1	Targeting conservation actions at species threat response thresholds
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### 28 Abstract

29 Given the failure of the world's governments to improve the status of biodiversity by 2020, a 30 new strategic plan for 2030 is being developed. In order to be successful a step-change is 31 needed to not just simply halt biodiversity loss, but to bend the curve of biodiversity loss to 32 stable or increasing species' populations. Here, we propose a framework that quantifies 33 species' responses across gradients of threat intensity to implement more efficient and better 34 targeted conservation actions. Our framework acknowledges the variation in threat intensities 35 as well as the differences among species in their capacity to respond, and is implemented at a 36 relevant scale for national and international policy-making.

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### 38 Bending the curve of biodiversity loss

39 Wildlife and wild places face a variety of environmental and human-induced challenges that threaten species survival [1]. Species' extinction rates now vastly exceed background rates, 40 41 with earth's biodiversity perhaps entering a 'sixth mass extinction' event [2–4]. Vertebrate 42 populations, where measured, declined by ~60% between 1970 and 2014 [5], with at least 338 43 terrestrial vertebrate species having become extinct since 1500 [2]. The world's governments have committed to reducing biodiversity loss by 2020. The 196 countries that are Parties of the 44 45 Convention on Biological Diversity (CBD) agreed to ambitious Aichi Biodiversity Targets but 46 despite increased efforts, the Aichi targets are unlikely to be achieved [6,7]. In the wake of 47 these failings, Parties to the CBD are developing a post-2020 strategic plan for the actions required to improve the state of biodiversity by 2030. 48

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50 If the post-2020 strategic plan is to be successful, actions to 'bend the curve' of biodiversity 51 loss are needed in addition to robust indicators to track the status of biodiversity [5]. Recently, 52 Mace et al. (2018) suggested three metrics that could be used to track progress, consistent with 53 existing commitments from the world's governments [8]. Specifically, (1) Species' threat 54 status (estimated from the Red List Index); (2) Trends in wildlife abundance (e.g. using the 55 Living Planet Index, LPI) and; (3) Changes in local biotic integrity. The LPI, an aggregated 56 index of population-level abundance time-series, has shown a persistent decline since 1970 [5], 57 and global models of local biotic integrity show that across most of the terrestrial land area, 58 both richness and abundance are greatly reduced [9] and are often below the level proposed as 59 a planetary boundary [10]. All current trends are, at best, slow declines, yet achieving global 60 targets requires that the trajectory is reversed, to show a recovery in population abundance 61 across species and sites. This radical change in biodiversity outcomes cannot be achieved with 62 only marginal improvements in species' trends; it will need a step-change from past practices 63 that mostly aim to simply limit further decline. Instead, conservation actions that stimulate 64 higher population growth rates and species' recovery are urgently needed.

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66 There is ample evidence that conservation works when the right policies are in place, and there 67 is generally adequate knowledge about species' ecology and the factors driving declines (e.g. 68 [11]). Detailed, species specific analyses are undoubtedly necessary to plan for recovery for 69 the most critically endangered species (e.g. vaquita Phocoena sinus; [12]) and population 70 viability analysis can be used to highlight critical threats and threat intensities for groups of 71 related species [13]. However, such approaches are not feasible across the >30,000 threatened 72 species [14] now requiring conservation intervention. Even when threats are largely place-73 based and conservation is best addressed at landscape scales, a species-by-species approach is 74 not practical. On the other hand, large-scale efforts at mapping threats and vulnerable species 75 (e.g. [15,16]), whilst useful for prioritising conservation interventions to particular sites and 76 taxa, lack the precision and specificity of actions needed to direct conservation in the most 77 effective way [17]. The challenge is therefore to identify a strategy that will allow threatresponse relationships to be characterised across taxa sharing threats and vulnerabilities, and
at spatial scales that are relevant for conservation planning and intervention.

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Here, we propose a framework that focusses on targeted conservation solutions that quantify taxon responses to threats (e.g., exploitation, land-use change, pollution) of different intensities in defined ecological units (see Text Box 1 for the threat classification scheme and definitions of terms used throughout). We suggest that such a framework will provide a level of resolution that can substantially improve the effectiveness of conservation interventions, and make bending the biodiversity curve achievable.

