

1 **Efficacy of Pembrolizumab Monotherapy for Advanced**  
2 **Gastric/Gastroesophageal Junction Cancer with Programmed Death Ligand 1**  
3 **Combined Positive Score  $\geq 10$**   
4

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7 Therapeutics Research, City of Hope Comprehensive Cancer Center, Duarte, CA,  
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9

## 10 **Funding**

11 This study was sponsored by Merck Sharp & Dohme Corp., a subsidiary of Merck &  
12 Co., Inc., Kenilworth, NJ, USA.

13

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## 23 **Disclosure of Potential Conflicts of Interest**

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25 FivePrime, Lilly, Merck, and Molecular Therapeutics; research grant/funding (to his  
26 institution) from AstraZeneca, Daiichi, FivePrime, Lilly, and Merck; and

1 travel/accommodations/expenses for Bayer, Daiichi, Lilly, Merck, and Molecular  
2 Therapeutics.

3 Dr. Fuchs reports consulting for Agios, Amylin Pharmaceuticals, Bain Capital,  
4 CytomX Therapeutics, Daiichi-Sankyo, Eli Lilly, Entrinsic Health, Evolveimmune  
5 Therapeutics, Genentech, Merck, Taiho, and Unum Therapeutics. He also serves as  
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9 Pharmaceuticals and Eli Lilly.

10 Dr. Taberero reports consultancy for Array Biopharma, AstraZeneca, Bayer,  
11 BeiGene, Biocartis, Boehringer-Ingelheim, Chugai, F. Hoffmann-La Roche Ltd,  
12 Foundation Medicine, Genentech Inc, Genmab A/S, HalioDX SAS, Halozyme,  
13 Imugene Limited, Inflection Biosciences Limited, Ipsen, Kura Oncology, Lilly, MSD,  
14 Menarini, Merck Serono, Merrimack, Merus, Molecular Partners, Novartis,  
15 Peptomyc, Pfizer, Pharmacyclics, ProteoDesign SL, Rafael Pharmaceuticals, Roche  
16 Diagnostics, Sanofi, SeaGen, Seattle Genetics, Servier, Symphogen, Taiho, and  
17 VCN Biosciences.

18 Dr. Shitara reports an advisory role for AbbVie Inc, Astellas Pharma, Bristol-Myers  
19 Squibb, Eli Lilly and Company, GlaxoSmithKline, Merck, Novartis, Ono  
20 Pharmaceutical, Pfizer Inc, Taiho Pharmaceutical, and Takeda Pharmaceuticals;  
21 research funding for Astella Pharma, Chugai Pharma, Dainippon Sumitomo Pharma,  
22 Daiichi Sankyo, Eli Lilly and Company, Medi Science, Merck, Ono Pharmaceutical,  
23 and Taiho Pharmaceutical; and honoraria (lecture fee) for AbbVie Inc, Novartis, and  
24 Yakult.

1 Dr. Muro reports research funding (to his institution) from Daiichi Sankyo,  
2 Mediscience Planning, MSD, Parexel International, Pfizer, Sanofi, Solasia Pharma,  
3 and Sumitomo Dainippon Pharma; honoraria for speaking from Bristol-Myers Squibb,  
4 Chugai Pharmaceutical, Eli Lilly, Ono Pharmaceutical Co., Ltd., Taiho  
5 Pharmaceutical, Takeda Pharmaceutical, and Sanofi; and advisory/consultancy for  
6 Amgen, AstraZeneca, and Ono Pharmaceutical Co., Ltd.

7 Dr. Van Cutsem reports consulting/advisory role for Array, AstraZeneca, Bayer,  
8 Biocartis, Bristol-Myers Squibb, Celgene, Daiichi, Halozyme, GSK, Pierre-Fabre,  
9 Incyte, Ipsen, Lilly, Merck Sharp & Dohme Corp., a subsidiary of Merck & Co., Inc.,  
10 Kenilworth, NJ, Merck KGaA, Novartis, Pierre Fabre, Roche, Servier, Sirtex, Taiho;  
11 and grants (to his institution) from Amgen, Bayer, Boehringer Ingelheim, Bristol-  
12 Myers Squibb, Celgene, Ipsen, Lilly, Merck Sharp & Dohme Corp., a subsidiary of  
13 Merck & Co., Inc., Kenilworth, NJ, Merck KGaA, Novartis, Roche, and Servier.

14 Dr. Bang reports consulting/advisory for Astellas, AstraZeneca, Bayer, BeiGene,  
15 BMS, Daiichi-Sankyo, Eli Lilly, Genentech/Roche, Genexine, GreenCross, Hammi,  
16 Merck-Serono, MSD, Novartis, Samyang Biopharm, and Taiho; grants (to the  
17 institution for clinical trials) from Astellas, AstraZeneca, Bayer, BeiGene, Boehringer-  
18 Ingelheim, Bostin Biomedical, BMS, CKD Pharma, Curis, Daiichi-Sanyko, Eli Lilly,  
19 FivePrime, Genentech/Roche, Genexine GreenCross, GSK, MacroGenics, Merck  
20 Serono, MSD, Novartis, Ono, Pfizer, Taiho, and Takeda.

21 Dr. Chung reports research support from Amgen, BeiGene, BMS/Ono, GSK, Lilly,  
22 MSD, Merck-Serono, and Taiho; honoraria from Lilly and Merck-Serono; and  
23 consultancy for Amgen, BeiGene, BMS, Celltrion, Gloria, Lilly, Merck-Serono, MSD,  
24 Quintiles, Taiho, and Zymeworks.

1 Dr. Yamaguchi reports consulting/advisory for Bristol-Myers Squibb and Daiichi  
2 Sankyo; speakers' bureau for Bristol-Myers Squibb, Chugai Pharma, Daiichi Sankyo,  
3 Lilly, Merck Serono, Ono Pharmaceutical, Sanofi, Taiho Pharmaceutical, and  
4 Takeda; and research funding (institution) from Boehringer Ingelheim, Chugai  
5 Pharma, Daiichi Sankyo, Eisai, Gilead Sciences, Lilly, MSD Oncology, Ono  
6 Pharmaceutical, Sumitomo Dainippon Pharma, Taiho Pharmaceutical, and Yakult  
7 Honsha.

8 Dr. Varga reports no conflict of interest.

9 Dr. Chen reports no conflict of interest.

10 Dr. Hochhauser reports stock or other ownership in Novartis and Roche; research  
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12 Dr. Thuss-Patience reports advisory board for BMS, Lilly, Merck Serono, MSD,  
13 Pfizer, Roche, and Servier; and study support from Merck Serono.

14 Dr. Al-Batran reports no conflict of interest.

15 Dr. Garrido reports no conflict of interest.

16 Dr. Kher is an employee of Merck Sharp & Dohme Corp., a subsidiary of Merck &  
17 Co., Inc., Kenilworth, NJ.

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22 Dr. Bhagia is an employee of Merck Sharp & Dohme Corp., a subsidiary of Merck &  
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1 Dr. Chao reports personal fees from Amgen, AstraZeneca, Boston Biomedical,  
2 Daiichi-Sankyo, Foundation Medicine, MacroGenics, Merck, Ono Pharmaceutical,  
3 and Taiho Pharmaceutical; and grants from Brooklyn Immunotherapeutics and  
4 Merck.

5

6 **Article type:** Research article—clinical trial: immunotherapy

7 **Subcategory:** immunotherapy and cytokines: preclinical, clinical trials, and  
8 hematologic malignancies

9 **Translational relevance** ( $\leq 150$  words): 128

10 **Abstract** ( $\leq 250$  words, including subheadings): 249

11 **Words** ( $\leq 5000$  words): 3224

12 **References** ( $\leq 50$ ): 26

13 **Tables/Figures** ( $\leq 6$ ): 3/3

14 **Running head:** Efficacy of pembrolizumab for CPS  $\geq 10$  G/GEJ cancer

15

16 **Key words** ( $\leq 5$ ): gastrointestinal cancers; biomarkers; immunotherapy; clinical trials

17

1 **Translational relevance** (128/150 words)

2 Pembrolizumab monotherapy demonstrated a clinically meaningful survival benefit  
3 and durable antitumor activity in patients with PD-L1 combined positive score (CPS)  
4  $\geq 10$  gastric or gastroesophageal junction cancer from KEYNOTE-059 cohort 1 (n =  
5 46; third-line or beyond setting), KEYNOTE-061 (n = 53; second-line setting), and  
6 KEYNOTE-062 (n = 92; first-line setting). We observed numerically higher overall  
7 survival medians, response rates, and durations of response with pembrolizumab  
8 monotherapy than with chemotherapy in patients whose tumors expressed CPS  $\geq 10$   
9 across lines of therapy. Responsiveness to immune checkpoint inhibitors and the  
10 role of pembrolizumab in the treatment paradigm of gastric cancer are still being  
11 determined, and this study adds to the existing body of evidence that the  
12 immunohistochemical PD-L1 CPS is one clinically relevant biomarker that can lead  
13 to improved clinical efficacy.

14

1 Abstract (249/250 words)

2 **Purpose:** Pembrolizumab demonstrated efficacy in PD-L1–positive (combined  
3 positive score [CPS]  $\geq 1$ ) advanced gastric/gastroesophageal junction (G/GEJ)  
4 cancer in the first-, second-, and third-line setting in KEYNOTE-062, KEYNOTE-061,  
5 and KEYNOTE-059, respectively. To better delineate the specificity of CPS as a  
6 predictor of clinical outcomes, we analyzed pembrolizumab efficacy in patients with  
7 CPS $\geq 10$  in these trials.

8 **Experimental Design:** Included were patients with CPS $\geq 10$  tumors from KEYNOTE-  
9 059 cohort 1 (pembrolizumab, n=46; post hoc), KEYNOTE-061 (pembrolizumab,  
10 n=53; chemotherapy, n=55; post hoc), and KEYNOTE-062 (pembrolizumab, n=92;  
11 chemotherapy, n=90; primary). Efficacy outcomes were OS, PFS, ORR, and DOR.

