Quantitative analysis of optical coherence tomography for neovascular age-related macular degeneration using deep learning

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26	Material sFigures 1, 2, 3, 4 and 5 and sTables 1, 2, 3, 4, 5 and 6.			
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28	Abbreviations and Acronyms:
29	Artificial intelligence (AI)
30	Age-related macular degeneration (AMD)
31	Central subfield thickness (CST)
32	Central serous chorioretinopathy (CSCR)
33	Confidence interval (CI)
34	Early Treatment Diabetic Retinopathy Study (ETDRS)
35	External limiting membrane (ELM)
36	Fibrovascular pigment epithelial detachment (fvPED)
37	Geographic atrophy (GA)
38	Hyperreflective foci (HRF)
39	Intraretinal fluid (IRF)
40	Macular neovascularization (MNV)
41	Neurosensory retina (NSR)
42	Optical coherence tomography (OCT)
43	Pigment epithelium detachment (PED)
44	Receiver operating characteristic (ROC)
45	Retinal pigment epithelium (RPE)
46	Serous pigment epithelial detachment (sPED)
47	Standard deviation (SD)
48	Subretinal fluid (SRF)
49	Subretinal hyperreflective material (SHRM)
50	Vascular endothelial growth factor (VEGF)
51	Visual acuity (VA)
52	
53	
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- 69

## 70 Running Head:

71 Quantitative analysis of optical coherence tomography for neovascular AMD using deep learning.

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#### Abstract 78

- 79 Purpose: To apply a deep learning algorithm for automated, objective, and comprehensive
- 80 quantification of optical coherence tomography (OCT) scans to a large real-world dataset of
- 81 eyes with neovascular age-related macular degeneration (AMD), and make the raw
- 82 segmentation output data openly available for further research.
- 83 Design: Retrospective analysis of OCT images from the Moorfields Eye Hospital AMD
- 84 Database.
- Participants: 2473 first-treated eyes and another 493 second-treated eyes that commenced 85 therapy for neovascular AMD between June 2012 and June 2017. 86
- 87 Methods: A deep learning algorithm was used to segment all baseline OCT scans. Volumes
- were calculated for segmented features such as neurosensory retina (NSR), drusen, intraretinal 88
- 89 fluid (IRF), subretinal fluid (SRF), subretinal hyperreflective material (SHRM), retinal pigment
- 90 epithelium (RPE), hyperreflective foci (HRF), fibrovascular pigment epithelium detachment
- 91 (fvPED), and serous PED (sPED). Analyses included comparisons between first and second
- 92 eyes, by visual acuity (VA) and by race/ethnicity, and correlations between volumes.
- 93 Main outcome measures: Volumes of segmented features (mm<sup>3</sup>), central subfield thickness 94 (CST) (µm).
- 95 **Results:** In first-treated eyes, the majority had both IRF and SRF (54.7%). First-treated eyes
- had greater volumes for all segmented tissues, with the exception of drusen, which was greater 96
- 97 in second-treated eyes. In first-treated eyes, older age was associated with lower volumes for
- 98 RPE, SRF, NSR and sPED; in second-treated eyes, older age was associated with lower
- 99 volumes of NSR, RPE, sPED, fvPED and SRF. Eyes from black individuals had higher SRF.
- 100 RPE and serous PED volumes, compared with other ethnic groups. Greater volumes of the vast
- 101 majority of features were associated with worse VA.
- 102 **Conclusion:** We report the results of large scale automated quantification of a novel range of
- 103 baseline features in neovascular AMD. Major differences between first and second-treated
- 104 eyes, with increasing age, and between ethnicities are highlighted. In the coming years,

enhanced, automated OCT segmentation may assist personalization of real-world care, and the
detection of novel structure-function correlations. These data will be made publicly available for
replication and future investigation by the AMD research community.

## 108 Introduction

The advent of high-resolution *in vivo* optical coherence tomography (OCT) imaging has driven research to identify novel anatomical biomarkers in neovascular age-related macular degeneration (AMD).<sup>1-3</sup> The upsurge in the number of patients requiring OCT scans for optimal macular disease management, together with increasing OCT scanning density, have become a challenge.<sup>3</sup> Automated tools that enable detailed analyses, including segmentation and quantification of features, may improve our understanding of neovascular AMD and could potentially assist clinicians in making treatment decisions.

116 OCT-derived parameters such as central subfield thickness (CST) have been utilized to inform retreatment decisions in clinical trials.<sup>4,5</sup> Although basic measurements such as this can 117 be automatically generated by OCT software algorithms at scale,<sup>6,7</sup> multiple limitations have 118 119 questioned their applicability to influence clinical decisions. These include susceptibility to segmentation errors,<sup>8</sup> limited reproducibility between different OCT devices,<sup>9</sup> and the lack of 120 121 detailed information provided by CST measurement alone (which does not distinguish between 122 neural tissue, retinal fluid, or retinal fluid in different compartments). Much attention has 123 therefore been given to identifying other OCT parameters for the optimal management of neovascular AMD.<sup>2</sup> Post hoc analyses of clinical trials<sup>10–13</sup> and real world studies<sup>1</sup> have explored 124 125 how certain baseline morphological parameters such as intraretinal fluid (IRF), subretinal fluid (SRF), subretinal hyperreflective material (SHRM), and pigment epithelium detachment (PED) 126 127 may affect the structural and visual outcomes of patients beginning anti-vascular endothelial 128 growth factor (VEGF) therapy.

