



Proposed clinical diagnostic criteria for anti-N-methyl-D-aspartate receptor (NMDAR) encephalitis have high sensitivity and specificity in paediatric patients

Journal:	<i>Developmental Medicine & Child Neurology</i>
Manuscript ID	DMCN-OA-17-03-0159.R2
Manuscript Type:	Original Article
Date Submitted by the Author:	n/a
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Keywords:	anti-NMDAR encephalitis, NMDAR antibody, Autoimmune encephalitis, Encephalitis, Diagnostic criteria

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Title:

Proposed clinical diagnostic criteria for anti-N-methyl-D-aspartate receptor (NMDAR) encephalitis have high sensitivity and specificity in paediatric patients

Authors:

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Manuscript: 19~~6706~~ words

Abstract: 200 words

Tables: 2

Figure: 1

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Abstract:**Aim**

Determine the validity of the proposed clinical diagnostic criteria for anti-N-methyl-D-aspartate receptor (NMDAR) encephalitis in paediatric patients.

Method

The diagnostic criteria for anti-NMDAR encephalitis proposed by Graus et al. use clinical features and conventional investigations to facilitate early immunotherapy before antibody status is available. The criteria are satisfied if patients develop four out of six symptom groups within three months, together with at least one abnormal investigation (electroencephalography/cerebrospinal fluid), and reasonable exclusion of other disorders.

We evaluated the validity of the criteria using a retrospective cohort of paediatric encephalitis patients. Twenty-nine patients with anti-NMDAR encephalitis and 74 encephalitis controls were included.

Results

As expected, the percentage of anti-NMDAR encephalitis patients who fulfilled the clinical criteria increased over time. During the hospital inpatient admission, the majority of patients (26/29, 90%) with anti-NMDAR encephalitis fulfilled the criteria, significantly more than the control group (3/74, 4%) ($p=0.0001$). The median time of fulfilling the criteria in anti-NMDAR encephalitis patients was 2 weeks from first symptom onset (range 1-6 weeks). The sensitivity of the criteria were 90% (95% confidence intervals 73-98) and the specificity was 96% (95% confidence intervals 89-99).

Interpretation

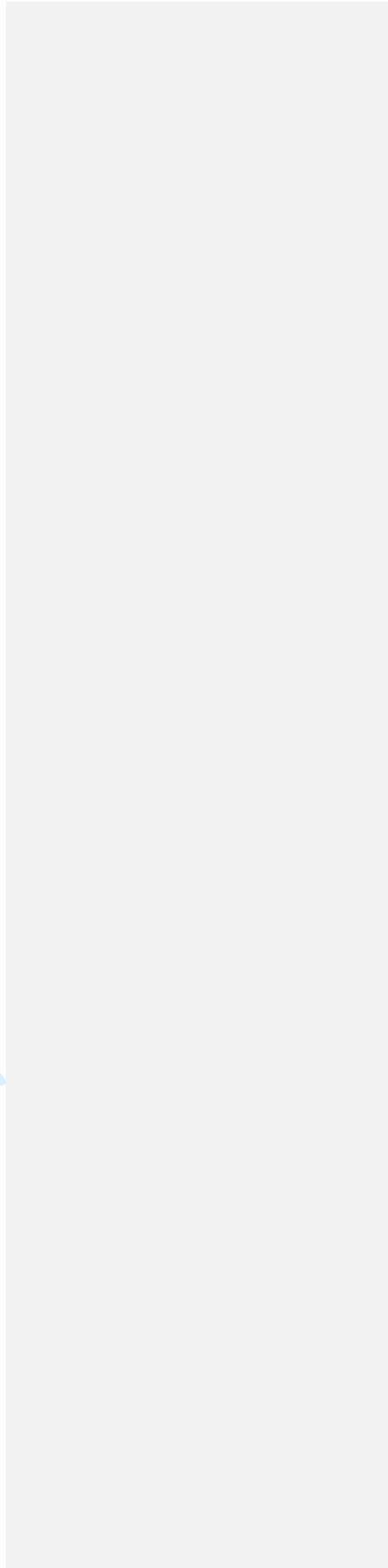
The proposed diagnostic criteria for anti-NMDAR encephalitis have good sensitivity and specificity. Incomplete criteria do not exclude the diagnosis.

Keywords:

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Anti-NMDAR encephalitis; NMDAR antibody; Autoimmune encephalitis;
Encephalitis; Neuroimmunology

For Review Only



What this paper adds

- The proposed clinical diagnostic criteria for anti-NMDAR encephalitis by Graus et al have high sensitivity and specificity in paediatric patients.
- The median time of fulfilling the criteria in anti-NMDAR patients was 2 weeks from first symptom onset.