12 **Results:** In KEYNOTE-059 median follow-up was 6 months, median OS was 8  
13 months (95% CI, 5.8-11.1), ORR was 17%, and median (range) DOR was 21  
14 months (3+-35+). In KEYNOTE-061 median follow-up was 9 months, median OS  
15 (pembrolizumab vs chemotherapy) was 10 versus 8 months (HR, 0.64; 95% CI,  
16 0.41-1.02), median PFS was 3 months versus 3 months (HR, 0.86; 95% CI, 0.56-  
17 1.33), ORR was 25% versus 9%, and median (range) DOR was not reached (4-26+  
18 months) versus 7 months (3-7). In KEYNOTE-062, median follow-up was 11 months,  
19 median OS (pembrolizumab vs chemotherapy) was 17 months versus 11 months  
20 (HR, 0.69; 95% CI, 0.49-0.97), median PFS was 3 months versus 6 months (HR,  
21 1.09, 95% CI; 0.79-1.49), ORR was 25% versus 38%, and median (range) DOR was  
22 19 months (1+-34+) versus 7 months (2+-30+).



- 1 **Conclusions:** This comprehensive analysis showed consistent improvements
- 2 toward more favorable clinical outcomes with pembrolizumab across lines of therapy
- 3 in patients with CPS $\geq$ 10 G/GEJ cancer.

## 1 Introduction

2 Gastric cancer ranks fifth among the most commonly diagnosed cancers worldwide  
3 and accounts for more than 1 million new cases and approximately 800,000 deaths  
4 per year (1). Evidence suggests that the programmed death 1 (PD-1) pathway may  
5 have prognostic significance in gastric cancer, with several studies demonstrating a  
6 relationship between expression of PD-L1 and overall survival (OS) (2-4). Although  
7 the prevalence of immunohistochemical PD-L1 expression varies between studies,  
8 most indicate that a significant proportion (range, 25%-65%) of patients with gastric  
9 cancer overexpress PD-L1, regardless of scoring method (2,5). Current first-line  
10 standard-of-care therapy for patients with unresectable locally advanced, recurrent,  
11 or metastatic disease remains combination chemotherapy with a fluoropyrimidine  
12 and a platinum-based agent, with trastuzumab added to the regimen for patients with  
13 HER2-positive disease (6). Various agents are recommended for use in second-line  
14 therapy, including chemotherapies and immunotherapies. The anti-PD-1 inhibitor  
15 pembrolizumab is approved for the treatment of patients with gastric cancer and is  
16 among the preferred regimens as second-line therapy for patients with microsatellite  
17 instability-high (MSI-H) or mismatch protein repair-deficient (dMMR) gastric cancer.  
18 Based on results from KEYNOTE-059, pembrolizumab is also approved as third-line  
19 or later therapy for patients with tumors that have a PD-L1 combined positive score  
20 (CPS)  $\geq 1$  (7). The ability of PD-L1 expression to predict response to immune  
21 checkpoint inhibitors beyond the approved use of third-line pembrolizumab for  
22 gastric cancer expressing CPS  $\geq 1$  remains unclear.

23 Pembrolizumab has demonstrated antitumor activity in patients with PD-L1-positive  
24 advanced gastric or gastroesophageal junction (GEJ) cancer in phase 2 and 3 trials  
25 (8-10). In cohort 1 of the global, single-arm, multicohort, phase 2 KEYNOTE-059

1 study, patients with advanced gastric or GEJ cancer whose disease progressed after  
2  $\geq 2$  lines of therapy received pembrolizumab monotherapy (8). Among the 148  
3 patients with CPS  $\geq 1$  tumors that were either microsatellite stable or had unknown  
4 MMR/dMMR status, 23 patients had a response, for an objective response rate  
5 (ORR) of 15.5%. The median duration of response (DOR) among these patients was  
6 16.3 months (range, 1.6+ to 17.3+), and safety was manageable. Although the ORR  
7 was higher in patients with PD-L1–positive tumors (15.5%) than in patients with PD-  
8 L1–negative tumors (6.4%), the responses observed in the PD-L1–negative  
9 population indicated an incomplete separation of responders from nonresponders  
10 based on CPS  $\geq 1$  (8).

11 In the randomized, open-label, phase 3 KEYNOTE-061 study, patients with  
12 advanced gastric or GEJ cancer whose disease progressed after first-line therapy  
13 received pembrolizumab or paclitaxel (9). Among the 395 patients with CPS  $\geq 1$   
14 tumors, pembrolizumab did not significantly prolong survival compared with  
15 paclitaxel (median OS, 9.1 vs 8.3 months; HR, 0.82; 95% CI, 0.66-1.03; one-sided  $P$   
16 = 0.0421). Although there was also no improvement in progression-free survival  
17 (PFS) or response rates, pembrolizumab monotherapy did offer more durable  
18 responses and a favorable safety profile compared with paclitaxel.

19 The randomized phase 3 KEYNOTE-062 study enrolled patients with advanced  
20 gastric or GEJ cancer who had not previously received therapy for advanced disease  
21 (10). Among the 506 patients with CPS  $\geq 1$  tumors, OS with pembrolizumab was  
22 noninferior to that with cisplatin plus 5-fluorouracil (5-FU) or capecitabine (HR, 0.91;  
23 99.2% CI, 0.69-1.18; prespecified noninferiority margin, 1.2). Pembrolizumab did not  
24 improve PFS or ORR but demonstrated a better tolerability profile than  
25 chemotherapy.

1 The predictive value of PD-L1 in gastric cancer is unclear given that multiple studies  
2 with immune checkpoint inhibitors other than pembrolizumab have demonstrated  
3 similar responses in patients regardless of PD-L1 status. In addition, the absence of  
4 a standard PD-L1 immunohistochemistry (IHC) assay and scoring method across  
5 studies makes cross-study comparisons difficult. In the phase 1/2 CheckMate-032  
6 study of patients with chemotherapy-refractory advanced esophagogastric cancer,  
7 responses were observed with nivolumab alone and with nivolumab in combination  
8 with ipilimumab regardless of PD-L1 status (defined as tumor proportion score [TPS]  
9 with a cutoff of 1% using PD-L1 IHC 28-8 pharmDx [Agilent Technologies]) (11).  
10 Response rates were numerically higher in patients with PD-L1–positive tumors, but  
11 the sample sizes were small. The phase 3 ATTRACTION-2 study randomly assigned  
12 patients with advanced gastric or GEJ cancer who had previously received two or  
13 more lines of therapy to receive nivolumab or placebo (12). In an exploratory  
14 analysis evaluating PD-L1 expression (defined as TPS with a cutoff of 1%) and OS,  
15 median OS was numerically higher with nivolumab than with placebo regardless of  
16 PD-L1 positivity. Outcomes based on PD-L1 status were also evaluated with  
17 avelumab in patients with gastric cancer in the phase 1b JAVELIN Solid Tumor trial  
18 (13), the phase 3 JAVELIN Gastric 300 trial (14), and the phase 3 JAVELIN Gastric  
19 100 trial (15). There were no significant differences in outcomes among patients with  
20 PD-L1–positive or –negative tumors. For all three studies, PD-L1–positive was  
21 defined as  $\geq 1\%$  of tumor cells using PD-L1 IHC 73-10 pharmDx. However,  
22 exploratory analysis using 22C3 pharmDx suggested a survival benefit with  
23 maintenance avelumab over chemotherapy in patients with CPS  $\geq 1$  tumors (HR,  
24 0.72; 95% CI, 0.49-1.05) (15,16).

1 In addition to measuring PD-L1 expression on tumor cells and before the  
2 development of CPS, pembrolizumab studies assessed response by mononuclear  
3 inflammatory cell density score (MIDS). The CheckMate-032, ATTRACTION-2, and  
4 JAVELIN Gastric studies did not evaluate MIDS, which might have provided different  
5 results, highlighting the need to continue exploring patient subgroups likely to  
6 respond to PD-1/PD-L1 inhibitors.

7 Among the limited PD-L1 data available for patients with gastric or GEJ cancer, the  
8 open-label phase 1b KEYNOTE-012 study (NCT01848834) evaluated the antitumor  
9 activity of pembrolizumab in patients with PD-L1–positive recurrent or metastatic  
10 adenocarcinoma of the stomach or GEJ (17). PD-L1 expression was measured in 35  
11 patients with available biopsy samples at baseline using TPS and MIDS. When  
12 response was evaluated using TPS, ORR was 24% for patients with TPS 0%, 0% for  
13 patients with TPS 1% to 49%, and 33% for patients with TPS  $\geq$ 50%. When response  
14 was evaluated using MIDS, ORR was 0% for MIDS 0, 25% for MIDS 1, 12% for  
15 MIDS 2, 44% for MIDS 3, and 0% for MIDS 4. Although conclusions are limited  
16 because of the small numbers of patients, these findings do not demonstrate an  
17 association between response and high PD-L1 expression using TPS though there  
18 may be an association between high MIDS and response. The study provided  
19 evidence of the importance of measuring PD-L1 expression in immune cells, as  
20 opposed to tumor cells exclusively, in patients with gastric cancer based on analysis  
21 of the results and on the use of CPS. In the CheckMate-649 study in patients with  
22 gastric or GEJ cancer or esophageal adenocarcinoma, nivolumab plus  
23 chemotherapy provided statistically significant improvements in OS and PFS  
24 compared with chemotherapy alone in patients with CPS  $\geq$ 5 tumors (18). A  
25 statistically significant OS benefit was also shown in patients with CPS  $\geq$ 1 tumors

1 and in the all-randomly assigned population, showing an enrichment of OS benefit  
2 as the CPS cutoff increased (18).

3 A recent meta-analysis of randomized controlled trials of PD-1/PD-L1 inhibitors in  
4 patients with advanced solid tumors, including three trials in patients with gastric or  
5 GEJ cancer, suggested that enriching for PD-L1 status by increasing the minimum  
6 proportion of stained cells can increase efficacy in a dose-response relationship (19).

