

**Latent atrophy factors related to phenotypical variants of posterior cortical atrophy**

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**Glossary:**

AD – Alzheimer’s disease

Amsterdam UMC – Amsterdam University medical center

FDR – false discovery rate

LDA – Latent Dirichlet Allocation

MMSE – mini mental state examination

PCA – posterior cortical atrophy

UCSF – University of California San Francisco

**Non-standard characters:**  $\alpha$  – alpha (code: 945),  $\beta$  – beta (code: 946),  $\varepsilon$  – (code 238)

## Abstract

**Objective:** To determine whether atrophy relates to phenotypical variants of posterior cortical atrophy (PCA) recently proposed in the clinical criteria; dorsal, ventral, dominant-parietal and caudal, we assessed the association between latent atrophy factors and cognition.

**Methods:** We employed a data-driven Bayesian modelling framework based on latent Dirichlet allocation to identify latent atrophy factors in a multi-center cohort of 119 individuals with PCA (age:64±7, 38% male, MMSE:21±5, 71% amyloid-β-positive, 29% amyloid-β status unknown). The model uses standardized gray matter density images as input (adjusted for age, sex, intracranial volume, field-strength and whole-brain gray matter volume) and provides voxelwise probabilistic maps for a predetermined number of atrophy factors, allowing every individual to express each factor to a degree without *a-priori* classification. Individual factor expressions were correlated to four PCA-specific cognitive domains (object perception, space perception, non-visual/parietal functions and primary visual processing) using general linear models.

**Results:** The model revealed four distinct yet partially overlapping atrophy factors; right-dorsal, right-ventral, left-ventral, and limbic. We found that object perception and primary visual processing were associated with atrophy that predominantly reflects the right-ventral factor. Furthermore, space perception was associated with atrophy that predominantly represents the right-dorsal and right-ventral factors. However, individual participant profiles revealed that the vast majority expressed multiple atrophy factors and had mixed clinical profiles with impairments across multiple domains, rather than displaying a discrete clinical-radiological phenotype.

**Conclusion:** Our results indicate that particular brain-behavior networks are vulnerable in PCA, but most individuals display a constellation of affected brain-regions and symptoms,

indicating that classification into four mutually exclusive variants is unlikely to be clinically useful.

## Introduction

Posterior cortical atrophy (PCA) is a clinical-radiological syndrome defined by progressive loss of higher-order visual functions, and atrophy that markedly affects posterior brain regions<sup>1-4</sup>. While multiple pathologies may underlie the PCA syndrome, the most common biological substrate is Alzheimer's disease (AD)<sup>5,6</sup>. The dominant features of PCA are visuo-perceptual and visuo-spatial symptoms but there exists considerable phenotypical heterogeneity between individuals, which has motivated efforts to categorize PCA into phenotypical variants<sup>7</sup>. The two best characterized variants are the occipitotemporal (ventral) and temporoparietal (dorsal) variants, which reflect the functional organization of the visual system (i.e., ventral and dorsal streams), and are characterized by the presence of prominent visuo-perceptual and visuo-spatial deficits, respectively<sup>8-10</sup>. Recent consensus criteria<sup>7</sup> describe two additional variants - a primary visual (caudal) variant, characterized by primary visual processing deficits<sup>9,11,12</sup> and a dominant parietal variant, which presents with prominent non-visual parietal function deficits like dyscalculia, dyslexia and apraxia<sup>13-15</sup>. Importantly, these PCA variants are mainly based on single-case studies or studies of limited sample sizes, and previous attempts to identify consistent clinical and neuroimaging correlates to these variants have failed<sup>16-18</sup>. Consequently, in the consensus criteria it is emphasized that current literature provides insufficient cognitive or neuroimaging evidence to support the existence of discrete PCA subtypes and that more research is needed<sup>7</sup>. With this in mind, we employed a data-driven Bayesian modelling approach to detect endophenotypes on MRI among a relatively large set of extensively phenotyped PCA participants, and assessed associations between these phenotypes and PCA-specific clinical symptoms.

## **MATERIALS AND METHODS**

### **Participants**

We selected participants with PCA from two independent expert centers, the Amsterdam Dementia Cohort of the Amsterdam University medical center (Amsterdam UMC), the Netherlands<sup>19</sup> and the University of California San Francisco (UCSF) Alzheimer's Disease Research Center, USA. All participants underwent dementia screening between June 2000 and July 2017, and inclusion into the present study was based on the following criteria: i) a syndrome diagnosis of PCA as defined by published diagnostic criteria<sup>6,7,20</sup> and established by consensus in a multidisciplinary meeting, and ii) availability of an MRI scan including a structural volumetric T1-weighted sequence. We excluded participants who had negative biomarkers for amyloid- $\beta$  pathology [either CSF molecular profile<sup>21</sup> and/or amyloid-PET visual rating<sup>22</sup>]. These criteria yielded 69 participants from Amsterdam UMC and 50 from UCSF. Participants from the two cohorts were merged into one combined cohort. Of the 119 participants in this combined cohort, 91(76%) were amyloid- $\beta$  positive (40[34%] on CSF, 28[24%] on PET and 23[19%] on both PET and CSF) while for 28(24%) the amyloid- $\beta$  status was unknown. We additionally selected 121 amyloid- $\beta$  negative cognitively normal individuals (age:57.4 $\pm$ 8.9, 41% male, MMSE:29.0 $\pm$ 0.8) from the Amsterdam UMC cohort, who served as a reference group for voxelwise contrasts and were also used to standardize gray matter density images (see "Imaging analyses").

