

47 *New & Noteworthy:*

56 *Abstract:*

57 With growing use for hyperthermia as a cardiovascular therapeutic, there is surprisingly little 58 information regarding the acute effects it may have on the integrity of the neurovascular unit 59 (NVU). Indeed, relying on animal data would suggest hyperthermia comparable to levels 60 attained in thermal therapy will disrupt the blood-brain barrier (BBB) and damage the cerebral 61 parenchymal cells. We sought to address the hypothesis that controlled passive hyperthermia is 62 not sufficient to damage the NVU in healthy humans. Young men $(n=11)$ underwent acute 63 passive heating until $+2$ °C or absolute esophageal temperature of 39.5°C. The presence of BBB 64 opening was determined by trans-cerebral exchange kinetics (radial-arterial and jugular venous 65 cannulation) of S100B. Neuronal parenchymal damage was determined by the trans-cerebral 66 exchange of tau protein, neuron specific enolase (NSE) and neurofilament-light protein (NF-L). 67 Cerebral blood flow to calculate exchange kinetics was measured by duplex ultrasound of the 68 right internal carotid and left vertebral artery. Passive heating was performed via warm-water 69 perfused suit. In hyperthermia, there was no increase in the cerebral exchange of S100B 70 (p=0.327), tau protein (p=0.626), NF-L (p=0.447) or NSE (p=0.908) suggesting $+2^{\circ}$ C core 71 temperature is not sufficient to acutely stress the NVU in healthy men. However, there was a 72 significant condition effect (p=0.028) of NSE, corresponding to a significant increase in arterial 73 (p=0.023) but not venous (p=0.173) concentrations in hyperthermia, potentially indicating extra-74 cerebral release of NSE. Collectively, results from the present study support the notion that in 75 young men there is little concern for NVU damage with acute hyperthermia of $+2^{\circ}$ C. 76

77

78 *Keywords:* Heat stress, Brain, neurovascular unit

79 *Introduction*

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81 The use of heat stress, or heat *therapy*, as a remedial and prophylactic tool for cardiovascular 82 health has gained recent attention [reviewed in (15)]. While heat, or fever, as a non-specific 83 therapeutic has been touted since Hippocrates (8), the interest in the potential cardiovascular 84 benefits of heat have largely stemmed from epidemiological studies linking frequent sauna use to 85 a reduced incidence of cardiovascular events and vascular disease (32-35). Supporting the 86 epidemiology data is a growing number of experimental-based studies suggesting a direct benefit 87 of transient heat stress on vascular endothelial function. For example, Brunt et al*.* (12) reported 88 that eight weeks of passive heat therapy, via hot water immersion, improved vascular function in 89 young, sedentary adults: specifically, increased brachial artery flow-mediated dilation and 90 reduced resting blood pressure. Moreover, in clinical models, heat therapy has been 91 demonstrated to improve cardiac function and increase exercise tolerance in heart failure (27, 92 39), myocardial perfusion in coronary artery disease (21, 54) and extremity perfusion in 93 peripheral artery disease (1, 53, 55). 94 95 What remains to be determined is whether heat therapy can be used as a cerebral vascular 96 therapeutic, particularly of the neurovascular unit (NVU). A major component of the NVU is the 97 cerebral microvasculature, along with the surrounding parenchymal cells. That is, the NVU

98 consists of endothelial cells, associated blood-brain barrier (BBB) tight junctional proteins,

99 pericytes, astrocytes, and neurons, among others (24). It is often assumed that the reported

100 beneficial impacts of heat therapy on the peripheral vasculature also reflect improvements to the

101 cerebral vasculature (14, 19, 43), and improvements of the entire NVU since chronic heat