7 Based on the experience with pembrolizumab in gastric cancer clinical trials, CPS  
8  $\geq 10$  was chosen for further evaluation in this analysis to better delineate the  
9 specificity of CPS as a predictor of clinical outcomes with pembrolizumab  
10 monotherapy. Herein, we characterize clinical outcomes with pembrolizumab  
11 monotherapy across lines of therapy in patients with CPS  $\geq 10$  advanced gastric or  
12 GEJ cancer by analyzing patients with CPS  $\geq 10$  tumors enrolled in cohort 1 of  
13 KEYNOTE-059 (post hoc analysis), in KEYNOTE-061 (post hoc analysis), and in  
14 KEYNOTE-062 (primary analysis).

## 15 **Methods**

### 16 **Study design**

17 The designs of KEYNOTE-059 cohort 1, KEYNOTE-061, and KEYNOTE-062 have  
18 been described (8-10). In brief, all three trials evaluated the efficacy of  
19 pembrolizumab 200 mg administered intravenously every 3 weeks for up to 35  
20 cycles (~2 years) for locally advanced, unresectable, or metastatic gastric or GEJ  
21 adenocarcinoma. In KEYNOTE-059, patients were enrolled regardless of PD-L1  
22 expression status. In KEYNOTE-061, patients were randomly assigned 1:1 to  
23 receive pembrolizumab monotherapy or standard-dose paclitaxel administered  
24 intravenously. Initially, patients were enrolled regardless of PD-L1 expression status,

1 but enrollment was then restricted to those with CPS  $\geq$ 1 tumors (9). In KEYNOTE-  
2 062, patients were randomly assigned (1:1:1) to receive pembrolizumab  
3 monotherapy, pembrolizumab plus chemotherapy (standard-dose cisplatin plus 5-FU  
4 or capecitabine administered intravenously or orally, respectively), or placebo plus  
5 chemotherapy (hereafter referred to as chemotherapy); patients were required to  
6 have CPS  $\geq$ 1 tumors (10). The present analysis of KEYNOTE-062 includes only  
7 those patients enrolled in the pembrolizumab monotherapy and chemotherapy  
8 groups.

9 PD-L1 expression was assessed in archival or newly collected tumor samples using  
10 PD-L1 IHC 22C3 pharmDx (Agilent Technologies) (8-10) and was measured using  
11 CPS (defined as the number of PD-L1–staining cells [tumor cells, lymphocytes,  
12 macrophages] as a proportion of the total number of tumor cells multiplied by 100)  
13 (20). Samples were not reanalyzed for this analysis. For all three trials, the primary  
14 analysis populations were patients with CPS  $\geq$ 1 tumors. Analysis of outcomes in  
15 patients with CPS  $\geq$ 10 was post hoc for KEYNOTE-059 and KEYNOTE-061 but was  
16 part of the prespecified primary analysis for KEYNOTE-062.

17 The study protocols and all amendments were approved by the institutional review  
18 board or ethics committee at each participating institution. The studies were  
19 conducted in accordance with the protocol and its amendments and with Good  
20 Clinical Practice guidelines. All patients provided written informed consent before  
21 enrollment.

1

## 2 **Outcomes and statistical considerations**

3 For the present analysis, we evaluated clinical outcomes in all patients with CPS  $\geq 10$   
4 tumors who received  $\geq 1$  dose of study drug. Results were analyzed for each of the  
5 trials separately (ie, results were not pooled across trials). Efficacy end points  
6 included OS, PFS, ORR (complete response [CR] plus partial response [PR]), and  
7 DOR. Response was assessed by central review per RECIST v1.1. The Kaplan-  
8 Meier method was used to calculate OS, PFS, and DOR. Hazard ratios and their  
9 associated 95% CIs were calculated using stratified Cox proportional hazards  
10 models with Efron's method of tie handling. In KEYNOTE-059, ORR was calculated  
11 using the Clopper-Pearson method. In KEYNOTE-061 and KEYNOTE-062,  
12 treatment differences in OS and PFS were assessed using the log-rank test with  
13 hazard ratios estimated using a stratified Cox regression model. Response rate was  
14 compared using the Miettinen and Nurminen method. In KEYNOTE-062, the  
15 prespecified hypotheses included OS analysis of pembrolizumab versus  
16 chemotherapy in patients with PD-L1 CPS  $\geq 10$  with a planned enrollment for 80%  
17 power to detect a hazard ratio of 0.58 at alpha = 0.75% (one-sided). Full details of  
18 the statistical analysis have been published (10).

19 Data cutoff dates for this analysis were August 8, 2018, for KEYNOTE-059, October  
20 26, 2017, for KEYNOTE-061, and March 26, 2019, for KEYNOTE-062.

21 All three trials are registered with ClinicalTrials.gov (NCT02335411 [KEYNOTE-059],  
22 NCT02370498 [KEYNOTE-061], NCT02494583 [KEYNOTE-062]).



1

## 2 **Results**

3 All patients enrolled in KEYNOTE-059, KEYNOTE-061, and KEYNOTE-062 had  
4 evaluable tumor samples for PD-L1 status with the exception of two patients each in  
5 KEYNOTE-059 cohort 1 and KEYNOTE-061; 31% (46 of 148), 18% (108 of 592),  
6 and 36% (182 of 506), respectively, had CPS  $\geq$ 10 tumors (Table 1). Follow-up  
7 duration is reported in Table 1. Baseline characteristics for patients with CPS  $\geq$ 10  
8 tumors were generally comparable between the pembrolizumab and chemotherapy  
9 groups in KEYNOTE-061 and KEYNOTE-062 (Table 2).

10

### 11 **Overall and progression-free survival in the CPS $\geq$ 10 population**

12 In KEYNOTE-059, median OS was 8 months (95% CI, 5.8-11.1). OS rates were 33%  
13 at 12 months and 15% at 24 months (Figure 1A). In KEYNOTE-061, median OS was  
14 10 months (95% CI, 5.9-17.3) with pembrolizumab and 8 months (95% CI, 5.1-9.9)  
15 with chemotherapy (HR, 0.64; 95% CI, 0.41-1.02). The OS rates for pembrolizumab  
16 and chemotherapy were 45% versus 23% at 12 months and 35% versus 18% at 18  
17 months, respectively (Figure 1B). In KEYNOTE-062, median OS was 17 months  
18 (95% CI, 9.1-23.1) with pembrolizumab and 11 months (95% CI, 8.5-13.8) with  
19 chemotherapy (HR 0.69; 95% CI, 0.49-0.97). The OS rates for pembrolizumab and  
20 chemotherapy were 57% versus 47% at 12 months and 39% versus 22% at 24  
21 months, respectively (Figure 1C). Kaplan-Meier curves showed improved OS in the  
22 CPS  $\geq$ 10 population compared with the CPS  $\geq$ 1 population from the original studies  
23 (Figure 1A-C).

1 In KEYNOTE-059, median PFS was 2 months (95% CI, 2.0-3.4) (Figure 2A). In  
2 KEYNOTE-061, median PFS was 3 months (95% CI, 1.4-3.1) with pembrolizumab  
3 and 3 months (95% CI, 2.7-4.1) with chemotherapy (HR, 0.86; 95% CI, 0.56-1.33)  
4 (Figure 2B). In KEYNOTE-062, median PFS was 3 months (95% CI, 1.6-5.4) with  
5 pembrolizumab and 6 months (95% CI, 5.4-6.9) with chemotherapy (HR, 1.09; 95%  
6 CI, 0.79-1.49) (Figure 2C). Kaplan-Meier curves of PFS in the CPS  $\geq 10$  population  
7 compared with the CPS  $\geq 1$  population from the original studies are shown in Figure  
8 2A-C.

9

## 10 **Response in the CPS $\geq 10$ population**

11 In KEYNOTE-059, the confirmed ORR was 17% (n = 8); one patient achieved CR  
12 and seven achieved PR (Table 3). The median DOR was 21 months (range, 3+ to  
13 35+) (Figure 3A); five responders (71%) had a response duration  $\geq 6$  months. In  
14 KEYNOTE-061, confirmed ORR was 25% (n = 13) for pembrolizumab-treated  
15 patients; five patients achieved CR and 8 PR (Table 3). In chemotherapy-treated  
16 patients, the ORR was 9% (n = 5); one patient achieved CR and four achieved PR.  
17 The median DOR was not reached (range, 4 to 26+ months) for pembrolizumab and  
18 was 7 months (range, 3 to 7) for chemotherapy (Figure 3B); 10 responders (77%)  
19 treated with pembrolizumab and one responder (53%) treated with chemotherapy  
20 had a response duration  $\geq 6$  months. In KEYNOTE-062, confirmed ORR was 25% (n  
21 = 23) for pembrolizumab-treated patients; seven patients achieved CR and 16  
22 achieved PR (Table 3). In chemotherapy-treated patients, the ORR was 38% (n =  
23 34); four patients achieved CR and 30 achieved PR. The median DOR was 19  
24 months (range, 1+ to 34+) for pembrolizumab and 7 months (range, 2+ to 30+) for  
25 chemotherapy (Figure 3C); 18 responders (82%) treated with pembrolizumab and 16

1 responders (53%) treated with chemotherapy had a response duration  $\geq 6$  months.  
2 Kaplan-Meier curves showed DOR in the CPS  $\geq 10$  population compared with the  
3 CPS  $\geq 1$  population from the original studies.  
4

## 5 **Discussion**

6 In the primary analysis of patients with CPS  $\geq 1$  gastric or GEJ cancer who were  
7 enrolled in KEYNOTE-059 cohort 1, KEYNOTE-061, and KEYNOTE-062,  
8 pembrolizumab monotherapy demonstrated promising antitumor activity. In  
9 KEYNOTE-061 and KEYNOTE-062, pembrolizumab was associated with an  
10 improved safety profile, but it did not significantly improve survival outcomes  
11 compared with chemotherapy (8-10). The current analysis in patients with CPS  $\geq 10$   
12 tumors revealed durable responses and elongation of the tails of the Kaplan-Meier  
13 OS curves with pembrolizumab monotherapy across lines of therapy. However,  
14 pembrolizumab monotherapy did not numerically improve PFS in this analysis of  
15 KEYNOTE-061 or KEYNOTE-062 or ORR in KEYNOTE-062 compared with  
16 chemotherapy. The relationship between OS and PFS in clinical trials of immune  
17 checkpoint inhibitors has been investigated in several tumor types, including gastric  
18 cancer; differences in PFS and OS benefit as well as direction of outcomes are likely  
19 attributable to the mechanism of action, specific disease, and population under study  
20 (21).