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# 88 Gradients of threat intensity and population responses

89 Conservation efforts could be more efficient if directed at relevant threats and threat intensities 90 affecting population abundance of focal species. Ninety-five percent of the Earth's land surface 91 is now modified to some degree by humans [18], which is likely to increase given the projected 92 growth in human populations [19] and increasing accessibility to the remaining wilderness 93 areas [20,21]. The spatial distribution and intensity of threats is not uniform or static, and some 94 land uses and human activities are more detrimental to species than others. Substantial efforts 95 have gone into identifying and mapping the distribution or magnitude of threats globally and 96 the interaction of threats with species [17,22], as well as in ranking threats in terms of their 97 overall importance across species [7]. Different taxa are exposed to different threats in the same 98 location, and population-level responses and thresholds to the same threat intensity vary 99 between species for biological reasons. Hence, once threat-response relationships have been 100 elucidated, they could be used efficiently to track threat intensities, and to design conservation 101 interventions to reduce the intensity of the threat mechanism below a critical level, and always 102 below the level causing a population decline.

104 Evidence for differences in species' responses attributable to the intensity of threat mechanism 105 is growing. Many studies have compared abundances in control-versus-impact scenarios, e.g. 106 hunted-unhunted or converted-intact habitats [23,24], showing that the direction and magnitude 107 of changes in abundance differ by taxa. For some threat mechanisms and intensities, these 108 differences have been characterised across gradients using meta-analytical frameworks. For 109 example, evidence suggests that species' abundance and diversity measures are lower in the 110 highest intensities within different agricultural and urban land-use categories and intensities 111 [9,25]. In the hunting literature, much of the work on sustainable harvesting quotas is already 112 based on harvest intensity and population size relationships, and often distance to village is 113 used as a proxy measure for hunting pressure across a gradient. By collating and analysing 114 studies across the tropics, the responses and thresholds of species to hunting intensity were 115 shown to vary by body mass and feeding guild [26]. These meta-analyses use space-for-time 116 substitution whereby comparable differences across otherwise similar sites are assumed to 117 represent a change from one state to the other over time. However, their application and 118 interpretation have been criticised for not adequately acknowledging that ecological processes 119 occur over time and that ecological response times can lag behind the changing intensity of 120 threat [27]. Furthermore, binary or ordinal categorisations of threats are also problematic as the 121 intensity of the threat is not adequately accounted for, and thus species' responses to different 122 threat intensities remain poorly estimated. Given these challenges and clear evidence of varied 123 species' responses, a new framework for efficiently targeting conservation interventions is 124 needed.

#### 126 The taxa-biome-threat (TBT) framework, and what to measure

127 To aid large-scale policy decisions and conservation management, any framework to capture 128 biodiversity responses across intensity gradients needs to 1) work at a scale that is relevant for 129 policy and practical for research, 2) accurately reflect the most important local threats at that 130 scale, and 3) allow for robust study designs that are sensitive to the habitat specificity of 131 different taxa. Here, we outline a framework to quantify species' response thresholds across 132 gradients of threat intensity based on a classification of threat by regionally-separated biomes 133 by major taxa (Taxa-Biome-Threat, TBT framework) that differentiates the importance of 134 threats for particular species, and hence helps direct conservation actions effectively (Figure 135 1). In the following paragraphs, we outline each aspect of the framework, and provide evidence 136 of the validity of their inclusion.

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#### 138 Distribution of threats and the spatial unit of the framework

139 There are consistent relationships between species' declines, threat mechanisms, and closely 140 related taxa or those sharing similar biological traits. While the drivers and sources of threats 141 tend to be spatially clustered following patterns of human population, development, and 142 movement [28-31], the responses of individual species to particular threat mechanisms are 143 often a function of their biological traits (e.g. hunting [32]). Related species, often with similar 144 life-history traits, can also be spatially clustered by region and habitat type [33-35]. The 145 contribution of threatening mechanism and traits to changes in extinction risk show consistent 146 patterns at least in the mammals where they have been most systematically studied [36]. Threat mechanisms interact with pressures and species' biology, so species' traits may be good 147 148 predictors of species' declines [31,37–39]. While species' traits may only change very slowly 149 over time (if at all), threats and their intensities do change, and it is becoming increasingly 150 feasible to spatially and temporally track some threats using cartographic, remote sensing, and 151 terrestrial sensing technologies [40]. Existing approaches to quantify habitat or species' 152 responses to threatening processes exist in isolation or are at scales that are not always practical 153 for policy– either too broad to show relevant trends or too localised to show general trends or 154 to get global coverage in a reasonable amount of time. The challenge is therefore to identify a 155 scale at which to divide the world into meaningful units that will capture both threats and 156 habitats that are relevant to species at a scale that might be possible to inform management.