129 Clinical trials such as CATT and HARBOR used macular fluid presence as a qualitative
130 OCT parameter in their retreatment protocols (in the pro re nata arms); this involved the manual

detection of IRF or SRF from macular OCT scans.<sup>14,15</sup> However, both qualitative and 131 132 quantitative assessments demonstrate high rates of discrepancies between physicians and reading centre experts, with disagreements on retinal fluid presence on OCT imaging.<sup>15</sup> Recent 133 134 advances in deep learning, a subfield of machine learning leveraging artificial neural networks, 135 have stimulated an upsurge of automatic assessments of the different segmented features within an OCT volume, especially IRF, SRF, PED and SHRM.<sup>16–18</sup> Prior work using deep 136 137 learning for fluid detection and segmentation have demonstrated highly accurate results and laid important groundwork for potential clinical and research applications.<sup>19–22</sup> Bogunovic et al. 138 conceived the RETOUCH challenge to spur the development of multi-class fluid segmentation 139 models, recognising that most research to date did not distinguish between the different fluid 140 types within an OCT scan.<sup>23</sup> This has been considered an important clinical limitation, since 141 142 mounting evidence suggests that subtypes of macular fluid have distinct prognostic impacts on visual outcomes.23-25 143

In 2018, an artificial intelligence (AI)-derived system by De Fauw et al.<sup>26</sup> demonstrated 144 applicability in diagnosing and triaging major retinal diseases, including neovascular AMD.<sup>27</sup> In 145 146 this report, we applied the system's segmentation network component to the baseline OCT scans of eyes starting anti-VEGF therapy for neovascular AMD in the Moorfields Eye Hospital 147 NHS Foundation Trust AMD Database.<sup>28,29</sup> We use these segmentations to quantify a range of 148 149 anatomic parameters and disease features, and to explore their potential significance. We also 150 make these data publicly available for replication and future investigation by the AMD research 151 community.

## 152 Methods

#### 153 Dataset

The Moorfields AMD dataset for this study included all treatment-naive eyes that began anti VEGF therapy for neovascular AMD between 1<sup>st</sup> June 2012 and 30<sup>th</sup> June 2017.<sup>27,28</sup> Imaging

data included macular OCT scans captured using 3DOCT-2000 devices (Topcon Corp., Tokyo, 156 157 Japan) – comprising 128 B-scans covering a volume of 6x6x2.3mm. Patient demographics 158 recorded in Moorfields' electronic medical record including age, self-reported gender identity and race/ethnicity, along with associated clinical metadata including visual acuity (VA) in 159 160 ETDRS (early treatment diabetic retinopathy study) letters and whether an injection was 161 administered, was also available for each visit. Whenever an OCT scan was not available on 162 the exact day of the first injection for the first-treated eye, a scan from up to 14 days prior was used. Second-treated eyes that sequentially converted to neovascular AMD and started 163 treatment in the time period of this study were also analysed, with their baseline scan at their 164 first injection visit used for analysis. Second-treated eyes were not required to have contributed 165 166 to the first-treated eye cohort. All eyes were analysed independently. If multiple scans were 167 present on the same visit, the scan with the lowest volume of mirror and blink artefacts was selected for analysis. Where neither of these artefacts existed, the scan with the lowest volume 168 169 of padding artefact, indicating less manipulation performed by the OCT device software during 170 post-processing and therefore a cleaner image capture, was selected. Review and analysis of 171 retrospective anonymised data was approved by the Moorfields Eye Hospital Institutional 172 Review Board (ROAD17/031) and the research adhered to the tenets of the Declaration of 173 Helsinki.

#### 174 Segmentation network

All scans were input into the previously described 3D segmentation network.<sup>26</sup> Briefly, the network automatically predicts segmented features present at each voxel based on a semantic segmentation architecture. Voxels can be summed and multiplied by the real world voxel size to provide volumetric measurements of each feature in a 3D scan. For this study, the following segmented features were analysed: neurosensory retina (NSR), retinal pigment epithelium (RPE), IRF, SRF, SHRM, hyperreflective foci (HRF), drusen, fibrovascular pigment epithelium detachment (fvPED), and serous PED (sPED). The NSR volume segmentation excluded the

182 IRF, SRF, and SHRM components. As the segmentation network consists of an ensemble of 5 instances, the average voxel count between the instances was used.<sup>26</sup> Each voxel equated to 183 2.60 x 11.72 x 47.24 µm in the A-scan, B-scan, and C-scan directions, respectively. These 184 volumes were scaled to mm<sup>3</sup> for analysis. The CST measurements were defined as average 185 thickness in the central 1mm diameter circle of the ETDRS grid, measured in um.<sup>30</sup> The CST 186 187 comprised all segmented features above the RPE to the inner boundary of the NSR, including 188 SHRM, SRF, HRF, and IRF. For the binary classification of retinal fluid presence, the threshold at which fluid is definitely present from a clinical perspective was assessed. Two retinal 189 190 specialists independently performed the binary classification task for IRF and SRF presence on 191 a subset of 573 baseline scans, selected for gradability and to ensure coverage of the range of 192 IRF/SRF segmented by the model. As the segmentation model may contain some noise/error or 193 sub-clinically relevant segmented volumes, this was important to determine the clinically relevant minimum voxel count (and the respective volumes) for both segmented features. The 194 graders agreed on 524/573 (91.4%) of the scans for SRF presence and on 487/573 (85.0%) of 195 the scans for IRF presence (sFigure 1) (available at www.aaojournal.org). Receiver operating 196 characteristic (ROC) curves were plotted for the diagnostic accuracy of the segmentation model, 197 using only scans where the retinal specialists agreed. IRF and SRF were defined as present at 198  $\geq$ 453 voxels (0.0007mm<sup>3</sup>) and  $\geq$ 5199 voxels (0.0075mm<sup>3</sup>), respectively, based on the operating 199 200 point closest to the upper left corner (sFigure 2). Of 524 scans where the experts agreed on presence or absence of SRF, the model also agreed in 90.3% of scans. Of 487 scans where the 201 202 experts agreed on presence or absence of IRF, the model also agreed in 72.7% of scans 203 (sFigure 3).

