer; Supplementary Material), meaning that species may be at low risk of extinction (i.e.,  
26 67 classified as Least Concern) even if strongly affected by past human actions (e.g., southern right  
27 68 whale *Eubalaena australis*, strongly depleted by whaling more than three generations ago [24]). The  
28 69 Living Planet Index is an aggregated measure of species’ local population trends [22], but again it  
29 70 only considers recent population changes given that (for pragmatic reasons related to data  
30 71 availability) it takes year 1970 as the baseline. The Green List is a new framework for quantifying  
31 72 species recovery and conservation success [16,25]. By going beyond avoiding species’ extinctions,  
32 73 aiming for viable and ecologically functional populations across species’ indigenous range, it places  
33 74 recovery targets in a broader historical context. Potential dates being considered for the definition of

1 75 the indigenous range include 1500 (prior to the European expansion) and 1750 (the start of the  
2  
3 76 industrial era) [16], but the discussions in this regard are still ongoing, given that even baselines set  
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5 77 several hundred years before present could underestimate impacts for those species and regions  
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7 78 that were affected previously [26,27].  
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9  
10 79 Here, we aim to evaluate the cumulative impact of human actions on species' abundances and  
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12 80 distributions over even longer time periods. In practice, an EPOCH assessment consists of  
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14 81 classifying a population (whole species or an infra-specific subpopulation) into one of 11 proposed  
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16 82 categories, reflecting the extent to which its population size has changed (declined or increased) in  
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18 83 relation to a reference state without human impacts. Rather than defining a specific date as  
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20 84 reference, we propose that the baseline should be tailored to each population, by making the best  
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22 85 use of the available information while taking into account the specific history of known impacts on  
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24 86 the population. As an illustration, we apply this framework to the bowhead whale (*Balaena*  
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26 87 *mysticetus*), a widespread species with wide geographic variation in the history of human impacts.  
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## 28 88 Defining the baseline

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31 89 EPOCH assessments can be undertaken at the level of whole species, or at the level of infra-specific  
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33 90 subpopulations. Subpopulations need not be discrete evolutionary units (e.g., subspecies), but  
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35 91 should be spatially defined (e.g., a given country, a particular oceanic region) and ideally  
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37 92 demographically independent (such that changes in one subpopulation have little effect on the  
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39 93 demography of others; corresponding broadly to the concept of a 'stock' in fisheries sciences [28]).  
40  
41 94 For simplicity, we use throughout the term 'populations' to refer to assessment units (whole species  
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43 95 or infra-specific subpopulation), with 'population size' referring to the number of all individuals in  
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45 96 the assessed unit.  
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47 97 An EPOCH assessment involves contrasting a population with a reference state, but the choice of  
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49 98 this baseline is not necessarily straightforward. First, species' ranges and abundances change over  
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51 99 time, both because of human impacts and through natural environmental variation, meaning that  
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53 100 different conclusions will be reached regarding the current state of a population depending on the  
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55 101 baseline against which it is contrasted (e.g., domestic sparrows *Passer domesticus*, are non-native to  
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57 102 England in relation to 6 Kya baseline [29], while strongly depleted in contrast to a 1976 baseline  
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59 103 [30]). Furthermore, there is wide variation in the history of anthropogenic impacts across regions  
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104 (e.g., of the onset of commercial whaling across oceanic basins [31]), as well as across species within  
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105 a given region (e.g., of the onset of commercial whaling of right versus blue whales in the North

1 106 Atlantic [31]). One option is to set the baseline as early as possible, such that it precedes all impacts  
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3 107 for all populations. However, given that impacts started millennia ago [32], this creates two  
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5 108 challenges: it places the baseline in eras with non-analogous environmental conditions, meaning  
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7 109 that contrast with the baseline reflects not only human impacts but also natural change; and it  
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9 110 reduces the likelihood that there will be adequate data on which to base the assessments.

11 111 Here, we define the baseline not as a date, but as a conceptual reference state: the population size  
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13 112 expected today in the absence of human actions. This is a theoretical counterfactual scenario of  
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15 113 “what would have happened if humans had not interfered”, conceptually equivalent to a ‘virgin’ or  
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17 114 unexploited stock in fisheries sciences [33]. By definition, then, changes in relation to this baseline  
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19 115 measure the cumulative extent of human impacts on population size.

