

**Brain structure and function in
Huntington's disease gene carriers far
from predicted disease onset**

*Thesis submitted for the degree of Doctor of
Philosophy*

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2021

For the HD community

Declaration of Authorship

I, Paul Zeun, confirm that the work presented in this thesis is my own. Where information has been derived from other sources, I confirm that this has been indicated in the thesis.

Abstract

Whilst there are currently no available disease modifying therapies for Huntington's Disease (HD), recent progress in huntingtin-lowering strategies hold great promise. Initiating therapies early in the disease course will be important and a complete characterisation of the premanifest period will help inform when to initiate disease modifying therapies and the biomarkers that may be useful in such trials.

Previous research has characterised the premanifest period up to approximately 15 years from predicted onset, but even at this early stage the disease process is already underway as evidenced by striatal and white matter atrophy, reductions in structural connectivity within brain networks, rising biofluid biomarkers of neuronal dysfunction, elevations in psychiatric symptoms and emerging subtle cognitive impairments. In order to understand how early neurodegeneration can be detected and which measures are most sensitive to the early disease processes, we need to look even earlier in the disease course.

This thesis documents the recruitment and analysis of the HD Young Adult Study: a premanifest cohort further from predicted clinical onset than previously studied with an average of 24 years prior to predicted onset. Differences between gene carriers and controls were examined across a range of imaging, cognitive, neuropsychiatric and biofluid measures. The structural and functional brain connectivity in this cohort is then investigated in further detail. By providing a detailed characterisation of brain structure and function in the early premanifest period along with the most sensitive biomarkers at this stage, this work will inform future treatment strategies that may seek to delay the onset of functional impairments in HD.

Impact Statement

Recent advances in therapeutic approaches targeting DNA and RNA hold great promise for Huntington's disease (HD), since they are capable of targeting the causative genetic mutation and the resultant mutant huntingtin protein (mHTT) thought to be central in the disease process. The first huntingtin-lowering approach is now in a phase 3 clinical trial. Such treatments are most likely to be successful if instigated early in the disease process and ideally, before function is impaired. Previous research has characterised the premanifest period up until 15 years from predicted onset. Even at this stage, there is already ongoing neurodegeneration detectable on imaging and biofluid measures coupled with subtle early cognitive impairment and neuropsychiatric disturbance. Therefore we need to look back even earlier in the disease process to understand when these changes first become detectable and which measures may be most sensitive at this stage, in order to inform future therapeutic strategies that seek to delay or prevent early neurodegeneration and preserve clinical function.

The central work in this thesis is the recruitment and study of a unique premanifest HD cohort who, at approximately 24 years from predicted clinical disease onset, are further from onset than previously studied, alongside a well matched control group. This is one of the earliest premanifest cohorts ever studied in neurodegenerative diseases. The study assessments included a state-of-the-art battery including multi-modal imaging, extensive cognitive and neuropsychiatric testing, and blood and cerebrospinal fluid for biofluid biomarker assessments.

There are four key findings from this thesis that will be influential in future therapeutic strategies for HD. The first is that cognitive and neuropsychiatric function appears intact approximately 24 years from predicted onset and hence this represents a potentially appropriate time to initiate future disease-modifying therapies that aim to delay or prevent early functional impairments in HD. Second, the finding that brain structure as assessed by multi-modal imaging appears largely intact at this stage of disease, further highlighting that brain structure and function is largely preserved early in the premanifest period. The detailed characterisation of normal structural connectivity in this cohort will be important for future viral-vector delivered therapies,