### 204 Statistical analysis

The mean, standard deviation (SD), median, and interquartile range were calculated for each segmented feature, separately for first-treated and second-treated eyes. Boxplots were used to visualise the distribution of feature volumes between subgroups of eyes, according to age,

208 race/ethnicity, VA, and first-treated vs second-treated eyes. These were displayed on a 209 logarithmic scale to visualise a range in volume that spans several orders of magnitude between 210 the segmented features. For the primary analyses, the relationships between first and second-211 treated eyes, visual acuity and feature volume, and age and feature volume, were assessed. 212 The distributions of the segmented features were non-normal, as assessed using the Shapiro-Wilk test. Non-parametric tests were therefore used for statistical analysis. The Mann-Whitney U 213 214 test was used to compare observed volumes between first-treated and second-treated eye. 215 Univariable regression and Spearman's rank correlation were used to examine the associations 216 between segmented features, and age and VA, respectively. Statistical significance was set at 217 P≤0.05, with Bonferroni correction applied to the statistical tests in the regression and 218 correlation analyses. The following analyses were considered exploratory. Stepwise 219 multivariable linear regression was used to determine whether VA could be predicted using segmented features and demographic data: categorical variables were dummy coded, and 220 221 backward elimination of features was used to determine significant variables where P≤0.05. 222 Kruskal-Wallis and post-hoc Dunn's tests were used for comparisons between ethnicities 223 grouped into White, Asian, Black, and "Other or Unknown". Spearman's rank correlation 224 coefficient was used to assess the relationships between paired feature volumes. All analysis 225 was performed using Python 3.6. De-identified data for this study will be publicly available from 226 the Dryad Digital Repository. The Moorfields Eye Hospital NHS Foundation Trust also intends to 227 make the raw data shared with DeepMind openly available to researchers as part of the Ryan Initiative for Macular Research.<sup>27</sup> 228

229

## 230 **Results**

- A total of 2966 baseline OCT scans from 2966 eyes of 2580 patients were evaluated. Of
- these images, 2473 (83.4%) were first-treated eyes and 493 (19.1%) second-treated eyes.
- 233 387 individuals contributed both a first and second-treated eye to the analyses. The
- 234 demographic characteristics of the patients are presented in Table 1. Example
- segmentations are shown in Figure 1. The volumes for each segmented feature are
- summarized in Table 2 and Figure 2. These baseline results are similar to those reported by
- 237 other studies (sTable 2). The CST values are presented in sTable 3, alongside data collated
- from major clinical trials.

## 239 First-treated versus second-treated eyes

- 240 Significant differences in baseline volumes between first-treated and second-treated eyes
- 241 were observed for every segmented feature analysed except HRF (Table 2). With the
- 242 exception of drusen, first-treated eyes had greater volumes of all features (Figure 2). The
- 243 mean CST for first-treated and second-treated eyes was 347.1 µm (SD: 114.3) and 306.1
- 244 μm (SD: 85.1), respectively, and was significantly different (P<0.001). Volumes in individuals
- with both first and second-treated eyes (n=387) are presented in sTable 1.

#### 246 Correlations between segmented features

247 The coefficients of Spearman's correlation analyses between paired segmented features are

248 presented as matrices in Figure 3. FvPED and SHRM volumes were moderately and

249 positively correlated with each other, and with SRF volume, in both first and second-treated

- 250 eyes, but poorly correlated with IRF volume in first-treated eyes. RPE volume showed a
- 251 moderate positive correlation with NSR and sPED volumes in both sets of eyes.
- 252 Hyperreflective foci showed the strongest volumetric correlation with IRF, and vice-versa.

## 254 Volumes and Visual Acuity

The distributions of segmented features volumes in first-treated eyes, stratified by VA subgroups, are shown in Figure 4. The mean volumes of first-treated eyes, stratified by VA, age, and race/ethnicity subgroups, are summarised in sTable 4 and discussed in detail in the following sections.

259 In first eyes (Table 3), all segmented features had weak negative volumetric 260 correlations with VA (each P<0.001), with the exception of sPED, RPE and drusen, which 261 presented weak positive correlations. univariable linear regression analysis showed CST had the greatest association with VA (R<sup>2</sup>=0.107, P<0.001) of all features considered 262 263 (sFigure 4). The strongest volumetric correlation was observed between SHRM and VA for 264 both first and second-treated eyes ( $r_s$ =-0.380, P<0.001 and  $r_s$ =-0.293, P<0.001, 265 respectively). Similarly, univariable linear regression showed SHRM had the greatest association with VA in second-treated eyes (R<sup>2</sup>=0.122, P<0.001) (sFigure 5). Apart from 266 267 NSR, sPED, RPE and drusen, which had positive correlations with VA, all other volumes 268 had weakly negative correlations with VA in second-treated eves (Table 4). Drusen and 269 NSR did not remain significant post-Bonferroni correction. SRF and HRF were not found to 270 be significantly correlated with VA in second-treated eyes.

271 Multivariable linear regression analysis, with VA as the dependent variable, yielded a 272 model with adjusted  $R^2$ =0.209 for first eyes. All feature volumes, CST, age, gender, and 273 race/ethnicity were used in the initial model. Stepwise regression eliminated NSR and 274 sPED, and all 14 remaining variables were significant (P<0.05) (sTable 5).

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280	The distributions of segmented feature volumes in first-treated eyes, stratified by age
281	groups, are shown in Figure 5. Mean volumes of first eyes are summarised in sTable 4.
282	In first-treated eyes (Table 3), weak negative volumetric correlations between age
283	and RPE ( $r_s$ =-0.257, P<0.001), sPED ( $r_s$ =-0.218, P<0.001), NSR ( $r_s$ =-0.114, P<0.001), and
284	SRF ( $r_s$ =-0.140, P<0.001), were observed. IRF and drusen were significantly positively
285	correlated with age ( $r_s$ =0.171 and $r_s$ =0.117, respectively). univariable linear regression
286	analysis showed RPE had the greatest association with age ( $R^2$ =0.061, P<0.001) of all
287	features considered. In second-treated eyes (Table 4), parameters that had weak negative
288	correlations with age were NSR ( $r_s$ =-0.171, P<0.001), RPE ( $r_s$ =-0.245, P<0.001), sPED ( $r_s$ =-
289	0.209, P<0.001), fvPED ( $r_s$ =-0.111, P=0.014) and SRF ( $r_s$ =-0.174, P<0.001). Similar to first
290	eyes, IRF in second-treated eyes also had a significantly positive correlation with age
291	( $r_s$ =0.190, P<0.001). univariable linear regression analysis showed RPE and NSR had the
292	greatest association with age ( $R^2$ =0.031, P<0.001 for both segmented features) of all
293	features considered.

