1	New evidence for the transcontinental spread of early faience
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20	Abstract: This paper presents compositional results for six faience beads from
21	Adunqiaolu, an Early Bronze Age site in western Xinjiang, China. It is shown that all
22	analysed samples were made of mixed-alkali flux with sodium oxide 8-10% and
23	potassium oxide 5-9%. The microstructure of samples indicates that cementation
24	glazing was used. The analytical results, together with the typology of the faience
25	beads were then compared with data of Bronze Age faience beads found in Europe
26	and East Asia. There are clear similarities in both typological and technological features.
27	As the earliest faience objects discovered in China so far, the Adunqiaolu beads set an
28	essential starting point for the further discussion on the early exchange network
29	evidenced by faience products and long distance transmission of technologies and
30	knowledge. This observation is of significance for deepening our understanding of
31	prehistoric exchange between West and East across the Eurasian continent by
32	providing another element in addition to metallurgy, cereal crops and herding animals.
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34 **Key words:** faience, Xinjiang in China, Adunqiaolu, technology, cultural exchange

# 36 **1. Introduction**

37 **1.1 Definition of faience** 

Faience is a silicate material composed of a body of fine quartz particles and an alkali glaze and is usually blue-green in colour because of the presence of copper. The term 'Egyptian faience' is commonly used to refer to this type of faience, which is different from the brightly-coloured medieval opaque white lead-glazed pottery from

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Southern Europe known as 'faience' or 'faenza' owing to its origins in the Italian city
of Faenza. In this paper, 'faience' refers to 'Egyptian faience' and not to the medieval
faenza. In antiquity faience was widely produced in many places across the Old World,
including Spain, Scotland (Sheridan et al., 2004), Russia (Shortland et al., 2007),
Mesopotamia (Bouquillon et al., 2008), India (Bouquillon et al., 2008; Gu et al., 2016)
and North Africa (Kaczmarczyk et al., 2008). It was also found across Central China (Li
et al., 2009; Lei and Xia, 2015; Dong et al., 2016).

According to Tite et al. (1983) and Vandiver (1983), there are three common 49 50 methods for producing the glaze, namely cementation, efflorescence and application. 51 The glazing method used can be reflected by studying the microstructure of the object 52 (Tite et al., 1983; Vandiver, 1983). However, Vandiver (1998) noted that these 53 microstructural criteria must be used with caution because microstructural features 54 do not always provide clear evidence for which technique was used. Tite (2007) also 55 points out that macroscopic evidence, such as size and shape, can assist in identifying the glazing method. 56

57 One frequently used criterion for distinguishing the different raw materials used 58 in faience production is the oxide weight ratio between soda and potassium oxide in the vitreous phase, using which soda-rich, mixed-alkali and potash-rich faience can be 59 distinguished. Soda-rich faience is often defined as having an alkali ratio of 3 or greater 60 (Vandiver, 2008), whereas the alkali ratios of mixed-alkali and potash-rich faience are 61 62 not as well-defined. In a recent paper, Lin et al. (2019) tentatively suggested that a 63 ratio of 0.4 should be used to distinguish between mixed-alkali and potash-rich faience; 64 nevertheless, this value is not sufficiently supported by analytical data (Lin et al., 2019). However, this distinction is of relevance because soda-rich faience is thought to have 65 originated in Egypt or in similar western desert areas, whereas mixed-alkali faience 66 67 (and glass) is commonly found in Europe (Henderson 1993, Henderson 2013, 192). Thus far, potash-rich faience has only been found in China. Therefore, faience is an 68 69 important indicator of the material and cultural exchange between China and the West 70 that led to the development of a distinct technical tradition in the Chinese heartland.

### 71 **1.2 Recent progress of early faience in China**

72 Sites where faience has been unearthed in China are shown in Figure 1. As welldocumented materials in the Yellow River basin area (location No. 6-16 in Figure 1), 73 most early faience objects date from the era of the Western Zhou Dynasty (1046-771 74 75 BC). Most of them belonged to the potash-rich type and were probably made locally 76 (Lei and Xia, 2015; Gan, 2016). In contrast, the earliest faience was soda rich. This was unearthed from tomb M113, dating to the early and middle period of Western Zhou 77 78 Dynasty, in the Marquis Jin Cemetery of Shan'xi Province (see location No. 11 in Figure 79 1) (Lei and Xia, 2015). This soda rich faience had a similar composition to that of the faience usually found in the Near East, Egypt and Indus Valley dating from the end of 80 the 5<sup>th</sup> millennium BC onward (Tite and Shortland, 2008). The western soda-rich 81 82 faience found in China implies that faience production in China was influenced by 83 western faience making technology (Li et al., 2009; Lei and Xia, 2015; Dong et al., 2016). Xinjiang, which is a geographical part of Central Asia, is located in north-western China 84 and is an important crossroads of the ancient Silk Road from at least the 2<sup>nd</sup> millennium 85

