## 1 Original article

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A phase II randomised, placebo-controlled trial of low dose (metronomic)
 cyclophosphamide and nintedanib (BIBF1120) in advanced ovarian, fallopian
 tube or primary peritoneal cancer

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## 44 Abstract

Background: We investigated the safety and efficacy of a combination of the oral
tyrosine kinase inhibitor, nintedanib (BIBF 1120) with oral cyclophosphamide in
patients with relapsed ovarian cancer.

Patients and Methods: Patients with relapsed ovarian, fallopian tube or primary peritoneal cancer received oral cyclophosphamide (100mg o.d.) and were randomised (1:1) to also have either oral nintedanib or placebo. The primary endpoint was overall survival (OS). Secondary endpoints included progression free survival (PFS), response rate, toxicity, and quality of life.

**Results:** 117 patients were randomised, 3 did not start trial treatment, median age 64 53 years. Forty-five (39%) had received >5 lines chemotherapy. 30% had received prior 54 55 bevacizumab. The median OS was 6.8 (nintedanib) versus 6.4 (placebo) months (hazard ratio 1.08; 95% confidence interval 0.72-1.62; P = 0.72). The 6-month PFS 56 57 rate was 29.6% versus 22.8% (P=0.57). Grade 3/4 adverse events occurred in 64% (nintedanib) versus 54% (placebo) of patients (P=0.28); the most frequent G3/4 58 toxicities were lymphopenia (18.6% nintedanib versus 16.4% placebo), diarrhoea 59 60 (13.6% versus 0%), neutropenia (11.9% versus 0%), fatigue (10.2% versus 9.1%), and vomiting (10.2% versus 7.3%). Patients who had received prior bevacizumab 61 treatment had 52 days less time on treatment (P<0.01). 26 patients (23%) took oral 62 cyclophosphamide for >6 months. There were no differences in quality of life between 63 treatment arms. 64

65 Conclusions: This is the largest reported cohort of patients with relapsed ovarian
66 cancer treated with oral cyclophosphamide. Nintedanib did not improve outcomes
67 when added to oral cyclophosphamide. Although not significant, more patients than

68	expected remained on treatment for $\geq 6$ months. This may reflect a higher proportion
69	of patients with more indolent disease or the higher dose of cyclophosphamide used.
70	Clinical Trial Registration: Clinicaltrials.gov NCT01610869
71	Key words: late stage relapsed ovarian cancer, oral cyclophosphamide, nintedanib,
72	prior bevacizumab
73	Key Message/ Highlights:
74	Nintedanib added to oral cyclophosphamide did not improve outcome in heavily
75	treated patients with relapsed ovarian cancer
76	36% of patients derived clinical benefit from cyclophosphamide (10% PR/CR
77	and 26% SD); 23% continued treatment at 6 months
78	Oral cyclophosphamide 100mg daily is tolerable; adverse events were mostly
79	related to the companion antiangiogenic agent.

- Prolonged disease stabilisation was seen in 11 patients.
- 81

# 82 Introduction/ Background

Ovarian carcinoma (OC), encompassing fallopian tube and primary peritoneal 83 cancers, is the most common cause of gynaecological cancer death in the Western 84 85 world<sup>1</sup>. Patients with relapsed OC are very unlikely to be cured and should be considered to have a chronic disease which will relapse and remit. Sequential 86 treatment strategies are employed to maximise quality and length of life, but ovarian 87 88 cancer will eventually become resistant to standard treatments. Non-toxic therapies that are simple to administer are sought for patients at this stage. Alkylating agents 89 are not considered a routine option in the current management, but the efficacy of 90 91 agents such as cyclophosphamide and chlorambucil in OC is established and their 92 use predates that of platinum-based drugs.

93 Angiogenesis has been shown to have a significant role in ovarian cancer. Bevacizumab, a humanised monoclonal antibody targeting vascular endothelial 94 growth factor (VEGF), is approved for use in combination with and as maintenance 95 96 following chemotherapy in OC patients. Evidence supporting the use of bevacizumab or the VEGFR inhibitor pazopanib with weekly paclitaxel in platinum resistant relapsed 97 OC is particularly compelling with a doubling of progression-free survival<sup>2,3</sup>. The 98 combination of bevacizumab and continuous metronomic oral cyclophosphamide 99 (doses lower than the maximum tolerated dose) has also been shown, in a number of 100 101 retrospective and single-arm studies, to have good therapeutic activity in relapsed OC<sup>4,5,6</sup>. 102