21  
22 116 In practice, EPOCH assessments will frequently involve contrasting current abundances or  
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24 117 distributions with those at a period prior to (main known) anthropogenic impacts, but this will be  
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26 118 tailored to the specific population being assessed. For example, assessing large baleen whales will  
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28 119 involve contrasting current population sizes with estimates of what they were prior to industrial  
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30 120 whaling [34], which for bowhead whales (*Balaena mysticetus*) means going back to 1800 for the Sea of  
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32 121 Okhotsk subpopulation, and to 1600 for the East Greenland–Svalbard–Barents Sea subpopulation  
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34 122 (see below). Conceptually, such dates are not the baselines themselves; instead, going back to them  
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36 123 is a means for estimating what the population size would (or, more accurately, might) be today  
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38 124 without whaling.

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40 125 For populations that started being impacted when environmental conditions were very different  
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42 126 from today’s, there may not be a suitable pre-impact reference date. For example, estimating the  
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44 127 baseline for the extinct greak auk (*Pinguinus impennis*) along the European coast would involve  
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46 128 using the best available information to model what its distribution and abundance would be in  
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48 129 today’s climate, rather than simply by reconstructing its Paleolithic, pre-exploitation distribution [35].  
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50 130 Accordingly, changes driven by purely natural processes (e.g. population decline of Montserrat  
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52 131 oriole, *Icterus oberi*, subsequent to a volcanic eruption [36]) should not be considered in EPOCH  
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54 132 assessments (unlike what happens in the IUCN Red List, where natural changes are integrated in  
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56 133 assessments of extinction risk).

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58 134 EPOCH assessments may also involve spatial rather than temporal contrasts, by using abundance or  
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60 135 occurrence in areas of lower impact as means to estimate what the population size would be today  
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136 136 in areas that have been more heavily impacted. For example, Maroo and Yalden used densities from

1 137 relatively intact forest habitats in Białowieża National Park in Poland to infer population sizes of  
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3 138 mammal species in Britain prior to large scale deforestation [37]. Another form of inference involves  
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5 139 extrapolating from better to lesser well-known species. For example, Monsarrat and colleagues took  
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7 140 advantage of the better historical information available for the North Pacific right whale (*Eubalaena*  
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9 141 *japonica*), whose industrial exploitation started in the mid-1800s, to estimate the pre-whaling  
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11 142 distribution and abundance of its North Atlantic congeneric (*E. glacialis*) whose exploitation started  
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13 143 much earlier and is thus much less well documented [38,39].  
14

15 144 In summary, the best approach for defining the baseline should be tailored to the specific  
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17 145 population being assessed, taking into account its known history of human impacts, and making the  
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19 146 best use of the available information. A one-size-fits-all temporal baseline at a given date is not  
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21 147 needed or indeed useful, because our aim is not to understand changes since that date, but to  
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23 148 investigate the extent of overall change in population size attributable to anthropogenic impacts.  
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## 25 149 Categories of population change

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29 150 If current and baseline population sizes could be quantified precisely, comparing the two would be  
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31 151 a simple matter of expressing the former as a percentage of the latter. In practice, however, the  
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33 152 baseline population size (and often also the current one) will seldom be known. Nonetheless, there  
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35 153 may still be sufficient data to make a judgement of the broad relationship between the two, and thus  
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37 154 classify populations into different categories of change in relation to the baseline. We are here  
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39 155 inspired by the IUCN Red List: a framework for classifying species into broad threat categories even  
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41 156 when it is not possible to quantify extinction risk precisely.  
42

43 157 Our proposed EPOCH classification system (Figure 1) includes 11 categories, ten of which are  
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45 158 defined as intervals of percentage of population change in relation to the baseline. Little Changed  
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47 159 (between 30% decline and 30% increase) applies to populations for which either there are no known  
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49 160 human impacts, or there are good reasons to assume such impacts did not cause substantial (>30%)  
50  
51 161 population change. Five categories apply to populations for which there is evidence of substantial  
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53 162 depletion attributable to human activities: Moderately Depleted (30-60% decline), Highly Depleted  
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55 163 (60-80% decline), Severely Depleted (80-95% decline), Nearly Extirpated (95-100% decline) and  
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57 164 Extirpated (100% decline). The thresholds for these categories were selected to give increasing  
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59 165 resolution (i.e. the classes become narrower) as populations approach extirpation (Figure S2), while  
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61 166 matching as possible those of the IUCN Red List's to facilitate integration of the two frameworks  
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63 167 (see Supplementary Materials for more details). Conversely, thresholds for the four categories of



