

1 **Precision prevention of Alzheimer's and other dementias: anticipating future needs in the control**  
2 **of risk factors and implementation of disease modifying therapies**

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67 **Summary**

68 Empirical evidence suggests that a fair proportion of dementia cases are preventable, that some  
69 preventive actions can be taken immediately, and others may soon be implemented. Primary prevention  
70 may target cognitively normal persons with modifiable risk factors through lifestyle and multiple domain  
71 interventions (including general cardiovascular health). While the effect on individuals may be modest,  
72 it might have a large societal impact by decreasing overall dementia incidence by up to 35%. Secondary  
73 prevention will target cognitively normal persons at high risk of dementia due to Alzheimer’s pathology  
74 with future anti-amyloid, anti-tau or other drugs. This approach is likely to have major benefits to both  
75 individuals and society. Memory clinics will need structural and functional changes in order to adapt to  
76 novel technologies and increased patients’ demands, and brand-new services may need to be developed  
77 with specific skills on risk profiling, risk communication, and personalized risk reduction plans.

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82 **1. Introduction**

83 Few medical conditions raise as much controversy and as many contradictions among physicians,  
84 scientists, and the society at large as dementia. Dementia is a syndrome encompassing, among others, a  
85 number of neurodegenerative and cerebrovascular diseases, often presenting in combination, the most  
86 frequent of which is Alzheimer’s disease. The general mechanism of neurodegeneration has been  
87 identified as protein misfolding and aggregation followed by neurotoxicity; some of the molecular  
88 culprits have been identified (beta amyloid, hyper-phosphorylated tau, and other neurotoxic proteins) as  
89 well as their mode of diffusion to and into the brain.<sup>1</sup>

90 Symptomatic drugs are available for dementia, and proved to be effective at the group level in a number  
91 of clinical trials.<sup>2</sup> Disease modifying therapies (DMTs) aimed to prevent or delay the onset or progression  
92 of cognitive impairment are still under development. Seventeen DMTs specific to Alzheimer’s disease  
93 (10 targeting amyloid, while the other mechanisms include anti-tau, neuroprotection, anti-inflammatory  
94 approaches, and metabolic interventions) were in phase III (i.e. the phase assessing the clinical efficacy  
95 of a drug before regulatory registration) in 2019.<sup>3</sup> Despite different mechanisms of actions, all the  
96 Alzheimer’s disease DMTs tested so far have invariably failed to achieve primary clinical endpoints in  
97 phase III trials. The repeated failures of putative DMTs in the last stage of clinical development challenge  
98 the scientific community and the amyloid cascade hypothesis, the dominant model of Alzheimer’s  
99 pathogenesis.

100 Despite frustrations over drug development, we believe that a number of studies point to the possibility  
101 of implementing evidence-based and scalable prevention programs targeting lifestyle risk factors and  
102 medical comorbidities with a precision dementia prevention approach. This entails tailoring risk  
103 reduction to the clinical, genetic, and biological characteristics of each patient. Primary prevention aims  
104 to reduce disease incidence, either through addressing disease mechanisms or increasing resistance to  
105 disease, by targeting persons in the population at a time when they do not yet bear either disease markers  
106 or clinical impairment. Secondary prevention aims to detect and target clinically normal individuals with  
107 biomarker evidence of disease in order to delay or prevent symptom onset. Tertiary prevention aims to  
108 target patients with clinical impairment in order to reduce the impact of progressive symptomatic decline.  
109 In the following sections, we will address the rationale for primary and secondary dementia prevention  
110 with a precision medicine approach, the role of risk factors and their contribution to dementia, future  
111 scenarios of primary and secondary prevention of dementia, and foreseeable clinical, scientific, and  
112 organizational needs. Tertiary prevention included pharmacological and non-pharmacological  
113 interventions routinely carried out in memory clinics, and will not be addressed in this manuscript.

114

## 115 2. Dementia prevention is already in action: the secular trend to age-specific incidence reduction

116 According to the findings of the world's largest survey on people's attitudes to dementia (almost 70,000  
117 people across 155 countries and territories), summarized by the recently published "*World Alzheimer*  
118 *Report 2019: Attitudes to dementia*", even if 54% of people think that lifestyle plays a role in developing  
119 dementia, 25% of them think, paradoxically, that there is nothing we can do to prevent it.<sup>4</sup> Despite  
120 skepticism in the general public about the possibility of preventing and/or curing Alzheimer's and other  
121 dementing disorders,<sup>5</sup> epidemiological observations suggest that dementia prevention has been taking  
122 place, albeit unintended, in the past 20 years. While the world-wide prevalence of dementia is expected  
123 to grow in the next 30 years by 92% in high and 176% in low-to-middle income countries,  
124 epidemiological studies have shown decreasing incidence or age-specific prevalence rate of dementia in  
125 recent decades in Western countries.<sup>6-12</sup> In Bronx County, New York, 75 to 79-year-old persons born in  
126 1915 had an incidence rate of dementia around 2.5 cases per 100 person/year, while persons of the same  
127 age born in 1935 had an incidence rate around 0.3 cases per 100 person/year<sup>7</sup> (Figure 1). Consistently,  
128 the Cognitive Function and Ageing Study (CFAS) I and II reported a 20% drop in dementia incidence in  
129 the same geographical areas in UK over two decades, from 1991 to 2011.<sup>12</sup> Moreover, a decreased  
130 prevalence of brain amyloid pathology, a key Alzheimer's marker, has been found in 1,599 brain  
131 autopsies from 1972 to 2006.<sup>13</sup> This phenomenon has not yet been observed in low-middle income  
132 countries.

133 The determinants of the age-specific incidence reduction cannot be ascertained definitely in a post-hoc  
134 manner. However, it is likely that improvements in lifestyles (e.g. more physical activity, longer formal  
135 education, healthier nutrition, and reduced smoking) and medical advances (e.g. better control of vascular  
136 risk factors) in the general population may have played, and are still playing, a major role<sup>6,11</sup> perhaps via  
137 a strengthened neural reserve. Indeed, cerebrovascular disease, usually in the form of microangiopathy,  
138 often accompanies neurodegeneration and Alzheimer's pathology,<sup>14</sup> and cerebrovascular health has  
139 recently been improved in the general population through a better control of cardiovascular risk factors.