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158 Threat mechanisms and intensities are not uniformly distributed across the world, varying by 159 realm and by geographic region [18,41], and threats can operate at different scales [42], thus 160 the predominant threats that species experience vary, even within the same habitat type. The 161 ecological communities in a given area also vary across space, and are driven by biogeography 162 and evolutionary history [43]. Ecoregions have been shown to be meaningful delineators of 163 biodiversity patterns [44], but at the global level they are often too small and too numerous for 164 analysis or useful policy advice (n = 867 terrestrial, 232 marine and 426 freshwater ecoregions). 165 Biomes on the other hand, regions of the world defined by their climate, fauna and flora (n =166 14, [45]), could be a more relevant unit, because they tend to provide a broad grouping of major 167 vegetation types, as well as major phylogenetic groups of species that are found there. Biomes 168 therefore reflect both large scale threats (e.g. deforestation in forests or overfishing in the 169 oceans) and broadly represent key species' traits. Newbold and colleagues recently showed 170 that biodiversity responses to climate and land-use change differ among biomes, highlighting 171 that a regionalised approach is likely to improve the way we understand and mitigate the effects of anthropogenic pressure [46]. However, the presence of threats, and the direction and 172 173 magnitude of biodiversity change differs according to both biome and region [41,47]. Existing 174 literature on taxonomic, vegetation, and habitat classification suggests that a combination of 175 broad-scale habitat classification combined with a classification of biogeographic realms is a 176 plausible framework for conservation priority-setting and planning [48–51]. Moreover, the way 177 that biomes are impacted by threats and the way in which the species within biomes respond 178 to threats are likely to be different (e.g. [52]); for example, a recent study showed that species 179 respond to increasing climatic extremes in a biome-specific manner [53]. Therefore, we 180 propose the use of regional biomes defined by biogeographic realms (Figure 1, panel 1; Figure 181 2 for regional split of terrestrial [n = 66], freshwater [51], and marine coastal biomes [24]) as 182 the largest spatial unit within which threat-response relationships can be usefully characterised. 183 These regional biomes will also be more relevant to regional policy and management, e.g. the 184 Central African Forests Commission (COMIFAC) for Afrotropical moist broadleaf forests. 185 The TBT framework could potentially be applied at the ecoregions level, if useful for national 186 level reporting required by the CBD but this will require significantly more effort given the 187 number of ecoregions identified.

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#### 189 Monitoring taxa response thresholds

190 Within each regional biome, primary threats and biome-specific threat-sensitive species 191 should be identified, and monitored across the relevant threat intensity gradient using 192 methods appropriate to the taxa of interest (Figure 1, panels 2-5). Given that funding for 193 conservation is limited, the selection of target taxa may need to take into account cost-194 effectiveness of implementing the framework and prioritise species groups that are more 195 likely to be high-performance indicators of the threat investigated ([54]). Additionally, recent 196 developments in remote sensing and artificial intelligence (e.g. [55–57]) have the potential to 197 allow a substantial increase in the scale of biodiversity monitoring initiatives without a 198 similar increase in costs. Monitoring could be targeted to certain groups of threat-sensitive 199 surrogate or umbrella species that represent the integrity of the biome, and the diverse needs 200 of keystone, threatened, and/or conservation priority species. Systematic trait-based species

selection methods have been shown to be promising to select such surrogate species, and in
identifying the number needed per habitat type [58], but must first be validated appropriately
[59].

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205 Given that species' population abundance responses across threat-intensity gradients are likely 206 to be dynamic and non-linear, monitoring wildlife across gradients would allow conservation 207 actions to be targeted at threat intensities where species should respond to action and lead to 208 recovery. Importantly, the TBT framework could also be used to monitor the effectiveness of 209 conservation interventions (e.g. [60,61]). However, this requires knowledge of the 'thresholds' 210 that pertain in each case. Identifying species' thresholds to threat intensity could then inform 211 management decisions (Figure 1, panels 6-7); for example, retaining a certain proportion of 212 forest cover to maintain species abundance and diversity [62,63]. We propose that species' 213 population abundance, or proxies of abundance (such as relative abundance, occupancy), are 214 practical and relevant metrics for tracking species' responses, which can be used as an indicator 215 of conservation success with stable or increasing populations as the conservation target [5,8]. 216 We recognise the limitations of using abundance proxies (e.g. [64]), however they are reliable 217 when derived from well-designed surveys and appropriate statistical methods, and for some taxonomic groups and field settings will be the only metrics feasible to obtain [65]. Criteria 218 219 such as 1) more than one metric should be tested to assess sensitivity across a threat intensity 220 gradient, and 2) that management priority be given to taxa with the threshold at the lowest 221 intensity could be followed [66]. However, it is possible that there will be a range of responses 222 to the threat gradient, which can lead to multiple thresholds being identified. In these cases, 223 statistical methods could be used to group species' responses into homogenous classes, 224 allowing the identification of a small number of thresholds relevant to the studied system (e.g. 225 [67]). Alternatively, thresholds could be estimated by aggregating data across species based on

*a priori* expectations about their response to threat, potentially informed by natural history traits (e.g. [68]). Although these approaches would not provide a single threshold value for the ecosystem, they would inform which groups of species are more likely to be lost as threat intensity increases and this information could be included in the decision-making process.