For Review Only

Introduction

Anti-N-methyl-D-aspartate receptor (NMDAR) encephalitis is a severe but treatable encephalitis which is increasingly recognized in young individuals. The definite diagnosis of anti-NMDAR encephalitis relies on the demonstration of anti-NMDAR antibodies in cerebrospinal fluid (CSF) in patients with a compatible clinical picture. However, there is often a delay in NMDAR antibody testing, even in resource rich countries. Furthermore, antibody testing is not readily accessible in many parts of the world, particularly resource poor countries. In view of this, Graus et al developed diagnostic criteria for autoimmune encephalitis solely based on neurological assessment and conventional investigations.¹ This approach aimed to allow a prompt 'suspected diagnosis', and allow early initiation of immunotherapy whilst awaiting NMDAR antibody results, with the hope of achieving better clinical outcomes. Our study evaluated the validity of the diagnostic criteria for anti-NMDAR encephalitis in children.

Methods

As per Graus et al, the diagnosis of probable anti-NMDAR encephalitis can be made when all three of the proposed criteria have been met.¹ The first criterion is rapid onset (less than three months) of at least four out of six major groups of clinical symptoms, including (1) abnormal (psychiatric) behavior or cognitive dysfunction, (2) speech dysfunction (pressured speech, verbal reduction, mutism), (3) seizures, (4) movement disorders, dyskinesias, or rigidity/abnormal postures, (5) decreased level of consciousness, and (6) autonomic dysfunction or central hypoventilation. The second criterion is the presence of at least one of the following laboratory study results, either (1) abnormal EEG (focal or diffuse slow or disorganized activity, epileptic activity, or extreme delta brush) or (2) CSF with pleocytosis or oligoclonal bands. The third criterion is reasonable exclusion of other disorders. All three criteria should be fulfilled for a probable diagnosis of anti-NMDAR encephalitis.

The criteria were tested using an established cohort of children (less than 16 years of age) with encephalitis from the Children's Hospital at Westmead, Sydney, Australia.

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6 The clinical features and investigation findings had been retrieved from patients'
7 notes as reported in Pillai et al.² In order to increase the cohort of anti-NMDAR
8 encephalitis patients, we also included data on children with anti-NMDAR
9 encephalitis from Starship Children's Hospital, Auckland, New Zealand.³ The clinical
10 data was retrieved from the case notes by three clinicians in Sydney (ACCH, SSM,
11 SCP), and two clinicians in Auckland (RH, HJ) with consensus agreement on clinical
12 features and timing of acquisition of clinical features. Clinical data in 27 out of 29
13 anti-NMDAR encephalitis patients were collected before generation and publication
14 of the criteria by Graus et al. The presence of clinical symptoms was reported as
15 positive only when there was clear documentation for at least 24 hours duration.² All
16 patients had EEG and CSF studies performed. CSF pleocytosis was defined as CSF
17 white cell count $\geq 5/uL$.
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26 In total, 29 encephalitis patients with anti-NMDAR antibodies detected in CSF or
27 serum (CSF positive = 23, serum only tested = 6) were included in the study. 25 of the
28 29 anti-NMDAR encephalitis patients received immune therapy during their inpatient
29 admission (steroids n=25, IVIG n=20, plasma exchange n=7, rituximab n=9,
30 cyclophosphamide n=2, other n=2).
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33 To determine the specificity of the criteria, 74 patients with other causes of
34 encephalitis (35 cases of acute disseminated encephalomyelitis (ADEM), 20 cases of
35 enterovirus (EV) encephalitis, 8 cases of herpes simplex virus (HSV) encephalitis and
36 11 cases of Mycoplasma encephalitis) were used as controls. The HSV encephalitis
37 patients only had a monophasic course and did not have a biphasic course as seen in
38 HSV encephalitis followed by anti-NMDAR encephalitis. 56 of 74 of the encephalitis
39 controls had serum NMDAR antibody testing and were negative. Etiologies of
40 encephalitis were defined according to criteria proposed by Granerod et al and the
41 results were published before commencement of the current study.^{2,4} Cases were
42 classified as confirmed, probable, or possible. Confirmed cases were based on
43 detection of the organism or antibody in CSF or brain. Cases were defined as probable
44 if there was serological evidence of acute infection or antibody production. Possible
45 cases were based on detection of the organism from a specimen sample outside the
46 central nervous system (such as throat, stool, etc).⁴ The hierarchical classification for
47 the etiologies and demographics are presented in Table 1.
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8 In order to investigate the validity of the diagnostic criteria during evolution of
9 disease, the criteria were applied to each subject during the hospital inpatient
10 admission (anti-NMDAR encephalitis mean 75 days, median 62, range 11-222, and
11 control encephalitis mean 16 days, median 11, range 1-160). All patients and controls
12 were improving at the time of discharge and had not evolved new clinical problems
13 when seen at outpatient follow-up. The timing (in terms of weeks since first symptom
14 onset) when the criteria were fulfilled (when appropriate) was recorded. The
15 sensitivity and specificity were calculated using three, four and five out of six
16 symptom groups as thresholds. Fisher's exact test was used to compare different
17 variables. The study was approved by the Ethics Committee of The Children's
18 Hospital at Westmead (09/CHW/56) and the Starship Children's Hospital.

