Comparison of beamformer implementations for MEG source localization

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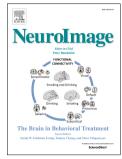
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# **1** Comparison of beamformer implementations for MEG source

# 2 localization

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# 17 Abstract

Beamformers are applied for estimating spatiotemporal characteristics of neuronal sources 18 underlying measured MEG/EEG signals. Several MEG analysis toolboxes include an 19 implementation of a linearly constrained minimum-variance (LCMV) beamformer. However, 20 differences in implementations and in their results complicate the selection and application of 21 22 beamformers and may hinder their wider adoption in research and clinical use. Additionally, combinations of different MEG sensor types (such as magnetometers and planar gradiometers) 23 and application of preprocessing methods for interference suppression, such as signal space 24 separation (SSS), can affect the results in different ways for different implementations. So far, a 25 systematic evaluation of the different implementations has not been performed. Here, we 26 compared the localization performance of the LCMV beamformer pipelines in four widely used 27 open-source toolboxes (MNE-Python, FieldTrip, DAiSS (SPM12), and Brainstorm) using datasets 28 29 both with and without SSS interference suppression.

We analyzed MEG data that were i) simulated, ii) recorded from a static and moving phantom, and iii) recorded from a healthy volunteer receiving auditory, visual, and somatosensory stimulation. We also investigated the effects of SSS and the combination of the magnetometer and gradiometer signals. We quantified how localization error and point-spread volume vary with the signal-to-noise ratio (SNR) in all four toolboxes.

<sup>&</sup>lt;sup>1</sup> Former name: Elekta Oy

When applied carefully to MEG data with a typical SNR (3-15 dB), all four toolboxes localized the 35 sources reliably; however, they differed in their sensitivity to preprocessing parameters. As 36 expected, localizations were highly unreliable at very low SNR, but we found high localization error 37 also at very high SNRs for the first three toolboxes while Brainstorm showed greater robustness 38 but with lower spatial resolution. We also found that the SNR improvement offered by SSS led to 39 more accurate localization. 40

#### **Keywords** 41

- MEG, EEG, source modeling, beamformers, LCMV, open-source analysis toolbox. 42
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# 45 **1. Introduction**

MEG (magnetoencephalography) and EEG (electroencephalography) source imaging aims to 46 identify the spatiotemporal characteristics of neural source currents based on the recorded signals, 47 electromagnetic forward models and physiologically motivated assumptions about the source 48 49 distribution. One well-known method for estimating a small number of focal sources is to model each of them as a current dipole with fixed location and fixed or changing orientation. The locations 50 (optionally orientations) and time courses of the dipoles are then collectively estimated (Mosher et 51 al., 1992; Hämäläinen et al., 1993). Such equivalent dipole models have been widely applied in 52 53 basic research (see e.g. Salmelin, 2010) as well as in clinical practice (Bagic et al., 2011a; 2011b; Burgess et al., 2011). Distributed source imaging estimates source currents distribution across the 54 whole source space, typically the cortical surface. Examples of linear methods for distributed 55 56 source estimation are LORETA (low-resolution brain electromagnetic tomography; Pascual-Margui et al., 1994) and MNE (minimum-norm estimation; Hämäläinen and Ilmoniemi, 1994). From 57 estimated source distributions, one often computes noise-normalized estimates such as dSPM 58 (dynamic statistical parametric mapping; Dale et al., 2000). Also, various non-linear distributed 59 inverse methods have been proposed (Wipf et al., 2010; Gramfort et al., 2013b). 60

While dipole modeling and distributed source imaging estimate source distributions that reconstruct 61 62 (the relevant part of) the measurement, beamforming takes an adaptive spatial-filtering approach, scanning independently each location in a predefined region of interest (ROI) within the source 63 space without attempting to reconstruct the data. LCMV beamforming can be done in time or 64 frequency domain; time-domain methods (Van Veen and Buckley, 1988; 1997; Spencer et al., 65 1992; Sekihara et al., 2006) use covariance matrices whereas frequency domain methods, such as 66 67 DICS (Dynamic Imaging of Coherent Sources; Gross et al., 2001), utilizes cross-spectral density 68 matrices. There are also other variants of beamformer for MEG source imaging, such as SAM 69 (Synthetic Aperture Magnetometry; Robinson and Vrba, 1998) and SAM-based ERB (Event-related 70 Beamformer; Cheyne et al., 2007) etc. They differ slightly in covariance computation, forward model selection, optimal orientation search, and weight normalization of the output power. 71

The LCMV beamformer estimates the activity for a source at a given location (typically a point source) while simultaneously suppressing the contributions from all other sources and noise captured in the data covariance matrix. For evaluation of the spatial distribution of the estimated source activity, an image is formed by scanning a set of predefined possible source locations and computing the beamformer output (often power) at each location in the scanning space. When the scanning is done in a volume grid, the beamformer output is typically presented by superimposing it onto an anatomical MRI.

79 There are two main categories of beamformers applied in the MEG/EEG source analysis— vector 80 type and scalar type. Vector beamformers consider all source orientations while scalar

beamformers use either a predefined source orientation or they try to find the maximum output
power projection. Spatial resolution of scalar beamformers is higher than that of the vector type
(Vrba and Robinson, 2000; Hillebrand and Barnes, 2003).

Beamformers have been popular in basic MEG research studies (e.g. Hillebrand and Barnes, 84 2005; Braca et al., 2011; Ishii et al., 2014; van Es and Schoffelen, 2019) as well as in clinical 85 applications such as in localization of epileptic events (e.g. Mohamed et al., 2013; Van Klink et al., 86 2017; Youssofzadeh et al., 2018; Hall et al., 2018). Many variants of beamformers are 87 implemented in several open-source toolboxes and commercial software for MEG/EEG analysis. 88 Presently, based on citation counts, the most used open-source toolboxes for MEG data analysis 89 are FieldTrip (Oostenveld et al., 2011), Brainstorm (Tadel et al., 2011), MNE-Python (Gramfort et 90 al., 2013a) and DAiSS in SPM12 (Litvak et al., 2011). These four toolboxes have an 91 implementation of an LCMV beamformer, based on the same theoretical framework (van Veen et 92 93 al., 1997; Sekihara et al., 2006). Yet, it has been anecdotally reported that these toolboxes may 94 yield different results for the same data. These differences may arise not only from the core of the 95 beamformer implementation but also from the previous steps in the analysis pipeline, including 96 data import, preprocessing, forward model computation, combination of data from different sensor types, covariance estimation, and regularization method. Beamforming results obtained from the 97 same toolbox may also differ substantially depending on the applied preprocessing methods; for 98 example, Signal Space Separation (SSS; Taulu and Kajola 2005) reduces the rank of the data, 99 which could affect beamformer output unpredictably if not appropriately considered in the 100 implementation. 101

In this study, we evaluated the LCMV beamformer pipelines in the four open-source toolboxes and investigated the reasons for possible inconsistencies, which hinder the wider adoption of beamformers to research and clinical use where accurate localization of sources is required, e.g., in pre-surgical evaluation. These issues motivated us to study the conditions in which these toolboxes succeed and fail to provide systematic results for the same data and to investigate the underlying reasons.

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# **2. Materials and Methods**

# 110 **2.1. Datasets**

- 111 To compare the beamformer implementations, we employed MEG data obtained from simulations,
- phantom measurements, and measurements of a healthy volunteer who received auditory, visual,
- and somatosensory stimuli. For all human data recordings, informed consent was obtained from all
- 114 study subjects in agreement with the approval of the local ethics committee.

