

# **A study of completely decomposed volcanic rock with a transitional mode of behaviour**

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1 **Abstract**

2 A transitional mode of behaviour is seen in some soils where the specific volumes of samples  
3 do not converge to the same values at the same stress state within the ranges of strains that can  
4 be achieved in laboratory tests so there are no unique normal compression or critical state lines.  
5 This type of behaviour has been found in different soils but not previously in soils resulting  
6 from decomposed igneous rocks. In order to investigate the possibility of transitional mode of  
7 behaviour in a decomposed volcanic rock, an extensive series of one-dimensional compression  
8 and triaxial tests were conducted on samples in reconstituted and intact states. The important  
9 features of transitional mode of behaviour in soils have been identified, that is, the presence of  
10 non-unique and parallel normal compression and critical state lines. The behaviour of the soil  
11 is therefore dependent on the initial specific volume. The degree of transitional behaviour is  
12 strong, particularly in the reconstituted samples but it is less clearly identified in the intact  
13 samples due to the small range of initial specific volumes available. These observations  
14 indicate that the transitional mode of behaviour, previously seen in sedimentary soils and  
15 artificial soil mixtures can be extended to some weathered igneous rocks. Determining the  
16 effects of structure is difficult due to the non-unique intrinsic properties but nevertheless, the  
17 effects of structure have been identified and discussed.

18 **Keywords:** Transitional behaviour, igneous rocks, decomposed volcanics, fabric, natural  
19 structure

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## 24 **Introduction**

25           Many soils have been found to exhibit a transitional mode of behaviour, which are  
26 characterized by non-unique normal compression lines (NCL) and critical state lines (CSL) in  
27 the specific volume ( $v$ ) or void ratio ( $e$ ): log vertical effective stress or mean effective stress  
28 plane ( $v/e: \log \sigma'_v$  or  $v/e: \ln p'$ ) (e.g., Ponzoni et al. 2017; Shipton and Coop 2012; Ferreira and  
29 Bica 2006; Martins et al. 2001). The major feature of transitional behaviour is that samples at  
30 different initial specific volumes do not converge to unique values at a given stress in either  
31 compression or shearing. This may be possibly due to the soils still preserving some features  
32 of the initial differences in the fabric even after compression to high stresses or shearing to  
33 larger strains (e.g., Todisco et al. 2018). This behaviour has been found in soils with natural  
34 sedimentary origins soils (e.g., Xu and Coop 2017; Ponzoni et al. 2014; Nocilla et al. 2006),  
35 one weathered sedimentary soil (Ferreira and Bica 2006) and artificial laboratory mixtures of  
36 soils (e.g., Shipton and Coop 2012; Shipton and Coop 2015; Shipton et al. 2006), but has not  
37 previously been seen in soils of weathered igneous origin.

38           Although many transitional soils are gap graded in nature, the behaviour has also been  
39 found in well graded silty clays (Nocilla et al. 2006) and well graded sands (Todisco et al.  
40 2018). The factors responsible for this mode of behaviour have not been fully understood but  
41 it may be that strong fabrics that are difficult to break down in simple compression and shearing  
42 might be responsible. It was on this premise that Todisco et al. (2018) investigated some  
43 elements of fabrics at a microscale for transitional soils using mercury intrusion porosimetry  
44 (MIP), examining pore size distributions (PSD) and their evolution in compression and  
45 shearing. They established that robust fabrics which could not be broken down during  
46 conventional testing can be responsible for the lack of convergence.

47            However, investigations into the transitional behaviour in well-graded weathered  
 48 geomaterials are very scarce compared to those in other materials and to the best of our  
 49 knowledge, there has never been an investigation on transitional behaviour in decomposed  
 50 volcanics. The work described in this paper presents the mechanics for a transitional mode of  
 51 behaviour in completely decomposed volcanic rock in intact and reconstituted states.

52

### 53 **Materials used**

54            The samples tested were completely decomposed volcanic rock from Hong Kong,  
 55 locally called tuffs and belonging to Tai Mo Shan formation of the Tsuen Wan volcanic group,  
 56 which is coarse-grained. The sample was classified based on the international six grade  
 57 classification (e.g., ISRM 2007) upon which local classifications for Hong Kong geomaterials  
 58 are based (e.g., GEO 1988). The samples were taken as Mazier rotary cores from the New  
 59 Territories in northern Hong Kong, under the supervision of the Geotechnical Engineering  
 60 Office of Hong Kong. The samples were retrieved from 4-5 m depth and the sample description  
 61 is shown in Table 1. Figure 1 presents the particle size distribution of the sample determined  
 62 by a combination of wet sieving and sedimentation. Okewale (2017) and Okewale and Coop  
 63 (2017) tested a much wider variety of similar decomposed volcanics from other Hong Kong  
 64 formations, none of which had a transitional behaviour. Data for that completely decomposed  
 65 volcanic (CDV) from Okewale and Coop (2017) that was most similar to the soil studied here  
 66 will be shown in this paper for comparison, and its grading is also given in Figure 1.

67 Table 1. Sample description and index properties

Formation	Depth (m)	Grading	d <sub>50</sub> (mm)	c <sub>u</sub>	Clay fraction (%)	Silt fraction (%)	Liquid limit (%)	Plastic limit (%)	Plasticity Index (%)
TMSF	4-5	Coarse	0.01	150	28	30.7	35.5	26.1	9.4

68 TMSF Tai Mo Shan Formation, d<sub>50</sub> mean particle size, c<sub>u</sub> coefficient of uniformity

69           The soil is well graded and can be classified as sandy silt. The mean particle size ( $d_{50}$ )  
70 is 0.01mm and modified coefficient of uniformity ( $C_u = d_{70}/d_{20}$ ) is 150, which also confirms  
71 the well-graded nature of the sample similar to other CDVs (e.g., Okewale and Coop 2017,  
72 2018b; Okewale 2019c, 2020; Okewale and Grobler 2020). The soil comprises 28% clay  
73 fraction and a silt-sized fraction of 30.8%. The liquid limit and plastic limit of the samples are  
74 35.5% and 26.1%, giving a plasticity index of 9.4%. The sample can be classified as silt with  
75 low plasticity.

76           Figure 2 shows the microstructure of the intact samples in the horizontal and vertical  
77 plane. The fabrics are characterised by agglomerations of flat particles forming continuous  
78 clusters with very few intra-cluster voids. The intact fabric is heterogeneous and with no  
79 perceivable particle orientation in both planes. The microstructure of the reconstituted sample  
80 in horizontal and vertical plane is presented in Figure 3 and is again characterised by  
81 agglomerations of flat particles, but which appear well arranged and more compact with few  
82 voids, the agglomerates being in a continuous matrix.

83           The samples comprise about 37% quartz, 20% feldspars, 30% clay minerals and 13%  
84 of other minerals (Table 2). The clay minerals result basically from feldspars and biotite which  
85 are the primary minerals of the parent rock together with quartz. The details of the chemical  
86 composition are shown in Table 3. Again, a high percentage of silica indicates the presence of  
87 quartz and a low proportion of alkaline and alkaline earth cation is an indication of an alteration  
88 of feldspars in the sample. The relatively high proportion of alumina is an indication of the  
89 presence of clay minerals and sesquioxides resulting directly from biotite.

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91

92

93 Table 2. Mineralogy from XRD analysis

Minerals (%)			
Quartz	Feldspars	Clays	Others
37	20	30	13

94

95 Table 3. Chemical compositions from XRF analysis

Chemical compositions (%)							
Na <sub>2</sub> O	CaO	MgO	Al <sub>2</sub> O <sub>3</sub>	SiO <sub>2</sub>	K <sub>2</sub> O	TiO <sub>2</sub>	Fe <sub>2</sub> O <sub>3</sub>
1.44	0.27	0.06	19.42	62.14	2.23	1.54	12.90

96

## 97 Methodology

98 A Philips XL30 FEG Environmental Scanning Electron Microscope (ESEM) was used  
 99 to study the microstructure of the intact and reconstituted samples. In order for the samples to  
 100 give a true reflection of the fabric, broken surfaces rather than cut surfaces were used and the  
 101 micrographs are studied in the vertical and horizontal plane. A Bruker X-ray diffractometer  
 102 was used to investigate the bulk mineralogy of the sample in powder form. The chemical  
 103 composition of the sample was studied using a Shimadzu EDX-720 Energy Dispersive X-ray  
 104 Fluorescence Spectrometer (XRF), again analysing the sample in a powder form.

105 Oedometer tests were used to investigate the behaviour of the sample in one-  
 106 dimensional compression. Fixed base rings of 50mm diameter and 20mm height were used for  
 107 the reconstituted samples and a stress of up to 7 MPa was achieved. To reach higher stresses  
 108 while reducing wall friction, a floating ring type of 30mm diameter was used for the intact  
 109 samples for stresses of up to about 20 MPa. The intact samples were trimmed directly into the  
 110 confining ring on a hand lathe very carefully in order to minimize the disturbance to the sample.  
 111 A small downward pressure was applied to the sample, excavating the sample slightly ahead  
 112 of the ring. The reconstituted samples for the oedometer tests were prepared by adding varying

113 amounts of distilled water to create slurry samples of different initial densities that were placed  
114 directly into the ring.

115         Several methods were used to calculate the initial specific volumes. The measurements  
116 were carried out with great care because of the importance of the accuracy of the specific  
117 volume in establishing whether there was really non-convergence of compression paths or non-  
118 uniqueness of the critical state lines. The initial  $v$  was calculated from the initial dimensions  
119 and initial water contents and also back calculated from final dimensions and final water  
120 contents, using several methods as outlined in detail by Rocchi and Coop (2014) and used by  
121 several studies (e.g., Rocchi and Coop 2016; Okewale 2019a, 2019b, 2019c). The accuracy of  
122 the specific volumes was estimated by taking the maximum difference between any individual  
123 value of  $v$  and the mean from the various methods giving an estimated accuracy for the  
124 oedometer tests for both the reconstituted and intact samples of  $\pm 0.02$ .

125         The shearing behaviour was investigated using several triaxial apparatus, one of  
126 conventional design, one an Imperial College (IC) stress path system and one a GDS stress  
127 path cell. The conventional triaxial apparatus and the IC cell could apply a cell pressure of up  
128 to 750 kPa and they were used for the reconstituted samples. A cell pressure of up to 2 MPa  
129 was applied by GDS cell and it was used for the intact samples.

130         The samples were of different diameters but a height to diameter ratio of 2:1 was used  
131 in each. The Mazier sample tube was 75mm diameter and intact samples for the triaxial tests  
132 were prepared by cutting the tube to the required length using a diamond rotary saw while the  
133 sample was still in the tube. A machine end cutter was used to cut several slots along the length  
134 of the tube while providing the support to the sample. The parts of the tube between the slots  
135 were then carefully removed from the sample and the perimeter of the sample was dressed.  
136 However, only two intact triaxial samples were tested due to highly heterogeneous nature of

137 the soil, the limited soil availability and the difficulty involved in reducing the samples to  
138 sample smaller sizes.

139 The reconstituted samples for the triaxial tests were prepared again as slurries of various  
140 water contents which were then either compressed in a consolidometer tube prior to placing on  
141 the platen or prepared directly on the platen by pouring the slurry inside a membrane within a  
142 mould. The average estimated accuracy of the values of  $v$  for the triaxial tests for both the  
143 reconstituted and intact samples is  $\pm 0.010$ , slightly better than the oedometers because of the  
144 larger sample sizes (Ponzoni et al. 2014). The estimated accuracies are similar to other studies  
145 investigating transitional behaviour in soils, using similar methods (e.g., Shipton and Coop  
146 2012; Nocilla et al. 2006).

147

## 148 **Results and discussions**

### 149 **Compression behaviour**

150 The behaviour in compression was studied by carrying out many oedometer tests and  
151 the design of the testing programme was to determine whether there was transitional behaviour  
152 in the reconstituted and intact samples. Also, the effect of structure was established by  
153 comparing the reconstituted and intact samples. In order to determine the presence of a  
154 transitional mode of behaviour, a wide range of initial specific volumes is required, so the  
155 samples were prepared to achieve different initial densities. Figure 4 presents the compression  
156 data for the reconstituted samples prepared at different initial states. The details of the  
157 oedometer tests are given in Table 4.

158 At higher stresses, the compression paths appear parallel and there is almost no  
159 convergence, with a spacing between the lines that is much greater than the estimated average  
160 accuracy for  $v$  of  $\pm 0.02$ , indicating that the non-convergence of the paths is not the result of

161 error in the measurement of  $v$ . This is a clear transitional mode of behaviour in compression  
 162 because it will be impossible to reach a unique normal compression line before the compression  
 163 paths must tend towards a horizontal asymptote at  $v=1$  at a very high stress.