25 26 **Results**

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29 As anticipated, the anti-NMDAR encephalitis patients accrued symptoms over time,
30 with 24% fulfilling [Graus et al](#) criteria after 1 week of symptoms, 48% after 2 weeks
31 of symptoms (Figure 1a). During the hospital inpatient admission, the median number
32 of symptom domains (maximum 6) present in the anti-NMDAR encephalitis group
33 was 5 (range 3-6), while that in the control group was 2 (range 1-5). Regarding
34 investigations, all patients with anti-NMDAR encephalitis had either an abnormal
35 EEG (25/29, 86%) or an abnormal CSF (25/29, 86%). During the hospital inpatient
36 admission, 26/29 (90%) of the anti-NMDAR encephalitis group, and 3/74 (4%) of the
37 control group fulfilled the Graus et al criteria (p-value=0.0001). The symptom
38 distribution of the 26 anti-NMDAR encephalitis patients who fulfilled the criteria
39 during the hospital inpatient admission is presented in Figure 1b – psychiatric features
40 and movement disorders were the most common, while speech dysfunction and
41 autonomic features were the least common. The three subjects in the anti-NMDAR
42 encephalitis group who did not fulfill the diagnostic criteria were CSF positive for
43 anti-NMDAR antibody. The median time of fulfilling the criteria in anti-NMDAR
44 encephalitis patients was 2 weeks from first symptom onset (range 1-6 weeks) (Figure
45 1a). The three subjects from the control group who fulfilled the criteria included one
46 patient with confirmed EV encephalitis, and two patients with probable Mycoplasma
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6 encephalitis (all three were confirmed negative for serum anti-NMDAR antibody,
7 brief case descriptions in supplementary material). The sensitivity of the proposed
8 diagnostic criteria during the hospital admission were 90% (95% confidence intervals
9 73-98%), and the specificity was 96% (95% confidence intervals 89-99%). The
10 sensitivity and specificity of the criteria using three and five symptom groups as
11 cut-offs are presented in Table 2.
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16 17 **Discussion**

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20 Our inclusion criteria employed the Granerod encephalitis criteria, as these criteria are
21 applicable to both our anti-NMDAR encephalitis and control encephalitis subgroups.
22 Our study demonstrated that the diagnostic criteria for anti-NMDAR encephalitis
23 proposed by Graus et al have reasonably high sensitivity (90%) and specificity (96%).
24 However, clinicians should be aware that not fulfilling the Graus et al criteria does not
25 exclude the possibility of anti-NMDAR encephalitis, as shown in three of our
26 anti-NMDAR encephalitis who were CSF NMDAR antibody positive but did not
27 fulfill Graus et al criteria. Graus et al noted that clinical suspicion of autoimmune
28 encephalitis in the very young child may be more challenging. Only three of our
29 patients were younger than 2 years of age, and we agree it will be potentially more
30 challenging to identify language, behaviour and autonomic symptoms in such very
31 young pre-verbal children. Moreover, it is worth noting that anti-NMDAR
32 encephalitis is characterized by gradual evolution of symptoms, and the majority of
33 our patients did not satisfy the criteria during the first week of symptoms. In our
34 cohort, the median time of fulfilling the criteria was 2 weeks from first symptom
35 onset (range 1-6 weeks), which is reassuring that using this clinical criteria will not
36 result in significant delay. As evidenced by a large cohort study and a systematic
37 review, early initiation of immune therapy is an independent predictor of good
38 outcome in patients with anti-NMDAR encephalitis.^{5, 6} Therefore, the diagnostic
39 criteria are clinically important in guiding treatment in the early stage of disease
40 before antibody status is available.
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53 The practice of NMDAR antibody testing is likely to vary around the world. In some
54 centres with easy and speedy access to NMDAR antibody testing, clinicians will
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6 likely test many patients with unexplained encephalopathy or neuropsychiatric
7 syndromes with a low pre-test probability. By contrast, in resource poor countries,
8 testing may need to be rationalized or may be impossible. In these resource poor
9 countries, the criteria by Graus et al may be highly important, and the sensitivity and
10 specificity resulting from our study are reassuring. We also analyzed how three or five
11 clinical criteria affect the sensitivity and specificity. As anticipated, three clinical
12 criteria had a lower specificity, whereas five clinical criteria had a lower sensitivity.
13 These findings suggest that four clinical criteria is an appropriate cut-off with
14 reasonably high level of both sensitivity and specificity. Although our findings
15 support the utility of the Graus et al criteria as a clinical tool, it is not our
16 recommendation to wait until 4 clinical criteria are fulfilled before testing for
17 NMDAR antibody or treating with immune therapy- instead we would recommend
18 starting immune therapy as soon as autoimmune encephalitis is considered possible.
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28 Subjects with ADEM, EV encephalitis, HSV encephalitis and Mycoplasma
29 encephalitis were selected as controls because they are common causes of infectious
30 and immune-mediated encephalitis in children in our locality (Australia and New
31 Zealand), and they present the most challenging differential diagnoses of
32 anti-NMDAR encephalitis. The three controls who fulfilled Graus et al clinical
33 criteria had confirmed enterovirus encephalitis (n=1) and probable mycoplasma
34 encephalitis (n=2). Although all three were serum NMDAR antibody negative, it
35 would have been useful to test CSF NMDAR antibody, particularly given the
36 previously proposed description of anti-NMDAR encephalitis following mycoplasma
37 infection.⁷ Though the sensitivity and specificity in our study were promising, the
38 usefulness of the diagnostic criteria in differentiating anti-NMDAR encephalitis from
39 other causes of infectious encephalitis like Japanese B encephalitis, which is more
40 common in Asia and known to have prominent movement disorders, requires further
41 evaluation. Children with other etiologies of acute encephalopathy such as metabolic,
42 toxic or vascular causes were not recruited as controls since the majority of these
43 acute encephalopathy syndromes can be excluded by careful history and targeted
44 investigations.
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55 The anti-NMDAR encephalitis patients and controls included in our study were
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6 retrieved from our existing database. The clinical symptoms were recorded, and the
7 etiologies of the controls were determined and published in 27 of 29 anti-NMDAR
8 encephalitis patients before designing this study, therefore potentially reducing bias.²

