The morphology of the Late Pleistocene hominin remains from the site of La Cotte de St
 Brelade, Jersey (Channel Islands)

3

4 ABSTRACT

5 Thirteen permanent fully-erupted teeth were excavated at the Paleolithic site of La Cotte de 6 St Brelade in Jersey in 1910 and 1911. These were all found in the same location, on a ledge 7 behind a hearth in a Mousterian occupation level. They were originally identified as being 8 Neanderthal. A fragment of occipital bone was found in a separate locality in a later season. 9 Recent dating of adjacent sediments gives a probable age of <48 ka. The purpose of this 10 paper is to provide an updated description of the morphology of this material, and consider its 11 likely taxonomic assignment from comparison with Neanderthal and *Homo sapiens* samples. 12 One of the original teeth has been lost, and we identify one as non-hominin. At least two 13 adult individuals are represented. Cervix shape and the absence of common Neanderthal traits 14 in several teeth suggest affinities with *H. sapiens* in both individuals, while crown and root 15 dimensions and root morphology of all the teeth are entirely consistent with a Neanderthal 16 attribution, pointing towards a possible shared Neanderthal and H. sapiens ancestry (the 17 likely date of this material corresponds with the time in which both Neanderthals and H. 18 sapiens were present in Europe). The occipital fragment is stratigraphically more recent and 19 does not exhibit any diagnostic Neanderthal features.

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Keywords: Hominin teeth; Late Pleistocene; Neanderthal; European Pleistocene *Homo sapiens*; La Cotte de St. Brelade; Hominin morphology.

23

24 **1. Introduction**

25 The timing and duration of overlaps between Homo sapiens and Neanderthals in Europe, 26 and the nature of their interaction, have long been debated by archaeologists and 27 anthropologists (e.g., Stringer, 2006; Hoffecker, 2009). Recent evidence points towards 28 contemporaneity or alternation of occupation of the two populations (Benazzi et al., 2011; 29 Harvati et al., 2019). The application of improved radiocarbon dating methods has shown that 30 the Mousterian ended by ~41-39 ka cal BP across much of Europe (Higham et al., 2014). 31 Furthermore, new data from Bulgaria suggest that *H. sapiens* were already in Eastern Europe 32 by ~45 ka, several millennia before the physical disappearance of the Neanderthals from the 33 region. Hominin fossils from Bacho Kiro were identified as H. sapiens from morphology and 34 mtDNA analysis, and directly dated to ~46.8-42.8 ka cal BP (Fewlass et al., 2020; Hublin et 35 al., 2020). Radiocarbon dating shows a clear overlap of the initial Upper Paleolithic at Bacho 36 Kiro with the late Mousterian and Châtelperronian attributed to late Neanderthal populations 37 (Fewlass et al., 2020). In central and northwestern Europe, the Châtelperronian (~44–40 ka) 38 overlaps with both the Early Aurignacian, starting at ~43–42 ka, and the Proto-Aurignacian, 39 starting at ~ 42 ka (Hublin, 2015). In Western Europe, there is direct fossil evidence for the 40 presence of both H. sapiens and Neanderthals at $\sim 41-40.4$ ka. A tooth discovered in a Proto-41 Aurignacian context at the site of Grotta di Fumane in northern Italy and dated to ~41-38.5 42 ka cal BP was found to have H. sapiens DNA (Benazzi et al., 2015), while a Neanderthal 43 tibia from Saint-Césaire in western France was directly dated to $\sim 42-40.6$ ka cal BP (Hublin 44 et al., 2012) and Neanderthal fossils from Spy in Belgium have been directly dated to 45 ~42.2-40.4 ka cal BP (Semal et al., 2013). The Oase 1 H. sapiens from Romania, dated to 46 ~42.5–40.5 ka cal BP (Zilhão et al., 2007), had a Neanderthal ancestor within the previous six 47 generations (Fu et al., 2015), which demonstrates that these populations probably did overlap 48 in Europe before 40 ka. In support of this, Peter (2019) has determined that, while the 49 majority of Neanderthal ancestry entered H. sapiens populations between ~55 and ~48 ka,

50 there was a lesser amount of gene flow within Europe, ending at ~40 ka. Interestingly, 51 Hajdinjak et al. (2018) found no evidence of recent gene flow from *H. sapiens* in four very 52 late Neanderthals, dated to <45 ka cal BP, from Goyet, Spy, Les Cottes and Mezmaiskaya.</p>

53 The hominin remains from La Cotte de St Brelade on Jersey (Fig. 1) probably fall within 54 this key time period when both Neanderthals and *H. sapiens* were present in Western Europe. 55 Their taxonomic status is therefore of considerable interest. The hominin remains-from La 56 Cotte de St Brelade originate from an area of complex sedimentation close to where the 57 North and West Ravines meet (Fig. 2). Members of the Société Jersiaise undertook 58 excavation in this area in 1910-1911 after the cave entrance had been cleared of clay and 59 granite rubble deposits. The investigators located a series of fine-grained deposits, some of 60 which were rich in ash and carbonized wood, which they described as hearths (Nicolle and 61 Sinel, 1910). The excavations of these deposits continued until 1920 and produced at least 62 20,000 stone artifacts (Callow, 1986a), but it appears that smaller debitage elements were 63 largely discarded during the initial seasons. These artifacts were described at the time as 64 Mousterian in character (Marett, 1916), and more recent analysis has confirmed that all the 65 stone artifacts are consistent with Late Middle Paleolithic technological practices (Callow, 66 1986a). The assemblage contains both Levallois and discoidal production elements, as well as 67 formal tools, including side scrapers and two bifaces. No artifacts consistent with Upper 68 Paleolithic technology have been identified among the artifact collections of La Cotte de St 69 Brelade.

It is not possible to determine what fauna were found within deposits directly associated with the hominin remains from the surviving archive of the excavations carried out between 1910 and 1920. However, the faunal material recovered from 'Weichselian' units as a whole includes *Mammuthus primigenius*, *Coelodonta antiquitatis*, *Equus* sp., *Rangifer tarandus*, *Crocuta crocuta*, and *Vulpes vulpes* (see Callow, 1986b), species which would be consistent with a Marine Isotope Stage (MIS) 3 attribution in northern France (Auguste, 2009).
Mammoth, woolly rhinoceros and horse are specifically mentioned as coming from the same
general area as the hominin teeth (Nicolle and Sinel, 1910; Keith and Knowles, 1911).

78 The hominin teeth were discovered over two seasons. In 1910, nine teeth were found in a 79 mass of poorly preserved bone (for which no identifications are recorded) on a rock ledge 80 above the layer identified as a hearth (Fig. 3; Nicolle and Sinel, 1910). They were described 81 as lying side by side in original position, but with no trace of once supporting bone apparent. 82 A further four teeth were found in clay adhering to the rock at the same location in 1911 83 (Nicolle and Sinel, 1912). An occipital fragment, together with two other bone fragments, 84 were discovered in a ravine 6 ft (1.8 m) beyond the entrance of the cave and 18 ft (5.4 m)85 above the Mousterian cave floor level by Ernest Daghorn in 1915 (Marett, 1916).

86 Analysis of the surviving archive and a modern survey of the site have allowed us to 87 broadly identify the location and position in the stratigraphic succession from which the 88 hominin teeth were recovered and, more generally, the position and context of the hominin 89 occipital fragment (Table 1). Although the deposits from this part of the site were removed 90 during the early 20th century, their stratigraphic equivalents appear to extend into areas of 91 remaining sediment within the main West Ravine (Bates et al., 2013). Optically stimulated 92 luminescence (OSL) dating undertaken in 2011 within the middle parts of this sequence, 93 approximately 4 m below the location where the teeth were discovered, suggests that the 94 deposits containing the teeth and the occipital fragment both postdate ~48 ka (Bates et al., 95 2013). In view of the vertical extent of sediments between the lower dated horizon and the 96 location from which we understand the teeth to have been discovered, a date that is clearly 97 younger than 48 ka for the teeth is the only tenable proposition. It is plausible that these 98 deposits span a period in which both late Neanderthal populations and those of H. sapiens 99 were present in Western Europe, and during which sea levels were low enough for Jersey to

100 be part of a continuous landmass with France (Fig. 1B; Scott et al., 2014; Shaw et al., 2016).

101 Consequently, understanding the exact date and taxonomic affinity of these hominin fossils is102 important for understanding Pleistocene population replacement at a regional scale.

103 The teeth were originally described by Keith and Knowles (1911, 1912). The 1912 paper 104 is a reprint of the 1911 paper, with additional details of the four teeth found in 1911. Keith 105 (1913) further addressed the considerable level of taurodontism in the molar teeth. The teeth 106 were briefly described in Marett (1911) and Oakley et al. (1975), and more recently were 107 studied by Stringer and Currant (1986), who noted that by this date two of the teeth were only 108 represented by casts and one other tooth was missing. The missing tooth was a left I^1 , 109 represented only by the root. The crown of this tooth had disintegrated before it was seen by 110 Arthur Keith, as the result of an accident during conservation (letter from J. Sinel to Keith 111 dated 1st September 1911, in the archives of the Royal College of Surgeons). In 1929 Tom 112 Watson, an amateur paleontologist/archaeologist on Jersey, sent a hominin lower canine that 113 he had found at La Cotte to Arthur Keith for identification (letter dated 17th January 1929, in 114 the archives of the Royal College of Surgeons). Although Tom Watson left all his finds to the 115 Jersey Museum, this tooth has not come to light. This letter also states that Tom Watson had 116 previously sent teeth and bones that he had discovered at La Cotte to Arthur Keith for 117 identification, but no record of these has been found. The three bone fragments recovered in 118 1915 were initially identified as parts of an occipital, malar and mandible from a single 119 juvenile skull (Marett, 1916). Angel and Coon (1954) reanalyzed the material and concluded 120 that only the occipital fragment was human, and that it belonged to a child about 5 years old. 121 This opinion was supported by Stringer and Currant (1986), who agreed that the other two 122 fragments did not represent any part of the human skeleton.

123 The purpose of this paper is to provide an updated description of the morphology of the La 124 Cotte teeth and the occipital fragment, and present data relevant to their taxonomic 125 attribution.

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127 **2. Materials and methods**

128 2.1. Described material from La Cotte

129 The material available for study consists of ten isolated permanent fully erupted teeth 130 (Table 2), and a fragment of occipital. These are held by the Jersey Museum, and were 131 temporarily loaned to the Natural History Museum, London, in 2015. Two other teeth, 132 SJMJ2462 (right I₁) and SJMJ2467 (left M³), are represented by casts. The casts made in 133 1911 and now held by the Natural History Museum, London, were used in this study because, 134 of several casts available, they are the most similar to the teeth described and illustrated by 135 Keith and Knowles (1912). The right I₁ (SJMJ2462) is thought to be non-hominin and is not 136 included in the analysis (see Supplementary Online Material [SOM] S1). With permission of 137 Jersey Heritage, the microtomographic scans of the La Cotte de St Brelade specimens 138 published in this paper are publically available (under a CC BY-NC 4.0 license) through the 139 Human Fossil Record online archive (https://human-fossil-record.org/).

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141 2.2. Comparative material

Comparative samples for morphological traits scored at the outer enamel surface (OES) principally come from Bailey (2006a) and, where the trait is not scored by Bailey (2006a), from Martinón-Torres et al. (2012)—see SOM Tables S1 and S2. These samples consist of Neanderthals and fossil (primarily European Late Pleistocene) *H. sapiens*. Comparative CTscan samples employed for geometric morphometrics, and occurrence of Tomes' root (Arizona State University dental anthropology system [ASUDAS] grades 4–5: Turner et al.,

148 1991) and taurodontism in P_3 and P_4 , consist of Neanderthals, fossil (Pleistocene) H. sapiens 149 and recent (Holocene) H. sapiens (SOM Tables S1, S3, S4). Comparative metrics data for the 150 early Neanderthal site of Krapina, late Neanderthals and fossil (European Late Pleistocene) 151 H. sapiens were taken from the literature and the NESPOS database (2013), identified in 152 table legends and in SOM Tables S1-S3, S5, S6. For crown dimensions, the site of Krapina 153 was separated from late Neanderthals because of the distorting effect caused by the relatively 154 large size of the Krapina tooth crowns, and in some cases their different shape, together with 155 the fact that the Krapina teeth make up approximately one third of the total Neanderthal 156 sample.

- 157
- 158 2.3. Methods

159 <u>Definitions</u> We use the terms pre-Neanderthal (e.g., Atapuerca-SH, Pontnewydd), early
160 Neanderthal (e.g., Krapina, Bourgeois-Delaunay), and classic/late Neanderthal, as proposed
161 by Dean et al. (1998). Although this assumed a linear and almost certainly oversimplified
162 model of Neanderthal evolution (Hublin and Roebroeks, 2009), Compton and Stringer (2015)

163 found it useful in classifying morphological differences observed in Neanderthal dentitions.

164 Dental morphological traits Most of the morphological traits were assessed using the Arizona 165 State University Dental Anthropology System (ASUDAS), and associated reference plaques 166 (Turner et al., 1991; Scott and Turner, 1997; Scott et al., 2018). Additional traits described by 167 other authors and not included in the ASUDAS were also utilized (see SOM S2 for 168 descriptions of the traits studied). Wherever possible, traits were scored at the OES but, due 169 to wear, some traits could only be scored at the enamel dentine junction (EDJ). There are few 170 comparative data available for the frequency of traits at the EDJ, and they are only provided 171 here for P⁴. In other cases, traits scored at the EDJ were compared to frequencies of traits at 172 the OES. Several authors have found a strong positive correlation between the EDJ and the

173 OES in the expression of morphological traits in hominins (Krenn et al., 2019 for lower 174 premolars; Guy et al., 2015 for upper molars; Skinner et al., 2008, 2010 for lower molars) but 175 we recognize that wear obscures the OES and can lead to misidentification of trait 176 expression. For each trait, 95% confidence limits were calculated for trait frequency in each 177 taxon, and for the difference in frequencies between the two taxa (SOM S3). Traits that are of 178 potential use in making inferences about taxonomic affiliation are those where the confidence 179 intervals for the two comparative samples do not overlap, or where the confidence limits for 180 the difference in frequencies between the two comparative samples are both either above or 181 below zero (i.e., do not include zero; Altman et al., 2000).

182 <u>Relative cusp areas and occlusal polygon</u> Relative cusp areas, and the angles and relative size 183 of the occlusal polygon, on SJMJ2456 (right M¹) were measured from a high definition 184 photograph of the occlusal surface taken perpendicular to the plane of the cervix, using 185 ImageJ software (Rasband, 2008), according to the methodology described by Bailey (2004). 186 The original positions of the cusp tips were estimated by determining the point of intersection 187 of the principal ridges of each cusp visible at the EDJ,

188 Taurodontism The degree of taurodontism of the molars was determined using the method of 189 Shifman and Chananel (1978). Shifman and Chananel's (1978) method was employed (SOM 190 S2) because it provides an absolute measurement, rather than a relative measurement that 191 requires complete roots. The distance between the bicervical line and the highest point on the 192 floor of the pulp cavity of the molar teeth was measured from the CT scans (Table 2: pulp 193 chamber height). This is preferable to taking the measurement from the roof of the pulp 194 chamber, where secondary dentine may form as the tooth wears. The method presented by 195 Keene (1966; see SOM S2) was used to measure the degree of taurodontism in SJMJ2461 196 (right M₂), because the original root length could be estimated. The original length of the root 197 of <u>this tooth</u>SJMJ2461 (right M₂), where the broken sides of the tooth's root are converging,

was estimated by extending these on a scaled photograph, and assuming a rounded tip.

199 Geometric morphometrics of cervix shape Microtomography was used to examine the 200 internal structures of the teeth. Specimens were scanned at the Natural History Museum using 201 an XTekCT scanner (180 kV, 205 µA, 0.25mm copper filter, 3000 projections) with a 202 resultant isometric voxel size of $\sim 30 \ \mu m$. To facilitate segmentation, each image stack was 203 filtered using a mean-of-least-variance filter (kernel size one) or a median and mean-of least 204 variance filter (each with kernel size three; Wollny et al., 2013). Each tooth was segmented 205 into its enamel and dentine components in Avizo 6.3 (ThermoFisher Scientific, Waltham). 206 Surface models of the EDJ were produced using the surface generation module 207 (unconstrained smoothing) and saved as PLY files.

208 Geometric morphometric analysis of cervix shape (in almost all cases the dentine horns, 209 and the ridges between, were too worn for landmark-based measurement) was conducted in 210 Mathematica 10 (Wolfram, Long Hanborough) following protocols outlined in previous 211 publications (Skinner and Gunz, 2010; Martin et al., 2017). Thirty semilandmarks were 212 placed around the cervix of each tooth. For the mandibular molars, the initial cervix landmark 213 was placed on the mesiobuccal corner of the crown (beneath the protoconid) and continued 214 mesially. In the maxillary molars, the initial landmark was placed on the middle part of the 215 buccal face of the crown (between the paracone and metacone) and continued mesially. For 216 mandibular and maxillary premolars the initial landmark was placed at the mid-point of the 217 buccal face and continued mesially. Estimations of missing portions of the cervix were made 218 for SJMJ 2457 (left P⁴), 2456 (right M¹) and 2461 (right M₂; SOM Fig. S1), and subjected to 219 Procrustes superimposition and then slid (Gunz and Mitteroecker, 2013) to create a 220 geometrically homologous set of shape variables. Principal components analysis (PCA) was 221 conducted to assess variation in cervix shape. Canonical variate analysis (CVA) was conducted to assess taxonomic affinity using inclusive sets of principal component scores
(i.e., first set using 1–5 PCs, second set using 1–6 PCs, etc.) that represented ~95% of overall
shape variation. PCA was conducted in both shape space and form space; the latter including
the log of centroid size as a variable. CVA was only conducted in shape space and attempted
to assign La Cotte teeth to either Neanderthals or *H. sapiens*.

227 Crown and root measurements Measurements of the La Cotte teeth were taken with sliding 228 calipers to the nearest 0.1 mm. The crown dimensions and root lengths were measured using 229 the method of Moorrees (1957): crown = maximum dimensions parallel to and at right angles 230 to the buccal surface; root = maximum vertical dimension from the cervix of the mesiobuccal 231 root on the buccal aspect. In multirooted teeth, the term 'trunk' is used to describe the portion 232 of the root between the cervix and the point at which the individual roots furcate, and root 233 trunk length was measured as the distance between the cervix and the root furcation on the 234 aspect of the tooth on which this was at a minimum. The dimensions of the root at the cervix 235 were measured as the maximum dimensions at right angles to the mesial and buccal surfaces. 236 This definition was also used to measure cervical dimensions from CT scans of comparative 237 samples. Where the measurements for both left and right antimeres are available, the average 238 was used. Mesiodistal crown dimensions of the La Cotte teeth were adjusted for wear using 239 the method of Wood and Abbott (1983), in which the estimated original margins of the tooth 240 are drawn on a scaled photograph of the occlusal surface and the difference between these 241 and the actual margins measured. The adjusted measurements were used for comparisons 242 with other teeth. Where the length of the La Cotte teeth could not be estimated, only the 243 buccolingual dimension is used for comparison with other teeth. The level of occlusal wear 244 was quantified using Murphy's method, as summarized by Smith (1984; Table 2).

An adjusted z-score method, using Student's t inverse distribution (Maureille et al., 2001), was employed to compare each of the La Cotte measurements with the means and standard deviations of comparative groups. The formula applied was:

248 <u>La Cotte dimension – X</u>

where X, SD and *n* represent the mean, sample standard deviation and sample size respectively of the comparative sample. The interval between -1 and +1 comprises 95% of the variation in the comparative sample. A value of zero denotes that the La Cotte dimension equals the mean of the comparative sample. A positive adjusted Z score indicates a La Cotte dimension above the mean value and vice versa. All measurements and observations on the La Cotte teeth were repeated by the same observer after an interval of one month.

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257 **3. Results**

258 *3.1. Descriptions*

259 The tooth crown and roots appear to not have undergone any erosive taphonomic 260 processes. However, cracking and areas of taphonomic reworking of the dentine are evident 261 in the CT scans. Layers of cementum are apparent on the apical half of the root surfaces, with 262 the exception of SJMJ2459 (right M³), but hypercementosis is not present. The pulp 263 chambers of the teeth exhibit what appears to be demineralisation and subsequent desiccation 264 taphonomic alteration of the circumpulpal primary dentine, leading to it having a 'feathered' 265 appearance (C. Dean, pers. comm.). There are deposits of secondary dentine in the molar 266 pulp chambers. All the teeth show some wear and this is generally flat and near horizontal. 267 Most tooth crowns have dentine exposed on individual cusps, and the surface morphology 268 has largely been obliterated.

Our identifications of tooth type are in agreement with those given by Keith and Knowles
(1912). Traits are described using the ASUDAS grades (Turner et al., 1991) unless otherwise

stated. X-ray photographs of the teeth are shown in SOM Figures S2 and S3. Discrete
morphological traits of the teeth, along with comparative data, are reported in Tables 3–7.

Lower left permanent canine (SJMJ2463) See Figure 4. The crown is complete, but the apex
of the root is missing. Severe cracking is evident on the buccal surface of the root below the
cervix and running down the distal surface from this point to the apex. This is also evident in
the buccolingual CT slice (Fig. 4h).

277 There is moderate (grade 2) shoveling (Fig. 4a), trace (grade 1) double shoveling (Fig. 4a), 278 a grade 4 distal accessory ridge (Fig. 4g), and a mesiobuccal bulge viewed occlusally. 279 Lingually, a tuberculum dentale is present as a mild medially placed bulge without a free 280 apex and there is a faint, wide but low, lingual medial ridge (Fig. 4g). The root is 281 labiolingually wide, with deep mesial and distal longitudinal grooves. The mesial groove is 282 particularly marked. The pulp canal is single and ovoid throughout the root, wide viewed 283 distally, and mesiodistally flattened (Fig. 4h, i). There is distinct vertical convex curvature of 284 the buccal aspect of the root, particularly towards the apex, and vertical convexity of the 285 lingual aspect.

<u>Upper left fourth premolar (SJMJ2457)</u> See Figure 5. The preserved morphology (narrow and ovoid, rather than a more triangular crown shape) is consistent with a P⁴. The tooth has matching occlusion (facets, wear shape and level of wear) with the left P₄ (SJMJ2465). The crown has a postmortem chip on the distolingual corner of the occlusal rim, at the edge of a large double fracture, and the root apices are missing. The distal aspect of the crown must have broken off in life, since there is rounding and vertical striations on the occlusal edge of the fracture.

The buccal surface of the crown is swollen, viewed mesially. The sagittal sulcus is visible at the OES, curved lingually at the distal end (Fig. 5d) and, from the EDJ, it can be seen that it terminates at the mesial margin (Fig. 5g). The EDJ reveals a small mesial accessory crest

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(Fig. 5g), that likely delineated a small mesial fovea in the unworn tooth. A small pit is still visible in this location on the worn occlusal surface (Fig. 5d). There are two robust roots, with separate root canals, linked mesially by a radicular plate, creating a deep groove on the distal root surface (cross-section in Fig. 5i). The buccal root is vertically convex. The pulp chamber is taurodont, extending to the root furcation. It is mildly ovoid in distal and buccal views (Fig. 5h; SOM Fig. S4).

<u>Lower left third premolar (SJMJ2464)</u> See Figure 6. The large size of the buccal cusp, and its
more lingual placement than in SJMJ2465 (left P₄), and our analysis of cervix shape (SOM
Fig. S5) indicate a P₃. Additionally, a buccal cingulum is present at the EDJ, which occurs
only on the P₃ in the comparative samples. The crown is complete, but the root apices are
missing.