# 115 **2.1.1. MEG systems**

All MEG recordings were performed in a magnetically shielded room with a 306-channel MEG 116 system (either Elekta Neuromag<sup>®</sup> or TRIUX<sup>™</sup>; Megin Oy, Helsinki, Finland), which samples the 117 magnetic field distribution by 510 coils at distinct locations above the scalp. The coils are 118 119 configured into 306 independent channels arranged on 102 triple-sensor elements, each housing a magnetometer and two perpendicular planar gradiometers. The location of the phantom or 120 subject's head relative to the MEG sensor array was determined using four or five head position 121 indicator (HPI) coils attached to the scalp. A Polhemus Fastrak® system (Colchester, VT, USA) 122 was used for digitizing three anatomical landmarks (nasion, left and right preauricular points) to 123 define the head coordinate system. Additionally, the centers of the HPI coils and a set of ~50 124 additional points defining the scalp were also digitized. The head position in the MEG helmet was 125 determined at the beginning of each measurement using the 'single-shot' HPI procedure, where 126 127 the coils are activated briefly, and the coil positions are estimated from the measured signals. The 128 location and orientation of the head with respect to the helmet can then be calculated since the coil locations were known both in the head and in the device coordinate systems. After this initial head 129 position measurement, continuous tracking of head movements (cHPI) was engaged by keeping 130 the HPI coils activated to track the movement continuously. 131

# 132 2.1.2. Simulated MEG data

To obtain realistic MEG data with known sources, we superimposed simulated sensor signals 133 based on forward modeling of dipolar sources onto measured resting-state MEG data utilizing a 134 special in-house simulation software. Structural MRI images, acquired from a healthy adult 135 volunteer using a 3-tesla MRI scanner (Siemens Trio, Erlangen, Germany), were segmented using 136 the MRI Segmentation Software of Megin Oy (Helsinki, Finland) and the surface enveloping the 137 brain compartment was tessellated with triangles (5-mm side length). Using this mesh, a realistic 138 single-shell volume conductor model was constructed using the Boundary Element Method (BEM; 139 Hämäläinen and Sarvas, 1989) implemented in the Source modeling software of Megin Oy. We 140 141 also segmented the cortical mantle with the FreeSurfer software (Dale et al., 1999; Fischl et al., 1999; Fischl, 2012) for deriving a realistic source space. By using the "ico4" subdivision in MNE-142

Python, we obtained a source space comprising 2560 dipoles (average spacing 6.2 mm) in each 143 hemisphere (Fig. 1a). Out of these, we selected 25 roughly uniformly distributed source locations in 144 the left hemisphere for the simulations (Fig. 1a). All these points were at least 7.5 mm inwards from 145 the surface of the volume conductor model. Using the conductor model, source locations and 146 sensor locations from the resting-state data in MNE-Python, we simulated dipoles at each of the 25 147 locations - one at a time - with a 10-Hz sinusoid of 200-ms duration (2 cycles). The dipoles were 148 simulated at eight source amplitudes: 10, 30, 80, 200, 300, 450, 600 and 800 nAm and sensor-149 level evoked field data were computed. Fig. 1b shows a few of the simulated evoked responses 150 (whitened with noise) at a single dipole location but at different strengths, illustrating the changes in 151 the signal-to-noise ratio (SNR). Here  $t_{max}$  is the time point of the SNR estimate, which is defined 152 153 later in Section 2.5.

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# Insert Fig.1 about here

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The continuous resting-state MEG data with eyes open was recorded from the same volunteer who provided the anatomical data, using an Elekta Neuromag® MEG system (at BioMag Laboratory, Helsinki, Finland). The recording length was 2 minutes, the sampling rate was 1 kHz, and the acquisition frequency band was 0.1–330 Hz. This recording provided the head position for the simulations and defined their noise characteristics. MEG and MRI data were co-registered using the digitized head shape points and the outer skin surface in the segmented MRI.

The simulated sensor-level evoked fields data were superimposed on the unprocessed restingstate recording with inter-trial-interval varying between 1000–1200 ms resulting in ~110 trials (epochs) in each simulated dataset. The resting-state recording was used both as raw without preprocessing and after SSS interference suppression. Altogether, we obtained 400 simulated MEG datasets (25 source locations at 8 dipole amplitudes, all both with the raw and SSSpreprocessed real data). Fig. 2 illustrates the generation of simulated MEG data.

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### Insert Fig. 2 about here

# 172 **2.1.3. Phantom data**

We used a commercial MEG phantom (Megin Oy, Helsinki, Finland) which contains 32 dipoles and
4 HPI coils at distinct fixed locations (see Fig 3a–c and TRIUX<sup>™</sup> User's Manual, Megin Oy). The
phantom is based on the triangle construction (Ilmoniemi et al., 1985): an isosceles triangular line

current generates on its relatively very short side a magnetic field distribution equivalent to that of a 176 tangential current dipole in a spherical conductor model, provided that the vertex of the triangle and 177 the origin of the model of a conducting sphere coincide. The phantom data were recorded from 8 178 dipoles, excited one by one, using a 306-channel TRIUX<sup>™</sup> system (at Aston University, 179 Birmingham, UK). The distance from the phantom origin was 64 mm for dipoles 5 and 9 (the 180 shallowest), 54 mm for dipoles 6 and 10, 44 mm for dipoles 7 and 11, and 34 mm for dipoles 8 and 181 12 (the deepest; see Fig 3c). The phantom was first kept stationary inside the MEG helmet and 182 continuous MEG data were recorded with 1-kHz sampling rate for three dipole amplitudes (20, 200 183 and 1000 nAm); one dipole at a time was excited with a 20-Hz sinusoidal current for 500 ms, 184 followed by 500 ms of inactivity. The recordings were repeated with the 200-nAm dipole strength 185 186 while moving the phantom continuously to mimic head movements inside the MEG helmet. The experimenter made sequences of continuous random rotational and translational movements by 187 holding the phantom rod and keeping the phantom (hemispheric structure) inside the helmet, 188 followed by periods without movement; see the movements in Fig. 3e and Suppl. Fig. 2 for all 189 movement parameters. 190

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# 194 **2.1.4. Human MEG data**

195 We recorded MEG evoked responses from the same volunteer whose MRI and spontaneous MEG 196 data were utilized in the simulations. These human data were recorded using a 306-channel Elekta 197 Neuromag® system (at BioMag Laboratory, Helsinki, Finland). During the MEG acquisition, the subject was receiving a random sequence of visual (a checkerboard pattern in one of the four 198 199 quadrants of the visual field), somatosensory (electric stimulation of the median nerve at the 200 left/right wrist at the motor threshold) and auditory (1-kHz 50-ms tone pips to the left/right ear) stimuli with an interstimulus interval of ~500 ms. The Presentation software (Neurobehavioral 201 Systems, Inc., Albany, CA, USA) was used to produce the stimuli. 202

Insert Fig. 3 about here

# 203 2.2. Preprocessing

The datasets were analyzed in two ways: 1) omitting bad channels from the analysis, without applying SSS preprocessing, and 2) applying SSS-based preprocessing methods (SSS/tSSS) to reduce magnetic interference and perform movement compensation for moving phantom data. The SSS-based preprocessing and movement compensation were performed in MaxFilter<sup>™</sup> software (version 2.2; Megin Oy, Helsinki, Finland). After that, the continuous data were bandpass filtered (passband indicated for each dataset later in the text) followed by the removing of the dc. Then the

data were epoched to trials around each stimulus. We applied an automatic trial rejection technique based on the maximum variance across all channels, rejecting trials that had variance higher than the 98<sup>th</sup> percentile of the maximum or lower than the 2<sup>nd</sup> percentile (see Suppl. Fig. 4). This method is available as an optional preprocessing step in FieldTrip, and the same implementation was applied in the other toolboxes. For each dataset, the covariance matrices (data or noise) were calculated over each trial and normalized by the total number of samples across the trials:

$$C = \frac{\sum_{i=1}^{j} c_i}{N_s} \tag{1}$$

where *C* is the resulting data or noise covariance, *j* is the total number of good trials after covariance-based trial rejection,  $C_i$  is the covariance matrix of  $i^{th}$  trial and  $N_s$  is the total number of samples used in computing all  $C_i$  matrices. Below we describe the detailed preprocessing steps for all datasets.

# 222 2.2.1. Simulated data

In each toolbox, the raw data with just bad channels removed or SSS-preprocessed continuous data were filtered using a zero-phase filter with a passband of 2–40 Hz. The filtered data were epoched into windows from –200 to +200 ms relative to the start of the source activity. The bad epochs were removed using the variance-based automatic trial rejection technique, resulting in ~100 epochs. Then using Eq (1), the noise and data covariance matrices were estimated from these epochs for the time windows of –200 to –20 ms and 20 to 200 ms, respectively.

# 229 2.2.2. Phantom data

230 All 32 datasets (static: 3 dipole strengths and 8 dipole locations; moving: 1 dipole strength and 8 dipole locations) were analyzed both without and with SSS-preprocessing. We applied SSS on 231 static phantom data to remove external interference. On moving-phantom data, combined temporal 232 SSS and movement compensation (tSSS\_mc) were applied for suppressing external and 233 movement-related interference and for transforming the data from the continuously estimated 234 positions into a static reference position (Taulu and Kajola 2005; Nenonen et al., 2012). Then in 235 each toolbox the continuous data were filtered to 2-40 Hz using a zero-phase bandpass filter, and 236 the filtered data were epoched from -500 to +500 ms with respect to stimulus triggers. Bad epochs 237 were removed using the automated method based on maximum variance, yielding ~100 epochs for 238 239 each dataset. The noise and data covariance matrices were estimated using Eq (1) in each toolbox 240 for the time windows of -500 to -50 ms and 50 to 500 ms, respectively.