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231 Evidence from field studies of species' abundance thresholds along threat intensity gradients, 232 or intensities after which responses in abundance are most acute, are available for only a few 233 species, threats, and biomes (examples in Table 1); more research is therefore needed to 234 quantify species' responses. To expand on these studies, and be able to target conservation 235 actions for the major threats to wildlife in each biome, further evidence is required for each 236 combination of major threat and regional biome, and where possible taking into account 237 cumulative or synergistic effects of other major threats (see Outstanding Questions). Although 238 in many areas there is a dominant threat type (e.g. [69]), the framework could account for other 239 threats through either: 1) directly accounting for cumulative threat gradients by evaluating 240 additive and interactive relationships among threats [70], 2) a grid design of two threat 241 gradients (e.g. hunting x deforestation), 3) selection of a site where the intensity of one threat 242 type is static (e,g, [71]), or 4) if climate change is also a significant threat, then environmental 243 dependencies can be accounted for in the analysis. Such studies should also be conducted over 244 multiple years to account for lag effects, and to go beyond space-for-time substitution analyses. 245 To apply this framework at the policy level, the results from these studies could be aggregated 246 to identify the most appropriate management options to reduce threats to pre-threshold limits.

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# 248 Concluding remarks

Our proposed TBT framework provides an efficient basis to plan and implement conservation
actions. A systematic effort to synthesise available empirical evidence of species' response

251 thresholds to threat intensity is likely to reveal useful generalisations to move ahead. A number 252 of challenges to this approach remain, for example some taxa may not exhibit an obvious 253 threshold across a gradient of threat intensity (e.g. [53,72]), and responses may be confounded 254 by cascading effects caused by changes in abundance of other species. Species' responses could 255 also exhibit a 'lag time' depending on the life history traits and resilience of the species, and 256 threat mechanism [73,74]. Understanding lag times is important to manage threats or target 257 conservation actions e.g. recovery programmes, and can be studied best by monitoring species' 258 populations over time. Designing and conducting studies to estimate thresholds has been 259 argued to be too challenging for practical application due to the level of resources needed, 260 complexities of nonlinear dynamics, and difficulties in controlling for multiple factors over 261 space and time [75–77]. Identifying and monitoring a selection of threat-sensitive indicator 262 species to represent each regional biome could be one practical approach. We believe these 263 challenges can be overcome, and as with most experimental designs, potential confounding 264 variables should be measured and taken into account using appropriate modelling methods and 265 study designs which should ideally include suitable controls, thus providing substantially more 266 accurate estimates of biodiversity responses [78].

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Quantifying taxa-biome-threat responses could be used to design more cost effective and 268 269 predictive interventions. While many conservation interventions and global initiatives are 270 focused around the fate of individual species or specific taxa, there is a growing trend to start 271 measuring and monitoring the health of larger-scale areas such as biomes. We interpret biome 272 health as being the viability of a suite of species that are characteristic of that biome, hence our 273 framework could lead to well-founded methods for assessing conservation success at the 274 regional biome scale. This framework also could be used to provide evidence for requirements 275 to achieve national and international biodiversity targets, as well as assessment and

276	prior	itisation of conservation efforts. Whilst the funding needed might be substantial,			
277	elucidating the levels of threat intensity that species can withstand will enable cost-effective				
278	conservation across the many thousands of species requiring intervention, and hence improve				
279	the prospects of bending the curve of biodiversity loss.				
280					
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#### Text Box 1. Threat classification scheme

To provide clarity of meaning for specific terms used throughout, we follow Balmford et al.'s (2009) threat classification scheme [79], adapting it to include the intensity of the mechanism. The scheme starts with the underlying drivers that cause the threat, through to the unfavourable state of the target for conservation – the species population. Examples of threats include habitat destruction as a result of land-use change or pollution, and direct reduction of survival as a result of exploitation. Here, we use an example of wildlife hunting to describe the threat classification scheme.