140 These observations suggest that dementia prevention is not only possible but is already in action. While  
141 this beneficial effect is likely to have come as an unintended byproduct of the secular trend to greater  
142 wealth and healthier lifestyles in higher-income societies, the future incremental improvements required  
143 to counteract the demographic pressure of an ageing world will need to be deliberated, planned, and  
144 equitable. Moreover, the rise in rates of obesity and diabetes in recent years may offset the gains made  
145 in existing cohorts entering the peak incidence period for dementia in the future. For such programs to  
146 be effective and efficient, sound knowledge is paramount about which risk factors are at work, at which  
147 stage of the life-course, and their strength at both the individual and population levels.

148

### 149 **3. Risk factors and their contribution to dementia**

150 What constitutes a risk factor for a given disease depends on the definition of the disease itself. The  
151 definition and the very concept of Alzheimer's as a disease are rapidly evolving. Consequently, what  
152 should be regarded as a risk factor for the disease is also changing.<sup>5</sup> For example, the most recent  
153 diagnostic criteria and research framework for Alzheimer's disease stipulate that combined amyloidosis  
154 and tauopathy define the disease irrespective of cognitive symptoms and impairment,<sup>15,16</sup> while isolated  
155 amyloidosis is considered either a risk factor for Alzheimer's disease (Preclinical Expert Consensus,  
156 2016<sup>15</sup>) or "Alzheimer's pathologic change" along the "Alzheimer's continuum" (NIA-AA Research  
157 Framework, 2018<sup>16</sup>). While not challenging the NIA-AA' current conceptualization of Alzheimer's as  
158 the association of brain amyloidosis and tauopathy, we believe that these conditions can also be regarded  
159 as strong risk factors for Alzheimer's dementia.<sup>5</sup>

160 In 2018, the "*Lancet Commission on Dementia Prevention, Intervention, and Care*" estimated that  
161 around 35% of dementia is attributable to nine modifiable risk factors (Figure 2).<sup>17</sup> Of these, five are  
162 general vascular disease risk factors (hypertension, obesity, smoking, physical inactivity, and diabetes),  
163 while four are more specific to dementia (low education, hearing loss, depression, and social isolation).  
164 While their prevalence varies from 3% to 40%, what is common to all is the relatively low relative risk  
165 for dementia, ranging between 1.4 and 1.9 (Figure 2). Specifically, vascular risk factors have been  
166 vigorously tackled over the years because of their association with severe events other than dementia with  
167 significant impact on health (e.g. stroke and myocardial infarction). On the contrary, psychosocial (or  
168 dementia-specific) risk factors are usually not associated with other severe events by themselves (except  
169 for depression, that may lead to suicide) and, thus, are considered less dangerous and received less  
170 attention from a public health perspective. Nevertheless, we strongly recommend the study of  
171 psychosocial risk factors. This is further supported by the notion that dementia is the major adverse health  
172 outcome associated with these risk factors. Future personalized risk reduction protocols need to adopt a  
173 multidomain approach by targeting subject-specific risk factors, whether vascular or psychosocial.

174 Current evidence indicates that brain amyloidosis and tauopathy account for a large part of the remaining  
175 dementia risk (although the interplay among risk factors is still not clear and should be further  
176 elucidated). Indeed, brain amyloidosis with or without tauopathy and brain amyloidosis plus tauopathy,  
177 are associated with a much greater risk for dementia (hazard ratio of 13.0 and 23.6 respectively; Figure  
178 2) than modifiable risk factors (relative risk between 1.4 and 1.9). This greater risk, combined with the  
179 prevalence of amyloidosis and tauopathy in a population of cognitively normal persons over 65 years

180 (31% and 16% respectively), indicates that treatment of amyloidosis and tauopathy might result in a  
181 drastic reduction of dementia incidence (Figure 2).

182 Unfortunately, current risk estimates address one or few risk factors, preventing an appropriate  
183 adjustment for communality (the variance in observed variables accounted for by common factors).  
184 Future large population-based studies collecting information on traditional risk factors as well as genetic  
185 risk factors and molecular pathology will allow more accurate estimates of the individual risk and  
186 population attributable fraction.

187

#### 188 **4. Primary prevention on modifiable vascular and non-vascular risk factors**

189 While effective DMTs are yet to be developed, prevention programs in persons with no clinical cognitive  
190 and behavioral impairment and high risk due to modifiable risk factors are the only means of tackling  
191 dementia incidence. The WHO assessed and summarized the evidence currently available and published  
192 guidelines for “*Risk reduction of cognitive decline and dementia*”, focusing on different interventions  
193 (including physical activity, nutrition, and management of cardiovascular risk factors),<sup>18</sup> that might be  
194 the basis for the development and implementation of evidence-based interventions. To date, encouraging  
195 evidence suggests that a precision prevention approach (personalized prevention plans) can maximize  
196 the benefit of risk reduction programs based on lifestyle and pharmacologic interventions. When  
197 implemented, the impact at the societal level could be significant.

198

##### 199 **4.1. Lifestyle risk factors and multidomain interventions**

200 Dementia is a syndrome often resulting from a combination of several factors, and recent evidence  
201 suggests that multidomain interventions should yield greater impact than interventions on individual  
202 factors. To date, only four large multidomain trials for all-cause dementia prevention have been  
203 completed and three on general cardiovascular risk reduction (Table 1; for an exhaustive review on  
204 lifestyle interventions, see Kivipelto et al. 2018<sup>19</sup>). Among the multi-domain trials, FINGER<sup>20</sup> was the  
205 only one to find a significant difference in the primary outcome (change in cognitive performance on the  
206 neuropsychological test battery – NTB), whose clinical significance remains to be demonstrated, between  
207 the intervention and control groups. LIFE is a trial with a physical activity program focusing on mild  
208 aerobic exercise, strength, muscle flexibility, and balance in the old and very old that showed efficacy of  
209 the intervention on cognitive frailty<sup>21</sup>. A recent study on hypertensive patients showed that treatment  
210 with low-dose rosuvastatin can reduce cognitive decline and incidence of cognitive impairment.<sup>22</sup> On the



211 other hand, the MAPT<sup>23</sup>, PreDIVA<sup>24</sup>, Look AHEAD<sup>25</sup>, and SPRINT MIND trials failed to show positive  
212 effects of the interventions on their pre-defined primary outcomes.