### **Standard protocol approvals, registrations, and patient consents**

Written informed consent was obtained from all participants, and the local medical ethics review committees of the Amsterdam UMC and UCSF approved the study.

### **Data availability**

The code for the Bayesian modelling approach is publicly available at ([https://github.com/ThomasYeoLab/CBIG/tree/master/stable\\_projects/disorder\\_subtypes/Zhang2016\\_ADFactors](https://github.com/ThomasYeoLab/CBIG/tree/master/stable_projects/disorder_subtypes/Zhang2016_ADFactors)). Anonymized data used in the present study may be available upon request to the corresponding author.

## **Cognition**

Neuropsychological test scores covered two higher-order visual processing domains in both cohorts: Object perception (fragmented letters) and space perception (number location and dot counting)<sup>23</sup>. The visual test battery administered in the UCSF sample included more tests than the Amsterdam UMC sample; two additional domains could be assessed within the UCSF cohort only: non-visual dominant parietal functions (calculations, spelling, and reading) and primary visual processing (point location, figure discrimination, shape discrimination, hue discrimination, visual acuity, size discrimination, letter cancellation, static circle detection and motion coherence). Additional neuropsychological test scores covered the following non-visual cognitive domains: memory (Amsterdam UMC: Rey auditory verbal learning test-immediate and delayed recall [15 items/5 trials]; UCSF: California Verbal Learning Test-immediate and delayed recall [9 items/4 trials]), executive functions (Amsterdam UMC and UCSF: Digit-span forwards and backwards; Letter fluency [D]) and language (Amsterdam UMC and UCSF: Verbal fluency [animal naming])<sup>24,25</sup>. Mini-Mental State Examination (MMSE) scores were used as a measure of global cognition.

Before combining neuropsychological data from the two cohorts, all test scores were converted into z-scores using the mean and standard deviation of each separate cohort and then combined. This was done to account for center-specific effects on cognition.

Furthermore, educational attainment levels were measured using a qualitative scale in the

Amsterdam UMC cohort and these were converted to years of education before combining the samples. Cognitive data obtained closest to the MRI date (maximally 6 months, mean follow up:  $0.2 \pm 1.1$  months) were used for the analyses. Availability of cognitive data across neuropsychological tests is presented in Table-1.

## **Neuroimaging**

MR images from Amsterdam UMC were acquired on eight different scanners using previously described standardized acquisition protocols (sTable1) and with a scanner field strength of 1.5T or 3T. MR images from UCSF were acquired on a 1.5T Magnetom Avanto, a 3T Siemens Tim Trio or a 3T Siemens Prisma Fit scanner. Proportion of participants scanned on a 1.5T scanner were balanced between the two samples, 22% in Amsterdam UMC and 26% in UCSF, and scanner field-strength was used as a covariate in all imaging analyses. T1-weighted images were segmented, smoothed, weighted, modulated and spatially normalized to a common space using a standard SPM12 (Wellcome Trust Centre for Neuroimaging, UK) pre-processing pipeline described elsewhere<sup>25</sup>. The resulting normalized gray matter density images were used to assess the whole-brain spatial distribution of atrophy by performing voxelwise contrasts between participants with PCA and controls. Next, the gray matter density images were converted into W-score maps (i.e., control-normalized z-scores adjusted for covariates)<sup>4,26</sup> by performing voxelwise standardization to the control group, regressing out the effects of age, sex, intracranial volume, scanner field strength and whole-brain gray matter atrophy (operationalized as gray matter to intracranial volume ratios). The resulting W-maps represent voxelwise atrophy adjusted for covariates and are used as input in de Bayesian modelling framework (Figure-1).

## **Bayesian modelling**

We employed a Bayesian modelling approach based on latent Dirichlet allocation (LDA) to discover atrophy patterns that covary across participants in order to identify latent atrophy factors present within the PCA sample. This method has been adapted for structural MRI data in a previous study including patients with AD<sup>27</sup> and estimates atrophy factors in a voxelwise, spatially unconstrained manner. LDA has previously outperformed supervised methods like canonical correlation analyses<sup>28</sup>. The LDA model (Figure-1) considers each scan as an unordered collection of voxels associated with a predefined number of latent atrophy factors ( $K$ ), and allows each individual's scan to be associated with multiple factors and each factor to be associated with multiple voxels. More specifically, given a dataset of scans ( $W$ -score images), the algorithm estimates the probability of atrophy at a particular voxel given a latent atrophy factor [ $\text{Pr}(\text{Voxel} \mid \text{Factor})$ ] and the probability that a factor is associated with a particular scan [ $\text{Pr}(\text{Factor} \mid \text{Scan})$ ]. A latent factor ( $\text{Pr}[\text{Voxel} \mid \text{Factor}]$ ) can be visualized as a probabilistic atrophy map.  $\text{Pr}(\text{Factor} \mid \text{Scan})$  is a probability distribution over latent atrophy factors, representing the factor composition of the participant (scan). For example, in a four factor model ( $K=4$ ),  $\text{Pr}(\text{Factor} \mid \text{Scan})$  might be: 10% factor 1, 30% factor 2, 40% factor 3 and 20% factor 4 (Figure-1). These factor compositions add up to 100%, and the individual components will henceforth be referred to as (atrophy) factor expressions, while the combination of the factor expressions constitutes an individual's factor composition. Because the factor expressions add up to 100%, an individual's expression of a particular factor could be regarded as the proportion of atrophy falling into a specific (but not necessarily localized) anatomical region rather than in the anatomical regions encompassed by the other factors. Therefore, factor expressions and factor compositions are reflective of an individual's spatial distribution of atrophy rather than its severity. An important model parameter is the number of latent factors ( $K$ ). We ran models allowing for four factors ( $K=4$ ) in accordance with the number of PCA variants proposed in the clinical criteria (i.e., dorsal, ventral, caudal and