BC. The use of faience in Xinjiang, for instance in Tianshanbeilu (Lin et al., 2019) and in the Ya'er Cemetery (Liu et al., 2017) took place before the use of faience in the Yellow River basin area. There are two contradictory opinions regarding Xinjiang: Lin et al. (2019) proposed that Eastern Xinjiang did not substantially contribute to the faience production in the Jin-Shan region of the Yellow River basin, while Yang argued that Eastern Xinjiang had an important impact on the faience production in Western

92 Zhou Dynasty (Yang Yimin, pers. com.).



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Fig 1. Location of sites with faience in China (c. 1500-771 BC): 1. Saensayi; 2.
Tianshanbeilu; 3. Ya'er; 4. Shangsunjiazhai; 5. Banzhuwa; 6. Yujiawan; 7. Yuguo
Cemetery; 8. Shaolingyuan Cemetery; 9. Zhangjiapo; 10. Pengguo Cemetery; 11.
Tianma-qucun; 12. Yangshe; 13. Dahekou; 14. Luoyang Zhongzhoulu; 15. Yingguo
Cemetery; 16. Luguo Cemetery; 17. Adunqiaolu Cemetery.

99 New excavations at Adunqiaolu in western Xinjiang has provided further information regarding early faience in China and cultural exchange during the early 2<sup>nd</sup> 100 millennium BC. Forty-seven faience beads were discovered at the Adungiaolu site 101 (Cong et al., 2013; Cong et al., 2017; Jia et al., 2017). Unlike most faience findings that 102 103 were scattered along the upper reaches of the Yellow River in China (Gan, 2016), 104 Adunigiaolu faience is not only located in the westernmost part of China (far from the Yellow River), but is also the earliest faience that has been found in China so far. In this 105 106 study, compositional analyses are performed using electron microprobe (EPMA) to compare Adunqiaolu faience to that discovered in other regions. We also consider
 research works regarding faience excavated across the broad area located to the west
 of China. Subsequently, we attempt to discuss the cultural interactions reflected in
 faience trade and production within these regions.

# 111 **2.** Materials and archaeological context

The Adunqiaolu site is located in the upper region of the Boertala Valley in the 112 Wenquan County of Xinjiang in China. The site is situated on an open slope below the 113 114 foothills of the Alatao, which is one of the western ranges of the Tianshan Mountains (Figure 1, location no. 17). It dates from the 19<sup>th</sup> to 15<sup>th</sup> century BC (Jia et al., 2017). 115 The Adungiaolu site is considered a local Bronze Age assemblage strongly influenced 116 117 by the Andronovo Complex of the Eurasian Steppe. The Adunqiaolu Cemetery is located at the southern part of the site, with more than 60 tombs found so far. Some 118 segmented faience beads were found in tomb SM41. As excavation work and the 119 documentation of archaeological materials unearthed from Adungiaolu tombs are still 120 in progress, it is hard to determine whether there is faience in other tombs or not. 121 122 According to the published reports for tombs SM4 and SM50, no faience products have 123 been found (Cong et al., 2013).

The forty-seven faience beads were excavated from a stone cist in a single tomb 124 within a stone slab enclosure (No. SM41). A burnt bone found in SM41 was sent for 125 126 radiocarbon dating at Institute of Earth Environment, CAS (Lab code: XA-17133). The conventional radiocarbon date of tomb SM41 is 3330±30 BP and the calibrated date 127 128 with  $2\sigma$  confidence interval (95.4%) is between 1689-1528 BC (Cong, 2017). All the 129 beads have rounded profiles, are segmented and are undecorated. The number of segments varies from two to eight. Some of the beads were found broken into pieces. 130 The beads have a blue-green colour, and some are opaque white owing to partial 131 132 weathering. The length of the six samples range from 2.9 mm to 9.2 mm, with diameters ranging from 2.0 mm to 2.3 mm. The wall thickness of the faience cross 133 sections ranges from 0.11 mm to 0.37 mm (Fig. 2). 134

Six beads, including the one on the top right and three at the bottom row in Figure as well as another two broken pieces (not shown), chosen from the forty-seven beads, were selected for analysis. They were cut to produce the studied samples and labelled as ADQL001, ADQL002, ADQL003, ADQL004, ADQL005 and ADQL006.