213 Interestingly, FINGER, MAPT, and SPRINT MIND showed concordant effects in sub-groups of  
214 participants at higher risk for dementia. In FINGER, the beneficial effect of the intervention on some  
215 cognitive domains was greater than that of the control intervention in APOE ε4 carriers but not in non-  
216 carriers.<sup>26</sup> In MAPT, the effect of the intervention was more beneficial in the participants with elevated  
217 CAIDE risk score or with positive amyloid PET but not in those with low CAIDE risk score or negative  
218 amyloid PET<sup>23</sup> (Table 1 and Figure 3). SPRINT MIND showed a significant reduction of incident mild  
219 cognitive impairment (and in the composite outcome of mild cognitive impairment or probable dementia)  
220 cases in the group treated with a more “aggressive” antihypertensive strategy<sup>27</sup>, consistently with a  
221 previous clinical trial showing that low-dose statins reduce cognitive decline in patients with  
222 hypertension<sup>22</sup>. While other studies have shown the potential danger to the brain of too low blood  
223 pressure,<sup>28</sup> it will be critical to identify patients who can benefit from aggressive blood pressure control  
224 from those for whom it will be detrimental based on individual features (e.g., by taking age into account).  
225 This points the way towards a precision prevention approach in dementia risk reduction, where  
226 preventive interventions can be concentrated on higher risk individuals more likely to benefit, sparing  
227 time, money, and side effects to the others.

228 While we believe that the results of the above studies outline a suggestive and consistent pattern of  
229 dementia risk reduction following lifestyle interventions and aggressive vascular risk factor management,  
230 we acknowledge that they all have methodological limitations, among which is the choice of the primary  
231 endpoint. Indeed, an optimal endpoint should be relevant to patients and sensitive enough to detect any  
232 change due to the intervention. For example, changes in cognition are more sensitive to interventions  
233 than changes in dementia incidence, but their implications are only marginal (especially if an  
234 improvement in cognition is observed also in the control group). On the other hand, reduction in dementia  
235 incidence has a strong impact on patients and society but might require a larger group size and longer  
236 follow-up to be detected. Moreover, the implementation of a precision prevention approach requires the  
237 identification of a specific target population (e.g. based on APOE genotype, and vascular and lifestyle  
238 risk factors). Finally, while changing lifestyles in adults and older persons is already a significant  
239 challenge, maintaining healthy behaviors will be an even more challenging endeavor. Specifically, the  
240 FINGER and MAPT studies showed that participants’ compliance to the intervention decreased with  
241 increasing complexity, and that some factors can enhance adherence (e.g. face-to-face contact).<sup>29</sup>  
242 Moreover, healthcare professionals will play a key role in this context. These are only some issues related

243 to the study design of prevention trials, and future prospective randomized interventions – which are still  
244 strongly needed – should take them into account.

245

#### 246 4.2. Synergies between current programs on vascular risk reduction and future programs on dementia

247 In 2011, a statement of the American Heart Association (AHA) on the contribution of cardiovascular risk  
248 factors to vascular cognitive impairment and Alzheimer’s dementia emphasized on communalities that  
249 can be developed to improve the benefit of prevention programs.<sup>30</sup> More recently, the AHA recognized  
250 “optimal brain health” as the “foundation for a new strategic direction going forward in cardiovascular  
251 health promotion and disease prevention”.<sup>31</sup> The opportunity for synergies between dementia prevention  
252 and cardiovascular prevention programs is obvious.

253 Cardiovascular disease prevention programs are ongoing in most industrialized countries, usually  
254 established by national health organizations, such as the National Health Service in the UK and the AHA  
255 in the US. The experience gained in over 50 years of cardiovascular prevention programs as well as some  
256 conceptual analogies and practical overlaps can be used today in the planning of dementia prevention  
257 action plans (Table 2). Dementia prevention programs may more generally benefit from the experience  
258 and infrastructure of cardiovascular action plans that may run on a state-wide scale, with comparable  
259 target population and at a lower cost.

260 A risk of integrating dementia with cardiovascular prevention programs is to blur the information that  
261 the patient receives. In persons with low health literacy and initial cognitive impairment, this might raise  
262 confusion and adversely affect compliance to prevention interventions. Information delivered to the  
263 population on cardiovascular health via TV, newspaper advertisements, and general practitioners will  
264 need to be re-tuned to include clear and unequivocal dementia-specific messages. The involvement of  
265 current memory clinics in educational programs will ensure harmonization to the latest scientific  
266 advancements. While using ‘dementia prevention’ as the hook to modify behavior in e.g. midlife may be  
267 unlikely to prove relevant to people so many years from dementia onset, catching message tags such as  
268 the one recently suggested by the European Academy of Neurology might be instrumental (“what is good  
269 for the heart is good for the brain”).<sup>32</sup>

270

#### 271 5. Secondary prevention with disease modifying therapies in cognitively normal persons with 272 Alzheimer’s pathology

273 The failure of DMT trials on patients with mild cognitive impairment or dementia does not invalidate  
274 amyloid as a treatment target. Current DMT trials implicitly assume that amyloid is a deterministic cause  
275 of neurodegeneration in the context of the amyloid cascade hypothesis (Figure 2). However, if brain  
276 amyloid is rather a probabilistic risk factor, removing brain amyloid when neurodegeneration is already  
277 established (as is the case of mild to moderate Alzheimer’s dementia) is unlikely to affect it, as well as,  
278 by analogy, treating hypercholesterolemia in patients with stroke may only marginally alter its natural  
279 history. In the probabilistic risk factor scenario, prevention should take place when neurodegeneration is  
280 absent or very mild. Indeed, trials have been designed and implemented in cognitively normal persons at  
281 high risk of incident cognitive impairment and dementia due to Alzheimer’s pathology. In 2019, there  
282 were 6 DMTs in phase 3 trials on this cognitively normal participants,<sup>3</sup> and their results are eagerly  
283 awaited. However, if hopes for efficacy are fulfilled, clinical and logistic challenges will be paramount.  
284 An increasing number of persons now seek advice in memory clinics complaining of declining cognitive  
285 performance although scoring normal on formal cognitive testing (“subjective cognitive decline”).  
286 Others do not report cognitive problems and are just worried about future cognitive decline due to family  
287 history, or simply concerned about preserving their memory and general cognitive abilities (sometimes  
288 referred to as “worried well” patients). This group of patients is enriched with some high-risk  
289 individuals<sup>33</sup> and accounts for 20-30%<sup>34,35</sup> of all new patients seeking help in memory clinics. The  
290 availability of DMTs will inevitably lead to an increased number of these individuals seeking medical  
291 advice.