1 168 substantial increase give higher resolution to lower rates of increase: Moderately Increased (30-100%  
2  
3 169 increase), Highly Increased (100-1000% increase), Severely Increased (>1000% increase), and Newly  
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5 170 Present. The last of these categories applies to established (self-generating) populations whose  
6  
7 171 presence can be attributed to humans, irrespective of the intention (or lack thereof) of the  
8  
9 172 introduction process. Hence, it includes alien populations (invasive or not) as well as populations  
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11 173 translocated for conservation purposes (e.g. kakapo *Strigops habroptilus* translocated to predator-free  
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13 174 islands in New Zealand [40]; Figure 1, Table S1). The final category, Undetermined, applies to  
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15 175 populations for which it is plausible that they were affected by human activities (i.e. population  
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17 176 change likely  $\neq 0\%$ ), but for which it is not possible to assess if this has resulted in substantial change  
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19 177 (i.e., populations for which it is not clear if change  $\geq 30\%$  decline; and those for which it is not clear if  
20  
21 178 change  $\geq 30\%$  increase).

## 23 179 EPOCH assessments in practice

26 180 Ideally the data underpinning an EPOCH assessment consists of a census of the whole population  
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28 181 today and prior to human impacts. In practice, such data seldom – if ever – exist. Instead, estimates  
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30 182 of current as well as baseline population sizes, or the relationship between the two, need to be  
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32 183 inferred from the best available data (Table S1).

35 184 Useful data sources include population time series, which in a few rare cases come from whole  
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37 185 population census. For example, censuses of wandering albatrosses *Diomedea exulans* on Bird Island  
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39 186 show that the population has declined by about 74% since the early 1960s [45]. Much more  
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41 187 commonly, trends are obtained from measures of relative abundance, for example Catch Per Unit  
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43 188 Effort data indicate that black musselcrackers *Cymatoceps nasutus* declined by more than 30% in  
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45 189 South Africa between ca. 1960 and 2009 [44]. Other proxies of change in total population size come  
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47 190 from data on changes in spatial extent, for example in range area (e.g. a >50 fold increase in the  
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49 191 range of the Great-tailed grackle *Quiscalus mexicanus* in the United States since 1880 [41]), or in linear  
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51 192 extent (e.g. a 71% reduction from the 1870s to 2015 in the linear river extent occupied by the Indus  
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53 193 river dolphin *Platanista gangetica minor* [50]). Some of these proxies can come from comprehensive  
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55 194 spatial datasets such as atlases [51], but more commonly they correspond to generalisations from  
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57 195 known spatial records, for example through convex polygons around known records [52] or species'  
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59 196 distribution models combining field records and environmental information [53].

59 197 These are the same proxies used in the IUCN Red List for estimating or inferring past population  
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198 trends to assess extinction risk, but at deeper temporal scales. Given that conventional ecological

1 199 records rarely go back more than just a few decades [54], EPOCH assessments require mobilising a  
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3 200 broader set of data sources, not only as potential sources of records on species, past occurrences or  
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5 201 abundances, but also for reconstructing past environmental conditions and the timeline of human  
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7 202 impacts. These include: oral histories (e.g., fishers' anecdotes, to understand the timeline and extent  
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9 203 of decline of the Gulf grouper *Mycteroperca jordani* [19]); records from extractive industries (e.g.  
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11 204 whaling log books, to reconstruct the pre-exploitation distribution [55] and abundance [38] of right  
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13 205 whales); historical records (e.g., from letters, journals, diaries and books, to reconstruct the pre-  
14  
15 206 European distribution of South African mammals [56]); archaeological records (e.g.,  
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17 207 archaeozoological assemblages from New Zealand, to investigate the impacts of pre-European  
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19 208 Maori exploitation on populations of marine species [57]); palaeontological data (e.g. pollen, to  
20  
21 209 reconstruct the past extent of European forests and the timeline of their decline [58]); and genetic  
22  
23 210 data (e.g. genetic diversity, to shed light on the timing and extent of demographic declines in  
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25 211 terrestrial vertebrates [59]). As different types of data sources have different strengths and  
26  
27 212 limitations, a better understanding of population change comes from combining multiple lines of  
28  
29 213 evidence while understanding the limitations of each data type [10].