since the distribution of these therapeutics can be limited and depends partly on preserved axonal connections. Thirdly, this thesis demonstrates that despite showing largely preserved brain structure and function, cerebrospinal fluid (CSF) and plasma concentrations of neurofilament light (NfL), a marker of neuroaxonal injury, are already elevated alongside a detectable marker of astrocytic activation, YKL-40. Thus, NfL appears to be one of the earliest detectable markers of neurodegeneration and may be the most suitable biomarker to monitor progression and, eventually, efficacy for future premanifest trials. Importantly, this work demonstrates that CSF NfL has superior sensitivity/specificity than plasma NfL at this early stage and may be a superior disease marker in such cohorts. Finally, huntingtin-lowering therapies in development will require biomarkers of target engagement to assist in measuring the pharmacodynamic response and identifying optimal dose-responses. This work shows that suppression of CSF mHTT to undetectable concentrations could be a viable measure of target engagement for such trials. However, because concentrations of mHTT are frequently only just above the detection limit at this early stage, CSF total huntingtin, measured in this thesis for the first time in HD, could be used to provide a measure of percentage huntingtin reduction for total huntingtin-lowering trials. Collectively, these results are likely to have a major impact on the direction and design of future clinical trials in premanifest HD.

Each results chapter of this thesis has resulted in publishable work. The results of the HD Young Adult Study have been published in the *Lancet Neurology*, whilst results from the other two data chapters have been written up for publication. This work has also been presented at several global conferences via platform talks and poster presentations.

Acknowledgements

Firstly, I'd like to thank all participants who gave their valuable time to participate in this research. I was so impressed and inspired by their commitment and resolve in the pursuit of advancing Huntington's research. I would also like to thank the Wellcome Trust for funding this important work.

Thank you Sarah Tabrizi and Geraint Rees for bringing me to the UCL HD centre and putting your trust in me. You have always been available, supportive and it has been an honour to work under your guidance on such a great project.

Thanks to everyone who worked on the HD-YAS. It was a great collaborative project to work on together and an amazing team to work with. To the HD clinical and research team at UCL, I wanted to express my gratitude for your help and just how much I have enjoyed being part of the team.

Special thanks to Peter McColgan, Rachael Scahill, Sarah Gregory, Eileanoir Johnson and Marina Papoutsi for all of your support throughout this PhD and for always being on hand to help whenever it was needed. I wouldn't have been able to do this without you.

Finally, I'd like to thank my wife and family for their love and support.

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Abbreviations

ACT	Anatomically constrained tractography
AD	Axial diffusivity
AE	Adverse event
AMI	Apathy motivation index
ASO	Antisense oligonucleotide
AUC	Area under the curve
BAIS	Baltimore irritability and apathy scale
BIS	Barratt impulsivity scale
BDNF	Brain derived neurotrophic factor
CANTAB	Cambridge neuropsychological test automated battery
CAP	CAG-Age product
CRISPR	Clustered regularly interspaced short palindromic repeats
CSD	Constrained spherical deconvolution
CSF	Cerebrospinal fluid
DBS	Disease burden score
DCS	Diagnostic confidence score
DIAN	Dominantly inherited Alzheimer's disease
DTI	Diffusion tensor imaging
DWI	Diffusion weighted imaging
ELISA	Enzyme-linked immunosorbent assay
EMA	European Medicines Agency
EPI	Echo planar imaging
FA	Fractional anisotropy
FBA	Fixel-based analysis
FC	Fibre cross-section
FD	Fibre density
FDA	Food and drug administration
FDC	Fibre density and cross-section
fMRI	Functional magnetic resonance Imaging
FOD	Fibre orientation distribution

FrSBE	Frontal systems behavioural scale
FWE	Family-wise error
FWF	Free water fraction
GFAP	Glial fibrillary acidic protein
GWAS	Genome-wide association studies
HD	Huntington's disease
HD-YAS	Huntington's disease young adult study
IED	Intra-extra dimensional set shifting
IL	Interleukin
MALP-EM	Multi-atlas label propagation with expectation maximisation-based refinement
MD	Mean diffusivity
mHTT	Mutant huntingtin
MOS	Medical outcomes study
MIDAS	Metabolite imaging and data analysis system
MNI	Montreal neurological institute
MPM	Multi-parametric mapping
MRI	Magnetic resonance imaging
MT	Magnetisation transfer
NART	National adult reading test
NBS	Network-based statistics
NDI	Neurite density index
NfL	Neurofilament light
NODDI	Neurite orientation dispersion and density imaging
OCI	Obsessive-compulsive inventory
ODI	Orientation dispersion index
OTS	One-touch stockings of Cambridge
PAL	Paired associates learning
PBA	Problem behaviours assessment
PCR	Polymerase chain reaction
PD	Effective proton density
PET	Positron emission tomography
preHD	Premanifest Huntington's disease