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# Fig. 2. Segmented faience beads from Adunqiaolu site

### 141 **3. Methods and results**

142 Cross sections of the samples were obtained by cutting six segmented faience beads and embedding them into polyester resin. Subsequently, the cross sections were 143 exposed and polished to obtain a flat surface and carbon-coated prior to analysis by 144 scanning electron microscopy (SEM) and electron probe micro-analysis (EPMA). A 145 Hitachi S-3600N SEM was used to observe their microstructure in backscatter electron 146 (BSE) images at the Laboratory of Archaeometry at the USTB Institute of Cultural 147 Heritage and History of Science and Technology. The chemical composition of the 148 149 inter-particle vitreous phase were determined using a SHIMADZU EPMA-1720H EPMA 150 at the State Key Laboratory of Advanced Metallurgy, USTB. Quantitative chemical 151 analyses were conducted at an accelerating voltage of 15 kV and a 20 µA beam current. A focused 5µm beam was used so as to avoid the analysis (at least horizontally) of the 152 153 quartz particles near the glass. The standard (Corning Museum glass B) was analysed 154 three times. The results of the tests for the Corning glass B standard obtained using the EPMA are presented in the last four lines in Table 1. 155

The BSE images of the cross sections (Figure 3) show that these faience beads 156 were mainly composed of quartz particles with more or less inter-particle glass (IPG). 157 No quartz-free glaze layers (GLZ) were observed and only interaction layers (IAL) were 158 found. The thickness of the cross sections ranged from 500µm to 2000µm. The 159 different layers that are easily distinguishable can be observed from the images of 160 161 ADQL001 (Figure 3a), ADQL002, ADQL004 and ADQL006. The microstructure of the 162 layers rich in IPG was denser, while those of the body layers were more porous. Sample 163 ADQL003 was unique because it consisted of two layers: the first one was entirely homogeneous with quartz particles and interparticle glass. The second one only had 164 165 sintered particles without any interparticle glass (Figure 3b). There was a round bubble near the bottom of the second layer which was similar to those present in the first 166 layer. This indicates that the second layer suffered serious corrosion (leading to the 167 serious depletion of alkalis) and that this layer was part of sample ADQL003 rather 168 169 than adhering soil from the burial environment. The boundary between the body and 170 the IPG-rich layers of sample ADQL005 was difficult to recognise (Figure 3c). Variable 171 amounts of interparticle glass were observed in the body when enlarged to 100x 172 (Figure 3d).



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# Fig. 3 BSE images of cross-section of Adunqiaolu samples

The interparticle glass (IPG) present in the interaction layer (IAL) and in the body 175 (BDY) was analysed at three different areas from each (Table 1). The six samples were 176 distinctively high in Na<sub>2</sub>O, with mean concentrations ranging from 8-10 wt% while the 177 K<sub>2</sub>O concentrations ranged from 5-9 wt%. Soda concentrations were equal to or 178 179 greater than that of potash, with Na<sub>2</sub>O/K<sub>2</sub>O ratios from 0.8 to 2.1. Regarding the main impurities found, the mean concentration of CaO was approximately 1.7 wt% and the 180 181 mean concentration of MgO was 0.4 wt%. The six samples contained less than 0.4 wt% P<sub>2</sub>O<sub>5</sub>. The concentrations of SnO<sub>2</sub> and PbO in the six samples was found to be less than 182 0.3 wt%. Copper was found to be the colourant used, with concentrations of CuO 183 ranging between 5 and 10 wt%. 184

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# Table 1. Average compositions for the six faience beads