dominant parietal variant)<sup>7</sup>. Models, as well as all pre-processing steps and VBM analyses, were also performed in the two separate cohorts and visual inspection of the spatial distribution of the atrophy factors revealed that these were highly similar (sFigure 1-2). Therefore, we will present results on the factors obtained in the combined sample in the main text, while results from the separate samples are presented in the supplement (sFigure 1-4). Additionally, we assessed LDA models allowing for  $K=2$  through 6 in the combined cohort, which are also presented in the supplement (sFigure-5-7).

### **Statistical analyses**

Statistical analyses were performed using R version 3.5.2. To assess cross-sectional associations between atrophy factor expressions and cognition, we used multiple linear regression analyses, adjusted for education and the temporal delay between neuropsychological assessment and MRI, using the “lme4” package. Note that factor expressions were already adjusted for age, sex, whole-brain atrophy, intracranial volume and scanner field-strength effects in the LDA model. We included three of the four factors in the predictor set and the fourth was implicitly modelled because factor expressions of the four factors add up to 100%. The relative effects of the three directly modelled factors were calculated using the implicitly modelled fourth factor as a reference and all models were repeated using a different atrophy factor implicitly modelled to obtain pairwise differences between all factors (K1vsK2, K1vsK3, K1vsK4, K2vsK3, K2vsK4 and K3vsK4). As factor expressions represent the proportion of atrophy falling into a specific region rather than the others, a negative association of factor X with cognition Z would state that individuals with a greater proportion of atrophy in regions associated with factor X, rather than factor Y, have worse scores on domain Z. Statistical significance for all models was set at  $\alpha=0.05$  and we

performed *post-hoc* adjustment for multiple comparisons using the false-discovery-rate (FDR) method. Both uncorrected and FDR-corrected results are presented.

## RESULTS

Demographic and clinical characteristics are presented in Table-1. Mean age of the total sample was  $63.8 \pm 7.1$ , 38% were male and MMSE was  $20.5 \pm 5.2$ . Voxelwise contrasts compared to controls revealed a classical PCA pattern, covering the middle and inferior temporal gyrus, inferior and medial parietal areas and the occipital cortex (Figure-2A). The atrophy pattern was slightly lateralized to the right-hemisphere.

### Latent atrophy factors

The Bayesian model ( $K=4$ ) revealed four distinct yet partially overlapping latent atrophy factors (Figure-2B). The first factor (“right-dorsal”) included the right lateral temporoparietal cortex as well as bilateral medial parietal regions. The second factor (“right-ventral”) included the right medial and lateral occipital cortex, extended inferiorly into the temporal cortex, and also covered part of the inferior parietal cortex. The third factor (“left-ventral”) included the left medial and lateral occipital cortex, inferior temporal cortex, and inferior parietal cortex. The fourth factor (“limbic”) mainly included bilateral medial-temporal areas as well as medial frontal regions (Figure-2B).

### Individual factor compositions

Factor compositions of the combined sample reveal that the majority of PCA participants expressed a combination of multiple atrophy factors rather than predominantly expressing only one of the factors (Figure-3A; sFigure-e3A). A similar distribution was observed when we stratified individuals according to clinical disease severity (MMSE: 30-24 vs 23-18 vs 17-6; Figure-3B; sFigure-e3B). To assess whether factor expressions were partly driven by global atrophy, we examined the relationship between factor expressions and whole brain gray matter to intracranial volume ratios. We observed a significant correlation only between

the limbic factor and whole-brain gray matter to intracranial volumes ratios (lower values indicate more atrophy;  $r=-0.43$ ,  $p<0.001$ ), while the other factors did not show a correlation (range:  $r=0.11$  to  $0.19$ , all  $p>0.05$ ). Furthermore, we observed a significant correlation only between the right-dorsal factor and age ( $r=-0.26$ ,  $p=0.005$ ) but there were no associations between factor expressions and sex (range:  $t=-1.51$  to  $1.75$ , all  $p>0.05$ ), APOE $\epsilon$ 4 (+/-; range:  $t=-1.28$  to  $1.00$ , all  $p>0.05$ ) or handedness (right/non-right handed; range:  $t=-0.36$  to  $1.31$ , all  $p>0.05$ ).