## 294 Volumes and Race/Ethnicity

295 The distributions of segmented feature volumes in the first-treated eyes, stratified by 296 race/ethnicity, are shown in Figure 6. Mean volumes of first-treated eyes are summarised in 297 sTable 4. Significant differences in volumes for RPE, SRF, fvPED and sPED were found 298 between the different ethnic groups. Eyes from black patients had significantly higher 299 volumes of SRF (P<0.05) and RPE (P<0.05) than all other groups, and greater sPED 300 volumes when compared to white and other/unknown ethnicities (P<0.05). For fvPED, only 301 volumes in white patients versus other/unknown patients was significant in post-hoc tests 302 (P<0.05).

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306 The results of the proportion of eyes with IRF and/or SRF present (considered qualitatively, as present or absent) at baseline are displayed in Table 5. These results were compared to 307 308 those from major clinical trials (sTable 6). IRF was present in 66.8% and 60.2% of first and 309 second-treated eyes, respectively, while SRF was present in 82.7% and 72.6%, 310 respectively. In first-treated eyes, the majority of eyes had both IRF and SRF (54.7%). For 311 both sets of eyes, a greater number of eyes had SRF alone (28.0% in first-treated eyes and 312 33.7% in second-treated eyes) versus IRF alone (12.2% in first-treated eyes and 21.3% in 313 second-treated eyes).

314

## 315 **Discussion**

316 The accumulation of vast quantities of imaging data has become both a major challenge and 317 an exciting opportunity for ophthalmology in the 21st Century. At Moorfields Eye Hospital 318 alone, there has been a substantial 14-fold increase in the number of OCT scans captured per year since 2008, from 23,582 scans to 339,639 in 2016.<sup>31</sup> AI, through the use of 319 320 machine learning methods, has the potential to revolutionize retinal diagnostics with 321 techniques that may help optimise disease management and offer the possibility of more personalised medicine.<sup>24,32,33</sup> In this study, we applied a deep learning-based segmentation 322 323 algorithm to OCT scans from the Moorfields AMD Database to automatically identify and 324 quantify multiple OCT features.

IRF, SRF, PEDs and SHRM are important indicators of disease activity in macular
 neovascularization (MNV). Using our clinical threshold of fluid presence, the majority of eyes
 had both IRF and SRF present at the time of diagnosis in first-treated eyes. The fluid
 volumes demonstrated a wide distribution, particularly for IRF, and were likely influenced by
 different lesion types,<sup>2,34</sup> the variability of lesion size and activity, differences in speed of

331 function and integrity of the blood-retinal barriers. Few eyes had IRF alone - likely arising from type 3 MNV or from VEGF-induced leakage from intraretinal vessels (Figure 1B).<sup>34</sup> IRF 332 333 volume had a weak but significant positive correlation with age. Older patients may have a 334 higher threshold for noticing and acting upon visual symptoms and may either struggle or do 335 not have the adequate support to access eye care, leading to delayed hospital visits and later presentation of the disease. <sup>35,36</sup> In addition, these patients may be more likely to have 336 337 more IRF than younger patients due to lower external limiting membrane (ELM) integrity 338 and/or the presence of type 3 MNV. The negative prognostic impact that both increased IRF and older age independently have on visual outcomes has been well documented.<sup>10,11,28,37</sup> 339

340 Approximately one-fourth of first-treated eyes had SRF alone, likely representing a 341 mixture of type 1 MNV (where SRF is thought to be the first exudative sign), and type 2 MNV (particularly when the ELM is intact).<sup>2,34,38</sup> In contrast to IRF, SRF volume had a 342 343 significant negative correlation with age. The younger population in our study tended to 344 demonstrate greater volumes of SRF and sPED. In fact, Black individuals had a significantly 345 higher volume of SRF and RPE than all other ethnic groups, and more sPED than all other 346 groups except Asian individuals. Younger patients may be more likely to present sooner, to 347 have an intact ELM, and to have type 1 or 2 MNV rather than type 3. Some of these cases may even represent polypoidal choroidal vasculopathy (PCV), which characteristically 348 presents with SRF and sPED and is more common in younger, and Black and Asian 349 populations (Figure 1C).<sup>39</sup> This is closely linked to our findings on correlation between 350 351 segmented features, where sPED volume showed moderate correlations with both SRF and 352 RPE volumes.

Visual acuity was more strongly associated with IRF than SRF, consistent with previous studies.<sup>1,3,10,40</sup> Greater IRF volume at baseline has been shown to be more detrimental to VA than SRF.<sup>1,11,17,41–43</sup> The importance of differentiating among fluid types has been considered in clinical trials. In the FLUID study, tolerating some SRF, but not IRF,

study, IRF was associated with double the risk of GA development.<sup>44</sup> Consistent with other
reports,<sup>45</sup> there was a moderate negative correlation between VA and SHRM for both first
and second-treated eyes at baseline, supporting the idea that SHRM forms a mechanical
barrier between the RPE and photoreceptors which disrupts the visual cycle.<sup>13</sup>

362 Our comparison between first and second-treated eyes at their first injection visit 363 revealed that second-treated eyes had significantly smaller volumes of IRF, SRF, SHRM, 364 fvPED, and sPED, compared to first eyes, suggesting detection at an earlier stage of the 365 disease. A later presentation in first-treated eyes may be associated with a more advanced 366 stage of lesion maturity and higher degrees of fibrosis and/or atrophy. This is likely related to 367 the close surveillance of second-treated eyes whilst first eyes are undergoing treatment; 368 neovascular conversion in second-treated eyes might be detected at an earlier stage, even before the onset of visual symptoms.<sup>29,46</sup> Furthermore, systemic absorption of anti-VEGF 369 370 drugs has been suggested to decrease VEGF activity in second-treated eyes, possibly resulting in decreased exudation.<sup>47,48</sup> Drusen was the only segmented feature that 371 372 presented greater volumes in second-treated eyes when compared to first-treated eyes 373 (P<0.05). This could be explained not only by earlier disease detection in second-treated 374 eyes, but also due to the natural progression of dry AMD prior to conversion, which in both 375 cases result in a greater drusen volume.

