

*Main title*

**A worldwide cohort study of age at symptom onset and death and disease duration in genetic frontotemporal dementia**

*Running title*

**Genetic FTD**

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## Summary

*Background:* Frontotemporal dementia (FTD) is a heterogeneous neurodegenerative disorder with around a third being accounted for by mutations in *GRN*, *MAPT*, and *C9orf72*. We aimed to complement previous phenotypic studies with a comprehensive worldwide study of age at onset (AAO), age at death (AAD), and disease duration (DD).

*Methods:* Data on sex, clinical phenotype, AAO, AAD and DD for patients with pathogenic mutations in the *GRN* and *MAPT* genes and pathological expansions in the *C9orf72* gene were collected through the FTD Prevention Initiative, a collaborative group of natural history genetic FTD cohort studies, as well as through published papers, between 1<sup>st</sup> January 2015 and 1<sup>st</sup> July 2017. We used mixed effects models to explore differences in AAO, AAD and DD between genetic groups and individual mutations as well across generations and by sex and clinical phenotype. We also performed a correlation of individual's AAO and AAD with the AAO and AAD of their parents and the average within other members of the same family. Lastly, we used mixed effects models to investigate the extent to which variability in AAO and AAD could be accounted by family membership and the specific mutation carried.

*Findings:* Data was available from 3403 symptomatic individuals from 1492 families: 1433 with *C9orf72* expansions (755 families), 1179 with *GRN* mutations (483 families, 130 different mutations), and 791 with *MAPT* mutations (254 families, 67 different mutations). Mean AAO/AAD was 49.5 (10.0)/58.5 (11.3) years for the *MAPT* group, 58.2 (9.8)/65.3 (10.9) for *C9orf72* and 61.3 (8.8)/ 68.8 (9.7) for *GRN*. Mean DD was 6.4 (4.9) years for the *C9orf72* group, 7.1 (3.9) for *GRN* and 9.3 (6.4) for *MAPT*. Individual AAO and AAD was significantly correlated with both parental AAO and AAD and mean family AAO and AAD in all three groups, but with a much stronger correlation in *MAPT* ( $r=0.63/0.69$  for mean family AAO and AAD, and  $0.45/0.58$  for parental) than in either *C9orf72* ( $0.36/0.40$ ,  $0.32/0.38$ ) or *GRN* ( $0.18/0.32$ ,  $0.22/0.22$ ). Modelling showed that the variability in AAO and AAD was explained in part by the specific mutation (48% for AAO, 61% for AAD), and more so by family membership (66% for AAO, 74% for AAD) in the *MAPT* group. In the *GRN* group, variability was only accounted for by the specific mutation by 2% for AAO, 9% for AAD, and by family membership by 14% for AAO, 20% for AAD, whilst in the *C9orf72* group variability was only accounted for by family membership by 17% for AAO and 19% for AAD.

*Interpretation:* Whilst estimation of AAO will be an important factor in future presymptomatic therapeutic trials, this study suggests that data from other members of the family will only be helpful in such an estimate for the *MAPT* group. Further work in identifying both genetic and environmental factors that modify phenotype in all groups will be important to improve such estimates.

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## 1 **Introduction**

2 Frontotemporal dementia (FTD) is a clinically, genetically and pathologically heterogeneous  
3 neurodegenerative disease<sup>1</sup>. The most common clinical subtypes are behavioural variant FTD (bvFTD),  
4 presenting with changes in personality and executive dysfunction, and primary progressive aphasia  
5 (PPA), in which people develop impairment of language processing. Three forms of PPA are described  
6 – semantic (svPPA), nonfluent/agrammatic (nfvPPA), and logopenic (lvPPA) variants – although up to  
7 20% of people do not fit criteria for any variant (PPA-not otherwise specified, PPA-NOS)<sup>2</sup>. Both bvFTD  
8 and PPA overlap with amyotrophic lateral sclerosis (ALS), and the atypical parkinsonian syndromes,  
9 corticobasal syndrome (CBS) and progressive supranuclear palsy (PSP)<sup>1</sup>.

10

11 Around a third of FTD is genetic<sup>3</sup>, with mutations in multiple genes shown to be causative of FTD.  
12 However, the majority of the heritability of FTD is accounted for by mutations in three genes:  
13 progranulin (*GRN*), microtubule-associated protein tau (*MAPT*) and chromosome 9 open reading  
14 frame 72 (*C9orf72*). Whilst much has been learned over the last decade about the clinical features of  
15 these genetic forms of FTD most studies exploring age at symptom onset and duration have been  
16 relatively small and geographically restricted<sup>4,6</sup>. In particular, although individual case series suggest  
17 that such phenotypic characteristics can be quite variable, no studies have systematically investigated  
18 these factors across all of the different genetic groups and the different mutations found within the  
19 groups. As the era of clinical trials in presymptomatic mutation carriers approaches, a better  
20 understanding of the variability in onset and duration will be important.

21

22 In this study, we therefore aimed to analyse phenotypic characteristics of the main three forms of  
23 autosomal dominant FTD including ages at onset and death and disease duration in a large cohort of  
24 individuals from across the world, examining the effect of mutation type and family membership on  
25 these factors.