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11³ As might be expected the median and mean duration of inpatient admission was
12 longer for the anti-NMDAR encephalitis patients than the controls, although all
13 individuals were improving at the time of discharge and had not evolved new clinical
14 problems when seen at outpatient follow-up. A limitation of our study was that not all
15 controls were tested for NMDAR antibody, although all controls fulfilled confirmed,
16 probable or possible diagnoses (ADEM, enterovirus etc) using international
17 consensus criteria.^{2,8} Testing of patients with rare encephalitic syndromes or even

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We acknowledge that criterion 3 of the Graus et al criteria; 'reasonable exclusion of other disorders', lacks specificity and may differ according to clinical variables, however a list of differential diagnoses of autoimmune encephalitis is available in the supplementary material of the Graus et al paper¹ to guide clinicians in the application of criterion 3.

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Conclusion

The proposed clinical diagnostic criteria for anti-NMDAR encephalitis have high sensitivity and specificity. Paediatricians may use the criteria to guide immunotherapy before the antibody status is available.

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Table 1. Anti-NMDAR encephalitis cases and controls. Numbers, hierarchical classification (confirmed, probable, possible) and demographics.

Diagnosis given	Number	Confirmed	Probable	Possible	Gender (M:F)	Age (mean, median, range)
Anti-NMDAR encephalitis	29	23	6	-	12:17	7.3, 6.1 (1.1-16.0)
All controls (ADEM, EV encephalitis, HSV encephalitis, Mycoplasma encephalitis)	74	56	12	6	46:28	6.0, 5.3 (0.2-14.4)
ADEM	35	35	-	-	25:10	6.3, 5.5 (0.4-14.4)
EV encephalitis	20	17	-	3	14: 6	5.4, 3.6 (0.5-13.8)
HSV encephalitis	8	4	1	3	3:5	1.3, 0.7 (0.2-4.2)
Mycoplasma encephalitis	11	-	11	-	4:7	6.7, 6.3 (2.8-13.0)
Total (Anti-NMDAR encephalitis cases + controls)	103	79	18	6	58:45	6.0, 5.3 (0.2-16.0)

ADEM: Acute disseminated encephalomyelitis

EV: Enterovirus

HSV: Herpes simplex virus

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Table 2. During the inpatient admission, the numbers of anti-NMDAR encephalitis cases and controls fulfilling the criteria and the sensitivity/specificity using different cut-offs.

Cut-off	Anti-NMDAR encephalitis	Controls	Sensitivity	Specificity
3 clinical symptom groups	29/29 (100%)	29/74 (39%)	100%	61%
4 clinical symptom groups	26/29 (90%)	3/74 (4%)	90%	96%
5 clinical symptom groups	16/29 (55%)	1/74 (1%)	55%	99%

For Review Only

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8 Figure 1a. Cumulative percentage of patients satisfying anti-NMDAR encephalitis
9 diagnostic criteria over time (weeks from first symptom onset).
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12 Figure 1b. Symptom distribution of anti-NMDAR encephalitis patients who
13 satisfied the anti-NMDAR encephalitis diagnostic criteria (n = 26) during the
14 hospital admission.
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Method

The diagnostic criteria for anti-NMDAR encephalitis proposed by Graus et al. use clinical features and conventional investigations to facilitate early immunotherapy before antibody status is available. The criteria are satisfied if patients develop four out of six symptom groups within three months, together with at least one abnormal investigation (electroencephalography/cerebrospinal fluid), and reasonable exclusion of other disorders.

We evaluated the validity of the criteria using a retrospective cohort of paediatric encephalitis patients. Twenty-nine patients with anti-NMDAR encephalitis and 74 encephalitis controls were included.