### 241 **2.2.3. Human MEG data**

Both the unprocessed raw data and the data preprocessed with tSSS were filtered to 1-95 Hz 242 using a zero-phase bandpass filter in each toolbox. The trials with somatosensory stimuli (SEF) 243 were epoched between -100 to -10 and 10 to 100 ms for estimating the noise and data 244 covariances, respectively. The corresponding time windows for the auditory-stimulus trials (AEF) 245 were -150 to -20 and 20 to 150 ms, and for the visual stimulus trials (VEF) -200 to -50 and 50 to 246 247 200 ms, respectively. Trials contaminated by excessive eye blinks (EOG > 250  $\mu$ V) or by excessive magnetic signals (MEG > 5000 fT or 3000 fT/cm) were removed with the variance-based 248 automated trial removal technique. Before covariance computation, baseline correction by the time 249 window before the stimulus was applied on each trial. The covariance matrices were estimated 250 independently in each toolbox, using Eq (1). 251

Since the actual source locations associated with the evoked fields are not precisely known, we defined reference locations using conventional dipole fitting in the Source Modelling Software of Megin Oy (Helsinki, Finland). A single equivalent dipole was used to represent SEF and VEF sources, and one dipole per hemisphere was used for AEF (see Suppl. Fig. 3). The dipole fitting was performed at the time point of the maximum RMS value across all planar gradiometer channels (global field power) of the average response amplitude.

### 258 **2.2.4. Forward model**

For the beamformer scan of simulated data, we used the default or the most commonly used 259 forward model of each toolbox: a single-compartment BEM model in MNE-Python, a single-shell 260 corrected-sphere model (Nolte, 2003) in FieldTrip, a single-shell corrected sphere model (Nolte, 261 262 2003) through inverse normalization of template meshes (Mattout et al., 2007) in DAiSS (SPM12), and the overlapping-spheres (Huang et al., 1999) model in Brainstorm. The former three packages 263 utilize inner skull for defining the boundary of the models. For constructing the models for the 264 forward solutions, the segmentation of MRI images was performed in FreeSurfer for MNE-Python 265 and Brainstorm while FieldTrip and SPM12 used the SPM segmentation procedure. In MNE-266 Python, FieldTrip and SPM12, a volumetric source space was represented by a rectangular grid 267 with 5-mm resolution enclosed by the conductor models in these packages while Brainstorm uses 268 a rectangular grid with the same resolution enclosed by the brain surface. Since each toolbox 269 prepares a head model and source space using slightly different methods, these models may differ 270 from each other. Fig. 4 shows the small discrepancies in the boundary of source spaces used by 271 the three packages. These discrepancies may result in a small shift between the positions and 272 273 number of the scanning points in these toolboxes. Forward solutions were computed separately in 274 each toolbox using the head model, the volumetric grid sources, and sensor information from the 275 MEG data.

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# Insert Fig. 4 about here

For phantom data, a homogeneous spherical volume conductor model was defined in each toolbox with the origin at the head coordinate system origin. An equidistant rectangular source-point grid with 5-mm resolution was placed inside the upper half of a sphere covering all 32 dipoles of the phantom; see Fig. 3d. Forward solutions for these grids were computed independently in each toolbox. For human MEG data, the head models and the source space were defined in the same way as for the beamformer scanning of the simulated data.

# 285 2.3. LCMV beamformer

The linearly constrained minimum-variance (LCMV) beamformer is a spatial filter that relates the magnetic field measured outside the head to the underlying neural activities using the covariance of measured signals and models of source activity and signal transfer between the source and the sensor (Spencer et al., 1992; van Veen et al. 1997; Robinson and Vrba, 1998). The spatial filter weights are computed for each location in the region of interest (ROI).

Let **x** be an  $M \times 1$  signal vector of MEG data measured with *M* sensors, and *N* is the number of grid points in the ROI with grid locations  $r_j$ , (j = 1, ..., N). Then the source  $\mathbf{y}(r_j)$  at any location  $r_j$ can be estimated as weighted combination of the measurement **x** as

294 
$$\mathbf{y}(r_j) = \mathbf{W}^{\mathrm{T}}(r_j)\mathbf{x}$$
(2)

where the  $M \times 3$  matrix  $\mathbf{W}(r_j)$  is known as spatial filter for a source at location  $r_j$ . This type of spatial filter provides a *vector type beamformer* by separately estimating the activity for three orthogonal source orientations, corresponding to the three columns of the matrix. According to Eqs 16–23 in van Veen et al. (1997), the spatial filter  $\mathbf{W}(r_j)$  for vector beamformer is defined as

299 
$$\mathbf{W}(r_j) = \left(\mathbf{L}^{\mathrm{T}}(r_j)\mathbf{C}^{-1}\mathbf{L}(r_j)\right)^{-1}\mathbf{L}^{\mathrm{T}}(r_j)\mathbf{C}^{-1}$$
(3)

Here  $L(r_j)$  is the  $M \times 3$  local leadfield matrix that defines the contribution of a dipole source at location  $r_j$  in the measured data **x**, and **C** is the covariance matrix computed from the measured data samples. To perform source localization using LCMV, the output variance (or output source power) Var  $(\mathbf{y}(r_j))$  is estimated at each point in the source space (see Eq (24) in van Veen et al., 1997), resulting in

305 
$$\widehat{\operatorname{Var}}\left(\mathbf{y}(r_j)\right) = \operatorname{Trace}\left[\mathbf{L}^{\mathrm{T}}(r_j)\mathbf{C}^{-1}\mathbf{L}(r_j)\right]^{-1}$$
(4)

Usually, the measured signal is contaminated by non-uniformly distributed noise and therefore the estimated signal variance is often normalized with projected noise variance  $C_n$  calculated over some baseline data (noise). Such normalized estimate is called Neural Activity Index (NAI; van Veen et al., 1997) and can be expressed as

310 
$$\operatorname{NAI}(\mathbf{r}_{j}) = \operatorname{Trace}\left\{\left[\mathbf{L}^{\mathrm{T}}(r_{j})\mathbf{C}^{-1}\mathbf{L}(r_{j})\right]^{-1}\right\}/\operatorname{Trace}\left\{\left[\mathbf{L}^{\mathrm{T}}(r_{j})\mathbf{C}_{n}^{-1}\mathbf{L}(r_{j})\right]^{-1}\right\}$$
(5)

Scanning over all the locations in the region of interest in source space transforms the MEG datafrom a given measurement into an NAI map.

In contrast to a vector beamformer, a *scalar beamformer* (Sekihara and Scholz, 1996; Robinson and Vrba, 1998) uses constant source orientation that is either pre-fixed or optimized from the input data by finding the orientation that maximizes the output source power at each target location. Besides simplifying the output, the optimal-orientation scalar beamformer enhances the output SNR compared to the vector beamformer (Robinson and Vrba, 1998; Sekihara et al., 2004). The optimal orientation  $\eta_{opt}(r_j)$ , for location  $r_j$  can be determined by generalized eigenvalue decomposition (Sekihara et al., 2004) using Rayleigh–Ritz formulation as

320 
$$\eta_{\text{opt}}(\mathbf{r}_j) = \upsilon_{\min}\{\mathbf{L}^{\mathrm{T}}(r_j)\mathbf{C}^{-2}\mathbf{L}(r_j), \mathbf{L}^{\mathrm{T}}(r_j)\mathbf{C}^{-1}\mathbf{L}(r_j)\}$$
(6)

where  $v_{min}$  indicates the eigenvector corresponding to the smallest generalized eigenvalue of the matrices enclosed in Eq (6) curly braces. For further details, see Eq (4.44) and Section 13.3 in Sekihara and Nagarajan (2008).