164 Table 4. Details of oedometer tests

Sample/test type	$w_i$	$v_i$	$\sigma_{v \max}$ (kPa)
RO1	0.275	1.787	7145
RO2	0.499	2.304	7155
RO3	0.419	2.093	7152
RO4	0.447	2.192	7124
RO5	0.422	2.144	7144
RO6	0.306	2.319	7147
RO7	0.409	2.137	7147
RO8	0.420	2.126	7141
RO9	0.418	2.126	7152
RO10	0.444	2.002	7158
RO11	0.407	2.019	7144
RO12	0.470	2.254	7144
RO13	0.459	2.152	7139
RO14	0.323	1.907	7138
RO15	0.323	1.893	7151
RO16	0.359	2.008	7165
RO17	0.362	2.002	7171
RO18	0.390	2.017	7171
RO19	0.530	2.390	7165
IO1	0.320	1.991	19854
IO2	0.273	1.992	19854
IO3	0.273	1.839	19854
IO4	0.302	1.861	19854
IO5	0.327	1.858	19854

165 R reconstituted, I intact,  $w_i$  initial/ in-situ water content,  $v_i$  initial specific volume,  $\sigma_{v \max}$   
 166 maximum vertical stress.

167 As shown in Figure 3, the continuous clusters from the agglomeration of particles float  
168 in a matrix, which perhaps results in a strong form of fabric which is robust and cannot be  
169 broken down in compression, thereby leading to non-convergent behaviour of this soil. For the  
170 purpose of comparison, the oedometer tests on the similar reconstituted CDV samples studied  
171 by Okewale and Coop (2017), of which the grading curve is shown in Figure 1 are presented  
172 in Figure 5. A fully convergent behaviour was found with a unique intrinsic normal  
173 compression line (NCL\*), indicating that the initial differences in the specific volumes have  
174 been erased. This conventional convergent behaviour might be attributed to fabric in which the  
175 clusters are not continuous and are not floating in matrix as seen in Figure 6(a), so while the  
176 gradings of the two soils are similar and similar reconstitution methods have been used, it is  
177 the very different fabrics that are responsible for the different modes of behaviour.

178 The transitional behaviour cannot be attributed to the repeatability of the tests because  
179 other soils investigated by Okewale and Coop (2017) were prepared to different initial densities  
180 similar to the method here and the compression curves converged, in contrast to what is seen  
181 in this study. Also, the mineralogy of the sample used in this work is not significantly different  
182 from those of Okewale and Coop (2017) and so that is unlikely to be the cause. The soils  
183 comprised basically quartz, feldspars, clays and other accessory minerals, although in different  
184 proportions. The transitional behaviour can instead be linked to the heterogeneous fabric,  
185 which is characterised by continuous clusters as observed in the SEM micrographs. However,  
186 SEM micrographs have limited and qualitative use in determining where the seat of fabric will  
187 lie. On the other hand, mercury intrusion porosimetry (MIP) could be a useful quantitative tool,  
188 as used by Todisco et al. (2018) to investigate fabrics in transitional soils, although on soils  
189 with much simpler mineralogies and gradings. Furthermore, it has been suggested that  
190 transitional behaviour in soil can be linked to fabric heterogeneity rather than anisotropy of  
191 fabric (Shipton and Coop 2015; Todisco et al. 2018) and the SEM images do reveal a strong

192 heterogeneity. While a transitional mode of behaviour has been brought about in some studies  
193 by simply varying the grading (e.g., Shipton and Coop 2012), this is clearly not the case for  
194 this behaviour in soils resulting from decomposed volcanic rocks.

195 Figure 7 shows the compression data for the intact samples. The compression paths  
196 seem again not to converge, similar to those of the reconstituted samples. Considering the  
197 accuracy of initial  $v$ , the offsets between the compression curves at the end of the tests again  
198 indicate that the intact samples exhibit a transitional mode of behaviour. The compression data  
199 of the intact CDV samples studied by Okewale and Coop (2017) are presented in Figure 8.  
200 After yielding, the compression curves converge, indicating a non-transitional mode of  
201 behaviour similar to the behaviour in the reconstituted state which can also be linked to fabric  
202 that is dominated by less continuous clusters with large inter and intra cluster voids (Fig. 6(b)).  
203 However, it is difficult to investigate transitional behaviour in the intact samples because they  
204 are created naturally, so the initial  $v$  cannot be chosen and there is therefore a limited range of  
205 values available. This was the reason for so many reconstituted samples being tested in this  
206 research.

207 In order to quantify the degree of transitional behaviour in compression, a parameter  
208  $m$ , based on oedometer data proposed by Ponzoni et al. (2014) was employed. The  $m$  value  
209 was calculated by plotting the initial specific volume (taken at 20kPa,  $v_{20}$ ) against the specific  
210 volume at 7000kPa ( $v_{7000}$ ), being the approximate maximum stress reached in the tests  
211 presented in this paper. Ponzoni et al. (2014) used  $v_{6000}$  because the highest stress reached in  
212 their test was 6000kPa. It is believed that the effect on  $m$  would be very small (Xu and Coop  
213 2017). The value of  $m$  can range between 0 (fully non-transitional) and 1 (fully transitional).

214 Figure 9 presents the  $m$  values for the reconstituted and intact samples. The line of best  
215 fit is for the reconstituted samples only. There is clear positive gradient for the reconstituted

216 samples which is an indication of non-convergence of compression paths with an  $m$  value of  
217 0.39. Based on the  $m$  value of the reconstituted samples, the degree of transitional behaviour  
218 can be classified as medium.

219 The  $m$  value cannot be estimated for the intact samples due to difficulties discussed  
220 above. It has previously been found that the degree of lack of convergence is higher in intact  
221 samples than in reconstituted samples for some soils (e.g., Ponzoni et al. 2014). The intact  
222 samples plot above the reconstituted samples, indicating the effects of a natural structure in  
223 addition to that which must cause the transitional behaviour.

224 Ferreira and Bica (2006) pointed out how difficult it was to quantify the effects of  
225 structure in soils without unique intrinsic properties, but nevertheless, an attempt is made here  
226 because significant effects of structure have been highlighted in similar soils with no  
227 transitional mode of behaviour (Okewale and Coop 2017, 2018a; Rocchi et al. 2015). These  
228 data may be normalised using void index  $I_v = (e - e^*_{100}) / (e^*_{100} - e^*_{1000})$ , where  $e^*_{100}$  and  $e^*_{1000}$   
229 are the void ratios on the intrinsic compression line, ICL at 100 and 1000kPa, as proposed by  
230 Burland (1990) (Fig.10). Here the ICL was found to be straight as indicated on Figure 10. The  
231 ICLs were taken at higher stresses where the paths appear to be parallel. The ICLs were  
232 determined for reconstituted samples with similar specific volumes to those of the intact  
233 samples at the initial stress of 20kPa and these were used for the quantification of the effects  
234 of structure. Because of the different ICLs, while a uniform gradient was used for each sample  
235 Figure 9 was used to calculate an intercept of the ICL for the value of  $v$  of each intact sample,  
236 i.e. each intact sample has its own ICL.

237 The compression paths of the intact samples cross the ICL of the reconstituted samples  
238 and then converge slowly towards it. States outside the ICL are reached and a significant effect  
239 of structure is identified. The samples yield at low stresses, but the convergence of the

240 compression paths towards the ICL is variable and even at the highest possible stresses, some  
241 samples do not reach it. This shows that some samples have not been completely destructured  
242 at the end of the test, or at least destructured to a similar state to the equivalent reconstituted  
243 sample. The compression curves of the non-transitional CDV samples studied by Okewale and  
244 Coop (2017) cross the ICL at very high vertical stresses due to low initial specific volumes and  
245 seem to show a weaker effect of structure. A slower convergence of compression paths to the  
246 ICL in Figure 10 may be linked to the same dominance of fabric in the soil which might give  
247 rise to the transitional behaviour.

248

#### 249 **Shearing behaviour**

250         Figures 11 and 12 present the stress-strain behaviour of the samples in undrained and  
251 drained loading respectively. Details of the tests are given in Table 5. Grey lines are used for  
252 the reconstituted samples and black lines are used for the intact samples. The labels indicate  
253 the type of sample (reconstituted/intact) with the first letter, followed by mean effective stress  
254  $p'$  prior to shearing, then type of loading (drained/undrained) and finally, the number of the  
255 test if there are more than one for the same mean effective stress. The changes in pore pressure  
256 ( $\Delta u$ ) have been normalised by mean effective stress prior to shearing ( $p_o'$ ) for the undrained  
257 tests (Fig. 11b). The stress-strain behaviour depends on both the stress level and type of loading  
258 but generally the deviatoric stress rises monotonically with axial strain to a critical state (Figs.  
259 11a and 12a), similar to the study of Okewale and Coop (2017) on samples of other formations.  
260 In some samples, pore pressure changes increase with axial strain to a peak and then slowly  
261 decrease until the critical state is reached. The pore pressure changes and volumetric strains are  
262 positive and the behaviour of the samples is contractive and strain hardening (Figs. 11b and  
263 12b).

264 Figure 13 presents the stress paths and critical states for the samples. The stress paths  
 265 are shown for only reconstituted samples in undrained loading. Only the critical states are  
 266 shown for the reconstituted samples in drained loading and intact samples. There is a unique  
 267 critical state line (CSL) in the stress plane with a gradient  $M$  of 1.46.

268 Table 5. Details of triaxial tests

Sample/test type	$v_i$	$p'_c$ (kPa)	$v_c$	End of shearing		$v_f$
				$q_{cs}$ (kPa)	$p'_{cs}$ (kPa)	
R50U	1.940	48	1.927	110	73	1.927
R50D	1.656	50	1.622	148	100	1.612
R100U1	1.800	100	1.770	189	130	1.770
R100U2	2.000	100	1.908	134	85	1.908
R100D1	1.759	100	1.712	260	186	1.691
R100D2	1.875	100	1.822	245	181	1.762
R100D3	2.006	100	1.902	236	180	1.838
R200U1	1.649	199	1.574	311	217	1.574
R200U2	1.721	199	1.631	189	150	1.631
R200U3	1.983	199	1.832	385	255	1.832
R200D	1.673	198	1.609	630	407	1.607
R220D	1.839	219	1.760	630	430	1.697
R250D1	1.625	249	1.537	531	425	1.495
R250D2	1.633	248	1.529	602	448	1.461
R270U	1.805	269	1.693	338	249	1.693
R300U1	1.659	299	1.539	435	315	1.539
R300U2	2.046	298	1.868	577	398	1.868
R300D1	1.875	299	1.747	762	551	1.685
R300D2	1.271	299	1.182	800	564	1.137
R350D	1.836	349	1.668	995	679	1.595
R400U	1.781	399	1.631	642	440	1.631
R400D	1.774	399	1.616	1239	809	1.538
R450U	2.044	450	1.839	507	409	1.839
R500U	1.886	497	1.691	495	346	1.691
R500D	1.768	500	1.624	1750	1081	1.517

I100U	1.842	98	1.830	207	138	1.830
I500D	1.870	500	1.747	1174	890	1.612

269 R reconstituted, I intact,  $v_i$  initial specific volume,  $p'_c$  mean effective stress prior to shearing,  
 270  $v_c$  specific volume before shearing,  $q_{cs}$  deviatoric stress at critical state,  $p'_{cs}$  mean effective  
 271 stress at critical state,  $v_f$  final specific volume.

272

273 Figure 14 shows the evolution of stress ratios for the samples. Again, grey lines are  
 274 used for the reconstituted samples and the black lines for the intact samples. With a little scatter,  
 275 this also confirms the unique critical state line gradient  $M$ . The  $M$  value is within the range  
 276 1.25-1.52 found for similar saprolitic soils (e.g., Okewale and Coop 2017; Rocchi and Coop  
 277 2015). This behaviour is in agreement with other studies (e.g., Ferreira and Bica 2006; Nocilla  
 278 et al. 2006) showing that non-uniqueness of the critical states in the volumetric plane is not  
 279 connected with non-uniqueness in terms of strength.

280 Figures (15) and (16) show the non-convergence of states in drained and undrained  
 281 loading for the reconstituted samples. It is expected that if a unique critical state line exists in  
 282 the  $v:\ln p'$  plane, drained tests at the same  $p'$  but different initial specific volumes  $v$  should  
 283 converge to a unique  $v$  at the critical state. Also, undrained tests at the same specific volume  
 284 but different initial  $p'$  should converge to unique  $p'$ . These do not happen and the tendency to  
 285 converge is very small because the initial differences in the  $p'$  or  $v$  tend to remain throughout  
 286 shearing, similar to what was found for other transitional soils (Shipton and Coop 2015;  
 287 Ponzoni et al. 2014).