26

## 1 **Methods**

### 2 *Study design*

3 Data were collected through centres that are part of the FTD Prevention Initiative (FPI), a group  
4 connecting natural history cohort studies of genetic FTD: the Genetic Frontotemporal Dementia  
5 Initiative (GENFI)<sup>7</sup>, Advancing Research and Treatment for Frontotemporal Lobar Degeneration  
6 (ARTFL), Longitudinal Evaluation of Familial Frontotemporal Dementia Subjects (LEFFTDS) and the  
7 Dominantly Inherited Non-Alzheimer's Dementias (DINAD) studies. These research studies account  
8 for the majority of centres investigating genetic FTD in Europe and Eastern Canada (GENFI), USA and  
9 Western Canada (ARTFL/LEFFTDS) and Australia (DINAD). In total, 33 centres across the world  
10 provided data for participants that included genetic group, individual mutation (for the *GRN* and  
11 *MAPT* groups), sex, clinical phenotype, age at symptom onset (AAO, defined by the onset of  
12 progressive behavioural, cognitive, or motor symptoms reported either by an informant, usually a  
13 family member, or for non-behavioural symptoms by the patient themselves), age at death (AAD), and  
14 relationship to other family members. Local ethics committees at each of the sites approved the study  
15 and data from participants was provided through informed consent. We also reviewed publications  
16 cited in the Alzheimer Disease & Frontotemporal Dementia Mutation Database  
17 ([www.molgen.ua.ac.be/FTDmutations](http://www.molgen.ua.ac.be/FTDmutations)), and supplemented this by a detailed search of PubMed for  
18 other publications with AAO, AAD or disease duration (DD) data in people with genetic FTD: this  
19 identified 308 journal articles. To avoid potential double reporting, sites were asked to provide a list of  
20 publications relevant to their dataset. These were then manually examined for possible duplicates,  
21 which were removed where identified.

22

23 We aimed to include all pathogenic mutations in the *GRN*, *MAPT* and *C9orf72* genes within the study.  
24 78 *GRN* and 45 *MAPT* pathogenic mutations were found in the Alzheimer Disease & Frontotemporal  
25 Dementia Mutation Database. From the PubMed search we discovered 35 *GRN* and 18 *MAPT* variants  
26 not included in the database, and centres in the study provided data additionally on another 17 *GRN*



1 and 4 *MAPT* variants (appendix p 2). *C9orf72* families with intermediate length expansions were not  
2 included in the study.

3

#### 4 *Statistical Analysis*

5 All statistical analyses were performed using Stata (v.14 or later). Numbers and percentages with each  
6 mutation were calculated by geography and clinical phenotype. A chi-squared test was used to  
7 compare sex distribution in each of the genetic groups. Means and standard deviations for AAO, AAD,  
8 and DD were calculated in each genetic group and in the most common mutations in the *MAPT* and  
9 *GRN* groups (defined as those with the greatest number of individuals in the study). Mixed effects  
10 models were used to examine differences in AAO, AAD and DD: i) between genetic groups (*GRN*,  
11 *MAPT* and *C9orf72*), ii) between the common mutations in the *GRN* and *MAPT* groups, iii) between an  
12 earlier and later generation of family members in all genetic groups, iv) between male and female sex  
13 within each genetic group, and v) between the main clinical phenotypes within each genetic group.  
14 Analyses took account of relatedness by including family membership as a random effect. To explore  
15 the relationship between a) an individual's AAO (or AAD) and the AAO (or AAD) of their affected  
16 parent, and b) an individual's AAO (or AAD) and the average AAO (or AAD) of other members of the  
17 same family the Pearson correlation coefficient was calculated. Lastly, we also used mixed effects  
18 models to explore the extent to which variability in AAO and AAD were explained by *family membership*  
19 (exploring variability both *within* and *between* families) and the *specific mutation* carried (in *GRN* and  
20 *MAPT* groups only). Detailed statistical methods are shown in appendix pp 15-19.

21

#### 22 *Role of the funding source*

23 The funders of the study had no role in study design, data collection, data analysis, data interpretation,  
24 or writing of the report. The corresponding author has full access to all data in the study and had final  
25 responsibility for the decision to submit for publication.

26

## 1 Results

2 The combined dataset consisted of 3403 symptomatic individuals from 1492 families with data on one  
3 or more of AAO, AAD, DD and clinical phenotype (Tables 1 and 2): 1433 with *C9orf72* expansions (755  
4 families), 791 with *MAPT* mutations (254 families), and 1179 with *GRN* mutations (483 families).

5

6 In total 130 *GRN* mutations and 67 *MAPT* mutations were included in the study (appendix pp 5-10).

7 The commonest *GRN* mutations were T272fs (201 individuals, 95 families), R493X (55 individuals, 22  
8 families), IVS7-1G>A (50 individuals, 18 families), C31fs (47 individuals, 10 families), G35fs (42  
9 individuals, 10 families) and A9D (37 individuals, 4 families). The commonest *MAPT* mutations were:  
10 P301L (234 individuals, 59 families), IVS10+16C>T (149 individuals, 48 families), R406W (67  
11 individuals, 9 families) and N279K (44 individuals, 17 families).

12

13 Globally, the most prevalent genetic group was the *C9orf72* expansion carriers (42.1% of all  
14 individuals), then *GRN* mutation carriers (34.6%), with *MAPT* mutation carriers the least common  
15 group (23.2%) (Figure 1). However, there was geographical variability with a different spread of  
16 frequencies amongst the three genetic groups in certain countries: *GRN* mutation carriers were more  
17 common than the other groups particularly in Italy (66% of total), and to a lesser extent in Spain (49%);  
18 and *MAPT* mutations were found more frequently in some countries than others e.g. Netherlands (40%)  
19 and parts of the US e.g. West Coast (47%). See appendix pp 20-23 for more details.

20

21 Although bvFTD was the most common diagnosis in each group, phenotypic variability was seen  
22 across the different mutations (Table 2). See appendix pp 24-32 for more details

23

24 Both the *C9orf72* and *MAPT* groups contained approximately equal numbers of men and women (52%  
25 and 49% male respectively) (Table 1, appendix pp 33-34). However, the *GRN* group had a significant

1 overrepresentation of women (58% female, 42% male), compared with both the *C9orf72* group ( $p<0.001$ )  
2 and the *MAPT* group ( $p=0.002$ ).