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Interpretation

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What this paper adds

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Introduction

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Methods

As per Graus et al, the diagnosis of probable anti-NMDAR encephalitis can be made when all three of the proposed criteria have been met.¹ The first criterion is rapid onset (less than three months) of at least four out of six major groups of clinical symptoms, including (1) abnormal (psychiatric) behavior or cognitive dysfunction, (2) speech dysfunction (pressured speech, verbal reduction, mutism), (3) seizures, (4) movement disorders, dyskinesias, or rigidity/abnormal postures, (5) decreased level of consciousness, and (6) autonomic dysfunction or central hypoventilation. The second criterion is the presence of at least one of the following laboratory study results, either (1) abnormal EEG (focal or diffuse slow or disorganized activity, epileptic activity, or extreme delta brush) or (2) CSF with pleocytosis or oligoclonal bands. The third criterion is reasonable exclusion of other disorders. All three criteria should be fulfilled for a probable diagnosis of anti-NMDAR encephalitis.

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25 In total, 29 encephalitis patients with anti-NMDAR antibodies detected in CSF or
26 serum (CSF positive = 23, serum only tested = 6) were included in the study. 25 of the
27 29 anti-NMDAR encephalitis patients received immune therapy during their inpatient
28 admission (steroids n=25, IVIG n=20, plasma exchange n=7, rituximab n=9,
29 cyclophosphamide n=2, other n=2).
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34 To determine the specificity of the criteria, 74 patients with other causes of
35 encephalitis (35 cases of acute disseminated encephalomyelitis (ADEM), 20 cases of
36 enterovirus (EV) encephalitis, 8 cases of herpes simplex virus (HSV) encephalitis and
37 11 cases of Mycoplasma encephalitis) were used as controls. The HSV encephalitis
38 patients only had a monophasic course and did not have a biphasic course as seen in
39 HSV encephalitis followed by anti-NMDAR encephalitis. 56 of 74 of the encephalitis
40 controls had serum NMDAR antibody testing and were negative. Etiologies of
41 encephalitis were defined according to criteria proposed by Granerod et al and the
42 results were published before commencement of the current study. ^{2, 4} Cases were
43 classified as confirmed, probable, or possible. Confirmed cases were based on
44 detection of the organism or antibody in CSF or brain. Cases were defined as probable
45 if there was serological evidence of acute infection or antibody production. Possible
46 cases were based on detection of the organism from a specimen sample outside the
47 central nervous system (such as throat, stool, etc). ⁴ The hierarchical classification for
48 the etiologies and demographics are presented in Table 1.
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5 In order to investigate the validity of the diagnostic criteria during evolution of
6 disease, the criteria were applied to each subject during the hospital inpatient
7 admission (anti-NMDAR encephalitis mean 75 days, median 62, range 11-222, and
8 control encephalitis mean 16 days, median 11, range 1-160). All patients and controls
9 were improving at the time of discharge and had not evolved new clinical problems
10 when seen at outpatient follow-up. The timing (in terms of weeks since first symptom
11 onset) when the criteria were fulfilled (when appropriate) was recorded. The
12 sensitivity and specificity were calculated using three, four and five out of six
13 symptom groups as thresholds. Fisher's exact test was used to compare different
14 variables. The study was approved by the Ethics Committee of The Children's
15 Hospital at Westmead (09/CHW/56) and the Starship Children's Hospital.

25 Results

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28 As anticipated, the anti-NMDAR encephalitis patients accrued symptoms over time,
29 with 24% fulfilling Graus et al criteria after 1 week of symptoms, 48% after 2 weeks
30 of symptoms (Figure 1a). During the hospital inpatient admission, the median number
31 of symptom domains (maximum 6) present in the anti-NMDAR encephalitis group
32 was 5 (range 3-6), while that in the control group was 2 (range 1-5). Regarding
33 investigations, all patients with anti-NMDAR encephalitis had either an abnormal
34 EEG (25/29, 86%) or an abnormal CSF (25/29, 86%). During the hospital inpatient
35 admission, 26/29 (90%) of the anti-NMDAR encephalitis group, and 3/74 (4%) of the
36 control group fulfilled the Graus et al criteria (p -value=0.0001). The symptom
37 distribution of the 26 anti-NMDAR encephalitis patients who fulfilled the criteria
38 during the hospital inpatient admission is presented in Figure 1b – psychiatric features
39 and movement disorders were the most common, while speech dysfunction and
40 autonomic features were the least common. The three subjects in the anti-NMDAR
41 encephalitis group who did not fulfill the diagnostic criteria were CSF positive for
42 anti-NMDAR antibody. The median time of fulfilling the criteria in anti-NMDAR
43 encephalitis patients was 2 weeks from first symptom onset (range 1-6 weeks) (Figure
44 1a). The three subjects from the control group who fulfilled the criteria included one
45 patient with confirmed EV encephalitis, and two patients with probable Mycoplasma
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3 encephalitis (all three were confirmed negative for serum anti-NMDAR antibody,
4 brief case descriptions in supplementary material). The sensitivity of the proposed
5 diagnostic criteria during the hospital admission were 90% (95% confidence intervals
6 73-98%), and the specificity was 96% (95% confidence intervals 89-99%). The
7 sensitivity and specificity of the criteria using three and five symptom groups as
8 cut-offs are presented in Table 2.
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13 14 15 **Discussion**