307 The occlusal crown outline is buccolingually oblong, with slight mesiolingual truncation 308 (insufficient to score tooth as asymmetric) and the mesiodistal dimension greatest buccally. 309 Viewed mesially, the upper part of the buccal surface is curved sharply lingually and the 310 lingual surface is swollen. The bulbous metaconid is mesially placed, and there are two 311 smaller distolingual cusps observable at the OES (Fig. 6a). The Y-shaped mesiobuccally 312 slanted sagittal sulcus is not interrupted (Fig. 6a). It is narrow and the two foveae are 313 insignificant, the distal being the deepest. Traces of mesial and distal accessory ridges can be 314 seen on the buccal cusp at the OES, and are clearly visible at the EDJ (Fig. 6d, g). A faint 315 distolingual groove is present at the OES (Fig. 6a, d), but the lingual margin is uninterrupted 316 at the EDJ (Fig. 6g; Davies et al., 2019). The mesial and distal margins also both appear to 317 have been uninterrupted (there is no evidence of interruption at the EDJ). Examination of the 318 EDJ (Fig. 6g) indicates no evidence of a transverse crest. Small dentine horns underlie the 319 two accessory cusps distal to the metaconid (Fig 6g). Although not visible at the enamel

surface, there is a faint buccal cingulum at the EDJ, consisting of a mesially placed horizontal
ridge continuing from a slight mesial vertical groove/ridge (not shown).

There is a grade 4 Tomes' root (cross-section in Fig. 6i), with partial division into two roots, each with a single root canal. In the mesiobuccal root there is a vertical mesial groove and a prominent buccal groove. The buccal aspect of this root is vertically convex. The taurodont pulp chamber extends to the root furcation and is mildly ovoid in lingual view (SOM Fig. S6). In distal view it is convex lingually on the lingual side at the cervix, and is hourglass shaped below this. The root canal in the buccal root is enlarged buccolingually (Fig. 6h, i).

Lower left fourth premolar (SJMJ2465) See Figure 7. Morphological identification as a P₄ is confirmed by analysis of cervix shape (SOM Fig. S5). The tooth cannot be a metamere of SJMJ2464 (left P₃) because the interproximal facets do not match. The tooth is complete apart from the apex of the root, which is chipped. Severe cracking can be seen on the lingual side of the root in the buccolingual CT slice (Fig. 7h).

334 The occlusal outline is oblong, with very slight mesiolingual truncation. The worn down 335 buccal surface of the crown lacks the convexity and lingual inclination observed in 336 SJMJ2464 (left P₃), and the lingual face is less swollen. The narrow sagittal sulcus has a 337 small bridge of enamel centrally (Fig 7d). However, examination of the EDJ reveals no 338 evidence of a transverse crest (Fig. 7g). As with SJMJ2464 (left P₃), the mesial and distal 339 foveae (distal deepest) do not appear to have been large. The sagittal sulcus does not interrupt 340 either margin at the OES at this level of wear (Fig. 7d); and neither margin is interrupted at 341 the EDJ. The EDJ reveals a distolingual cusp, in addition to the metaconid, as well as a small 342 dentine horn on the mesial marginal ridge, and confirms the presence of a distal accessory 343 ridge on the buccal cusp (Fig. 7g).

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There is a single straight-sided root, mesially convex viewed apically, with a longitudinal groove in the apical half of the distal surface. The root is taurodont, with the pulp chamber extending to the apex (SOM Fig. S6). In distal view it can be seen that there has been considerable taphonomic alteration of the dentine towards the centre of the root, so that it is difficult to determine the original shape of the pulp chamber but, as with the left P₃ (SJMJ2464), the lingual side appears to be convex at the cervix (Fig. 7h; SOM Fig. S6).

Molars The molar buccal and lingual faces are mildly convex viewed mesially. The buccal surface grooves on upper molars are weak, and they are absent on lower molars. The loss of occlusal surface morphology on the molars, despite a relatively low level of wear, suggests that the unworn cusps were low. All the molar roots exhibit supraradicular taurodontism (Kallay, 1970), where the pulp chamber is enlarged before the furcation of the roots.

355 Upper right permanent first molar (SJMJ2456) See Figure 8. The low crown index value 356 (equal mesiodistal and buccolingual measurements), particularly in relation to the other upper 357 molars in the comparative samples, and our analysis of cervix shape among Neanderthal and 358 H. sapiens M^1-M^3 , indicate an M^1 (SOM Fig. S7). Additionally, the oblique ridge at the EDJ 359 is type 1, running from the metacone dentine horn tip to the lingual marginal ridge distal to 360 the protocone. Type 1 is typical of M^1 , but not of M^2 and M^3 (Martin et al., 2017). The crown 361 is complete, but the roots are missing above the trunk. Part of the distal margin of the crown 362 broke off antemortem, as indicated by the presence of regular minor chipping along the 363 occlusal edge of the fracture.

The occlusal shape is a rounded and slightly skewed rhomboid, with a metacone that is mesiolingually placed, resulting in distobuccal truncation, and a buccally protruding paracone (Fig. 8a). Taking into account corrections for the missing fractured areas, the metacone and hypocone are of similar size. Although worn, there appears to be a grade 2 metaconule (Fig. 8a; mesial and distal enamel protrusions from the uninterrupted oblique ridge: Reid and Van Reenen, 1995). Examination of the EDJ (Fig. 8g) indicates no cusp 5 (hypoconule), two small accessory dentine horns on the mesial marginal ridge, and a grade 3 post-paracone tubercle (Ortiz et al., 2017) that cannot be detected at the enamel surface due to wear. The root is hypertaurodont. The lingual aspect of the root trunk is flared lingually, and there is a vertical groove reaching the cervix. At the broken root surface there is no evidence of separated roots buccally or mesially, but the base of the trunk is visible distally. Due to taphonomic alteration of the dentine it is difficult to determine the original shape of the pulp chamber.

376 <u>Upper left permanent second molar (SJMJ2458)</u> See Figure 9. The distal reduction in the 377 crown and presence of a distal interproximal facet indicate an M^2 . This tooth occludes with 378 the left M₂ (SJMJ2455; Keith and Knowles, 1912). The tooth is complete apart from the root 379 apices.

380 The occlusal outline is near triangular, convex mesially and distally, and flattened 381 buccally. The tooth has a deep central fossa and no oblique ridge (Fig. 9a). No hypocone is 382 visible on the worn OES. There is a hypocone dentine horn at the EDJ (Fig. 9g), which is 383 likely to have been below grade 3 (reduced) on the original enamel surface due to its small 384 size. The presence of a cusp 5 is indicated by dentine exposure on the distal margin of the 385 OES (Fig. 9a). There is Aa grade 2 post-paracone tubercle (Ortiz et al., 2017) visible at the 386 EDJ (Fig. 9g), and a mesial marginal ridge accessory tubercle are visible at the EDJ (Fig. 9g). 387 The root is hypertaurodont. The individual roots are furcated mesially at the level at which 388 they are preserved, but with both buccal and distal radicular plates (cross-section in Fig. 9i). 389 Three individual root canals are evident. The roots curve inwards in the apical half viewed 390 distally (Fig. 9b), and both root trunk and individual roots have a pronounced distal 391 inclination. Though taphonomically altered buccally, the pulp chamber appears to have been 392 hour glass shaped in distal view (Fig. 9h).

393 <u>Upper right third molar (SJMJ2459)</u> See Figure 10. The diminutive distal portion of the 394 crown, and the lack of a distal interproximal facet despite the presence of substantial occlusal 395 wear, indicate an M³. The crown is complete, but the lingual root has been broken off at the 396 trunk, and the buccal roots are missing above the trunk.

397 Viewed occlusally, the mesial aspect of the tooth, including the root trunk, is markedly 398 concave, and the remainder of the tooth is near circular (Fig. 10a). Most surface morphology 399 has been obliterated due to wear. It is unclear which cusps were present in the large distal 400 area of dentine exposure, even at the EDJ (Fig. 10g). There are two dentine horns evident at 401 the EDJ between this area and the protocone (Fig 10g). If one of these is the hypocone, it is 402 most likely to be the more distally placed of the two, both from its position and its larger size. 403 If this is the case, it implies the presence of multiple lingual cusps along the distal rim of the 404 unworn tooth in addition to metacone, hypocone and cusp 5. No oblique ridge is evident at 405 the EDJ. There is a faint horizontal ridge on the buccal OES of the paracone (Fig. 10a), not 406 seen at the EDJ. The root is mesotaurodont. The lingual root is separated from the buccal root 407 mesially and distally just below the fragmented root surface. The buccal root is not bifurcated 408 at this level, though separate root canals are apparent.

409 <u>Upper left third molar (SJMJ2467, cast)</u> See Figure 11. The description is based on the 1911 410 Natural History Museum cast, and published details (Keith and Knowles, 1912; see SOM Fig. 411 S8B). The crown appears to have been complete. Only part of the root trunk is present in the 412 cast, but the root appears to have been complete in the original tooth according to the 413 photograph in Keith and Knowles (1912; Fig. 11e).

Viewed occlusally, the crown is strongly tapered distally, and flattened mesially. Details of morphology are not clear due to the poor quality of the cast. There is a deep central fossa and no oblique ridge (Fig. 11a). Keith and Knowles (1912) described the tooth as threecusped, lacking the hypocone. There is no evidence of a cusp 5 (Fig. 11e). The roots were 418 described as more compressed together than in the left M², but otherwise similar in form to 419 those of the molars found previously (Keith and Knowles, 1911, 1912). The roots appear to 420 have been hypertaurodont, with a greater degree of taurodontism than the other La Cotte 421 molars (Fig. 11e).

422 <u>Lower right permanent second molar (SJMJ2461)</u> See Figure 12. This tooth is identified as 423 an antimere of SJMJ2455 (left M₂). It has a distal interproximal facet, which is located 424 lingually, implying lingual displacement of the adjacent tooth. Displacement of this nature is 425 more likely to occur in an M₃ than in an M₂. The tooth is complete apart from the root apices.

426 The occlusal shape is rectangular, wider distally than mesially. It has rounded buccal and 427 distal aspects (mesial worn), and partial flattening lingually. There is a 'Y' groove pattern, 428 and a large (grade 5) distally oriented hypoconulid (cusp 5) visible at the OES (Fig. 12a). A 429 small dentine horn at the EDJ indicates that a cusp 6 (entoconulid) was present (Fig. 12g). 430 The sagittal sulcus is uninterrupted at the OES and no mid-trigonid crest is evident. It is 431 absent also at the EDJ, with only a weak crest on the protoconid (grade 0: Bailey et al., 2011). 432 Traces of a wide mesial fovea (trait referred to as an anterior fovea in the ASUDAS: Turner 433 et al., 1991; Scott and Turner, 1997; Scott and Irish, 2017; Scott et al., 2018) can be identified 434 at the OES and it is present as a linear depression at the EDJ. The entoconid dentine horn tip 435 is positioned on the margin of the tooth (Martin et al., 2017; Fig. 12g). There is a pit (grade 1) 436 protostylid at the OES (Fig. 12a), with a corresponding horizontal cingular crest at the EDJ 437 (Fig. 12g).

The mesial root is rectangular in shape and bifurcated at the apex, but fused to the distal root buccally. The lingual furcation of the mesial root is convex mesially. The buccal sides of both roots curve lingually. Marginal ridges are present mesially and distally on the mesial root, and mesially on the distal root. The root is classed as hypertaurodont using Shifman and Chananel's (1978) method but, with an estimated root length of 14.9 mm, Keene's (1966) method gives a figure of 37%, which is classed as hypotaurodont (25–49.9%). The pulp
chamber is barrel shaped, viewed lingually, and widening of the mesial root canals is evident
in the mesiodistal CT slices (Fig. 12h; SOM Fig. S9).

446 Lower left permanent second molar (SJMJ2455) See Figure 13. This tooth occludes with the 447 left M² (SJMJ2458; Fig. 13i). It has mesial and distal interproximal wear facets, and, as with 448 SJMJ2461 (right M₂), the distal interproximal facet is located lingually. Based on a similar 449 degree of wear, similar dimensions and crown morphology, and the description of the 450 original root morphology (Keith and Knowles, 1912), this is likely to be the antimere to 451 SJMJ2461 (right M₂). The crown is complete, but Keith and Knowles (1912) sectioned the 452 root just below, and parallel to, the cervix (line visible in Fig. 13b). Part of the root has been 453 restored with filler. Only 9 mm of the trunk remains and the apical part of the root has been 454 lost. The tooth was described by Keith and Knowles (1912) as having roots of the same form 455 as the right M₂, fused buccally but not lingually, inclined distally and lingually, and having a 456 trunk length of 7 mm.

457 The occlusal shape is rectangular, with rounded margins (mesial worn), and partially 458 flattened lingually. As with the right M₂, there is a 'Y' groove pattern, and a large (grade 5) 459 distally placed hypoconulid is present (Fig. 13a, d). Unlike the right M_2 there is no cusp 6 460 dentine horn and no protostylid crest at the EDJ, though a trace protostylid is present on the 461 hypoconid (Fig. 13g). However, as with the right M_2 , there is no mid-trigonid crest at the EDJ 462 (site worn at the OES; grade 0: Bailey et al., 2011) and only a weak crest evident on the 463 protoconid. The entoconid dentine horn tip is positioned on the margin of the tooth (Martin et 464 al., 2017).

465 Lower right third molar (SJMJ2460) See Figure 14. This tooth lacks a distal interproximal
466 facet despite the presence of dentine exposure. This, together with its shape and the presence
467 of a large number of accessory crests, indicates an M₃. The interproximal wear facet matches

468 with that of the right M_2 (SJMJ2461) (Fig. 14i) and it is likely the teeth are metameres. Only 469 the crown and less than one-quarter of the root are present. A portion of the mesial margin of 470 the crown has broken off postmortem. A lingual crack can be seen in the CT slice (Fig. 14h). 471 The overall occlusal shape of the crown is near circular. The unworn lingual half of the 472 tooth is very wrinkled, with multiple cusps present at the OES (Fig. 14a, d). There is an 'X' 473 groove pattern (Fig. 14a). There are three crests running from the mesial margin into, and 474 filling, the mesial (anterior) fovea at the OES, but the presence of any associated mesial 475 marginal ridge tubercles cannot be assessed because the mesial face of the tooth is missing 476 (Fig. 14a). There is no mid-trigonid crest (grade 0 at the EDJ: Bailey et al., 2011). There is a 477 single mesiodistal groove at the OES on the buccal slope of the hypoconid, and lingual to the 478 dentine exposure, that delineates the large protostylid cingular crest seen at the EDJ (Fig. 479 14d, g). Examination of the EDJ (Fig. 14g) reveals the presence of numerous primary and 480 accessory dentine horns. Some of these can be reasonably identified as cusps, while others 481 reflect repeated enamel knot initiation (Martin et al., 2017). Moving distally from the 482 metaconid dentine horn, there is a small (grade 3) cusp 7, the entoconid, an undulating ridge 483 that potentially exhibits incipient dentine horns, a cusp 6, and then one additional dentine 484 horn lingual to the grade 4 hypoconulid. Additionally, there is a small dentine horn on the 485 protostylid ridge on the buccal aspect of the hypoconulid. The degree of internal placement of 486 the hypoconid relative to the protostylid cingulum is uncommon (not seen in any of the CT-487 scan comparative samples), and may further reflect a general perturbation of the development 488 of this tooth. The metaconid and entoconid dentine horn tips are positioned on the margin of 489 the tooth (Martin et al., 2017).

490 <u>Occipital fragment (SJMJ2452)</u> See Figure 15. The occipital fragment comprises a small part
491 of a left squamous, measuring 53 mm between the broken anterior and posterior edges, and
492 37 mm from the asterion to the broken medial edge. The endocranial and exocranial surfaces

493 are weathered and marked by superficial cracks and abraded areas. One edge of the piece is 494 defined by the lambdoid suture, which extends 45 mm from the asterion. All the other edges 495 are defined by natural breaks. The thickness of the bone, and weak markings on the external 496 surface, are consistent with an immature age at death. The lambdoid suture has several 497 abraded patches but appears to be mostly open. An area of interdigitated bone, visible on the 498 external surface located 35 mm from the asterion, may represent an early stage of fusion at 499 the lambdoid suture. A finger of bone projecting inwards from the lambdoid suture, situated 6 500 mm from the asterion, and measuring 6.2 by 2.6 mm, appears to be a small wormian bone. 501 The endocranial surface has a well demarcated transverse sulcus that extends 35 mm from 502 just below the asterion to the broken medial edge along the lower border, and 32 mm from 503 the lambdoid suture to the broken medial edge along the upper border. The transverse sulcus 504 passes directly across the asterion and would have crossed the posteroinferior (mastoid) 505 corner of the parietal bone before reaching the temporal bone, instead of crossing directly 506 onto the temporal bone (Fig. 15B, indicated by dotted lines). Taken together, the size and 507 morphology of the occipital fragment are consistent with an immature individual who died in 508 late childhood or adolescence.

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0 *3.2. Qualitative morphological comparisons*

Trait frequencies for the tooth types found in the La Cotte material, and in comparative samples of Late Pleistocene hominins, are reported in Tables 3–7. Traits that show a significant difference between Neanderthal and fossil *H. sapiens* are identified: (1) where the 95% confidence interval for the difference between the proportions for the two samples is entirely above or below zero, i.e., does not include 0% difference; (2) where the 95% confidence intervals for the two sample proportions do not overlap (SOM S3). These are the traits principally discussed. 518 Lower canine (SJMJ2463) The characteristics of this tooth support a Neanderthal affinity. 519 Shoveling is present in the entire Neanderthal comparative sample and most (88%) of the 520 fossil H. sapiens sample (Table 3). The distal accessory ridge is more common in 521 Neanderthals (78%) than fossil H. sapiens (42%; Table 3). It tends to be more strongly 522 expressed in Neanderthals, as it is at La Cotte, than in fossil H. sapiens (50% compared to 8% 523 at their grade 2 in Martinón-Torres et al.'s [2012: Table 17] samples). The mild expressions 524 of the tuberculum dentale and lingual medial ridge in SJMJ2463 (C_1) are the forms frequently 525 found in both comparative samples (Martinón-Torres et al., 2012).

The buccal curvature of the root is typical of Neanderthals, but not of *H. sapiens* (Bilsborough and Thompson, 2005; Le Cabec et al., 2013), as is the convex buccal contour of crown and root together, known as 'cyrtodonty' (Patte, 1962; Brabant and Sahly, 1964). The wide root canal, as viewed distally, is unlike the narrow straight sided canals found in recent human teeth (van Beek, 1983).

531 <u>Upper fourth premolar (SJMJ2457)</u> The morphological traits of this tooth support a 532 Neanderthal affinity. The swollen buccal surface, viewed mesially, and sharp lingual 533 inclination from the point of maximum curvature, is typical of Neanderthals, and more 534 pronounced than is generally found in *H. sapiens* (TC, personal observation). The absence of 535 a buccal mesial accessory ridge is also typical of Neanderthals (83%) and less common in 536 fossil *H. sapiens* (40%; Table 4).

537 The roots are robust compared to *H. sapiens*. The two-rooted form present is the most 538 common type in Neanderthals (Maureille et al., 2008). The taurodontism, and a relatively 539 longer root trunk before furcation of the roots than in recent humans, are also seen in some 540 Neanderthal P⁴ (Kallay, 1963).

541 <u>Lower third (SJMJ2464) and fourth (SJMJ2465) premolars</u> The characteristics of both 542 premolars give an ambiguous picture. For the P₃ (SJMJ2464) the Neanderthal features are the

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543 large lingually placed buccal cusp (Gómez-Robles et al., 2008), and the presence of a buccal 544 cingulum at the EDJ, which occurs frequently in the Neanderthal sample but is not seen in the 545 recent human sample. For the P₄ (SJMJ2465) the Neanderthal features are the presence of 546 multiple lingual cusps (94%), a mesially placed metaconid (97%), and a buccal distal 547 accessory ridge (88%; Table 4). The corresponding figures for fossil H. sapiens are 548 significantly lower (Table 4). The cusp on the mesial margin, represented by a dentine horn at 549 the EDJ, found on SJMJ2465 (left P₄), also occurs on three Krapina P₄ (Compton and 550 Stringer, 2012). Three or more lingual cusps on P_4 (three at La Cotte) are present in 89% of 551 Neanderthals but only 20% of fossil H. sapiens (Martinón-Torres et al 2012: Table 19).

552 In contrast, the absence of a transverse crest, and a symmetrical shape, found on both 553 premolars, are rare in Neanderthal P₃ (3% and 6% respectively) and P₄ (both at 6%; Table 4). 554 Additionally, Davies et al. (2019) recorded the presence of a transverse crest in their entire 555 Neanderthal P₃ sample. Martinón-Torres et al. (2012: Tables 18 and 19), however, reported 556 absence of a transverse crest in 15% of P₃ and 19% of P₄ in their Neanderthal sample. The 557 absence of a transverse crest, and a symmetrical shape, are more frequently observed for P_3 558 and P₄ in fossil *H. sapiens* (Table 4). Bailey (2002) looked at the combination of three 559 characteristics (well-developed metaconid, transverse crest and asymmetry) in P₄ and found 560 that 98% of modern humans had only one of these traits, as at La Cotte, compared to only 6% 561 of Neanderthals.

The robust roots of SJMJ2464 (left P_3) are more typical of Neanderthals than of *H*. *sapiens*. Interestingly, grade 4–5 Tomes' root, present on the P_3 , occurs less frequently in the P_3 (12%) than in the P_4 (25%) in Neanderthals, the reverse of that found in fossil *H. sapiens* (38% and 23% respectively; Table 4) and recent humans. Tomes' root occurs less frequently in recent humans than in fossil *H. sapiens* (12.5% and 2.5% respectively for P_3 and P_4 in a large mixed sample; Shields, 2005). The wide root canal observed in SJMJ2465 (left P_4) is 568 typical of Neanderthal P₄ but uncommon in recent humans (Prado-Simón et al., 2012). The 569 extended taurodont pulp chambers in SJMJ2464 (left P₃) and SJMJ2465 (left P₄) are apparent 570 as widening in both buccolingual and mesiodistal directions (SOM Fig. S6). In some teeth, in 571 both comparative samples (Table 4), extension of the pulp chamber into the root is only 572 apparent as widening in a buccolingual direction. Considering the small samples involved 573 (Table 4), the frequency of taurodontism is similar between P_3 and P_4 and between 574 Neanderthals and fossil *H. sapiens*. Taken overall, widening in a buccolingual direction, with 575 or without mesiodistal widening, occurs at approximately twice the frequency as widening in 576 both directions together (51% against 23%; Table 4).

577 Molars The upper molar cusps are internally placed, as is often observed in Neanderthals. 578 Carabelli's trait is absent in the upper molars. This was previously thought to be unusual in 579 Neanderthals (McCown and Keith, 1939; Smith, 1989). More recently Martinón-Torres et al. 580 (2012) reported 20% absence for M¹, 42% for M² and 80% for M³, in their Neanderthal 581 sample. The post-paracone dentine horn, which is present at the EDJ in SJMJ2456 (right M¹) 582 and SJMJ2458 (left M²) and could not be scored in SJMJ2459 (right M³), was ubiquitous in a 583 sample of Neanderthal maxillary molars and present in 86% of a recent human sample 584 (Martin et al., 2017: Table 10). In contrast, Ortiz at al. (2017: Table 4) reported the presence 585 of post-paracone dentine horns in 98% of a Neanderthal sample but only 25% of a recent 586 human sample. Taurodontism is particularly associated with Neanderthals, but it is also found 587 in fossil H. sapiens from Skhul and Qafzeh (McCown and Keith, 1939; Vandermeersch, 588 1981) and in Aterians (Kupczik and Hublin, 2010). Kupczik et al. (2019: Table S1) found 589 taurodontism (grades III and IV of their bifurcation index) in 88% (14 of 16) of their sample 590 of Neanderthal M_2 . Studies of recent Europeans have shown taurodontism is present in less 591 than 10% of molars (Jafarzadeh et al., 2008: Table 3). The absence of enamel extensions

above trace level on any of the molars is also typical of Neanderthals, and most *H. sapiens*outside Asia (Bailey, 2006b).