### **Associations between factor expression and higher-order visual processing**

Fragmented letter scores (object perception) were negatively associated with right-ventral factor expression compared to right-dorsal and left-ventral factor expressions ( $\beta(\text{CI})=-0.35(-0.63 - -0.09)$ ,  $p=0.008$  uncorrected;  $\beta(\text{CI})=-0.48(-0.76 - -0.020)$ ,  $p=0.001$  FDR-corrected; Figure-4). Furthermore, dot counting scores (space perception) were negatively associated with right-ventral, limbic and right-dorsal factor expression compared to the left-ventral factor ( $\beta(\text{CI})=-0.32(-0.62 - -0.03)$ ,  $p=0.030$  uncorrected,  $\beta(\text{CI})=-0.31(-0.61 - -0.00)$ ,  $p=0.044$  uncorrected,  $\beta(\text{CI})=-0.32(-0.61 - -0.02)$ ,  $p=0.031$  uncorrected). This same pattern was observed for number location scores (space perception), although none of the effects reached statistical significance (Figure-4; sTable 2).

### **Associations between factor expression and non-visual dominant parietal and primary visual processing functions**

Primary visual processing was negatively associated with right-ventral compared to left-ventral (hue discrimination:  $\beta(\text{CI})=-0.59(-1.23 - 0.04)$ ,  $p=0.048$  uncorrected), limbic (letter cancellation:  $\beta(\text{CI})=-0.59(-1.16 - -0.03)$ ,  $p=0.028$  uncorrected) and right-dorsal factor expression (shape discrimination:  $\beta(\text{CI})=-0.59(-1.18 - 0.00)$ ,  $p=0.027$  uncorrected). With

regard to non-visual parietal functions, we observed a trend towards worse calculations and spelling scores in the right-dorsal, left-ventral and right-ventral factors, compared to limbic (Figure-5; sTable 2).

### **Associations between atrophy and MMSE, memory, executive and language functioning**

Beyond the visual processing domains, we examined associations between factor expressions and memory, executive and language functions, as well as global cognition measured by MMSE. Across verbal learning, letter fluency, digit span, and category fluency tests, we found negative associations with the limbic factor loading compared to the other factors. For MMSE, we also found more associations with limbic factor expression compared to the other factors, while associations between the extra-limbic factors were sparse (Figure-4).

### **Case series of participants corresponding to distinct posterior cortical atrophy variants**

Individual factor compositions indicated that the vast majority of participants express atrophy across multiple factors rather than in one primarily and our results therefore do not support the notion that discrete phenotypical variants of PCA are common. To provide an explanation for the description of these variants in earlier studies, we include a case description of four participants who were selected based on an isolated relative impairment in one of the cognitive domains most relevant to PCA: object perception, space perception, non-visual/dominant parietal functions or primary visual processing (Figure-6A). These scores were obtained by averaging scores across neuropsychological tests within each domain. From this plot it is evident that, similar to what we observed for the factor compositions, most participants have impairments across multiple cognitive domains, and only a few had a

clinical phenotype that was characterized by isolated impairments (see annotated markers in Figure-6A). We outlined the clinical and radiological characteristics of these four cases in Figure-6B,C. Case 1 was selected based on pronounced object perception impairment and showed an atrophy pattern compatible with the occipitotemporal (“ventral”) variant of PCA described in literature, and this participant mainly expressed the right-ventral factor (80% loading). Case 2 was selected based on pronounced space perception deficits and showed atrophy that matches with the temporoparietal (“dorsal”) variant, and this participant had a relatively high right-dorsal factor expression (50% loading). Case 3 was selected based on low scores on non-visual, dominant parietal functions (but also showed low scores on the other three domains) yet did not display prominent parietal atrophy, and factor expression was mainly in the limbic factor (50% loading). Finally, case 4 was selected based on low primary visual processing scores and had high right-ventral factor expression (70% loading) but the atrophy pattern was not markedly caudal (Figure-6B,C).

## Discussion

In the present study, we employed a data-driven approach to identify phenotypical variants of PCA by detecting latent atrophy factors and assessing associations between these factors and cognitive domains known to be affected in PCA (i.e., object perception, space perception, non-visual dominant parietal and primary visual processing). A Bayesian modelling framework was used to detect atrophy patterns that covary across participants and identified four distinct but partially overlapping atrophy factors; right-dorsal, right-ventral, left-ventral and limbic. When we evaluated these atrophy factors, we observed that the vast majority expressed multiple factors rather than primarily expressing only a single factor. This indicates that most participants have atrophy that extends across multiple regions rather than focal atrophy confined to a single region. Furthermore, we found that object perception was associated with atrophy that predominantly affects the right-ventral region and that space perception was associated with atrophy that predominantly affects right-dorsal and right-ventral regions (compared to left-ventral). Primary visual functions were also associated with atrophy that predominantly affects the right-ventral factor but we found no associations for dominant-parietal functions. These findings indicate that atrophy patterns within participants were associated with particular cognitive functions, mostly in line with known brain-behavior relationships. However, similar to expressions across atrophy factors, scores across cognitive domains revealed that most participants had impairments on multiple visual processing and non-visual parietal functions, rather than being primarily impaired in one. Four participants selected based on a relative impairment on a single domain revealed individual atrophy patterns that were largely in accordance with the hypothesized variants of PCA, but these cases constituted the exception rather than the norm and even then were not mutually exclusive. Taken together, our Bayesian modelling approach captures atrophy factors that are in accordance with the most well-described phenotypical variants of PCA (i.e., dorsal and

ventral variants) and these brain regions are individually associated with specific clinical features. However, the vast majority of participants display a constellation of affected brain regions and symptoms and classification into four overarching phenotypical variants is, therefore, unlikely to be clinically useful.