103 Cyclophosphamide is an alkylating agent; when given orally at metronomic doses it is 104 thought to have additional antiangiogenic properties, for example it has been shown to have increased activity against endothelial cells, which, although derived from the 105 host stroma, generally proliferate rapidly in tumours<sup>7</sup>. Metronomic chemotherapy is 106 107 treatment given at lower than maximum tolerated doses and at short regular intervals eq. daily, with no prolonged breaks. Such scheduling is thought to target angiogenesis 108 by obliterating proliferating endothelial cells and circulating endothelial cell precursors. 109 Additionally, metronomic cyclophosphamide has been shown in breast cancer to 110 reduce levels of serum VEGF, a key regulator of the process of angiogenesis<sup>8</sup>. 111

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113 Several tyrosine kinase inhibitors exhibit antiangiogenic effects and have 114 demonstrated activity in recurrent OC <sup>9,10,11</sup>. Nintedanib is a potent, orally available 115 triple kinase inhibitor targeting VEGF receptors, platelet-derived and fibroblast growth 116 factor receptors (PDGFR/FGFR). The specific and simultaneous abrogation of these 117 pathways results in effective growth inhibition of both endothelial and, via PDGFR and

FGFR, perivascular cells, which may be more effective than inhibition of endothelial cell growth via the VEGF pathway alone. Signalling by FGFR has also been identified as a possible escape mechanism for tumour angiogenesis when the VEGF pathway is disrupted.

are specific 122 Although there no preclinical studies of nintedanib with cyclophosphamide, numerous preclinical and clinical studies support the assumption 123 that VEGF and PDGF are key targets for the management of OC. The combination 124 of antiangiogenics, such as bevacizumab or pazopanib with continuous metronomic 125 126 oral cyclophosphamide have been shown to have good therapeutic activity in relapsed OC<sup>4,5,6</sup>. 127

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129 Here we sought to determine the tolerability and efficacy of a combination of oral metronomic cyclophosphamide (OMC) with the anti-angiogenic nintedanib in heavily 130 pre-treated, relapsed OC patients. A dose of 100mg daily was chosen to explore the 131 value of a tolerable, maximal metronomic dose. Early studies of metronomic 132 cyclophosphamide have described outcomes from using a dose to maintain white 133 blood cell count >1.5 x  $10^{9}$ /l; the most common daily dose in 54 patients was identified 134 as 100-150mg daily<sup>12</sup>. Acceptability of this dose was confirmed in other reports<sup>13-15</sup>; 135 in vitro studies of metronomic cyclophosphamide are generally 2-20 mg/kg (~140-136 1400 mg for 70kg person)<sup>16,17</sup>. Finally, in breast cancer, classical CMF adjuvant 137 chemotherapy requires a dose of 80mg/m<sup>2</sup>, thus patients of standard height / weight 138  $(BSA = 1.7 / 1.8m^2)$ , receive~130mg daily<sup>18</sup>. Handiolas et al have reported 44% 139 response rate to OMC given as per CMF (50-150mg/day for the first 14 of every 28 140 days) in heavily pretreated ovarian cancer patients<sup>19</sup>. 141

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# 143 Materials / Methods 534

#### 144 Study population and eligibility criteria

Patients >18 years with histological confirmation of ovarian, fallopian tube or primary 145 peritoneal carcinoma, who had received  $\geq 2$  lines of prior chemotherapy and were 146 considered to be platinum resistant or intolerant or unsuitable for further intravenous 147 chemotherapy were enrolled. Patients could have had a non-platinum agent as last 148 prior treatment as long as they had relapsed within 6 months of their last platinum and 149 within 6 months of completing their last chemotherapy. Use of prior bevacizumab was 150 151 permitted but prior cyclophosphamide or tyrosine kinase inhibitor treatments were not. See supplementary data for full inclusion/exclusion criteria. 152

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## 154 Randomisation and Treatment Schedule

We conducted a double-blind randomised controlled phase II trial. Patients were randomly allocated (1:1) to receive oral nintedanib or matching placebo continuously until disease progression death or adverse events. Randomisation was performed using an interactive web-based system, with stratified randomisation according to: age ( $\leq$ 60 and >60), previous lines of chemotherapy ( $\leq$ 3 or >3) and previous bevacizumab treatment (yes or no).