3  
4 The mean AAO was youngest for the *MAPT* group, 49.5 (standard deviation 10.0) years, significantly  
5 younger than both of the other groups ( $p<0.001$  for each comparison), followed by the *C9orf72* group,  
6 58.2 (9.8) years, which was also significantly younger than the *GRN* group ( $p<0.001$ ), 61.3 (8.8) years  
7 (Table 1, appendix p 35-36). However, there was a wide range of AAO within each of the genetic groups  
8 (Figure 2, appendix p 36), from the 20's to the 90's in the *GRN* and *C9orf72* groups, and from 17 to the  
9 80's in the *MAPT* group. Cumulative probability curves for symptom onset in each of the genetic  
10 groups are shown in Figure 3a (and data shown in appendix p 39).

11  
12 A wide range of AAO was also seen in individual *GRN* and *MAPT* mutations (appendix pp 6-10). We  
13 plotted cumulative probability curves for symptom onset for the most common *GRN* (Figure 3b) and  
14 *MAPT* (Figure 3c) mutations (appendix p 39). Whilst these largely overlapped for the *GRN* mutations  
15 (and without any significant difference between groups: R493X mean (standard deviation) 60.2 (8.9)  
16 years, C31fs 60.3 (8.2), IVS7-1G>A 60.5 (7.9), G35fs 61.2 (10.9), A9D 62.1 (10.6), T272fs 62.7 (8.9)), there  
17 was a significant difference in the *MAPT* mutations with an earlier onset in the N279K mutation group  
18 (43.8 (6.7) years) in comparison to the other groups ( $p<0.005$  for all comparisons), followed by  
19 IVS10+16C>T (50.9 (6.1)), P301L (53.0 (7.4)) and R406W (55.4 (7.5)) (appendix p 40).

20  
21 The generational analysis showed a significantly younger AAO in the second (later) generation than  
22 the first (earlier generation) in all three groups: *GRN* generation 1: mean (standard deviation) 65.5 (9.1),  
23 generation 2: 60.7 (8.9),  $p<0.001$ ; *C9orf72* generation 1: 62.3 (10.9) years, generation 2: 56.7 (11.0),  $p<0.001$ ;  
24 *MAPT* generation 1: 51.4 (9.5), generation 2: 49.6 (10.0),  $p=0.011$  (appendix pp 41-43).

25

1 No significant difference in AAO was seen between males and females in the *MAPT* group (appendix  
2 p 44). However, there was a significantly older age at onset in females in the *GRN* group (61.8 (9.2)  
3 years, compared with 60.5 (8.3) years in males,  $p=0.019$ ), as well as in the *C9orf72* group (58.9 (9.6) years  
4 in females, compared with 57.7 (10.0) years in males,  $p=0.041$ ).

5  
6 No major differences in AAO were seen between *C9orf72*-bvFTD (56.7 (9.0)), *C9orf72*-ALS (57.0 (9.0)),  
7 *C9orf72*-FTD-ALS (57.8 (8.3)) or *C9orf72*-PPA (59.7 (7.4)) (appendix pp 45-46). However, *C9orf72*  
8 expansion carriers with a diagnosis of Alzheimer's disease (AD) had a significantly older AAO than  
9 the other groups (65.1 (10.6)) ( $p<0.001$  for all comparisons except *C9orf72*-PPA vs *C9orf72*-AD,  $p=0.010$ ).  
10 Similarly, there was no significant difference in AAO between *GRN*-bvFTD (59.6 (8.1)), *GRN*-PPA (60.2  
11 (7.7)), and *GRN*-CBS (57.7 (7.3)) but those with *GRN*-AD had a significantly older AAO (66.4 (8.1)) than  
12 the other groups ( $p<0.001$  for all comparisons). In the *MAPT* group there was no significant difference  
13 in AAO between those with *MAPT*-bvFTD (50.5 (9.0)) and *MAPT*-PPA (52.4 (12.0)) but those with  
14 *MAPT*-AD (56.7 (11.1)) had a significantly older AAO than those with *MAPT*-bvFTD ( $p=0.001$ ), *MAPT*-  
15 PPA ( $p=0.013$ ) and *MAPT*-CBS/PSP (44.9 (7.8),  $p<0.001$ ). Furthermore, the *MAPT*-CBS/PSP had a  
16 significantly younger onset than the other groups ( $p=0.013$  vs *MAPT*-bvFTD,  $p=0.037$  vs *MAPT*-PPA).

17  
18 The average AAD was youngest for *MAPT* mutation carriers, 58.5 (11.3) years, followed by *C9orf72*  
19 expansion carriers, 65.3 (10.9) years and oldest in *GRN* mutation carriers 68.8 (9.7) years (Table 1;  
20  $p<0.001$  for each comparison). AAD was variable within genetic groups (Table 1, Figure 2), and within  
21 individual mutations (appendix pp 6-10, 37).

22  
23 As with AAO, there were no significant differences in AAD between males and females in the *MAPT*  
24 group (appendix p 44), but a significant difference in both the *GRN* (69.4 (10.2) females, 67.8 (8.8) males,  
25  $p=0.029$ ) and *C9orf72* groups (66.1 (11.0) females, 64.6 (10.8) males,  $p=0.034$ ).