In both first and second-treated eyes, fvPED volume correlated moderately with SRF volume and correlated poorly (first-treated eyes) or did not correlate (second-treated eyes) with IRF volume. This may relate to the pathophysiology of each fluid type, where SRF presumably arises directly from a vascularized PED but IRF may come from a vascularized PED but may also arise from leakage from intraretinal vasculature or a type 3 MNV.<sup>34,38</sup> Additionally, SHRM volume correlated moderately with SRF volume, which presumably relates to the broader definition of SHRM as the exudation of various materials such as

384 associated with some of these materials.

385 Hyperreflective foci showed the strongest volumetric correlation with IRF, and vice 386 versa. The origins of HRF in neovascular AMD are unclear, but one hypothesis is that they 387 represent intraretinal hard exudates secondary to disruption of the blood–retinal barrier,<sup>50</sup> 388 which could explain their association with IRF. HRF has been shown to be a negative 389 prognostic indicator and its presence in various retinal layers at baseline have been 390 associated with poor VA.<sup>51,52</sup> Results from this study show that, although weak, HRF had a 391 negative correlation with VA at baseline for both first-treated eyes and second-treated eyes.

392 NSR volume had a moderate positive correlation with RPE volume in both first and 393 second-treated eyes. In cases where macular atrophy accompanies neovascular AMD, lower volumes of both RPE and NSR might be observed.<sup>34</sup> While in first-treated eyes, NSR 394 volume was negatively correlated with VA, it was positively correlated in second-treated 395 eyes. This likely reflects the effect that several different layers may have on NSR thickening 396 397 or thinning. On one hand, thickening from non-cystic IRF leads to higher NSR volumes, while outer retinal atrophy leads to lower NSR volumes, both associated with worse VA.53,54 398 399 RPE volume was also moderately correlated with SRF volume in both sets of eyes. It has 400 been proposed that the presence of SRF due to an adjacent perfused neovascular net and functional choriocapillary layer promotes a favourable environment for a viable RPE.<sup>2</sup> RPE 401 402 volumes were significantly positively correlated with VA in both first and second-treated 403 eves, reflecting poorer vision in eyes those with RPE loss, and hence atrophy.

PED has increasingly been considered a relevant parameter for progressive
 neovascular activity. There is no consistent defining criteria for PED among studies, and
 most do not classify the PED by subtype.<sup>55</sup> The AI system used in this study automatically
 subcategorized PED into fvPED and sPED. The disadvantage of including them within the
 same category has been discussed, due to their different effects on visual prognosis, with
 sPED at baseline being more associated with PED resolution after anti-VEGF therapy.<sup>56</sup> Our

411 negative association between fvPED and VA in first- and second-treated eyes,. As 412 discussed above, the association of fvPED and poorer VA could be explained by a later 413 presentation of a more advanced neovascular AMD process. While sPED being more 414 common in younger age groups, that present at an earlier stage of the disease process, 415 might correspond to better VA. Drusen being more common in second-treated eves also 416 directly correlated with a better VA. While not included in current retreatment protocols, sub-417 RPE activity seems to precede degenerative cystic formation, and its recurrence has been 418 linked to the primary event of neovascular reactivation and long-term vision loss.<sup>57</sup> It has 419 been suggested that the increase in PED volume during early stages of anti-VEGF therapy is a useful indicator of fluid recurrence.<sup>58</sup> and the presence of PED may be predictive of 420 more regular treatment.<sup>55</sup> 421

422 Central subfield thickness had the highest association with VA in first treated eyes. 423 At baseline, higher CST usually correlates with poor VA, but this correlation becomes less evident during follow up.<sup>59,60</sup> Therefore, although used in retreatment decisions of major 424 clinical trials, its usage has been questioned due to poor reproducibility and lack of 425 correlation with visual outcomes post treatment.<sup>54</sup> A well known limitation is that the CST 426 427 sums several different retinal structures - each structure independently impacting functional outcomes. One could argue that if IRF, SRF and SHRM all have some degree of negative 428 429 correlation with VA, when analysing them together in the form of CST, a stronger 430 association can be observed compared to analysing each of them individually. However, this 431 once again highlights the importance of segmenting different features within the total OCT 432 volume scan.

The limitations for this study include its retrospective nature, the variability in the time that patients present, and the lack of reading centre grading for the individual segmented features. Additionally, we haven't included the location of the segmented features within the retinal volume, which could provide further insights into the pathophysiology of the disease

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438 provide retinal layer information including axial location, and distance to the foveal centre. 439 Furthermore, stratifying our cohort to analyse ethnic differences in neovascular AMD 440 generated unequal group sizes due to the greater prevalence of AMD in White 441 populations.<sup>62,63</sup> To our knowledge, this is the first study to show the volumetric distribution 442 of these different segmented features among ethnic groups. Although these results reflect 443 outcomes from a diverse set of patients from Moorfields Eye Hospital, it does not fully 444 represent a global population. Considering the epidemiology of AMD as a multifactorial 445 disease where genetics, race, diet and lifestyle play a role in disease development, 446 additional studies using diverse datasets would be ideal to compare analyses.