292 Current memory clinics are designed for the biopsychosocial needs of people with cognitive impairment  
293 and variable degrees of functional limitation that are likely to lead to a diagnosis of dementia but were  
294 never designed and are ill-equipped to deal with this new population of patients presenting with different  
295 concerns, requests, expectations, and hopes.

296 A new generation of dementia prevention services (variably referred to as brain health services, brain  
297 health clinics, dementia prevention clinics, etc.) are being developed along with specific expertise,  
298 working tools, and organizational models. Early pilot experiences of dementia prevention services, so  
299 far in the research and development stage, are ongoing in Barcelona, Edinburgh, Cologne, and Geneva.  
300 To a greater or lesser degree, they adhere to three guiding principles: (i) risk profiling, (ii) risk  
301 communication, and (iii) implementation of personalized prevention plans. Their current health offer  
302 targets non-demented persons (cognitively normal and mild cognitive impairment) and includes a  
303 personalized approach of disclosing dementia risk estimates and precision risk control programs in the  
304 context of phase II-III clinical trials of experimental DMTs. This approach is a paradigm shift from the

305 current diagnostic approach in memory clinics, where e.g. APOE genotyping is not recommended exactly  
306 for the reason that, being a risk factor, its diagnostic sensitivity and specificity are relatively poor.<sup>36</sup>  
307 Indeed, pilot evidence suggests that APOE genotyping might be a critical variable in the context of  
308 dementia prevention services to estimate the personalized risk for dementia (Figure 2) and because it was  
309 shown to mediate the effect of risk reduction interventions (sub-groups analysis of the FINGER trial,  
310 Figure 3, where the effect of intervention was significant in APOE  $\epsilon$ 4 carriers but not in non-carriers).  
311 However, further research and ad hoc clinical trials selecting the target population based on APOE  
312 genotype are needed to prove and support its potential utility, and eventually recommend APOE testing  
313 in dementia prevention services. The approach has also been expressed clearly in the UK-led Edinburgh  
314 Consensus on preparing for the advent of disease-modifying therapies for Alzheimer's disease, which  
315 envisaged the establishment of new dementia prevention services to complement existing memory  
316 clinics. In dementia prevention services, disease detection, risk profiling, and prevention of dementia  
317 would be the overriding objective.<sup>37</sup> However, a number of challenges will need to be met before the  
318 health offer of these and similar dementia prevention services can enter the production stage (Table 3).

319 The first challenge will be efficient screening of persons at high risk. Performing amyloid PET, tau PET,  
320 and lumbar puncture in all persons would clearly be prohibitively expensive. Advances in blood-based  
321 biomarkers of amyloidosis,<sup>38</sup> tauopathy,<sup>39</sup> and neurodegeneration<sup>40</sup> promise to be of great value, and  
322 others based on retinal imaging and saliva are being studied. If peripheral biomarkers prove to be reliable  
323 and effective, a large-scale screening for neurodegenerative diseases will be possible and the general  
324 population will be reached,<sup>41</sup> and those at risk (i.e. biomarker positive) for dementia will ultimately be  
325 addressed to dementia prevention services. Large longitudinal studies will be needed to accurately  
326 estimate the effect on screening efficiency of key risk modifiers such as age, family history, and APOE  
327 genotype. In order to accurately estimate the risk for Alzheimer's dementia of those screening positive,  
328 large population-based studies including imaging and CSF biomarkers, genetics, and accounting for  
329 "traditional" risk factors will be required. In due course, protocols that can be delivered at scale, cost,  
330 and with a high degree of precision will be developed.

331 Risk communication will be burdened with the known challenges of communicating the very concept of  
332 risk to the general public. New tools, protocols, and skills for structured risk disclosure will be needed,  
333 such as the one developed by the A4 trial for amyloid PET<sup>42</sup>. Special attention will need to be devoted  
334 to matching messaging and disclosure to people from a variety of cultural, socio-economic and societal  
335 backgrounds where belief systems and expectations may vary substantially.

336 In the clinical pipeline of dementia prevention services, risk profiling and communications will be  
337 followed by individualized risk-reduction interventions (aka personalized prevention plans). Lifestyle  
338 and pharmacologic interventions may be prioritized based on the individual cumulative risk of dementia  
339 (so-called risk-stratified care management), analogous to what is currently done for the treatment of  
340 hypertension, diabetes, and hypercholesterolemia. In times when effective interventions directed to  
341 pathophysiological risk factors are lacking, high risk, pathophysiological-biomarker-positive persons  
342 will be referred to prevention clinical trials with putative DMT (e.g. via registries<sup>43</sup>). In these patients,  
343 the uncertainty of treatment group allocation (active drug or placebo) and drug effectiveness may suggest  
344 a proactive approach to mid-life and late-life risk factors. Prioritization will inevitably need revision  
345 as/when effective disease modifiers are available.

346 Already available clinical trial results (e.g. FINGER and MAPT) suggest that preventive interventions  
347 will be customized based on genetic profile (i.e., APOE) or other biological feature (precision medicine  
348 interventions). Future trials will need to estimate the impact of demographic and clinical modulators of  
349 response such as age, gender, education, associated anxiety and depression, personality profile, and  
350 personal preferences. Observational and interventional studies indicate that starting interventions in  
351 middle rather than late life may have better results and life-course perspective.<sup>44</sup> Informatics platforms<sup>45</sup>  
352 may be embedded in a large network of dementia prevention services for perpetual risk model refinement  
353 especially benefiting from machine learning approaches where dementia onset may be the originating  
354 ground truth to be replaced by alternate and more relevant ground truths which are disease markers or  
355 features noticeable earlier in the course of the disease.