26

1 As with AAO, those with a diagnosis of AD in all groups had a significantly older AAD than all of the  
2 other groups (appendix pp 45-46). In the *C9orf72* group there was a significantly younger AAD in  
3 *C9orf72*-ALS group (59.2 (9.7)) compared to *C9orf72*-FTD-ALS (62.1 (8.9),  $p=0.014$ ) and *C9orf72*-bvFTD  
4 (64.6 (9.0),  $p<0.001$ ), and in turn a younger AAD in *C9orf72*-FTD-ALS compared to *C9orf72*-bvFTD  
5 ( $p=0.014$ ). In the *MAPT* group there was also a significantly younger AAD in *MAPT*-CBS/PSP (52.8  
6 (8.9)) compared with *MAPT*-bvFTD (60.6 (9.9)),  $p=0.030$ .

7  
8 The average DD was shortest for *C9orf72* expansion carriers, 6.4 (4.9) years, followed by *GRN* mutation  
9 carriers, 7.1 (3.9) and then *MAPT* mutation carriers, 9.3 (6.4) (Table 1;  $p\leq 0.001$  for each comparison).  
10 However, within each genetic group there were a number of people who survived for many decades  
11 (Table 1, Figure 2, appendix p 38) – the longest surviving person lived 27 years from symptom onset in  
12 the *GRN* group, 36 years in the *C9orf72* group and 45 years in the *MAPT* group.

13  
14 Although there was variability within individual mutations (appendix pp 6-10, 38) mean DD was  
15 similar across the *GRN* group except for a significantly longer DD in A9D mutation carriers when  
16 compared with the majority of other common mutations (appendix p 40). There was greater variability  
17 in the mean DD across the *MAPT* group and in a subanalysis of *MAPT* mutation carriers separated by  
18 their functional consequences and underlying pathology into five groups, the exon 11-13 with paired  
19 helical filament (PHF)-tau pathology group (i.e. V337M and R406W mutations, group 5: appendix pp  
20 47-48) had a significantly longer disease duration, 17.6 (11.8) years compared with the other groups:  
21 group 1 (exons 1,2 and 9): 8.3 (7.3), group 2 (exon/intron 10 affecting splicing): 9.3 (5.3), group 3 (exon  
22 10 not affecting splicing): 7.9 (4.0), and group 4 (exons 11-13 with non-PHF-tau pathology): 7.8 (5.3)  
23 ( $p<0.005$  for each comparison with group 1).

24  
25 There were no significant differences in DD between males and females in any of the groups (appendix  
26 p 44).

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There were no phenotypic differences in DD in the *GRN* group (*GRN*-bvFTD 7.1 (3.7), *GRN*-PPA 6.5 (2.8), *GRN*-AD 7.8 (4.9), *GRN*-CBS 8.2 (5.7)). In the *MAPT* group however, the *MAPT*-CBS/PSP group (7.2 (4.0)) had a trend to a shorter DD than the *MAPT*-bvFTD 10.2 (6.2) ( $p=0.072$ ), and *MAPT*-AD 10.2 (6.2) ( $p=0.078$ ) groups but not the *MAPT*-PPA 9.1 (4.1) ( $p=0.140$ ) group. The *C9orf72*-ALS group had a significantly shorter duration (2.9 (2.8)) than other groups ( $p<0.001$  for all comparisons), with *C9orf72*-FTD-ALS (5.0 (4.2)) also having a shorter DD than *C9orf72*-bvFTD (7.8 (4.4),  $p<0.001$ ), *C9orf72*-PPA (7.5 (4.8),  $p=0.002$ ) and *C9orf72*-AD (10.4 (4.9),  $p<0.001$ ) (appendix p 45-46).

Individual AAO significantly correlated with both parental AAO and mean family AAO in all three genetic groups ( $p<0.001$ ) (Figure 4), although in each group a similar or stronger correlation was seen with mean family AAO than with parental AAO. The strength of the correlation varied across the genetic groups, stronger in the *MAPT* group ( $r=0.63$  mean family AAO,  $r = 0.45$  parental AAO) than in the *C9orf72* group ( $r=0.36$  mean family AAO,  $r = 0.32$  parental AAO), and weakest in the *GRN* groups ( $r=0.18$  mean family AAO,  $r = 0.22$  parental AAO).

As with AAO, individual AAD significantly correlated with both parental AAD and mean family AAD in all three genetic groups ( $p<0.001$ ). A similar pattern arose across the three genetic groups with the strongest correlation in the *MAPT* group ( $r=0.69$  mean family AAD,  $r = 0.58$  parental AAD) than in the *C9orf72* group ( $r=0.40$  mean family AAD,  $r = 0.38$  parental AAD), and weakest in the *GRN* groups ( $r=0.32$  mean family AAD,  $r = 0.22$  parental AAD).

There were significant differences between the three mutation carrier groups in the inter-family and intra-family AAO variability (both  $p<0.001$ , appendix p 49). Family membership explained 66% (95% confidence intervals: 56-75%) of the variability in AAO in *MAPT* mutation carriers but only 14 (9-22)% in *GRN* mutation carriers, and 17 (11-26)% of the variability in *C9orf72* expansion carriers.

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There was a significant difference between the *GRN* and *MAPT* groups in the between mutation variability in AAO ( $p<0.001$ ): for the *GRN* group only 2% (95% confidence intervals: 0-10%) of the variability in AAO was explained by the specific mutation, whilst for the *MAPT* group 48 (35-62)% of the variability in AAO was explained by the specific mutation.

As with AAO, there were significant differences between the three genetic groups in the inter-family and intra-family AAD variability (both  $p<0.001$ , appendix p 49). Family membership explained 74% (95% confidence intervals: 65-82%) of the variability in AAD in *MAPT* mutation carriers but only 20 (12-30)% in *GRN* mutation carriers, and 19 (12-29)% of the variability in *C9orf72* expansion carriers.