Denoting  $\mathbf{I}_{\eta_{\text{opt}}}(r_j) = \mathbf{L}(r_j)\boldsymbol{\eta}_{\text{opt}}(r_j)$  instead of  $\mathbf{L}(r_j)$ , the weight matrix in Eq (3) becomes  $M \times 1$ weight vector  $\boldsymbol{w}(r_j)$ ,

326 
$$\mathbf{w}(r_j) = \left(\mathbf{I}_{\eta_{\text{opt}}}^{\mathrm{T}}(r_j)\mathbf{C}^{-1}\mathbf{I}_{\eta_{\text{opt}}}(r_j)\right)^{-1}\mathbf{I}_{\eta_{\text{opt}}}^{\mathrm{T}}(r_j)\mathbf{C}^{-1}$$
(7)

327 Using  $I_{\eta_{ont}}(r_j)$  in Eq (5), we find the estimate (NAI) of a scalar LCMV beamformer as

328 
$$NAI(r_j) = \mathbf{I}_{\eta_{\text{opt}}}^{\mathrm{T}}(r_j) \mathbf{C}_{\mathrm{n}}^{-1} \mathbf{I}_{\eta_{\text{opt}}}(r_j) / \mathbf{I}_{\eta_{\text{opt}}}^{\mathrm{T}}(r_j) \mathbf{C}^{-1} \mathbf{I}_{\eta_{\text{opt}}}(r_j)$$
(8)

When the data covariance matrix is estimated from a sufficiently large number of samples and it 329 has full rank, Eq (8) provides the maximum spatial resolution (Lin et al., 2008; Sekihara and 330 Nagarajan, 2008). According to van Veen and colleagues (1997), the number of samples for 331 covariance estimation should be at least three times the number of sensors. Thus, sometimes, the 332 amount of available data may be insufficient to obtain a good estimate of the covariance matrices. 333 334 In addition, pre-processing methods such as signal-space projection (SSP) or signal-space separation (SSS) reduce the rank of the data, which impacts the matrix inversions in Eq (8). These 335 problems can be mitigated using Tikhonov regularization (Tikhonov, 1963) by replacing matrix  $C^{-1}$ 336 by its regularized version  $(\mathbf{C} + \lambda \mathbf{I})^{-1}$  in Eqs (3–8) where  $\lambda$  is called the regularization parameter. 337

- All tested toolboxes set the  $\lambda$  with respect to the mean data variance, using ratio 0.05 as default:  $\lambda = 0.05 \times \text{Trace}(\mathbf{C})/\text{M}.$
- If the data are not full rank, also the noise covariance matrix  $C_n$  needs to be regularized.

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# 342 **2.4. Differences between the beamformer pipelines**

Though all the four toolboxes evaluated here use the same theoretical framework of the LCMV 343 beamformer, there are several implementation differences which might affect the exact outcome of 344 a beamformer analysis pipeline. Many of these differences pertain to specific handling of the data 345 prior to the estimation of the spatial filters, or to specific ways of (post)processing the beamformer 346 output. Some of the toolbox-specific features reflect the characteristics of the MEG system around 347 which the toolbox has evolved. Importantly, some of these differences are sensitive to input SNR, 348 and they can lead to differences in the results. Table 1 lists the main characteristics and settings of 349 the four toolboxes used in this study. We used the default settings of each toolbox (general 350 practice) for steps before beamforming but set the actual beamforming steps as similar as possible 351 352 across the toolboxes to be able to meaningfully compare the results.

353

# Insert Table 1 about here

All toolboxes import data using either Matlab or Python import functions of the MNE software (Gramfort et al., 2014) but represent the data internally either in T or fT (magnetometer) and T/m or fT/mm (gradiometer); see Suppl. Fig. 5. Default filtering approaches across toolboxes change the numeric values, so the linear correlation between the same channels across toolboxes deviates from the identity line; see Suppl. Fig. 6. The default head model is also different across toolboxes; see Section 2.2.4. The single-shell BEM and single-shell corrected sphere model (the "Nolte model") are approximately as accurate but produce slightly different results (Stenroos et al., 2014).

For MEG-MRI co-registration, there are several approaches available across these toolboxes such 361 as an interactive method using fiducial or/and digitization points defining the head surface, using 362 automated point cloud registration methods e.g., the iterative closest point (ICP) algorithm. Despite 363 using the same source-space specifications (rectangular grid with 5-mm resolution), differences in 364 head models and/or co-registration methods change the forward model across toolboxes; see 365 Fig. 4. Though there are several approaches to compute data and noise covariances across the 366 four beamformer implementations, by default they all use the empirical/sample covariance. In 367 contrast to other toolboxes, Brainstorm eliminates the cross-modality terms from the data and 368 369 noise covariance matrices. Also, the regularization parameter  $\lambda$  is calculated and applied

370 separately for gradiometers and magnetometers channel sets in Brainstorm therefore, the same371 amount of regularization affects differently.

372 The combination of two MEG sensor types in the MEGIN triple-sensor array causes additional processing differences in comparison to other MEG systems that employ only axial gradiometers 373 or only magnetometers. Magnetometers and planar gradiometers have different dynamic ranges 374 and measurement units, so their combination must be appropriately addressed in source analysis 375 such as beamforming. For handling the two sensor types in the analysis, different strategies are 376 used for bringing the channels into the same numerical range. MNE-Python and Brainstorm use 377 pre-whitening (Engemann et al., 2015; Ilmoniemi and Sarvas, 2019) based on noise covariance 378 while FieldTrip and SPM12 assume a single sensor type for all the MEG channels. This approach 379 380 makes SPM12 to favor magnetometer data (with higher numeric values of magnetometer 381 channels) and FieldTrip to favor gradiometer data (with higher numeric values of gradiometer 382 channels). However, users of FieldTrip and SPM12 usually employ only one channel type of the 383 triple-sensor array for beamforming (most commonly, the gradiometers). Due to the presence of two different sensor types in the MEGIN systems and the potential use of SSS methods, the 384 eigenspectra of data from these systems can be idiosyncratic (see Suppl. Fig. 7) and differ from 385 the single-sensor type MEG systems. Rank deficiency and related phenomena are potential 386 sources of beamforming failures with data that have been cleaned with a method such as SSS. 387 Rank deficiency affects also other MEG sensor arrays using only magnetometers or axial 388 gradiometers when the data are pre-processed with interference suppression methods such as 389 SSP and (t)SSS. 390

Previous studies have shown that the scalar beamformer yields twofold higher output SNR 391 compared to the vector-type beamformer, if the source orientation for the scalar beamformer has 392 been optimized according to Eq 6 (Vrba and Robinson, 2000; Sekihara et al., 2004). Most of the 393 beamformer analysis toolboxes have an implementation of optimal-orientation scalar beamformer. 394 In this study, we used the scalar beamformer in MNE-Python, FieldTrip, and SPM12 but a vector-395 beamformer in Brainstorm since the orientation optimization was not available. To keep the output 396 dimensionality the same across the toolboxes, we linearly summed the three-dimensional NAI 397 values at each source location. The general analysis pipeline used in this study is illustrated in Fig. 398 399 5.

400

# Insert Fig. 5 about here

401

# 402 **2.5. Metrics used in comparison**

In this study, a single focal source could be assumed to underlie the simulated/measured data. In such studies, accurate localization of the source is typically desired. We calculated two metrics for comparing the characteristics of the LCMV beamformer results from the four toolboxes: localization error, and point spread volume. We also analyzed their dependence on input signal-to-noise ratio.

Localization Error (LE): True source locations were known for the simulated and phantom MEG 407 data and served as reference locations in the comparisons. Since the exact source locations for 408 the human subject MEG data were unknown, we applied the location of a single current dipole as a 409 reference location (see Section 2.1.4 "Human MEG data"). The Source Modelling Software (Megin 410 Oy, Helsinki, Finland) was used to fit a single dipole for each evoked-response category at the time 411 point around the peak of the average response providing the maximum goodness-of-fit value. The 412 beamformer localization error is computed as the Euclidean distance between the estimated and 413 414 reference source locations.

Point-Spread Volume (PSV): An ideal spatial filter should provide a unit response at the actual 415 source location and zero response elsewhere. Due to noise and limited spatial selectivity, there is 416 some filter leakage to the nearby locations, which spreads the estimated variance over a volume. 417 The focality of the estimated source, also called focal width, depends on several factors such as 418 the source strength, orientation, and distance from the sensors. PSV measures the focality of an 419 estimate and is defined as the total volume occupied by the source activity above a threshold 420 421 value; thus, a smaller PSV value indicates a more focal source estimate. We fixed the threshold to 422 50% of the highest NAI in all comparisons. In this study, the volume represented by a single source in any of the four source spaces (5-mm grid spacing) was 125 mm<sup>3</sup>. To compute PSV, we 423 424 computed the number of active voxels above the threshold and multiplied by the volume of a single 425 voxel.

426 Signal-to-Noise ratio (SNR): Beamformer localization error depends on the input SNR, which 427 varies – among other factors – as a function of source strength and distance of the source from the 428 sensor array. Therefore, we evaluated beamformer localization errors and PSV as a function of the 429 input SNR of the evoked field data.