21 In addition to other factors including MSI and HER2 status, PD-L1 expression can  
22 provide important guidance for patient selection in clinical practice and is used to  
23 select patients eligible for pembrolizumab therapy. Based on a recent meta-analysis  
24 showing an expression–response relationship between PD-L1 and OS, we evaluated

1 whether an increase in PD-L1 positivity from CPS  $\geq 1$  to CPS  $\geq 10$  resulted in  
2 improved responses to pembrolizumab (19). In comparing the current analysis of  
3 CPS  $\geq 10$  tumors, in which patient numbers are small, with previously reported data  
4 in patients with CPS  $\geq 1$  tumors, we observed numerically higher median OS, ORR,  
5 and DOR with pembrolizumab therapy by increasing the CPS cutoff from  $\geq 1$  to  $\geq 10$ .  
6 In KEYNOTE-059, median OS increased from 6 months to 8 months, and the 12-  
7 month OS rate increased from 24% to 33%, the ORR increased from 16% to 17%,  
8 and the DOR increased from 16 to 21 months (8). In KEYNOTE-061, median OS  
9 increased from 9 to 10 months, and the 12-month OS rate increased from 40% to  
10 45%, the 18-month OS rate increased from 26% to 35%, the ORR increased from  
11 16% to 25%, and the DOR increased from 18 months to not reached (9,22). In  
12 KEYNOTE-062, median OS increased from 11 to 17 months, and the 12-month OS  
13 rate increased from 47% to 57%, the 24-month OS rate increased from 27% to 39%,  
14 the ORR increased from 15% to 25%, and the DOR increased from 14 to 19 months  
15 (10). In KEYNOTE-061, the hazard ratio for OS decreased from 0.82 for CPS  $\geq 1$  to  
16 0.64 for CPS  $\geq 10$  (9), and in KEYNOTE-062, the hazard ratio for OS decreased from  
17 0.91 for CPS  $\geq 1$  to 0.69 for CPS  $\geq 10$  (10). In KEYNOTE-062, the combination of  
18 pembrolizumab and chemotherapy was not superior to chemotherapy for OS in  
19 patients with CPS  $\geq 1$  or CPS  $\geq 10$  tumors (10). Thus, increasing the CPS cutoff to  
20 CPS  $\geq 10$  in patients with gastric or GEJ cancer may provide greater treatment  
21 benefit for patients eligible to receive pembrolizumab monotherapy.

22

23 The clinical benefit of using higher PD-L1 cutoffs with pembrolizumab has also been  
24 evaluated in other tumor types. Evidence from the phase 3 KEYNOTE-181 study in  
25 patients with advanced/metastatic esophageal cancer demonstrated a significant

1 benefit with a high CPS cutoff. Among 222 patients with CPS  $\geq 10$  tumors, second-  
2 line pembrolizumab monotherapy significantly improved OS versus chemotherapy  
3 (HR, 0.69; 95% CI, 0.52-0.93;  $P = 0.0074$ ) (23). In the phase 3 KEYNOTE-048 trial in  
4 patients with untreated, locally incurable, recurrent or metastatic head and neck  
5 squamous cell carcinoma, pembrolizumab monotherapy demonstrated a greater  
6 survival benefit than cetuximab plus chemotherapy in the population with CPS  $\geq 20$   
7 tumors (HR, 0.61; 95% CI, 0.45-0.83;  $P = 0.0007$ ) than in the population with CPS  $\geq 1$   
8 tumors (HR, 0.78; 95% CI, 0.64-0.96;  $P = 0.0086$ ) (24). In the single-arm phase 2  
9 KEYNOTE-052 study in patients with locally advanced and unresectable or  
10 metastatic urothelial cancer, response to pembrolizumab monotherapy increased  
11 with increasing CPS cutoff (CPS  $\geq 1$ , 11%; CPS  $> 1$  to  $< 10$ , 20%; CPS  $\geq 10$ , 39%)  
12 (25). In patients with advanced recurrent ovarian cancer enrolled in the phase 2  
13 KEYNOTE-100 study, higher PD-L1 expression also correlated with higher response  
14 to pembrolizumab monotherapy (CPS  $\geq 1$ , 5.7%; CPS  $\geq 10$ , 10.0%) (26).

15 Limitations of the current analysis include the post hoc nature of KEYNOTE-059  
16 cohort 1 and KEYNOTE-061 and the small patient numbers within each subgroup.  
17 Furthermore, biomarker enrichment can predict response, but prevalence can  
18 decrease with higher CPS enrichment. Taken together, definitive conclusions cannot  
19 be made from this analysis.

20 In this analysis, these data suggest that pembrolizumab monotherapy given as first-  
21 line (KEYNOTE-062), second-line (KEYNOTE-061), and third-line and beyond  
22 (KEYNOTE-059) therapy showed a clinically meaningful median and long-term  
23 survival benefit in patients with CPS  $\geq 10$  gastric or GEJ tumors and more durable  
24 responses compared with chemotherapy. This study adds to the existing body of  
25 evidence that the immunohistochemical PD-L1 CPS is one clinically relevant

1 biomarker that can lead to improved clinical efficacy and validates the importance of  
2 refining the PD-L1 CPS biomarker companion diagnostic as we attempt to define the  
3 optimal role of pembrolizumab in gastric cancer. Although evidence from the current  
4 analysis and in other tumor types has validated scoring of PD-L1 expression using  
5 tumor and immune cells (ie, CPS) to predict response to pembrolizumab, large and  
6 prospective trials are needed to validate the optimal CPS cutoff for patients with  
7 gastric or GEJ cancer.

8

## 1 **Acknowledgments**

2 The authors thank the patients and their families and all investigators and site  
3 personnel. The authors also thank Eric Rubin, MD, of Merck Sharp & Dohme Corp.,  
4 a subsidiary of Merck & Co., Inc., Kenilworth, NJ, USA. Medical writing and/or  
5 editorial assistance was provided by Holly C. Cappelli, PhD, CMPP, and Brian  
6 Szente, PhD, of ApotheCom (Yardley, PA, USA). This assistance was funded by  
7 Merck Sharp & Dohme Corp., a subsidiary of Merck & Co., Inc., Kenilworth, NJ,  
8 U.S.A.

9

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11 **Conception, design, or planning of the study:** ZAW, CSF, JT, KS, EVC, EV, SS

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20 UK, CSS, PB, SS, JC

21 **Final approval of the version to be published:** All authors

22

## 23 **Data Sharing**

1 Merck Sharp & Dohme Corp., a subsidiary of Merck & Co., Inc., Kenilworth, NJ, USA  
2 (MSD) is committed to providing qualified scientific researchers access to  
3 anonymized data and clinical study reports from the company's clinical trials for the  
4 purpose of conducting legitimate scientific research. MSD is also obligated to protect  
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6 evaluating and fulfilling requests for sharing company clinical trial data with qualified  
7 external scientific researchers. The MSD data sharing website (available at:  
8 [http://engagezone.msd.com/ds\\_documentation.php](http://engagezone.msd.com/ds_documentation.php)) outlines the process and  
9 requirements for submitting a data request. Applications will be promptly assessed  
10 for completeness and policy compliance. Feasible requests will be reviewed by a  
11 committee of MSD subject matter experts to assess the scientific validity of the  
12 request and the qualifications of the requestors. In line with data privacy legislation,  
13 submitters of approved requests must enter into a standard data-sharing agreement  
14 with MSD before data access is granted. Data will be made available for request  
15 after product approval in the US and EU or after product development is  
16 discontinued. There are circumstances that may prevent MSD from sharing  
17 requested data, including country or region-specific regulations. If the request is  
18 declined, it will be communicated to the investigator. Access to genetic or exploratory  
19 biomarker data requires a detailed, hypothesis-driven statistical analysis plan that is  
20 collaboratively developed by the requestor and MSD subject matter experts; after  
21 approval of the statistical analysis plan and execution of a data-sharing agreement,  
22 MSD will either perform the proposed analyses and share the results with the  
23 requestor or will construct biomarker covariates and add them to a file with clinical  
24 data that is uploaded to an analysis portal so that the requestor can perform the  
25 proposed analyses.



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21

1 **Tables**

2 **Table 1.** Incidence of PD-L1–positive tumors and follow-up of patients with CPS ≥10 tumors.

Incidence	KEYNOTE-059	KEYNOTE-061		KEYNOTE-062	
	Pembrolizumab	Pembrolizumab	Chemotherapy	Pembrolizumab	Chemotherapy
<b>Patients with CPS ≥1, <i>n/N</i> (%)</b>	148/259 (57)	196/296 (66)	199/296 (67)	256/256 (100)	250/250 (100)
<b>Patients with CPS ≥10, <i>n/N</i> (%)</b>	46/259 (18)	53/296 (18)	55/296 (19)	92/256 (36)	90/250 (36)
<b>Median follow-up (range), months</b>	6 (<1-38)	10 (<1-28)	8 (1-27)	17 (<1-38)	11 (1-35)

3 Abbreviations: CPS, combined positive score; PD-L1, programmed death ligand 1.

1 **Table 2.** Baseline characteristic of patients with CPS  $\geq 10$  tumors.