30 214 The antiquity of human impacts in many regions means that it will rarely be possible to estimate  
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32 215 population change in relation to a perfect baseline of complete absence of human impacts.  
33  
34 216 Pragmatically, EPOCH assessments should approach this theoretical baseline as closely as feasible  
35  
36 217 based on the available information. This may mean, for example, using a relatively recent  
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38 218 population trend to estimate population change even if earlier impacts are suspected but poorly  
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40 219 documented. At the very least, this helps to anchor the baseline in anticipation of future changes. In  
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42 220 any case, assessments must make explicit the approach employed for estimating change in relation  
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44 221 to the baseline, both as justification of the assessment and to provide a basis for future revisions as  
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46 222 new information becomes available.

## 47 48 223 Dealing with uncertainty

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51 224 Even though the categories of population change are broad (Figure 1), a paucity of available data on  
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53 225 past population status, environmental conditions or human impacts will render difficult the  
54  
55 226 categorisation of many species and subpopulations. There may, for example, be evidence of  
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57 227 population decline, but uncertainty regarding the exact magnitude of such change (e.g. historical  
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59 228 records detecting a change in abundance from "common" to "rare" [19]). Stepping further back in  
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229 time brings substantial additional uncertainty, not only because data inevitably become scarcer, but  
230 also because the casual links between human impacts and population change can become more

1 231 difficult to ascertain or confirm. Indirect effects are particularly complex to take into account, for  
2 232 example cascading effects such as increases in mesopredator populations when large predators have  
3 233 been extirpated (e.g., red fox *Vulpes vulpes* in Britain [37]).  
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8 234 Climate variation poses particular challenges when attempting to distinguish natural from  
9 235 anthropogenic change. For example, thousands of bowhead whales *Balaena mysticetus* apparently  
10 236 were killed in the Gulf of Saint Lawrence and Strait of Belle Isle in the 16<sup>th</sup> and 17<sup>th</sup> centuries, but  
11 237 they no longer occur there. It is not clear whether their current absence from this region reflects a  
12 238 range contraction after the end of the Little Ice Age or extirpation caused by whaling (or a  
13 239 combination of both) [60]. These challenges only become more pronounced when stepping even  
14 240 further back in time, as testified by the still ongoing debate on the relative effects of human hunting  
15 241 versus climate change in Pleistocene megafauna extinctions [61,62].  
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23 242 We encourage making uncertainty explicit, by indicating not only the most likely category based on  
24 243 the available information, but also specifying other plausible categories, if applicable (see examples  
25 244 in Table S1). We also recommend erring on the side of underestimating rather than overestimating  
26 245 change and thus past human impact, particularly in assessments based on more uncertain data  
27 246 (such as historical anecdotes). Following the same principle, populations for which no impacts are  
28 247 known, or their magnitude is believed not to have caused substantial change, should by default be  
29 248 placed in the Little Changed category. In contrast, the Undetermined category is reserved for  
30 249 situations for which it is plausible that the population has been affected by human activities, but it is  
31 250 not possible to ascertain whether this has resulted in significant change in population status (e.g.,  
32 251 see Omura's whale [49] in Table S1).  
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## 43 252 Worked example: bowhead whale

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46 253 For widespread species, the timeline and intensity of human impacts can vary substantially across  
47 254 subpopulations. In these cases, the best way of capturing this variation is through infra-specific  
48 255 EPOCH assessments. The collective value of these assessments will be much increased if  
49 256 subpopulations are defined to ensure that they do not overlap geographically while covering the  
50 257 entire historical range of the species, in which case they can be mapped to show levels of human  
51 258 impact across the species' range.  
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59 259 As an illustration, we present here (Figure 2) EPOCH assessments for subpopulations of the  
60 260 bowhead whale (see Supplementary Materials for details and additional references). Because of its