447 The segmentation outputs from this study have been made openly available for the 448 ophthalmic and AMD research community to download together with this manuscript. This 449 endorses the worldwide effort to inspire community progress in the healthcare sector. We 450 compared our results to prior work that calculated tissue and fluid volumes and thicknesses 451 (sTable 2). Discrepancies observed could arise from differences in methodologies, study 452 design and data interpretation, for example, the use of different OCT devices and scan 453 protocols, as well as the difference in cohort demographics. Therefore, making this 454 comprehensive dataset openly available will be particularly interesting for ophthalmologists 455 to compare our findings on the baseline OCT characteristics of a large real-world cohort with 456 those from clinical trials. This could help the clinical community determine whether these 457 trials have enrolled patients that are representative of real world practice. Additionally, it will 458 also allow others to replicate our findings as well as conducting their own novel analyses. 459 Potential clinical uses of the segmentation system may include diagnosis and stratification of 460 neovascular AMD. In uncertain cases and/or recent conversion to neovascular AMD, the 461 system could detect and quantify subtle or high risk features of exudation. In addition, 462 quantification of volumes may aid monitoring efficacy of treatment, provide insight to aid 463 anti-VEGF drug choice, and help optimize retreatment intervals. Furthermore, the system

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465	eyes with neovascular AMD seen in real-world practice.
466	In this study, we presented the results of a large scale analysis using an automated
467	deep learning 3D segmentation system that classifies and quantifies multiple features within
468	an OCT volume scan. Our large cohort was extracted from the Moorfields AMD database,
469	which is perhaps the largest single-centre dataset of neovascular AMD patients. <sup>28</sup>
470	Automating OCT segmentation will become crucial in further understanding disease
471	subgroups and quantifying disease progression at a patient level. The characterisation and
472	quantification of several features may aid personalised medicine and suggest novel
473	anatomical parameters that can unravel new structure-function correlations in neovascular
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## Precis

We report findings from an artificial intelligence system that automatically quantifies multiple optical coherence tomography features at baseline in patients with neovascular age-related macular degeneration. We make the raw data openly available for further research.

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**Figure 1.** Fundus photo, optical coherence tomography scan, and corresponding segmentation map for 3 examples. A) MNV in a typical case of neovascular AMD: An 81 year old White female presenting with visual acuity of 63 ETDRS letters. B) Type 3 MNV example: An 83 year old female of other/unknown ethnicity/race presenting visual acuity of 70 ETDRS letters and OCT presenting IRF only. C) Polyp-like example in young patient: A 58 year old Asian female presenting visual acuity of 59 ETDRS letters and OCT showing SRF and suspicious polyp-like lesion. D) Colour key for 13 anatomical features segmented by the segmentation network. AMD = Age related macular degeneration, MNV = Macular neovascularization, ETDRS = Early treatment diabetic retinopathy study, IRF = intraretinal fluid, OCT = optical coherence tomography.

**Figure 2**. Distribution of segmented features volumes stratified by first- and second-treated eyes. The boxes show the median and interquartile range. Whiskers extend to the 5<sup>th</sup> and 95<sup>th</sup> percentiles and beyond this outliers are shown individually. The volume (mm<sup>3</sup>) is distributed across a logarithmic scale; log(zero) is undefined, so zero values were set to the smallest positive value (5.8e-7). NSR = neurosensory retina, RPE = retinal pigment epithelium, IRF = intraretinal fluid, SRF = subretinal fluid, SHRM = subretinal hyperreflective material, HRF = hyperreflective foci, fvPED = fibrovascular pigment epithelium detachment, sPED = serous pigment epithelium detachment.

**Figure 3**. Spearman's correlation between segmented feature volumes and central subfield thickness for A) first and B) second-treated eyes. Tiles display the coefficient  $r_s$ . The upper right half blanks out tiles that have a P>0.05; values are symmetrical otherwise. NSR = neurosensory retina, RPE = retinal pigment epithelium, IRF = intraretinal fluid, SRF = subretinal fluid, SHRM = subretinal hyperreflective material, HRF = hyperreflective foci, fvPED = fibrovascular pigment epithelium detachment, sPED = serous pigment epithelium detachment, CST = central subfield thickness.

**Figure 4**. Distribution of first eye segmented feature volumes stratified by baseline visual acuity (VA) subgroups. VA is stratified into ETDRS letters of 0-35, 36-52, 53-69, and 70 or greater (sTable 4). The boxes show the median and interquartile range. Whiskers extend to the 5<sup>m</sup> and 95<sup>m</sup> percentiles and beyond this outliers are shown individually. The volume is distributed across a logarithmic scale; log(zero) is undefined, so zero values were set to the smallest positive value (5.8e-7). NSR = neurosensory retina, RPE = retinal pigment epithelium, IRF = intraretinal fluid, SRF = subretinal fluid, SHRM = subretinal hyperreflective material, HRF = hyperreflective foci, fvPED = fibrovascular pigment epithelium detachment, sPED = serous pigment epithelium detachment.

**Figure 5**. Distribution of segmented feature volumes in the first eye at baseline stratified by age groups 50-59, 60-69, 70-79, and 80 and above (sTable 4), across a logarithmic scale; log(zero) is undefined, so zero values were set to the smallest positive value (5.8e-7). The boxes show the median and interquartile range. Whiskers extend to the 5<sup>th</sup> and 95<sup>th</sup> percentiles and beyond this outliers are shown individually. NSR = neurosensory retina, RPE = retinal pigment epithelium, IRF = intraretinal fluid, SRF = subretinal fluid, SHRM = subretinal hyperreflective material, HRF = hyperreflective foci, fvPED = fibrovascular pigment epithelium detachment, sPED = serous pigment epithelium detachment.

**Figure 6**. Distribution of segmented feature volumes in the first eyes at baseline stratified by ethnicities: White, Asian, Other or unknown, and Black (sTable 4). The boxes show the median and interquartile range. Whiskers extend to the 5<sup>th</sup> and 95<sup>th</sup> percentiles and beyond this outliers are shown individually. The volume is distributed across a logarithmic scale; log(zero) is undefined, so zero values were set to the smallest positive value (5.8e-7). NSR = neurosensory retina, RPE = retinal pigment epithelium, IRF = intraretinal fluid, SRF = subretinal fluid, SHRM = subretinal hyperreflective material, HRF = hyperreflective foci, fvPED = fibrovascular pigment epithelium detachment, sPED = serous pigment epithelium detachment.