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18 Our inclusion criteria employed the Granerod encephalitis criteria, as these criteria are
19 applicable to both our anti-NMDAR encephalitis and control encephalitis subgroups.
20 Our study demonstrated that the diagnostic criteria for anti-NMDAR encephalitis
21 proposed by Graus et al have reasonably high sensitivity (90%) and specificity (96%).
22 However, clinicians should be aware that not fulfilling the Graus et al criteria does not
23 exclude the possibility of anti-NMDAR encephalitis, as shown in three of our
24 anti-NMDAR encephalitis who were CSF NMDAR antibody positive but did not
25 fulfill Graus et al criteria. Graus et al noted that clinical suspicion of autoimmune
26 encephalitis in the very young child may be more challenging. Only three of our
27 patients were younger than 2 years of age, and we agree it will be potentially more
28 challenging to identify language, behaviour and autonomic symptoms in such very
29 young pre-verbal children. Moreover, it is worth noting that anti-NMDAR
30 encephalitis is characterized by gradual evolution of symptoms, and the majority of
31 our patients did not satisfy the criteria during the first week of symptoms. In our
32 cohort, the median time of fulfilling the criteria was 2 weeks from first symptom
33 onset (range 1-6 weeks), which is reassuring that using this clinical criteria will not
34 result in significant delay. As evidenced by a large cohort study and a systematic
35 review, early initiation of immune therapy is an independent predictor of good
36 outcome in patients with anti-NMDAR encephalitis.^{5, 6} Therefore, the diagnostic
37 criteria are clinically important in guiding treatment in the early stage of disease
38 before antibody status is available.
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56 The practice of NMDAR antibody testing is likely to vary around the world. In some
57 centres with easy and speedy access to NMDAR antibody testing, clinicians will
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3 likely test many patients with unexplained encephalopathy or neuropsychiatric
4 syndromes with a low pre-test probability. By contrast, in resource poor countries,
5 testing may need to be rationalized or may be impossible. In these resource poor
6 countries, the criteria by Graus et al may be highly important, and the sensitivity and
7 specificity resulting from our study are reassuring. We also analyzed how three or five
8 clinical criteria affect the sensitivity and specificity. As anticipated, three clinical
9 criteria had a lower specificity, whereas five clinical criteria had a lower sensitivity.
10 These findings suggest that four clinical criteria is an appropriate cut-off with
11 reasonably high level of both sensitivity and specificity. Although our findings
12 support the utility of the Graus et al criteria as a clinical tool, it is not our
13 recommendation to wait until 4 clinical criteria are fulfilled before testing for
14 NMDAR antibody or treating with immune therapy- instead we would recommend
15 starting immune therapy as soon as autoimmune encephalitis is considered possible.
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27 Subjects with ADEM, EV encephalitis, HSV encephalitis and Mycoplasma
28 encephalitis were selected as controls because they are common causes of infectious
29 and immune-mediated encephalitis in children in our locality (Australia and New
30 Zealand), and they present the most challenging differential diagnoses of
31 anti-NMDAR encephalitis. The three controls who fulfilled Graus et al clinical
32 criteria had confirmed enterovirus encephalitis (n=1) and probable mycoplasma
33 encephalitis (n=2). Although all three were serum NMDAR antibody negative, it
34 would have been useful to test CSF NMDAR antibody, particularly given the
35 previously proposed description of anti-NMDAR encephalitis following mycoplasma
36 infection.⁷ Though the sensitivity and specificity in our study were promising, the
37 usefulness of the diagnostic criteria in differentiating anti-NMDAR encephalitis from
38 other causes of infectious encephalitis like Japanese B encephalitis, which is more
39 common in Asia and known to have prominent movement disorders, requires further
40 evaluation. Children with other etiologies of acute encephalopathy such as metabolic,
41 toxic or vascular causes were not recruited as controls since the majority of these
42 acute encephalopathy syndromes can be excluded by careful history and targeted
43 investigations.
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58 The anti-NMDAR encephalitis patients and controls included in our study were
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3 retrieved from our existing database. The clinical symptoms were recorded, and the
4 etiologies of the controls were determined and published in 27 of 29 anti-NMDAR
5 encephalitis patients before designing this study, therefore potentially reducing bias.²
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³ As might be expected the median and mean duration of inpatient admission was longer for the anti-NMDAR encephalitis patients than the controls, although all individuals were improving at the time of discharge and had not evolved new clinical problems when seen at outpatient follow-up. A limitation of our study was that not all controls were tested for NMDAR antibody, although all controls fulfilled confirmed, probable or possible diagnoses (ADEM, enterovirus etc) using international consensus criteria.^{2,8} Testing of patients with rare encephalitic syndromes or even ‘unknown’ encephalitis could have also improved our study. We acknowledge that criterion 3 of the Graus et al criteria; ‘reasonable exclusion of other disorders’, lacks specificity and may differ according to clinical variables, however a list of differential diagnoses of autoimmune encephalitis is available in the supplementary material of the Graus et al paper¹, to guide clinicians in the application of criterion 3.