288 The behaviour of the reconstituted and intact samples in the volumetric plane is shown  
 289 in Figure 17. The isotropic compression and shearing paths, as well as the critical states for all  
 290 the tests are shown. The arrow indicates a test that was still strain softening when terminated.  
 291 Within the range of stresses investigated, straight CSLs were chosen and in estimating the  
 292 CSLs, a unique value of the slope  $\lambda$  was assumed for simplicity. Different critical state lines

293 are found for the reconstituted samples and several estimated lines for samples with similar  
294 initial specific volumes are given. The locations of the CSLs in the volumetric plane are  
295 therefore influenced by the initial specific volumes of the samples. This again shows  
296 transitional behaviour in this soil, similar to other transitional soils. The behaviour is also  
297 clearly in contrast to the CDV samples investigated by Okewale and Coop (2017) which had a  
298 unique CSL that plots well above any of the parallel CSLs found here (Fig. 17a). Because there  
299 are too few data for the intact samples, a conclusion cannot be made whether there will be a  
300 unique CSL for these (Fig. 17b).

301 To quantify transitional behaviour in shearing, a parameter  $P$  proposed by Ponzoni et  
302 al. (2014) based on the locations of CSLs was employed. The value of  $P$  was calculated by  
303 plotting the initial specific volume ( $v_o$ ) before consolidation against the projected intercept of  
304 the critical state line ( $\Gamma$ ) at 1kPa. Similar to the quantification of transitional behaviour in  
305 compression, the gradient of the relationship is defined as parameter  $P$  and the value must range  
306 between 0 (fully non-transitional) and 1 (fully transitional). Figure 18 presents the calculation  
307 of parameter  $P$ . The destructuration of the intact samples during shearing seems to be more  
308 than that in compression allowing the data for the intact samples to plot close to the  
309 reconstituted data line. The arrow points to the data point for the strain softening sample which  
310 has been included in the regression. The  $P$  value of 0.84 is found for the reconstituted samples  
311 and this is significantly higher than  $m$  value for the reconstituted samples. This indicates that  
312 there has been less convergence of volume in shearing than in compression, which is a feature  
313 also found by other researchers (e.g., Ponzoni et al. 2014) and has been attributed to the much  
314 higher stresses reached in the oedometer tests compared to the triaxial tests.

315 The degree of transitional behaviour as quantified by  $P$  for the reconstituted  
316 decomposed volcanic rocks is found to be slightly higher than the values reported for sediments  
317 of the Venice lagoon by Ponzoni et al. (2014). Again, due to there being too few data and a

318 limited range of initial  $v$ , it is difficult to quantify any transitional behaviour for the intact  
319 samples. However, transitional behaviour has been found by others to be higher in intact than  
320 in reconstituted samples for some silty soils (e.g., Ponzoni et al. 2014). The fact that on Figure  
321 17 the critical states of the intact and reconstituted samples are similar, while the volumetric  
322 states remained distinctly different in compression (Fig. 9) does highlight that those aspects of  
323 structure that give the underlying transitional behaviour of the reconstituted soil and those  
324 aspects that give rise to differences between the intact and reconstituted soil are affected very  
325 differently by compression and shear.

326 An attempt has been made to determine the extent to which the intact structure  
327 influences the shear strength by normalizing the shearing data for volume, thereby deriving the  
328 state boundary surface. An intrinsic state boundary surface (SBS\*) is usually obtained using  
329 the isotropic normal compression line (NCL\*) as a reference. However, the choice of  
330 normalising with respect to critical state line (CSL\*) was necessary due to difficulties in clearly  
331 identifying NCL\*s. This is because high pressures would be required and there were difficulties  
332 in testing the samples at such high pressures due to equipment limitations and membrane  
333 puncture.

334 The stress paths of the reconstituted and intact samples have been normalized by an  
335 equivalent pressure taken on the critical state line  $p'_{cs}$ , ( $p'_{cs} = \exp(\Gamma - v)/\lambda$ ) and the critical state  
336 line gradient  $M$ . This makes the critical state plot on the coordinate (1:1). Similar to the  
337 normalization  $I_v$  in compression, one CSL gradient has been assumed but with a different  
338 intercept  $\Gamma$  for every test, which was calculated from Figure 18 using the initial  $v$  of each test.  
339 Figure 19 shows the normalized shearing behaviour for the intact and reconstituted samples.  
340 The reconstituted stress paths are represented by grey lines, intact samples are represented by  
341 black lines and the critical states are represented by black symbols. The intrinsic state boundary  
342 surface (SBS\*) is represented by short broken line and the intact SBS depicted by long broken

343 line. The size of the intact SBS is larger than intrinsic SBS\* on the wet side indicating the  
344 effects of structure, similar to behaviour in compression, although with a complete convergence  
345 to the same critical state that the reconstituted soil would have at that initial specific volume.  
346 As discussed above the isotropic compression paths for the reconstituted soils may not have  
347 been taken to high enough pressures to be sure of identifying accurately the wet side of the  
348 SBS\*. However, from the comparison of the isotropic compression behaviour of intact and  
349 reconstituted samples on Fig. 17 there is a very clear difference in Group 3 for intact and  
350 reconstituted samples of similar initial specific volumes, with the intact sample that was loaded  
351 to the highest stresses clearly reaching states further to the right of the CSL\* than the  
352 reconstituted samples at similar stresses. It does not seem that loading to still higher pressures  
353 would change this, confirming that the SBS\* is significantly smaller than the intact SBS.

354

## 355 **Conclusions**

356 The mechanics of a transitional mode of behaviour in a decomposed volcanic rock have  
357 been investigated by carrying out oedometer and triaxial tests on intact and reconstituted  
358 samples. The behaviour is characterised by parallel normal compression and critical state lines  
359 that highlight the transitional mode of behaviour in both compression and shearing, particularly  
360 for the reconstituted samples. For the intact samples, the difficulty of having only a narrow  
361 range of initial (in-situ) specific volumes and also too few tests in these difficult soils meant  
362 that the pattern is less clear. This is, however, the first time that any transitional behaviour has  
363 been identified in a weathered soil from an igneous rock.

364 Using the factors  $m$  and  $P$  proposed by Ponzoni et al. (2014) to quantify transitional  
365 behaviour, the  $m$  value for compression is significantly less than the  $P$  value for shear. From  $m$   
366 the soil can be classified as having a medium transitional behaviour, but using the locations of

367 the CSLs (P), the value for the decomposed volcanic rock is higher than other published values.  
368 A robust fabric that is difficult to break down is likely to be the cause of the transitional  
369 behaviour, and comparisons with a CDV of similar grading but conventional non-transitional  
370 behaviour did highlight very different fabrics. It was interesting that there were significant  
371 differences between the behaviour of the intact and reconstituted soil, which must also be the  
372 result of other elements of structure.

373           Allowing for the influence of the initial specific volume through normalisation, showed  
374 that these elements of structure were much less broken down by compression than shear, and  
375 so are rather different to those causing the transitional behaviour. Identifying which elements  
376 of structure cause differences between the intact and reconstituted soil and which cause  
377 transitional behaviour remains a challenge for future research. Since it is difficult for practising  
378 engineers to observe the soil fabrics with routine laboratory testing equipment, properties of  
379 these type of materials can be only be characterised completely by considering the non-  
380 uniqueness of their normal compression and critical state lines. However, providing that good  
381 intact samples are tested our engineering design parameters, such as angle of shearing  
382 resistance or compressibility, will still be valid for design in the soils in-situ even if  
383 conventional tests and analysis would not allow us to understand the role of structure.

384

### 385 **Acknowledgements**

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389 assistance in obtaining the samples used for this work.

390

391 **Notations**

392 The following symbols are used in this paper:

393  $C_u$  = coefficient of uniformity;

394  $d_{50}$  = mean particle size;

395  $e$  = void ratio;

396  $e^*_{100}$  = void ratios at 100kPa;

397  $e^*_{1000}$  = void ratios at 1000kPa;

398  $I_v$  = void index;

399  $p'$  = mean normal effective stress;

400  $p'_{cs}$  = equivalent pressure taken on CSL;

401  $p'_0$  = mean effective stress prior to shearing;

402  $v$  = specific volume;

403  $\Gamma$  = intercept of the CSL at 1kPa; and

404  $\lambda$  = gradient of the NCL or CSL.

405

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464

465 **Figure captions**

466 **Fig 1** Grading curves of the samples

467 **Fig 2** Micrographs of the intact samples

468 **Fig 3** Micrographs of the reconstituted samples

469 **Fig 4** One-dimensional compression behaviour for the reconstituted samples

470 **Fig 5** One-dimensional compression for the reconstituted CDV samples (Okewale and Coop,  
471 2017)

472 **Fig 6** SEM images showing fabric of the CDV samples; (a) reconstituted and (b) intact  
473 (Okewale and Coop, 2017)

474 **Fig 7** One-dimensional compression for the intact samples

475 **Fig 8** One-dimensional compression for the intact CDV samples (Okewale and Coop, 2017)

476 **Fig 9** Calculation of degree of convergence in compression for the samples

477 **Fig 10** Normalised compression behaviour using void index  $I_v$

478 **Fig 11** Stress-strain behaviour for undrained triaxial tests of the reconstituted and intact  
479 samples; (a)  $q:\epsilon_a$ , and (b)  $\Delta u/p'_o$

480 **Fig 12** Stress-strain behaviour for drained triaxial tests of the reconstituted and intact  
481 samples; (a)  $q:\epsilon_a$ , and (b)  $\epsilon_v:\epsilon_a$

482 **Fig 13** Stress paths and critical states for the samples

483 **Fig 14** Evolution of stress ratios for the samples

484 **Fig 15** Non-convergence of states in drained shearing

485 **Fig 16** Non-convergence of states in undrained shearing

486 **Fig 17** Behaviour of the samples in volumetric plane; (a) reconstituted and (b) intact and  
487 reconstituted

488 **Fig 18** Calculations of P value for the samples

489 **Fig 19** Normalised shear behaviour for the samples

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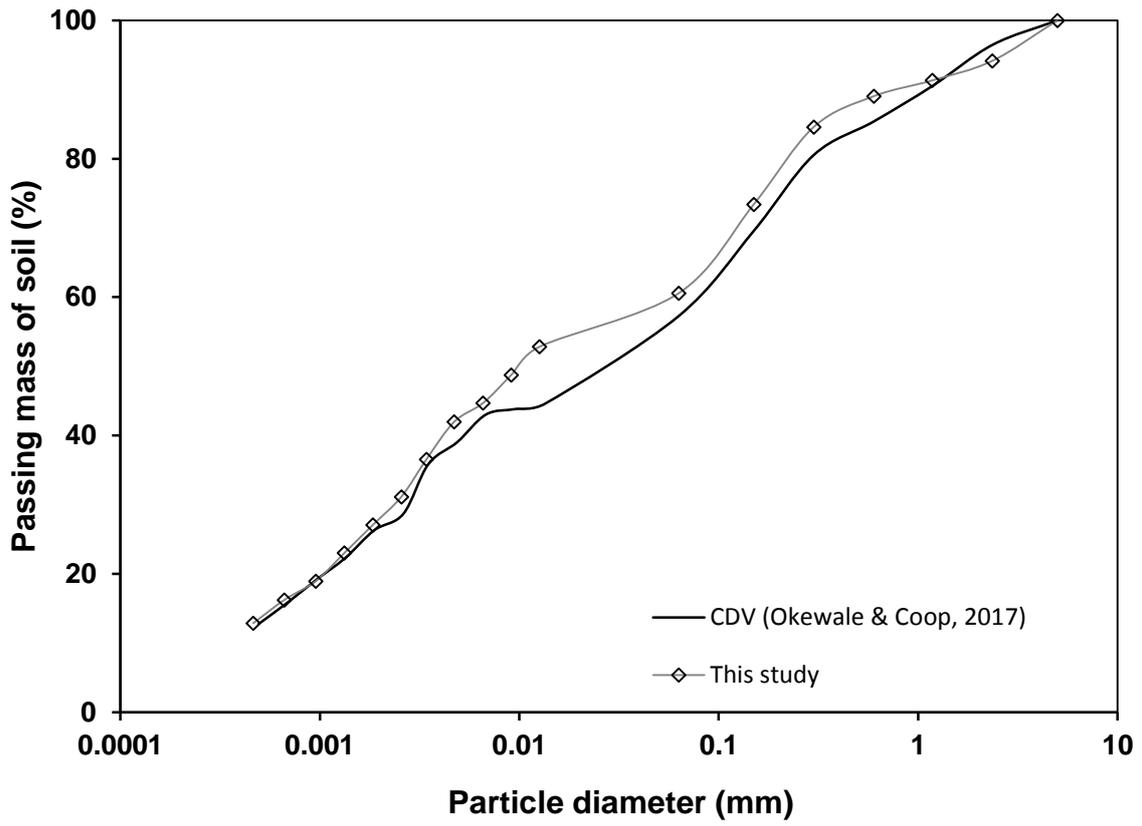
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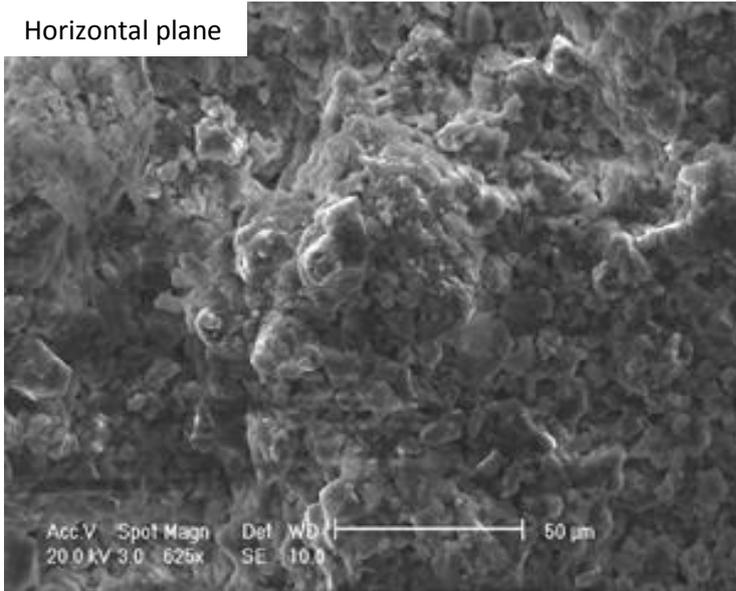
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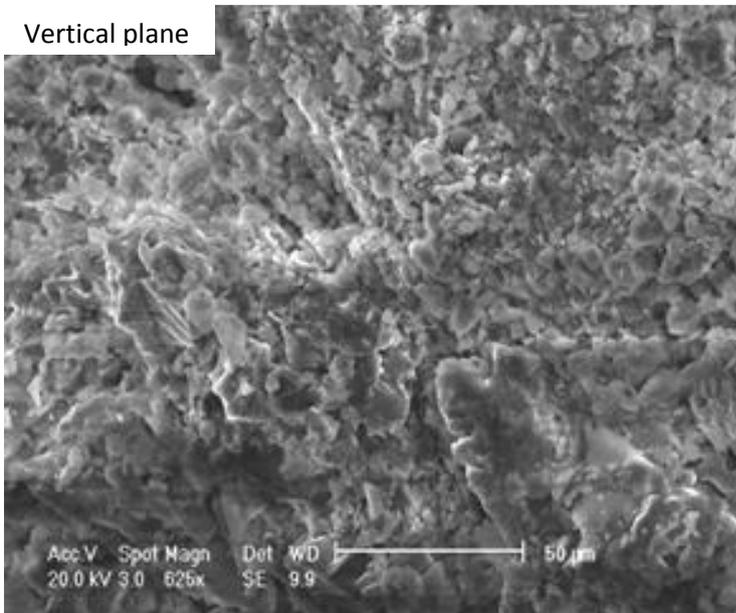
499 Fig 1 Grading curves of the samples