1 261 high oil yield and valuable baleen, the bowhead whale was one of the most prized targets of  
2  
3 262 industrial whaling, but the timeline of its exploitation and recovery (or lack thereof) is highly  
4  
5 263 variable across its circumpolar range. For example, bowheads in the East Greenland–Svalbard–  
6  
7 264 Barents Sea region were exploited commercially for three centuries, from the early 1600s to the early  
8  
9 265 1900s. Despite subsequent protection, the population has not recovered since: just a few hundred  
10  
11 266 remain [46] out of a pre-whaling population estimated at ca. 50,000 individuals [47], and we thus  
12  
13 267 classify it as Nearly Extirpated. In contrast, industrial exploitation in the Bering–Chukchi–Beaufort  
14  
15 268 Seas took place in just a few, more recent decades, from 1848 to 1921. Although this subpopulation  
16  
17 269 also collapsed from over-exploitation, it has since recovered to levels estimated to be close to the  
18  
19 270 original ca. 20,000 individuals [63], and we thus consider it Little Changed.

20  
21 271 Subpopulations are units of assessment, but that does not mean they are necessarily homogeneous.  
22  
23 272 In the case of the bowhead whale, we distinguish within the historical range of the Eastern Canada–  
24  
25 273 West Greenland subpopulation (ECWG) the region encompassing the Strait of Belle Isle and Gulf of  
26  
27 274 St. Lawrence (BISL). Indeed, whereas the broader ECWG population seems to have partially  
28  
29 275 recovered from whaling and is now Moderately Depleted, bowheads have not re-occupied the BISL  
30  
31 276 region to where they previously migrated [60] and we thus map it as Extirpated in this area (but see  
32  
33 277 above for the potentially confounding effects of climate change, and [64] for recent observations  
34  
35 278 south of the usual recent Arctic range).

36  
37 279 Combining subpopulation assessments into a species-level assessment should make the best use of  
38  
39 280 the available information. In the case of the bowhead whale, a status of Little Changed is obtained if  
40  
41 281 change in overall range extent is used as a surrogate for change in population size (9% decline),  
42  
43 282 whereas combining information on the historical range size of each subpopulation with its category  
44  
45 283 of population change results in a classification of Moderately Depleted (ca. 58% decline), while  
46  
47 284 using estimates of current and past population size for each subpopulation yields a Highly Depleted  
48  
49 285 status (ca. 66% decline; details in Supplementary Material; Table S2). As this last approach is the one  
50  
51 286 that makes the best use of available data, Highly Depleted is the classification that prevails.

52  
53 287 Understanding the degree of depletion of bowhead whales across populations is not only key to  
54  
55 288 gauging the magnitude and distribution of past human impacts on the species (Figure 2), but it is  
56  
57 289 also key to understanding the structure and functioning of Arctic ecosystems, thus to better predict  
58  
59 290 how they will respond to future changes. For example, bowhead depletion is believed to have  
60  
291 released large quantities of zooplankton biomass, with cascading effects towards a foodweb  
292  
dominated by pelagic fishes and planktivorous seabirds [65,66]. In places where bowhead

1 293 populations are recovering, a reverse ecosystem shift may be under way, with declines in the  
2  
3 294 populations of some species [65]. If not placed in an historical context of bowhead overexploitation  
4  
5 295 (equivalent to using the present as baseline), such ecosystem changes could be misattributed to  
6  
7 296 other, more recent, human impacts (e.g., climate change, pollution). The historical context is also key  
8  
9 297 to the definition of appropriate future conservation and management goals for bowheads, even as  
10  
11 298 Arctic ecosystems are foreseen to change dramatically due to climate change. For example, even  
12  
13 299 though the core area of suitable habitat for bowhead whales is predicted to decline by half from  
14  
15 300 what it is now by the year 2100 due to climate change [67], this does not mean the bowhead  
16  
17 301 population will necessarily decline in relation to today. Instead, the populations that are currently  
18  
19 302 the most depleted may still have margin for substantial increase as they recover from  
20  
21 303 overexploitation.