		First-treated eye	Second-treated eye
Number of eyes		2473	493
Conder	Female (%)	1493 (60.4)	342 (69.4)
Gender	Male (%)	980 (39.6)	151 (30.6)
	White (%)	1319 (53.3)	290 (58.8)
Deco/Ethnicity	Asian (%)	257 (10.4)	40 (8.1)
Race/Ethnicity	Black (%)	57 (2.3)	5 (1.0)
	Other/Unknown (%)	840 (34.0)	158 (32.0)
	Mean (SD)	79.3 (8.6)	81.4 (7.9)
	50-59 (%)	60 (2.4)	3 (0.6)
Age (years)	60-69 (%)	289 (11.7)	40 (8.1)
	70-79 (%)	791 (32.0)	139 (28.2)
	≥80 (%)	1332 (53.9)	311 (63.1)
	Mean (SD)	54.0 (16.1)	62.5 (13.2)
	0-35 (%)	385 (15.6)	27 (5.5)
Visual Acuity (FTDRS letters)	36-52 (%)	506 (20.5)	64 (13.0)
	53-69 (%)	885 (35.8)	202 (41.0)
	≥70 (%)	471 (19.0)	194 (39.4)
	Unknown VA (%)	226 (9.2)	6 (1.2)

# Demographics of patients included in study

**Table 1.** Demographics of the dataset. SD = standard deviation, ETDRS = Early treatment

 diabetic retinopathy study, VA = visual acuity.

Segmented	Mean (standard deviation) at first injection		Median (interquartile range) at first injection		Mann-Whitney U
feature	First-treated eye	Second- treated eye	First-treated eye	Second- treated eye	test P-value
NSR volume (mm³)	9.485 (1.013)	9.269 (0.775)	9.445 (8.905– 9.983)	9.306 (8.790– 9.767)	<0.001
RPE volume (mm³)	0.806 (0.094)	0.794 (0.088)	0.808 (0.763– 0.857)	0.800 (0.755– 0.845)	0.002
IRF volume (mm³)	0.118 (0.309)	0.073 (0.196)	0.007 (0.000– 0.090)	0.003 (0.000– 0.049)	<0.001
SRF volume (mm³)	0.455 (0.733)	0.258 (0.532)	0.183 (0.022– 0.562)	0.054 (0.006– 0.252)	<0.001
SHRM volume (mm <sup>3</sup> )	0.380 (0.661)	0.148 (0.283)	0.135 (0.024– 0.445)	0.054 (0.007– 0.186)	<0.001
HRF volume (mm³)	0.003 (0.008)	0.002 (0.006)	0.001 (0.000– 0.002)	0.001 (0.000– 0.002)	0.318
Drusen volume (mm³)	0.036 (0.085 <b>)</b>	0.060 (0.080)	0.010 (0.002– 0.036)	0.031 (0.009– 0.080)	<0.001
fvPED volume (mm³)	0.765 (1.305)	0.491 (0.935)	0.283 (0.089– 0.815)	0.200 (0.062– 0.523)	<0.001
sPED volume (mm³)	0.004 (0.023)	0.002 (0.012)	0.000 (0.000– 0.001)	0.000 (0.000– 0.000)	<0.001
CST (µm)	347.1 (114.3)	306.1 (85.1)	325.8 (266.6– 405.0)	295.0 (253.9– 340.3)	<0.001

# Baseline mean and median volumes of OCT segmented features in first- and second-treated eyes

**Table 2**. Mean and median volumes with standard deviations and interquartile range of segmented features in first- and second-treated eyes at first injection. Segmented voxels were converted into mm<sup>3</sup>. P-values were considered significant at  $\leq 0.05$ . NSR = neurosensory retina, RPE = retinal pigment epithelium, IRF = intraretinal fluid, SRF = subretinal fluid, PED = pigment epithelium detachment, SHRM = subretinal hyperreflective material, HRF = hyperreflective foci, fvPED = fibrovascular PED, sPED = serous PED, CST = central subfield thickness.

Univariable linear regression and Spearman's rank correlation coefficient assessing the relationship between volumes and visual acuity, and age and volumes, in firsttreated eyes.

Volumes (X),	Linear re	egression (or	Spearman's rank			
Visual acuity (Y)	R-squared	Coefficient	Intercept	P-value	<b>r</b> s	P-value
CST**	0.107	-0.045	69.855	<0.001*	-0.306	<0.001*
SHRM	0.082	-7.013	56.616	<0.001*	-0.380	<0.001*
IRF	0.054	-11.939	55.410	<0.001*	-0.347	<0.001*
RPE	0.027	30.273	29.548	<0.001*	0.169	<0.001*
fvPED	0.022	-1.824	55.389	<0.001*	-0.210	<0.001*
NSR	0.015	-1.957	72.530	<0.001*	-0.088	<0.001*
SRF	0.008	-1.947	54.866	<0.001*	-0.090	<0.001*
Drusen	0.008	16.637	53.370	<0.001*	0.144	<0.001*
HRF	0.005	-141.423	54.456	<0.001*	-0.092	<0.001*
sPED	0.005	50.395	53.753	<0.001*	0.134	<0.001*
					_	
Age (X), Volumes (Y)	Linear re	egression (or	Spearman's rank			
	R-squared	Coefficient	Intercept	P-value	<b>r</b> s	P-value
RPE	0.061	-0.003	1.005	<0.001*	-0.257	<0.001*
sPED	0.013	0.000	0.028	<0.001*	-0.218	<0.001*
NSR	0.010	-0.012	10.415	<0.001*	-0.114	<0.001*
SRF						
	0.006	-0.007	0.988	<0.001*	-0.140	<0.001*
IRF	0.006	-0.007 0.002	0.988 -0.069	<0.001* 0.001*	-0.140 0.171	<0.001* <0.001*
IRF HRF	0.006 0.004 0.001	-0.007 0.002 0.000	0.988 -0.069 0.001	<0.001* 0.001* 0.064	-0.140 0.171 0.056	<0.001* <0.001* 0.005
IRF HRF fvPED	0.006 0.004 0.001 0.001	-0.007 0.002 0.000 -0.004	0.988 -0.069 0.001 1.091	<0.001* 0.001* 0.064 0.178	-0.140 0.171 0.056 0.020	<0.001* <0.001* 0.005 0.323
IRF HRF fvPED SHRM	0.006 0.004 0.001 0.001 0.001	-0.007 0.002 0.000 -0.004 0.002	0.988 -0.069 0.001 1.091 0.223	<0.001* 0.001* 0.064 0.178 0.200	-0.140 0.171 0.056 0.020 0.026	<0.001* <0.001* 0.005 0.323 0.203
IRF HRF fvPED SHRM CST**	0.006 0.004 0.001 0.001 0.001 0.000	-0.007 0.002 0.000 -0.004 0.002 -0.234	0.988 -0.069 0.001 1.091 0.223 372.987	<0.001* 0.001* 0.064 0.178 0.200 0.391	-0.140 0.171 0.056 0.020 0.026 -0.011	<0.001* <0.001* 0.005 0.323 0.203 0.578