We estimated the SNR for each evoked field MEG dataset in MNE-Python using the estimated noise covariance  $C_n$  by discarding the smallest near-zero eigenvalues. The data were whitened using the noise covariance, and the effective number of sensors (rank) was then calculated as

433 M<sub>e</sub>

 $M_{\rm eff} = M - \Sigma \tag{9}$ 

434 where *M* is the number of all MEG channels and  $\Sigma$  is the total number of near-zero eigenvalues  $\sigma_n$ 435 of **C**<sub>n</sub>.

436 Then the input SNR was calculated as:

$$SNR_{dB} = 10 \log_{10} \left( \left[ \frac{1}{M_{eff}} \sum_{k=1}^{M} \mathbf{x}_{k}^{2}(t) \right]_{t_{max}} \right)$$
(10)

438 where  $x_k(t)$  is the signal of k<sup>th</sup> sensor from the whitened evoked field data,  $t_{max}$  is the time point at 439 maximum amplitude of whitened data across all channels and  $M_{eff}$  is the number of effective 440 sensors defined in Eq (9). Since the same data were used in all toolboxes, we used the same input 441 SNR value for all of them. Fig. 1b compares simulated evoked responses and the changes in SNR 442 for dipoles at different strengths but at the same location.

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# 445 **2.6. Data and code availability**

The codes we wrote to conduct these analyses are publicly available under a repository <u>https://zenodo.org/record/3471758</u> (DOI: 10.5281/zenodo.3471758). The datasets as well as the specific versions of the four toolboxes used in the study are available at <u>https://zenodo.org/record/3233557</u> (DOI: 10.5281/zenodo.3233557).

450

# 451 **3. Results**

We computed the source localization error (LE) and the point spread volume (PSV) for each NAI estimate across all datasets from LCMV beamformer in all four toolboxes. We plotted the LE and PSV as a function of the input SNR computed according to Eq (10). To differentiate the localization among the implementations, we followed the following color convention: *MNE-Python: grey; FieldTrip: Lavender; DAiSS (SPM12): Mint; and Brainstorm: coral.* 

# 457 3.1. Simulated MEG data

Localization errors and PSV values were calculated for all simulated datasets and plotted against 458 the corresponding input SNR. The SNR of all 200 simulated datasets ranged between 0.5 to 25 459 dB. Fig. 6a shows the variation of localization errors over the range of input SNR for the simulated 460 dataset. The localization error goes high for all toolboxes for very low SNR (< 3 dB) signals (e.g. < 461 ~80-nAm or deep sources). The localization error within the input SNR range 3-12 dB is stable 462 and mostly within 15 mm, and SSS preprocessing widens this SNR range of stable performance to 463 3-15 dB. Unexpectedly, we also found high localization error at high SNR (> 15 dB) for the 464 toolboxes other than Brainstorm. Fig. 6b plots PSV values against input SNR for raw and SSS-465 preprocessed simulated data. For the low SNR signals (usually, weak or deep sources), all the four 466 toolboxes show high PSV values. The spatial resolution is highest for the SNR rage ~3–15 dB. For 467 the SNR > ~15 dB (usually, strong or superficial sources) these toolboxes also show high PSV. 468 469 Fig. 6a-b shows that none of the four toolboxes provides accurate localization for all SNR values 470 and the spatial resolution of LCMV varies over the range of input SNR.

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472 473 Insert Fig. 6 about here

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# 475 **3.2. Static and moving phantom MEG data**

In the case of phantom data, the background noise is very low and there is a single source 476 underneath a measurement. Since, both the dipole simulation and beamformer analysis in case of 477 phantom use a homogeneous sphere model that does not introduce any forward model 478 479 inaccuracy, except the possible small co-registration error. All four toolboxes show high localization accuracy and high resolution for phantom data, if the input SNR is not very low (< ~3 dB). 480 481 Corresponding results for the static phantom data are presented in Fig. 7a-b. Fig. 7a indicates the 482 localization error clear dependency on input SNR, it shows high localization errors at very low SNR raw data sets. The high error is because of some unfiltered artifacts in raw data which was 483 removed by SSS. After SSS, the beamformer shows localization error under ~5 mm for all the 484

datasets. Fig. 7b shows the beamforming resolution in terms of PSV. The PSV values show a high
spatial resolution for the data with SNR > 5 dB.

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488

### Insert Fig. 7 about here

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In the cases of moving phantom, Fig. 8a shows high localization errors with unprocessed raw data 490 because of disturbances caused by the movement. The dipole excitation amplitude was 200 nAm, 491 492 which is enough to provide a good SNR, but the movement artifacts lower the SNR. The most superficial dipoles (Dipoles 5 and 9 in Fig. 3c) possess higher SNR but also higher localization 493 error since they get more significant angular displacement during movement. Because of 494 differences in implementations and preprocessing parameters listed in Section 2.4, apparent 495 differences among the estimated localization error can be seen. Overall, MNE-Python shows the 496 lowest while DAiSS (SPM12) shows the highest localization error with the phantom data with 497 movement artifact. After applying for spatiotemporal tSSS and movement compensation, the 498 improved SNR provided significantly better localization accuracies for all the toolboxes. Fig. 8b 499 500 shows the PSV for moving phantom data for raw and processed data. The plots indicate 501 improvement in SNR and spatial resolution after tSSS with movement compensation.

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### Insert Fig. 8 about here

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Table 2 lists the mean localization error and PSV for the simulated and static phantom datasets over three ranges of SNR— 1) very low (less than 3 dB) where all the four implementations show unreliable localization and the lowest spatial resolution, 2) feasible range (3–15 dB) that covers most of the research studies where all the four implementations are reliable and robust, and 3) high SNR (above 15 dB) where the source estimation by Brainstorm is comparatively more robust.

510

### Insert Table 2 about here

### 511 3.3. Human subject MEG data

512 Since the correct source locations for the human evoked field datasets are unknown, we plotted 513 the localization difference across the four LCMV implementations for each data. These localization 514 differences were the Cartesian distance between an LCMV-estimated source location and the 515 corresponding reference dipole location as explained in Section 2.2.3. Fig. 9a shows the plots for 516 the localization differences against the input SNRs computed using Eq (10) for four visual, two 517 auditory and two somatosensory evoked-field datasets. The localization differences for both 518 unprocessed raw and SSS preprocessed data are mostly under 20 mm in each toolbox. The higher

differences compared to the phantom and simulated dataset could be because of two reasons. 519 First, the recording might have been comprised by some head movement, which could not be 520 corrected because of the lack of continuous HPI information. Second, the reference dipole location 521 may not represent the very same source as estimated by the LCMV beamformer. In contrast to 522 dipole fitting, beamforming utilizes data from the full covariance window, so some difference 523 between the estimated localizations is to be expected. For all SSS-preprocessed evoked field 524 datasets, Fig. 9b shows the estimated locations across the four LCMV implementation and the 525 526 corresponding reference dipole locations. For simplifying the visualization, all estimated locations 527 in a stimulus category are projected onto a single axial slice. All localizations seem to be in the correct anatomical regions, except the estimated location from right-ear auditory responses by 528 MNE-Python after SSS-preprocessing (Fig. 9b; red circle). This could be because of high 529 coherence between left-right auditory responses. After de-selecting the channels close to the right 530 auditory cortex, the MNE-Python-estimated source location was correctly in the left cortex (Fig. 9b; 531 green circle). Fig. 9a also shows the improvement in input SNR and also in the source localization 532 in some cases after SSS pre-preprocessing. Fig. 8 in Supplementary material shows the PSV 533 values as a function of the input SNR for the evoked-field datasets, demonstrating the spatial 534 resolution of beamforming. 535

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537	Insert Fig. 9 about here
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# 542 **4. Discussion**

In this study, we compared four widely-used open-source toolboxes for their LCMV beamformer implementations. While the implementations share the theoretical basis, there are also differences, which could lead to differing source estimates. There are also several other beamformer variants (e.g. Huang et al., 2004; Cheyne et al., 2007; Herdman et al. 2018) but an extensive comparison of all beamformer formulations would be a tedious task; however, most of our findings likely apply to other formulations such as event-related beamformers, too.