186						(Normalized wt%, n=3; NA= not analysed)										
Sample	Test area	Na <sub>2</sub> O	K₂O	TiO <sub>2</sub>	MgO	SiO <sub>2</sub>	Fe <sub>2</sub> O <sub>3</sub>	Al <sub>2</sub> O <sub>3</sub>	CI	CuO	CaO	SnO <sub>2</sub>	PbO	P2O5	Na <sub>2</sub> O/K <sub>2</sub> O	Unnormalized
no.	restured	11020	1/20	1102	MgO	5102	10203	A1203	5	cuo	cao	51102	1.50	1205		total
ADQL001	IAL-mean	9.06	7.00	NA	0.50	67.9	0.59	1.59	1.28	9.90	1.93	NA	NA	0.20	1.30	100.57
	BDY-mean	8.14	8.41	0.10	0.53	67.3	0.72	1.80	1.24	8.86	2.30	0.31	NA	0.27	1.00	99.75
ADQL002	IAL-mean	7.52	9.37	0.11	0.18	70.4	1.16	1.82	0.88	7.81	0.55	NA	NA	0.15	0.80	98.43
	BDY-mean	7.53	9.32	NA	0.56	72.0	0.52	2.37	0.81	5.17	1.45	NA	NA	0.20	0.80	97.18
ADQL003	IAL-mean	8.92	6.68	NA	0.23	70.5	0.37	2.09	1.02	8.95	0.83	NA	NA	0.29	1.30	98.15

# (Normalized wt% n=3·NA= not analysed)

Sample no.	Test area	Na <sub>2</sub> O	K2O	TiO₂	MgO	SiO2	Fe <sub>2</sub> O <sub>3</sub>	Al <sub>2</sub> O <sub>3</sub>	CI	CuO	CaO	SnO <sub>2</sub>	PbO	P2O5	Na <sub>2</sub> O/K <sub>2</sub> O	Unnormalized total
	BDY-mean	9.00	6.47	NA	0.22	71.8	0.38	2.04	0.41	8.42	0.84	NA	NA	0.38	1.40	97.58
ADQL004	IAL-mean	9.76	4.76	NA	0.62	69.8	0.60	1.81	1.30	6.70	4.28	NA	NA	0.23	2.10	99.79
	BDY-mean	8.66	4.66	NA	0.57	73.3	0.71	2.66	1.24	4.75	3.13	NA	NA	0.21	1.90	99.03
ADQL005	IAL-mean	7.75	8.61	0.30	0.27	70.5	1.76	1.88	0.91	7.27	0.59	NA	NA	0.19	0.90	99.78
	BDY-mean	8.64	8.60	NA	0.29	70.3	0.38	2.52	1.00	7.28	0.66	NA	NA	0.22	1.00	98.28
ADQL006	IAL-mean	9.14	6.30	NA	0.42	70.0	0.67	1.74	1.29	8.03	2.13	NA	NA	0.25	1.50	98.93
	BDY-mean	8.04	8.51	0.10	0.64	68.2	1.47	2.41	1.05	7.44	1.83	NA	NA	0.27	0.90	97.31
Corning	Corning glass B		K <sub>2</sub> O	TiO <sub>2</sub>	MgO	SiO <sub>2</sub>	Fe <sub>2</sub> O <sub>3</sub>	Al <sub>2</sub> O <sub>3</sub>	Cl	CuO	CaO	SnO <sub>2</sub>	PbO	P <sub>2</sub> O <sub>5</sub>		Sum
Te	Test-1		1.04	0.14	1.06	61.3	0.32	4.18	0.18	3.03	8.82	NA	NA	NA		96.51
Test-2		16.48	0.98	0.11	1.07	59.9	0.32	4.19	0.17	2.98	8.66	NA	NA	0.87		95.71
Test-3		16.03	0.99	0.12	1.06	61.2	0.33	4.27	0.20	3.04	8.75	NA	0.48	0.85		97.36
Reference of	content wt%	17.00	1.00	0.09	1.03	61.6	0.34	4.36	0.20	2.66	8.56	0.04	0.61	0.82		97.36

Composition profiles of ADQL001, ADQL002, ADQL004 and ADQL006 indicate a 187 decrease in the levels of soda from the interaction layer to the interparticle glass in 188 the body, whereas an increase was observed in samples ADQL003 and ADQL005 189 190 (Figure 4a). A decrease in the copper oxide content was observed in all the samples 191 except for sample ADQL005 (Figure 4b).





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Fig.4 Soda and copper oxide concentration profiles from iteraction layer glass phase (IAL) to the body interparticle glass (BDY)

#### Discussion 195 4

#### 4.1 Glazing method 196

Based on the soda concentration profile and the microstructure of the six samples, 197 198 Adunqiaolu faience can be divided into two groups. The first group consists of samples 199 ADQL001, ADQL002, ADQL004, and ADQL006. In these samples, guartz particles are loosely bonded in a very porous body with little glassy content. The interaction layers 200 of these samples are better fused and can be clearly identified from BSE. The existence 201 202 of a clear boundary between the interaction layer and the body suggests that these four samples were made using the cementation technique or the application glazing 203 method. Although the bodies were porous, inter-particle glass was still present. 204 205 Vandiver (2008) proposed that some flux/fluxes might have been used as raw 206 materials for improving the plasticity of the body during the forming process. This

could explain the occurrence of a limited IPG phase in the body. Cementation glazing
method has the advantage of glazing a large number of small objects at the same time
(Tite et al., 2007). Therefore, these four beads were probably made by cementation
glazing. This is consistent with the decrease in the soda content from the interaction
layer vitreous phase to the body interparticle glass in samples ADQL001, ADQL002,
ADQL004 and ADQL006, as suggested by Tite et al. (2007).