594 Upper first molar (SJMJ2456) The Neanderthal M¹ crown has a distinct shape (Bailey, 2004; 595 Gómez-Robles et al., 2007; Martinón-Torres et al., 2013): The main cusp tips are more 596 internally placed, leading to a relatively smaller occlusal polygon (formed by linking the tips 597 of the four principal cusps) compared to the total occlusal area (Table 5). There is a 598 statistically significant difference at $p \le 0.01$ between Neanderthal and *H. sapiens* for this 599 percentage figure (Martinón-Torres et al., 2013). The occlusal shape is skewed and there is a 600 large distally projected and swollen hypocone, leading to a narrow hypocone angle (Table 5; 601 Fig. 16). The metacone is relatively smaller than the hypocone and mesiolingually oriented, 602 leading to distobuccal truncation of the occlusal outline and a wide metacone angle (Table 5; 603 Fig. 16). The hypocone is larger than the metacone in 14/16 molars in Bailey's (2004) 604 Neanderthal sample. The occlusal polygon is narrower distally than mesially (Fig. 16).

The M^1 (SJMJ2456) shows clear Neanderthal affinities (see Table 5; Fig. 16). It has a relatively small occlusal polygon (24%), a mesiolingually placed metacone, along with a large metacone angle (116°), and an occlusal polygon that is narrower distally than mesially.

However, in contrast, the crown has only a mildly skewed appearance, with a small protocone angle (100°) compared to the means of both the comparative samples (106°) . The relative size of the hypocone compared to total occlusal area (19%) is small in comparison to the Neanderthal sample mean (23.7%) and smaller than the metacone (21%), and the hypocone angle (76°) is large. While being within the Neanderthal range of variation, both of these features are more similar to the fossil *H. sapiens* sample. Additionally, the protocone is relatively large (33%) compared to Neanderthal (29.9%).

615 <u>Upper second molar (SJMJ2458)</u> The presence of a cusp 5 is common in Neanderthals
616 (68%), and the presence of a mesial accessory cusp is ubiquitous. Both are less frequent in

fossil *H. sapiens* (39% and 13% respectively; Table 6). The reduced hypocone is unusual,
though, in both Neanderthals and fossil *H. sapiens*, occurring at frequencies of only 6% and
15% respectively (Table 6). It is, however, common in the pre-Neanderthal Atapuerca-SH
sample (Martinón-Torres et al., 2012).

621 Upper third molars (SJMJ2459 and SJMJ2467) The concave mesial aspect, viewed 622 occlusally, of SJMJ2459 (right M³) is distinctly unusual. The presence of multiple cusps 623 along the distal rim (i.e., in excess of metacone, hypocone and a single cusp 5), as inferred for 624 SJMJ2459 (right M³), has been reported in Krapina M³ (Compton and Stringer, 2012); and 625 Martin et al. (2017) found considerable variation in distal cusp pattern in Neanderthal M³. 626 Multiple distal cusps and split hypocones occur in Aterian teeth (Bailey et al., 2017), and split 627 hypocones have been reported in recent humans (Greene et al., 1967; Bermúdez de Castro 628 and Martínez, 1986), but mention of multiple distal cusps in recent humans is rare in the 629 literature. Ortiz et al. (2017: Table S3) found multiple cusp 5 at the EDJ in ~20% of 630 Neanderthals but only in ~1% of recent humans.

631 Lower molars (SJMJ2455 and SJMJ2461 M₂; SJMJ2460 M₃) We did not observe the 632 protostylid forms seen at the EDJ on SJMJ2461 (right M_2) and SJMJ2460 (right M_3) in our 633 comparative samples. The hypoconulid expression in the M₂ (SJMJ2455 and SJMJ2461) is 634 particularly large. Martinón-Torres et al. (2012: Table 21) found only 16.6% of Neanderthal 635 and 4% of fossil *H. sapiens* M_2 with grade 5 hypoconulids. The characteristics of the La 636 Cotte lower molars give an ambiguous picture. Neanderthal characteristics include the 637 presence of a hypoconulid (found in the entire Neanderthal sample), a wide mesial (anterior) 638 fovea (89% of M₂ and 93% of M₃), and a 'Y' groove pattern in M₂ (75%; Table 7). The 639 corresponding figures for fossil *H. sapiens* are significantly lower (Table 7). Additionally, the 640 multiple cusps and accessory crests found on SJMJ2460 (right M₃) have been observed in

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Neanderthals (McCown and Keith, 1939; Radovčić et al., 1988; Bailey and Hublin, 2006), as
has the repeated enamel knot initiation seen at the EDJ (Martin et al., 2017).

643 In contrast, the absence of a mid-trigonid crest at the OES, observed in SJMJ2461 (right 644 M₂) and SJMJ2460 (right M₃), is rare in Neanderthal M₂ and M₃ (4% and 7% respectively), 645 but almost ubiquitous in the fossil H. sapiens sample, at 96% and 100% respectively (Table 646 7). At the EDJ, Bailey et al. (2011: Table 3) recorded the presence of a continuous mid-647 trigonid crest in their entire Neanderthal M_2 and M_3 sample (absent at La Cotte), but only 648 35.5% and 14.3% respectively of a recent European sample. Furthermore, the position of the 649 metaconid dentine horn tip on the occlusal margin of SJMJ2460 (right M₃) is rare in 650 Neanderthals. The metaconid dentine horn was centrally placed in 95% of a late Neanderthal **6**51 sample but only 36% of a recent human sample (Martin et al., 2017: Table 9).

The non-tapering shape and bifurcation of the mesial root, and the presence of longitudinal
marginal ridges on mesial and distal roots, observed in SJMJ2461 (right M₂), are typical of
Neanderthal M₂ (Compton and Stringer, 2015).

655 <u>Occipital fragment (SJMJ2452)</u> The occipital lacks any diagnostic Neanderthal anatomical 656 features. The transverse sulcus pathway is typical of recent humans, and differs from the 657 pathway observed in some Neanderthals, in which the transverse sulcus passes directly from 658 the occipital to the temporal without crossing the parietal bone (Arsuaga et al., 2002).

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660 3.3. Geometric morphometric analysis of cervix shape

Figures 17–23 illustrate PCAs of cervix morphology in shape and form (form includingthe log of centroid size as a variable) space for upper and lower molars and premolars.

The P^4 (SJMJ2457) falls closer to the *H. sapiens* samples (fossil and recent humans) in shape space but with the Neanderthals in form space, reflecting its large size (Fig. 17a, b). It differs from the mean Neanderthal cervix shape in being somewhat compressed

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666 mesiolingually and distobuccally (Fig. 17c, d). Using 11 shape PCs, attribution accuracy of 667 the comparative P^4 sample is ~65% (i.e., the proportion of specimens of known taxonomic 668 affiliation that are attributed correctly using cross-validated canonical variate analysis) and 669 posterior probabilities of discriminant analysis consistently assign SJMJ2457 (P^4) to *H*. 670 *sapiens* rather than Neanderthal.

671 The identification of SJMJ2464 as a P₃ and SJMJ2465 as a P₄ is supported by their cervix 672 shape that groups them with the *H. sapiens* (fossil and recent humans) P₃ and P₄ samples 673 (SOM Fig. S5). The P₃ falls with the *H. sapiens* samples in shape space but closer to the 674 Neanderthal sample in form space due to its large size (Fig 18a, b). Wireframe models 675 indicate Neanderthals have a distally expanded cervix compared to the *H. sapiens* samples 676 and SJMJ2464 (P₃; Fig. 18c, d). Using 11 shape PCs, attribution accuracy of the comparative 677 P_3 sample is ~70% (with cross-validation), and posterior probabilities consistently assign 678 SJMJ2464 (P₃) to *H. sapiens*. The P₄ falls with the *H. sapiens* samples in both shape and 679 form space (Fig. 19a, b), sharing a buccolingually expanded cervix with both H. sapiens 680 samples (Fig. 19c, d) but being more similar in size to the earlier sample. Using 9 shape PCs, 681 attribution accuracy of the P_4 sample is ~90% (with cross-validation) and posterior 682 probabilities consistently assign SJMJ2465 (P₄) to *H. sapiens*.

The SJMJ2456 M^1 plots with Neanderthals in both shape space and form space (Fig. 20a, b). It shares a distolingual expansion of the cervix with Neanderthals although it is not as marked as the average Neanderthal M^1 wireframe (Fig. 20c, d). Using 11 shape PCs, attribution accuracy of the comparative M^1 sample is ~90% (with cross-validation) and posterior probabilities consistently assign SJMJ2456 (M^1) to Neanderthals.

The SJMJ2458 M^2 falls on the margin of the overlapping distributions of the *H. sapiens* and Neanderthal samples in shape space, and the form analysis demonstrates it is larger than most of the *H. sapiens* comparative sample and of average size for a Neanderthal (Fig. 21a, b). The wireframe model highlights the unusually small hypocone of SJMJ2458 (M^2) compared to the mean *H. sapiens* and Neanderthal cervix shapes (Fig. 21c, d). Using 13 shape PCs, attribution accuracy of the comparative M^2 sample is ~70% (with crossvalidation) and SJMJ2458 (M^2) assigns with near equal frequency as either a Neanderthal or *H. sapiens* (i.e., its taxonomic attribution cannot be determined based on cervix shape).

The SJMJ2459 M^3 falls well outside of both Neanderthal and *H. sapiens* ranges and it is considerably larger than the largest Neanderthals in this analysis (Fig 22a, b). As with the SJMJ2458 M^2 , the wireframe comparisons of mean shape illustrate the particularly strange contour of the SJMJ2459 M^3 (Fig. 22c, d). Using 9 shape PCs, attribution accuracy of the comparative M^3 sample is ~70% (with cross-validation) and SJMJ2459 (M^3) is consistently assigned to Neanderthals.

The two M_2 (SJMJ2455 and SJMJ2461) fall in an intermediate position between the Neanderthals and *H. sapiens* in shape space but fall closer to the fossil *H. sapiens* and Neanderthal samples when size is included (Fig. 23a, b). The M_2 s are similar to the Neanderthal sample in having a buccally expanded cervix without the buccal pinching distal to the protoconid present in the *H. sapiens* samples (Fig. 23c, d). Using 14 shape PCs, attribution accuracy of the comparative sample is ~85% (with cross-validation) and posterior probabilities consistently assign both SJMJ2455 and SJMJ2461 (M_2) to Neanderthals.

Cervix shape alone tends to perform less well at taxonomic discrimination than analyzes that also incorporate the EDJ marginal ridge (Martin et al., 2017) and, at a number of tooth positions, attribution accuracy of the comparative sample (in which taxonomic affiliation is known) ranges between 60–90%. In summary, the P₃, P₄ and P⁴ are consistently assigned as *H. sapiens*, while the two M₂, the M¹ and the M³ are consistently assigned as Neanderthals. Attribution of the M² is less consistent and cervix shape cannot contribute strongly to its taxonomic assessment. 716

717 3.4. Metrical comparisons

Comparative data for crown dimensions are presented in SOM Tables S7 and S8, and
adjusted Z scores for crown dimensions are illustrated in Figures 24 and 25.

720 Crown area See Figure 24. The crown areas of the La Cotte teeth are all close to or above the 721 late Neanderthal comparative sample means, with associated adjusted Z scores under ± 0.5 , 722 except for SJMJ2463 (left C₁) and SJMJ2464 (left P₃), which are particularly large. The C₁ 723 crown area is above the range of the late Neanderthal comparative sample, and its 724 buccolingual dimension is only exceeded by Kebara 2 (10.2 mm). The buccolingual 725 dimension of the P₃ is only exceeded by La Quina 9 (11.0 mm), and this is the only tooth in 726 the late Neanderthal comparative sample with a larger crown area (99 sq mm). With the 727 exception of these two teeth, the crown areas of the La Cotte teeth are all within the ranges of 728 the other comparative samples, with associated adjusted Z scores up to ± 0.7 (-0.8 for the 729 Krapina P₄). In contrast, the adjusted Z scores for SJMJ2463 (left C_1) and SJMJ2464 (left P_3) 730 are 0.8 and 0.7 respectively in relation to late Neanderthals, and 1.0 and 1.4 in relation to 731 fossil *H. sapiens*.

732 Crown index See Figure 25. The crown indices of the La Cotte teeth all have adjusted Z 733 scores under ± 0.5 in relation to the late Neanderthal comparative sample except for the left 734 M³ SJMJ2467 (-0.7). The La Cotte M¹, M² and M³ have crown indices below the means of all 735 the comparative samples (SOM Table S7; with the lone exception of the right M³ SJMJ2459 736 in relation to Krapina), indicating that they are squarer; and C_1 , P_3 and P_4 have crown indices 737 above the means of the comparative samples (SOM Table S8), indicating that they are 738 relatively buccolingually expanded. Two large differences in the comparative samples stand 739 out. The fossil *H. sapiens* M¹ has an adjusted Z score of -1.3, reflecting the more rectangular 740 shape of these teeth compared to Neanderthals. The Krapina P_3 has an adjusted Z score of 2.2 due to the lower crown indices of these teeth and this is also apparent in the Krapina lowermolars.

743 Cervical dimensions See Table 8. In all but one case (SJMJ2461, right M_2) the La Cotte 744 dimensions are above the Neanderthal comparative sample means. The mesiodistal 745 dimensions of SJMJ2463 (left C₁) and SJMJ2464 (left P₃), and the buccolingual dimension of 746 SJMJ2457 (left P⁴), at the cervix are either above or at the upper end of the Neanderthal 747 ranges. SJMJ2464 (left P₃) has adjusted Z scores for Neanderthal of 1.5 for the mesiodistal 748 dimension and 1.0 for the buccolingual dimension. Keith and Knowles (1912) noted the large 749 cervical dimensions; and the particularly high cervical dimensions of the La Cotte canine and 750 premolars, other than SJMJ2465 (left P₄), is very distinctive. Fossil *H. sapiens* have smaller 751 cervical dimensions than Neanderthals, and this difference is most pronounced in the P₄, M² 752 and M₂. The fossil H. sapiens adjusted Z scores for SJMJ2463 (left C₁) and SJMJ2464 (left 753 P₃) in both mesiodistal and buccolingual dimensions, and for SJMJ2458 (left M²) in the 754 mesiodistal dimension, are all at 1.0 or above.

Root length The only La Cotte tooth with a complete root is SJMJ2467 (LM³), for which only
a cast is now available (Keith and Knowles, 1912: preface). It has a length of 16.0 mm, which
is within the Neanderthal range (13.5–16.7 mm, mean 15.2 mm; Bailey, 2005), and above the
ranges for Předmostí (11.0–14.0 mm; Matiegka, 1934) and contemporary humans (8.0–15.0
mm; Black, 1902).

760

761 3.5. Number of individuals

Since all the teeth from La Cotte were found in one place, and are broadly similar in their degree of development and wear, the 'null hypothesis' would be that they all come from the same individual (Keith and Knowles, 1912). Our observations demonstrate that the eleven

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teeth from La Cotte represent a maximum of six individuals, made up of three groups of teeththat can be confidently associated with one another and three isolated teeth:

767 i) The largest group of unambiguously associated teeth comprises four molars, SJMJ 768 2455, 2458, 2460 and 2461 (referred to hereafter as individual A-1). SJMJ2455 (left 769 M₂) and SJMJ2458 (left M²) occlude (Fig. 13i). SJMJ2455 (left M₂) and SJMJ2461 770 (right M₂) are likely to be antimeres, due to the similarities of morphology and size, 771 and the shared presence of lingually placed distal interproximal facets, a highly 772 unusual pattern of distal wear (Fig. 12a, marked 'a') and unusually lingually inclined 773 roots. SJMJ2461 (right M₂) and SJMJ2460 (right M₃) are likely metameres. The 774 lingual placement of the interproximal facet on SJMJ2461 suggests lingual 775 displacement of the adjacent M₃, and the form of wear on SJMJ2460 is consistent 776 with this (Fig. 14i). SJMJ2460 (right M₃) also has a prominent form of the protostylid, 777 similar to, although larger than, that seen on SJMJ2461.

778 ii) The matching interproximal wear between SJMJ2463 (left C_1) and SJMJ2464 (left

P₃), and their unusually large size, demonstrates that they are metameres (A-2)

780 iii) SJMJ2456, right M^1 (A-3)

781 iv) SJMJ2467, left M³ (A-4)

782 v) SJMJ2457 (left P^4) and SJMJ2465 (left P_4) occlude (B-1)

783 vi) SJMJ2459, right M³ (B-2).

The minimum number of individuals (MNI) represented by these eleven teeth is two, referred to as Individuals A and B. This can be confidently inferred from the fact that some of the groupings identified above cannot belong to the same dentition. Firstly, SJMJ2459 (right M³; B-2) does not occlude with either the right M₂ or the right M₃ from A-1. Secondly, premolars SJMJ2464 (left P₃; A-2) and SJMJ2465 (left P₄; B-1) are not metameres, since the interproximal facets do not match, and their large difference in size is inconsistent with asingle dentition.

Teeth from individual B (SJMJ2457 [left P⁴; B-1] and SJMJ2465 [left P₄; B-1] and 791 792 SJMJ2459 [right M³; B-2]) exhibit a similar degree of wear that is proportionally greater than 793 that of the other teeth and consistent with a single individual. The remaining four teeth, SJMJ 794 2456, 2467, 2463 and 2464, cannot with complete confidence be associated with either 795 Individual A or B, but are tentatively included with Individual A on the basis of dental 796 metrics. SJMJ2456 (right M¹; A-3), SJMJ2467 (left M³; A-4) and SJMJ2458 (left M²; A-1) 797 have similar crown area and crown index values in relation to the Neanderthal means. 798 Likewise, the relationship of crown area to the Neanderthal mean in SJMJ2463 (left C₁) and 799 SJMJ2464 (left P₃; A-2) is similar to that in M₂ SJMJ2461 and SJMJ2455 (A-1).

800 In summary, Individual A is represented by four teeth that are unambiguously associated 801 and another four teeth that could belong to this individual, and Individual B is represented by 802 three teeth. Based on the level of wear and the fact that there is dentine exposure on third 803 molars in both individuals, it is likely that Individuals A and B were (young) adults. Trinkaus 804 (1995) found a uniform pattern of wear in a sample of Neanderthals and noted that there was 805 slight dentine exposure on first molars, as at La Cotte, in the third decade of life. However, 806 the accumulation of cementum on the apical two thirds of the roots and the amount of 807 secondary dentine in the pulp chambers of the molars, from both individuals, could indicate a 808 greater age at death, as these are age related processes (Hillson, 1996; Guatelli-Steinberg and 809 Huffman, 2012). The teeth found in the first season (1910) clearly did not all come from a 810 right mandible as stated by Nicolle and Sinel (1910), but the fact that the teeth were in a row 811 suggests that those from Individual A, at least, might have been originally deposited in 812 anatomical association.

33

The occipital fragment came from an individual who died in late childhood or adolescence. It can therefore be concluded, from the degree of root development and wear on the teeth, that this fragment is not associated with the dental remains and therefore comes from a third individual.

817

818 **4. Discussion**

Individually, all of the La Cotte teeth have diagnostically Neanderthal characteristics and seven teeth also have *H. sapiens* characteristics. The teeth that lack *H. sapiens* characteristics are SJMJ2463 (left C₁), SJMJ2458 (left M²), and the upper third molars, SJMJ2459 (right M³) and SJMJ2467 (left M³). Table 9 summarizes the mix of Neanderthal and *H. sapiens* characteristics within the individual teeth, grouped according to the maximum number of six individuals (A-1, A-2, A-3, A-4, B-1 and B-2) and minimum number of two individuals (A 825 and B).

Within A-1 (SJMJ2458, left M²; SJMJ2461, right M₂; SJMJ2455, left M₂; SJMJ2460,
right M₃), the M² has no *H. sapiens* characteristics and the other three molars have a mixture
of characteristics. Within A-2 (SJMJ2463, left C₁; SJMJ2464, left P₃), the canine has entirely
Neanderthal diagnostic characteristics but those of the premolar are mixed. A-3 (SJMJ2456,
right M¹) has mixed characteristics. A-4 (SJMJ2467, left M³) only has Neanderthal
characteristics. Within B1, both premolars (SJMJ2457, left P⁴; SJMJ2465, left P₄) have a
mixture of characteristics. B-2 (SJMJ2459, right M³) only has Neanderthal characteristics.

Traits that are specific to one of these six groups include absence of mid-trigonid crest in lower molars (A-1), and particularly large teeth compared to Neanderthal and *H. sapiens* (A-2). Traits that occur in more than one group include absence of transverse crest and mesiolingual truncation in lower premolars, and cervix shape of *H. sapiens* form (A-2 and B-1). It is notable that for teeth with multiple crown traits that show a significant difference in prevalence between Neanderthals and fossil *H. sapiens* (P₄, M₂, M₃) there is an ambiguous
picture.

840 Four of the maximum of six individuals have a mixture of diagnostic Neanderthal, and H. 841 sapiens, characteristics. Three of these sets of teeth could belong to the same dentition 842 (Individual A) but the fourth belongs to a second dentition (Individual B). This suggests that 843 the occurrence of mixed characteristics relates to a group of individuals, rather than being 844 specific to one individual. The H. sapiens characteristics occur principally in the lower 845 premolars, are less common in the P⁴, M¹ and lower molars, and are reflected in cervix shape 846 and crown morphological traits. The analysis of cervix shape gives a varied picture. The 847 molars (other than M^2) are assigned to Neanderthals, while the premolars are assigned to H. 848 sapiens. The root forms and the tooth dimensions are entirely compatible with Neanderthals. 849 Several traits that are considered to be particularly typical of Neanderthals (Bailey, 2002, 850 2004, 2006a; Martin et al., 2017) are absent in the La Cotte teeth. These are the presence of a 851 transverse crest, and mesiolingual truncation in lower premolars; the presence of a mid-852 trigonid crest, and metaconid central dentine horn tip placement in lower molars; and 853 peculiarities of the occlusal shape of M¹. The first four of these traits are not diagnostic of 854 either Neanderthals or *H. sapiens*, but they are consistently present in Neanderthals and less 855 common in *H. sapiens*. The small occlusal polygon of the M¹ from La Cotte is diagnostically 856 Neanderthal, but other aspects of the M¹ morphology are more ambiguous.

Three aspects of the morphology of the La Cotte teeth are unusual in both Neanderthals and fossil *H. sapiens*. These are the reduced hypocone on SJMJ2458 (left M²); the concave mesial surface and unusual cervical shape of SJMJ2459 (right M³); and the protostylid form on SJMJ2461 (right M₂) and SJMJ2460 (right M₃). The protostylid form of SJMJ2461 (right M₂) is superficially similar to that observed in *Paranthropus robustus* (Skinner et al., 2009), although not identical. We consider the SJMJ2460 (right M₃) protostylid form to reflect abnormal development of the distal part of the crown and thus not useful for its taxonomicassessment.