PSQI	Pittsburgh sleep quality index
R1	Longitudinal relaxation rate
R2*	Effective transverse relaxation rate
RNAi	RNA interference
RD	Radial diffusivity
ROC	Receiver operating characteristic
ROI	Region of interest
RVP	Rapid visual information processing
SDMT	Symbol digit modalities test
SCL-90	Symptom checklist-90-revised
SD	Standard deviation
SDS	Zung self-rating depression scale
SE	Standard error
SF-36	Short-form health survey
SIFT	Spherical-deconvolution informed filtering of tractograms
SMC	Single molecule counting
STAI	Spielberger state/trait anxiety
SST	Stop signal test
SWM	Spatial working memory
SWRT	Stroop word reading test
tHTT	Total huntingtin
T	Tesla
TE	Echo time
TFC	Total functional capacity
TMS	Total motor score
TR	Repetition time
UCH-L1	Ubiquitin C-terminal Hydrolase L1
UHDRS	Unified Huntington's Disease Rating Scale

1 Introduction

In this introduction, I provide an overview of Huntington's disease (HD), with particular emphasis on the premanifest period. I reflect on recent progress in the search for disease modifying treatments and the currently unanswered questions that may help inform future treatment strategies.

1.1 Huntington's disease

Huntington's disease (HD) is a progressive neurodegenerative disease characterised by neuropsychiatric symptoms, cognitive and motor impairment. Disease onset can occur at any time in life, but the incidence is highest between 50-70 years (Evans et al. 2013). Despite the identification of the causative mutation, a trinucleotide repeat expansion in the Huntingtin gene in 1993 (The Huntington's Disease Collaborative Research Group 1993), there are still no disease modifying therapies for this devastating disease. The prevalence of HD worldwide is 2.7 per 100,000 (Pringsheim et al. 2012). This varies between regions and is higher in Europe, North America and Australia (5.7 per 100,000) and lower in Asia at 0.4 per 100,000. In the UK, prevalence is 12.3 per 100,000 and it is estimated there are more than 5700 people, aged 21 or more, with HD (Evans et al. 2013).

1.1.1 Genetics

HD is an autosomal dominant monogenic disorder caused by a CAG repeat expansion within exon 1 of the HTT gene leading to the production of mutant huntingtin (mHTT) protein (Bates et al. 2015). HD is fully penetrant in mutation carriers with > 39 CAG repeats and the age of onset is inversely correlated with the length of the expansion (Langbehn et al. 2010; Ross et al. 2014). Repeat lengths in excess of 50 can lead to clinical onset before 21 years which is known as Juvenile HD (Fusilli et al. 2018). Juvenile HD is associated with a different phenotype to adult onset HD, presenting with a more rigid, bradykinetic clinical picture and faster progression. In addition, magnetic resonance imaging (MRI) and post-mortem studies show more severe striatal atrophy and less white matter involvement, underscoring the phenotypic differences that distinguish this group from adult-onset HD (Fusilli et al. 2018). Individuals with repeat lengths between 36-39 display reduced penetrance where individuals may or may not develop HD symptoms over a typical lifespan (Rubinsztein et al. 1996).

CAG repeat length shows a strong relationship with age of onset, accounting for 50-70% of variability in age of onset (Langbehn et al. 2004; Lee et al. 2012). Genome-

wide association studies (GWAS) have uncovered other genes involved in DNA repair that may account for some of the remaining variability in age of onset as well as the rate of progression of HD (Hensman Moss et al. 2017; Goold et al. 2019). Recent work suggests that uninterrupted CAG repeats rather than polyglutamine length may be the dominant factor influencing age of onset (Genetic Modifiers of Huntington's Disease Consortium 2019).