In the second group, the cross section of samples ADQL003 and ADQL005 showed 213 a homogeneous structure with no clear boundaries between the outer layer and the 214 215 body. In ADQL003, a continuous glass phase has formed in which the whole cross 216 section consisted of quartz particles in a continuous matrix. This type of faience 217 structure has also been observed in faience artefacts from the Yu State cemeteries in 218 Shaan'xi Province, the Peng State cemeteries in Shan'xi Province, and in Rui State 219 cemeteries in Shaanxi Province (Lei and Xia, 2015). Faience with this type of structure 220 was probably produced by employing an efflorescence glazing method (Tite et al., 2007). Although the increase in the presence of soda from the interaction layer glass 221 222 phase to the body interparticle glass in samples ADQL003 and ADQL005 also indicates 223 that they were made by efflorescence glazing technique, the cementation method 224 cannot be ruled out completely. Matin et al. (2016) observed that extensive inter-225 particle glass in the body can form during the cementation method if the body is thin. Furthermore, the decrease of copper oxide from the interaction layer into the body in 226 227 sample ADQL003 is a likely indicator of the cementation, too (Tite et al., 2007).

### 228 **4.2 Fluxes and colorants**

229 The main fluxing agents found in Adunqiaolu faience are sodium oxide (8-10 wt%) and potassium oxide (5-9 wt%), with low contents of magnesium oxide (average 230 concentration less than 0.5 wt%), lime (average concentration less than 2 wt%) and 231 232 phosphorus oxide (average concentration less than 0.3 wt%). The composition of 233 Adungiaolu faience glass phase is similar to that of the Late Bronze Age low 234 magnesium high potassium (LMHK) mixed-alkali glass found in Southern Europe, such 235 as in Greece (Nikita and Henderson 2006; Nikita et al., 2017), Northern and Southern Italy (Angelini et al., 2004; Conte et al., 2019), France (Gratuze, 1998) and even in 236 237 Ireland (Henderson, 1988; Henderson, 2013, 192-196). This type of glass has high 238 contents of soda and potash, and low contents of calcium, magnesium and 239 phosphorus (Henderson, 1988).

The six samples contain CuO at a level between 5-10 wt% which indicates that they were coloured by copper. The concentrations of tin and lead were less than 0.1 wt%. Therefore, it is unlikely that tin bronze or leaded bronze were the sources of the colorant.

244 As stated earlier, in Central China, faience first appeared during the Western Zhou 245 Dynasty (about 1000 BC) and is characterised by a potash-rich flux (Brill et al., 1989; Dong et al., 2016). Some of these potash-rich faience beads are of the mixed-alkali 246 type and have been found in Shaan'xi and Shan'xi Provinces in Central China. In 247 contrast to Adungiaolu faience, these mixed-alkali faience beads in Central China 248 contain more potassium oxide (6-11 wt%) than sodium oxide (4-7 wt%) as reported by 249 250 Lei and Xia (2015) and Wang (2019). Moreover, segmented-shaped beads similar to 251 the Adungiaolu faience have not been found in Central China yet. Although the Central Chinese faience production might have been influenced by the West (Li et al., 2009; Lei and Xia, 2015; Wang, 2019), it is not possible to establish a connection between Adunqiaolu faience and the mixed-alkali faience in Central China yet because of the great distance and the differences in chronologies.

256 In contrast to the faience found in Central China, Adungiaolu faience is more likely 257 to be linked to European faience. In fact, LMHK mixed-alkali faience like Adungiaolu faience is mainly distributed in Europe, including Russia (Shortland et al., 2007), 258 259 Slovakia (Angelini et al., 2006), Poland (Robinson et al., 2004), Britain (Sheridan et al., 2005), Italy (Santopadre et al., 2000; Angelini et al., 2005; Angelini et al., 2006) dating 260 from 2300 BC to 900 BC. The date of our faience, 1661-1546 BC, falls into this period. 261 Figure 5 shows that six samples of Adunqiaolu faience group together with other 262 263 published European mixed-alkali faience samples in the plot of Na<sub>2</sub>O+K<sub>2</sub>O against Na<sub>2</sub>O.