All patients were given OMC (100mg once daily), in cycles of 6 weeks. When the trial began, the starting dose of nintedanib was 200mg twice daily. The Independent Data Monitoring Committee examined SAEs and toxicity data from the initial 61 patients. As a result, a reduced starting dose of nintedanib/placebo to 150mg b.d was implemented for future recruits. Dose reductions were allowed to a minimum of 100mg b.d. nintedanib/placebo and 50mg o.d. OMC. See supplementary data, for full modification schedule.

#### 168 Assessments

All patients had computed tomography (CT) of abdomen, pelvis and / or MRI with high 169 resolution CT imaging of the chest at baseline. Imaging was repeated every 12 weeks. 170 171 Patients were not required to have RECIST measurable disease for trial entry. Haematology, biochemistry and toxicity were assessed every three weeks for the first 172 6 weeks then every 6 weeks. The first 12 patients (run-in safety cohort) were reviewed 173 every 3 weeks for 12 weeks. CA125 was measured at baseline then every 6 weeks. 174 Adverse events were categorised using NCI CTCAE v 4.1. Quality of life (QoL) was 175 assessed using EORTC QLQ-C30, OV28 and MOST Recent Symptoms 176 questionnaires<sup>20</sup>. 177

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### 179 Statistical considerations

The primary endpoint was overall survival (OS). A retrospective audit of patients 180 treated with OMC indicated a median OS of 5 months<sup>13</sup>. We aimed to detect an 181 182 increase of 2 months using nintedanib, i.e. a median OS of 7 months (equivalent to a hazard ratio (HR) of 0.71), consistent with the PFS reported for combination 183 bevacizumab/OMC<sup>5</sup>. With 80% power and one-sided 20% significance level, 56 184 patients per group were required (assuming 18 months of recruitment and 12 months 185 of follow-up); i.e. 112 patients in total (or 100 deaths). With 10% allowance for non-186 187 compliance, recruitment of 124 patients was planned. Secondary efficacy endpoints included progression-free survival (PFS), 6-month progression-free survival and 188 response rate. Both endpoints were based on RECIST 1.1 and GCIG CA125 criteria. 189 OS and PFS were examined using Kaplan-Meier plots and Cox regression. Response 190 rates were assessed where data were available. Adverse events were compared 191 using the maximum grade for each patient and each event type. QoL was compared 192

- using repeated measures modelling. The safety population was defined as all patients
- 194 who took at least one dose of OMC and nintedanib/placebo.
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## 196 Results

## 197 Patient demographics

A total of 117 patients were randomised (N=59 nintedanib, N=58 placebo) from 11 198 sites between August 2014 and October 2016 (Figure 1 CONSORT diagram). 3 199 patients in the placebo group did not start trial treatment. 59 and 55 patients received 200 201 at least one dose of nintedanib or placebo respectively and form the safety population. Baseline/clinical characteristics are shown in Table 1. Median age was 64 years. The 202 median number of prior lines of chemotherapy was 4, with 38% (43 patients) having 203 204 received 3 lines or less, and 39% (45 patients) having received 5 lines or more. Most patients had high grade serous tumours (87%). 31% patients had previously received 205 206 bevacizumab.

## 207 Treatment duration

208 Overall 85 (73%) of the 117 randomised patients completed 6 weeks (1 cycle) of 209 treatment. 29 patients who started the treatment (25%) stopped trial therapy prior to this and 3 patients in the placebo group failed to start any study treatment. 26 patients 210 (23%) continued with OMC for more than 6 months (Figure 3 and Appendix Figure 2), 211 212 with eight (7%) patients continuing treatment for more than 11 months. One patient was lost to follow-up after their week 6 visit. Overall treatment was stopped for the 213 214 following reasons: disease progression (68%), AEs (16%), withdrawal of consent (5%), non-compliance (1%) and other reasons (11%). 215

## 216 Efficacy

Median follow-up time was 1.6 years (interquartile range (IQR) 1.4–1.9 years). No difference in OS: the median was 6.8 months for nintedanib and 6.4 months for placebo (Figure 2A). The hazard ratio (HR) for nintedanib versus placebo was 1.03 (95%CI 0.69-1.55; p-value 0.87). However, 20.4% and 31.3% patients in the nintedanib and placebo groups respectively were still alive at 12 months.

Median PFS was 2.9 months for nintedanib and 2.6 months for placebo (Figure 2B).

223 6 month PFS rates were 29.6% and 22.9% for nintedanib/placebo respectively, HR

224 0.91 (95%Cl 0.62-1.32; p-value 0.61).