356 Innovative and possibly cost-effective lifestyle programs that can be implemented leveraging on group  
357 training and modern technology (e.g. web-based apps<sup>46</sup>) may be used to promote self-management, or  
358 can also be partly integrated to existing prevention programs of other chronic diseases such as  
359 cardiovascular diseases, stroke and diabetes given that these disorders share several common risk factors.  
360 Non-conventional preventive interventions targeting more innovative pathophysiological hypotheses of  
361 neurodegeneration may in due course be integrated into dementia prevention services once shown  
362 effective; such approaches might include novel drugs, behavioral modifications, neurostimulation, and  
363 nutritional principles.<sup>47,48</sup>

364 Specific ethical issues will arise that require being specifically addressed such as ensuring informative  
365 and respectful communication of risk and ensuring that disclosure of risk does not have adverse  
366 consequences e.g. for insurance. Educational activities will need to be set-up in the general population,  
367 general medical practices, and current memory clinics. The financially sustainable business model that  
368 will be uptaken for dementia prevention services will largely depend on the availability of approved new

369 drugs. Registries for subjects at very high risk will allow to set up a point of care registry system to ensure  
370 coordination of care.<sup>49</sup> Finally, the research community should strictly recommend the adoption of  
371 rigorous methods and the implementation of evidence-based prevention plans only. At the same time,  
372 the research community should be able to educate the general population and therefore avoid the  
373 proliferation of pseudo-medicine services<sup>5,50</sup> taking advantage of people's concerns.

374

## 375 **6. Conclusions**

376 Dementia and neurodegenerative diseases research and care is in a dynamic state of evolution and in  
377 need of increased synergy between public health, general practice, and specialist care. Independent of  
378 the availability of DMTs, precision dementia prevention and personalized care should liaise with  
379 resources in the vascular prevention field in public health programs and in general practice. If disease  
380 modifiers prove effective to delay adverse outcomes in cognitively normal persons, a new model of  
381 dementia prevention services may need to be developed, that will encounter a number of novel clinical,  
382 ethical, and organizational challenges.

383 While much evidence still needs to be collected before scientifically sound dementia prevention services  
384 can be launched in production mode, we believe that it is urgent to set up research dementia prevention  
385 services that may pilot the model and start designing and testing the weapons that society needs to fight  
386 the battle against the rising dementia prevalence.

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**Table 1.** Overview of randomized trials on multidomain interventions and general cardiovascular risk reduction for the prevention of dementia and cognitive impairment.

Trial	Population	Study design	Primary outcome	Results	Exploratory analyses
Multidomain interventions					
FINGER <sup>20</sup>	N=1,260, age 60-77, CAIDE risk score $\geq 6$	Randomized, double-blind. Intervention: diet, physical exercise, cognitive training, and vascular risk monitoring. Control: traditional health advice. Duration of intervention: 2 years.	Change in cognition (NTB)	z-score change: +0.20 in intervention vs +0.16 in controls. <sup>20</sup> Between-group difference: z=0.022/yr (p=0.03). <sup>20</sup>	In 362 APOE $\epsilon 4$ carriers, the effect of intervention was significant for 2 of 5 cognitive outcomes (NTB and abbreviated memory). <sup>26</sup> In 747 APOE $\epsilon 4$ non carriers, the effect of intervention was not significant. <sup>26</sup>
MAPT <sup>23</sup>	N=1,680, age 70+, MMSE 24+, no limitation of BADL, at least one among: memory complaint, limitation in IADL, slowness of gait	Randomized, single-blind. Interventions: (i) Multi-domain intervention plus placebo, (ii) PUFA, (iii) Multi-domain intervention plus PUFA. Control: placebo alone. Duration of intervention: 3 years.	Change in cognition (composite measure)	z-score change: +0.024 in (iii) vs -0.069 in controls Between-group difference: z=0.09 (p=0.14).	Impact of (iii): In those with CAIDE score $\geq 6$ vs $< 6$ : z-score 0.131 vs -0.201 (p=0.023); In amyloid PET positive vs negative: z=0.708 vs -0.075 (p<0.001).
preDIVA <sup>24</sup>	N=3,454, age 70-78, no dementia	Randomized, open-label. Intervention: nurse-led, multidomain cardiovascular intervention. Control: usual care. Duration of intervention: 6 years.	i) Incidence of dementia ii) Decline of daily function (ALDS score)	i) 6.5% in intervention vs 7.0% in controls (p=0.54). ii) 85.7 in intervention vs 85.7 in controls (p=0.93).	In those 983 with untreated hypertension and adherent to treatment: dementia incidence 4.3% vs 7.4% in intervention and control groups (p=0.02). In those with no history of cardiovascular disease: dementia incidence 5% vs 7% in intervention and control groups (p=0.02).
Look AHEAD <sup>25</sup>	N=1,091, age 45-76, overweight or obese and with type 2 diabetes	Randomized, open label Intervention: intensive lifestyle intervention (diet modification and	Change in cognition (composite measure)	z-score change: -0.073/yr in intervention vs -0.059/yr in controls (p=0.068).	None

		physical activity) yielding long term weight loss. Control: support and education. Duration: 10 years.			
Interventions on general cardiovascular risk reduction					
SPRINT MIND <sup>27</sup>	N=8,563, age 50+, SBP 130 to 180 mmHg, no dementia	Randomized, open-label Intervention: intensive SBP control (<120 mmHg). Control: standard SBP control (<140 mmHg). Duration of intervention: 3 years.	Incidence of dementia	7.2 cases per 1000 p-y in treated vs 8.6 in controls ( $p=0.10$ ).	Incidence of mild cognitive impairment: 14.6 vs 18.3 cases per 1000 p-y in intervention and control groups ( $p=0.007$ ). Incidence of the composite outcome of mild cognitive impairment or probable dementia: 20.2 vs 24.1 cases per 1000 p-y in intervention and control group ( $p=0.01$ ).
Zhang et al. (2018) <sup>22</sup>	N=732, age 60+, with hypertension treated with hydrochlorothiazide	Randomized, double-blind Interventions: (i) telmisartan (ii) low-dose rosuvastatin. Control: placebo. Duration: 60 months.	(i) Change in cognition (MMSE and DRS) (ii) Incidence of cognitive impairment (composite of MMSE and DRS)	(i) MMSE change: -1.2 in rosuvastatin vs -1.7 in controls ( $p<0.001$ ). DRS change: -3.1 in rosuvastatin vs -4.4 in controls ( $p=0.029$ ). (ii) 19% in rosuvastatin vs 11% in controls ( $p=0.002$ ).	None
LIFE <sup>21</sup>	N=1,298, age 70-89	Randomized, single-blind Intervention: physical activity (150 min/week of walking + strength, flexibility, and balance training). Control: health education program. Duration: 2 years.	Cognitive frailty (composite ordinal variable)	21% reduction of risk of worsening in intervention vs controls ( $p=0.032$ ).	None

CAIDE: Cardiovascular Risk Factors, Aging, and Incidence of Dementia; IADL, BADL: instrumental, basic activities of daily living; PUFA: polyunsaturated fatty acids; NTB: Neuropsychological Test Battery; MMSE: Mini-Mental State Examination; ALDS: Academic Medical Center Linear Disability Score; HR: hazard ratio. SBP: systolic blood pressure. DRS: Mattis Dementia Rating Scale.