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Fig 5. Scatter plot showing the concentrations of K<sub>2</sub>O and Na<sub>2</sub>O of European and Adunqiaolu faience (wt%)

267 According to the composition cited above, the total alkali content ( $Na_2O+K_2O$ ) of 268 European mixed-alkali faience is fairly consistent (10-18 wt%) and Na<sub>2</sub>O is commonly at a level of 4-11 wt% for most of the samples. Similarly, the total alkali content of 269 270 Adunqiaolu faience (12-19 wt%) is overlap the range of European mixed-alkali faience. 271 Furthermore, their Na<sub>2</sub>O content (8-10 wt%) cluster in the upper part of the European mixed-alkali faience compositional range. The relatively low concentrations of lime (1-272 4 wt%) and magnesia (<1 wt%) are another common feature of mixed-alkali faience as 273 well as of glass (Henderson, 1988; Santopadre et al., 2000). Based on the literature 274 275 cited above, the concentrations of lime (0.4-4.9 wt%, average: 2.3 wt%) and magnesia 276 (0.2-3.4 wt%, average: 0.9 wt%) of European mixed-alkali faience are very low, and the contents of lime (0.6-4.0 wt%, average: 1.7 wt%) and magnesia (0.2-0.6 wt%, average: 277 0.4 wt%) of Adungiaolu faience fall well within these ranges of mixed-alkali faience. 278 279 Overall, the concentrations of major and minor constituents in European and

Adunqiaolu faience are very similar. In addition to the similarity of compositions, the shape of the Adunqiaolu faience beads is also similar to that of European faience beads (as discussed below).

In the glass phase of our six samples, the concentration of soda and potash are 283 negatively correlated (Figure 6). A similar trend was also observed in mixed-alkali glass 284 in Bohemia, west of present-day Czech Republic (Venclová et al., 2011) and also in 285 mixed-alkali glasses from Italy, Switzerland, France, Germany, Greece and Ireland 286 (Henderson 2013, Fig. 6.17). The negative correlation between soda concentration and 287 288 potash concentration is caused by the relatively constant sum of alkali oxides 289 necessary to produce silica glass at a specific temperature, as shown experimentally 290 (Rehren, 2000; Shugar and Rehren, 2002), and the mutual substitution of soda and potash for each other in complex systems of salt-rich silica melts, such as plant-ash 291 292 based glass and faience (Tanimoto and Rehren, 2008). On the basis of archaeological faience compositions, Santopadre and Verita (2000) proposed that only one flux had 293 294 been used for mixed-alkali vitreous faience based on the negative relationship 295 between soda and potash, as well as the constant total alkali content ( $Na_2O+K_2O$ ). 296



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Fig 6. Scatter plot showing the concentrations of K<sub>2</sub>O and Na<sub>2</sub>O in the glass phase of Adunqiaolu faience (wt%)

300 The exact source of the mixed-alkali flux is still unknown. Purified plant ash with a low content of impurities (Brill, 1992; Tite et al., 2006), impure natron (Brill, 1992), 301 efflorescent salts from latrines or manured soils (Brill, 1992) and even cattle dung 302 (Matin et al., 2016) could have been possible sources; however, other researchers 303 304 (Sheridan et al., 2005; Shortland et al., 2007; Angelini, 2008) think that wood ash or 305 salt-tolerant plant ash was likely to have been the source of mixed-alkali flux. These types of ashes could have been dissolved in water, leaving behind insoluble substances 306 307 (lime, magnesia and phosphate) and then the soluble salts containing sodium and 308 potassium could have been separated out, either as an intentional process in the 309 preparation of the raw materials, or simply as part of the efflorescence (Rehren, 2008).

### 310 **4.3 Implications for trans-European exchange**

Apart from Europe, mixed-alkali vitreous materials are rare in Mesopotamia, Egypt and the Near East, where ancient faience is mostly soda-rich. The discovery of mixed-alkali faience beads from Adunqiaolu in Xinjiang provides additional evidence regarding the communication between East Asia and Europe throughout the Eurasian Steppe around the first half of the 2<sup>nd</sup> millennium BC.