Characteristic	KEYNOTE-059	KEYNOTE-061		KEYNOTE-062	
	Pembrolizumab <i>n</i> = 46	Pembrolizumab <i>n</i> = 53	Chemotherapy <i>n</i> = 55	Pembrolizumab <i>n</i> = 92	Chemotherapy <i>n</i> = 90
<b>Median age, years (range)</b>	63 (30-79)	66 (35-79)	60 (37-76)	59 (20-81)	65 (31-82)
<b>Male, <i>n</i> (%)</b>	34 (74)	35 (66)	35 (64)	64 (70)	64 (71)
<b>Race, <i>n</i> (%)</b>					
<b>White</b>	38 (83)	34 (64)	38 (69)	58 (63)	58 (64)
<b>Asian</b>	3 (7)	17 (32)	13 (24)	27 (29)	23 (26)
<b>Black</b>	1 (2)	1 (2)	1 (2)	2 (2)	1 (1)
<b>American Indian or Alaska Native</b>	0	1 (2)	2 (4)	3 (3)	5 (6)
<b>Multiple</b>	1 (2)	0	1 (2)	1 (1)	3 (3)
<b>Missing</b>	3 (7)	0	0	0	0
<b>ECOG PS, <i>n</i> (%)</b>					
<b>0</b>	25 (54)	24 (45)	24 (44)	47 (51)	34 (38)
<b>1</b>	21 (46)	29 (55)	31 (56)	45 (49)	56 (62)
<b>No. of previous therapies for metastatic disease, <i>n</i> (%)</b>					
<b>2</b>	21 (46)	–	–	–	–
<b>3</b>	14 (30)	–	–	–	–

Characteristic	KEYNOTE-059	KEYNOTE-061		KEYNOTE-062	
	Pembrolizumab <i>n</i> = 46	Pembrolizumab <i>n</i> = 53	Chemotherapy <i>n</i> = 55	Pembrolizumab <i>n</i> = 92	Chemotherapy <i>n</i> = 90
4	8 (17)	–	–	–	–
≥5	3 (7)	–	–	–	–
<b>Tumor site, <i>n</i> (%)<sup>a</sup></b>					
<b>Stomach</b>	22 (48)	35 (66)	35 (64)	68 (74)	69 (77)
<b>GEJ</b>	23 (50)	18 (34)	20 (36)	24 (26)	20 (22)
<b>MSI-H, <i>n</i> (%)</b>	2 (4)	8 (15)	5 (9)	11 (12)	10 (11)

1 Abbreviations: CPS, combined positive score; ECOG PS, Eastern Cooperative Oncology Group performance status; GEJ,  
2 gastroesophageal junction. MSI-H, microsatellite instability-high.

3 <sup>a</sup>In KEYNOTE-062, one patient (1.1%) had a tumor site of “missing.”

4

1 **Table 3.** Response summary in patients with CPS  $\geq 10$  tumors.

	KEYNOTE-059	KEYNOTE-061		KEYNOTE-062	
	Pembrolizumab <i>n</i> = 46	Pembrolizumab <i>n</i> = 53	Chemotherapy <i>n</i> = 55	Pembrolizumab <i>n</i> = 92	Chemotherapy <i>n</i> = 90
<b>ORR, <i>n</i> (%)</b>	8 (17)	13 (25)	5 (9)	23 (25)	34 (38)
<b>CR</b>	1 (2)	5 (9)	1 (2)	7 (8)	4 (4)
<b>PR</b>	7 (15)	8 (15)	4 (7)	16 (17)	30 (33)
<b>SD</b>	9 (20)	12 (23)	28 (51)	23 (25)	39 (43)
<b>PD</b>	24 (52)	23 (43)	11 (20)	29 (32)	8 (9)
<b>Not available<sup>a</sup></b>	5 (11)	5 (9)	11 (20)	17 (19)	9 (10)
<b>Median time to response, months, (range)</b>	2 (2-4)	2 (1-3)	2 (1-4)	1 (1-7)	2 (1-7)
<b>Median DOR, months, (range)</b>	21 (3+ to 35+)	NR (4 to 26+)	7 (3 to 7)	19 (1+ to 34+)	7 (2+ to 30+)

- 2 Abbreviations: CI, confidence interval; CPS, combined positive score; CR, complete response; DOR, duration of response; NR, not  
3 reached; PD, progressive disease; PR, partial response; SD, stable disease.



- 1 <sup>a</sup>Indicates patients without an evaluable assessment or patients who had a baseline assessment but no post-baseline assessment
- 2 as of the data cutoff date (due to missing, discontinuing, or death before the first post-baseline assessment).

1 **FIGURE LEGENDS**

2

3 **Figure 1.** Kaplan-Meier estimates of OS in patients with CPS  $\geq 1$  and CPS  $\geq 10$   
4 tumors. **(A)** Patients receiving third-line and beyond pembrolizumab in KEYNOTE-  
5 059 cohort 1. **(B)** Patients receiving second-line pembrolizumab or chemotherapy in  
6 KEYNOTE-061. **(C)** Patients receiving first-line pembrolizumab or chemotherapy in  
7 KEYNOTE-062. CPS, combined positive score; OS, overall survival.

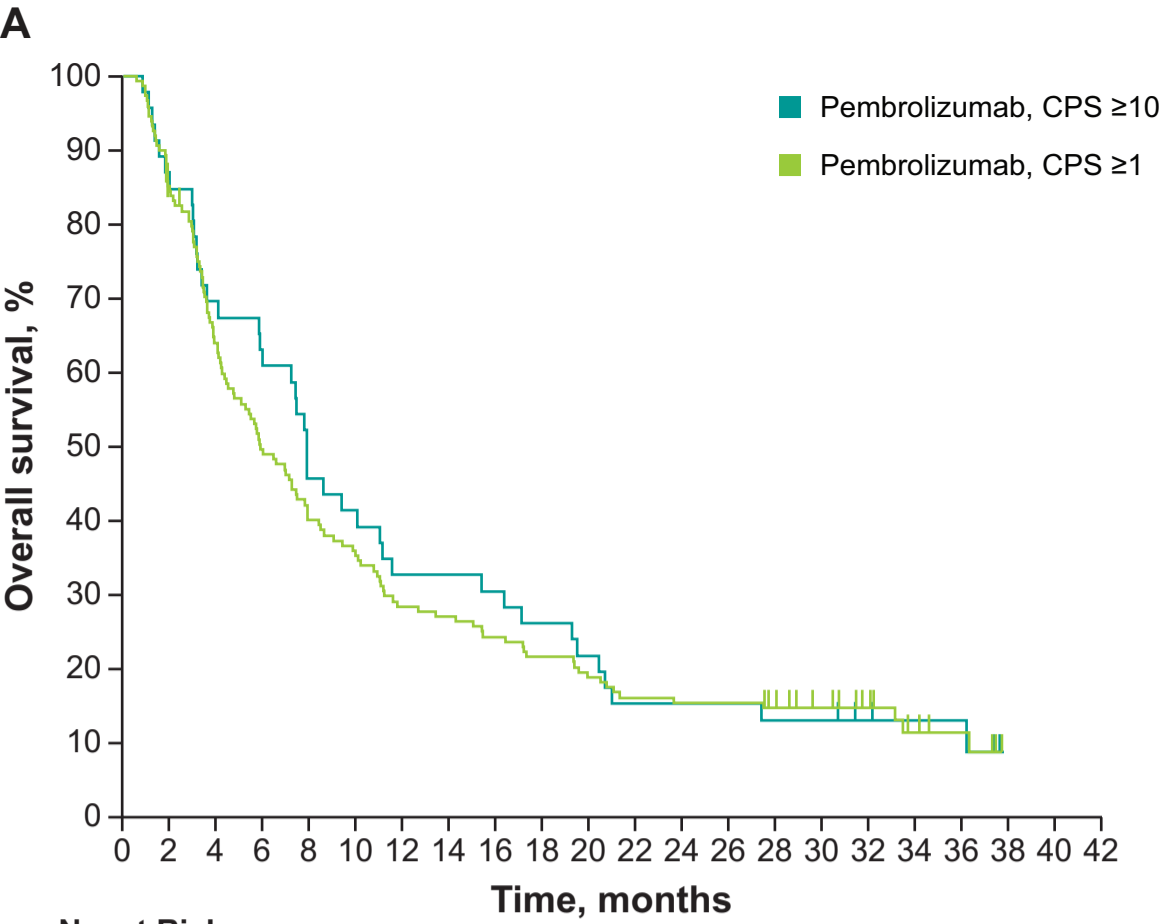
8

9 **Figure 2.** Kaplan-Meier estimates of PFS in patients with CPS  $\geq 1$  and CPS  $\geq 10$   
10 tumors. **(A)** Patients receiving third-line and beyond pembrolizumab in KEYNOTE-  
11 059 cohort 1. **(B)** Patients receiving second-line pembrolizumab or chemotherapy in  
12 KEYNOTE-061. **(C)** Patients receiving first-line pembrolizumab or chemotherapy in  
13 KEYNOTE-062. CPS, combined positive score; PFS, progression-free survival.