865 With the exception of the possibly early form of the protostylid, there are no traits relating 866 to earlier hominins in the La Cotte teeth. Traits that are only seen in *Homo heidelbergensis*, 867 pre-Neanderthals or early Neanderthals (Compton and Stringer, 2015) are absent. These 868 include a buccal cingulum at the enamel surface on P_3 (*H. heidelbergensis*); a distal 869 occlusolingual cleft on P₃ (*H. heidelbergensis* and pre-Neanderthal); mesiobuccal swelling 870 (viewed occlusally) of P_4 (*H. heidelbergensis*, pre-Neanderthal and early Neanderthal); and 871 grade 2 buccal vertical grooves/cingula on lower molars (H. heidelbergensis, pre-Neanderthal 872 and early Neanderthal). Multiple lingual cusps on the P₃ (present at La Cotte) are not found in 873 H. heidelbergensis (Compton and Stringer, 2015; M. Bermúdez de Castro, pers. comm. 874 regarding Arago),

875 The dental morphology of at least two dental individuals from La Cotte therefore displays 876 a mixture of Neanderthal and H. sapiens characteristics, with Neanderthal features more 877 strongly represented, and is clearly distinct from earlier hominins. Since there is more than 878 one individual involved, abnormality of development can be dismissed as a likely cause. This 879 mix of features could suggest shared ancestry or, alternatively, a need to extend the 880 phenotype of one or other species to accommodate this variation. If this combination of 881 features is not the result of introgression, it could be due to genetic drift as a consequence of 882 isolation, but this does not seem probable since, at the likely date of these fossils (<48 ka), 883 sea levels were lower and Jersey was connected to mainland Europe. Another possibility is 884 short term evolutionary pressures, although it is difficult to see what advantage would be 885 conveyed by these minor morphological differences. The small sample of teeth from La Cotte 886 does not enable us to determine which of these scenarios is more likely. Attempts to extract 887 DNA and resolve the issue through genetic analysis have thus far proved unsuccessful.
888 The site of Palomas in southern Spain has hominin dental material from secure 889 stratigraphic contexts dated to ~45-38 ka cal BP (Walker et al., 2017), which shows a 890 comparable mix of dental features to those found at La Cotte. The traits of the Palomas teeth 891 are primarily Neanderthal, but not all the P₄ exhibit asymmetry and a transverse crest (present 892 in 50% and 75% respectively); only one of the eight lower molars has a mid-trigonid crest at 893 the OES, and fewer than half (43%) have a mesial (anterior) fovea of grade >1 (Zapata et al., 894 2017). However, unlike La Cotte, the crown breadths of some of the anterior teeth, and crown 895 areas of some of the lower molars, are more than two standard deviations below the 896 Neanderthal mean (Pinilla and Trinkaus, 2017). Trinkaus (2017) concluded that the Palomas 897 remains were Neanderthals, but acknowledged that the Neanderthal range of variation would 898 need to be extended to accommodate this group within Neanderthals because of differences 899 in dental traits, their small size, and other aspects of their skeletal morphology. DNA analysis 900 might help resolve the taxonomic ambiguity, but to date none has been reported.

901 Trinkaus (2007) identified distinct Neanderthal dental characteristics that appear in 902 European Late Pleistocene H. sapiens dated earlier than 33 ka, and considered these to be due 903 to the assimilation of Neanderthals into these populations. The characteristics listed involve: 904 (1) morphological traits in I^1 , C^1 and P_4 (multiple lingual cusps, mesially placed metaconid, 905 and asymmetry for P₄) that are present in the earlier material and generally absent in later, 906 post 33 ka, material; (2) relatively large anterior teeth; and (3) megadont distal molars. The 907 only *H. sapiens* specimen known to have with a high level of Neanderthal ancestry is the Oase 908 1 mandible and, by association, the Oase 2 cranium (Fu et al., 2015). Between 6 and 9% of its 909 genome was derived from Neanderthals and it was concluded that there had been a 910 Neanderthal ancestor 4-6 generations back (Fu et al., 2015). However, the Oase teeth 911 (molars) do not exhibit any Neanderthal features. The lower molar mesial (anterior) foveae 912 are small and there are no mid-trigonid crests; the M¹ is of *H. sapiens* form; and the teeth are 913 non-taurodont. The complex crown morphology of the M³ was considered unique (Trinkaus
914 et al., 2012). The teeth, however, are very large, particularly the second and third molars. A
915 discriminant function analysis of the lower molar crown dimensions plotted them with
916 Neanderthals (Trinkaus et al., 2003).

917 There is no evidence of transitional or Upper Paleolithic characteristics in the stone 918 artifacts retained from the excavations at La Cotte. However, the degree of association 919 between the teeth and the Mousterian artifacts in the occupation level is uncertain because the 920 teeth were found on a ledge above the occupation level (though clearly accessible). The stone 921 artifacts recovered at Palomas are similarly described as Mousterian (Walker et al., 2017).

922 The supraradicular taurodontism found in the La Cotte molars is the type generally found 923 in Neanderthals. The more extreme radicular or total taurodontism, where the pulp chamber 924 extends to the tip of a single root, is only found at a few sites, e.g., Krapina (Smith, 1976); 925 Fondo Cattíe (Tarli, 1983); Palomas (Walker et al., 2008). Pyramidal roots, defined by 926 Kupczik and Hublin (2010) as fused roots that can have apical root canal branches in addition 927 to radicular or total taurodontism, are found at Regourdou, Kebara and La Quina (Kupczik 928 and Hublin, 2010) and Aubesier (Lebel et al., 2001). The description of SJMJ2461 (M₂), 929 where both Shifman and Chananel's (1978) and Keene's (1966) methods are used to measure 930 the degree of taurodontism, demonstrates that different methods can give very different 931 results. The degree of taurodontism in the La Cotte premolars is particularly notable. The 932 extent of taurodontism in molars can be identified by the type, the height of the pulp 933 chamber, and its shape—hourglass or barrel. In the comparative samples of Neanderthal P₃ 934 and P₄ there is considerably greater variation of form than is found in molars. There is 935 variation in the degrees of buccolingual and mesiodistal widening, and in the overall shape 936 and the degree of tapering, in addition to the extent to which the pulp chamber expands 937 towards the root apex. Consideration is needed as to how this should be measured. A point of 938 interest is that P_3 and P_4 show a similar degree and shape of the taurodontism when both teeth 939 are present in a specimen in the comparative samples in six of nine cases, and the P_4 has a 940 slightly greater level of taurodontism in the remainder. This contrasts with the situation in 941 molars, where the degree of taurodontism frequently increases from first to third (Dumančić 942 et al., 2001).

943

944 **5.** Conclusions

945 The crown and root dimensions for the La Cotte teeth fit well with the Neanderthal 946 comparative samples, and the morphology of the crowns and roots are, in most aspects, 947 typical of Neanderthals. However, there are also *H. sapiens* characteristics, specifically the 948 lack of asymmetry and absence of transverse crests in the lower premolars; the mixture of 949 characteristics in the M¹; and the absence of mid-trigonid crests, and the marginal position of 950 the metaconid dentine horn tip, in the lower molars. Furthermore, the cervix shape of molars 951 and premolars gives a mixed picture, Neanderthal for molars and H. sapiens for premolars. 952 The taxonomic attribution of the teeth is therefore ambiguous. Neanderthal characteristics are 953 present in all eleven teeth, but seven of the teeth also have *H. sapiens* characteristics. *Homo* 954 sapiens characteristics occur in teeth from both the minimum of two adult individuals 955 identified, suggesting a group or kin with these characteristics. Also of note is the unusual 956 form of the protostylids found at the EDJ on lower molars, which has not previously been 957 observed in Neanderthal or H. sapiens teeth.

The occipital fragment, which was found in a stratigraphically higher archaeological horizon, is likely to be from an immature individual who died in late childhood or adolescence. Its taxonomic status cannot be determined with certainty, but there are no anatomical features preserved in the bone that clearly indicate a Neanderthal affinity.

962 Of the various scenarios that can be considered to explain the mix of features in the La 963 Cotte teeth, we favor shared Neanderthal and *H. sapiens* ancestry. The <u>likely</u> dating of the 964 fossils during a period of temporal overlap between these groups is consistent with this 965 interpretation.

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- 1283
- 1284 Figure legends
- 1285

Figure 1. Location of La Cotte de St Brelade (from Shaw et al., 2016). A) Channel Islands within north-western Europe. B) Jersey in relation to other Channel Islands and the French coast, showing the ~7 m drop in sea level necessary to reconnect to the continent. C) simplified geological map of Jersey showing main sites. Based on an image supplied by John Renouf, with permission.

Figure 2. Site plan (A) and cross-section (B) of La Cotte de St. Brelade.

Figure 3. E.T. Nicolle shown standing on the level of the hearth, with what might be the
ledge on which the teeth were found on the left-hand side. Photograph by R. Mollet.
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- 1295 Figure 4. SJMJ2463, left C₁. a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1296 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in lingual
- 1297 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section
- shown in f). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

- 1299 Figure 5. SJMJ2457, left P⁴. a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1300 views. d-f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1301 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section
- 1302 shown in f). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.
- 1303 Figure 6. SJMJ2464, left P₃. a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1304 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1305 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section
- 1306 shown in f). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.
- 1307 Figure 7. SJMJ2465, left P₄. a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1308 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1309 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section
- 1310 shown in f). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.
- 1311 Figure 8. SJMJ2456, right M¹. a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1312 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1313 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section
- 1314 shown in f). Occlusal polygon shape shown on occlusal photograph. Abbreviations: B =
- 1315 buccal; D = distal; L = lingual; M = mesial.
- 1316 Figure 9. SJMJ2458, left M². a-c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1317 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1318 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section
- 1319 shown in f). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.
- 1320 Figure 10. SJMJ2459, right M³. a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1321 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1322 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section
- 1323 shown in f). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

- **Figure 11.** Cast of SJMJ2467, left M^3 . a–f) Photographs in occlusal (a), mesial (b), buccal (c), apical (d), distal (e), lingual (f) views. Note that a black and white photo of the original tooth taken after casting is provided in distal view. Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.
- 1328 Figure 12. SJMJ2461, right M₂. a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1329 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1330 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section
- 1331 shown in f). Letters (a and b) on occlusal image denote mesiodistally concave/convex facets.
- 1332 Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.
- 1333 Figure 13. SJMJ2455, left M₂. a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1334 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1335 view. h) CT cross-section (plane of section shown in d). i) occlusion of SJMJ2455 and
- 1336 SJMJ2458 (not to scale). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.
- 1337 Figure 14. SJMJ2460, right M₃. a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1338 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1339 view showing numerous accessory dentine horns (*). h) CT cross-section (plane of section
- 1340 shown in d). i) articulation of SJMJ2460 and SJMJ2461 (not to scale). Abbreviations: B =
- 1341 buccal; D = distal; L = lingual; M = mesial.
- **Figure 15.** La Cotte SJMJ2452 occipital fragment (right) compared to a modern child aged
- 1343 about 6 years. A) ectocranial surface; B) endocranial surface, dotted lines indicating the
- 1344 pathway of the transverse sulcus, which passes directly across the asterion in both bones.
- 1345 **Figure 16.** Comparison of M¹ occlusal polygon shapes. Neanderthal: Krapina D161; La
- 1346 Cotte: SJMJ2456; fossil *H. sapiens*: La Madeleine. Abbreviations: B = buccal; D = distal; L =
- 1347 lingual; M = mesial.

1348 Figure 17. Results of the principal components analysis of cervix shape landmarks in P^4 in

1349 shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and

1350 form (d) space. The percentage of variance depicted by each principal component (PC) is

1351 indicated. Abbreviations: Hn = Homo neanderthalensis; Hs = Homo sapiens.

1352 Figure 18. Results of the principal components analysis of cervix shape landmarks in P₃ in

1353 shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and

1354 form (d) space. The percentage of variance depicted by each principal component (PC) is

1355 indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

1356 Figure 19. Results of the principal components analysis of cervix shape landmarks in P₄ in

1357 shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and

1358 form (d) space. The percentage of variance depicted by each principal component (PC) is

1359 indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

1360 **Figure 20.** Results of the principal components analysis of cervix shape landmarks in M^1 in

1361 shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and

1362 form (d) space. The percentage of variance depicted by each principal component (PC) is

1363 indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

Figure 21. Results of the principal components analysis of cervix shape landmarks in M^2 in shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and form (d) space. The percentage of variance depicted by each principal component (PC) is

1367 indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

Figure 22. Results of the principal components analysis of cervix shape landmarks in M³ in

1369 shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and

1370 form (d) space. The percentage of variance depicted by each principal component (PC) is

1371 indicated. Abbreviations: Hn = Homo neanderthalensis; Hs = Homo sapiens.

- 1372 Figure 23. Results of the principal components analysis of cervix shape landmarks in M₂ in
- 1373 shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and
- 1374 form (d) space. The percentage of variance depicted by each principal component (PC) is
- 1375 indicated. Abbreviations: Hn = Homo neanderthalensis; Hs = Homo sapiens.
- 1376 Figure 24. Crown area adjusted Z scores; distances of La Cotte dimensions from comparative
- 1377 sample means of late Neanderthal, fossil *Homo sapiens* and Krapina. Abbreviations: Hs = *H*.
- 1378 *sapiens*; U = upper; L = lower; C = canine; P = premolar; M = molar; UM3a = SJMJ2467;
- 1379 UM3b = SJMJ2459. P^4 : buccolingual dimension only.
- 1380 Figure 25. Crown index adjusted Z scores; distances of La Cotte dimensions from
- 1381 comparative sample means of late Neanderthal, fossil Homo sapiens and Krapina.
- 1382 Abbreviations: Hs = *H. sapiens*; U = upper; L = lower; C = canine; P = premolar; M = molar;
- 1383 UM3a = SJMJ2467; UM3b = SJMJ2459.
- 1384

Response to reviewers

A number of minor clarifications have been made to the ms, identified in the cover letter and the tracked version of the ms.

There are three minor factual corrections and some grammatical corrections, not tracked. The factual corrections are:

Line 43; 40.6 in place of 40.5

Line 616; '39% and 13%' in place of '13% and 39%'

Line 890; 'P₃ and' deleted.

Also, in Table 6, hypocone size for SJMJ2459 changed from (3.5) to (>2).

The morphology of the Late Pleistocene hominin remains from the site of La Cotte de St Brelade, Jersey (Channel Islands)

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Acknowledgements

We would like to thank Shara Bailey, Silvia Bello, Emmy Bocaege, Christopher Dean, Brenna Hassett, Robert Kruszynski, Helen Liversidge, Theya Molleson and Carina Phillips for help and advice; Robert Kruszynski and Rachel Ives for access to the Natural History Museum collections; Harry Taylor for photographing the La Cotte teeth; Laura Buck for producing the CT scans; Vida Milovanovic for access to the Royal College of Surgeons archives; Ruth Benny and Katie Ormerod for access to the Natural History Museum archives. We would also like to thank Jersey Heritage for facilitating the research loan of the material, and for giving their permission for online open-access to scans of the material. In addition we would like to thank the Calleva Foundation and Human Origins Research Fund for funding parts of the NHM-based research. For access to comparative CT scans we thank Jean-Jacques Hublin, Department of Human Evolution, Max Planck Institute for Evolutionary Anthropology, Leipzig. This project has received support from the European Union's Horizon 2020 research and innovation programme (grant agreement No. 819960). Our initial reinvestigation and dating of La Cotte de St Brelade was made possible through a grant by the Natural Environment Research Council and with the kind permission of the Société Jersiaise, who own the site.

The morphology of the Late Pleistocene hominin remains from the site of La Cotte de St
 Brelade, Jersey (Channel Islands)

3

4 ABSTRACT

5 Thirteen permanent fully-erupted teeth were excavated at the Paleolithic site of La Cotte de 6 St Brelade in Jersey in 1910 and 1911. These were all found in the same location, on a ledge 7 behind a hearth in a Mousterian occupation level. They were originally identified as being 8 Neanderthal. A fragment of occipital bone was found in a separate locality in a later season. 9 Recent dating of adjacent sediments gives a probable age of <48 ka. The purpose of this 10 paper is to provide an updated description of the morphology of this material, and consider its 11 likely taxonomic assignment from comparison with Neanderthal and *Homo sapiens* samples. 12 One of the original teeth has been lost, and we identify one as non-hominin. At least two 13 adult individuals are represented. Cervix shape and the absence of common Neanderthal traits 14 in several teeth suggest affinities with *H. sapiens* in both individuals, while crown and root 15 dimensions and root morphology of all the teeth are entirely consistent with a Neanderthal 16 attribution, pointing towards a possible shared Neanderthal and H. sapiens ancestry (the 17 likely date of this material corresponds with the time in which both Neanderthals and H. 18 sapiens were present in Europe). The occipital fragment is stratigraphically more recent and 19 does not exhibit any diagnostic Neanderthal features.

20

Keywords: Hominin teeth; Late Pleistocene; Neanderthal; European Pleistocene *Homo sapiens*; La Cotte de St. Brelade; Hominin morphology.

23

24 **1. Introduction**

25 The timing and duration of overlaps between Homo sapiens and Neanderthals in Europe, 26 and the nature of their interaction, have long been debated by archaeologists and 27 anthropologists (e.g., Stringer, 2006; Hoffecker, 2009). Recent evidence points towards 28 contemporaneity or alternation of occupation of the two populations (Benazzi et al., 2011; 29 Harvati et al., 2019). The application of improved radiocarbon dating methods has shown that 30 the Mousterian ended by ~41-39 ka cal BP across much of Europe (Higham et al., 2014). 31 Furthermore, new data from Bulgaria suggest that *H. sapiens* were already in Eastern Europe 32 by ~45 ka, several millennia before the physical disappearance of the Neanderthals from the 33 region. Hominin fossils from Bacho Kiro were identified as H. sapiens from morphology and 34 mtDNA analysis, and directly dated to ~46.8-42.8 ka cal BP (Fewlass et al., 2020; Hublin et 35 al., 2020). Radiocarbon dating shows a clear overlap of the initial Upper Paleolithic at Bacho 36 Kiro with the late Mousterian and Châtelperronian attributed to late Neanderthal populations 37 (Fewlass et al., 2020). In central and northwestern Europe, the Châtelperronian (~44–40 ka) 38 overlaps with both the Early Aurignacian, starting at ~43–42 ka, and the Proto-Aurignacian, 39 starting at ~ 42 ka (Hublin, 2015). In Western Europe, there is direct fossil evidence for the 40 presence of both H. sapiens and Neanderthals at $\sim 41-40.4$ ka. A tooth discovered in a Proto-41 Aurignacian context at the site of Grotta di Fumane in northern Italy and dated to ~41-38.5 42 ka cal BP was found to have H. sapiens DNA (Benazzi et al., 2015), while a Neanderthal 43 tibia from Saint-Césaire in western France was directly dated to $\sim 42-40.6$ ka cal BP (Hublin 44 et al., 2012) and Neanderthal fossils from Spy in Belgium have been directly dated to 45 ~42.2-40.4 ka cal BP (Semal et al., 2013). The Oase 1 H. sapiens from Romania, dated to 46 ~42.5–40.5 ka cal BP (Zilhão et al., 2007), had a Neanderthal ancestor within the previous six 47 generations (Fu et al., 2015), which demonstrates that these populations probably did overlap 48 in Europe before 40 ka. In support of this, Peter (2019) has determined that, while the 49 majority of Neanderthal ancestry entered H. sapiens populations between ~55 and ~48 ka,

50 there was a lesser amount of gene flow within Europe, ending at ~40 ka. Interestingly, 51 Hajdinjak et al. (2018) found no evidence of recent gene flow from *H. sapiens* in four very 52 late Neanderthals, dated to <45 ka cal BP, from Goyet, Spy, Les Cottes and Mezmaiskaya.</p>

53 The hominin remains from La Cotte de St Brelade on Jersey (Fig. 1) probably fall within 54 this key time period when both Neanderthals and *H. sapiens* were present in Western Europe. 55 Their taxonomic status is therefore of considerable interest. The hominin remains originate 56 from an area of complex sedimentation close to where the North and West Ravines meet (Fig. 57 2). Members of the Société Jersiaise undertook excavation in this area in 1910–1911 after the 58 cave entrance had been cleared of clay and granite rubble deposits. The investigators located 59 a series of fine-grained deposits, some of which were rich in ash and carbonized wood, which 60 they described as hearths (Nicolle and Sinel, 1910). The excavations of these deposits 61 continued until 1920 and produced at least 20,000 stone artifacts (Callow, 1986a), but it 62 appears that smaller debitage elements were largely discarded during the initial seasons. 63 These artifacts were described at the time as Mousterian in character (Marett, 1916), and 64 more recent analysis has confirmed that all the stone artifacts are consistent with Late Middle 65 Paleolithic technological practices (Callow, 1986a). The assemblage contains both Levallois 66 and discoidal production elements, as well as formal tools, including side scrapers and two 67 bifaces. No artifacts consistent with Upper Paleolithic technology have been identified among 68 the artifact collections of La Cotte de St Brelade.

It is not possible to determine what fauna were found within deposits directly associated with the hominin remains from the surviving archive of the excavations carried out between 1910 and 1920. However, the faunal material recovered from 'Weichselian' units as a whole includes *Mammuthus primigenius*, *Coelodonta antiquitatis*, *Equus* sp., *Rangifer tarandus*, *Crocuta crocuta*, and *Vulpes vulpes* (see Callow, 1986b), species which would be consistent with a Marine Isotope Stage (MIS) 3 attribution in northern France (Auguste, 2009). Mammoth, woolly rhinoceros and horse are specifically mentioned as coming from the samegeneral area as the hominin teeth (Nicolle and Sinel, 1910; Keith and Knowles, 1911).

77 The hominin teeth were discovered over two seasons. In 1910, nine teeth were found in a 78 mass of poorly preserved bone (for which no identifications are recorded) on a rock ledge 79 above the layer identified as a hearth (Fig. 3; Nicolle and Sinel, 1910). They were described 80 as lying side by side in original position, but with no trace of once supporting bone apparent. 81 A further four teeth were found in clay adhering to the rock at the same location in 1911 82 (Nicolle and Sinel, 1912). An occipital fragment, together with two other bone fragments, 83 were discovered in a ravine 6 ft (1.8 m) beyond the entrance of the cave and 18 ft (5.4 m)84 above the Mousterian cave floor level by Ernest Daghorn in 1915 (Marett, 1916).

85 Analysis of the surviving archive and a modern survey of the site have allowed us to 86 broadly identify the location and position in the stratigraphic succession from which the 87 hominin teeth were recovered and, more generally, the position and context of the hominin 88 occipital fragment (Table 1). Although the deposits from this part of the site were removed 89 during the early 20th century, their stratigraphic equivalents appear to extend into areas of 90 remaining sediment within the main West Ravine (Bates et al., 2013). Optically stimulated 91 luminescence (OSL) dating undertaken in 2011 within the middle parts of this sequence, 92 approximately 4 m below the location where the teeth were discovered, suggests that the 93 deposits containing the teeth and the occipital fragment both postdate ~48 ka (Bates et al., 94 2013). In view of the vertical extent of sediments between the lower dated horizon and the 95 location from which we understand the teeth to have been discovered, a date that is clearly 96 younger than 48 ka for the teeth is the only tenable proposition. It is plausible that these 97 deposits span a period in which both late Neanderthal populations and those of H. sapiens 98 were present in Western Europe, and during which sea levels were low enough for Jersey to 99 be part of a continuous landmass with France (Fig. 1B; Scott et al., 2014; Shaw et al., 2016).

100 Consequently, understanding the exact date and taxonomic affinity of these hominin fossils is101 important for understanding Pleistocene population replacement at a regional scale.