102 therapy has been associated with a reduced incidence of vascular dementia (33). However, this 103 assumption may be misleading considering the peripheral vs. cerebral vascular beds experience 104 markedly different stressors in acute hyperthermia. For example, during heat stress the peripheral 105 endothelium is exposed to increases in beneficial anterograde shear stress [i.e., increased 106 foreword moving blood flow (22)], while the cerebral endothelium is exposed to reduced 107 anterograde shear (i.e., reduced forward-moving blood flow) secondary to cerebral 108 vasoconstriction as a result of respiratory alkalosis (7). Moreover, hyperthermia which 109 encroaches an absolute core temperature of 40°C may selectively become cytotoxic to the 110 delicate cells of the CNS compared to vascular cells in the periphery (50). Additionally, whole-111 body hyperthermia exceeding 38.5°C [(a core temperature elevation well within the realm of heat 112 therapy (34)] is recognized to increase the permeability of the BBB in rodent models (52, 28). 113 Importantly, a compromised BBB has the potential to alter the extracellular environment and 114 thereby induce damage to other parts of the NVU by means of neuroinflammation, edema, and 115 ionic imbalances (42).

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117 Increased permeability of the BBB in humans is often assessed by circulating concentrations of 118 S100B, a ~11 kDa astroglial protein, that has to cross the BBB to enter the bloodstream. Its 119 presence in the peripheral circulation is, in turn, generally reflective of a leaky BBB (62). To 120 date, in humans, there remains little data on the release of S100B in whole-body hyperthermia, 121 with the bulk of data derived from exercise-induced heat stress. For example, Watson et al*.* (62) 122 demonstrated that peripherally circulating concentrations of S100B is increased during 123 hyperthermic exercise (\sim 2°C increase in core temperature). Conversely, Cheuvront et al. (16) 124 observed no mean increase in circulating S100B during hyperthermic exercise, with temperature

125 elevations of \sim 1.5°C while walking in the heat. Apart from the small difference in core 126 temperature, a few alternative explanations may be offered for these data. Most notably, while 127 the majority of S100B is of cerebral origin, it can additionally be released from stressed cardiac 128 and skeletal muscle, which may be exacerbated with hyperthermia (62). The increase in S100B 129 during hyperthermic exercise reported by some (60-62) may therefore, in part, reflect an increase 130 in extra-cerebral sources, rather than BBB opening. Indeed, Watson et al., (62) had participants 131 cycle at 60% of VO2 peak, whereas participants were walking in Cheuvront et al., (16). Until the 132 present study, there has been a paucity of data on the independent impact of heat stress – i.e., 133 passive hyperthermia – on BBB leakage and subsequent health of the neuronal parenchyma and 134 entire NVU in humans.

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136 Given that hyperthermia of up to $+2^{\circ}$ C core temperature [i.e., during hot yoga, Finnish sauna or 137 Waon therapy (15)] has gained widespread attention as a tool to improve cardiovascular 138 function, there is a need to confirm that this level of heating is safe for the NVU. Accordingly, 139 the purpose of this study was to determine the trans-cerebral arterial-venous kinetics of S100B 140 (an established marker of BBB permeability) in passive hyperthermia, as well as concurrent 141 biochemical metrics of the NVU function as indexed by an established clinical panel for cerebral 142 damage; tau protein, neuron specific enolase (NSE) and neurofilament-light protein (NF-L) (26, 143 57, 59). Based on our previous observation (6) that passive hyperthermia does not evoke a robust 144 increase in the cerebral release of inflammatory and pro-oxidative markers in humans (a tenable 145 mechanism for BBB opening), we hypothesized that acute passive hyperthermia of $+2^{\circ}$ C core 146 temperature in healthy young men would not elicit marked BBB opening as determined by the

- 147 trans-cerebral release of S100B, or detectable cerebral parenchymal damage determined by the
- 148 release of tau protein, NSE, and NF-L.