14

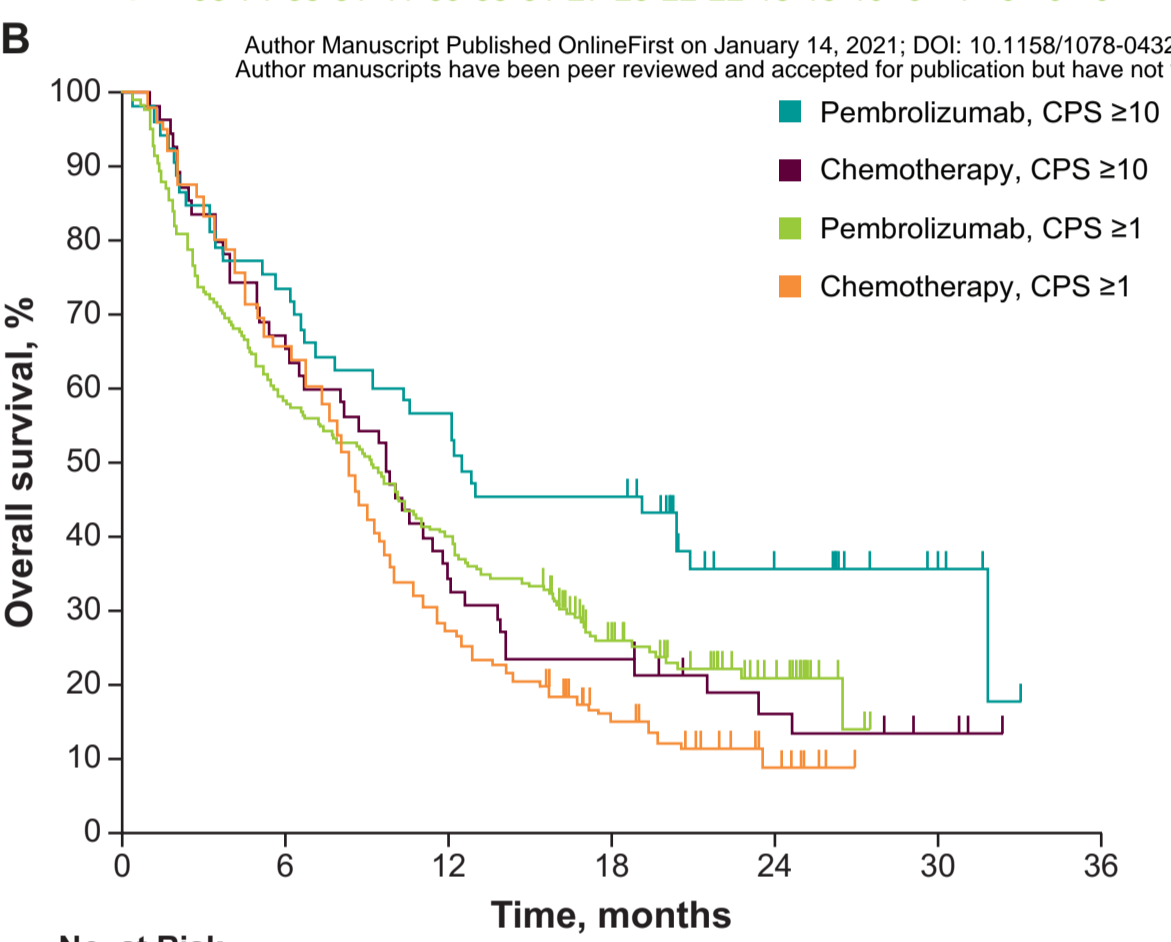
15 **Figure 3.** Kaplan-Meier estimates of DOR in patients with CPS  $\geq 1$  and CPS  $\geq 10$   
16 tumors. **(A)** Patients receiving third-line and beyond pembrolizumab in KEYNOTE-  
17 059 cohort 1. **(B)** Patients receiving second-line pembrolizumab or chemotherapy in  
18 KEYNOTE-061. **(C)** Patients receiving first-line pembrolizumab or chemotherapy in  
19 KEYNOTE-062. CPS, combined positive score; DOR, duration of response.

20



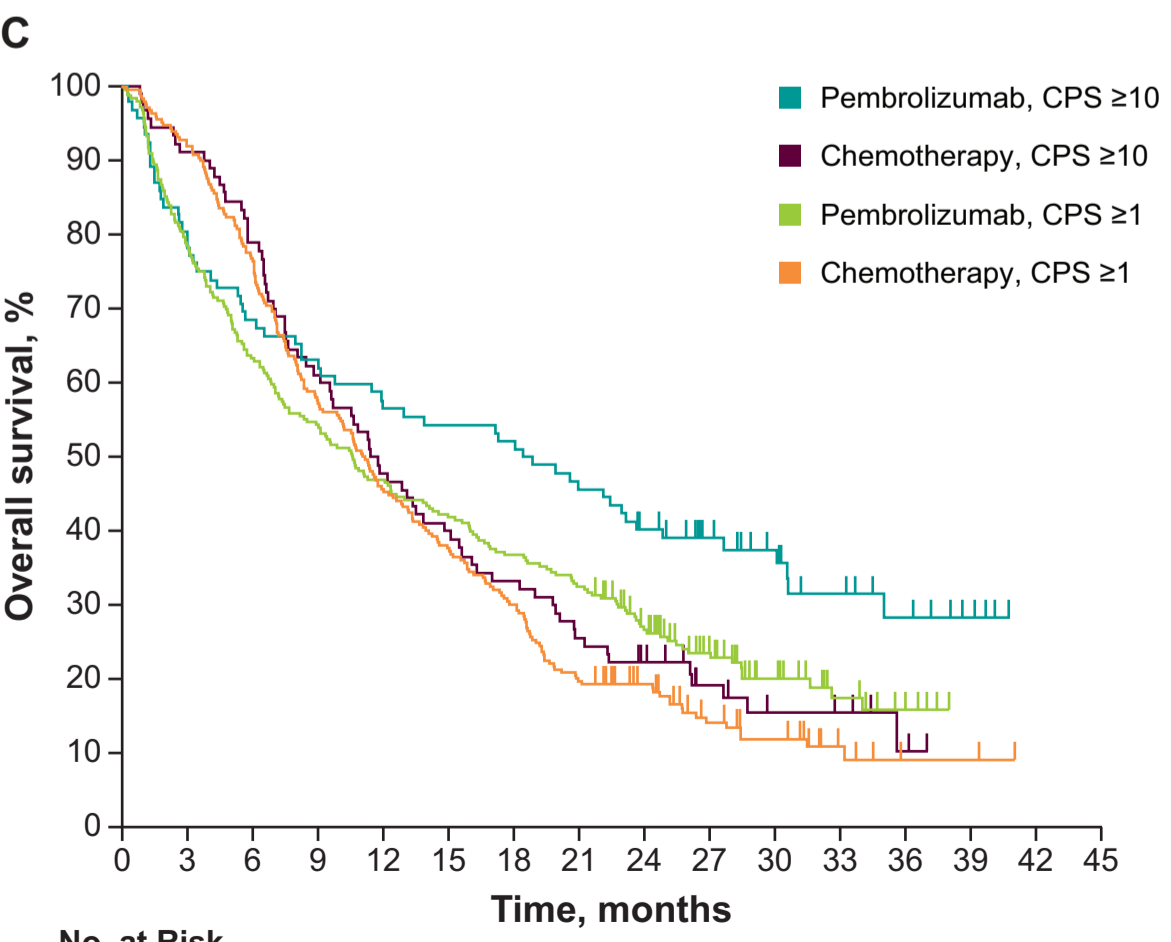
**No. at Risk**

46	39	32	28	21	19	15	15	14	12	10	7	7	7	6	6	4	3	3	0	0	0
148	124	93	71	58	51	41	39	35	31	27	23	22	22	18	15	10	6	4	0	0	0