Well-documented materials being transferred between steppe pastoralists and 316 317 urban agriculturalists in Southern-Central Asia, the Indus Valley, China, the Iranian 318 Plateau, and perhaps even Mesopotamia in the Late Bronze Age indicate that Central Asian populations facilitated trade and resource acquisition for a variety of civilizations 319 320 (Frachetti et al., 2012). Anthony (2008) emphasized the importance of trade during the urbanisation of pastoral societies by the end of the 3<sup>rd</sup> millennium BC; for example, 321 three bracelets presenting a similar shape to ones from Harappan sites were excavated 322 from a tomb belonging to a female individual at Gonur Depe in Turkmenistan (Bakry, 323 324 2016). The stepped pyramid, which was a basic element in the decorative artwork of 325 BMAC (Bactria–Margiana Archaeological Complex, a Central Asian Bronze Age culture 326 dated to ca. 2300-1700 BC) pottery, jewellery and metalwork also appeared on 327 Sintashta pottery in Ural-Tobol steppes and later became a standard design in Petrovka and Andronovo pottery (Anthony, 2008). 328

By the end of the 3<sup>rd</sup> millennium BC, trade and conquest began to connect the ancient world together into an interacting system, connecting the most powerful cities in the Near East, Iran and South Asia (Anthony, 2008; Frachetti et al., 2012). All of these archaeological materials indicate the opening of the Eurasian Steppe, which made early faience exchange across large areas possible.

Similar segmented-shaped faience beads to those discussed in this paper were 334 335 discovered at Tell el Amarna (1600-1300 BC) in Egypt (Tite et al., 2007) and in Harappa 336 (2600-1900 BC) in the Indus Valley (Gu et al., 2016). Particularly in Europe, large quantities of rounded segmented faience beads were discovered in Bronze Age sites. 337 338 Segmented beads made of bone as well as bronze formed part of a standardized assemblage for the North Caucasus since they first appeared in the Early Catacomb 339 340 Culture (2600-2000 BC). Subsequently, the craftsmen of the Catacomb Culture in the 341 North Caucasus region began to make such beads of faience (Shortland, 2007), and 342 some of it is of the mixed-alkali type, similarly to Adungiaolu faience (Shortland, 2007). 343 Thus, the faience beads from the North Caucasus region are similar to those from the 344 Adunqiaolu site in terms of typology and chemical composition. However, it is 345 premature to say that there is a direct relationship between the North Caucasus region and the Adunqiaolu site based only on the faience traditions regarding design and 346 manufacturing technology, without looking first at the key intermediate region. 347

348 In the Steppe, the earliest faience is from Sintashta. Segmented faience has also 349 been found in Sintashta burials, dating from the early 2<sup>nd</sup> millennium to the 16<sup>th</sup> 350 century BC (Виноградов, 2003). Considering that Adunqiaolu is connected with the 351 large Andronovo complex which originally derived from the Sintashta – Petrovka Culture, it is logical to link Adunqiaolu faience with similar faience associated with the Sintashta Culture. The latter is arguably from the same technological tradition and provides new evidence of exchanges of material and knowledge across the Eurasian Steppe during the Bronze Age. Commodities such as metals and precious stones, and innovations in riding and transport played an important role in this expanding trade and exchange (Frachetti, 2012; Kohl, 1987). Now, faience can be added to this list.

In a recently published paper, Lin et al. (2019) presented new analytical data on 358 mixed-alkali and soda-rich faience from the Tianshanbeilu Cemeteries in Eastern 359 360 Xinjiang. The mixed-alkali segmented faience beads from tomb M200 are most likely 361 to be dated from 1500 to 1400 BC although there is no direct date available from M200; 362 at least roughly 150 years later than the beads analysed in this work. The weight ratio between soda and potash in the glassy phase of Tianshanbeilu faience falls also firmly 363 364 into the mixed-alkali range of 0.5 to 1.5, as defined by Vandiver (2008). Thus, the mixed-alkali composition and the segmented shape of the beads are similar in both 365 the Adungiaolu faience and Tianshanbeilu faience in western and eastern Xinjiang. Lin 366 367 et al. (2019) pointed out that in the mid-second millennium BC, Xinjiang faience might 368 have been imported from the North Caucasus. Thus, the presence of Adunqiaolu 369 faience further indicates that mixed-alkali faience from Europe might have spread to eastern Xinjiang through Adungiaolu in the mid-second millennium BC. 370

### 371 **5. Conclusion**

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Adungiaolu faience beads are the earliest form of faience found in China so far. 372 373 Compositional analyses showed that these segmented faience beads were of the 374 LMHK mixed-alkali type and that plant ash was possibly used as a raw material in the 375 making of the fluxing agent. Regarding composition and shape, Adungiaolu faience is different from early potash-rich faience found in the Yellow River basin; however, it 376 377 has a strong correlation with faience from the Eurasian Steppe and Europe, thus revealing early cross-cultural exchange between the West and the East in the Old 378 379 World.