225 Radiological RECIST (version 1.1) responses were seen in 11/114 (9.6%) patients, 1 complete response (CR),4 partial responses (PR) in the nintedanib group and 1 CR, 226 227 5 PR in the placebo group. 26.3% (30/114) of patients (17 nintedanib, 13 placebo) had 228 RECIST defined stable disease ie. no CR, PR or PD on the subsequent three-monthly scan. Two patients, without RECIST evaluable disease, did not progress by CA125 229 230 GCIG criteria. 57/114 (50%) progressed on trial treatment according to RECIST, 4 231 patients (3.5%) progressed according to CA125 GCIG criteria alone (Appendix Table 232 1).

Hypothesis generating subgroup analyses were performed using the stratification 233 factors outlined in Table 2. A statistically significant interaction with the treatment was 234 found for PFS according to the number of previous lines of chemotherapy. The HR for 235 236 patients with <3 lines was 0.53 (95% CI 0.28-0.99) compared to 1.19 (95% CI 0.74-237 1.92) for patients with >3 lines (interaction p=0.04). In the subgroup who had <3 lines (n=43), the HR remained statistically significant after adjustment for age and previous 238 VEGF inhibitor treatment (adjusted HR=0.47, 95% CI 0.24-0.91; p=0.03) (Appendix 239 Fig 1). 240

Median time on OMC was 82 days (IQR 43-155, mean 112) with a minimum of 3 days and a maximum of 610 days (Fig 3). The population who had received prior bevacizumab had shorter durations of treatment with OMC irrespective of randomisation to nintedanib or placebo, by a mean of 52 days (p <0.01; Appendix Figure 3).

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#### 247 Quality of life

111 patients completed QoL questionnaires at baseline, 80 patients at both baseline and after 1 cycle of treatment (Appendix Tables 2 to 4). Scores were slightly higher in the nintedanib versus placebo group for "hormonal/menopausal symptoms" and "other chemotherapy side-effects" on the symptom scales of QLQ-OV28. There was no effect of nintedanib on any of the functional scales of QLQ-C30 Global Health state or QLQ-OV28 between baseline and week 6. Equally there was no effect of nintedanib on any the five scales of the MOST questionnaire.

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#### 256 Adverse events

Many adverse events (AEs) represented symptoms commonly experienced by 257 patients with relapsed OC, a significant proportion occurring within the first 6 weeks. 258 Some AEs matched recognised side effects of nintedanib treatment. There was 259 insufficient toxicity to recommend halting recruitment after the first 12 patients, 260 however the IDMC examined the SAEs and toxicity data after 61 patients. They found 261 it difficult to determine the exact aetiology of the range of AEs reported in this larger 262 cohort but evaluating the spectrum of toxicity recommended reducing the dose of 263 nintedanib to 150mg b.d. for the remainder of the study. However, many of the 61 264

265 patients, recruited prior to the IDMC review, did tolerate the higher 200mg b.d. dose; they were allowed to continue at this dose, at the discretion of the treating investigator. 266 All grades of AE, according to NCI CTCAE v 4.1 criteria, are summarised in Appendix 267 268 Table 5. All patients except one (in the placebo group) experienced at least one event of any grade. Grade 3/4 AEs (Table 3) occurred in 64% (38/59) nintedanib versus 54% 269 270 (30/55) placebo. Some of these represented worsening of pre-existing symptoms, already been present at baseline, i.e. grade 1/2 events at randomisation becoming 271 272 grade 3/4 after starting the trial treatments. The most frequent grade 3/4 toxicities were 273 lymphopenia (20.3% vs.18.2%, nintedanib/placebo), diarrhoea (15.3% vs. 0%), neutropenia (11.9% vs. 0%), fatigue (11.9% vs. 9.1%), and vomiting (10.2% vs. 7.3%). 274 275 Grade 1/2 toxicities occurred in 59/59 (100%) nintedanib versus 52/55 (94%) placebo, 276 with the most frequent being nausea (78.0% v 50.9%), vomiting (54.2% v 47.3%) and diarrhoea (47.5% v 45.5%). After the dose of nintedanib was reduced to 150mg b.d., 277 278 the incidence of toxicities was similar between the trial groups: grade 3/4 events 63% 279 (17/27) nintedanib versus 67% (18/27) placebo, with corresponding grade 1/2 events for 100% (27/27) and 93% (25/27). 280

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283 Discussion.