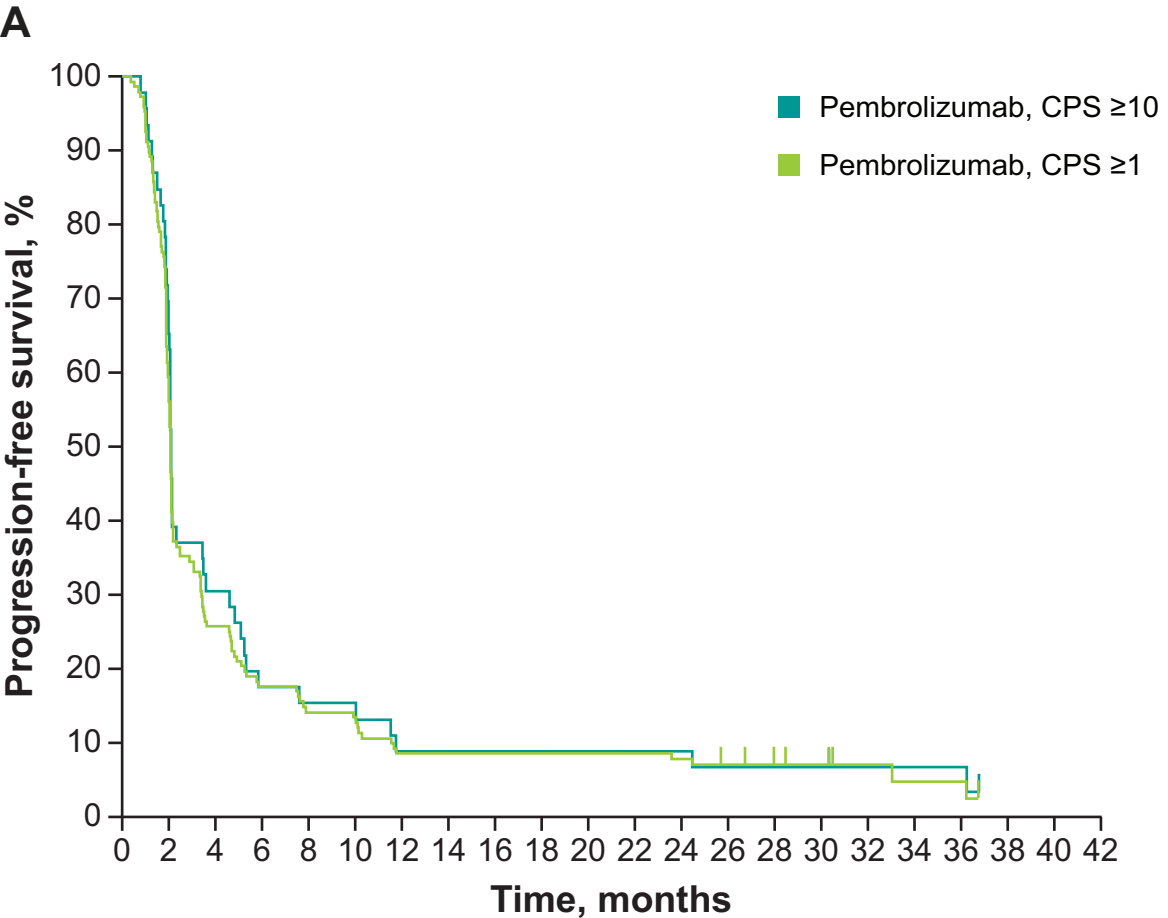
**No. at Risk**

53	34	24	13	6	0	0
55	33	13	7	4	0	0
196	114	78	39	14	0	0
199	130	54	23	7	0	0



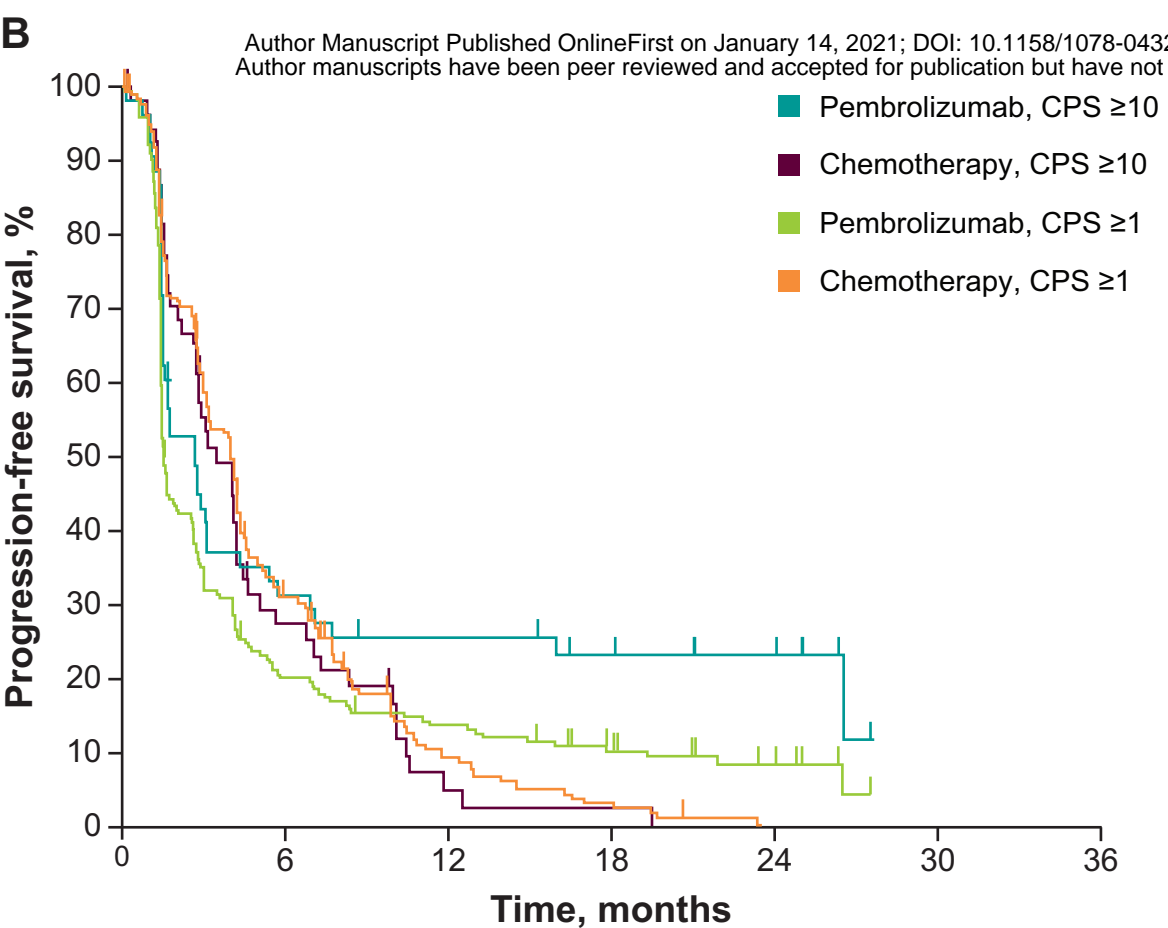
**No. at Risk**

92	71	62	56	52	50	45	40	32	22	13	9	4	0	0	0
90	82	70	53	42	33	28	20	16	8	7	3	0	0	0	0
256	201	162	139	120	107	94	83	59	38	23	12	4	0	0	0
250	230	192	144	114	94	75	49	38	21	15	9	2	2	0	0



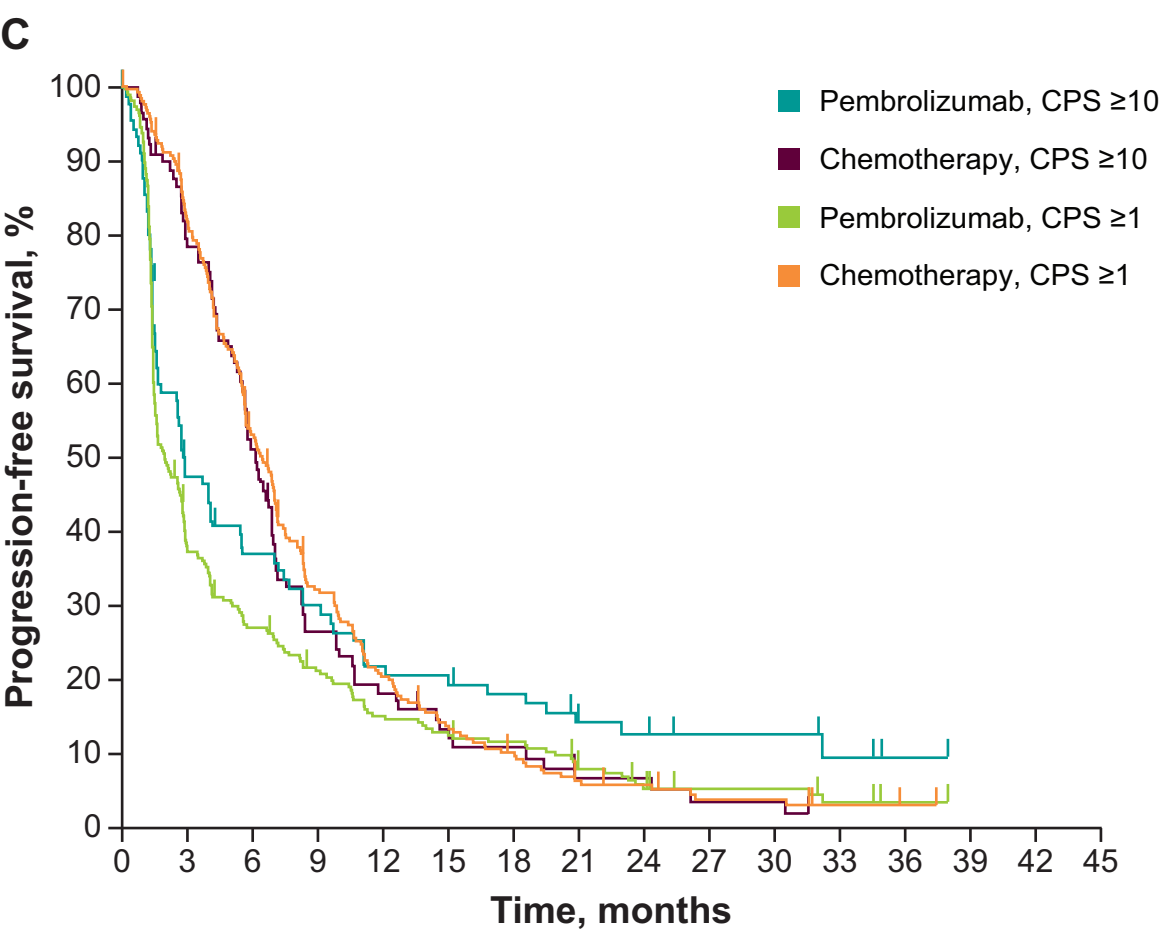
No. at Risk

46 32 14 8 7 7 4 4 4 4 4 4 4 3 3 3 2 2 2 0 0 0  
 14 8 8 3 8 26 20 19 12 12 12 12 12 11 9 7 6 3 2 2 0 0 0