102 The teeth were originally described by Keith and Knowles (1911, 1912). The 1912 paper 103 is a reprint of the 1911 paper, with additional details of the four teeth found in 1911. Keith 104 (1913) further addressed the considerable level of taurodontism in the molar teeth. The teeth 105 were briefly described in Marett (1911) and Oakley et al. (1975), and more recently were 106 studied by Stringer and Currant (1986), who noted that by this date two of the teeth were only 107 represented by casts and one other tooth was missing. The missing tooth was a left I^1 , 108 represented only by the root. The crown of this tooth had disintegrated before it was seen by 109 Arthur Keith, as the result of an accident during conservation (letter from J. Sinel to Keith 110 dated 1st September 1911, in the archives of the Royal College of Surgeons). In 1929 Tom 111 Watson, an amateur paleontologist/archaeologist on Jersey, sent a hominin lower canine that 112 he had found at La Cotte to Arthur Keith for identification (letter dated 17th January 1929, in 113 the archives of the Royal College of Surgeons). Although Tom Watson left all his finds to the 114 Jersey Museum, this tooth has not come to light. This letter also states that Tom Watson had 115 previously sent teeth and bones that he had discovered at La Cotte to Arthur Keith for 116 identification, but no record of these has been found. The three bone fragments recovered in 117 1915 were initially identified as parts of an occipital, malar and mandible from a single 118 juvenile skull (Marett, 1916). Angel and Coon (1954) reanalyzed the material and concluded 119 that only the occipital fragment was human, and that it belonged to a child about 5 years old. 120 This opinion was supported by Stringer and Currant (1986), who agreed that the other two 121 fragments did not represent any part of the human skeleton.

122 The purpose of this paper is to provide an updated description of the morphology of the La 123 Cotte teeth and the occipital fragment, and present data relevant to their taxonomic 124 attribution.

126 **2. Materials and methods**

127 2.1. Described material from La Cotte

128 The material available for study consists of ten isolated permanent fully erupted teeth 129 (Table 2), and a fragment of occipital. These are held by the Jersey Museum, and were 130 temporarily loaned to the Natural History Museum, London, in 2015. Two other teeth, 131 SJMJ2462 (right I₁) and SJMJ2467 (left M³), are represented by casts. The casts made in 132 1911 and now held by the Natural History Museum, London, were used in this study because, 133 of several casts available, they are the most similar to the teeth described and illustrated by 134 Keith and Knowles (1912). The right I₁ (SJMJ2462) is thought to be non-hominin and is not 135 included in the analysis (see Supplementary Online Material [SOM] S1). With permission of 136 Jersey Heritage, the microtomographic scans of the La Cotte de St Brelade specimens 137 published in this paper are publically available (under a CC BY-NC 4.0 license) through the 138 Human Fossil Record online archive (https://human-fossil-record.org/).

139

140 2.2. Comparative material

141 Comparative samples for morphological traits scored at the outer enamel surface (OES) 142 principally come from Bailey (2006a) and, where the trait is not scored by Bailey (2006a), 143 from Martinón-Torres et al. (2012)-see SOM Tables S1 and S2. These samples consist of 144 Neanderthals and fossil (primarily European Late Pleistocene) H. sapiens. Comparative CT-145 scan samples employed for geometric morphometrics, and occurrence of Tomes' root 146 (Arizona State University dental anthropology system [ASUDAS] grades 4-5: Turner et al., 147 1991) and taurodontism in P₃ and P₄, consist of Neanderthals, fossil (Pleistocene) H. sapiens 148 and recent (Holocene) H. sapiens (SOM Tables S1, S3, S4). Comparative metrics data for the 149 early Neanderthal site of Krapina, late Neanderthals and fossil (European Late Pleistocene) H. sapiens were taken from the literature and the NESPOS database (2013), identified in table legends and in SOM Tables S1–S3, S5, S6. For crown dimensions, the site of Krapina was separated from late Neanderthals because of the distorting effect caused by the relatively large size of the Krapina tooth crowns, and in some cases their different shape, together with the fact that the Krapina teeth make up approximately one third of the total Neanderthal sample.

156

157 2.3. Methods

158 <u>Definitions</u> We use the terms pre-Neanderthal (e.g., Atapuerca-SH, Pontnewydd), early 159 Neanderthal (e.g., Krapina, Bourgeois-Delaunay), and classic/late Neanderthal, as proposed 160 by Dean et al. (1998). Although this assumed a linear and almost certainly oversimplified 161 model of Neanderthal evolution (Hublin and Roebroeks, 2009), Compton and Stringer (2015) 162 found it useful in classifying morphological differences observed in Neanderthal dentitions.

163 Dental morphological traits Most of the morphological traits were assessed using the Arizona 164 State University Dental Anthropology System (ASUDAS), and associated reference plaques 165 (Turner et al., 1991; Scott and Turner, 1997; Scott et al., 2018). Additional traits described by 166 other authors and not included in the ASUDAS were also utilized (see SOM S2 for 167 descriptions of the traits studied). Wherever possible, traits were scored at the OES but, due 168 to wear, some traits could only be scored at the enamel dentine junction (EDJ). There are few 169 comparative data available for the frequency of traits at the EDJ, and they are only provided 170 here for P⁴. In other cases, traits scored at the EDJ were compared to frequencies of traits at 171 the OES. Several authors have found a strong positive correlation between the EDJ and the 172 OES in the expression of morphological traits in hominins (Krenn et al., 2019 for lower 173 premolars; Guy et al., 2015 for upper molars; Skinner et al., 2008, 2010 for lower molars) but 174 we recognize that wear obscures the OES and can lead to misidentification of trait expression. For each trait, 95% confidence limits were calculated for trait frequency in each taxon, and for the difference in frequencies between the two taxa (SOM S3). Traits that are of potential use in making inferences about taxonomic affiliation are those where the confidence intervals for the two comparative samples do not overlap, or where the confidence limits for the difference in frequencies between the two comparative samples are both either above or below zero (i.e., do not include zero; Altman et al., 2000).

181 <u>Relative cusp areas and occlusal polygon</u> Relative cusp areas, and the angles and relative size 182 of the occlusal polygon, on SJMJ2456 (right M¹) were measured from a high definition 183 photograph of the occlusal surface taken perpendicular to the plane of the cervix, using 184 ImageJ software (Rasband, 2008), according to the methodology described by Bailey (2004). 185 The original positions of the cusp tips were estimated by determining the point of intersection 186 of the principal ridges of each cusp visible at the EDJ,

187 Taurodontism The degree of taurodontism of the molars was determined using the method of 188 Shifman and Chananel (1978). Shifman and Chananel's (1978) method was employed (SOM 189 S2) because it provides an absolute measurement, rather than a relative measurement that 190 requires complete roots. The distance between the bicervical line and the highest point on the 191 floor of the pulp cavity of the molar teeth was measured from the CT scans (Table 2: pulp 192 chamber height). This is preferable to taking the measurement from the roof of the pulp 193 chamber, where secondary dentine may form as the tooth wears. The method presented by 194 Keene (1966; see SOM S2) was used to measure the degree of taurodontism in SJMJ2461 195 (right M_2), because the original root length could be estimated. The original length of the root 196 of this tooth, where the broken sides of the tooth's root are converging, was estimated by 197 extending these on a scaled photograph, and assuming a rounded tip.

198 <u>Geometric morphometrics of cervix shape</u> Microtomography was used to examine the 199 internal structures of the teeth. Specimens were scanned at the Natural History Museum using

an XTekCT scanner (180 kV, 205 μ A, 0.25mm copper filter, 3000 projections) with a resultant isometric voxel size of ~30 μ m. To facilitate segmentation, each image stack was filtered using a mean-of-least-variance filter (kernel size one) or a median and mean-of least variance filter (each with kernel size three; Wollny et al., 2013). Each tooth was segmented into its enamel and dentine components in Avizo 6.3 (ThermoFisher Scientific, Waltham). Surface models of the EDJ were produced using the surface generation module (unconstrained smoothing) and saved as PLY files.

207 Geometric morphometric analysis of cervix shape (in almost all cases the dentine horns, 208 and the ridges between, were too worn for landmark-based measurement) was conducted in 209 Mathematica 10 (Wolfram, Long Hanborough) following protocols outlined in previous 210 publications (Skinner and Gunz, 2010; Martin et al., 2017). Thirty semilandmarks were 211 placed around the cervix of each tooth. For the mandibular molars, the initial cervix landmark 212 was placed on the mesiobuccal corner of the crown (beneath the protoconid) and continued 213 mesially. In the maxillary molars, the initial landmark was placed on the middle part of the 214 buccal face of the crown (between the paracone and metacone) and continued mesially. For 215 mandibular and maxillary premolars the initial landmark was placed at the mid-point of the 216 buccal face and continued mesially. Estimations of missing portions of the cervix were made 217 for SJMJ 2457 (left P⁴), 2456 (right M¹) and 2461 (right M₂; SOM Fig. S1), and subjected to 218 Procrustes superimposition and then slid (Gunz and Mitteroecker, 2013) to create a 219 geometrically homologous set of shape variables. Principal components analysis (PCA) was 220 conducted to assess variation in cervix shape. Canonical variate analysis (CVA) was 221 conducted to assess taxonomic affinity using inclusive sets of principal component scores 222 (i.e., first set using 1–5 PCs, second set using 1–6 PCs, etc.) that represented ~95% of overall 223 shape variation. PCA was conducted in both shape space and form space; the latter including

the log of centroid size as a variable. CVA was only conducted in shape space and attemptedto assign La Cotte teeth to either Neanderthals or *H. sapiens*.

226 Crown and root measurements Measurements of the La Cotte teeth were taken with sliding 227 calipers to the nearest 0.1 mm. The crown dimensions and root lengths were measured using 228 the method of Moorrees (1957): crown = maximum dimensions parallel to and at right angles 229 to the buccal surface; root = maximum vertical dimension from the cervix of the mesiobuccal 230 root on the buccal aspect. In multirooted teeth, the term 'trunk' is used to describe the portion 231 of the root between the cervix and the point at which the individual roots furcate, and root 232 trunk length was measured as the distance between the cervix and the root furcation on the 233 aspect of the tooth on which this was at a minimum. The dimensions of the root at the cervix 234 were measured as the maximum dimensions at right angles to the mesial and buccal surfaces. 235 This definition was also used to measure cervical dimensions from CT scans of comparative 236 samples. Where the measurements for both left and right antimeres are available, the average 237 was used. Mesiodistal crown dimensions of the La Cotte teeth were adjusted for wear using 238 the method of Wood and Abbott (1983), in which the estimated original margins of the tooth 239 are drawn on a scaled photograph of the occlusal surface and the difference between these 240 and the actual margins measured. The adjusted measurements were used for comparisons 241 with other teeth. Where the length of the La Cotte teeth could not be estimated, only the 242 buccolingual dimension is used for comparison with other teeth. The level of occlusal wear 243 was quantified using Murphy's method, as summarized by Smith (1984; Table 2).

An adjusted z-score method, using Student's t inverse distribution (Maureille et al., 2001), was employed to compare each of the La Cotte measurements with the means and standard deviations of comparative groups. The formula applied was:

where X, SD and *n* represent the mean, sample standard deviation and sample size respectively of the comparative sample. The interval between -1 and +1 comprises 95% of the variation in the comparative sample. A value of zero denotes that the La Cotte dimension equals the mean of the comparative sample. A positive adjusted Z score indicates a La Cotte dimension above the mean value and vice versa. All measurements and observations on the La Cotte teeth were repeated by the same observer after an interval of one month.

255

256 **3. Results**

257 3.1. Descriptions

258 The tooth crown and roots appear to not have undergone any erosive taphonomic 259 processes. However, cracking and areas of taphonomic reworking of the dentine are evident 260 in the CT scans. Layers of cementum are apparent on the apical half of the root surfaces, with 261 the exception of SJMJ2459 (right M³), but hypercementosis is not present. The pulp 262 chambers of the teeth exhibit what appears to be demineralisation and subsequent desiccation 263 of the circumpulpal primary dentine, leading to it having a 'feathered' appearance (C. Dean, 264 pers. comm.). There are deposits of secondary dentine in the molar pulp chambers. All the 265 teeth show some wear and this is generally flat and near horizontal. Most tooth crowns have 266 dentine exposed on individual cusps, and the surface morphology has largely been 267 obliterated.

Our identifications of tooth type are in agreement with those given by Keith and Knowles (1912). Traits are described using the ASUDAS grades (Turner et al., 1991) unless otherwise stated. X-ray photographs of the teeth are shown in SOM Figures S2 and S3. Discrete morphological traits of the teeth, along with comparative data, are reported in Tables 3–7.

272 <u>Lower left permanent canine (SJMJ2463)</u> See Figure 4. The crown is complete, but the apex
273 of the root is missing. Severe cracking is evident on the buccal surface of the root below the

cervix and running down the distal surface from this point to the apex. This is also evident inthe buccolingual CT slice (Fig. 4h).

276 There is moderate (grade 2) shoveling (Fig. 4a), trace (grade 1) double shoveling (Fig. 4a), 277 a grade 4 distal accessory ridge (Fig. 4g), and a mesiobuccal bulge viewed occlusally. 278 Lingually, a tuberculum dentale is present as a mild medially placed bulge without a free 279 apex and there is a faint, wide but low, lingual medial ridge (Fig. 4g). The root is 280 labiolingually wide, with deep mesial and distal longitudinal grooves. The mesial groove is 281 particularly marked. The pulp canal is single and ovoid throughout the root, wide viewed 282 distally, and mesiodistally flattened (Fig. 4h, i). There is distinct vertical convex curvature of 283 the buccal aspect of the root, particularly towards the apex, and vertical convexity of the 284 lingual aspect.

<u>Upper left fourth premolar (SJMJ2457)</u> See Figure 5. The preserved morphology (narrow and ovoid, rather than a more triangular crown shape) is consistent with a P⁴. The tooth has matching occlusion (facets, wear shape and level of wear) with the left P₄ (SJMJ2465). The crown has a postmortem chip on the distolingual corner of the occlusal rim, at the edge of a large double fracture, and the root apices are missing. The distal aspect of the crown must have broken off in life, since there is rounding and vertical striations on the occlusal edge of the fracture.

The buccal surface of the crown is swollen, viewed mesially. The sagittal sulcus is visible at the OES, curved lingually at the distal end (Fig. 5d) and, from the EDJ, it can be seen that it terminates at the mesial margin (Fig. 5g). The EDJ reveals a small mesial accessory crest (Fig. 5g), that likely delineated a small mesial fovea in the unworn tooth. A small pit is still visible in this location on the worn occlusal surface (Fig. 5d). There are two robust roots, with separate root canals, linked mesially by a radicular plate, creating a deep groove on the distal root surface (cross-section in Fig. 5i). The buccal root is vertically convex. The pulp
chamber is taurodont, extending to the root furcation. It is mildly ovoid in distal and buccalviews (Fig. 5h; SOM Fig. S4).

<u>Lower left third premolar (SJMJ2464)</u> See Figure 6. The large size of the buccal cusp, and its
more lingual placement than in SJMJ2465 (left P₄), and our analysis of cervix shape (SOM
Fig. S5) indicate a P₃. Additionally, a buccal cingulum is present at the EDJ, which occurs
only on the P₃ in the comparative samples. The crown is complete, but the root apices are
missing.

306 The occlusal crown outline is buccolingually oblong, with slight mesiolingual truncation 307 (insufficient to score tooth as asymmetric) and the mesiodistal dimension greatest buccally. 308 Viewed mesially, the upper part of the buccal surface is curved sharply lingually and the 309 lingual surface is swollen. The bulbous metaconid is mesially placed, and there are two 310 smaller distolingual cusps observable at the OES (Fig. 6a). The Y-shaped mesiobuccally 311 slanted sagittal sulcus is not interrupted (Fig. 6a). It is narrow and the two foveae are 312 insignificant, the distal being the deepest. Traces of mesial and distal accessory ridges can be 313 seen on the buccal cusp at the OES, and are clearly visible at the EDJ (Fig. 6d, g). A faint 314 distolingual groove is present at the OES (Fig. 6a, d), but the lingual margin is uninterrupted 315 at the EDJ (Fig. 6g; Davies et al., 2019). The mesial and distal margins also both appear to 316 have been uninterrupted (there is no evidence of interruption at the EDJ). Examination of the 317 EDJ (Fig. 6g) indicates no evidence of a transverse crest. Small dentine horns underlie the 318 two accessory cusps distal to the metaconid (Fig 6g). Although not visible at the enamel 319 surface, there is a faint buccal cingulum at the EDJ, consisting of a mesially placed horizontal 320 ridge continuing from a slight mesial vertical groove/ridge (not shown).

There is a grade 4 Tomes' root (cross-section in Fig. 6i), with partial division into two roots, each with a single root canal. In the mesiobuccal root there is a vertical mesial groove and a prominent buccal groove. The buccal aspect of this root is vertically convex. The

taurodont pulp chamber extends to the root furcation and is mildly ovoid in lingual view
(SOM Fig. S6). In distal view it is convex lingually on the lingual side at the cervix, and is
hourglass shaped below this. The root canal in the buccal root is enlarged buccolingually
(Fig. 6h, i).

Lower left fourth premolar (SJMJ2465) See Figure 7. Morphological identification as a P₄ is confirmed by analysis of cervix shape (SOM Fig. S5). The tooth cannot be a metamere of SJMJ2464 (left P₃) because the interproximal facets do not match. The tooth is complete apart from the apex of the root, which is chipped. Severe cracking can be seen on the lingual side of the root in the buccolingual CT slice (Fig. 7h).

333 The occlusal outline is oblong, with very slight mesiolingual truncation. The worn down 334 buccal surface of the crown lacks the convexity and lingual inclination observed in 335 SJMJ2464 (left P₃), and the lingual face is less swollen. The narrow sagittal sulcus has a 336 small bridge of enamel centrally (Fig 7d). However, examination of the EDJ reveals no 337 evidence of a transverse crest (Fig. 7g). As with SJMJ2464 (left P₃), the mesial and distal 338 foveae (distal deepest) do not appear to have been large. The sagittal sulcus does not interrupt 339 either margin at the OES at this level of wear (Fig. 7d); and neither margin is interrupted at 340 the EDJ. The EDJ reveals a distolingual cusp, in addition to the metaconid, as well as a small 341 dentine horn on the mesial marginal ridge, and confirms the presence of a distal accessory 342 ridge on the buccal cusp (Fig. 7g).

There is a single straight-sided root, mesially convex viewed apically, with a longitudinal groove in the apical half of the distal surface. The root is taurodont, with the pulp chamber extending to the apex (SOM Fig. S6). In distal view it can be seen that there has been considerable taphonomic alteration of the dentine towards the centre of the root, so that it is difficult to determine the original shape of the pulp chamber but, as with the left P₃ (SJMJ2464), the lingual side appears to be convex at the cervix (Fig. 7h; SOM Fig. S6). <u>Molars</u> The molar buccal and lingual faces are mildly convex viewed mesially. The buccal surface grooves on upper molars are weak, and they are absent on lower molars. The loss of occlusal surface morphology on the molars, despite a relatively low level of wear, suggests that the unworn cusps were low. All the molar roots exhibit supraradicular taurodontism (Kallay, 1970), where the pulp chamber is enlarged before the furcation of the roots.

354 Upper right permanent first molar (SJMJ2456) See Figure 8. The low crown index value 355 (equal mesiodistal and buccolingual measurements), particularly in relation to the other upper 356 molars in the comparative samples, and our analysis of cervix shape among Neanderthal and H. sapiens M¹–M³, indicate an M¹ (SOM Fig. S7). Additionally, the oblique ridge at the EDJ 357 358 is type 1, running from the metacone dentine horn tip to the lingual marginal ridge distal to 359 the protocone. Type 1 is typical of M^1 , but not of M^2 and M^3 (Martin et al., 2017). The crown 360 is complete, but the roots are missing above the trunk. Part of the distal margin of the crown 361 broke off antemortem, as indicated by the presence of regular minor chipping along the 362 occlusal edge of the fracture.

363 The occlusal shape is a rounded and slightly skewed rhomboid, with a metacone that is 364 mesiolingually placed, resulting in distobuccal truncation, and a buccally protruding paracone 365 (Fig. 8a). Taking into account corrections for the missing fractured areas, the metacone and 366 hypocone are of similar size. Although worn, there appears to be a grade 2 metaconule (Fig. 367 8a; mesial and distal enamel protrusions from the uninterrupted oblique ridge: Reid and Van 368 Reenen, 1995). Examination of the EDJ (Fig. 8g) indicates no cusp 5 (hypoconule), two small 369 accessory dentine horns on the mesial marginal ridge, and a grade 3 post-paracone tubercle 370 (Ortiz et al., 2017) that cannot be detected at the enamel surface due to wear. The root is 371 hypertaurodont. The lingual aspect of the root trunk is flared lingually, and there is a vertical 372 groove reaching the cervix. At the broken root surface there is no evidence of separated roots buccally or mesially, but the base of the trunk is visible distally. Due to taphonomic alterationof the dentine it is difficult to determine the original shape of the pulp chamber.

375 <u>Upper left permanent second molar (SJMJ2458)</u> See Figure 9. The distal reduction in the 376 crown and presence of a distal interproximal facet indicate an M^2 . This tooth occludes with 377 the left M₂ (SJMJ2455; Keith and Knowles, 1912). The tooth is complete apart from the root 378 apices.

379 The occlusal outline is near triangular, convex mesially and distally, and flattened 380 buccally. The tooth has a deep central fossa and no oblique ridge (Fig. 9a). No hypocone is 381 visible on the worn OES. There is a hypocone dentine horn at the EDJ (Fig. 9g), which is 382 likely to have been below grade 3 (reduced) on the original enamel surface due to its small 383 size. The presence of a cusp 5 is indicated by dentine exposure on the distal margin of the 384 OES (Fig. 9a). A grade 2 post-paracone tubercle (Ortiz et al., 2017) and a mesial marginal 385 ridge accessory tubercle are visible at the EDJ (Fig. 9g). The root is hypertaurodont. The 386 individual roots are furcated mesially at the level at which they are preserved, but with both 387 buccal and distal radicular plates (cross-section in Fig. 9i). Three individual root canals are 388 evident. The roots curve inwards in the apical half viewed distally (Fig. 9b), and both root 389 trunk and individual roots have a pronounced distal inclination. Though taphonomically 390 altered buccally, the pulp chamber appears to have been hour glass shaped in distal view (Fig. 391 9h).

392 <u>Upper right third molar (SJMJ2459)</u> See Figure 10. The diminutive distal portion of the 393 crown, and the lack of a distal interproximal facet despite the presence of substantial occlusal 394 wear, indicate an M³. The crown is complete, but the lingual root has been broken off at the 395 trunk, and the buccal roots are missing above the trunk.

396 Viewed occlusally, the mesial aspect of the tooth, including the root trunk, is markedly397 concave, and the remainder of the tooth is near circular (Fig. 10a). Most surface morphology

398 has been obliterated due to wear. It is unclear which cusps were present in the large distal 399 area of dentine exposure, even at the EDJ (Fig. 10g). There are two dentine horns evident at 400 the EDJ between this area and the protocone (Fig 10g). If one of these is the hypocone, it is 401 most likely to be the more distally placed of the two, both from its position and its larger size. 402 If this is the case, it implies the presence of multiple lingual cusps along the distal rim of the 403 unworn tooth in addition to metacone, hypocone and cusp 5. No oblique ridge is evident at 404 the EDJ. There is a faint horizontal ridge on the buccal OES of the paracone (Fig. 10a), not 405 seen at the EDJ. The root is mesotaurodont. The lingual root is separated from the buccal root 406 mesially and distally just below the fragmented root surface. The buccal root is not bifurcated 407 at this level, though separate root canals are apparent.

408 <u>Upper left third molar (SJMJ2467, cast)</u> See Figure 11. The description is based on the 1911 409 Natural History Museum cast, and published details (Keith and Knowles, 1912; see SOM Fig. 410 S8B). The crown appears to have been complete. Only part of the root trunk is present in the 411 cast, but the root appears to have been complete in the original tooth according to the 412 photograph in Keith and Knowles (1912; Fig. 11e).

413 Viewed occlusally, the crown is strongly tapered distally, and flattened mesially. Details 414 of morphology are not clear due to the poor quality of the cast. There is a deep central fossa 415 and no oblique ridge (Fig. 11a). Keith and Knowles (1912) described the tooth as three-416 cusped, lacking the hypocone. There is no evidence of a cusp 5 (Fig. 11e). The roots were 417 described as more compressed together than in the left M², but otherwise similar in form to 418 those of the molars found previously (Keith and Knowles, 1911, 1912). The roots appear to 419 have been hypertaurodont, with a greater degree of taurodontism than the other La Cotte 420 molars (Fig. 11e).