## 22 23 304 Conclusions

24  
25  
26 305 EPOCH assessments will be more easily carried out for species for which human impacts are better  
27  
28 306 documented, including those most visible in the historical record (e.g., large charismatic mammals  
29  
30 307 [68]), those with good records of recent industrial exploitation (e.g., marine mammals and large  
31  
32 308 fishes [34,69]) and those that fossilise well (e.g., molluscs [70]). This framework is nonetheless  
33  
34 309 designed to integrate a wide diversity of data types, including information with relatively high  
35  
36 310 levels of uncertainty, to ensure that it can also be applied in circumstances of relative data paucity.  
37  
38 311 Furthermore, it is applicable even to species for which nothing is known about their past: the  
39  
40 312 baseline can be anchored today, as the reference in future EPOCH assessments.

41  
42 313 The immediate application of EPOCH assessments is as a framework for reviewing and synthesising  
43  
44 314 evidence on how human actions have changed the abundance and distribution of whole species or  
45  
46 315 of subpopulations. Assessments can then be combined across populations within a given area, and  
47  
48 316 across regions, to investigate taxonomic or spatial variation in human impacts (in a similar way to  
49  
50 317 using Red List assessment to investigate taxonomic and spatial variation in extinction risk; e.g. [71]).  
51  
52 318 When combined across multiple species, or applied to species known to have key functional roles in  
53  
54 319 ecosystems [72], this new approach will also contribute to understanding how the composition and  
55  
56 320 structure of ecosystems has changed in response to human activities, applicable for example to  
57  
58 321 assessments under the IUCN Red List of Ecosystems [73]. By providing a clearer picture of the  
59  
60 322 composition and structure of intact ecosystems, EPOCH assessments can thus contribute to  
323  
324 understanding of the ecological and evolutionary mechanisms that have shaped biodiversity [74].

1 324 These insights obtained from the incorporation of baseline data into population status assessments  
2  
3 325 will in turn support conservation and management decisions, at both the species and the ecosystem  
4  
5 326 level. EPOCH assessments themselves are merely informative, not prescriptive, given that  
6  
7 327 population baseline sizes and distributions do not automatically translate into achievable or even  
8  
9 328 desired conservation targets in the world as it is today or as it is bound to become in the foreseeable  
10  
11 329 future [75]. Indeed, conservation targets must necessarily integrate other factors such as ecological  
12  
13 330 interactions, economic costs and benefits, technical feasibility, and societal acceptance. This said,  
14  
15 331 perceptions of whether species belong to the native fauna of a region, and if so whether they are  
16  
17 332 considered depleted or overabundant in relation to the expected norm, and thus if ecosystems are  
18  
19 333 seen as intact or degraded, can and do feed into the scientific argument underpinning many  
20  
21 334 conservation and management interventions, as well as affecting public acceptance of, and support  
22  
23 335 for, such interventions [9].

24 336 Hence, at the single-species level, assessments of the cumulative level of population change through  
25  
26 337 time in response to both ancient and recent human impacts can help to set ambitious but realistic  
27  
28 338 targets for the recovery of populations, for example in the context of Green List of Species  
29  
30 339 assessments [25,27], as well as to provide reference points to define sustainable exploitation levels,  
31  
32 340 for example in fisheries [33]. Combined into multi-species indicators, EPOCH assessments can  
33  
34 341 become the basis of indices of ecosystem degradation, help to identify areas of particularly intact  
35  
36 342 communities (e.g., under the C criterion of the Key Biodiversity Areas Standard [76]), as well as  
37  
38 343 inform targets for wider ecosystem recovery, for example as part of restoration [77] or rewilding  
39  
40 344 efforts [78]. With the United Nations having just declared 2021-2030 the Decade of Ecosystem  
41  
42 345 Restoration [79], ensuring that future conservation efforts take into account the history of past  
43  
44 346 changes is more pertinent than ever.