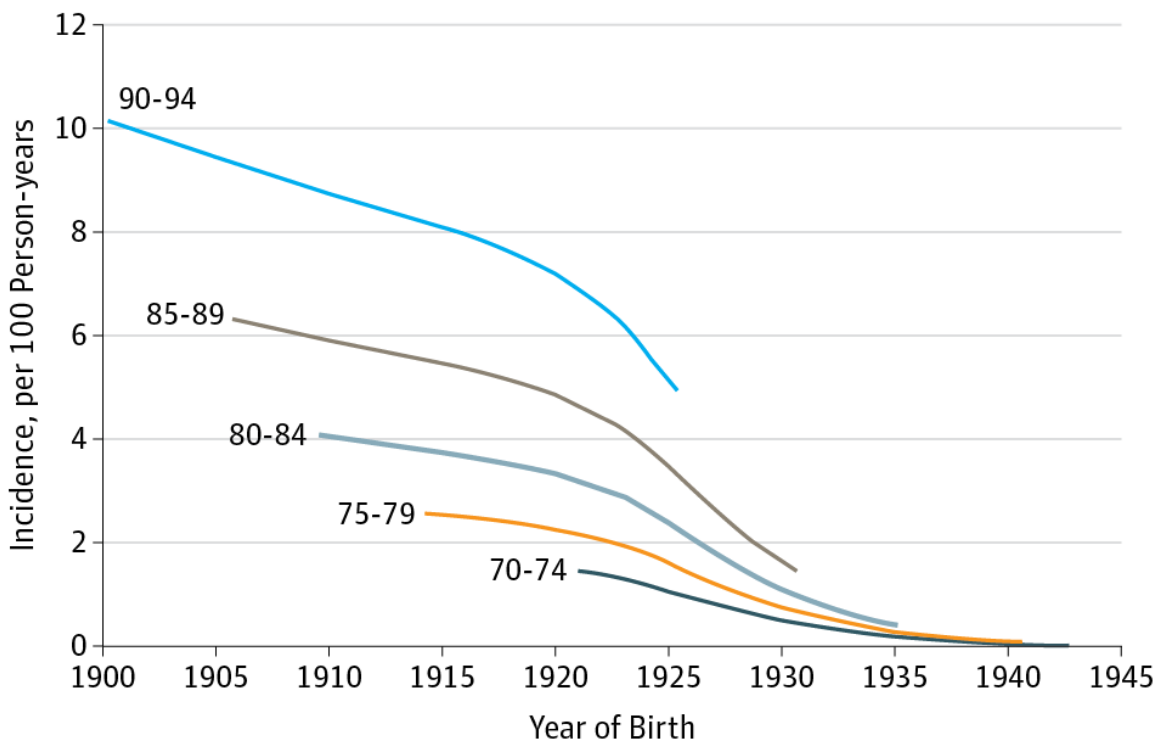
**Table 2.** Analogies between prevention targets for coronary heart disease and Alzheimer’s disease.

		<b>Primary prevention</b>	<b>Secondary prevention</b>
<b>Pathophysiology</b>	<i>Coronary heart disease</i>	Induction: interaction between genetic and traditional modifiable risk factors (e.g. hypercholesterolemia, hypertension, diabetes) leading to inflammation of the artery wall.	Latency: atherosclerosis
	<i>Alzheimer’s disease</i>	Induction: interaction between genetic factors and environmental exposures to promote Aβ42 aggregation and tau phosphorylation.	Deposition of Aβ42 in cortical plaques and/or deposition of hyperphosphorylated tau in neurofibrillary tangles.
<b>Target population</b>	<i>Coronary heart disease</i>	Myocardial infarction-free and atherosclerosis-free patients.	High risk persons (older age, multiple cardiovascular risk factors)
	<i>Alzheimer’s disease</i>	Cognitively normal persons at high risk (APOE ε4 carriers, family history) who are amyloid and/or tau negative, and neurodegeneration negative.	Cognitively normal persons who are amyloid and/or tau positive.
<b>Detection and intervention</b>	<i>Coronary heart disease</i>	Screening for cardio-vascular risk factors (hypertension, diabetes, obesity, smoking, physical inactivity, etc.), estimation of individual cumulative risk estimate, risk-lowering interventions to prevent atherosclerosis.	If symptomatic, identification of atherosclerosis and cardiac dysfunction on echocardiography, stress scintigraphy, cardiac MRI, carotid ultrasound.
	<i>Alzheimer’s disease</i>	Screening for vascular and dementia-specific risk factors (hearing loss, depression, social isolation). Interventions on non-pathophysiologic and specific risk factors. Interventions to increase resilience to pathology (e.g. specific nutrients).	Detection of brain amyloidosis and brain tauopathy. Amyloid and tau lowering agents to prevent cognitive impairment. Interventions to increase resistance to pathology.