Cyclophosphamide has been somewhat neglected since trials showed the superiority of carboplatin/paclitaxel over cisplatin/cyclophosphamide<sup>21</sup>. Yet in this trial, the largest reported group treated with OMC to date, we show that OMC is tolerable in patients with relapsed OC, despite numerous prior lines of therapy (39% had  $\geq$ 5 lines). Indeed the 6-month PFS rates of 29.6% and 22.9% for nintedanib/placebo respectively are similar to those seen in a less heavily treated population (all  $\leq$ 3 lines) who had weekly paclitaxel alone<sup>22</sup>.

291 A slightly higher dose of OMC, 100mg daily, was used in our trial in contrast to many other studies where 50mg per day has been used <sup>4-6, 23</sup>. As previously described, this 292 higher dose was well tolerated with grade 3/4 toxicity limited to lymphopenia, fatigue 293 and abdominal pain in the control arm<sup>12-15,18,19</sup>. Endorsement of the decision by the 294 IDMC to reduce the nintedanib dose is evident from the equivalent incidence of toxicity 295 296 in both arms for subsequently recruited patients (grade 3/4 events 63% nintedanib versus 67% placebo, grade 1/2 events in 100% versus 93%). There was a 10% higher 297 298 overall incidence of toxicity seen in those treated with combination versus OMC alone 299 with the inclusion of the population treated prior to the IDMC decision (Table 3). Despite this, overall 75% of the population completed 6 weeks of treatment and 23% 300 stayed on therapy for longer than 6 months. 301

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Since our trial was designed, several other studies have explored the combination of OMC with antiangiogenics in recurrent ovarian cancer. Barber et al report a 42% response rate in heavily pretreated (median 6.5 prior lines) relapsed OC patients receiving bevacizumab and OMC<sup>24</sup>. Dinkic et al reported a median PFS and OS of 8.5 and 25 months respectively in a 16-patient Phase I dose-finding study of relapsed OC

patients (median 2 prior lines) combining OMC and pazopanib<sup>25</sup>. Again the antiangiogenic component caused the most significant adverse events, leading to dose
limitation at 600mg for pazopanib.

311 Our trial is the first to explore the potential for nintedanib therapy in very heavily pretreated (median of 4 prior lines,  $39\% \ge 5$  lines) patients with recurrent OC. The 312 significantly longer PFS for nintedanib patients who had had < 3 prior lines of 313 chemotherapy corroborates other evidence that better outcomes are seen for 314 antiangiogenic therapies in less heavily pretreated patients<sup>2,3</sup>. Taken as a whole, 315 316 cyclophosphamide-treated patients who had not received bevacizumab had a longer duration of therapy (Appendix Figure 3). This may simply reflect the poorer overall 317 prognosis of those treated with bevacizumab in the UK. 318

However, the successful mechanisms of action of OMC may not be entirely antiangiogenic. OMC has been shown to deplete T regulatory cells and restore effector functions of T cells and natural killer cells <sup>26,27</sup>. Additionally, modulation of the abnormal tumour vasculature by OMC may enhance an immune-supportive tumour microenvironment eg. allowing accumulation of effector T cells, leading to prolonged responses/disease stabilisation in small groups of patients<sup>28,29</sup>. (Appendix Figure 3.) This concept should be explored further.

A limitation of our trial is the lack of *BRCA* status because routine *BRCA* testing was not available during recruitment to METRO-BIBF. However, Kummar et al. report no benefit in adding the PARP inhibitor, veliparib, to OMC in the treatment of 72 relapsed OC patients, despite 43% of this population having a known *BRCA* mutation (60% *BRCA* unknown). They explored the association of *BRCA*, and other DNA repair defects, with response to OMC and found no clear relationship<sup>30</sup>.

332 In summary, amongst a very heavily pretreated population of relapsed OC patients, 333 nintedanib did not improve clinical outcomes when combined with OMC. However, we note that 36% of patients derived clinical benefit (10% PR/CR and 26% SD). In the 334 context of platinum resistant relapsed OC where women have received a median of 4 335 prior lines, the fact that 23% remained on treatment at 6 months is an indicator of 336 clinical benefit. Additionally, in this setting, where practical issues such as the number 337 of impending hospital visits and poor venous access become increasingly important 338 to maintain quality of life, OMC with 6 weekly monitoring could be considered an 339 340 appropriate therapeutic option.

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