Also as with AAO, there was a significant difference between the *GRN* and *MAPT* groups in the between mutation variability in AAD ( $p<0.001$ ): for the *GRN* group only 9% (95% confidence intervals: 3-21%) of the variability in AAD was explained by the specific mutation, whilst for the *MAPT* group 61 (47-73)% of the variability in AAD was explained by the specific mutation.

## Discussion

We report the largest dataset of age at onset, age at death and disease duration in genetic FTD to date, incorporating data from across the world, in all the three main genetic groups, and all reported mutations within the *GRN* and *MAPT* mutation groups. The study provides evidence that an individual's age at symptom onset and death in genetic FTD varies by sex and phenotype and is modulated by both family membership and the individual mutation carried, with the strongest effect of these factors in *MAPT* mutation carriers. Our findings extend the knowledge gained from prior smaller studies in several key areas of interest to future clinical trial design of preventive therapies.

1 The study provides further evidence that genetic FTD is a disorder that can occur throughout adult life,  
2 with onset ranging from as young as the late teens through to the 90's. Although we did not account  
3 for unaffected mutation carriers in the analysis, the findings are also consistent with previous studies  
4 showing age-related penetrance in the *GRN*<sup>8</sup> and *C9orf72*<sup>9</sup> groups, with people developing symptoms  
5 into their 90's. There is a leftwards shift in the penetrance curve in *MAPT* carriers to a younger age, but  
6 nonetheless the oldest AAO in this group was 82. Whilst usually considered a fully penetrant disorder,  
7 there may well be occasional incomplete penetrance in some *MAPT* families (cf. previous descriptions  
8 in L315R<sup>10</sup>, V363I<sup>11,12</sup>, G389R<sup>13</sup>), which may be age-related.

9  
10 Investigation of individual mutations within *GRN* reveals few differences between them in terms of  
11 AAO, AAD or DD. This is consistent with the underlying pathophysiological mechanism of  
12 progranulin haploinsufficiency being the same in the majority of mutations<sup>14,15</sup>. In contrast, there were  
13 much larger differences between individual *MAPT* mutations, with the mean onset in the N279K  
14 mutation group 12 years earlier than in the R406W mutation group. Along with the V337M mutation,  
15 R406W has a distinct pathological form compared with the other *MAPT* mutations, with the presence  
16 of PHF-tau similar to that seen in Alzheimer's disease; this group has a significantly longer disease  
17 duration than the other mutations, as previously described in single case reports<sup>16</sup>.

18  
19 The generational analysis revealed significant differences in all groups, consistent with previous  
20 studies<sup>4,17</sup>, with an earlier AAO in later generations. This finding has been variably interpreted: in  
21 *C9orf72* carriers one group has suggested that this is evidence of genetic anticipation<sup>17</sup>. However,  
22 another group interpreted this data as likely to be related to later generations recognizing the disease  
23 earlier because of increased familiarity with symptoms, and being more likely to be alert to the presence  
24 of such symptoms due to their awareness of being at-risk<sup>4</sup>. At a molecular level it has been shown that  
25 whilst *C9orf72* expansions may be dynamic, they can both expand and contract across generations<sup>17</sup>,  
26 and, furthermore, there is no clear evidence for a relationship between AAO and expansion length,



1 with contradictory evidence of both a positive correlation in some studies<sup>18-20</sup> and inverse correlation in  
2 another<sup>21</sup>. Evidence against anticipation being an explanation for the finding of earlier AAO in later  
3 generations also comes from the similar result in the *GRN* (found in another study as well<sup>4</sup>) and *MAPT*  
4 groups: these mutations are stable and do not change molecularly across generations i.e. there is no  
5 plausible mechanism for anticipation in *GRN* or *MAPT* mutations.

6  
7 Few studies have compared whether AAO, AAD or DD vary by clinical phenotype within genetic  
8 groups. In this study, those with a diagnosis of AD within each group had a significantly older onset.  
9 Whilst there is a potential that those with a true amnesic presentation of genetic FTD do present at an  
10 older age (and that there is an underlying biological explanation for this), it is more likely that this is  
11 related to the misdiagnosis of those with an older onset dementia as AD. In the *MAPT* group those with  
12 an atypical parkinsonian syndrome had a younger AAO and AAD and shorter DD than other groups  
13 – this was not entirely driven by the presence of specific mutation as the phenotype was seen across  
14 multiple mutations (e.g. only 13% of this group had the N279K mutation, which as discussed above has  
15 an earlier mean AAO). In the *C9orf72* group the presence of ALS was associated with a shorter DD  
16 (with pure ALS shorter than combined FTD-ALS), as previously reported<sup>22</sup>. Previous studies have  
17 compared an all 'FTD' group with ALS in *C9orf72* carriers and found an earlier onset in the ALS group<sup>9</sup>.  
18 In the cohort studied here, combining 'cognitive' presentations of *C9orf72* expansions also finds a  
19 significantly earlier onset in the ALS group (mean 57.0, standard deviation 9.0, versus cognitive *C9orf72*  
20 group 58.6 (10.2) (adjusted mean difference -1.8, 95% confidence intervals -3.4, -0.2, p=0.024)), but this  
21 is in part driven by the 'AD' group, and no differences were found between the ALS group and either  
22 the bvFTD or PPA groups individually.