**Table 3.** Preparatory actions in view of establishing and running dementia prevention services.

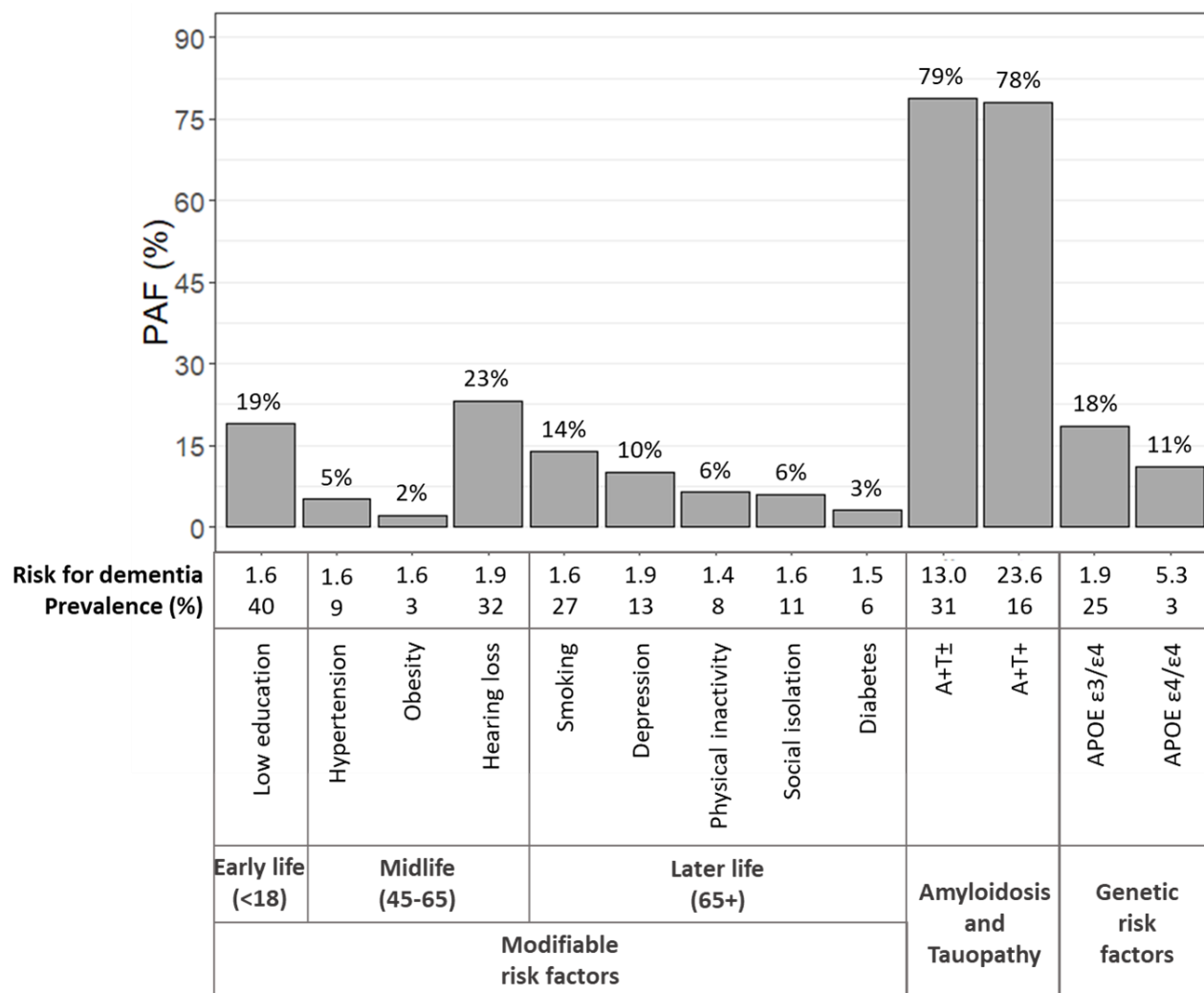
<b>Screening</b>	<p>Finalizing analytical validation and carrying out clinical validation of blood-based and other peripheral biomarkers.</p> <p>Estimating the effect of age, family history, and APOE on false positive and negative rates of biomarkers.</p>
<b>Risk profiling</b>	<p>Estimating the risk of imaging and CSF biomarkers adjusted for communality with APOE and traditional risk factors.</p> <p>Developing user friendly and cost-effective risk estimate protocols for the economic and efficient use of second line expensive or specialized diagnostic workup resources (amyloid and tau PET, lumbar puncture, FDG PET, etc.).</p>
<b>Risk communication</b>	<p>Developing tools, protocols, and skills for the effective communication of risk.</p> <p>Adjusting the above for effective and efficient communication to persons with widely different educational background.</p>
<b>Interventions</b>	<p>Prioritize lifestyle and pharmacologic interventions based on individual cumulative risk level.</p> <p>Customize based on genetic profile or other biological feature (precision medicine interventions).</p> <p>Modulate based on age, gender, education, and individual preferences.</p> <p>Developing and testing innovative pharmacologic and non-pharmacologic interventions (e.g. web-based).</p>
<b>Education</b>	<p>Integrating dementia prevention services with:</p> <ul style="list-style-type: none"><li>- awareness campaigns on brain health for the general population</li><li>- other prevention programs in general practice (mainly in the vascular prevention area)</li><li>- treatment and education in memory clinics.</li></ul>
<b>Organization</b>	<p>Developing financially sustainable business models.</p> <p>Developing registries for subjects at risk for inclusion in intervention studies</p>
<b>Ethics</b>	<p>Ensuring right to know and not to know for all persons.</p> <p>Ensuring informative and respectful communication of risk to persons (with particular attention to those with low educational background).</p> <p>Data and knowledge protection.</p> <p>Ensuring the confidentiality of risk assessment versus insurers depending on local regulations.</p>

**Figure 1.** Dementia prevention in action: secular trend of decreased incidence of dementia as a function of date of birth and age in the Einstein Aging Study. Figure taken from: Derby et al. 2017.<sup>7</sup>