### **Clinical and neurobiological heterogeneity within the spectrum of posterior cortical atrophy**

Our results are in line with previous studies with more limited sample sizes that have tried to identify PCA variants using neuroimaging techniques<sup>16,17</sup>. A diffusion tensor imaging investigation of PCA found that all investigated participants had ventral white matter tract abnormalities (e.g., inferior longitudinal fasciculus), while some had *additional* dorsal stream abnormalities<sup>17</sup>. Another study assessed regional cortical thickness in object *vs.* space perception subgroups and found trends towards thinner cortex in focal dorsal and ventral areas, respectively. However, these differences were subtle and the substantial anatomical overlap between subgroups indicates that there was insufficient evidence for the existence of distinct PCA variants<sup>16</sup>. Here, we found that right-ventral atrophy was negatively associated with object perception compared to right-dorsal but dorsal *vs.* ventral associations were not detected with regard to space perception. We did observe that both object and space perception, as well as primary visual functions, were associated with the right-ventral and right-dorsal factors compared to the left-ventral factor. While higher-order visual processing is not clearly lateralized<sup>29</sup>, it has consistently been found that PCA presents with a tendency towards right-lateralized atrophy<sup>3,30</sup>. Since visual processing impairments are the hallmark feature of PCA, a link to vulnerability of the right-hemisphere is conceivable.

Deficits in visual processing functions are, by definition, the most prominent features of PCA, but memory, executive and language functions impairment are also often observed, although these impairments – especially language<sup>18,31,32</sup> – are often only present in the later stages of the disease<sup>7,33</sup>. We found that individuals for whom the majority of their atrophy was specific to limbic regions had worse memory, executive and language scores compared to those for whom the majority of the atrophy took place in the extra-limbic factors. It has been reported in a previous study that performance on verbal learning is associated with volume of the inferior parietal lobules in PCA<sup>34</sup>, rather than the medial temporal lobe, which contrasts with our findings. However, individuals with atrophy that predominantly affected the limbic regions also showed worse global cognition, indicating that disproportionate limbic atrophy indicates worse cognition overall. As this factor was also the only one associated with global atrophy, it seems that high limbic factor expression might be a feature of late-stage PCA, which is in accordance with findings reported in previous studies<sup>35,36</sup>.

### **A case against classification of posterior cortical atrophy into distinct variants**

Classical neuroscientific literature describes the ventral and dorsal pathways of visual processing, which together constitute the “two-streams hypothesis”, sometimes called the “what” and “where” pathways<sup>29</sup>. These processing streams respectively encompass occipitotemporal and temporoparietal areas, and one may assume that atrophy in one of these regions lead to specific clinical phenotypes in PCA. In the present study we found three distinct (although partly overlapping) atrophy factors which roughly corresponded to the ventral and dorsal visual processing pathways, namely the right and left-ventral factors, and the right-dorsal factor. We found that these factors were, in accordance with the “two-streams hypothesis”, associated to object and space perception, although space perception was not discretely associated with the (right-)dorsal factor. It might have been that our method was

not able to accurately delineate this association, but a previous study implementing the same approach to structural MRI data in an mild-cognitive impairment and AD dementia population did find distinct brain-behavior associations in a biologically plausible manner<sup>27</sup>. Moreover, previous examinations that have focused on dorsal *vs.* ventral neuroimaging features and clinical symptoms<sup>16,17</sup> have been unable to provide definitive results. The explanation for this may lie in the fact that individuals do not exclusively express atrophy in either the dorsal or the ventral regions, as illustrated by the factor compositions in the present study. Likewise, clinical impairments are also not limited to a single domain but spread across multiple domains. This combination indicates that the dorsal and ventral stream variants are either too rare, or too much overlapping to be discernable.

Evidence for the existence of the other two, admittedly less well-defined, variants of PCA described in literature (i.e., the caudal and dominant parietal variants) is even more limited. The dominant parietal variant has been proposed to be characterized by prominent impairments in non-visual parietal functions (i.e., agraphia, alexia and apraxia), symptoms which are often present in PCA<sup>7,18,20</sup>. In the present study, we were unable to discern clear associations between any of the atrophy factors and dominant-parietal functions.

Furthermore, outlining the impairments across cognitive domains revealed that none of the participants had a clearly isolated non-visual dominant parietal impairment, and the case we selected also had severe impairments on other domains and a low MMSE. The discrepancy between our findings and earlier studies, which formed the basis for the hypothesized dominant parietal variant, may again be that these were based on small studies or single case studies selected based on this particular phenotype. Also, these previous studies primarily focused on apraxia (not assessed in the present study)<sup>13–15</sup>. Another possible explanation for why we did not observe patients with isolated impairments in non-visual dominant parietal

functions is that these individuals might have been less likely to be included because the clinical criteria for PCA rely primarily on prominent visual features<sup>7,20,37</sup>.