Threat classification scheme (Balmford	Example threat classification for		
et al. 2009)	hunting		
Underlying <b>drivers</b> of a given threat.	Poverty, rapid human population growth,		
	poor governance.		
1 <sup>st</sup> component of <b>threat</b> : the <b>source</b> of the	The need for food, presence of market for		
threatening mechanism.	wildlife products.		
2 <sup>nd</sup> component of <b>threat</b> : threatening	Hunting leading to overexploitation of the		
mechanism causing unfavourable state.	hunted population.		
Unfavourable <b>state</b> of conservation target.	Negative population growth of a hunted		
	population.		

In addition, we refer to the **intensity** of the threat mechanism across a gradient from minimal to high intensity, over which species' **responses** may differ. In our hunting example, there is an intensity that is sustainable, however above a certain hunting intensity, hunting becomes unsustainable and leads to population decline. The intensity of the mechanism can be moderated by changes in **drivers** e.g. human population density, the **source**, or by changes in the **mechanisms** (more or less hunting).

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#### 490 Figure legends

491 Figure 1. Operationalising the Taxa-Biome-Threat framework, using Tropical and Subtropical 492 Moist Broadleaf Forests in the Afrotropics as an example. 1. Identify the regional biome of 493 interest. 2. identify the main threats to the regional biome e.g. by literature review, global threat 494 maps based on cartographic or remotely sensing data, or local knowledge. In this example, 495 overexploitation and deforestation were identified as the primary threats (e.g. [80,81]). 3. 496 Identify the taxa relevant for the regional biome and sensitive to the threats being assessed e.g. 497 based on conservation status, importance to biome (keystone), or role as surrogate species. 4. 498 Measure threat intensity gradients directly e.g. for measuring exploitation, hunter offtakes can 499 be monitored (e.g. [82]), or a proxy such as distance to village could be used [26]. 5. Conduct 500 field survey along threat gradient using taxa appropriate methods over space, and ideally over 501 time. E.g. for mammals >1kg, camera trapping is appropriate. Modelling could then directly 502 account for cumulative threat gradients by evaluating additive and interactive relationships 503 among them [70]. 6. Identify threshold levels at which to target conservation interventions. 504 Letters (A, B, C) represent differential responses of species' abundances across a threat 505 intensity gradient. We highlight the threshold response of species A (red dashed line), and the 506 potential intensity at which a conservation intervention could be targeted to halt or reverse 507 decline of species A (grey box). While recovery of species A would require reducing threat 508 intensity to the threshold response, this would be inadequate for species B, and unnecessary for 509 species C. Several methods to identify thresholds are available e.g. piece-wise regression (e.g. 510 [83,84]), threshold occupancy models [85]. 7. If needed, further analyses could be conducted 511 to estimate thresholds for groups of species (e.g. body size, trophic guild), or by response type 512 [67].

513	Figure 2. Proposed regional biomes. Panel A: terrestrial biomes (n=14, from [45]). Panel B:
514	freshwater biomes equivalent (Major Habitat Type, n = 12, from [86,87]. Panel C: marine
515	biomes equivalents for coastal and shelf areas (Realms, n=12, from [48]). All panels are split
516	by biogeographic realm ( $n = 8$ , black lines, [88,89]) to create 66 terrestrial, 51 freshwater, and
517	24 marine coastal regional biomes, excluding rock and ice. Colours differentiate biomes on
518	each panel.
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### 538 Tables

539 Table 1. Response profile across gradients of threat intensity varies by taxa and biome.

540 Example studies show threshold responses of measured population abundance metrics.

Threat Metric	Biome	Taxon	Response Metric	Response / Threshold	Reference
Fishing	Coral reef	111 species of predatory fish, and starfish.	Density (population / reef length)	Grouped decline above ~5 people km <sup>-1</sup> reef for predatory fish. Starfish increased linearly.	[90]
Fishing	Coral reef	Tetradontiformes, Angelfish, Butterflyfish, Soldierfish	Mean number per reef census	Decline in Tetradontiformes above ~1 fisher/km reef, and after 2 fishers/km reef for Angelfish and Butterflyfish	[91]
Habitat loss by oil palm	Tropical Moist Broadleaf Forest	15 species of mammal	Composition (aggregate of occurrence and relative abundance)	Decline above 45- 75% of oil palm cover depending on species. Thresholds for 12/15 species. Threshold responses to increase oil palm cover were both negative (9 species) and positive (1). Five species showed no threshold in response, but 2 had a negative relationship.	[84]
Habitat loss	Tropical Moist Broadleaf Forest	1 species of mammal	Occupancy probability	Decline below 35% forest cover.	[63]
Habitat loss	Tropical Moist Broadleaf Forest	57 species of mammal, responses grouped by traits.	Relative abundance	Decline most acute between 100-50% forest cover for most species, but the relationship changed with land cover type.	[68]

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