Horizontal plane



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Vertical plane



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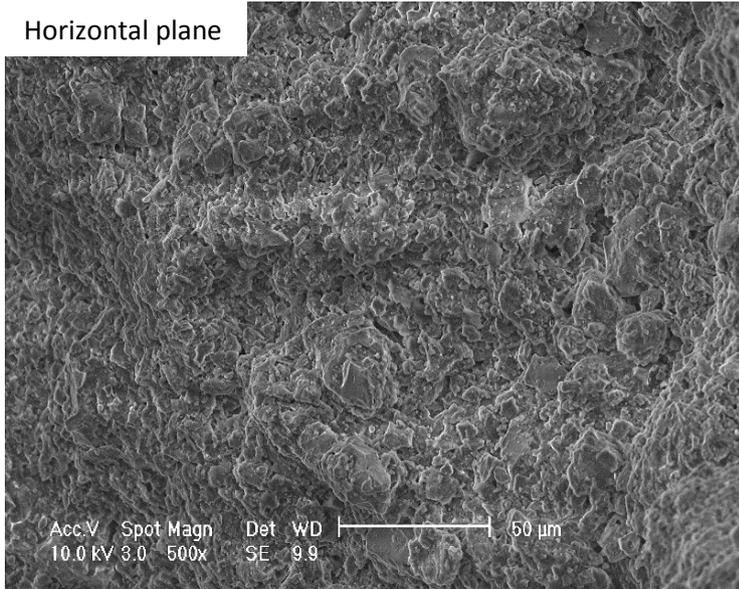
502 Fig 2 Micrographs of the intact samples

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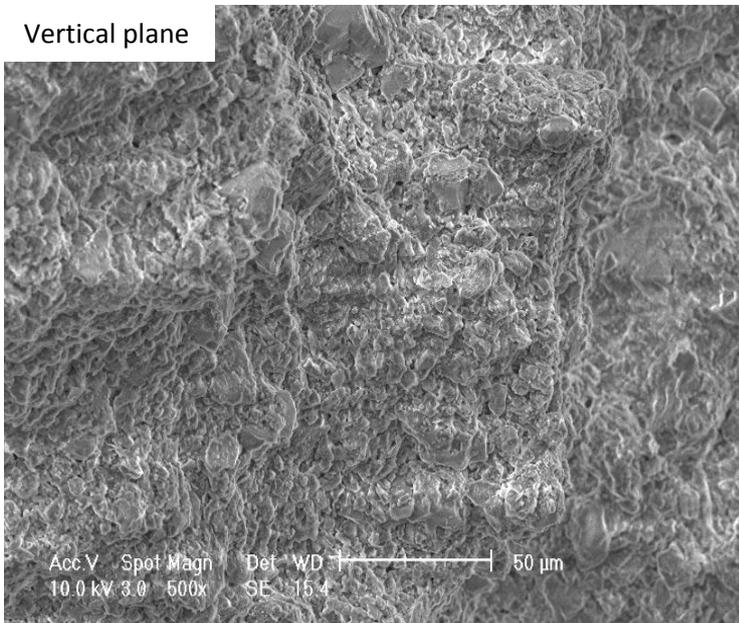
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Horizontal plane



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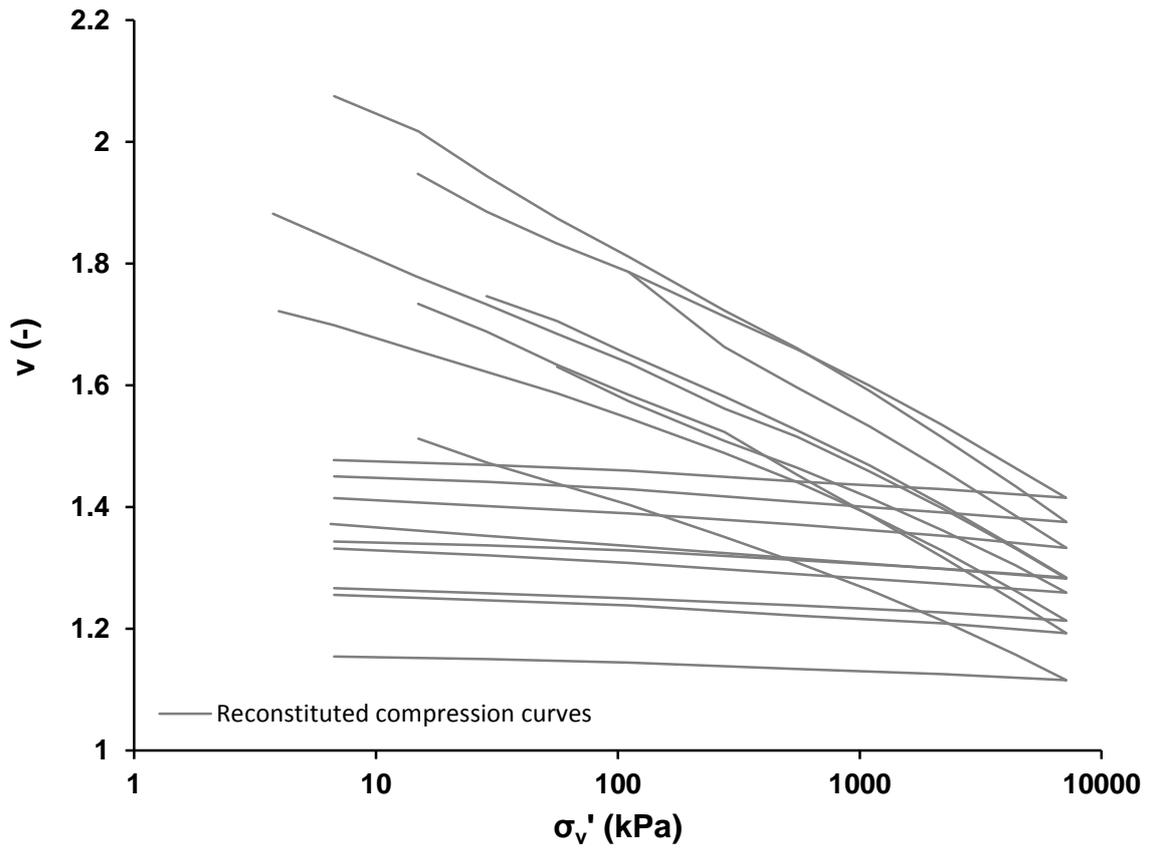
Vertical plane



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508 Fig 3 Micrographs of the reconstituted samples

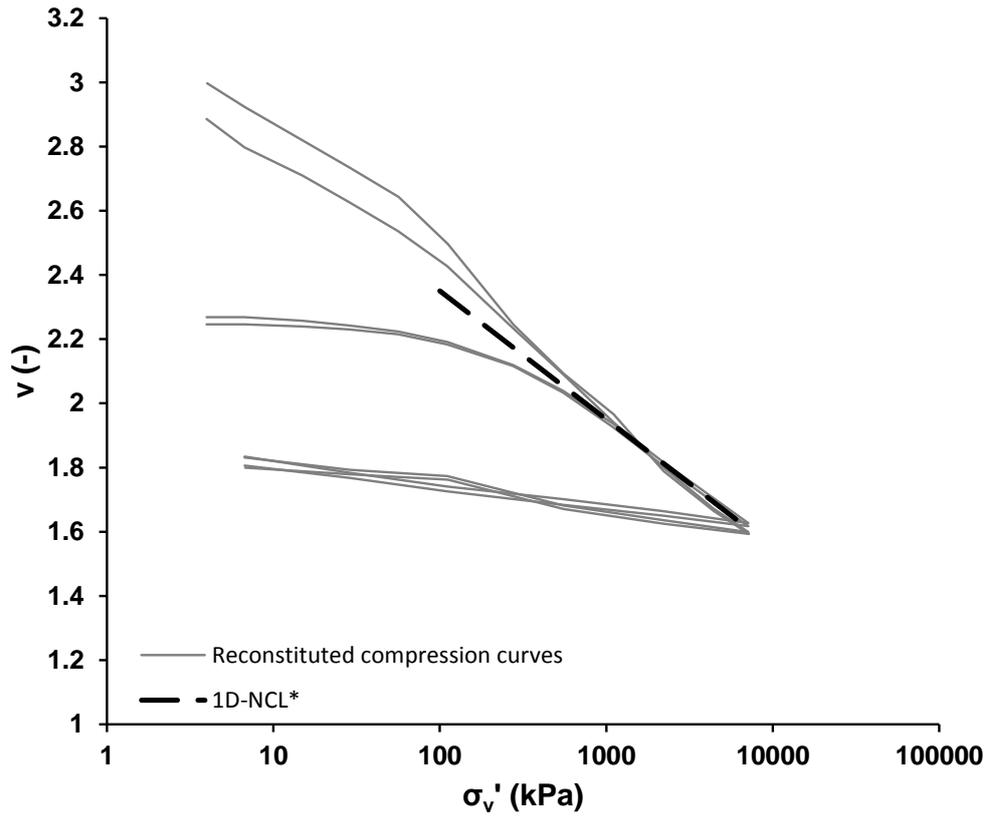
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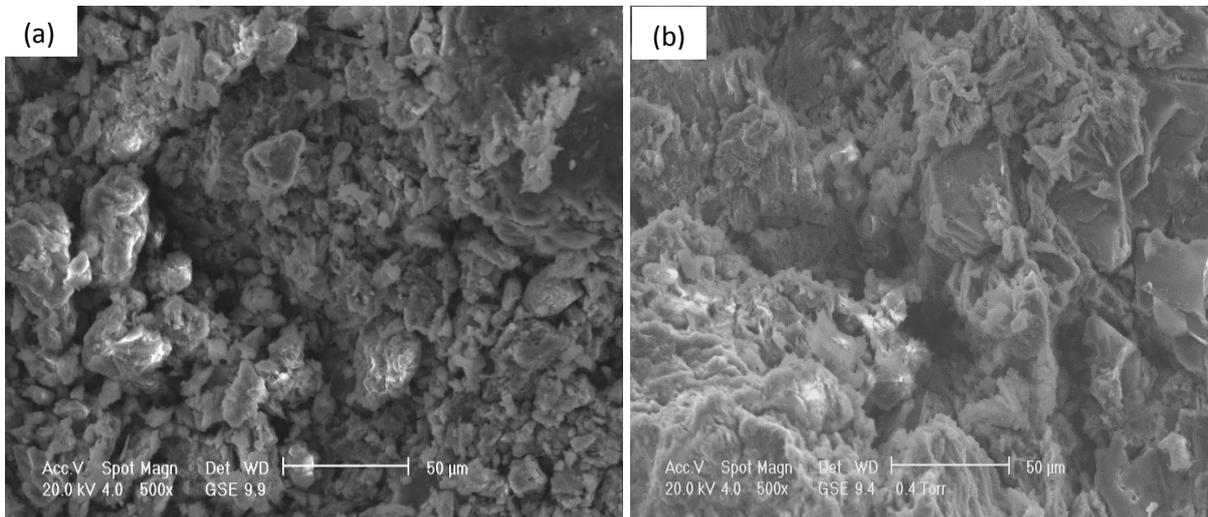
511 Fig 4 One-dimensional compression behaviour for the reconstituted samples