Conclusion

The proposed clinical diagnostic criteria for anti-NMDAR encephalitis have high sensitivity and specificity. Paediatricians may use the criteria to guide immunotherapy before the antibody status is available.

Reference

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Table 1. Anti-NMDAR encephalitis cases and controls. Numbers, hierarchical classification (confirmed, probable, possible) and demographics.

Diagnosis given	Number	Confirmed	Probable	Possible	Gender (M:F)	Age (mean, median, range)
Anti-NMDAR encephalitis	29	23	6	-	12:17	7.3, 6.1 (1.1-16.0)
All controls (ADEM, EV encephalitis, HSV encephalitis, Mycoplasma encephalitis)	74	56	12	6	46:28	6.0, 5.3 (0.2-14.4)
ADEM	35	35	-	-	25:10	6.3, 5.5 (0.4-14.4)
EV encephalitis	20	17	-	3	14: 6	5.4, 3.6 (0.5-13.8)
HSV encephalitis	8	4	1	3	3:5	1.3, 0.7 (0.2-4.2)
Mycoplasma encephalitis	11	-	11	-	4:7	6.7, 6.3 (2.8-13.0)
Total (Anti-NMDAR encephalitis cases + controls)	103	79	18	6	58:45	6.0, 5.3 (0.2-16.0)

ADEM: Acute disseminated encephalomyelitis

EV: Enterovirus

HSV: Herpes simplex virus

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Table 2. During the inpatient admission, the numbers of anti-NMDAR encephalitis cases and controls fulfilling the criteria and the sensitivity/specificity using different cut-offs.

Cut-off	Anti-NMDAR encephalitis	Controls	Sensitivity	Specificity
3 clinical symptom groups	29/29 (100%)	29/74 (39%)	100%	61%
4 clinical symptom groups	26/29 (90%)	3/74 (4%)	90%	96%
5 clinical symptom groups	16/29 (55%)	1/74 (1%)	55%	99%

For Review Only

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5 Figure 1a. Cumulative percentage of patients satisfying anti-NMDAR encephalitis
6 diagnostic criteria over time (weeks from first symptom onset).
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10 Figure 1b. Symptom distribution of anti-NMDAR encephalitis patients who
11 satisfied the anti-NMDAR encephalitis diagnostic criteria (n = 26) during the
12 hospital admission.
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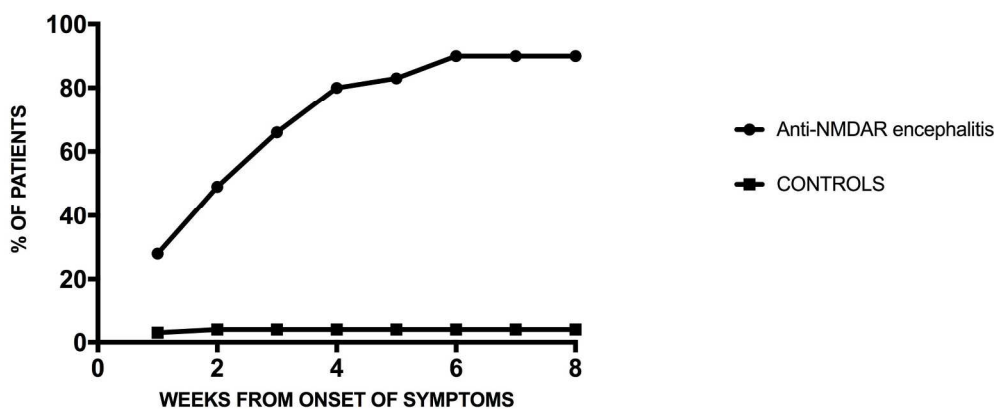