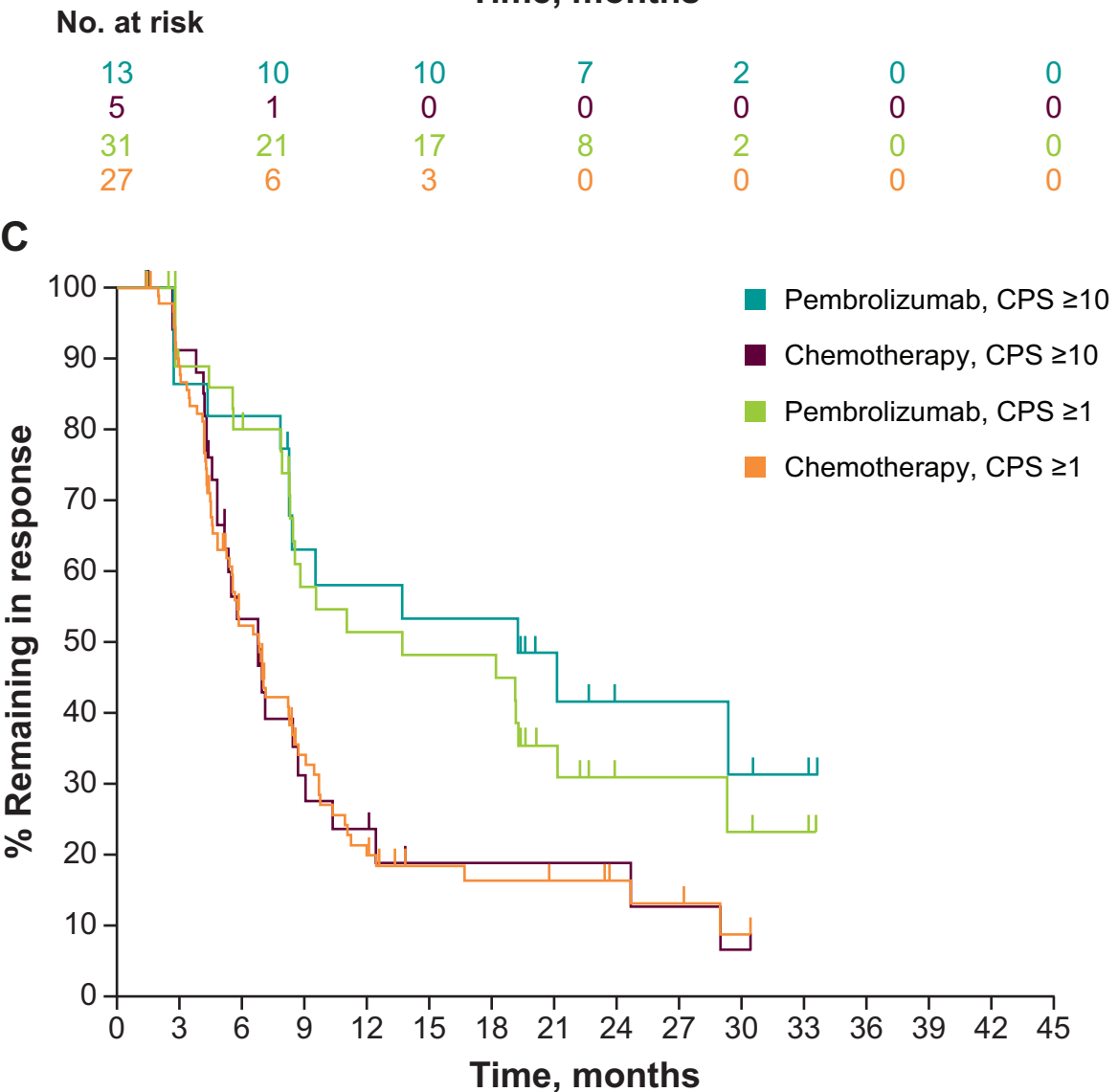
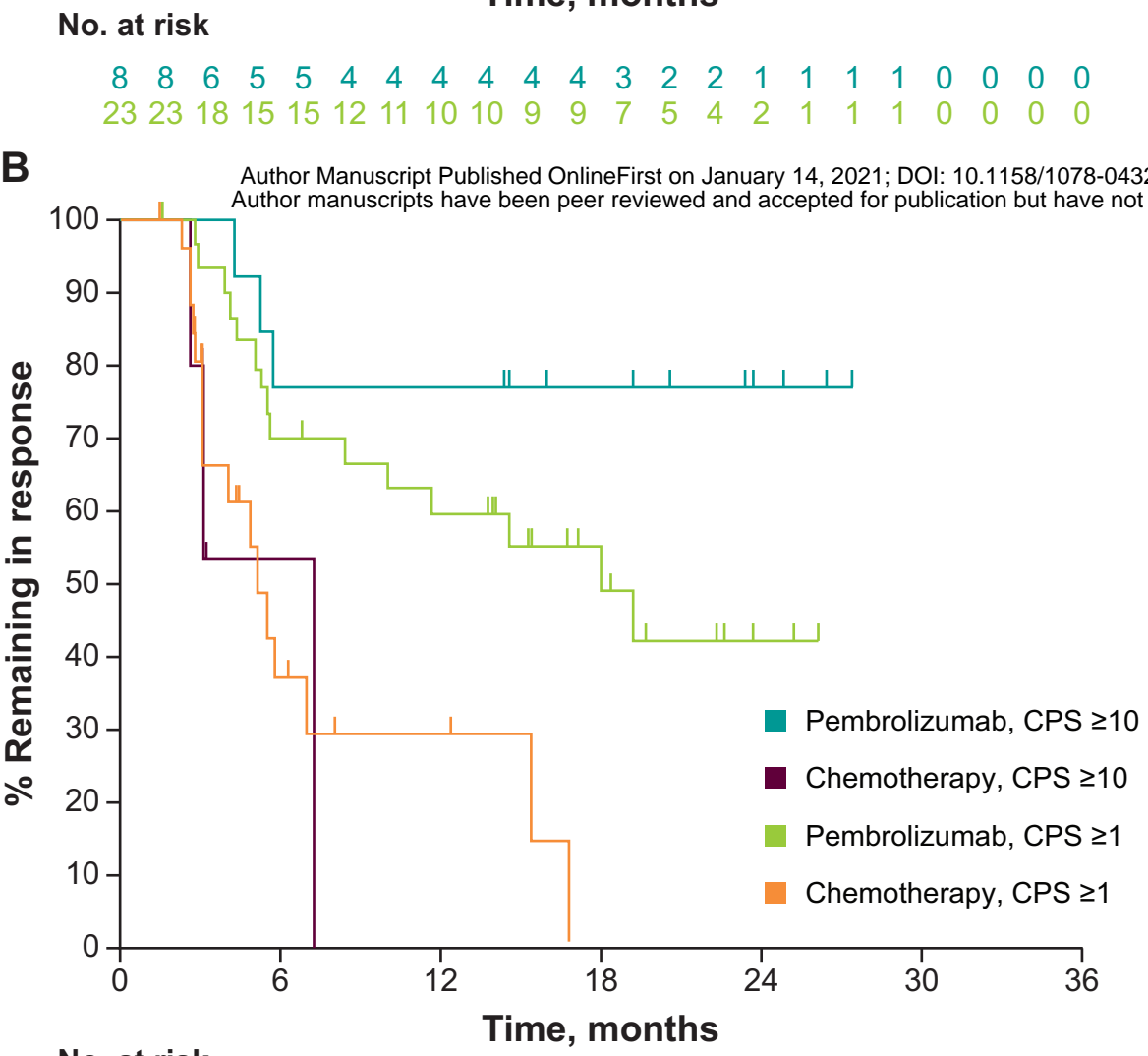
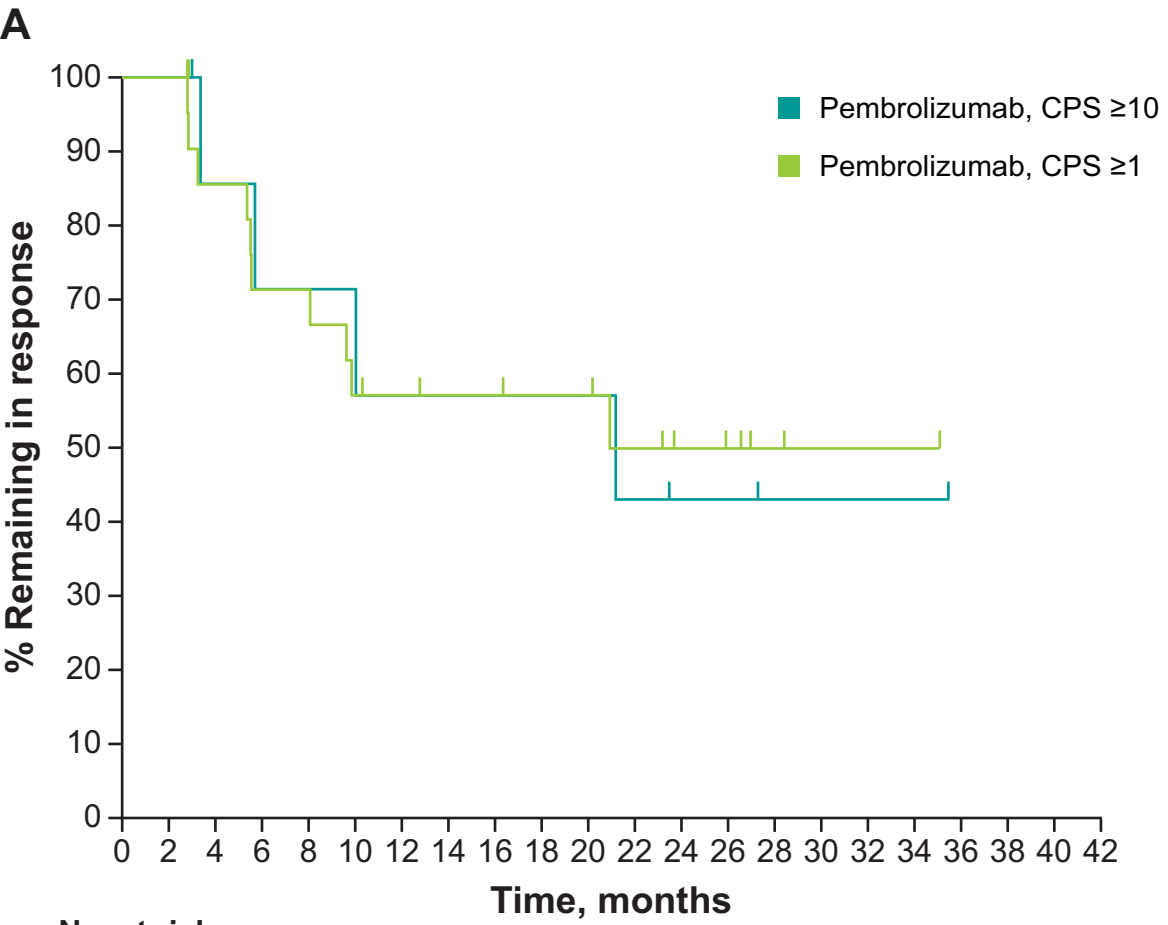
No. at Risk

53 16 12 9 5 0 0  
 55 13 2 1 0 0 0  
 196 38 25 15 7 0 0  
 199 57 15 4 0 0 0



No. at Risk

92 41 31 25 18 17 14 9 8 5 5 3 1 0 0 0  
 90 69 44 22 15 10 8 4 4 2 2 0 0 0 0 0  
 256 93 65 48 34 29 25 15 9 6 6 3 1 0 0 0  
 250 200 126 73 46 30 21 11 9 5 5 2 1 0 0 0



# Clinical Cancer Research

## Efficacy of Pembrolizumab Monotherapy for Advanced Gastric/Gastroesophageal Junction Cancer with Programmed Death Ligand 1 Combined Positive Score {greater than or equal to}10

Zev A. Wainberg, Charles S. Fuchs, Josep Tabernero, et al.

*Clin Cancer Res* Published OnlineFirst January 14, 2021.

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