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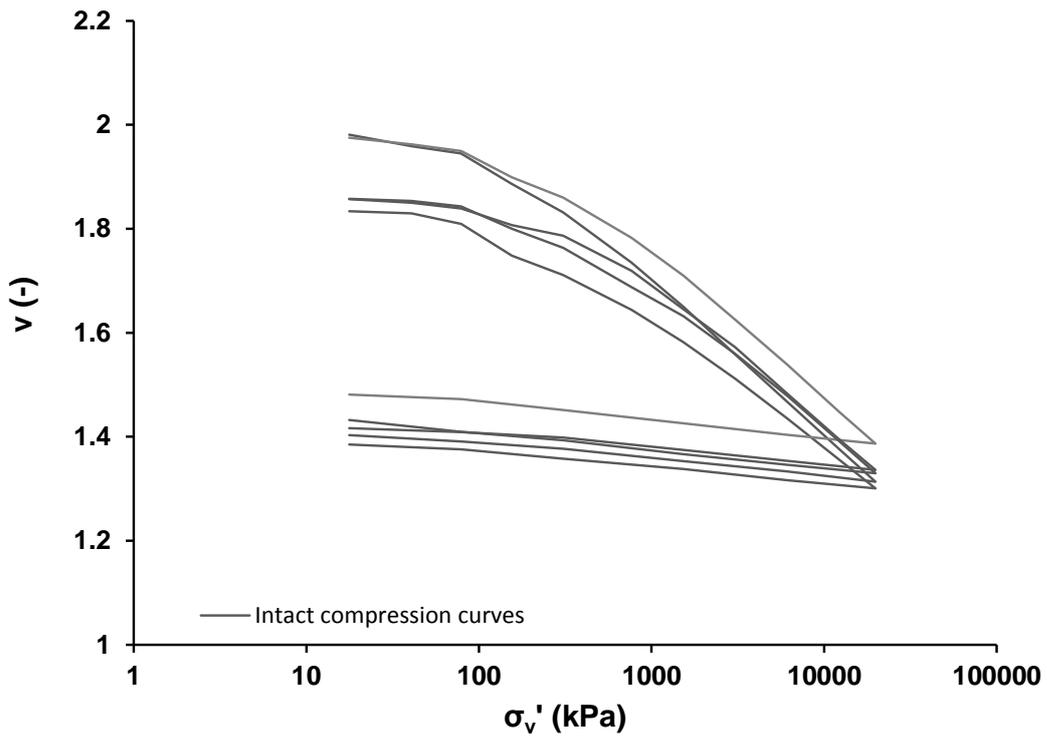
514 Fig 5 One-dimensional compression for the reconstituted CDV samples (Okewale and Coop,  
 515 2017)



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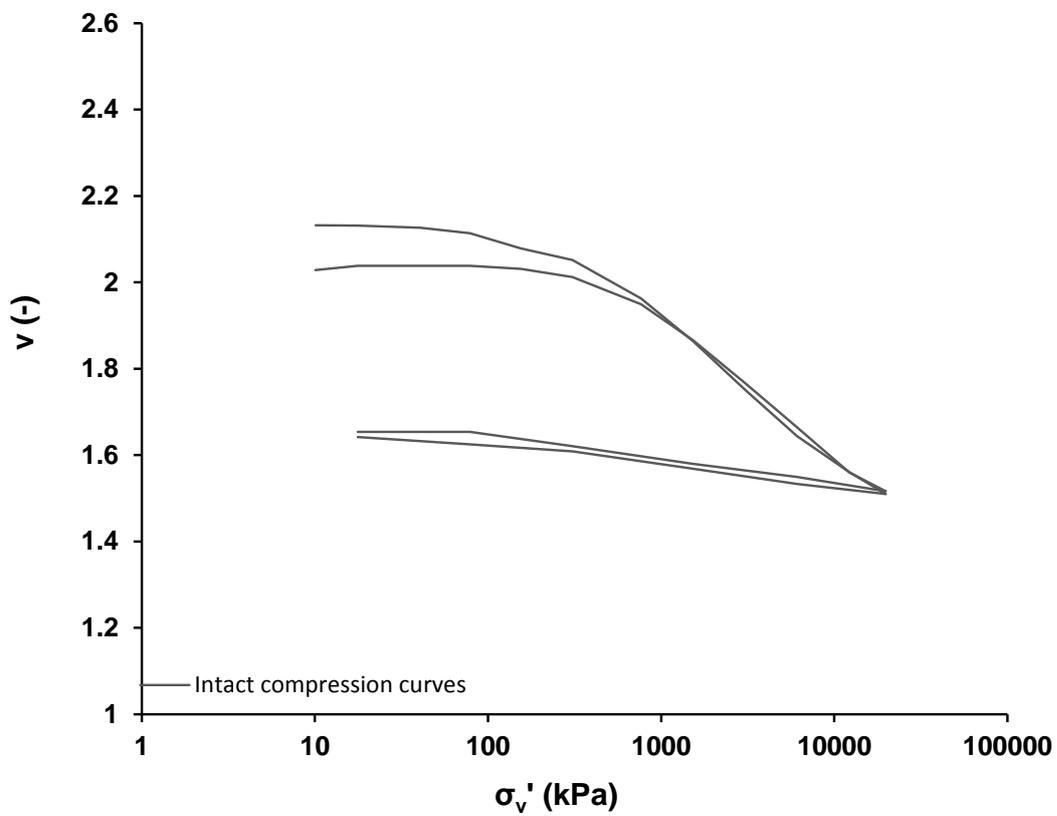
517 Fig 6 SEM images showing fabric of the CDV samples; (a) reconstituted and (b) intact  
 518 (Okewale and Coop, 2017)

519



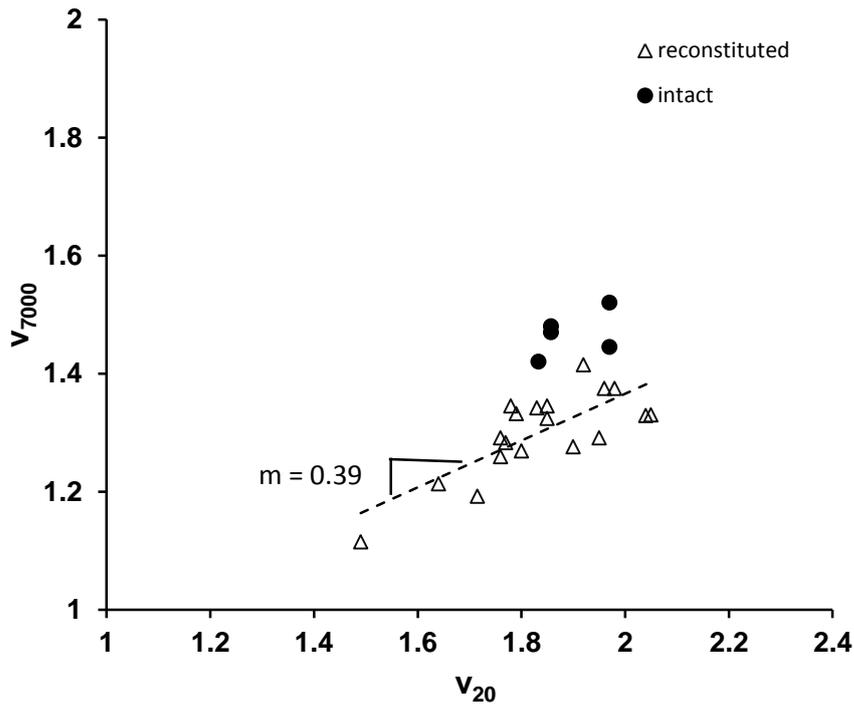
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521 Fig 7 One-dimensional compression for the intact samples



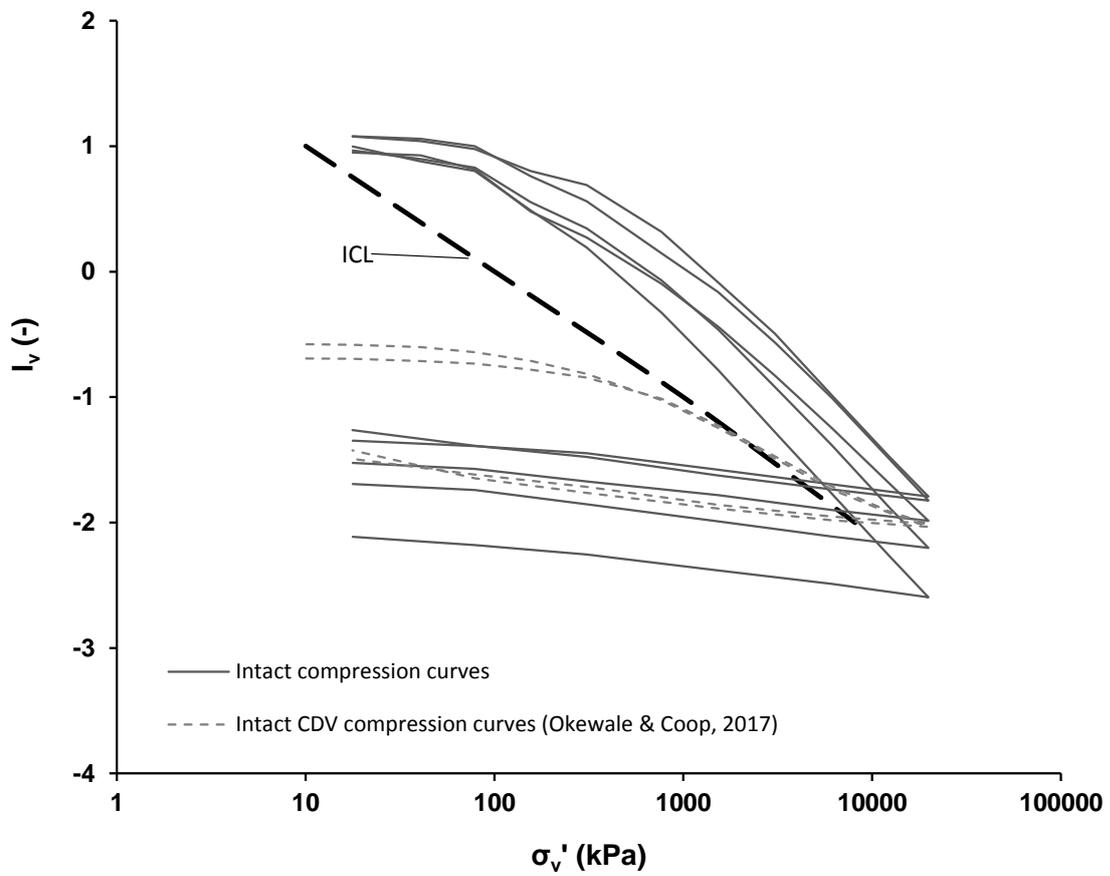
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523 Fig 8 One-dimensional compression for the intact CDV samples (Okewale and Coop, 2017)