We did detect atrophy factors that might be related to the caudal variant of PCA, characterized by primary visual processing function deficits, namely the left and right-ventral factors. These factors encompassed occipital regions proposed to be associated with the caudal variant. However, these factors also included inferior temporal and inferior parietal regions, so we were unable to discern a clearly caudal factor associated with primary visual processing. An explanation for the lack of a clear caudal factors may be found in earlier observations that PCA starts in the most posteriorly located brain regions and then spreads to lateral parieto-temporal cortices<sup>38,39</sup>, following a posterior-to-anterior pathway in line with the network-based degeneration hypothesis<sup>40</sup>. This suggests that descriptions of isolated impairments on primary visual processing and caudally located atrophy might be based on individuals in an early stage of the disease, which would be in line with the observation that all PCA participants show impairments in at least one primary visual domain<sup>16</sup>. The caudal variant may, therefore, represent an early disease stage-related phenomenon, rather than a distinct variant of PCA.

### **Strengths and limitations**

The main strengths of the present study include the relatively large, multi-center sample of extensively phenotyped PCA participants. Furthermore, our data-driven approach allowed atrophy factors to be partly overlapping instead of completely distinct and allowed participants to express each atrophy factor to a certain degree. These characteristics make this approach more biologically plausible than *a priori* categorization of participants into mutually exclusive subgroups or selection of regions-of-interest to investigate. While this results in partly overlapping atrophy patterns, complicating the interpretation of the

associations between factor expressions and cognition, functionally related pathways are likely also structurally interconnected and would be expected to degenerate together when pathology occurs. Another strength is that we excluded participants with negative amyloid- $\beta$  biomarkers in order to increase the likelihood that individuals had PCA due to AD<sup>5,6,41</sup>, thereby minimizing possible confounding effects of differences in underlying pathology. However, this also constitutes a possible limitation as individuals with PCA due to non-AD pathology may show a different pattern of neurodegeneration<sup>41</sup> and we were not able to assess whether non-AD pathology could have formed the basis for some of the hypothesized phenotypical variants of PCA. Another possible limitation is the retrospective inclusion of participants assessed from 2000 to 2017, which resulted in participants being selected based on different clinical criteria<sup>6,7,20</sup>. However, there were no associations between date of inclusion and atrophy factor expression (range:  $r=-0.14$  to  $0.18$ , all  $p>0.05$ ; sFigure 8). Furthermore, our sample ( $N=119$ ), while large for a PCA cohort, was relatively small, and we performed many comparisons. After correction for multiple comparisons, several of the associations between factor expressions and neuropsychological tests lost statistical significance. However, we have included effect sizes in the results to allow the reader to draw their own conclusions. Finally, performance on some of our tests is inter-related (sTable 3) and individual tests might assess multiple aspects of visual functioning. For example, the visuo-perceptive fragmented letters test also includes a visuospatial component, thus future studies with more specific tests are warranted.

## **Conclusions and future directions**

Akin to classifying AD patients into atypical variants (e.g., logopenic variant primary progressive aphasia or the dysexecutive/behavioral variant<sup>42,43</sup>), subtyping PCA into variants constrains a wealth of clinical and radiological variability into categorical entities. These

classifications are mostly useful in a clinical setting, to aid in an early and accurate diagnosis and to direct patient care as well as aiding in selection for clinical trials<sup>44</sup>. However, when only the extremes of an already relatively rare syndrome are captured by this classification, clinical utility becomes limited and, for clinical purposes, categorizing PCA as a single entity might be sufficiently specific. Elucidating the link between clinical heterogeneity and neurobiological differences may, however, be useful in a research setting to assess mechanisms leading to selective vulnerability in neurodegenerative diseases<sup>45</sup>. Hypothetical models of AD suggest that tau aggregation and hypometabolism precede neurodegeneration<sup>46</sup>. This indicates that successfully identifying phenotypical variants of PCA may rely on early detection using e.g. tau-PET or FDG-PET, which have already been shown to distinguish PCA from typical AD<sup>2,30,47,48</sup>. Another possible avenue to detect phenotypical variants of PCA may be the assessment of functional connectivity<sup>49</sup>, as emerging evidence points to the spread of neurodegeneration along intrinsic functional brain networks. Aside from neuroimaging factors related to regional vulnerability, it has also been shown that genetic risk factors convey a specific risk to PCA<sup>33</sup>. In addition, a recent study has found that mathematical and visuospatial learning difficulties are related to visuospatial predominant clinical syndromes, which indicates that neurodevelopment might also be related to vulnerability of specific brain networks that predisposes an individual to show network failure in these systems when neurodegenerative diseases emerge in later-life<sup>50</sup>.

These emerging findings help to elucidate the intricate pathways that eventually result in discrete clinical syndromes and indicate that regional susceptibility to pathology is most likely multifactorial. Considering the interplay between different susceptibility factors, future examinations assessing regional vulnerability will therefore require multi-modal assessment with large sample sizes. Owing to the relatively low prevalence of PCA, obtaining sufficient

cohorts exclusively containing individuals with PCA will remain challenging. For now, it might be prudent to focus on the entire AD spectrum and examine factors related to particular vulnerability for developing PCA rather than specific variations of this already relatively rare syndrome.