**Table 3**. Univariable linear regression and Spearman's rank correlation coefficient assessing the relationship between volumes and visual acuity, and age and volumes, in first-treated eyes. P-values are given before Bonferroni correction. Bolded values were significant at P $\leq$ 0.05. Asterisked (\*) P-values remain significant at P $\leq$ 0.005 after Bonferroni correction. \*\*CST measures thickness and not volume. CST = Central subfield thickness,

NSR = neurosensory retina, HRF = Hyperreflective foci, RPE = retinal pigment epithelium, sPED = serous pigment epithelium detachment, fvPED = fibrovascular pigment epithelium detachment.

Univariable linear regression and Spearman's rank correlation coefficient assessing
the relationship between volumes and visual acuity, and age and volumes, in second-
treated eyes.

Volumes (X),	Linear regression (ordinary least squares)					Spearman's rank	
Visual acuity (y)	R-squared	Coefficient	Intercept	P-value	<b>r</b> s	P-value	
SHRM	0.122	-16.23	64.976	<0.001*	-0.293	<0.001*	
RPE	0.067	39.859	30.888	<0.001*	0.239	<0.001*	
CST**	0.024	-0.02	69.932	<0.001*	-0.152	0.001*	
IRF	0.023	-10.17	63.315	<0.001*	-0.224	<0.001*	
fvPED	0.020	-2.00	63.549	0.002*	-0.142	0.002*	
NSR	0.016	2.20	42.140	0.006	0.114	0.012	
SRF	0.014	-2.89	63.318	0.010	-0.020	0.659	
Drusen	0.010	16.72	61.573	0.028	0.118	0.009	
HRF	0.004	-139.85	62.853	0.170	-0.089	-0.089	
sPED	0.002	47.21	62.466	0.354	0.136	0.003*	
Age (X), Volumes (y)	Linear regression (ordinary least squares)					Spearman's rank	
	R-squared	Coefficient	Intercept	P-value	r,	P-value	
RPE	0.031	-0.002	0.952	<0.001*	-0.245	<0.001*	
NSR	0.031	-0.017	10.670	<0.001*	-0.171	<0.001*	
IRF	0.014	0.003	-0.167	0.008	0.190	<0.001*	
sPED	0.013	0.000	0.016	0.011	-0.209	<0.001*	
SRF	0.012	-0.007	0.849	0.016	-0.174	<0.001*	
fvPED	0.009	-0.011	1.398	0.036	-0.111	0.014	
CST**	0.002	-0.445	348.885	0.367	-0.031	0.493	
HRF	0.001	0.000	0.004	0.483	0.103	0.022	
SHRM	0.000	0.000	0.187	0.767	-0.034	0.453	
Drusen	0.000	0.000	0.059	0.978	0.068	0.132	

**Table 4**. Univariable linear regression and Spearman's rank correlation coefficient assessing the relationship between volumes and visual acuity, and age and volumes, in second-treated eyes. P-values are given before Bonferroni correction. \*Remains significant at P≤0.005 after Bonferroni correction. \*\*CST measures thickness and not volume. CST =

hyperreflective material, NSR = neurosensory retina, HRF = Hyperreflective foci, RPE = retinal pigment epithelium, sPED = serous pigment epithelium detachment, fvPED = fibrovascular pigment epithelium detachment.

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Parameter	First-treated eye (total n = 2473 eyes)	Second-treated eye (total n = 493 eyes)
IRF [n, (%)]	1653 (66.8)	297 (60.2)
SRF [n, (%)]	2045 (82.7)	358 (72.6)
IRF only without SRF [n, (%)]	301 (12.2)	105 (21.3)
SRF only without IRF [n, (%)]	693 (28.0)	166 (33.7)
IRF and SRF [n, (%)]	1352 (54.7)	192 (38.9)
Neither IRF nor SRF [n, (%)]	127 (5.1)	30 (6.1)

### Relative presence of IRF and SRF at baseline

**Table 5**. Relative presence/volumes of IRF and SRF at baseline. IRF and SRF are defined as present at  $\geq$ 453 voxels (0.0007mm<sup>3</sup>) and  $\geq$ 5199 (0.0075mm<sup>3</sup>) voxels, respectively. IRF = intraretinal fluid, SRF = subretinal fluid.

SKF - --



- Epiretinal membrane Neurosensory retina Intraretinal fluid
- Hyperreflective foci Retinal pigment epithelium
- Fibrovascular PED Choroid and outer layers



Volume (mm<sup>3</sup>)

#### В



#### First-treated eyes: Correlations between segmented features

#### Second-treated eyes: Correlations between segmented features







Volume (mm<sup>3</sup>)



Volume (mm<sup>3</sup>)