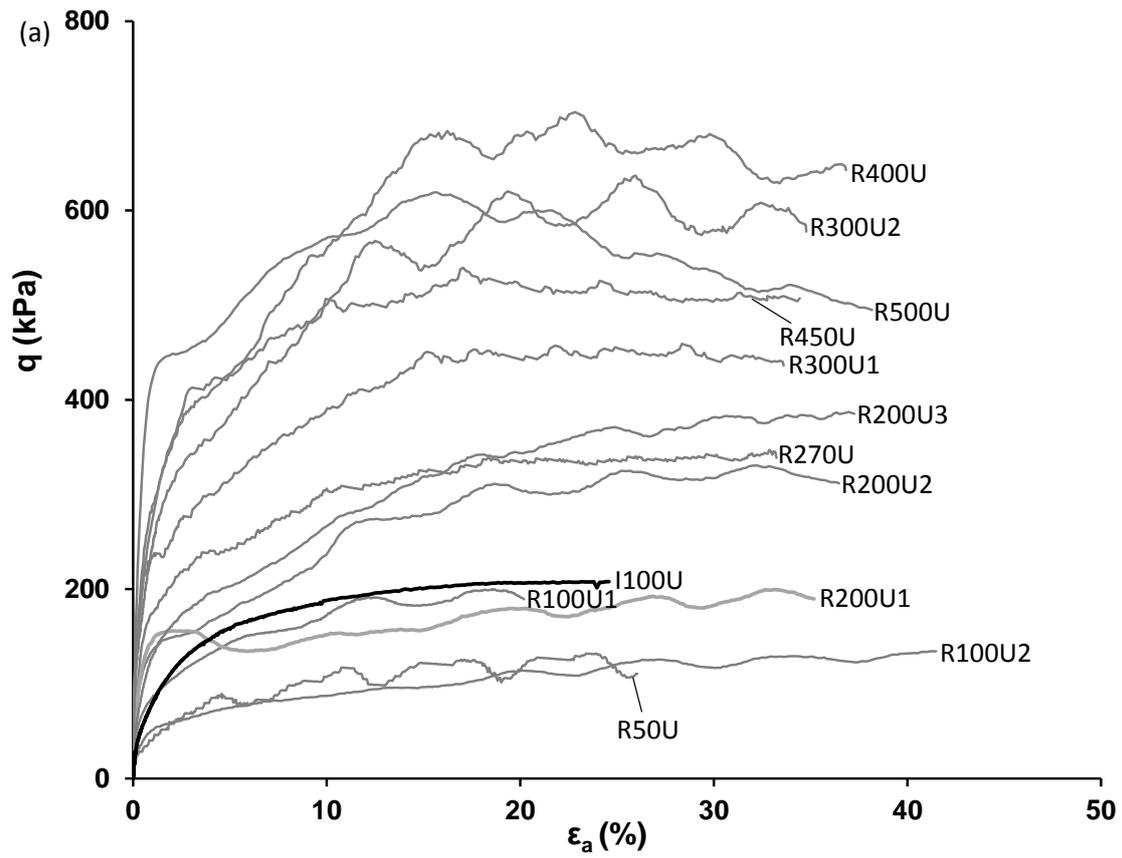
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525 Fig 9 Calculation of degree of convergence in compression for the samples

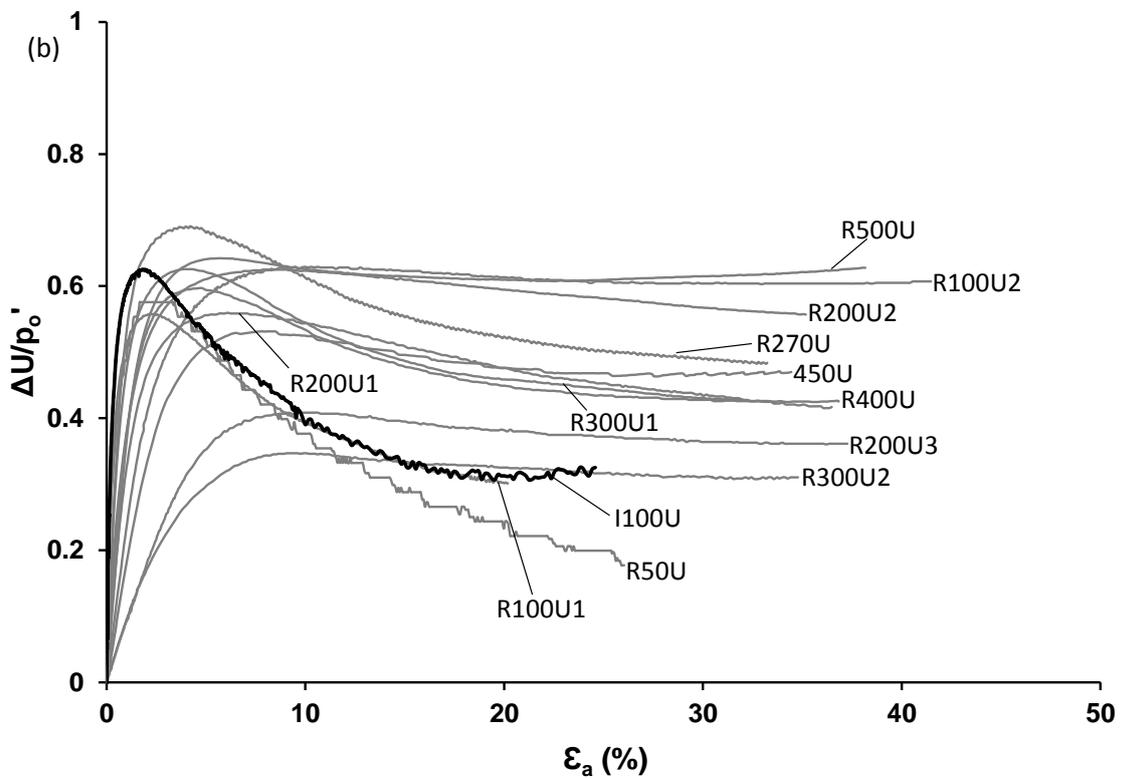


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527 Fig 10 Normalised compression behaviour using void index  $I_v$

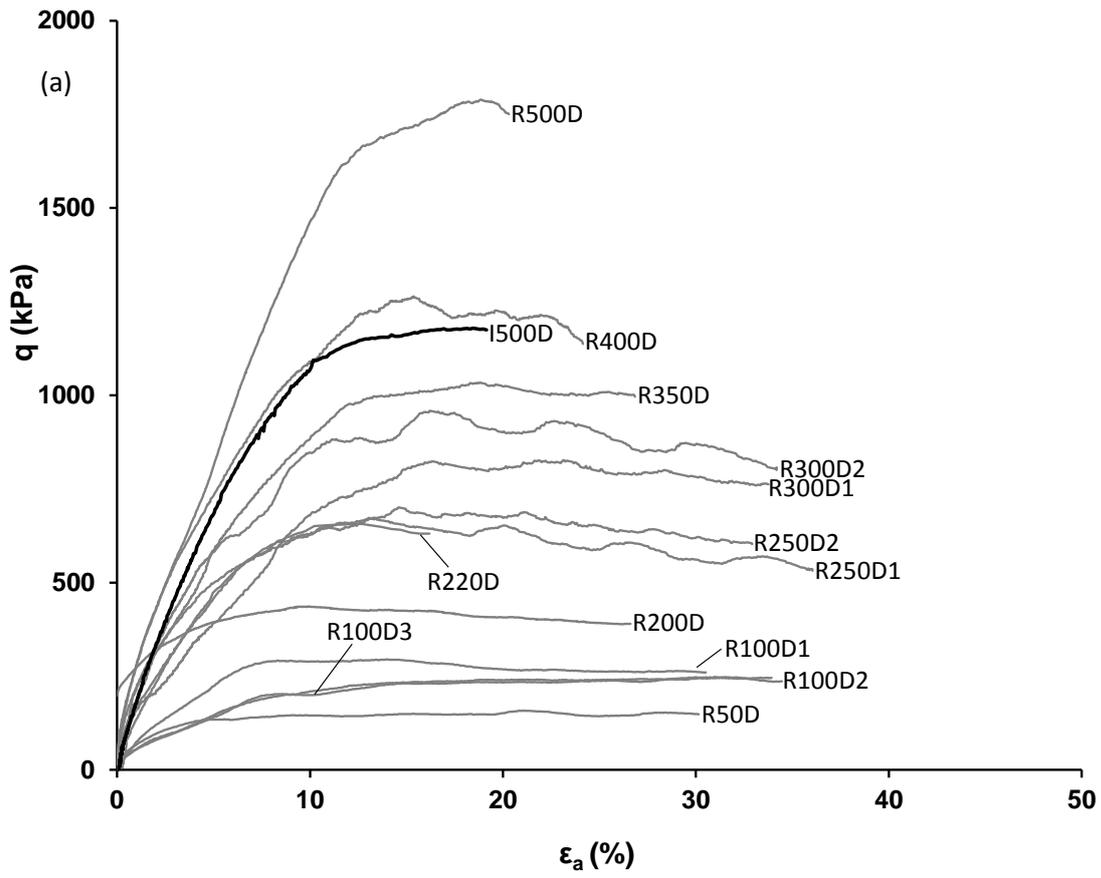


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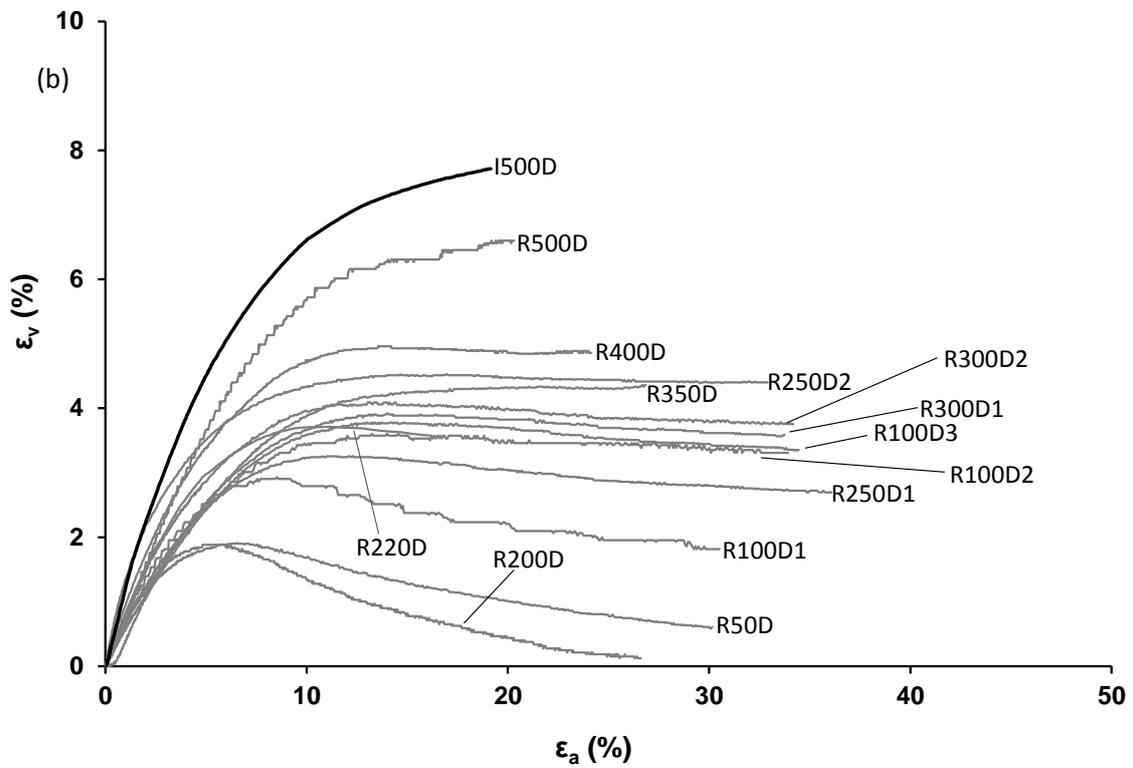


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530 Fig 11 Stress-strain behaviour for undrained triaxial tests of the reconstituted and intact  
 531 samples; (a)  $q:\epsilon_a$ , and (b)  $\Delta u/p'_o$