421 <u>Lower right permanent second molar (SJMJ2461)</u> See Figure 12. This tooth is identified as 422 an antimere of SJMJ2455 (left M₂). It has a distal interproximal facet, which is located

423 lingually, implying lingual displacement of the adjacent tooth. Displacement of this nature is 424 more likely to occur in an M_3 than in an M_2 . The tooth is complete apart from the root apices. 425 The occlusal shape is rectangular, wider distally than mesially. It has rounded buccal and 426 distal aspects (mesial worn), and partial flattening lingually. There is a 'Y' groove pattern, 427 and a large (grade 5) distally oriented hypoconulid (cusp 5) visible at the OES (Fig. 12a). A 428 small dentine horn at the EDJ indicates that a cusp 6 (entoconulid) was present (Fig. 12g). 429 The sagittal sulcus is uninterrupted at the OES and no mid-trigonid crest is evident. It is 430 absent also at the EDJ, with only a weak crest on the protoconid (grade 0: Bailey et al., 2011). 431 Traces of a wide mesial fovea (trait referred to as an anterior fovea in the ASUDAS: Turner 432 et al., 1991; Scott and Turner, 1997; Scott and Irish, 2017; Scott et al., 2018) can be identified 433 at the OES and it is present as a linear depression at the EDJ. The entoconid dentine horn tip 434 is positioned on the margin of the tooth (Martin et al., 2017; Fig. 12g). There is a pit (grade 1) 435 protostylid at the OES (Fig. 12a), with a corresponding horizontal cingular crest at the EDJ 436 (Fig. 12g).

437 The mesial root is rectangular in shape and bifurcated at the apex, but fused to the distal 438 root buccally. The lingual furcation of the mesial root is convex mesially. The buccal sides of 439 both roots curve lingually. Marginal ridges are present mesially and distally on the mesial 440 root, and mesially on the distal root. The root is classed as hypertaurodont using Shifman and 441 Chananel's (1978) method but, with an estimated root length of 14.9 mm, Keene's (1966) 442 method gives a figure of 37%, which is classed as hypotaurodont (25-49.9%). The pulp 443 chamber is barrel shaped, viewed lingually, and widening of the mesial root canals is evident 444 in the mesiodistal CT slices (Fig. 12h; SOM Fig. S9).

Lower left permanent second molar (SJMJ2455) See Figure 13. This tooth occludes with the
 left M² (SJMJ2458; Fig. 13i). It has mesial and distal interproximal wear facets, and, as with

447 SJMJ2461 (right M₂), the distal interproximal facet is located lingually. Based on a similar

448 degree of wear, similar dimensions and crown morphology, and the description of the 449 original root morphology (Keith and Knowles, 1912), this is likely to be the antimere to 450 SJMJ2461 (right M₂). The crown is complete, but Keith and Knowles (1912) sectioned the 451 root just below, and parallel to, the cervix (line visible in Fig. 13b). Part of the root has been 452 restored with filler. Only 9 mm of the trunk remains and the apical part of the root has been 453 lost. The tooth was described by Keith and Knowles (1912) as having roots of the same form 454 as the right M_2 , fused buccally but not lingually, inclined distally and lingually, and having a 455 trunk length of 7 mm.

456 The occlusal shape is rectangular, with rounded margins (mesial worn), and partially 457 flattened lingually. As with the right M_2 , there is a 'Y' groove pattern, and a large (grade 5) 458 distally placed hypoconulid is present (Fig. 13a, d). Unlike the right M₂ there is no cusp 6 459 dentine horn and no protostylid crest at the EDJ, though a trace protostylid is present on the 460 hypoconid (Fig. 13g). However, as with the right M₂, there is no mid-trigonid crest at the EDJ 461 (site worn at the OES; grade 0: Bailey et al., 2011) and only a weak crest evident on the 462 protoconid. The entoconid dentine horn tip is positioned on the margin of the tooth (Martin et 463 al., 2017).

464 <u>Lower right third molar (SJMJ2460)</u> See Figure 14. This tooth lacks a distal interproximal 465 facet despite the presence of dentine exposure. This, together with its shape and the presence 466 of a large number of accessory crests, indicates an M_3 . The interproximal wear facet matches 467 with that of the right M_2 (SJMJ2461) (Fig. 14i) and it is likely the teeth are metameres. Only 468 the crown and less than one-quarter of the root are present. A portion of the mesial margin of 469 the crown has broken off postmortem. A lingual crack can be seen in the CT slice (Fig. 14h).

The overall occlusal shape of the crown is near circular. The unworn lingual half of the tooth is very wrinkled, with multiple cusps present at the OES (Fig. 14a, d). There is an 'X' groove pattern (Fig. 14a). There are three crests running from the mesial margin into, and 473 filling, the mesial (anterior) fovea at the OES, but the presence of any associated mesial 474 marginal ridge tubercles cannot be assessed because the mesial face of the tooth is missing 475 (Fig. 14a). There is no mid-trigonid crest (grade 0 at the EDJ: Bailey et al., 2011). There is a 476 single mesiodistal groove at the OES on the buccal slope of the hypoconid, and lingual to the 477 dentine exposure, that delineates the large protostylid cingular crest seen at the EDJ (Fig. 478 14d, g). Examination of the EDJ (Fig. 14g) reveals the presence of numerous primary and 479 accessory dentine horns. Some of these can be reasonably identified as cusps, while others 480 reflect repeated enamel knot initiation (Martin et al., 2017). Moving distally from the 481 metaconid dentine horn, there is a small (grade 3) cusp 7, the entoconid, an undulating ridge 482 that potentially exhibits incipient dentine horns, a cusp 6, and then one additional dentine 483 horn lingual to the grade 4 hypoconulid. Additionally, there is a small dentine horn on the 484 protostylid ridge on the buccal aspect of the hypoconulid. The degree of internal placement of 485 the hypoconid relative to the protostylid cingulum is uncommon (not seen in any of the CT-486 scan comparative samples), and may further reflect a general perturbation of the development 487 of this tooth. The metaconid and entoconid dentine horn tips are positioned on the margin of 488 the tooth (Martin et al., 2017).

489 Occipital fragment (SJMJ2452) See Figure 15. The occipital fragment comprises a small part 490 of a left squamous, measuring 53 mm between the broken anterior and posterior edges, and 491 37 mm from the asterion to the broken medial edge. The endocranial and exocranial surfaces 492 are weathered and marked by superficial cracks and abraded areas. One edge of the piece is 493 defined by the lambdoid suture, which extends 45 mm from the asterion. All the other edges 494 are defined by natural breaks. The thickness of the bone, and weak markings on the external 495 surface, are consistent with an immature age at death. The lambdoid suture has several 496 abraded patches but appears to be mostly open. An area of interdigitated bone, visible on the 497 external surface located 35 mm from the asterion, may represent an early stage of fusion at 498 the lambdoid suture. A finger of bone projecting inwards from the lambdoid suture, situated 6 499 mm from the asterion, and measuring 6.2 by 2.6 mm, appears to be a small wormian bone. 500 The endocranial surface has a well demarcated transverse sulcus that extends 35 mm from 501 just below the asterion to the broken medial edge along the lower border, and 32 mm from 502 the lambdoid suture to the broken medial edge along the upper border. The transverse sulcus 503 passes directly across the asterion and would have crossed the posteroinferior (mastoid) 504 corner of the parietal bone before reaching the temporal bone, instead of crossing directly 505 onto the temporal bone (Fig. 15B, indicated by dotted lines). Taken together, the size and 506 morphology of the occipital fragment are consistent with an immature individual who died in 507 late childhood or adolescence.

508

509 3.2. Qualitative morphological comparisons

Trait frequencies for the tooth types found in the La Cotte material, and in comparative samples of Late Pleistocene hominins, are reported in Tables 3–7. Traits that show a significant difference between Neanderthal and fossil *H. sapiens* are identified: (1) where the 95% confidence interval for the difference between the proportions for the two samples is entirely above or below zero, i.e., does not include 0% difference; (2) where the 95% confidence intervals for the two sample proportions do not overlap (SOM S3). These are the traits principally discussed.

517 Lower canine (SJMJ2463) The characteristics of this tooth support a Neanderthal affinity.
518 Shoveling is present in the entire Neanderthal comparative sample and most (88%) of the
519 fossil *H. sapiens* sample (Table 3). The distal accessory ridge is more common in
520 Neanderthals (78%) than fossil *H. sapiens* (42%; Table 3). It tends to be more strongly
521 expressed in Neanderthals, as it is at La Cotte, than in fossil *H. sapiens* (50% compared to 8%
522 at their grade 2 in Martinón-Torres et al.'s [2012: Table 17] samples). The mild expressions

of the tuberculum dentale and lingual medial ridge in SJMJ2463 (C1) are the forms frequently
found in both comparative samples (Martinón-Torres et al., 2012).

The buccal curvature of the root is typical of Neanderthals, but not of *H. sapiens* (Bilsborough and Thompson, 2005; Le Cabec et al., 2013), as is the convex buccal contour of crown and root together, known as 'cyrtodonty' (Patte, 1962; Brabant and Sahly, 1964). The wide root canal, as viewed distally, is unlike the narrow straight sided canals found in recent human teeth (van Beek, 1983).

530 <u>Upper fourth premolar (SJMJ2457)</u> The morphological traits of this tooth support a 531 Neanderthal affinity. The swollen buccal surface, viewed mesially, and sharp lingual 532 inclination from the point of maximum curvature, is typical of Neanderthals, and more 533 pronounced than is generally found in *H. sapiens* (TC, personal observation). The absence of 534 a buccal mesial accessory ridge is also typical of Neanderthals (83%) and less common in 535 fossil *H. sapiens* (40%; Table 4).

The roots are robust compared to *H. sapiens*. The two-rooted form present is the most common type in Neanderthals (Maureille et al., 2008). The taurodontism, and a relatively longer root trunk before furcation of the roots than in recent humans, are also seen in some Neanderthal P⁴ (Kallay, 1963).

540 Lower third (SJMJ2464) and fourth (SJMJ2465) premolars The characteristics of both 541 premolars give an ambiguous picture. For the P_3 (SJMJ2464) the Neanderthal features are the 542 large lingually placed buccal cusp (Gómez-Robles et al., 2008), and the presence of a buccal 543 cingulum at the EDJ, which occurs frequently in the Neanderthal sample but is not seen in the 544 recent human sample. For the P₄ (SJMJ2465) the Neanderthal features are the presence of 545 multiple lingual cusps (94%), a mesially placed metaconid (97%), and a buccal distal 546 accessory ridge (88%; Table 4). The corresponding figures for fossil H. sapiens are 547 significantly lower (Table 4). The cusp on the mesial margin, represented by a dentine horn at the EDJ, found on SJMJ2465 (left P₄), also occurs on three Krapina P₄ (Compton and
Stringer, 2012). Three or more lingual cusps on P₄ (three at La Cotte) are present in 89% of
Neanderthals but only 20% of fossil *H. sapiens* (Martinón-Torres et al 2012: Table 19).

551 In contrast, the absence of a transverse crest, and a symmetrical shape, found on both 552 premolars, are rare in Neanderthal P₃ (3% and 6% respectively) and P₄ (both at 6%; Table 4). 553 Additionally, Davies et al. (2019) recorded the presence of a transverse crest in their entire 554 Neanderthal P₃ sample. Martinón-Torres et al. (2012: Tables 18 and 19), however, reported 555 absence of a transverse crest in 15% of P_3 and 19% of P_4 in their Neanderthal sample. The 556 absence of a transverse crest, and a symmetrical shape, are more frequently observed for P_3 557 and P₄ in fossil *H. sapiens* (Table 4). Bailey (2002) looked at the combination of three 558 characteristics (well-developed metaconid, transverse crest and asymmetry) in P₄ and found 559 that 98% of modern humans had only one of these traits, as at La Cotte, compared to only 6% 560 of Neanderthals.

561 The robust roots of SJMJ2464 (left P_3) are more typical of Neanderthals than of H. 562 sapiens. Interestingly, grade 4–5 Tomes' root, present on the P₃, occurs less frequently in the 563 P_3 (12%) than in the P_4 (25%) in Neanderthals, the reverse of that found in fossil *H. sapiens* 564 (38% and 23% respectively; Table 4) and recent humans. Tomes' root occurs less frequently 565 in recent humans than in fossil H. sapiens (12.5% and 2.5% respectively for P₃ and P₄ in a 566 large mixed sample; Shields, 2005). The wide root canal observed in SJMJ2465 (left P₄) is 567 typical of Neanderthal P₄ but uncommon in recent humans (Prado-Simón et al., 2012). The 568 extended taurodont pulp chambers in SJMJ2464 (left P₃) and SJMJ2465 (left P₄) are apparent 569 as widening in both buccolingual and mesiodistal directions (SOM Fig. S6). In some teeth, in 570 both comparative samples (Table 4), extension of the pulp chamber into the root is only 571 apparent as widening in a buccolingual direction. Considering the small samples involved 572 (Table 4), the frequency of taurodontism is similar between P_3 and P_4 and between 573 Neanderthals and fossil *H. sapiens*. Taken overall, widening in a buccolingual direction, with
574 or without mesiodistal widening, occurs at approximately twice the frequency as widening in
575 both directions together (51% against 23%; Table 4).

576 Molars The upper molar cusps are internally placed, as is often observed in Neanderthals. 577 Carabelli's trait is absent in the upper molars. This was previously thought to be unusual in 578 Neanderthals (McCown and Keith, 1939; Smith, 1989). More recently Martinón-Torres et al. 579 (2012) reported 20% absence for M¹, 42% for M² and 80% for M³, in their Neanderthal 580 sample. The post-paracone dentine horn, which is present at the EDJ in SJMJ2456 (right M¹) 581 and SJMJ2458 (left M²) and could not be scored in SJMJ2459 (right M³), was ubiquitous in a 582 sample of Neanderthal maxillary molars and present in 86% of a recent human sample 583 (Martin et al., 2017: Table 10). In contrast, Ortiz at al. (2017: Table 4) reported the presence 584 of post-paracone dentine horns in 98% of a Neanderthal sample but only 25% of a recent 585 human sample. Taurodontism is particularly associated with Neanderthals, but it is also found 586 in fossil H. sapiens from Skhul and Qafzeh (McCown and Keith, 1939; Vandermeersch, 587 1981) and in Aterians (Kupczik and Hublin, 2010). Kupczik et al. (2019: Table S1) found 588 taurodontism (grades III and IV of their bifurcation index) in 88% (14 of 16) of their sample 589 of Neanderthal M₂. Studies of recent Europeans have shown taurodontism is present in less 590 than 10% of molars (Jafarzadeh et al., 2008: Table 3). The absence of enamel extensions 591 above trace level on any of the molars is also typical of Neanderthals, and most *H. sapiens* 592 outside Asia (Bailey, 2006b).

593 <u>Upper first molar (SJMJ2456)</u> The Neanderthal M¹ crown has a distinct shape (Bailey, 2004; 594 Gómez-Robles et al., 2007; Martinón-Torres et al., 2013): The main cusp tips are more 595 internally placed, leading to a relatively smaller occlusal polygon (formed by linking the tips 596 of the four principal cusps) compared to the total occlusal area (Table 5). There is a 597 statistically significant difference at $p \le 0.01$ between Neanderthal and *H. sapiens* for this

percentage figure (Martinón-Torres et al., 2013). The occlusal shape is skewed and there is a large distally projected and swollen hypocone, leading to a narrow hypocone angle (Table 5; Fig. 16). The metacone is relatively smaller than the hypocone and mesiolingually oriented, leading to distobuccal truncation of the occlusal outline and a wide metacone angle (Table 5; Fig. 16). The hypocone is larger than the metacone in 14/16 molars in Bailey's (2004) Neanderthal sample. The occlusal polygon is narrower distally than mesially (Fig. 16).

The M^1 (SJMJ2456) shows clear Neanderthal affinities (see Table 5; Fig. 16). It has a relatively small occlusal polygon (24%), a mesiolingually placed metacone, along with a large metacone angle (116°), and an occlusal polygon that is narrower distally than mesially.

However, in contrast, the crown has only a mildly skewed appearance, with a small protocone angle (100°) compared to the means of both the comparative samples (106°) . The relative size of the hypocone compared to total occlusal area (19%) is small in comparison to the Neanderthal sample mean (23.7%) and smaller than the metacone (21%), and the hypocone angle (76°) is large. While being within the Neanderthal range of variation, both of these features are more similar to the fossil *H. sapiens* sample. Additionally, the protocone is relatively large (33%) compared to Neanderthal (29.9%).

614 <u>Upper second molar (SJMJ2458)</u> The presence of a cusp 5 is common in Neanderthals 615 (68%), and the presence of a mesial accessory cusp is ubiquitous. Both are less frequent in 616 fossil *H. sapiens* (39% and 13% respectively; Table 6). The reduced hypocone is unusual, 617 though, in both Neanderthals and fossil *H. sapiens*, occurring at frequencies of only 6% and 618 15% respectively (Table 6). It is, however, common in the pre-Neanderthal Atapuerca-SH 619 sample (Martinón-Torres et al., 2012).

620 <u>Upper third molars (SJMJ2459 and SJMJ2467)</u> The concave mesial aspect, viewed 621 occlusally, of SJMJ2459 (right M³) is distinctly unusual. The presence of multiple cusps 622 along the distal rim (i.e., in excess of metacone, hypocone and a single cusp 5), as inferred for 523 SJMJ2459 (right M³), has been reported in Krapina M³ (Compton and Stringer, 2012); and 524 Martin et al. (2017) found considerable variation in distal cusp pattern in Neanderthal M³. 525 Multiple distal cusps and split hypocones occur in Aterian teeth (Bailey et al., 2017), and split 526 hypocones have been reported in recent humans (Greene et al., 1967; Bermúdez de Castro 527 and Martínez, 1986), but mention of multiple distal cusps in recent humans is rare in the 528 literature. Ortiz et al. (2017: Table S3) found multiple cusp 5 at the EDJ in ~20% of 529 Neanderthals but only in ~1% of recent humans.

630 Lower molars (SJMJ2455 and SJMJ2461 M₂; SJMJ2460 M₃) We did not observe the 631 protostylid forms seen at the EDJ on SJMJ2461 (right M₂) and SJMJ2460 (right M₃) in our 632 comparative samples. The hypoconulid expression in the M_2 (SJMJ2455 and SJMJ2461) is 633 particularly large. Martinón-Torres et al. (2012: Table 21) found only 16.6% of Neanderthal 634 and 4% of fossil H. sapiens M₂ with grade 5 hypoconulids. The characteristics of the La 635 Cotte lower molars give an ambiguous picture. Neanderthal characteristics include the 636 presence of a hypoconulid (found in the entire Neanderthal sample), a wide mesial (anterior) 637 fovea (89% of M₂ and 93% of M₃), and a 'Y' groove pattern in M₂ (75%; Table 7). The 638 corresponding figures for fossil *H. sapiens* are significantly lower (Table 7). Additionally, the 639 multiple cusps and accessory crests found on SJMJ2460 (right M₃) have been observed in 640 Neanderthals (McCown and Keith, 1939; Radovčić et al., 1988; Bailey and Hublin, 2006), as 641 has the repeated enamel knot initiation seen at the EDJ (Martin et al., 2017).

In contrast, the absence of a mid-trigonid crest at the OES, observed in SJMJ2461 (right M₂) and SJMJ2460 (right M₃), is rare in Neanderthal M₂ and M₃ (4% and 7% respectively), but almost ubiquitous in the fossil *H. sapiens* sample, at 96% and 100% respectively (Table 7). At the EDJ, Bailey et al. (2011: Table 3) recorded the presence of a continuous midtrigonid crest in their entire Neanderthal M₂ and M₃ sample (absent at La Cotte), but only 35.5% and 14.3% respectively of a recent European sample. Furthermore, the position of the metaconid dentine horn tip on the occlusal margin of SJMJ2460 (right M₃) is rare in
Neanderthals. The metaconid dentine horn was centrally placed in 95% of a late Neanderthal
sample but only 36% of a recent human sample (Martin et al., 2017: Table 9).

The non-tapering shape and bifurcation of the mesial root, and the presence of longitudinal
marginal ridges on mesial and distal roots, observed in SJMJ2461 (right M₂), are typical of
Neanderthal M₂ (Compton and Stringer, 2015).

654 <u>Occipital fragment (SJMJ2452)</u> The occipital lacks any diagnostic Neanderthal anatomical 655 features. The transverse sulcus pathway is typical of recent humans, and differs from the 656 pathway observed in some Neanderthals, in which the transverse sulcus passes directly from 657 the occipital to the temporal without crossing the parietal bone (Arsuaga et al., 2002).

658

659 3.3. Geometric morphometric analysis of cervix shape

Figures 17–23 illustrate PCAs of cervix morphology in shape and form (form includingthe log of centroid size as a variable) space for upper and lower molars and premolars.

The P⁴ (SJMJ2457) falls closer to the *H. sapiens* samples (fossil and recent humans) in 662 663 shape space but with the Neanderthals in form space, reflecting its large size (Fig. 17a, b). It 664 differs from the mean Neanderthal cervix shape in being somewhat compressed 665 mesiolingually and distobuccally (Fig. 17c, d). Using 11 shape PCs, attribution accuracy of 666 the comparative P^4 sample is ~65% (i.e., the proportion of specimens of known taxonomic 667 affiliation that are attributed correctly using cross-validated canonical variate analysis) and posterior probabilities of discriminant analysis consistently assign SJMJ2457 (P⁴) to H. 668 669 sapiens rather than Neanderthal.

670 The identification of SJMJ2464 as a P_3 and SJMJ2465 as a P_4 is supported by their cervix 671 shape that groups them with the *H. sapiens* (fossil and recent humans) P_3 and P_4 samples 672 (SOM Fig. S5). The P_3 falls with the *H. sapiens* samples in shape space but closer to the

673 Neanderthal sample in form space due to its large size (Fig 18a, b). Wireframe models 674 indicate Neanderthals have a distally expanded cervix compared to the *H. sapiens* samples 675 and SJMJ2464 (P₃; Fig. 18c, d). Using 11 shape PCs, attribution accuracy of the comparative 676 P_3 sample is ~70% (with cross-validation), and posterior probabilities consistently assign 677 SJMJ2464 (P₃) to *H. sapiens*. The P₄ falls with the *H. sapiens* samples in both shape and 678 form space (Fig. 19a, b), sharing a buccolingually expanded cervix with both H. sapiens 679 samples (Fig. 19c, d) but being more similar in size to the earlier sample. Using 9 shape PCs, 680 attribution accuracy of the P_4 sample is ~90% (with cross-validation) and posterior 681 probabilities consistently assign SJMJ2465 (P₄) to *H. sapiens*.

The SJMJ2456 M^1 plots with Neanderthals in both shape space and form space (Fig. 20a, b). It shares a distolingual expansion of the cervix with Neanderthals although it is not as marked as the average Neanderthal M^1 wireframe (Fig. 20c, d). Using 11 shape PCs, attribution accuracy of the comparative M^1 sample is ~90% (with cross-validation) and posterior probabilities consistently assign SJMJ2456 (M^1) to Neanderthals.

687 The SJMJ2458 M^2 falls on the margin of the overlapping distributions of the *H. sapiens* 688 and Neanderthal samples in shape space, and the form analysis demonstrates it is larger than 689 most of the H. sapiens comparative sample and of average size for a Neanderthal (Fig. 21a, 690 b). The wireframe model highlights the unusually small hypocone of SJMJ2458 (M^2) 691 compared to the mean *H. sapiens* and Neanderthal cervix shapes (Fig. 21c, d). Using 13 692 shape PCs, attribution accuracy of the comparative M^2 sample is ~70% (with cross-693 validation) and SJMJ2458 (M²) assigns with near equal frequency as either a Neanderthal or 694 H. sapiens (i.e., its taxonomic attribution cannot be determined based on cervix shape).