We investigated the localization accuracy and beamformer resolution as a function of the input 549 550 SNR and compared the results across the LCMV implementations in the four tested toolboxes. In the absence of background noise and using perfect sphere model, the phantom data showed high 551 552 localization accuracy and high spatial resolution if the input SNR >~5 dB. All implementations also showed high localization accuracy for data recording from a moving phantom after compensating 553 the movement and applying tSSS. For the simulated datasets with realistic background noise and 554 imperfect forward model, the localization errors across the LCMV implementations indicated that 555 556 the reliability of localization in these implementations depends on the SNR of input data. Brainstorm (vector beamformer) reliably localized a single source when SNR was above ~3 dB, 557 including very high SNRs, whereas the other three implementations (scalar beamformer) localized 558 the source reliably within the SNR range of ~3-15 dB. Small deviations were observed in the 559 estimated source locations across the implementations even in this SNR range, likely caused by 560 differences in the pre-processing steps such as filter types, head models, spatial filter and 561 performing the beamformer scan. For the human evoked-response MEG data, all implementations 562 localized sources within about 20 mm from each other. 563

Our results indicate that with the default parameter settings, none of the four implementations 564 works universally reliable for all datasets and all input SNR values. In the case of low SNR 565 (typically less than 3 dB), the lower contrast between data and noise covariance may cause the 566 beamformer scan to provide a flat peak in the output and so the localization error goes high. The 567 unexpected high localization errors can be observed at some cases of high SNR signals for the 568 three scalar-type beamformer implementations and significant localization differences between the 569 570 toolboxes are notable. The PSV plots show greater spatial resolution for the SNR range ~3–15 dB 571 whereas low spatial resolution at very low and high SNR. Brainstorm provides reliable localization 572 above ~3 dB but it also compromises spatial resolution; see Fig. 6-7 and Table 2. The lower 573 spatial resolution (higher PSV) for the signal with low SNR also agrees with previous studies (Lin et al., 2008; Hillebrand and Barnes, 2003). 574

575 For our simulated data, all toolboxes had a disparity between the forward model used in data 576 generation model and the model used in beamforming, i.e, the forward model was not perfect. The 577 width of the source estimate peak depends on both the SNR (van Veen et al., 1997; Vrba and

Robinson, 2000; Gross et al., 2001; Hillebrand and Barnes, 2003) and also on the type of beamformer applied (scalar vs. vector). If the SNR is very high, the peak is also very narrow, and any errors introduced by the forward model will be pronounced, leading to larger localization errors of this peak. For unconstrained vector beamformers, the peak is comparatively broader (higher PSV) and there is a smaller chance of missing the peak; this is the case with Brainstorm in our study. In the following, we discuss the significant steps of the beamformer pipelines, which affect the localization accuracy and introduce discrepancies among the implementations.

# 585 4.1 Preprocessing with SSS

586 Due to the spatial-filter nature of the beamformer, it can reject external interference and therefore 587 SSS-based pre-processing for interference suppression may have little effect on the results. Thus, 588 although the SNR increases as a result of applying SSS, the localization accuracy does not 589 necessarily improve, which is evident in the localization of the evoked responses (Fig. 9).

However, undetected artifacts, such as a large-amplitude signal jump in a single sensor, may in 590 SSS processing spread to neighboring channels and subsequently reduce data quality. Therefore, 591 592 channels with distinct artifacts should be noted and excluded from beamforming of unprocessed data or from SSS operations. In addition, trials with large artifacts should be removed based on an 593 amplitude thresholding or by other means. Furthermore, SSS processing of extremely weak 594 signals (SNR < ~2 dB) may not improve the SNR for producing smaller localization errors and PSV 595 values. Hence the data quality should be carefully inspected before and after applying 596 597 preprocessing methods such as SSS, and channels or trials with low-quality data (or lower 598 contrast) should be omitted from the covariance estimation.

### 599 **4.2. Effect of filtering and artifact-removal methods**

All four toolboxes we tested employ either a MATLAB or Python implementation of the same MNE 600 routines (Gramfort et al. 2014) for reading FIFF data files and thus have internally the exact same 601 data at the very first stage (see Suppl. Fig. 6). The data import either keeps the data in SI-units (T 602 for magnetometers and T/m for gradiometers) or rescales the data (fT and fT/mm) before further 603 processing. The actual pre-processing steps in the pipeline may contribute to differences in the 604 results. The filtering step is performed to remove frequency components of no interest, such as 605 606 slow drifts, from the data. By default, FieldTrip and SPM use an IIR (Butterworth) filter, and MNE-607 Python uses FIR filters. The power spectra of these filters' output signals show notable differences 608 and the output data from these two filters are not identical. Significant variations can be found 609 between MNE-Python-filtered and FieldTrip/SPM-filtered data. Although SPM12 and FieldTrip use the same filter implementation, the filtering results are not identical because of numeric differences 610 caused by different channel units (Suppl. Fig 6). These differences affect the estimated covariance 611 matrices, which are a crucial ingredient for the spatial-filter computation and finally may contribute 612 613 to differences in beamforming results.

### 614 **4.3. Effect of SNR on localization accuracy**

We reduced the impact of the unknown source depth and strength to a well-defined metrics in 615 terms of the SNR. We observed that the localization accuracy is poor for very low SNR values, i.e. 616 below 3 dB. The weaker, as well as the deeper sources, project less power on to the sensor array 617 and thus show lower SNR; see Eq (10). On the other hand, the LCMV beamformer may also fail to 618 localize accurately sources that produce very high SNR, likely because the point spread of the 619 beamformer output becomes narrower than the distance between the scanning grid points. In this 620 621 case, the estimate is very focal and a small error in forward solution, introduced e.g. by inaccurate 622 coregistration, may lead to missing the true source and obtaining nearly equal power estimates at 623 many source grid locations, increasing the chance of mislocalization. Brainstorm produced a different outcome at high SNR than the other toolboxes, because the vector beamformer in 624 625 Brainstorm has wider spatial peaks and thus the maximum NAI occurs more likely in one of the source grid locations. 626

527 Such high SNRs do not typically occur in human MEG experiments. However, pathological brain 528 activity may produce high SNR, e.g. the strength of equivalent current dipoles (ECD) for modeling 529 sources of interictal epileptiform discharges (IIEDs) typically ranges between 50 and 500 nAm 530 (Bagic et al., 2011a).

# 631 **4.4. Effect of the head model**

Forward modelling requires MEG-MRI co-registration, segmentation of the head MRI and leadfield 632 computation for the source space. The four beamformer implementations use different 633 approaches, or similar approaches but with different parameters, which yields slightly different 634 forward models. From Eqs (3–8), it is evident that beamformers are guite sensitive to the forward 635 636 model. Hillebrand and Barnes (2003) showed that the spatial resolution and the localization 637 accuracy of a beamformer improve with accuracy of the forward model. Dalal and colleagues 638 (2014) reported that co-registration errors contribute greatly to EEG localization inaccuracy, likely due to their ultimate impact on head-model quality. Chella and colleagues (2019) presented the 639 dependency of beamformer-based functional connectivity estimates on MEG-MRI co-registration 640 accuracy. 641

The increasing inter-toolbox localization differences towards very low and very high input SNR is also subject to the differences between the head models. Fig. 4 shows the four overlapped source space boundaries prepared from the same MRI where a slight misalignment among them can be easily seen. This misalignment affects source space. Such differences in head models and source spaces contribute differences in forward solutions which further will contribute to differences in beamforming results across the toolboxes.

### 648 **4.5. Covariance matrix and regularization**

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The data covariance matrix is a key component of the adaptive spatial filter in LCMV beamforming, 649 and any error in covariance estimation can cause an error in source estimation. We used 5% of the 650 mean variance of all sensors to regularize data covariance for making its inversion stable in 651 FieldTrip, DAiSS (SPM12) and MNE-Python. Brainstorm uses a slightly different approach and 652 applies regularization with 5% of mean variance of gradiometer and magnetometer channel sets 653 separately and eliminates cross-sensor-type entries from the covariance matrices. As SSS 654 preprocessing reduces the rank of the data, usually retaining less than 80 non-zero eigenvalues. 655 656 the trace of the covariance matrix decreases strongly. At very high SNRs (> 15 dB), overfitting of the covariance matrix becomes more prominent; the condition number (ratio of the largest and the 657 smallest eigenvalues) of the covariance matrix becomes very high even after the default 658 regularization, which can deteriorate the quality of source estimates unless the covariance is 659 appropriately regularized. Therefore, the seemingly same 5% regularization can have very different 660 effects before and after SSS; see Suppl. Fig. 7. Thus, the commonly used way of specifying the 661 regularization level might not be appropriate to produce a good and stable covariance model at 662 high SNR, and this could be one of the explanations for the anecdotally reported detrimental 663 effects of SSS on beamforming results. 664

# 665 **5. Conclusion**

We conclude that with the current versions of LCMV beamformer implementations in the four open-666 source toolboxes — FieldTrip, DAiSS (SPM12), Brainstorm, and MNE-Python — the localization 667 accuracy is acceptable (within ~10 mm for a true point source) for most purposes when the input 668 SNR is ~3-15 dB. Lower or higher SNR may compromise the localization accuracy and spatial 669 resolution. All toolboxes apply a vector LCMV beamformer as the initial step to find the source 670 location. FieldTrip, DAiSS (SPM12) and MNE-Python find the optimal source orientation and 671 672 produce a scalar beamformer output. Brainstorm yields robust localization for input SNR >5 dB but it slightly compromises with the spatial resolution. 673

To extend this useable range, a properly defined scaling strategy such as pre-whitening should be implemented across the toolboxes. The default regularization is often inadequate and may yield suboptimal results. Therefore, a data-driven approach for regularization should be adopted to alleviate problems with low- and high-SNR cases. Our further work will be focusing on optimizing regularization using a more data-driven approach.