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53  
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2  
3

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5  
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9

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Or Review Only

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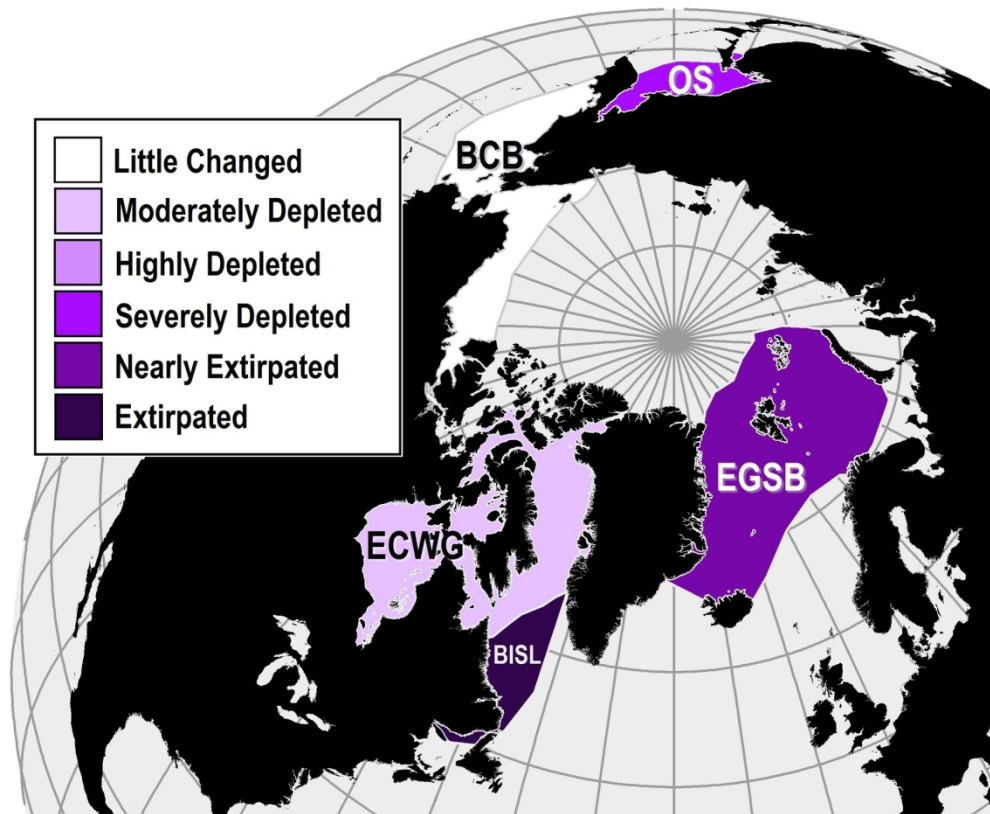
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2 556 **Figure captions**  
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8 558 **Figure 1: EPOCH categories of population change, with respective thresholds (percentage of change in**  
9 559 **population size in relation to a conceptual baseline in the absence of human actions) and illustrative**  
10 560 **examples (see Table S1 for more details).**  
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16 562 **Figure 2: EPOCH assessments of four subpopulations of bowhead whale (*Balaena mysticetus*): Bering–**  
17 563 **Chukchi–Beaufort Seas (BCB, Little Changed, 1800 baseline); Okhotsk Sea (OS, Severely Depleted, 1800**  
18 564 **baseline); East Greenland–Svalbard–Barents Sea (EGSB, Nearly Extirpated, 1600 baseline); and Eastern**  
19 565 **Canada–West Greenland (ECWG, Moderately Depleted, 1500 baseline). We map separately the region of**  
20 566 **the Strait of Belle Isle and Gulf of St. Lawrence (BISL), which is part of ECWG, as it is no longer occupied**  
21 567 **(thus mapped as Extirpated). See Supplementary Materials for details.**  
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adequate data	substantially increased	<p><b>Newly Present</b></p> <p><math>\infty\%</math> increase</p> <p>Kakapo (<i>Strigops habroptilus</i>) in Codfish Island/Whenua Hou, New Zealand. No ancient records of the species there. Refuge populations established through conservation translocations from 1987 onwards [40]. Baseline: 1980.</p>
		<p><b>Severely Increased</b></p> <p>[1000%, <math>\infty\%</math>] increase</p> <p>Great-tailed grackle (<i>Quiscalus mexicanus</i>) in the United States. Records show an expansion from southern Texas in 1880 to 20 States by 2002 (&gt;50 fold range increase), attributed to its capacity to readily exploit human-modified habitats [41]. Baseline: 1880.</p>
		<p><b>Highly Increased</b></p> <p>[100%, 1000%] increase</p> <p>Red fox (<i>Vulpes vulpes</i>) in Great Britain. The Mesolithic population size was estimated at ca. 73,000 individuals, contrasted to ca. 240,000 in 1995, an increase attributed to favourable habitat conversion and extinction of large predators [37]. Baseline: Białowieża forests (as surrogates for a Mesolithic baseline).</p>
		<p><b>Moderately Increased</b></p> <p>[30%, 100%] increase</p> <p>Common starling (<i>Sturnus vulgaris</i>), whole species. With a wide native range in the Palearctic region (21.8 km<sup>2</sup>) it was introduced to many other parts of the world (inc. North America, South Africa, Australia, New Zealand; 18.5 km<sup>2</sup>) [42] corresponding to 85% range expansion. Baseline: 1500 (pre-European expansion).</p>