The SJMJ2459 M^3 falls well outside of both Neanderthal and *H. sapiens* ranges and it is considerably larger than the largest Neanderthals in this analysis (Fig 22a, b). As with the SJMJ2458 M^2 , the wireframe comparisons of mean shape illustrate the particularly strange contour of the SJMJ2459 M³ (Fig. 22c, d). Using 9 shape PCs, attribution accuracy of the
comparative M³ sample is ~70% (with cross-validation) and SJMJ2459 (M³) is consistently
assigned to Neanderthals.

The two M_2 (SJMJ2455 and SJMJ2461) fall in an intermediate position between the Neanderthals and *H. sapiens* in shape space but fall closer to the fossil *H. sapiens* and Neanderthal samples when size is included (Fig. 23a, b). The M_{2} s are similar to the Neanderthal sample in having a buccally expanded cervix without the buccal pinching distal to the protoconid present in the *H. sapiens* samples (Fig. 23c, d). Using 14 shape PCs, attribution accuracy of the comparative sample is ~85% (with cross-validation) and posterior probabilities consistently assign both SJMJ2455 and SJMJ2461 (M₂) to Neanderthals.

Cervix shape alone tends to perform less well at taxonomic discrimination than analyzes that also incorporate the EDJ marginal ridge (Martin et al., 2017) and, at a number of tooth positions, attribution accuracy of the comparative sample (in which taxonomic affiliation is known) ranges between 60–90%. In summary, the P_3 , P_4 and P^4 are consistently assigned as *H. sapiens*, while the two M₂, the M¹ and the M³ are consistently assigned as Neanderthals. Attribution of the M² is less consistent and cervix shape cannot contribute strongly to its taxonomic assessment.

715

716 3.4. Metrical comparisons

717 Comparative data for crown dimensions are presented in SOM Tables S7 and S8, and
718 adjusted Z scores for crown dimensions are illustrated in Figures 24 and 25.

719 <u>Crown area</u> See Figure 24. The crown areas of the La Cotte teeth are all close to or above the 720 late Neanderthal comparative sample means, with associated adjusted Z scores under ± 0.5 , 721 except for SJMJ2463 (left C₁) and SJMJ2464 (left P₃), which are particularly large. The C₁ 722 crown area is above the range of the late Neanderthal comparative sample, and its 723 buccolingual dimension is only exceeded by Kebara 2 (10.2 mm). The buccolingual 724 dimension of the P₃ is only exceeded by La Ouina 9 (11.0 mm), and this is the only tooth in 725 the late Neanderthal comparative sample with a larger crown area (99 sq mm). With the 726 exception of these two teeth, the crown areas of the La Cotte teeth are all within the ranges of 727 the other comparative samples, with associated adjusted Z scores up to ± 0.7 (-0.8 for the 728 Krapina P₄). In contrast, the adjusted Z scores for SJMJ2463 (left C₁) and SJMJ2464 (left P₃) 729 are 0.8 and 0.7 respectively in relation to late Neanderthals, and 1.0 and 1.4 in relation to 730 fossil *H. sapiens*.

731 Crown index See Figure 25. The crown indices of the La Cotte teeth all have adjusted Z 732 scores under ± 0.5 in relation to the late Neanderthal comparative sample except for the left 733 M³ SJMJ2467 (-0.7). The La Cotte M¹, M² and M³ have crown indices below the means of all 734 the comparative samples (SOM Table S7; with the lone exception of the right M³ SJMJ2459 735 in relation to Krapina), indicating that they are squarer; and C₁, P₃ and P₄ have crown indices 736 above the means of the comparative samples (SOM Table S8), indicating that they are 737 relatively buccolingually expanded. Two large differences in the comparative samples stand 738 out. The fossil *H. sapiens* M¹ has an adjusted Z score of -1.3, reflecting the more rectangular 739 shape of these teeth compared to Neanderthals. The Krapina P₃ has an adjusted Z score of 2.2 740 due to the lower crown indices of these teeth and this is also apparent in the Krapina lower 741 molars.

742 <u>Cervical dimensions</u> See Table 8. In all but one case (SJMJ2461, right M_2) the La Cotte 743 dimensions are above the Neanderthal comparative sample means. The mesiodistal 744 dimensions of SJMJ2463 (left C₁) and SJMJ2464 (left P₃), and the buccolingual dimension of 745 SJMJ2457 (left P⁴), at the cervix are either above or at the upper end of the Neanderthal 746 ranges. SJMJ2464 (left P₃) has adjusted Z scores for Neanderthal of 1.5 for the mesiodistal 747 dimension and 1.0 for the buccolingual dimension. Keith and Knowles (1912) noted the large cervical dimensions; and the particularly high cervical dimensions of the La Cotte canine and premolars, other than SJMJ2465 (left P₄), is very distinctive. Fossil *H. sapiens* have smaller cervical dimensions than Neanderthals, and this difference is most pronounced in the P₄, M^2 and M₂. The fossil *H. sapiens* adjusted Z scores for SJMJ2463 (left C₁) and SJMJ2464 (left P₃) in both mesiodistal and buccolingual dimensions, and for SJMJ2458 (left M²) in the mesiodistal dimension, are all at 1.0 or above.

<u>Root length</u> The only La Cotte tooth with a complete root is SJMJ2467 (LM³), for which only
a cast is now available (Keith and Knowles, 1912: preface). It has a length of 16.0 mm, which
is within the Neanderthal range (13.5–16.7 mm, mean 15.2 mm; Bailey, 2005), and above the
ranges for Předmostí (11.0–14.0 mm; Matiegka, 1934) and contemporary humans (8.0–15.0
mm; Black, 1902).

759

760 *3.5. Number of individuals*

Since all the teeth from La Cotte were found in one place, and are broadly similar in their degree of development and wear, the 'null hypothesis' would be that they all come from the same individual (Keith and Knowles, 1912). Our observations demonstrate that the eleven teeth from La Cotte represent a maximum of six individuals, made up of three groups of teeth that can be confidently associated with one another and three isolated teeth:

The largest group of unambiguously associated teeth comprises four molars, SJMJ
2455, 2458, 2460 and 2461 (referred to hereafter as individual A-1). SJMJ2455 (left
M₂) and SJMJ2458 (left M²) occlude (Fig. 13i). SJMJ2455 (left M₂) and SJMJ2461
(right M₂) are likely to be antimeres, due to the similarities of morphology and size,
and the shared presence of lingually placed distal interproximal facets, a highly
unusual pattern of distal wear (Fig. 12a, marked 'a') and unusually lingually inclined
roots. SJMJ2461 (right M₂) and SJMJ2460 (right M₃) are likely metameres. The

- lingual placement of the interproximal facet on SJMJ2461 suggests lingual
 displacement of the adjacent M₃, and the form of wear on SJMJ2460 is consistent
 with this (Fig. 14i). SJMJ2460 (right M₃) also has a prominent form of the protostylid,
 similar to, although larger than, that seen on SJMJ2461.
- 777 ii) The matching interproximal wear between SJMJ2463 (left C_1) and SJMJ2464 (left
- P₃), and their unusually large size, demonstrates that they are metameres (A-2)
- 779 iii) SJMJ2456, right M¹ (A-3)
- 780 iv) SJMJ2467, left M^3 (A-4)
- 781 v) SJMJ2457 (left P^4) and SJMJ2465 (left P_4) occlude (B-1)
- 782 vi) SJMJ2459, right M³ (B-2).

The minimum number of individuals (MNI) represented by these eleven teeth is two, referred to as Individuals A and B. This can be confidently inferred from the fact that some of the groupings identified above cannot belong to the same dentition. Firstly, SJMJ2459 (right M^3 ; B-2) does not occlude with either the right M₂ or the right M₃ from A-1. Secondly, premolars SJMJ2464 (left P₃; A-2) and SJMJ2465 (left P₄; B-1) are not metameres, since the interproximal facets do not match, and their large difference in size is inconsistent with a single dentition.

Teeth from individual B (SJMJ2457 [left P⁴; B-1] and SJMJ2465 [left P₄; B-1] and SJMJ2459 [right M³; B-2]) exhibit a similar degree of wear that is proportionally greater than that of the other teeth and consistent with a single individual. The remaining four teeth, SJMJ 2456, 2467, 2463 and 2464, cannot with complete confidence be associated with either Individual A or B, but are tentatively included with Individual A on the basis of dental metrics. SJMJ2456 (right M¹; A-3), SJMJ2467 (left M³; A-4) and SJMJ2458 (left M²; A-1) have similar crown area and crown index values in relation to the Neanderthal means. Likewise, the relationship of crown area to the Neanderthal mean in SJMJ2463 (left C₁) and
SJMJ2464 (left P₃; A-2) is similar to that in M₂ SJMJ2461 and SJMJ2455 (A-1).

799 In summary, Individual A is represented by four teeth that are unambiguously associated 800 and another four teeth that could belong to this individual, and Individual B is represented by 801 three teeth. Based on the level of wear and the fact that there is dentine exposure on third 802 molars in both individuals, it is likely that Individuals A and B were (young) adults. Trinkaus 803 (1995) found a uniform pattern of wear in a sample of Neanderthals and noted that there was 804 slight dentine exposure on first molars, as at La Cotte, in the third decade of life. However, 805 the accumulation of cementum on the apical two thirds of the roots and the amount of 806 secondary dentine in the pulp chambers of the molars, from both individuals, could indicate a 807 greater age at death, as these are age related processes (Hillson, 1996; Guatelli-Steinberg and 808 Huffman, 2012). The teeth found in the first season (1910) clearly did not all come from a 809 right mandible as stated by Nicolle and Sinel (1910), but the fact that the teeth were in a row 810 suggests that those from Individual A, at least, might have been originally deposited in 811 anatomical association.

The occipital fragment came from an individual who died in late childhood or adolescence. It can therefore be concluded, from the degree of root development and wear on the teeth, that this fragment is not associated with the dental remains and therefore comes from a third individual.

816

817 **4. Discussion**

818 Individually, all of the La Cotte teeth have diagnostically Neanderthal characteristics and 819 seven teeth also have *H. sapiens* characteristics. The teeth that lack *H. sapiens* characteristics 820 are SJMJ2463 (left C_1), SJMJ2458 (left M^2), and the upper third molars, SJMJ2459 (right 821 M^3) and SJMJ2467 (left M^3). Table 9 summarizes the mix of Neanderthal and *H. sapiens* characteristics within the individual teeth, grouped according to the maximum number of six
individuals (A-1, A-2, A-3, A-4, B-1 and B-2) and minimum number of two individuals (A
and B).

Within A-1 (SJMJ2458, left M²; SJMJ2461, right M₂; SJMJ2455, left M₂; SJMJ2460,
right M₃), the M² has no *H. sapiens* characteristics and the other three molars have a mixture
of characteristics. Within A-2 (SJMJ2463, left C₁; SJMJ2464, left P₃), the canine has entirely
Neanderthal diagnostic characteristics but those of the premolar are mixed. A-3 (SJMJ2456,
right M¹) has mixed characteristics. A-4 (SJMJ2467, left M³) only has Neanderthal
characteristics. Within B1, both premolars (SJMJ2457, left P⁴; SJMJ2465, left P₄) have a
mixture of characteristics. B-2 (SJMJ2459, right M³) only has Neanderthal characteristics.

Traits that are specific to one of these six groups include absence of mid-trigonid crest in lower molars (A-1), and particularly large teeth compared to Neanderthal and *H. sapiens* (A-2). Traits that occur in more than one group include absence of transverse crest and mesiolingual truncation in lower premolars, and cervix shape of *H. sapiens* form (A-2 and B-1). It is notable that for teeth with multiple crown traits that show a significant difference in prevalence between Neanderthals and fossil *H. sapiens* (P₄, M₂, M₃) there is an ambiguous picture.

839 Four of the maximum of six individuals have a mixture of diagnostic Neanderthal, and H. 840 sapiens, characteristics. Three of these sets of teeth could belong to the same dentition 841 (Individual A) but the fourth belongs to a second dentition (Individual B). This suggests that 842 the occurrence of mixed characteristics relates to a group of individuals, rather than being 843 specific to one individual. The H. sapiens characteristics occur principally in the lower premolars, are less common in the P⁴, M¹ and lower molars, and are reflected in cervix shape 844 845 and crown morphological traits. The analysis of cervix shape gives a varied picture. The 846 molars (other than M^2) are assigned to Neanderthals, while the premolars are assigned to H.

847 sapiens. The root forms and the tooth dimensions are entirely compatible with Neanderthals. 848 Several traits that are considered to be particularly typical of Neanderthals (Bailey, 2002, 849 2004, 2006a; Martin et al., 2017) are absent in the La Cotte teeth. These are the presence of a 850 transverse crest, and mesiolingual truncation in lower premolars; the presence of a mid-851 trigonid crest, and metaconid central dentine horn tip placement in lower molars; and 852 peculiarities of the occlusal shape of M¹. The first four of these traits are not diagnostic of 853 either Neanderthals or *H. sapiens*, but they are consistently present in Neanderthals and less 854 common in *H. sapiens*. The small occlusal polygon of the M¹ from La Cotte is diagnostically 855 Neanderthal, but other aspects of the M¹ morphology are more ambiguous.

856 Three aspects of the morphology of the La Cotte teeth are unusual in both Neanderthals 857 and fossil *H. sapiens*. These are the reduced hypocone on SJMJ2458 (left M²); the concave 858 mesial surface and unusual cervical shape of SJMJ2459 (right M³); and the protostylid form 859 on SJMJ2461 (right M₂) and SJMJ2460 (right M₃). The protostylid form of SJMJ2461 (right 860 M_2) is superficially similar to that observed in *Paranthropus robustus* (Skinner et al., 2009), 861 although not identical. We consider the SJMJ2460 (right M₃) protostylid form to reflect 862 abnormal development of the distal part of the crown and thus not useful for its taxonomic 863 assessment.

864 With the exception of the possibly early form of the protostylid, there are no traits relating 865 to earlier homining in the La Cotte teeth. Traits that are only seen in *Homo heidelbergensis*, 866 pre-Neanderthals or early Neanderthals (Compton and Stringer, 2015) are absent. These 867 include a buccal cingulum at the enamel surface on P_3 (*H. heidelbergensis*); a distal 868 occlusolingual cleft on P₃ (*H. heidelbergensis* and pre-Neanderthal); mesiobuccal swelling 869 (viewed occlusally) of P₄ (*H. heidelbergensis*, pre-Neanderthal and early Neanderthal); and 870 grade 2 buccal vertical grooves/cingula on lower molars (H. heidelbergensis, pre-Neanderthal 871 and early Neanderthal). Multiple lingual cusps on the P_3 (present at La Cotte) are not found in *H. heidelbergensis* (Compton and Stringer, 2015; M. Bermúdez de Castro, pers. comm.
regarding Arago),

874 The dental morphology of at least two dental individuals from La Cotte therefore displays 875 a mixture of Neanderthal and H. sapiens characteristics, with Neanderthal features more 876 strongly represented, and is clearly distinct from earlier hominins. Since there is more than 877 one individual involved, abnormality of development can be dismissed as a likely cause. This 878 mix of features could suggest shared ancestry or, alternatively, a need to extend the 879 phenotype of one or other species to accommodate this variation. If this combination of 880 features is not the result of introgression, it could be due to genetic drift as a consequence of 881 isolation, but this does not seem probable since, at the likely date of these fossils (<48 ka), 882 sea levels were lower and Jersey was connected to mainland Europe. Another possibility is 883 short term evolutionary pressures, although it is difficult to see what advantage would be 884 conveyed by these minor morphological differences. The small sample of teeth from La Cotte 885 does not enable us to determine which of these scenarios is more likely. Attempts to extract 886 DNA and resolve the issue through genetic analysis have thus far proved unsuccessful.

887 The site of Palomas in southern Spain has hominin dental material from secure 888 stratigraphic contexts dated to ~45-38 ka cal BP (Walker et al., 2017), which shows a 889 comparable mix of dental features to those found at La Cotte. The traits of the Palomas teeth 890 are primarily Neanderthal, but not all the P₄ exhibit asymmetry and a transverse crest (present 891 in 50% and 75% respectively); only one of the eight lower molars has a mid-trigonid crest at 892 the OES, and fewer than half (43%) have a mesial (anterior) fovea of grade >1 (Zapata et al., 893 2017). However, unlike La Cotte, the crown breadths of some of the anterior teeth, and crown 894 areas of some of the lower molars, are more than two standard deviations below the 895 Neanderthal mean (Pinilla and Trinkaus, 2017). Trinkaus (2017) concluded that the Palomas 896 remains were Neanderthals, but acknowledged that the Neanderthal range of variation would need to be extended to accommodate this group within Neanderthals because of differences
in dental traits, their small size, and other aspects of their skeletal morphology. DNA analysis
might help resolve the taxonomic ambiguity, but to date none has been reported.

900 Trinkaus (2007) identified distinct Neanderthal dental characteristics that appear in 901 European Late Pleistocene H. sapiens dated earlier than 33 ka, and considered these to be due 902 to the assimilation of Neanderthals into these populations. The characteristics listed involve: 903 (1) morphological traits in I^1 , C^1 and P_4 (multiple lingual cusps, mesially placed metaconid, 904 and asymmetry for P₄) that are present in the earlier material and generally absent in later, 905 post 33 ka, material; (2) relatively large anterior teeth; and (3) megadont distal molars. The 906 only *H. sapiens* specimen known to have a high level of Neanderthal ancestry is the Oase 1 907 mandible and, by association, the Oase 2 cranium (Fu et al., 2015). Between 6 and 9% of its 908 genome was derived from Neanderthals and it was concluded that there had been a 909 Neanderthal ancestor 4-6 generations back (Fu et al., 2015). However, the Oase teeth 910 (molars) do not exhibit any Neanderthal features. The lower molar mesial (anterior) foveae 911 are small and there are no mid-trigonid crests; the M¹ is of *H. sapiens* form; and the teeth are 912 non-taurodont. The complex crown morphology of the M³ was considered unique (Trinkaus 913 et al., 2012). The teeth, however, are very large, particularly the second and third molars. A 914 discriminant function analysis of the lower molar crown dimensions plotted them with 915 Neanderthals (Trinkaus et al., 2003).

There is no evidence of transitional or Upper Paleolithic characteristics in the stone artifacts retained from the excavations at La Cotte. However, the degree of association between the teeth and the Mousterian artifacts in the occupation level is uncertain because the teeth were found on a ledge above the occupation level (though clearly accessible). The stone artifacts recovered at Palomas are similarly described as Mousterian (Walker et al., 2017). 921 The supraradicular taurodontism found in the La Cotte molars is the type generally found 922 in Neanderthals. The more extreme radicular or total taurodontism, where the pulp chamber 923 extends to the tip of a single root, is only found at a few sites, e.g., Krapina (Smith, 1976); 924 Fondo Cattíe (Tarli, 1983); Palomas (Walker et al., 2008). Pyramidal roots, defined by 925 Kupczik and Hublin (2010) as fused roots that can have apical root canal branches in addition 926 to radicular or total taurodontism, are found at Regourdou, Kebara and La Quina (Kupczik 927 and Hublin, 2010) and Aubesier (Lebel et al., 2001). The description of SJMJ2461 (M₂), 928 where both Shifman and Chananel's (1978) and Keene's (1966) methods are used to measure 929 the degree of taurodontism, demonstrates that different methods can give very different 930 results. The degree of taurodontism in the La Cotte premolars is particularly notable. The 931 extent of taurodontism in molars can be identified by the type, the height of the pulp 932 chamber, and its shape—hourglass or barrel. In the comparative samples of Neanderthal P₃ 933 and P₄ there is considerably greater variation of form than is found in molars. There is 934 variation in the degrees of buccolingual and mesiodistal widening, and in the overall shape 935 and the degree of tapering, in addition to the extent to which the pulp chamber expands 936 towards the root apex. Consideration is needed as to how this should be measured. A point of 937 interest is that P₃ and P₄ show a similar degree and shape of the taurodontism when both teeth 938 are present in a specimen in the comparative samples in six of nine cases, and the P₄ has a 939 slightly greater level of taurodontism in the remainder. This contrasts with the situation in 940 molars, where the degree of taurodontism frequently increases from first to third (Dumančić 941 et al., 2001).

942

943 **5.** Conclusions

944 The crown and root dimensions for the La Cotte teeth fit well with the Neanderthal 945 comparative samples, and the morphology of the crowns and roots are, in most aspects, 946 typical of Neanderthals. However, there are also H. sapiens characteristics, specifically the 947 lack of asymmetry and absence of transverse crests in the lower premolars; the mixture of 948 characteristics in the M¹; and the absence of mid-trigonid crests, and the marginal position of 949 the metaconid dentine horn tip, in the lower molars. Furthermore, the cervix shape of molars 950 and premolars gives a mixed picture, Neanderthal for molars and H. sapiens for premolars. 951 The taxonomic attribution of the teeth is therefore ambiguous. Neanderthal characteristics are 952 present in all eleven teeth, but seven of the teeth also have *H. sapiens* characteristics. *Homo* 953 sapiens characteristics occur in teeth from both the minimum of two adult individuals 954 identified, suggesting a group or kin with these characteristics. Also of note is the unusual 955 form of the protostylids found at the EDJ on lower molars, which has not previously been 956 observed in Neanderthal or H. sapiens teeth.

957 The occipital fragment, which was found in a stratigraphically higher archaeological 958 horizon, is likely to be from an immature individual who died in late childhood or 959 adolescence. Its taxonomic status cannot be determined with certainty, but there are no 960 anatomical features preserved in the bone that clearly indicate a Neanderthal affinity.

961 Of the various scenarios that can be considered to explain the mix of features in the La 962 Cotte teeth, we favor shared Neanderthal and *H. sapiens* ancestry. The likely dating of the 963 fossils during a period of temporal overlap between these groups is consistent with this 964 interpretation.

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1283 Figure legends

1284

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Figure 1. Location of La Cotte de St Brelade (from Shaw et al., 2016). A) Channel Islands within north-western Europe. B) Jersey in relation to other Channel Islands and the French coast, showing the ~7 m drop in sea level necessary to reconnect to the continent. C) simplified geological map of Jersey showing main sites. Based on an image supplied by John Renouf, with permission.

1290 **Figure 2.** Site plan (A) and cross-section (B) of La Cotte de St. Brelade.

Figure 3. E.T. Nicolle shown standing on the level of the hearth, with what might be the ledge on which the teeth were found on the left-hand side. Photograph by R. Mollet.
Reproduced with the kind permission of the Société Jersiaise.