679

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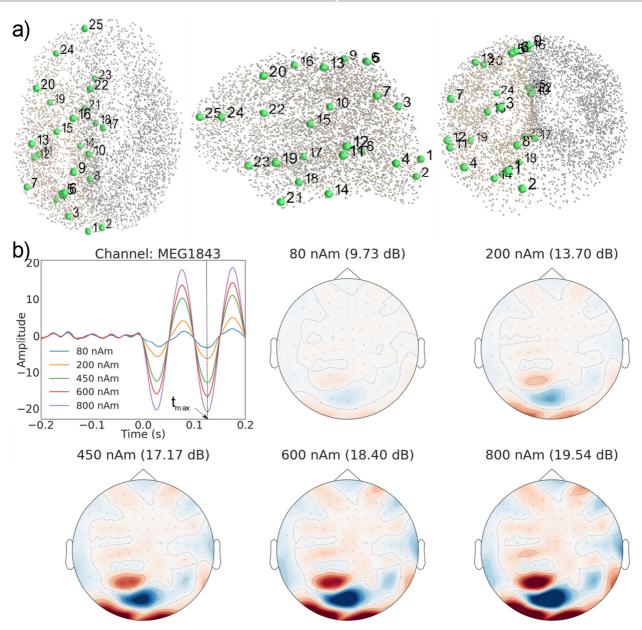
Journal Prevention

		Journal Pre-proof		
	MNE-Python	FieldTrip	DAiSS (SPM12)	Brainstorm
Version	0.18	20190922	20190924 20190926	
Data import functions	MNE (Python)	MNE (Matlab)	MNE (Matlab)	MNE (Matlab)
Internal units of MEG data	T, T/m	T, T/m	fT, fT/mm	T, T/m
Band-pass filter type	FIR	IIR	IIR	FIR
MRI segmentation	FreeSurfer	SPM8/SPM12	SPM8/SPM12	FreeSurfer/SPM8
Head model	Single-shell BEM	Single-shell corrected sphere	Single-shell corrected sphere	Overlapping spheres
Source space	Rectangular grid (5 mm), inside of the inner skull	Rectangular grid (5 mm), inside of the inner skull	Rectangular grid (5 mm), inside of the inner skull	Rectangular grid (5 mm), inside of the brain volume
MEG–MRI coregistration	Point-cloud co- registration and manual correction	3-point manual co-registration followed by ICP co-registration	Point-cloud co- registration using ICP	Point-cloud co- registration using ICP
Data covariance matrix	Sample data covariance	Sample data covariance	Sample data covariance	Sample data covariance
Noise normalization for NAI computation	Sample noise covariance	Sample noise covariance	Sample noise covariance	Sample noise covariance
Combining data from multiple sensor types	Prewhitening (full noise covariance)	No scaling or prewhitening	No scaling or prewhitening	Prewhitening (full noise covariance but cross-sensor- type terms zeroed)
Beamformer type	Scalar	Scalar	Scalar	Vector
Beamformer output	Neural activity index (NAI)	Neural activity index (NAI)	Neural activity index (NAI)	Neural activity index (NAI)

**Table 1.** Characteristics of the four beamforming toolboxes. The non-default settings of each toolbox are shown in bold. The toolbox version is indicated either by the version number or by the download date (*yyyymmdd*) from GitHub.

	SNR range	MNE- Python	FieldTrip	DAiSS (SPM12)	Brainstorm
	(dB)	T ython			
Mean loc. error for SSS-pre-processed simulated data (mm)	< 3	24.9	44.9	49.6	26.3
	3–15	6.1	6.3	5.9	9.9
	> 15	9.5	13.3	13.9	12.9
Mean PSV for SSS- pre-processed simulated data (cm <sup>3</sup> )	< 3	84.9	84.9	139.4	101.1
	3–15	4.6	6.8	11.7	14.0
	> 15	19.2	21.0	34.9	39.9
Mean loc. error for SSS-pre-processed phantom data (mm)	< 3	3.6	2.9	3.6	3.8
	3–15	3.3	3.1	2.2	3.4
	> 15	3.7	3.0	2.5	3.5
Mean PSV for SSS- pre-processed phantom data (cm <sup>3</sup> )	< 3	38.0	28.1	34.3	56.5
	3–15	1.8	2.0	4.8	5.8
	> 15	10.1	8.0	11.6	17.5

**Table 2.** Mean localization error and mean PSV for simulated and static phantom data over the three ranges of signal-to-noise ratio.



**Fig. 1.** Simulation of evoked responses. a) The 25 simulated dipolar sources (green dots) in the source space (grey dots), b) Simulated evoked responses of a dipolar source at five strengths and the field patterns corresponding to the peak amplitude (SNR in parenthesis). The dipole was located at (-19.2, -71.6, 57.8) mm in head coordinates.

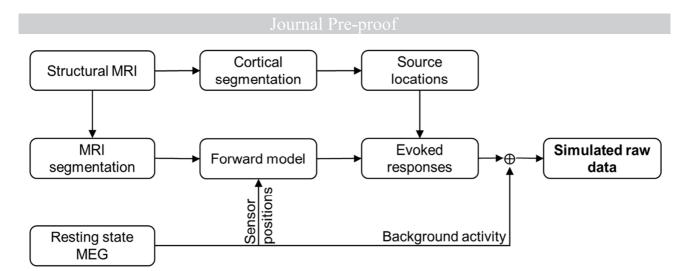
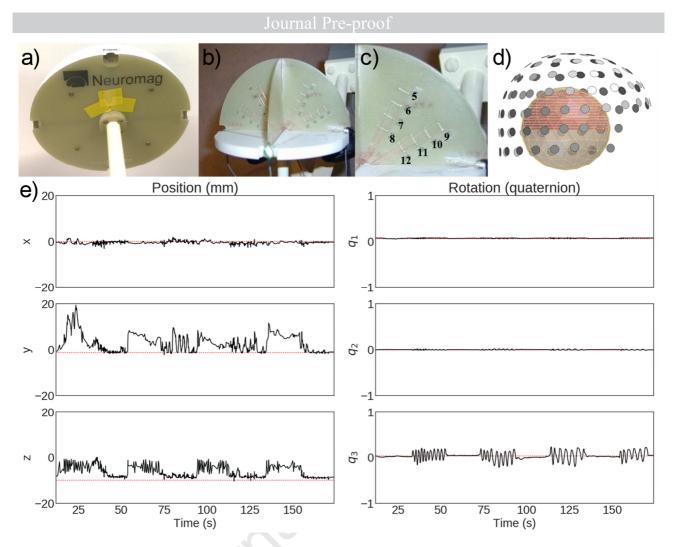
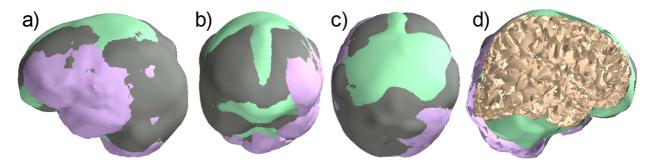


Fig. 2. MEG data simulation workflow (details in Suppl. Fig. 1).

rig. 1).

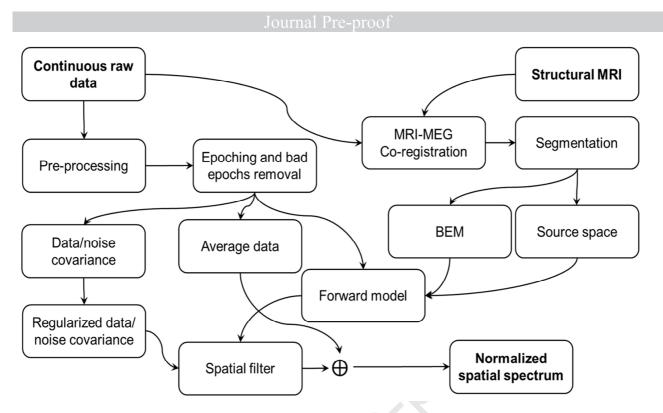


**Fig. 3.** The dry phantom. (a) Outer view, (b) cross-section, (c) positions of the employed dipole sources, (d) phantom position with respect to the MEG sensor helmet, and (e) position and rotation of the phantom during one of the moving-phantom measurements (Dipole 9 activated).