23  
24 Individual AAO (and AAD) were significantly correlated with both parental AAO (and AAD) and  
25 mean family AAO (and AAD) in all three genetic groups. However, there was a stronger correlation in  
26 the *MAPT* group compared with the other two groups, similar to that found in familial AD<sup>23</sup>. Modelling

1 revealed that the variability in AAO and AAD for *MAPT* mutation carriers was explained in part by  
2 the specific mutation (48% for AAO, 61% for AAD), and more so by family membership (66% for AAO,  
3 74% for AAD). Unlike the other genetic groups, in *MAPT* mutation carriers, prediction of likely AAO  
4 (and AAD) is therefore highly related to the presence of the *MAPT* mutation itself. Other genetic or  
5 environmental factors affecting AAO and AAD in *MAPT* mutation carriers have not yet been well  
6 studied<sup>24</sup>.

7  
8 Despite being statistically significant, the correlation coefficient was only 0.18/0.22 for the mean  
9 family/parental AAO comparison with individual AAO in *GRN* carriers (and 0.32/0.22 for AAD).  
10 Modelling revealed that the variability in AAO and AAD for *GRN* mutation carriers was not accounted  
11 for particularly by either the individual mutation (2% for AAO, 9% for AAD), or family membership  
12 (14% for AAO, 20% for AAD). This is consistent with previous reports of large variability within  
13 families (and specific mutations), even within the same generation<sup>25-27</sup>. Genetic factors affecting AAO  
14 include polymorphisms in *TMEM106B*<sup>28,29</sup>, and potentially also *PRNP*<sup>30</sup>, but multiple studies now  
15 suggest that environmental factors related to an altered neuroinflammatory response may also be  
16 important<sup>31-34</sup>.

17  
18 The *C9orf72* group sits between the *GRN* and *MAPT* groups in terms of the strength of correlation of  
19 individual AAO and AAD with mean family/parental AAO and AAD (0.36/0.32 for AAO, 0.40/0.38 for  
20 AAD). However, similar to *GRN* mutations, modelling revealed that the variability in AAO and AAD  
21 was not accounted for particularly by family membership (17% for AAO, 19% for AAD). Whilst there  
22 is conflicting evidence about whether expansion length is relevant<sup>18-21</sup>, recent studies have identified  
23 DNA methylation<sup>21,35-36</sup> and a locus on chromosome 6<sup>37</sup> as important factors in AAO, AAD and DD in  
24 *C9orf72* expansion carriers.

25  
26 See appendix pp 49-50 for further discussion of potential modifiers of AAO and AAD in genetic FTD.

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The study is limited by its focus on mainly retrospective data collection, with AAO recorded as the age at which an individual was determined to have progressive cognitive, behavioural or motor symptoms, and as such our data may be confounded by factors such as individual differences in interpreting symptom onset. This is a major issue in FTD, with objective measures of symptom onset lacking. A 'grey' zone in proximity to symptom onset exists where subtle cognitive and behavioural deficits are present<sup>7</sup>, but have not yet been identified by the patient themselves or family members as symptoms. Work within the FPI aims to identify such 'proximity markers', which will be important for future stratification in disease trials, particularly, as identified in this study, for *GRN* and *C9orf72* mutation carriers where prediction by age itself is poor.

Another limitation of the study is that we did not record data on known mutation carriers who did not develop symptoms of FTD. This is particularly important when assessing age-related penetrance in the *GRN* and *C9orf72* groups, although we did identify people into their 90's developing symptoms of FTD in both of these groups. Attainment of data from long-living mutation carriers will be important to better understand modifiers of AAO, and this is more likely in large, well-characterized longitudinal cohort studies such as those in the FPI.

Whilst many of the centres saw patients and families with all phenotypes of FTD, ALS and movement disorders within their clinics, the focus on genetic FTD within the study may have led to an underrepresentation of ALS or parkinsonian disorders. However, many of the families had members with multiple different phenotypes (including cognitive, behavioural and motor), and there were few families with only a single phenotype, suggesting the data in the study is unlikely to lead to a major discrepancy in phenotypic frequency.

1 Lastly, we did not have any data on *TMEM106B* genotype nor on other genetic modifiers, in order to  
2 investigate their effect further. However, such data, along with a variety of environmental and lifestyle  
3 factors, is now being collected within the FPI and will be able to be combined in future studies to further  
4 investigate the effects of these modifiers.

5

6 In summary, we show that *MAPT* mutation carriers are associated with a younger AAO and AAD than  
7 the other groups, with the observed variance largely accounted for by family membership and the  
8 specific mutation carried. *GRN* mutation carriers have the weakest association of individual AAO/AAD  
9 with other members of the family, and the majority of the observed variance in AAO/AAD is accounted  
10 for by neither family membership nor mutation. However there was a sex effect, with increased  
11 prevalence and older age at onset in women, probably driven by the age-related penetrance seen in  
12 *GRN* mutation carriers. *C9orf72* expansions are the most common cause of genetic FTD across the  
13 world. Phenotypic differences in DD exist, with the presence of ALS leading to a shortened DD. Like  
14 *GRN*, little of the variance in AAO/AAD is accounted for by family membership with other genetic and  
15 environmental factors likely to be involved.

16

17 This study highlights the strength of collaborative studies in rare diseases, bringing together data from  
18 across the world to better understand genetic FTD, and providing important data relevant to future  
19 trial design. The prospective cohort studies within the FPI will hopefully be able to provide more  
20 solutions to some of the unanswered questions over the forthcoming years.