1294 Figure 4. SJMJ2463, left C₁. a–c) Photographs in occlusal (a), distal (b), and mesial (c)

1295 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in lingual

1296 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section

1297 shown in f). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

1298 Figure 5. SJMJ2457, left P⁴. a-c) Photographs in occlusal (a), distal (b), and mesial (c)

1299 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal

1300 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section

1301 shown in f). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

1302 Figure 6. SJMJ2464, left P₃. a–c) Photographs in occlusal (a), distal (b), and mesial (c)

1303 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal

1304 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section

1305 shown in f). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

1306 Figure 7. SJMJ2465, left P₄. a–c) Photographs in occlusal (a), distal (b), and mesial (c)

1307 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal

1308 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section

1309 shown in f). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

- 1310 **Figure 8.** SJMJ2456, right M¹. a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1311 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1312 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section
- 1313 shown in f). Occlusal polygon shape shown on occlusal photograph. Abbreviations: B =
- 1314 buccal; D = distal; L = lingual; M = mesial.
- 1315 Figure 9. SJMJ2458, left M². a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1316 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1317 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section
- 1318 shown in f). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.
- 1319 Figure 10. SJMJ2459, right M³. a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1320 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1321 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section
- 1322 shown in f). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.
- 1323 Figure 11. Cast of SJMJ2467, left M³. a–f) Photographs in occlusal (a), mesial (b), buccal
- 1324 (c), apical (d), distal (e), lingual (f) views. Note that a black and white photo of the original
- 1325 tooth taken after casting is provided in distal view. Abbreviations: B = buccal; D = distal; L =
- 1326 lingual; M = mesial.
- 1327 Figure 12. SJMJ2461, right M₂. a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1328 views. d-f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1329 view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section
- 1330 shown in f). Letters (a and b) on occlusal image denote mesiodistally concave/convex facets.
- 1331 Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.
- 1332 Figure 13. SJMJ2455, left M₂. a–c) Photographs in occlusal (a), distal (b), and mesial (c)
- 1333 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal

- 1334 view. h) CT cross-section (plane of section shown in d). i) occlusion of SJMJ2455 and
- 1335 SJMJ2458 (not to scale). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

Figure 14. SJMJ2460, right M₃. a–c) Photographs in occlusal (a), distal (b), and mesial (c)

- 1337 views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal
- 1338 view showing numerous accessory dentine horns (*). h) CT cross-section (plane of section
- 1339 shown in d). i) articulation of SJMJ2460 and SJMJ2461 (not to scale). Abbreviations: B =
- 1340 buccal; D = distal; L = lingual; M = mesial.

Figure 15. La Cotte SJMJ2452 occipital fragment (right) compared to a modern child aged about 6 years. A) ectocranial surface; B) endocranial surface, dotted lines indicating the pathway of the transverse sulcus, which passes directly across the asterion in both bones.

1344 **Figure 16.** Comparison of M¹ occlusal polygon shapes. Neanderthal: Krapina D161; La

- 1345 Cotte: SJMJ2456; fossil *H. sapiens*: La Madeleine. Abbreviations: B = buccal; D = distal; L =
 1346 lingual; M = mesial.
- **Figure 17.** Results of the principal components analysis of cervix shape landmarks in P^4 in shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and form (d) space. The percentage of variance depicted by each principal component (PC) is indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

Figure 18. Results of the principal components analysis of cervix shape landmarks in P₃ in

1352 shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and

1353 form (d) space. The percentage of variance depicted by each principal component (PC) is

1354 indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

1355 Figure 19. Results of the principal components analysis of cervix shape landmarks in P₄ in

1356 shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and

1357 form (d) space. The percentage of variance depicted by each principal component (PC) is

1358 indicated. Abbreviations: Hn = Homo neanderthalensis; Hs = Homo sapiens.

Figure 20. Results of the principal components analysis of cervix shape landmarks in M^1 in shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and form (d) space. The percentage of variance depicted by each principal component (PC) is

1362 indicated. Abbreviations: Hn = Homo neanderthalensis; Hs = Homo sapiens.

1363 Figure 21. Results of the principal components analysis of cervix shape landmarks in M² in

1364 shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and

1365 form (d) space. The percentage of variance depicted by each principal component (PC) is

1366 indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

Figure 22. Results of the principal components analysis of cervix shape landmarks in M³ in

1368 shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and

1369 form (d) space. The percentage of variance depicted by each principal component (PC) is

1370 indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

Figure 23. Results of the principal components analysis of cervix shape landmarks in M₂ in

1372 shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and

1373 form (d) space. The percentage of variance depicted by each principal component (PC) is

1374 indicated. Abbreviations: Hn = Homo neanderthalensis; Hs = Homo sapiens.

1375 Figure 24. Crown area adjusted Z scores; distances of La Cotte dimensions from comparative

1376 sample means of late Neanderthal, fossil *Homo sapiens* and Krapina. Abbreviations: Hs = H.

1377 *sapiens*; U = upper; L = lower; C = canine; P = premolar; M = molar; UM3a = SJMJ2467;

1378 UM3b = SJMJ2459. P^4 : buccolingual dimension only.

1379 **Figure 25.** Crown index adjusted Z scores; distances of La Cotte dimensions from 1380 comparative sample means of late Neanderthal, fossil *Homo sapiens* and Krapina.

1381 Abbreviations: Hs = *H. sapiens*; U = upper; L = lower; C = canine; P = premolar; M = molar;

1382 UM3a = SJMJ2467; UM3b = SJMJ2459.

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≛









B La Cotte

Fossil H. sapiens

Neanderthal

Figure

Click here to access/download;Figure;Figure 17 PCA plots and wireframes of cervix shape in UP4.jpg



Figure

Click here to access/download;Figure;Figure 18 PCA plots and wireframes of cervix shape in LP3.jpg











Figure







Table 1

La Cotte de St Brelade site stratigraphy.^a

North ravine, central area (Zeuner, 1940; Callow, 1986c)		West ravine; north end (Burdo, 1960)		West a section	ravine; railway n (Bates et al., 2013)	OSL	MIS
		А	Modern soil				
		B/C	Loess and loessic				
			head				
		D	Fine gravel				
11	Loessic head Grey sand	E–H	Loessic head ^b				
11	Pedogenisis						
11	Loessic deposit with anthropogenic material and Neanderthal teeth	1	Loessic head	Loessi	ic head		
9.3	Ranker soil	2A	Humic granitic sand				
9.2	Granitic sand		Trainie Brannie Sand				
9.1	Ranker soil						
8.3	Fresh-water pond deposits	3B	White clay with rounded clasts				
				VII	Mid to dark		
					brown clay-silt		
				VI	Grey brown sandy-silt	48.3 ± 3.0 ka	3
				XI	Dark brown to yellowish brown gravel	54.2 ± 9.9 ka	3
		5A	Coarse gritty sand	V	Mid brown to	64.7 ± 7.7;	3/4 or
					reddish brown	63.3 ± 11.2;	5A/5B
					sandy silt to silty sand	85.8 ± 12 ka	
8.2		6	Peaty soil	IV	Very dark brown bedded clay-silt and sandy clay- silt	80.7 ± 6.5 ka	5A/5B

Abbreviations: MIS = marine isotope stage; OSL = optically stimulated luminescence dating.

^a Numbers/letters before descriptions are context identifiers.

^b Level at which occipital fragment discovered.

Table 2

La Cotte de St Brelade dental measurements (in mm).

SJMJ	Tooth	Year	Wear	Crown	Crown	Crown	Crown	Pulp	Root	Total	Cervix	Cervix
identity	type	found	grade	length	MD	breadth	height	chamber	trunk	Root	MD	BL ^c
				MD ^c	corrected	BL		height	length	length		
2462 ^d	RI_1	1910	4	5.75 ^a		7.0 ^a	>6.5ª			>14.0 ^a	5.0 ^a	7.1
2463	LC_1	1910	4	8.1		10.0	>9.2			>17.6	6.7	10.1
2457	LP^4	1910	5	(6.0)	7.4	10.6	>6.2			>13.2	5.8	10.0
2464	LP ₃	1910	4	7.8	8.1	10.2	>5.9			>16.3	6.5	9.3
2465	LP_4	1910	5	6.8	7.3	9.1	>4.5			>16.6	5.9	8.5
2456	$\mathbf{R}\mathbf{M}^1$	1910	4	(11.0)	11.6	11.6	>5.3	5.9	9.0	>10.5	8.9	11.5
2458	LM^2	1910	3	10.8	11.1	12.4	>5.0	6.1	8.0	>14.5	9.2	12.1
2459	RM ³	1911	5	10.0		12.3	>5.0	4.9	8.5	>11.6	8.5	_
2467	LM ³	1911	2/3	10.3		11.5	>5.0 ^a	_		16.0ª		_
2461	RM_2	1910	3	11.7	12.1	11.6	>5.1	5.5	7.0	>14.2	10.0	10.6
2455	LM_2	1910	3	11.9	12.3	11.7	>4.9	_	7.0 ^a	>12.5ª	10.0 ^a	(11.0)
2460	\mathbf{RM}_3	1911	3	(12.0)	12.0	11.7	6.0 ^b	—	_			11.7

Abbreviations: BL = buccolingual; MD = mesiodistal; L = left; R = right.

^a Measurements from Keith and Knowles (1912).

^b Measured on lingual side of tooth.

^c Parentheses indicate tooth damaged.

^d SJMJ2462 considered to be non-hominin.
Trait La Cotte Comparative material Grade Early and late Fossil H. sapiens 95% de St (0 = Brelade Neanderthal confidence absent) % No. % No. intervals Lower canine SJMJ2463 Tuberculum dentale Small >0 48 59 10/17 12/25 cuspule Shoveling 2 100 15/17 >0 25/25 88 Distal accessory ridge 4 >0 78 14/1842 5/12 DF

Canine discrete morphological traits.^a

Abbreviations: No. = number of specimens with trait in sample / sample size; DF =

confidence interval for difference in frequencies does not include zero.

^a Data source: Martinón-Torres et al. (2012).

Premolar discrete morphological traits.

Trait	La Cotte						
	de St	Grade	Early	and late	Fos	sil <i>H</i> .	95%
	Brelade ^f	(0 =	Nean	derthal	sap	oiens	confidence
		absent)	%	No.	%	No.	intervals
Upper fourth premolar	SJMJ2457						
Buccal mesial accessory ridge	(0)	=0	83	10/12 ^e	40	8/20 ^e	DF
Buccal distal accessory ridge	(0)	=0	58	7/12 ^e	40	8/20 ^e	
Essential crest bifurcated	_	>0	67	10/15 ^b	36	4/11 ^b	
Transverse crest	0	>0	13	2/16 ^b	18	2/11 ^b	
Mesial/distal accessory cusps	(0 mesial)	>0	48	10/21 ^a	33	1/3 ^a	
Lower third premolar	SJMJ2464						
Buccal mesial accessory ridge	(1)	>0	24	4/17 ^a	13	1/8 ^a	
Buccal distal accessory ridge	(1)	>0	90	18/20 ^a	100	9/9 ^a	
Number of lingual cusps	3	>1	21	7/34 ^a	7	1/14 ^a	
Metaconid position mesial	Mesial	Mesial	6	2/32 ^a	20	3/15 ^a	
Transverse crest	0	=0	3	1/30 ^a	19	3/16 ^a	
Mesiolingual truncation	0 (slight)	=0	6	1/18 ^a	44	7/16 ^a	DF
(asymmetry)							
Mesial occlusolingual groove	0	>0	64	16/25 ^a	50	7/14 ^a	
Tomes' root	4	>3	12	2/17 ^c	38	5/13 ^d	
Taurodontism–BL widening	Present	>0	33	5/15 ^c	71	5/7 ^d	
Taurodontism–MD and BL	Present	>0	20	3/15 ^c	14	1/7 ^d	
Lower fourth premolar	SJMJ2465						
Buccal mesial accessory ridge	(0)	>0	13	2/16 ^a	0	0/7 ^a	

Buccal distal accessory ridge	(1)	>0	88	14/16 ^a	25	2/8 ^a	DF, SF
Number of lingual cusps	(3)	>1	94	29/31 ^a	50	8/16 ^a	DF, SF
Metaconid position mesial	Mesial	Mesial	97	31/32 ^a	73	11/15 ^a	DF
Transverse crest	0	=0	6	2/31 ^a	76	13/17 ^a	DF, SF
Mesiolingual truncation	0 (very	=0	6	2/31 ^a	67	8/12 ^a	DF, SF
(asymmetry)	slight)						
Mesial occlusolingual groove	(0)	>0	8	2/25 ^a	0	0/12 ^a	
Tomes' root	0	>3	25	5/20 ^c	23	3/13 ^d	
Taurodontism-BL widening	Present	>0	50	8/16 ^c	50	4/8 ^d	
Taurodontism–MD and BL	Present	>0	19	3/16 ^c	38	3/8 ^d	

Abbreviations: BL = buccolingual; MD = mesiodistal; No. = number of specimens with trait in sample / sample size; DF = confidence interval for difference in frequencies does not include zero; SF = confidence intervals for sample frequencies do not overlap.

^a Data source: Bailey (2006a).

^b Data source: Martinón-Torres et al. (2012).

^c Data source: Morphometrics comparative sample (SOM Table S1).

^d Data source: Morphometrics comparative sample (SOM Table S3).

^e Data source: Becam et al. (2019), sample under fossil *H. sapiens* is recent human.

^f Parentheses denote interpretation at enamel dentine junction.

Upper first molar occlusal polygons and relative cusp areas.^a

	La Cotte SJMJ2456	Early and late Neanderthal	Fossil <i>H. sapiens</i> ^b
Occlusal pol	ygon angles °	X (SD)	X (SD)
n		17	5
Protocone	100	106.1 (5.2)	106.3 (4.4)
Paracone	68	66.7 (6.7)	71.1 (2.7)
Metacone	116	118.0 (10.0)	110.3 (4.9)
Hypocone	76	69.0 (6.1)	73.3 (4.8)
Relative cusp	p areas (%)		
n		21	15
Protocone	33	29.9 (2.4)	31.8 (1.5)
Paracone	27	25.8 (2.1)	25.7 (2.3)
Metacone	21	20.6 (1.8)	22.4 (1.7)
Hypocone	19	23.7 (2.1)	20.1 (3.0)
Ratio of occl	usal polygon area to cr	own base area (%)	
n		17	5
Value	24	26.7 (1.8)	32.7 (1.9)

Abbreviation: X = sample mean.

^a Data sources: relative cusp areas from Quam et al. (2009),

remainder from Bailey et al. (2008).

^b European Late Pleistocene.

Upper molar discrete morphological traits.

Trait	La Cotte de St	Comparative material						
	Brelade ^c	Grade	Early	and late	Fos	ssil <i>H</i> .	95%	
		(0 =	Nean	derthal	saj	piens	confidence	
		absent)	%	No.	%	No.	intervals	
Upper first molar	SJMJ							
	2456							
Cusp 5	(0)	>0	64	14/22 ^a	53	9/17 ^a		
Carabelli's trait	0	>2	68	17/25 ^a	40	8/20 ^a		
Mesial accessory cusps	(2)	>0	40	4/10 ^a	22	2/9 ^a		
Hypocone size (reduced)	5	<3	0	0/39 ^a	0	0/25 ^a		
Metacone size	5	>3	74	17/23 ^b	74	14/19 ^b		
Oblique ridge	2	>0	95	21/22 ^b	84	16/19 ^b		
Mesial transverse crest	(0)	>0	25	5/20 ^b	31	4/13 ^b		
Parastyle	0	>0	30	6/20 ^b	0	0/15 ^b	DF	
Upper second molar	SJMJ							
	2458							
Cusp 5	2/3	>0	68	15/22 ^a	39	7/18 ^a		
Carabelli's trait	0	>2	50	11/22 ^a	16	3/19 ^a	DF	
Mesial accessory cusps	(1)	>0	100	10/10 ^a	13	1/8 ^a	DF, SF	
Hypocone size (reduced)	(1-2)	<3	6	2/33 ^a	15	3/20 ^a		
Metacone size	5	>3	48	10/21 ^b	33	4/12 ^b		
Oblique ridge	0	>0	71	15/21 ^b	58	7/12 ^b		
Mesial transverse crest	(0)	>0	24	4/17 ^b	22	2/9 ^b		
Parastyle	0	>0	0	0/19 ^b	0	0/10 ^b		

Upper third molar	SJMJ	SJMJ					
	2459	2467					
Cusp 5	_	0	>0	35	6/17 ^a	29	4/14 ^a
Carabelli's trait	0		>2	14	2/14 ^a	25	3/12 ^a
Mesial accessory cusps	(0)		>0	70	7/10 ^a	28	3/11 ^a DF
Hypocone size (reduced)	(>2)		<3	68	13/19 ^a	57	8/14 ^a
Metacone size	_		>3	17	3/18 ^b	0	0/10 ^b
Oblique ridge	(0)	0	>0	29	5/17 ^b	20	2/10 ^b
Mesial transverse crest	(0)	_	>0	7	1/14 ^b	22	2/9 ^b
Parastyle	0		>0	13	2/16 ^b	0	0/9 ^b

Abbreviations: No. = number of specimens with trait in sample / sample size; DF =

confidence interval for difference in frequencies does not include zero; SF = confidence intervals for sample frequencies do not overlap.

^a Data source: Bailey (2006a).

^b Data source: Martinón-Torres et al. (2012).

^c Parentheses denote interpretation at enamel dentine junction.

Lower molar discrete morphological traits.

Trait	La Cotte	e de St		Comparative material						
	Brelade	c	Grade	Grade Early and late			ssil <i>H</i> .	95%		
			(0 =	Near	derthal	saj	piens	confidence		
			absent)	%	No.	%	No.	intervals		
Lower second molar	SJMJ	SJMJ								
	2455	2461								
Groove pattern	Y	Y	=Y	75	27/36 ^a	44	12/27 ^a	DF		
Hypoconulid	5, D	5, D	>0	100	39/39 ^a	65	13/20 ^a	DF, SF		
Deflecting wrinkle	(0)	(0)	>0	26	6/23 ^b	22	5/23 ^b			
Distal trigonid crest	(0)	0	>0	14	4/29 ^a	0	0/24 ^a			
Mid-trigonid crest	(0)	0	=0	4	1/26 ^a	96	23/24 ^a	DF, SF		
Cusp 6	(0)	(1)	>0	50	11/22 ^a	24	4/17 ^a			
Cusp 7	(0)	(0)	>0	20	7/35 ^a	8	2/24 ^a			
Anterior fovea	(3/4)	(3/4)	>1	89	23/26 ^a	50	10/20 ^a	DF, SF		
Protostylid	0	1(5)	>0	23	5/22 ^b	14	3/22 ^b			
Lower third molar	SJMJ									
	2460									
Groove pattern	Х		=Y	41	7/17 ^a	56	10/18 ^a			
Hypoconulid	4, D		>0	100	23/23 ^a	68	13/19 ^a	DF, SF		
Deflecting wrinkle	0		>0	7	1/15 ^b	13	2/15 ^b			
Distal trigonid crest	0		>0	11	2/19 ^a	0	0/16 ^a			
Mid-trigonid crest	0		=0	7	1/15 ^a	100	16/16 ^a	DF, SF		
Cusp 6	1		>0	50	5/10 ^a	41	7/17 ^a			
Cusp 7	3		>0	40	6/15 ^a	17	3/18 ^a			

Anterior fovea	3	>1	93	13/14 ^a	47	7/15 ^a DF
Protostylid	(6)	>0	39	5/13 ^b	12	2/17 ^b

Abbreviations: D = distal; No. = number of specimens with trait in sample / sample size; DF = confidence interval for difference in frequencies does not include zero; SF =

confidence intervals for sample frequencies do not overlap.

^a Data source: Bailey (2006a).

^b Data source: Martinón-Torres et al. (2012).

^c Parentheses denote interpretation at enamel dentine junction.

Comparative data for cervical dimensions (in mm).^a

		Lo	ower ca	nine	U	pper fo	urth	Ι	Lower th	nird	Lo	ower fou	ırth	UĮ	oper first	molar	1	Upper se	cond	L	ower se	cond
		S	SJMJ24	63		premol	ar		premol	ar		premolar SJMJ2456		456		molar		molar		r		
					2	SJMJ24	57	:	SJMJ24	64	S	SJMJ240	55					SJMJ24	158		SJMJ24	61
Sample		п	MD	BL	п	MD	BL	п	MD	BL	n	MD	BL	n	MD	BL	n	MD	BL	n	MD	BL
La Cotte de			67	10.1		50	10.0		6.5	0.2		5.0	05		8.0	11.5		0.2	12.1		10.0	10.6
St Brelade			0.7	10.1		3.8	10.0		0.3	9.5		5.9	8.3		8.9	11.5		9.2	12.1		10.0	10.0
Neanderthal	Х	16	5.8	8.9	9	5.3	9.0	12	5.5	7.8	16	5.8	8.2	7	8.7	11.2	7	8.8	11.5	9	10.3	9.8
	range		4.3–	7.5–		4.5-	8.0-		4.9–	6.9–		4.5-	7.3–		7.3–	10.5-		7.6–	10.3–		9.4–	8.3–
			6.4	10.5		6.0	10.0		6.0	9.4		7.1	8.9		10.4	12.1		10.1	12.5		11.6	11.6
	SD		0.5	0.7		0.5	0.7		0.3	0.7		0.7	0.5		1.1	0.7		1.1	0.9		0.7	0.9
	Azs ^b		0.8	0.8		0.4	0.6		1.5	1.0		0.1	0.3		0.1	0.2		0.2	0.3		-0.2	0.4
Fossil <i>H</i> .	Х	22	5.7	8.1	15	5.1	8.6	24	5.2	7.3	20	5.2	7.3	20	8.3	11.0	18	7.8	10.9	28	9.3	9.1
sapiens	range		4.8-	6.7–		4.6-	7.9–		4.6-	5.8–		4.2-	6.4–		7.9–	9.9–		6.6–	8.8-		8.0-	7.6–
			6.6	9.7		5.9	10.4		6.0	8.4		6,6	9,7		9.4	12.6		9.1	13.2		10.7	11.0
	SD		0.5	0.8		0.4	0.7		0.4	0.6		0.5	0.7		0.4	0.6		0.6	1.0		0.6	0.8
	Azs ^b		1.0	1.2		0.8	0.9		1.6	1.6		0.7	0.8		0.7	0.4		1.1	0.6		0.6	0.9

Abbreviations: Azs = adjusted Z score; BL = buccolingual; MD = mesiodistal; X = sample mean.

^a Data sources: Neanderthal C₁, Le Cabec (2013); Neanderthal remainder, see SOM Table S5; fossil *H. sapiens*, see SOM

Table S3.

^b Values in bold: adjusted Z score $\geq \pm 1.0$.

Individual	SJMJ	Tooth	Neanderthal features	H. sapiens features	Attribution
and group	Identity	type			
A-1	2458	Left M ²	Mesial accessory cusp; cusp		Ν
			tips internally placed; roots		
			taurodont; cervical		
			measurements		
	2461	Right M ₂	Root shape; roots taurodont;	Mid-trigonid crest	N/Hs
			cervix shape	absent	
	2455	Left M ₂	Root shape; roots taurodont;	Mid-trigonid crest	N/Hs
			cervix shape	absent	
	2460	Right M ₃	Multiple cusps and	Mid-trigonid crest	N/H s
			accessory crests	absent; metaconid	
				dentine horn tip not	
				centrally placed	
A-2	2463	Left C1	Large distal accessory ridge;		Ν
			root shape and robusticity;		
			root canal form; crown area;		
			cervical measurements		
	2464	Left P ₃	Buccal cusp form; buccal	Transverse crest	N/Hs
			cingulum at EDJ; root	absent; mesiolingual	
			robusticity; root taurodont;	truncation (asymmetry)	
			crown area; cervical	absent; cervix shape	
			measurements		
A-3	2456	Right M ¹	Cusp tips internally placed;	Relatively small	N/Hs
			distobuccal truncation;	hypocone and large	
			relative size and shape of	hypocone angle	
			occlusal polygon; roots		

Summary of principal Neanderthal and modern human traits in La Cotte de St Brelade teeth.

			taurodont; cervix shape;		
			crown index		
A-4	2467	Left M ³	Roots taurodont; root length		Ν
B-1	2457	Left P ⁴	Inclination of buccal	Cervix shape	N/Hs
			surface; root robust and two-		
			rooted; root taurodont		
	2465	Left P ₄	Three lingual cusps; root	Transverse crest	N/Hs
			taurodont	absent; mesiolingual	
				truncation (asymmetry)	
				absent; cervix shape	
В-2	2459	Right M ³	Inferred presence multiple		Ν
			distal cusps; roots taurodont;		
			cervix shape		

Abbreviations: Hs = H. *sapiens*; N = Neanderthal.

Data in Brief

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