150 *Methods*

151 *Subjects and ethical approval:*

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167 alcohol and caffeine-containing beverages. Under local anaesthesia (1% lidocaine) and 168 ultrasound guidance, a 20‐gauge arterial catheter (Arrow, Markham, ON, Canada) was placed in 169 the right radial artery, and a central venous catheter (Edwards PediaSat Oximetry Catheter, CA, 170 USA) was placed in the right internal jugular vein and advanced towards the jugular bulb. 171 Following cannulation, subjects were fitted into a tube-lined suit (Med-Eng, Ottawa, ON, 172 Canada) that covered the entire body except for the head, feet and hands. The tube-lined suit was

173 perfused with \sim 49°C water until an esophageal temperature of +2°C above baseline, an absolute 174 core temperature of 39.5°C, or the subject's volitional thermal tolerance was reached. Core 175 temperature (Teso) was determined by a thermocouple probe (RET‐1; Physitemp Instruments, 176 Clifton, NJ, USA) that was inserted 40 cm past the nostril into the esophagus. Blood samples 177 were collected into vacutainers containing ethylenediaminetetraacetic acid (EDTA) for 178 separation of plasma and quantification of tau, as well as tubes containing no anticoagulant for 179 analysis of S100B, NSE and NF-L. Samples were collected simultaneously from the radial artery 180 and jugular bulb immediately before heating (normothermic) and at +2^oC core temperature. A 181 time-control group was not incorporated into the experimental design given previous reports 182 demonstrating no time effect of the cross-brain measures (4, 5).

183 *Cardiovascular and cerebrovascular measures:*

184 Blood flow in the right internal carotid artery (ICA) and left vertebral artery (VA) was 185 simultaneously measured using duplex vascular ultrasound (Terason 3200, Teratech, Burlington, 186 MA), and used to calculated global cerebral blood flow; $(ICA x 2) + (VA x 2)$. The right ICA 187 was on average insonated 2cm from the carotid bifurcation, while the left VA was insonated at 188 the C5–C6 or C4–C5 space depending on the subject's unique anatomy. The steering angle was 189 fixed to 60 degrees for all measures, and the sample volume was placed in the center of the 190 vessel adjusted to cover the entire vascular lumen. All files were screen-captured and saved as 191 video files for offline analysis at 30Hz using custom-designed software (63). Simultaneous 192 measures of luminal diameter and velocity over a minimum of 12 cardiac cycles were used to 193 calculate blood flow. The within-day coefficient of variation for the ICA and VA blood flow was 194 7% and 4%, respectively. Heart rate (HR) was obtained from the R-R intervals measured in lead

195 II of the ECG. Mean arterial blood pressure (MAP) was measured with a pressure transducer 196 connected to the radial catheter.

197 *NVU biomarker analysis*:

198 Serum S100B and plasma NSE concentrations were measured using commercially available 199 immunoassays with electrochemiluminescence detection on Cobas according to instructions 200 from the manufacturer (Roche Diagnostics, Penzberg, Germany). Serum NF-L concentration was 201 measured on a Single molecule array (Simoa) HD-1 Analyzer using the commercially available 202 NF-Light kit according to instructions from the manufacturer (Quanterix, Billerica, MA). Plasma 203 tau concentration was measured on a Simoa HD-1 Analyzer using the commercially available 204 Tau Advantage kit according to instructions from the manufacturer (Quanterix, Billerica, MA). 205 All measurements were performed in one round of experiments using one batch of reagents by 206 board-certified laboratory technicians who were blinded to clinical data. Intra-assay coefficients 207 of variation were 3-5% for S100B and NSE, 7.2% for NF-L and 11% for tau. Cerebral exchange 208 was calculated as the global cerebral blood flow x the arterial-venous difference of each 209 respective biomarker, whereby a negative value denotes cerebral release.

210 NSE, tau protein, and NF-L were used to determine cerebral parenchymal damage as they 211 collectively make up a common clinical panel for the prognosis of brain damage (23, 48, 59). 212 NSE is an enzyme that is typically found in neurons and neuroendocrine cells and has been 213 shown to upregulate following neuronal death [e.g., after a traumatic brain injury (TBI); 23, 59]. 214 Similarly, tau protein and neurofilament-light protein (NF-L) are established biomarkers of brain 215 trauma; they both reside in axons that are susceptible to damage (59, 65). NF-L is composed of

216 polypeptides and provides structural axonal support, whereas tau protein provides stability to the 217 axonal microtubules (59).