## **Panel: Research in context**

### *Evidence before this study*

We searched Pubmed for articles on genetic frontotemporal dementia (FTD) up to Jul 1, 2017, using the following terms: “frontotemporal dementia AND genetics”, “progranulin OR *GRN*”, “tau OR *MAPT*” and “chromosome 9 open reading frame 72 OR *C9orf72*”, focusing on those studies that reported age at symptom onset (AAO), age at death (AAD) or disease duration (DD) of symptomatic individuals. Evidence from group studies and individual case series suggested that the AAO, AAD and DD were highly variable across the FTD-causing genes. Age-related penetrance was described in both *GRN* and *C9orf72* mutation carriers with *MAPT* mutations usually being fully penetrant. A generational difference in AAO was found with an earlier onset in more recent generations in *GRN* and *C9orf72* mutation carriers. Interpretation of this finding differed in the two studies, with one interpreting the result in the *C9orf72* group as evidence of anticipation, but the other arguing that this was unlikely given the similar result in *GRN* mutation carriers, where there is no molecular basis for anticipation. Phenotypic differences in AAO have not been studied in detail, but one study showed a shorter disease duration (DD) in people with an ALS diagnosis in the *C9orf72* group, and another study showed an earlier AAO in this group. No studies were found which had systematically investigated AAO, AAD or DD across all the different genetic groups and the different mutations found within the groups.

### *Added value of this study*

This is the largest international study to date investigating individual AAO, AAD and DD in genetic FTD, incorporating data from across the world, across all the three main genetic groups (*C9orf72*, *GRN*, *MAPT*) and all mutations within the *GRN* and *MAPT* groups. The study provides important evidence about the factors underlying AAO, AAD and DD in the different groups, showing that only in the *MAPT* mutation group are AAO (and AAD) highly correlated with both parental AAO (and AAD) and mean family AAO (and AAD), with variability in AAO (and AAD) explained in part by the specific mutation, and more so by family membership. Such correlations are lower in the other two groups, with the specific mutation in the *GRN* group and family membership in both *GRN* and *C9orf72* groups only accounting for a small percentage of the variability in AAO and AAD. This is the first time that such key differences between genetic FTD groups have been shown.

### *Implications of all the available evidence*

Optimal therapeutic trial design will be important in genetic FTD and in particular, many trials will aim to include presymptomatic mutation carriers who are expected to be in proximity to symptom onset. The evidence here suggests that only in *MAPT* mutation carriers will data from other family members be helpful in estimating the individual time from symptom onset. Further work is needed to understand the variability in the other groups, and it is likely that other proximity markers either individually or in combination will be required to refine the estimation of time to onset in those with *GRN* or *C9orf72* mutations. In the meantime, the current data will allow clinicians and family members a better understanding of the individual risk of likely symptom onset and time to death in each genetic group and within individual mutations.

## **Contributors**

KMM and JDR drafted the initial version and figures. JN and JDR performed the statistical analysis. All authors were involved in data collection, interpretation and drafting of the manuscript. KMM, JDR, MG, BFB, JcVs, BCD, CG, NG, BB, DGa, IRM, ALB, HR, JL, JBR, MO, MM, RL, CUO and JN contributed to the study design. All authors critically reviewed the manuscript and approved the final draft.

## **Conflict of interest statement**

KMM reports grants from Alzheimer's Society, during the conduct of the study. JN reports grants from Medical Research Council, during the conduct of the study. MG and CTM report grants from NIH (AG017586, AG010124), during the conduct of the study. DJI reports grants from NIH, during the conduct of the study. JDW reports grants from Alzheimer's Society, and NIHR UCLH Biomedical Research Centre, during the conduct of the study. NCF reports grants from Leonard Wolfson Experimental Neurology Centre, and UK Dementia Research Institute, during the conduct of the study; and personal fees from Biogen, GE healthcare, Lilly, and Roche outside the submitted work. MNR reports fees paid to Institution from Servier and Merck, outside the submitted work. BFB reports grants from Mayo Alzheimer's Disease Research Center (P50 AG016574), NIH (AG045390 [Longitudinal Evaluation of Familial Frontotemporal Dementia Subjects study], NS092089, AG038791, AG052943, AG041797, AG016574, AG006786; NS100620, AG056639, AG054256, AG0503260), during the conduct of the study; and personal fees from Scientific Advisory Board - Tau Consortium, grants from Biogen, Mangurian Foundation, Alector, and Little Family Foundation outside the submitted work. DSK reports personal fees from DIAN study, grants from Lilly Pharmaceuticals, and grants from Biogen, outside the submitted work. NRG-R reports grants from Novartis, AbbVie, Lilly, and Biogen, outside the submitted work. JcVs reports grants from Dioraphte Foundation grant 09-02-03-00, the Bluefield project, Alzheimer Nederland, ZonMw Memorabel (Deltaplan Dementie, project number 733 051 042), The Netherlands Organization for Scientific Research (NWO) grant HCM1 056-13-018, The Association for Frontotemporal Dementias Research Grant 2009, during the conduct of the study. LHM reports grants from Deltaplan Dementie (The Netherlands Organisation for Health Research and Development, and Alzheimer Nederland), Alzheimer Nederland, European Joint Programme - Neurodegenerative Disease Research and the Netherlands Organisation for Health Research and Development (PreFrontALS: 733051042, RiMod-FTD 733051024), and the Bluefield Project, during the conduct of the study; and grants from Alector, outside the submitted work. ABri reports grants from France Parkinson + FRC, ANR - EPIG - Agence nationale de recherche, ANR - JPND - Agence nationale de recherche, RDS (Roger de Spoelberch Foundation), France Alzheimer, ENP -Ecole des neurosciences Paris, Institut de France, CHU de Nimes, ERA NET, ANR - EPIG, APHP, outside the submitted work. RGh reports grants from Italian Ministry of Health: Ricerca Corrente, and from Italian Ministry of Health grant RF-2016-02361492, during the conduct of the study. BCD reports grants from National Institute of Neurological Disorders and Stroke R21 NS084156, R21 NS085487, during the conduct of the study. CG and LÖ report grants from JPND Prefrontals Swedish Research council (VR) 529-2014-7504, Swedish research council (VR) 2015-02926, Swedish