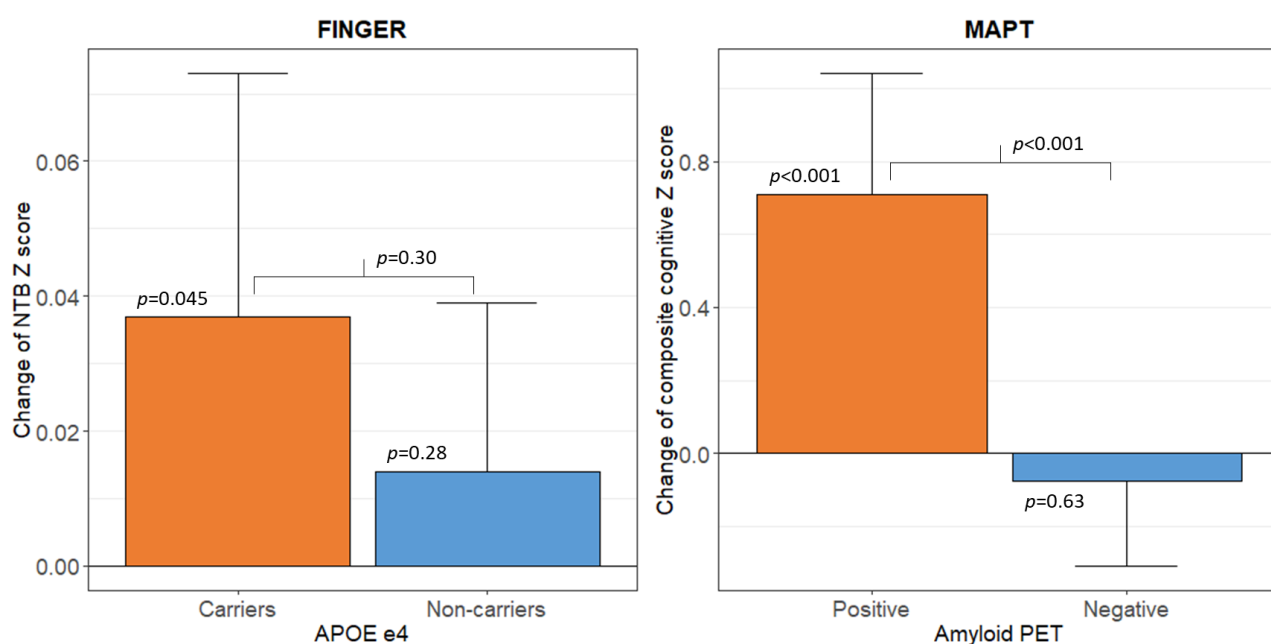


**Figure 2.** Risk factors for dementia and Alzheimer’s disease and their corresponding population attributable fraction (PAF, the proportion of cases that might be spared by full control of the risk factor). PAF figures are unadjusted for communality (the variance in observed variables accounted for by common factors) for a fair comparison among risk factors based on available literature data.



Risk for incident dementia: relative risk for lifestyle risk factors, subdistribution hazard ratios for biological, and hazard ratio for genetic risk factors.  $PAF = P(RR-1) / (1+P(RR-1))$  where P is the prevalence of the risk factor in the population, and RR is the unadjusted relative risk for dementia associated with the risk factor.<sup>17</sup> See “*Commentary*” for further information about PAF computation.

**Figure 3.** Evidence supporting the efficacy of multidomain interventions on cognitive performance in genetically and molecularly defined sub-groups of participants at increased risk for dementia. Values are differences between the mean changes in intervention vs control groups (after 1 year in 1 109 participants to the FINGER,<sup>26</sup> and after 3 years in 72 participants to the MAPT<sup>23</sup> study). A positive value reflects a greater effect of the experimental intervention, whereas a negative value of the control intervention (see also Table 1). The effect of intervention was significant in FINGER APOE ε4 carriers and MAPT amyloid-positive participants, but not in FINGER APOE ε4 non-carriers nor MAPT amyloid-negative participants. The interaction of intervention by APOE ε4 carrier status in FINGER was not statistically significant, while by amyloid positivity in MAPT was significant.



Bars represent 95% confidence intervals. NTB: neuropsychological test battery.

## Author's contribution

GBF and DA did the literature review. GBF drafted the first version of the Personal View. GBF, PS, FJ, CR, JLM, DA, and BV contributed to the original concept and revised the first version. All authors contributed to content and revisions.

## Declaration of interests

GBF reports grants from Alzheimer Forum Suisse, Académie Suisse des Sciences Médicales, Avid Radiopharmaceuticals, Biogen, GE International, Guerbert, Association Suisse pour la Recherche sur l'Alzheimer, IXICO, Merz Pharma, Nestlé, Novartis, Piramal, Roche, Siemens, Teva Pharmaceutical Industries, Vifor Pharma, and Alzheimer's Association; he has received personal fees from AstraZeneca, Avid Radiopharmaceuticals, Elan Pharmaceuticals, GE International, Lundbeck, Pfizer, and TauRx Therapeutics.

PS reports fees (paid to his institution) for serving as a principle investigator for EIP Pharma, Probiodrug, Roche, Merck, and the Dominantly Inherited Alzheimer Network Trials Unit (DIAN-TU); fees (paid to his institution) for consultancy from Axovant Sciences; and is chair of the executive committee of a programme funded by Novartis relating to potential amyloid deposition or cognitive decline associated with sacubitril/valsartan.

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All other authors declare no competing interests.

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## Supplementary material

### *Commentary*

The purpose of Figure 2 is to allow a comparison among population attributable fractions (PAF, i.e. the hypothetical reduction in dementia if the risk factor is no longer present in the population) of biological and non-biological (lifestyle and genetic) risk factors. Specifically, we used the PAF of lifestyle risk factors reported in Livingston et al. (2017), and calculated PAF for genetic and biological risk factors using risk indices coming from different sources: hazard ratios of genetic factors from Rasmussen et al. (2015); and sub-distribution hazard ratios of biological risk factors from Vos et al. (2013) (Table S1). We acknowledge that these are different indices, but they all aim to measure the same quantity: the size of the risk associated with each risk factor, and, therefore, we used and compared them in the figure. The PAF calculation formula, as reported in Livingston et al. (2017), is the following:  $P(RR-1) / (1+P(RR-1))$  (P: prevalence, RR: relative risk). Finally, in order to obtain risk and PAF of “amyloid with or without tau” (A+T±) and “amyloid and tau” (A+T+) we calculated averages weighted according to the stratum size of the log-transformed indices reported in Vos et al. (2013).

**Table S1.** Risk, prevalence and population attributable fraction of lifestyle, biological and genetic risk factors.

Type	Risk factor	RR/HR/SHR	Prevalence	PAF	Reference
Lifestyle	Low education	1.6	40.0	19.1	Livingston et al. (2017)
	Hypertension	1.6	8.9	5.1	
	Obesity	1.6	3.4	2.0	
	Hearing loss	1.9	31.7	23.0	
	Smoking	1.6	27.4	13.9	
	Depression	1.9	13.2	10.1	
	Physical inactivity	1.4	17.7	6.5	
	Social isolation	1.6	11.0	5.9	
	Diabetes	1.5	6.4	3.2	
Biological	A+T±	13.0	30.9	78.8	Vos et al. (2013)
	A+T+	23.6	15.8	78.1	
Genetic	APOE ε3/ε4	1.9	25.4	18.4	Rasmussen et al. (2015)
	APOE ε4/ε4	5.3	2.9	11.2	

RR: relative risk (Livingston et al., 2017). HR: hazard ratio (Rasmussen et al., 2015). SBR: sub-hazard ratio (Vos et al., 2013).