380 This study was the first to reveal the occurrence of early faience in the region of the western Tianshan Mountains. The discovery of faience in association with the 381 382 Andronovo tradition in the Adunqiaolu site strongly suggests that there could be other similar objects to be discovered in Late Bronze Age to Iron Age sites along the edge of 383 384 the Eastern Steppe in West China. The examination of Adungiaolu faience is only an 385 initial step towards future extensive research about the objects found in the 386 aforementioned areas. This will broaden our understanding regarding early social and cultural interaction, and exchange of information and technologies across the Eurasian 387 388 Steppe.

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Sample no.	Test area	Na <sub>2</sub> O	K <sub>2</sub> O	TiO₂	MgO	SiO2	Fe <sub>2</sub> O <sub>3</sub>	Al <sub>2</sub> O <sub>3</sub>	CI	CuO	CaO	SnO₂	PbO	P <sub>2</sub> O <sub>5</sub>	Na <sub>2</sub> O/K <sub>2</sub> O	Unnormalized total
ADQL001	IAL-mean	9.06	7.00	NA	0.50	67.9	0.59	1.59	1.28	9.90	1.93	NA	NA	0.20	1.30	100.57
	BDY-mean	8.14	8.41	0.10	0.53	67.3	0.72	1.80	1.24	8.86	2.30	0.31	NA	0.27	1.00	99.75
ADQL002	IAL-mean	7.52	9.37	0.11	0.18	70.4	1.16	1.82	0.88	7.81	0.55	NA	NA	0.15	0.80	98.43
	BDY-mean	7.53	9.32	NA	0.56	72.0	0.52	2.37	0.81	5.17	1.45	NA	NA	0.20	0.80	97.18
ADQL003	IAL-mean	8.92	6.68	NA	0.23	70.5	0.37	2.09	1.02	8.95	0.83	NA	NA	0.29	1.30	98.15
	BDY-mean	9.00	6.47	NA	0.22	71.8	0.38	2.04	0.41	8.42	0.84	NA	NA	0.38	1.40	97.58
ADQL004	IAL-mean	9.76	4.76	NA	0.62	69.8	0.60	1.81	1.30	6.70	4.28	NA	NA	0.23	2.10	99.79
	BDY-mean	8.66	4.66	NA	0.57	73.3	0.71	2.66	1.24	4.75	3.13	NA	NA	0.21	1.90	99.03
ADQL005	IAL-mean	7.75	8.61	0.30	0.27	70.5	1.76	1.88	0.91	7.27	0.59	NA	NA	0.19	0.90	99.78
	BDY-mean	8.64	8.60	NA	0.29	70.3	0.38	2.52	1.00	7.28	0.66	NA	NA	0.22	1.00	98.28
ADQL006	IAL-mean	9.14	6.30	NA	0.42	70.0	0.67	1.74	1.29	8.03	2.13	NA	NA	0.25	1.50	98.93
	BDY-mean	8.04	8.51	0.10	0.64	68.2	1.47	2.41	1.05	7.44	1.83	NA	NA	0.27	0.90	97.31
Corning	g glass B	Na <sub>2</sub> O	K <sub>2</sub> O	TiO <sub>2</sub>	MgO	SiO <sub>2</sub>	Fe <sub>2</sub> O <sub>3</sub>	Al <sub>2</sub> O <sub>3</sub>	Cl	CuO	CaO	SnO <sub>2</sub>	PbO	P <sub>2</sub> O <sub>5</sub>		Sum
Te	st-1	16.41	1.04	0.14	1.06	61.3	0.32	4.18	0.18	3.03	8.82	NA	NA	NA		96.51
Те	st-2	16.48	0.98	0.11	1.07	59.9	0.32	4.19	0.17	2.98	8.66	NA	NA	0.87		95.71
Те	st-3	16.03	0.99	0.12	1.06	61.2	0.33	4.27	0.20	3.04	8.75	NA	0.48	0.85		97.36
Reference	content wt%	17.00	1.00	0.09	1.03	61.6	0.34	4.36	0.20	2.66	8.56	0.04	0.61	0.82		97.36