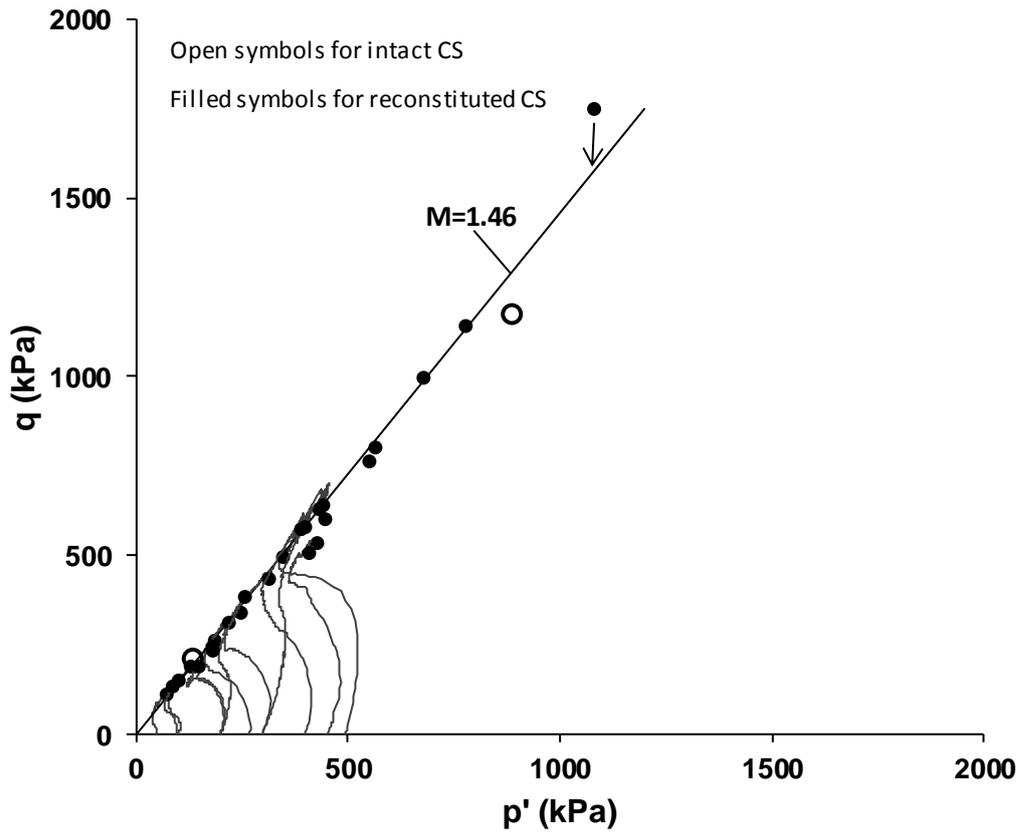
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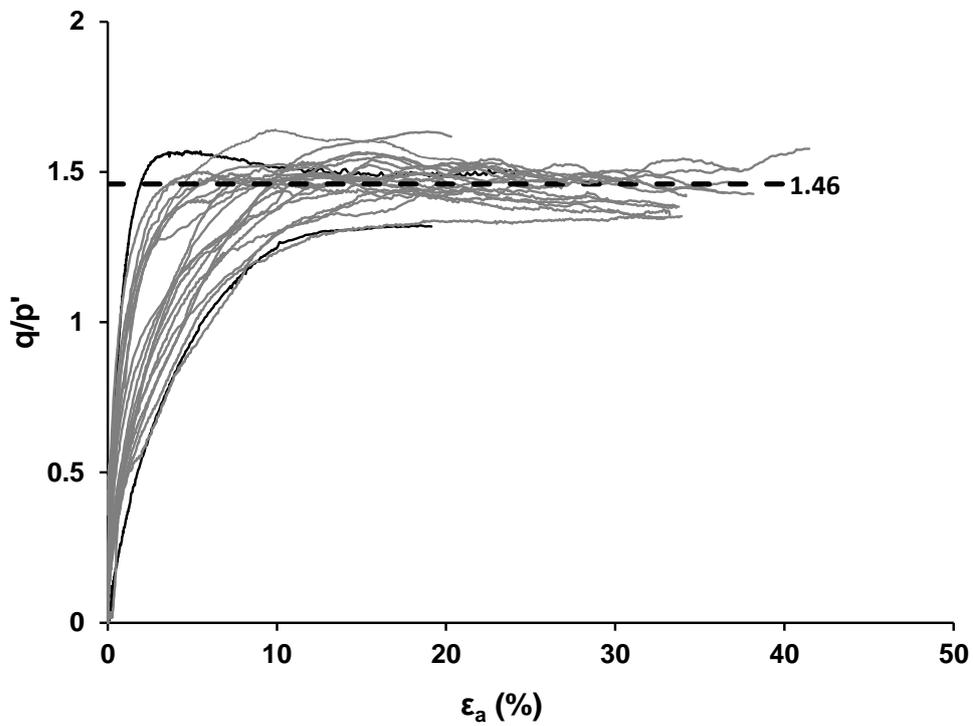
534 Fig 12 Stress-strain behaviour for drained triaxial tests of the reconstituted and intact

535 samples; (a)  $q:\epsilon_a$ , and (b)  $\epsilon_v:\epsilon_a$



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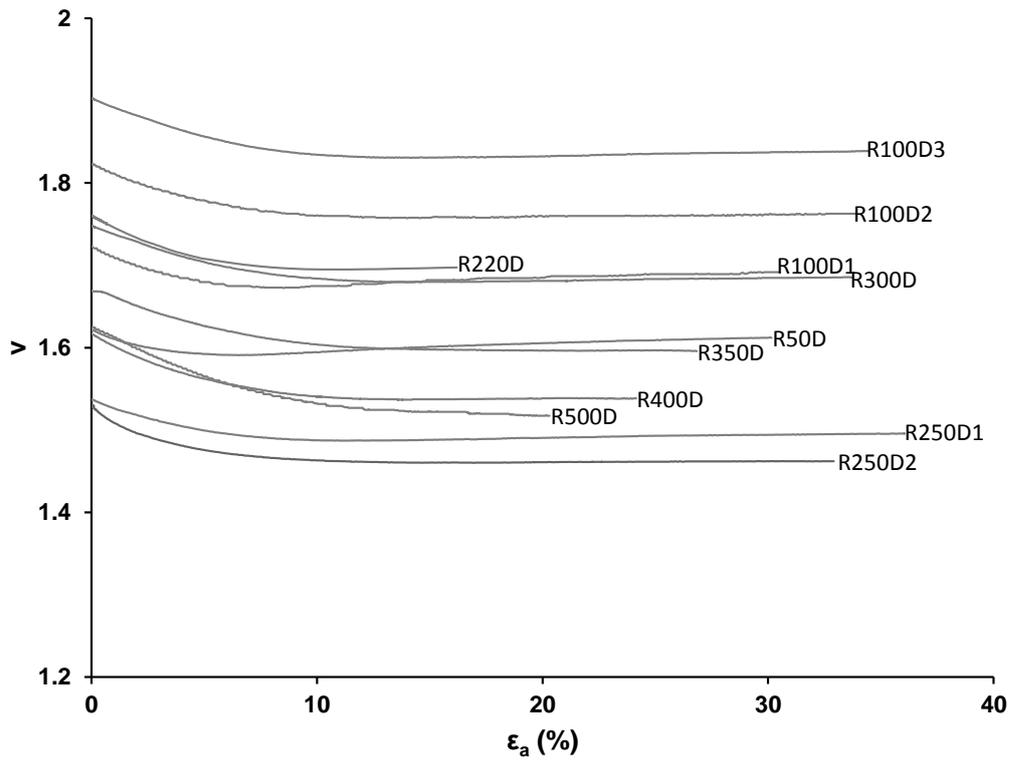
537 Fig 13 Stress paths and critical states for the samples



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539 Fig 14 Evolution of stress ratios for the samples

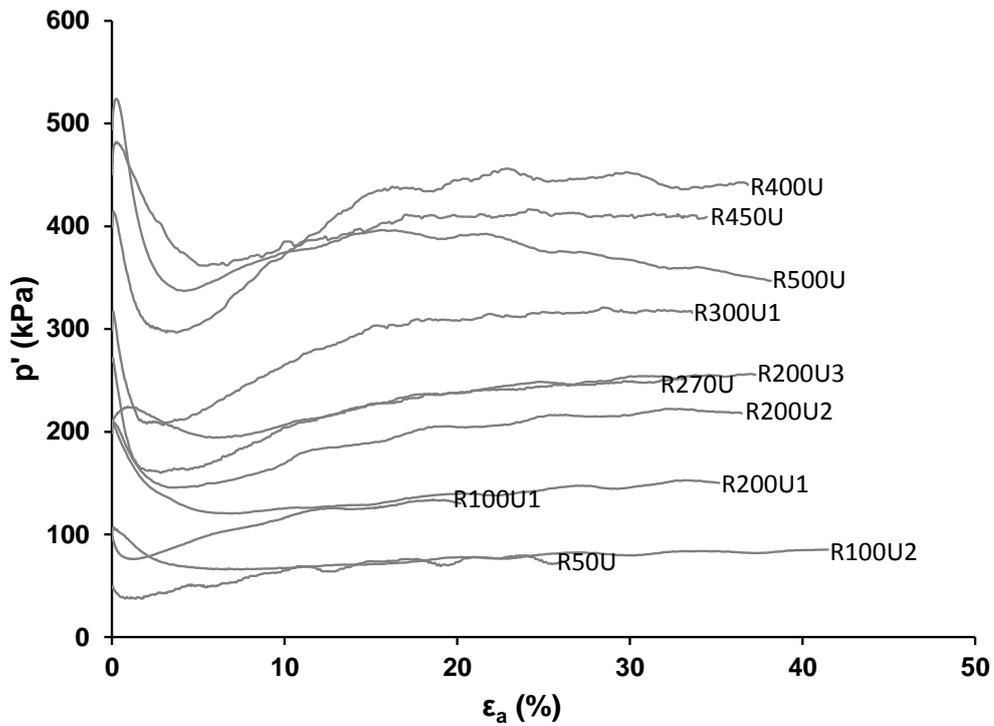
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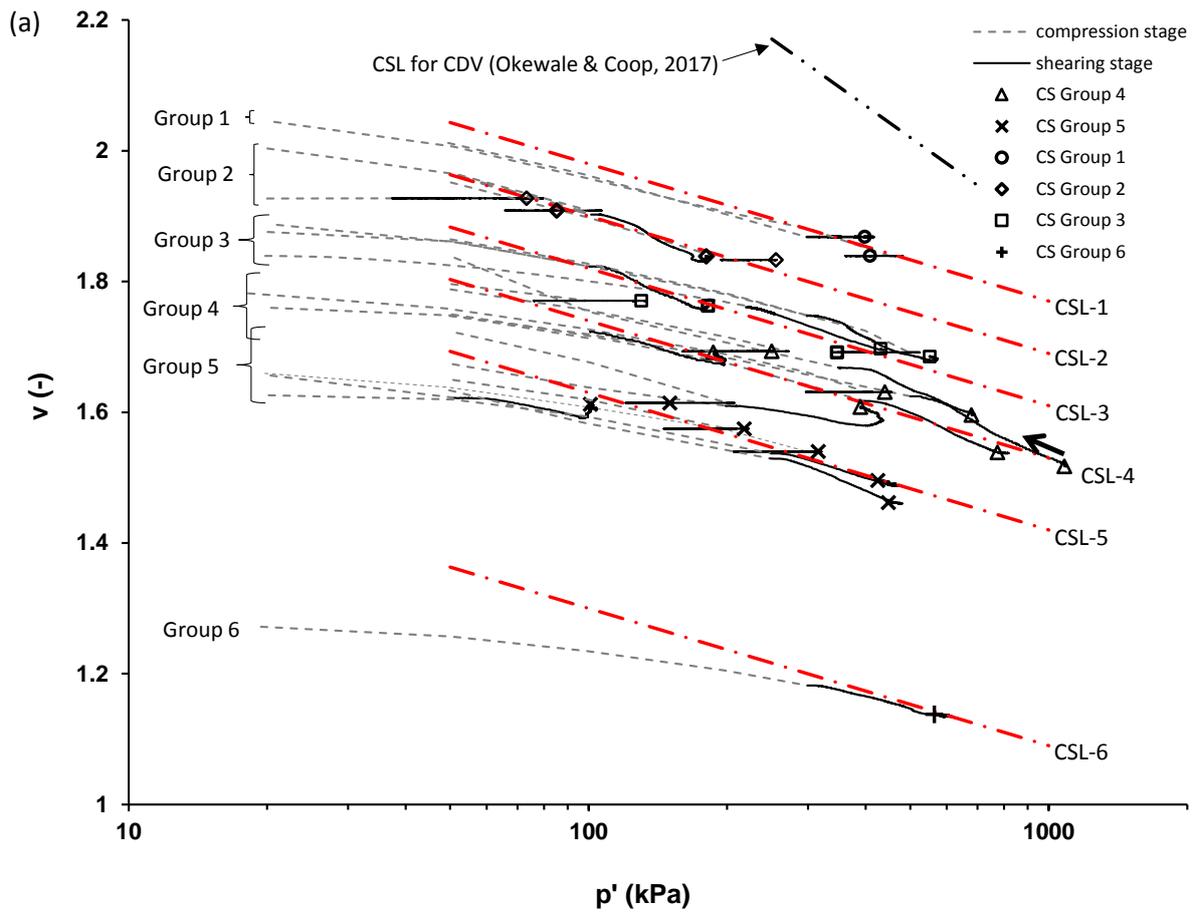
542 Fig 15 Non-convergence of states in drained shearing