Figure 1a

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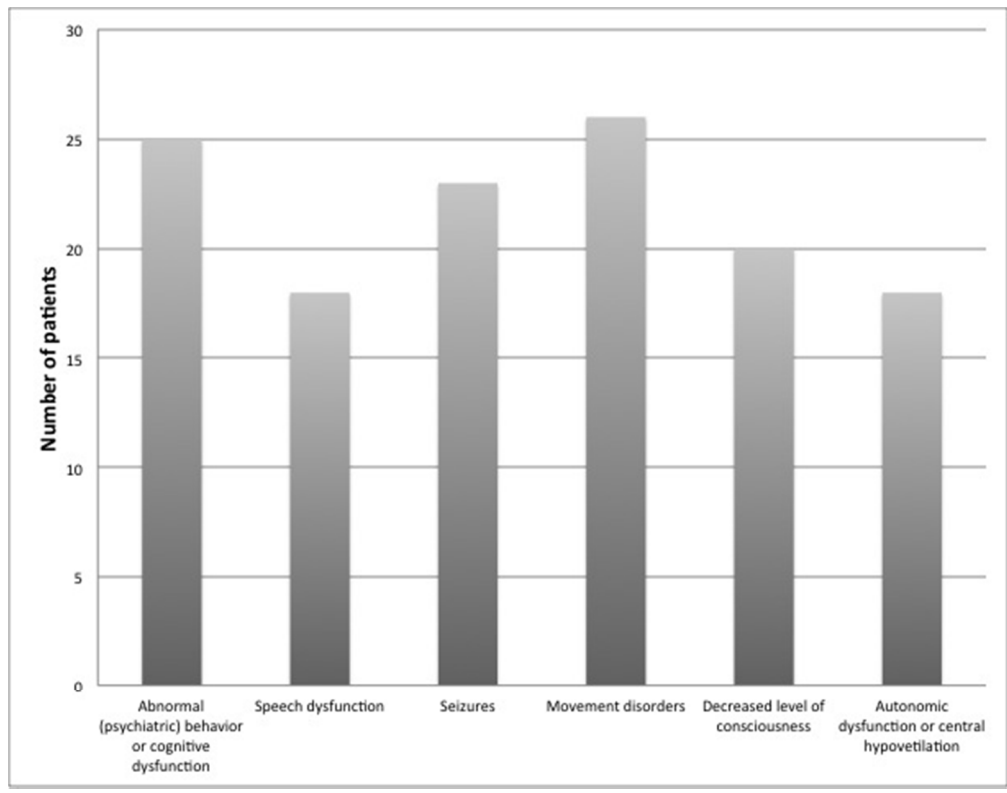


Figure 1b

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4 **Encephalitis controls that fulfilled Graus et al suspected anti-NMDAR**
5 **encephalitis criteria.**
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8 A three and a half year old previously well male presented with a rapidly
9 progressive encephalopathy requiring hospital admission. He had had a viral
10 upper respiratory tract infection in the week before neurological symptoms.
11 During the first week, the patient was drowsy, behaviourally altered and
12 agitated, had asymmetrical limb and neck dystonic and athetoid movements, and
13 autonomic symptoms (hypertension and diaphoresis otherwise not explained).
14 EEG showed encephalopathic slowing and CSF showed no pleocytosis but was
15 positive for enterovirus PCR. MRI showed inflammatory lesions of the white
16 matter. The patient improved quickly over the following 3 weeks and was
17 discharged after a 21 day admission. He has been followed for 58 months and
18 has been left with some mild inattention and mild cognitive problems. The
19 patient had 4 clinical features using Graus et al criteria: decreased level of
20 consciousness, agitation, movement disorder and autonomic features.
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24 A 4 year old previously well female presented with a rapidly evolving
25 encephalitis, with decreased consciousness, agitation, loss of speech, movement
26 disorder and seizures within the first week of symptom onset occurring after a
27 febrile illness with respiratory symptoms. The syndrome evolved to a complex
28 movement disorder with stimulus sensitive myoclonus, dystonia, and a
29 refractory seizure disorder with focal seizures and status epilepticus requiring
30 intravenous midazolam infusion and intensive care admission for 70 days. CSF
31 revealed 18 white cells (8 monocytes, 10 polymorphs), raised CSF neopterin
32 (871, normal<30), his EEG showed encephalopathy and epileptic activity, yet
33 MRI was normal. NMDAR antibody in serum was negative, as were glycine
34 receptor antibody, GAD antibody, and Voltage gated potassium channel antibody.
35 Mycoplasma IgM was positive. The clinical impression was suspected
36 autoimmune encephalitis, yet treatment with intravenous steroids, intravenous
37 immunoglobulin and rituximab had little impact, and after a 160 day admission,
38 the patient was discharged to rehabilitation, and 24 months later had ongoing
39 significant motor and cognitive disability, and refractory epilepsy. The patient
40 had 5 Graus et al clinical features: decreased consciousness, speech loss,
41 agitation and behavioural change, movement disorder and seizure disorder.
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46 A 2.8 year old previously well female presented after a febrile illness with
47 respiratory symptoms and became confused, irritable, agitated and had
48 decreased consciousness. During admission to hospital, there was loss of speech,
49 ongoing encephalopathy, agitation and autonomic features (hypertension and
50 tachycardia not otherwise explained) and cerebellar signs. MRI showed
51 cerebellar inflammatory features, CSF revealed a pleocytosis of 20 cells/mm³ (5
52 monocytes, 15 polymorphs). Mycoplasma IgM was positive. There was a 17 day
53 admission, but the patient made a good recovery duration inpatient stay and was
54 normal at 2 month follow-up. The patient had 4 Graus et al clinical criteria:
55 decreased consciousness, agitation and behavioural change, speech loss and
56 autonomic features.
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