	substantially depleted	<p><b>Little Changed</b></p> <p>]30% decline, 30% increase[</p> <p>Gray whale (<i>Eschrichtius robustus</i>) in the eastern North Pacific. Commercial whaling (mid-1800s – early 1900s) led to population collapse, but it has strongly recovered since. Current population (ca. 27,000 individuals) [43] is within range of estimates of pre-whaling population size [34]. Baseline: 1800.</p>
		<p><b>Moderately Depleted</b></p> <p>[30%, 60%] decline</p> <p>Black musselcracker (<i>Cymatoceps nasutus</i>), whole species. Declines in Catch Per Unit Effort are indicative of a population decline of over 30% within three generation lengths (48 years prior to 2009) [44]. Baseline: 1960.</p>
		<p><b>Highly Depleted</b></p> <p>[60%, 80%] decline</p> <p>Wandering albatross (<i>Diomedea exulans</i>) in Bird Island, South Georgia. Annual censuses reveal a decline in the number of breeding pairs from about 1700 (1962-1964), to 772 (2014/15), attributed mainly to longline fisheries [45]. Baseline: 1962.</p>
		<p><b>Severely Depleted</b></p> <p>[80%, 95%] decline</p> <p>Gulf grouper (<i>Mycteroperca jordani</i>), whole species. Consistent anecdotal records strongly support a sharp decline: change in fishers' perception from "common/abundant" to "naturally rare"; memories of best catches/day indicate &gt; 10-fold reduction [19] Baseline: 1940s.</p>
		<p><b>Nearly Extirpated</b></p> <p>[95%, 100%] decline</p> <p>Bowhead whale (<i>Balaena mysticetus</i>) in East Greenland–Svalbard–Barents Sea. Commercial whaling (1611-1911) led to population collapse from which it did not recover: current population in the low hundreds [46], in contrast with a pre-whaling population of ca. 50,000 [47]. Baseline: 1600.</p>
		<p><b>Extirpated</b></p> <p>100% decline</p> <p>Gray whale (<i>Eschrichtius robustus</i>) in the North Atlantic. No longer present, but past presence (up to mid-1700s) attested by bone records and a few historical records. The latter present it as a target of whaling [48]. Baseline: ca. 1 AD.</p>
no adequate data	<p><b>Undetermined</b></p> <p>Change plausible but extent unknown</p> <p>Omura's whale (<i>Balaenoptera omurai</i>), whole species. Recently described (2003), and poorly known. May have been affected by past commercial whaling and other ongoing threats, but extent to which threats might have affected the population is unknown [49].</p>	



33 EPOCH assessments of four subpopulations of bowhead whale (*Balaena mysticetus*): Bering–Chukchi–  
 34 Beaufort Seas (BCB, Little Changed, 1800 baseline); Okhotsk Sea (OS, Severely Depleted, 1800 baseline);  
 35 East Greenland–Svalbard–Barents Sea (EGSB, Nearly Extirpated, 1600 baseline); and Eastern Canada–West  
 36 Greenland (ECWG, Moderately Depleted, 1500 baseline). We map separately the region of the Strait of Belle  
 37 Isle and Gulf of St. Lawrence (BISL), which is part of ECWG, as it is no longer occupied (thus mapped as  
 38 Extirpated). See Supplementary Materials for details.

39 157x129mm (300 x 300 DPI)