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**Table 1. Patient demographics and mean age at onset, age at death and disease duration in each of the mutation groups. For gender differences, p values from a chi-squared test are shown. For age at onset, age at death and disease duration the last column shows the adjusted mean difference (natural log values for disease duration), 95% confidence interval in parentheses, and p-value when groups are compared with mixed effects models.**

	<i>GRN</i>	<i>MAPT</i>	<i>C9orf72</i>	
N	1179	791	1433	
Sex (male, N [%])	490 [42%]	386 [49%]	742 [52%]	<i>MAPT vs GRN</i> , 0.002 <i>C9orf72 vs GRN</i> , <0.001 <i>C9orf72 vs MAPT</i> , 0.178
Number of families	483	254	755	
Age at onset (years)				
N	967	609	1076	<i>MAPT vs GRN</i> -11.8 (-13.0, -10.6), <0.001
Mean (SD)	61.3 (8.8)	49.5 (10.0)	58.2 (9.8)	<i>C9orf72 vs GRN</i> -2.8 (-3.8, -1.9), <0.001
Range (min-max)	25-90	17-82	20-91	<i>C9orf72 vs MAPT</i> 9.0 (7.8, 10.1), <0.001
Age at death (years)				
N	656	485	839	<i>MAPT vs GRN</i> -10.7 (-12.3, -9.1), <0.001
Mean (SD)	68.8 (9.7)	58.5 (11.3)	65.3 (10.9)	<i>C9orf72 vs GRN</i> -3.5 (-4.9, -2.2), <0.001
Range (min-max)	42-98	24-93	26-97	<i>C9orf72 vs MAPT</i> 7.2 (5.7, 8.6), <0.001
Disease duration (years)				
N	548	394	618	<i>MAPT vs GRN</i> 0.18 (0.08, 0.29), 0.001
Mean (SD)	7.1 (3.9)	9.3 (6.4)	6.4 (4.9)	<i>C9orf72 vs GRN</i> -0.26 (-0.35, -0.17), <0.001
Range (min-max)	0-27	0-45	0-36	<i>C9orf72 vs MAPT</i> -0.44 (-0.54, -0.34), <0.001

**Table 2. Primary clinical diagnosis for each mutation group.** Diagnoses within the frontotemporal dementia (FTD) spectrum include behavioural variant FTD (bvFTD), the primary progressive aphasia (PPA) subtypes [nfv = nonfluent variant, sv = semantic variant, lv = logopenic variant, PPA-NOS = PPA not otherwise specified i.e. does not meet criteria for a specific subtype], FTD with amyotrophic lateral sclerosis (ALS), ALS, corticobasal syndrome (CBS) and progressive supranuclear palsy – Richardson’s syndrome (PSP). Diagnoses outside the FTD spectrum include Alzheimer’s disease (AD), Huntington’s disease (HD), Parkinson’s disease (PD), Dementia with Lewy Bodies (DLB), VaD (vascular dementia) and a dementia diagnosis not otherwise specific (Dementia-NOS).

	<i>GRN</i>	<i>MAPT</i>	<i>C9orf72</i>
<b><i>Diagnoses within the FTD spectrum</i></b>			
<b>bvFTD</b>	446 (38%)	354 (45%)	450 (31%)
<b>nfvPPA</b>	107 (9%)	14 (2%)	26 (2%)
<b>svPPA</b>	13 (1%)	14 (2%)	13 (1%)
<b>lvPPA</b>	4 (<1%)	0 (<1%)	3 (<1%)
<b>PPA-NOS</b>	36 (3%)	2 (<1%)	4 (<1%)
<b>FTD-ALS</b>	7 (1%)	2 (<1%)	157 (11%)
<b>ALS</b>	7 (1%)	1 (<1%)	276 (19%)
<b>CBS</b>	47 (4%)	14 (2%)	2 (<1%)
<b>PSP</b>	0 (0%)	33 (4%)	1 (<1%)
<b><i>Diagnoses outside of the FTD spectrum</i></b>			
<b>AD</b>	97 (8%)	24 (3%)	84 (6%)
<b>HD</b>	0 (0%)	1 (<1%)	4 (<1%)
<b>PD</b>	16 (1%)	39 (5%)	15 (1%)
<b>DLB</b>	4 (<1%)	1 (<1%)	5 (<1%)
<b>VaD</b>	9 (1%)	1 (<1%)	7 (<1%)
<b>Dementia-NOS</b>	361 (31%)	274 (35%)	362 (25%)
<b>Other</b>	25 (2%)	17 (2%)	24 (2%)

**Figure legends:**

**Figure 1. Map showing countries with data included in the study (shown in dark turquoise). Individual centres are represented by a red dot on the map.** Pie charts show relative frequency of each of the three genetic groups within a geographical area (yellow, *C9orf72*, pink *GRN*, blue *MAPT*); the number in the centre of the pie chart represents the number of cases included within that area.

**Figure 2. Violin plots of median and interquartile range of ages at onset (AAO) and death (AAD) for each of the three genetic groups.**

**Figure 3. Cumulative probability of symptom onset in a) each individual genetic group, and in the common b) *GRN* and c) *MAPT* mutations.** Note that data includes only cases who have become symptomatic and does not account for non-symptomatic family members.

**Figure 4. Correlation of individual ages at onset with A) parental age at onset and B) mean familial age at onset for *GRN*, *MAPT*, and *C9orf72* genetic groups.** Pearson's correlation coefficient is shown on each graph.