**Fig. 4.** Surfaces that bound the source space used by each toolbox. a) Sagittal, b) coronal, and c) axial views of the bounding surfaces in MNE-Python (grey), FieldTrip (lavender), DAiSS (SPM12) (mint) and Brainstorm (coral). d) Transparent view of the overlap and differences of the four surfaces (color indicates the outermost surface).

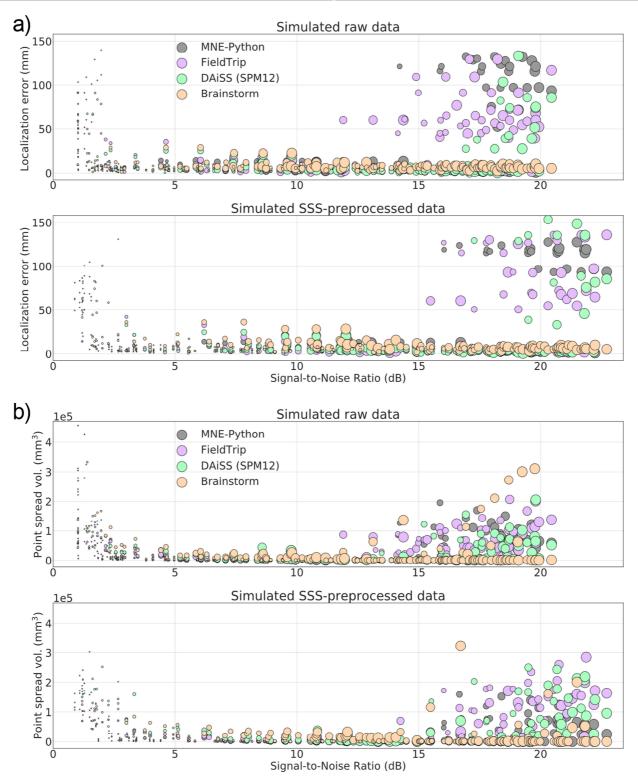
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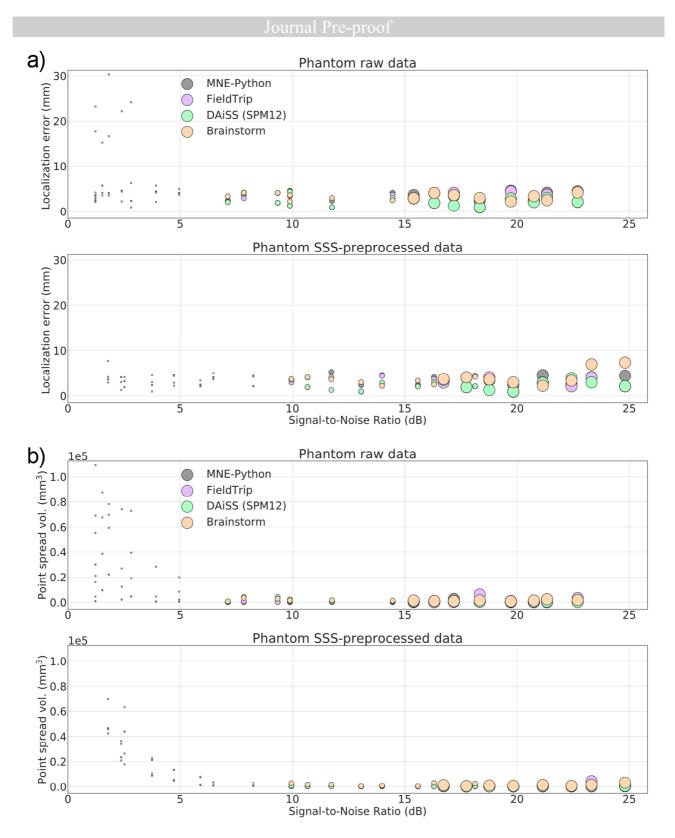
**Fig. 5.** The pipeline for constructing an LCMV beamformer for MEG/EEG source estimation. A similar pipeline was employed in all four packages.

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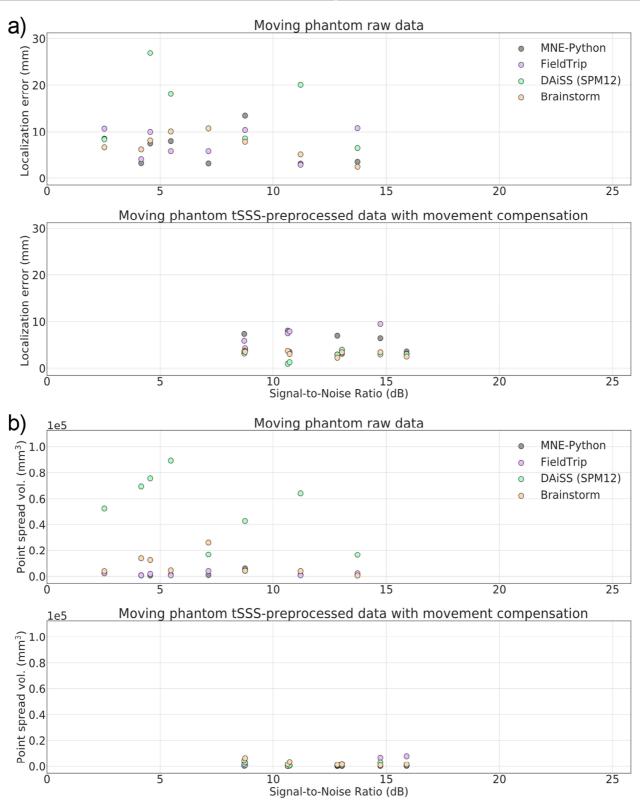


**Fig. 6.** Localization error (a) and point-spread volume (b) as a function of input SNR for raw and SSS-pre-processed simulated datasets. The markers size indicates the true dipole amplitude.



**Fig. 7.** Localization error (a) and point-spread volume (b) as a function of input SNR for phantom data recording in a stable position. The markers size indicates the true dipole amplitude.





**Fig. 8**. Localization error (a) and point-spread volume (b) as a function of input SNR for data from the moving phantom.

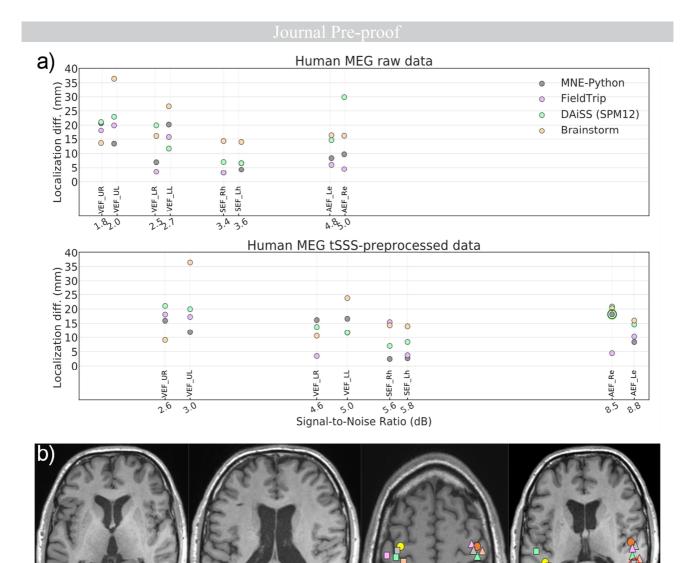


Fig. 9. Source estimates of human MEG data. (a) Localization difference from the reference dipole location for raw and tSSS-preprocessed data. (b) Peaks of the beamformer source estimate of tSSS-preprocessed data. From left to right: visual stimuli presented to left (triangle) and right (square) upper and lower quadrant of the visual field (the two axial slices showing all sources):

(square) upper and lower quadrant of the visual field (the two axial slices showing all sources); somatosensory stimuli to left (triangle) and right (square) wrist; auditory stimuli to the left (triangle) and right (square) ear. Reference dipole locations (yellow and orange circles).

# **Credit Author Statement**

Amit Jaiswal:	Methodology, Software, Investigation, Writing- Original draft preparation,	
Jukka Nenonen:	Conceptualization, Data curation, Supervision, Reviewing and Editing	
Matti Stenroos:	Methodology, Reviewing and Editing	
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