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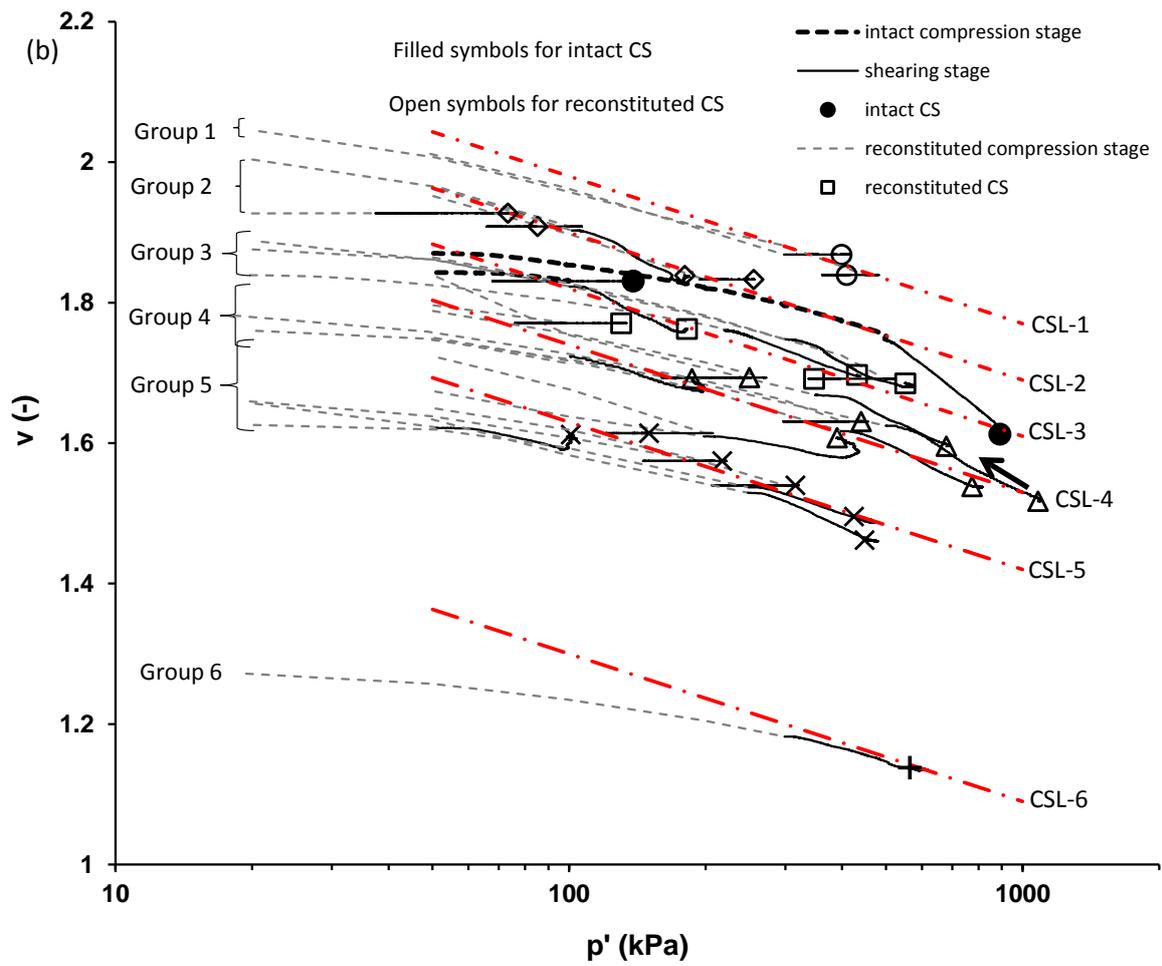
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545 Fig 16 Non-convergence of states in undrained shearing



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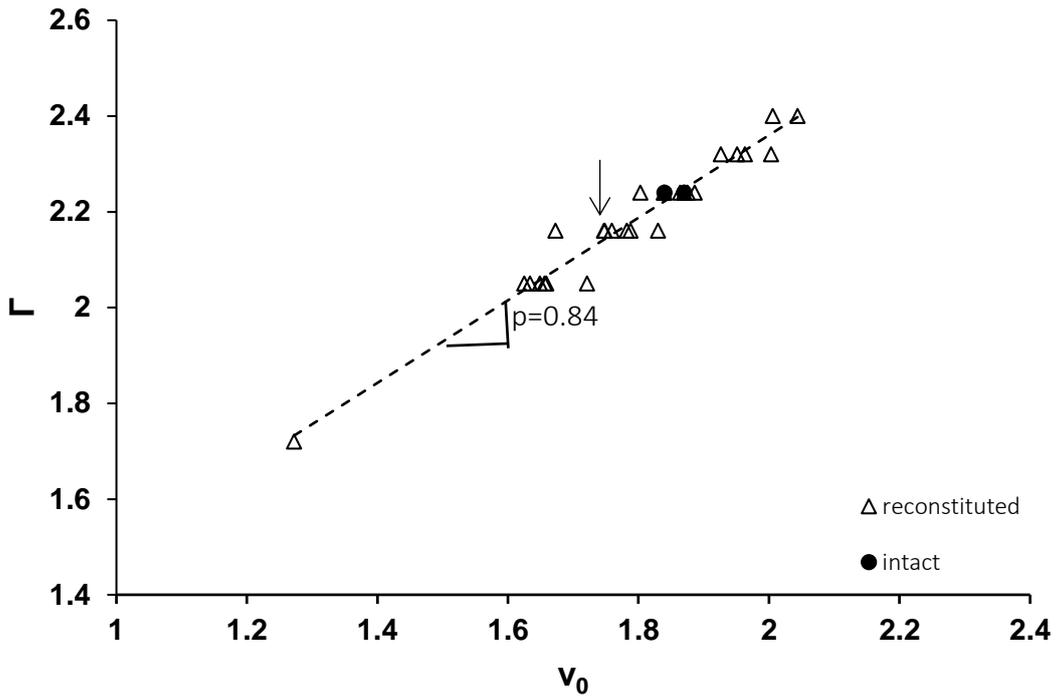


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549 Fig 17 Behaviour of the samples in volumetric plane; (a) reconstituted and (b) intact and

550 reconstituted

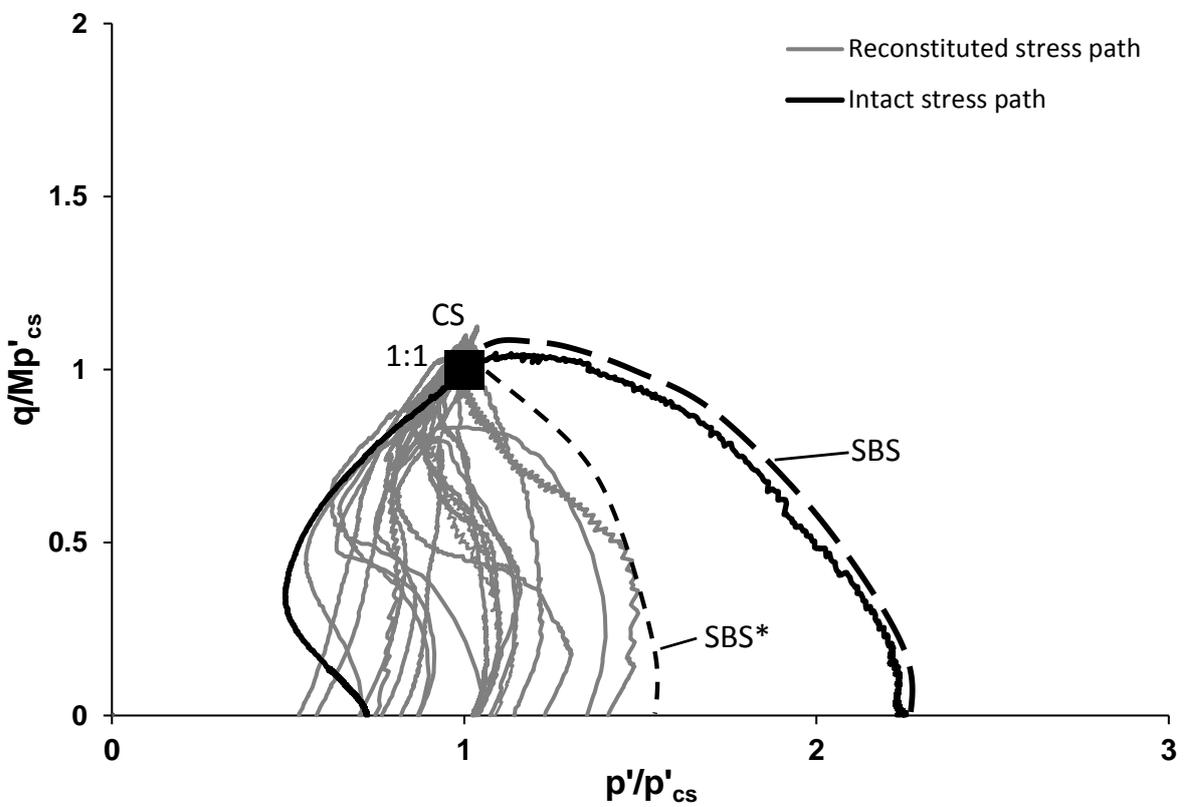
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553 Fig 18 Calculations of P value for the samples

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556 Fig 19 Normalised shear behaviour for the samples

557 Table 1. Sample description and index properties

Formation	Depth (m)	Grading	d <sub>50</sub> (mm)	c <sub>u</sub>	Clay Fraction (%)	Silt Fraction (%)	Liquid limit (%)	Plastic limit (%)	Plasticity index (%)
TMSF	4-5	Coarse	0.01	150	28	30.7	35.5	26.1	9.4

558 TMSF Tai Mo Shan Formation, d<sub>50</sub> mean particle size, c<sub>u</sub> coefficient of uniformity

559

560 Table 2. Mineralogy from XRD analysis

Minerals (%)			
Quartz	Feldspars	Clays	Others
37	20	30	13

561

562 Table 3. Chemical compositions from XRF analysis

Chemical compositions (%)							
Na <sub>2</sub> O	CaO	MgO	Al <sub>2</sub> O <sub>3</sub>	SiO <sub>2</sub>	K <sub>2</sub> O	TiO <sub>2</sub>	Fe <sub>2</sub> O <sub>3</sub>
1.44	0.27	0.06	19.42	62.14	2.23	1.54	12.90

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579 Table 4. Details of oedometer tests

Sample/test type	$w_i$	$v_i$	$\sigma_{v \max}$ (kPa)
RO1	0.275	1.787	7145
RO2	0.499	2.304	7155
RO3	0.419	2.093	7152
RO4	0.447	2.192	7124
RO5	0.422	2.144	7144
RO6	0.306	2.319	7147
RO7	0.409	2.137	7147
RO8	0.420	2.126	7141
RO9	0.418	2.126	7152
RO10	0.444	2.002	7158
RO11	0.407	2.019	7144
RO12	0.470	2.254	7144
RO13	0.459	2.152	7139
RO14	0.323	1.907	7138
RO15	0.323	1.893	7151
RO16	0.359	2.008	7165
RO17	0.362	2.002	7171
RO18	0.390	2.017	7171
RO19	0.530	2.390	7165
IO1	0.320	1.991	19854
IO2	0.273	1.992	19854
IO3	0.273	1.839	19854
IO4	0.302	1.861	19854
IO5	0.327	1.858	19854

580 R reconstituted, I intact,  $w_i$  initial/ in-situ water content,  $v_i$  initial specific volume,  $\sigma_{v \max}$   
 581 maximum vertical stress.

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586 Table 5. Details of triaxial tests

Sample/test type	$v_i$	$p'_c$ (kPa)	$v_c$	End of shearing		$v_f$
				$q_{cs}$ (kPa)	$p'_{cs}$ (kPa)	
R50U	1.940	48	1.927	110	73	1.927
R50D	1.656	50	1.622	148	100	1.612
R100U1	1.800	100	1.770	189	130	1.770
R100U2	2.000	100	1.908	134	85	1.908
R100D1	1.759	100	1.712	260	186	1.691
R100D2	1.875	100	1.822	245	181	1.762
R100D3	2.006	100	1.902	236	180	1.838
R200U1	1.649	199	1.574	311	217	1.574
R200U2	1.721	199	1.631	189	150	1.631
R200U3	1.983	199	1.832	385	255	1.832
R200D	1.673	198	1.609	630	407	1.607
R220D	1.839	219	1.760	630	430	1.697
R250D1	1.625	249	1.537	531	425	1.495
R250D2	1.633	248	1.529	602	448	1.461
R270U	1.805	269	1.693	338	249	1.693
R300U1	1.659	299	1.539	435	315	1.539
R300U2	2.046	298	1.868	577	398	1.868
R300D1	1.875	299	1.747	762	551	1.685
R300D2	1.271	299	1.182	800	564	1.137
R350D	1.836	349	1.668	995	679	1.595
R400U	1.781	399	1.631	642	440	1.631
R400D	1.774	399	1.616	1239	809	1.538
R450U	2.044	450	1.839	507	409	1.839
R500U	1.886	497	1.691	495	346	1.691
R500D	1.768	500	1.624	1750	1081	1.517
I100U	1.842	98	1.830	207	138	1.830
I500D	1.870	500	1.747	1174	890	1.612

587 R reconstituted, I intact,  $v_i$  initial specific volume,  $p'_c$  mean effective stress prior to shearing,  
 588  $v_c$  specific volume before shearing,  $q_{cs}$  deviatoric stress at critical state,  $p'_{cs}$  mean effective  
 589 stress at critical state,  $v_f$  final specific volume.

590