218 *Statistical analysis:*

219 Analyses were performed using the statistical software package SPSS (v.22; IBM, Armonk, NY,

220 USA). Cerebral blood flow (to calculate cerebral exchange of brain proteins) was averaged over

221 20-second bins around the blood draws. Tests for normality were confirmed using repeated

222 Shapiro-Wilks W tests. Statistical analyses for all NVU biomarkers were performed using 2-way

223 [condition (baseline vs. hyperthermia), and site (arterial vs. venous)] repeated-measures

224 ANOVA. After a main effect, post hoc analyses were performed using two tailed repeated-

225 measures Student's t-tests. Effect size was calculated as Hedges' *g* corrected for a small sample

226 size using the formula: Hedges' g $=\frac{M1-M2}{p_{ooled SD}} x \left(\frac{N-3}{N-2.25} \right) x \left(\sqrt{\frac{N-2}{N}} \right)$, where the mean 1 (M1) was

227 baseline, and mean 2 (M2) was heat stress. Significance was determined at an alpha level of

228 0.05. All data are presented as means ±SD.

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255 *Discussion*

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266 Results of the present study are timely given the recent surge in employing passive heat stress as 267 a cardiovascular therapeutic (12, 21, 27, 29, 32-36, 39, 54). In experimental use of thermal 268 therapy (e.g., sauna use), core temperature elevations of up to 2° C are often reported (34). While 269 it is generally accepted that induced heat stress should not exceed an absolute core temperature 270 of \sim 40°C [to avoid life-threatening complications of heat illness (10)], impetus for the present 271 study relates to the notion that the cerebral tissue may become damaged at a much lower 272 threshold temperature. For example, with progressive continuous heating, as employed in the 273 current study, BBB leakage in rats (assessed by stained albumin and astrocytic activation) begins 274 to occur at 38.5°C (28). Moreover, even a 1.0°C increase in core temperature is problematic for 275 cerebral outcomes in human conditions of traumatic brain injury (9, 56), likely in part related to 276 increased pro-inflammatory responses. That is, passive heat stress ubiquitously increases IL-6, a 277 known cytokine that stimulates BBB opening (60), which can induce or exacerbate damage to

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301 throughout the body, specifically the adrenal glands (23, 46). Hyperthermia activates the 302 hypothalamic-pituitary-adrenal axis through feedforward mechanisms (31, 41), contributing to 303 the heat-induced hyperadrenergic state (44). In turn, it has been demonstrated in rats that heat 304 stress acutely decreases adrenal cortex volume and mass with concomitant increases in 305 circulating corticotrophin and corticosterone (31). Concentrations of circulating cortisol are also 306 significantly elevated in heat-stressed humans (11, 17, 18). It is, therefore, reasonable to suggest 307 that the increase in systemic concentrations of NSE was from adrenal sources consequent to the 308 profound heat-induced excitation. Regardless of its source, however, it remains to be determined 309 whether the increase in NSE is an inert bi-product of hyperthermia, or a marker of important 310 physiologic function / malfunction. Furthermore, an important consideration is whether the 311 average increase in NSE of only ~6ng/mL (from ~13 to 19 ng/mL) has physiologic relevance. 312 For comparison, a two-fold increase in NSE (compared to controls) has been reported in humans 313 less than 48 hrs following mild traumatic brain injury (13).

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315 *Exercise, Temperature & NVU Biomarkers:*

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317 Exercise has been shown to increase the circulating concentration of some CNS-targeted 318 biomarkers, particularly S100B [reviewed in (30)]. It is often assumed that the exercise-induced 319 increase in S100B is indicative of BBB opening and, in part, as a consequence of the increases in 320 cerebral temperature (62) in the absence of physical head trauma. Results from the present study, 321 however, suggest that temperature alone may have a negligible impact on circulating S100B 322 from cerebral sources, at least when core temperature does not exceed \sim 39.5 \degree C, and in the 323 absence of head trauma. Several alternative mechanisms may explain increased circulating