**Appendix 1. Authors**

<b>Name</b>	<b>Location</b>	<b>Role</b>	<b>Contributions</b>
Colin Groot, MSc	Amsterdam UMC – Location VUMC, Amsterdam	Author	Study design, acquisition, analysis and interpretation of data, writing and revising the manuscript, and statistical analysis
B.T. Thomas Yeo, PhD	National University of Singapore, Singapore	Author	Study design, imaging analyses, interpretation of data and revising the manuscript
Jacob W Vogel, BA	McGill University, Montreal	Author	Study design, imaging analyses, interpretation of data and revising the manuscript
Xiuming Zhang, SM	National University of Singapore, Singapore	Author	Imaging analysis, interpretation of data and revising the manuscript
Nanbo Sun, BEng	National University of Singapore, Singapore	Author	Imaging analysis, interpretation of data and revising the manuscript
Elizabeth C. Mormino, PhD	Stanford University, Stanford	Author	Critical revision of manuscript for intellectual content

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Gil D. Rabinovici, MD	University of California, San Francisco	Author	Acquisition of patient data from UCSF, interpretation of results and critical revision of manuscript for intellectual content
Rik Ossenkoppele, PhD	Amsterdam UMC – Location VUMC, Amsterdam	Author	Study concept and design, statistical analysis, analysis of imaging data, writing and revising the manuscript and supervise the study

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## Tables and Figure legends

		Amsterdam UMC		UCSF		Combined	
	N	69		50		119	
	Age	62.9±6.1		66.3±7.7*		63.8±7.1	
	Sex (%male)	41		34		38	
	Education, years <sup>a</sup>	11±3		15±3		13±4	
	MMSE	20.2±4.7		20.7±6.2		20.4±5.4	
	<i>APOE</i> ε4, % carriers	55		41		50	
	Amyloid-β status, %positive/%unknown	81/19		70/30		76/24	
Domain	Neuropsychological test	N		N		N	
Object perception	Fragmented letters (/20)	37	10.3±6.7	22	9.5±6.5	59	10.0±6.6
Space perception	Number location (/10)	39	6.6±2.4	18	3.7±3.5 <sup>#</sup>	56	5.6±3.1
	Dot counting (/10)	46	6.5±2.9	21	5.5±2.8	67	6.3±2.9
Non-visual/ dominant parietal	Calculations (/9)			13	1.6±3.0		
	Spelling (/20)			16	12.1±5.4		
	Reading (/16)			14	14.6±4.0		
Primary visual processing	Point location (/9.99)			9	3.0±2.0		
	Figure discrimination (/20)			22	16.6±2.8		

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	Shape discrimination			15	16.3±3.5		
	(/20)						
	Hue discrimination (/4)			22	3.1±1.2		
	Visual acuity (/6)			22	5.5±1.1		
	Size discrimination (/1)			14	0.4±0.4		
	Letter cancellation (time, s)			23	96.1±57.0		
	Static circle detection			15	18.7±2.9		
	(/20)						
	Motion coherence (/20)			17	17.8±3.6		
Memory	RAVLT / CVLT immediate (%correct <sup>b</sup> )	57	31.4±13.2	44	45.4±18.8*	101	37.5±13.4
	RAVLT / CVLT delayed (%correct <sup>b</sup> )	58	19.5±20.7	44	30.1±29.8*	102	24.1±25.5
Executive	Verbal fluency, letter D (correct in 60sec)	50	10.3±4.1	43	10.1±5.0	93	10.2±4.5
	Digit span forward, span (/8)	56	5.2±1.0	32	5.3±1.2	88	5.3±1.1
	Digit span backward, span (/8)	55	3.3±1.0	45	3.0±1.2	100	3.1±1.1
Language	Verbal fluency, animal naming (correct in 60sec)	51	13.0±5.3	44	10.1±5.4 <sup>#</sup>	95	11.6±5.5

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### **Table 1. Demographic and clinical characteristics**

Values depicted are mean $\pm$ SD, unless otherwise indicated. Differences between groups were assessed using independent samples *t*-tests or Fishers exact-tests, where appropriate.

Differences in education were not assessable as education is measured on a qualitative scale at Amsterdam UMC and in years of education at UCSF. Memory test scores (percentage correct) were higher in UCSF but also not directly comparable between the samples as UCSF uses a 9-item test while Amsterdam UMC uses a 15-item test. APOE – Apolipoprotein E, MMSE - mini-mental state examination, RAVLT – Rey auditory verbal learning test, used at Amsterdam UMC, CAVLT – California verbal learning test, used at UCSF

a – transformed from a score of 5 on the categorical Verhage scale (Verhage, 1965)