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337 *Limitations & Future Research:*

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339 The data herein must be interpreted solely within the context of the study – an acute setting with 340 an average heating duration of \sim 1hr in young healthy adult men. Although these initial results 341 corroborate the safety of passive heating for the brain, there remain many important areas for 342 future research. Foremost, future studies should consider differences in sex, age, and in people 343 with co-morbidities. This latter group is especially important given the target population for heat 344 therapy [e.g., heart failure (21, 27, 39) and peripheral arterial disease (1, 53, 55)]. Another 345 important consideration is the acute heating stimulus and timing of measurements. In this 346 respect, concentrations of NF-L should be interpreted with the most caution. Neurofilament

347 proteins are found exclusively in neurons, which make them ideal markers for CNS injury; 348 however, their release to the circulation can be delayed by days following the initial injury (38, 349 48, 59, 65). NF-L was included in the present analysis given the unique setting to address cross-350 brain kinetics with the potential to observe a snapshot of increased cerebral release, as opposed 351 to the conventional measures limited to the peripheral venous circulation. Nonetheless, NF-L is 352 generally classified as a 'delayed' axonal injury marker. On the other hand, both NSE (13, 37, 353 57) and tau protein (40, 45, 49, 64) are elevated in the acute setting of cerebral injury. We are 354 therefore confident that, collectively, our measures had the sensitivity to demonstrate cerebral 355 injury in the present study setting, had it occurred. Still, future studies should consider tracking 356 (at least in the peripheral venous system) CNS biomarkers over days following the hyperthermic 357 stress. The duration and rate of the heating stimulus should also be considered, under the premise 358 that longer heat stress durations (>1 hour) may be necessary for disruption of the BBB (51, 52). 359 Additionally, future studies should consider cross-brain measures of S100B during steady-state 360 exercise in cold or warm environments, to establish contribution from extra-cerebral sources. 361 Lastly, but importantly, future studies should consider a timed control group, especially with 362 heating conditions of longer durations. A normothermic time control group was not attainable in 363 the present study given the invasive experimental setup. However, in our previous studies (4, 5), 364 participants were cannulated for well over six hours (under varying apneic conditions), and an 365 increase in NSE was not observed. We are confident that the increase in NSE is therefore related 366 to the hyperthermia, and not a time effect.

367

368 *Conclusion:*

400 submitted work).

401 *References*

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658 **Figure legend**

659

660 **Figure 1**. Individual values for arterial (gray filled bars) and venous (open bars) S100B (top

661 left), NSE (top right), NF-L (bottom left), and tau protein (bottom right), at normothermic

662 baseline (BL) and hyperthermic heat stress (HS). No significant interaction was observed in any

663 variable. However, there was a significant condition effect $(P=0.028)$ of NSE, corresponding to a

664 significant increase in arterial ($P=0.023$) but not venous NSE ($P=0.173$) from BL to HS.

665

666 **Figure 2**. Individual values for cerebral exchange of S100B (top left), NSE (top right), NF-L

667 (bottom left), and tau protein (bottom right), at normothermic baseline (BL) and hyperthermic

668 heat stress (HS). Gray filled bars with error bars denote mean ±SD. Negative values denote net

669 cerebral release, positive values denote uptake. No significant difference (P>0.05) was observed

670 between BL and HS in any measure.

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Table 1. Baseline and heat stress participant descriptives. Tcore; esophageal temperature. MAP; mean arterial blood pressure, intra-radial. CBF; cerebral blood flow (duplex ultrasound of the internal and vertebral carotid artery. HR; heart rate from lead II. The participant symbols are consistent with Figure 1.

Table 2. Mean values \pm SD of arterial and venous S100B, NSE, tau protein, and NF-L at baseline (normothermia) and heat stress $(+2^{\circ}C$ esophageal temperature). Net exchange is calculated from the global cerebral blood flow x the arterial-venous difference. Condition = baseline vs. heat

stress; Site = arterial vs. venous; Condition x Site = interaction.