b – total words recalled divided by the maximum score possible

\* - UCSF>Amsterdam UMC

# - UCSF<Amsterdam UMC

### **Figure 1. A Bayesian model of participants, atrophy factors, and structural MRI**

The estimated parameters are the probability that a participant expresses a particular factor [i.e.,  $\Pr(\text{Factor} \mid \text{Scan})$ ] and the probability that a factor is associated with atrophy at an MRI voxel [i.e.,  $\Pr(\text{Voxel} \mid \text{Factor})$ ]. To achieve these estimations, the Bayesian modelling framework uses continuous *W*-score maps as inputs. *W*-scores are obtained by performing regression analyses in the control group to determine predicted voxel values ( $\text{voxel}_{\text{controls}}$ ) based on age, sex, intracranial volume and scanner field-strength. *W*-scores are then calculated by subtracting  $\text{voxel}_{\text{controls}}$  from the observed voxel values ( $\text{voxel}_{\text{patient}}$ ) and dividing by the residuals from the regression analysis in controls ( $\text{SD}_{\text{residual}}$ ). These *W*-scores were log-transformed and then discretized so that a *W*-score of  $<0$  at a given voxel of a particular scan would imply above-average atrophy at the voxel relative to the controls (adjusted for the

effects of age, sex, intracranial volume, scanner field strength and whole-brain atrophy). W-scores  $>0$  were set to zero (values above 0 reflect gray matter density greater than the control group). Then, the W-scores were multiplied by  $-10$  and rounded to the nearest integer, so that larger positive values indicated more severe atrophy. Given the discretized voxelwise atrophy of the PCA participants and the number of latent atrophy factors  $K$ , the variational expectation maximization algorithm ([www.cs.princeton.edu/~blei/lda-c/](http://www.cs.princeton.edu/~blei/lda-c/)) was applied to estimate  $\Pr(\text{Factor} \mid \text{Scan})$  and  $\Pr(\text{Voxel} \mid \text{Factor})$ . Each participant expresses one or more factors to a certain degree and each factor is associated with distinct but possibly overlapping patterns of brain atrophy. The algorithm was rerun with 20 different random initializations, and the solution with the best model fit (based on log-likelihood) was selected. Sixty iterations were run for each random initialization, although each run plateaued after around 30-50 iterations. Adjusted with permission from Zhang et al 2016.

**Figure 2. Exploratory voxel-wise contrasts between posterior cortical atrophy participants and controls and atrophy factors revealed by latent Dirichlet allocation ( $K=4$ )**

(A) Voxelwise T-maps, adjusted for the effects of age, sex, intracranial volume, whole-brain atrophy and scanner field strength. Significant voxels at  $T > 3$ . (B) Atrophy factors revealed by the latent Dirichlet allocation model ( $K=4$ ). Intensity of voxels signify the probability  $[\Pr(\text{Voxel} \mid \text{Factor})]$  of a voxel belonging to one of the four factors. Scale is truncated at  $[\Pr(\text{Voxel} \mid \text{Factor})] = 5 \times 10^{-6}$ , and the cerebellum was removed from the template, for visualization purposes.

**Figure 3. Atrophy factors compositions for the combined sample**

In panel A, the 4D plot displays the factors right-dorsal, left-ventral and right-ventral on the x, y and z axes and the limbic factor is displayed by the color gradient of the markers.

Displayed factor compositions are for the combined sample and each marker represents one participant. Expressions of the four factors adds up to 100%. In panel A the Amsterdam UMC participants are denoted by the circle shaped markers while the UCSF participants are displayed with squares. In panel B are displayed the factor compositions of the combined sample and the markers represent three clinical disease severity groups. Circles = MMSE 31-24, squares = MMSE 24-18 and diamonds = MMSE 18-6. These figures are better viewed in the provided interactive .html format.

**Figure 4. Associations between factor expressions and neuropsychological tests assessing higher order visual functions and memory, executive and language functions**

The forest plot contain relative cross-sectional effects from linear regression models. As in each model one of the factors is implicitly modelled. one of the factors serves as a reference to assess effects of all the others and each subplot displays results from the different factor comparisons. Lines indicate the 95% confidence intervals and a significant effect (uncorrected for multiple comparisons) is denoted by confidence intervals not including  $x=0$ .

**Figure 5. Associations between factor expressions and neuropsychological tests assessing dominant parietal and primary visual functions**

The forest plot contain relative cross-sectional effects from linear regression models. As in each model one of the factors is implicitly modelled. one of the factors serves as a reference to assess effects of all the others and each subplot displays results from the different factor comparisons. Lines indicate the 95% confidence intervals and a significant effect (uncorrected for multiple comparisons) is denoted by confidence intervals not including  $x=0$ .

This plot only includes participants from the UCSF cohort only, as tests assessing non-visual/dominant parietal functions were only available in the UCSF cohort.

**Figure 6. Case series of extreme clinical phenotypes**

Panel A displays a 4D plot with scores on the object perception, space perception and non-visual/dominant parietal domains on the x, y and z-axes. Primary visual processing scores are displayed by the color gradient of the markers. As the Amsterdam UMC cohort did not include any non-visual/dominant parietal or primary visual processing tests, these scores are projected onto the x and y-axes, and colorless. We selected cases with isolated relative impairments, one for each domain. Selected cases within this distribution are annotated by numbers; 1 – object perception, 2 – space perception, 3 – dominant parietal and 4 – primary visual. Only participants with scores on all four domains (from the UCSF sample) were eligible for selection. The plot in this panel is better viewed in the provided interactive .html format. Panel B displays the clinical characteristics of the four selected cases as well as the regional spread of atrophy indicated by voxelwise W-scores. Lower W-scores represents more atrophy. In panel C, the radarplot displays individual factor compositions of the four selected cases.