Predictive testing via polymerase chain reaction (PCR) analysis is widely available in the UK and sizing is accurate within ± 1 repeat for alleles ≤ 42 and ± 3 repeats for alleles > 42 (Losekoot et al. 2013). However current levels of uptake in the UK are low (17.4%) with a median age at testing of 37 years (Baig et al. 2016).

1.1.2 Neurobiology

Although huntingtin-lowering therapies are now in clinical development, the precise role of wild type huntingtin has yet to be fully characterised. It is an intracellular protein which is ubiquitously expressed throughout all cell types although its expression is higher in the central nervous system (CNS) than peripheral tissues (Saudou and Humbert 2016). It appears to be involved in several cellular functions including in vesicular trafficking, ciliogenesis, endocytosis, autophagy and transcriptional regulation (Saudou and Humbert 2016). HTT knock out models are embryonically lethal indicating it also has an essential role in neurodevelopment (Zeitlin et al. 1995; Liu and Zeitlin 2017).

The HD mutation is thought to represent a toxic gain of function although the mechanism of how this results in neuronal death is multifactorial and incompletely understood (Bates et al. 2015). Full-length huntingtin is cleaved through proteolysis to generate additional protein fragments, some of which can enter the nucleus. These fragments can be retained in the nucleus forming inclusions and causing transcriptional dysregulation. Huntingtin fragments also oligomerise and aggregate in the cytoplasm (Bates et al. 2015). An amino-terminal HTT exon1 truncated protein formed by aberrant splicing may also contribute to aggregation (Sathasivam et al. 2013). The presence of mutant huntingtin and its fragments leads to a diversity of cellular impairments including synaptic dysfunction (Reddy and Shirendeb 2012; Nithianantharajah and Hannan 2013), mitochondrial toxicity (Johri, Chandra et al.

2013), immune dysfunction (Ellrichmann et al. 2013) and decreased axonal transport (Reddy and Shirendeb 2012). Together, these dysfunctions result in progressive neuronal impairment, damage and death (Bates, Dorsey et al. 2015).

Synaptic and immune dysfunction may be particularly relevant in the early disease process. Evidence for early synaptic dysfunction in HD includes altered transmission and excitability in HD brains and animal models (Smith-Dijak et al. 2019). The disruption of synaptic function and plasticity affects a variety of synaptic signalling molecules over a range of neuronal types and brain structures. The cortico-striatal synapse has been a particular focus of study and shows significant dysfunction before the degeneration of the medium spiny neurons which predominate in the striatum (Veldman and Yang 2018). Due to changes in subunit composition and localisation of the glutamatergic NMDA receptor, as well as reduced function of glutamate transporter 1, glutamatergic signalling at the cortico-striatal synapse more readily engages pro-death signalling pathways (Smith-Dijak et al. 2019). Medium spiny neurons also receive reduced trophic support from cortical projection neurons due to reduced expression and trafficking of brain derived neurotrophic factor (BDNF), resulting in pro-death rather than pro-survival plasticity pathways being activated. In addition, the medium spiny neurons may receive increased inhibitory signalling due to enhanced GABAergic input from striatal interneurons. Some of the alterations in signalling, such as reduced transcription and transport of BDNF, are thought to be a direct result of the effect of the CAG expansion on the function of mHTT, whilst others appear to be the consequence of mHTT-mediated disruption of other related pathways (Smith-Dijak et al. 2019). Collectively, such alterations increase neuronal vulnerability to cell death. These changes appear to occur early in the disease process, highlighted by the fact that premanifest Huntington's disease (preHD) human subjects demonstrate altered motor cortex plasticity and excitability long before the onset of overt symptoms (Orth et al. 2010).

Immune dysfunction has also been an area of increasing focus in HD. Glial cells have been shown to become dysregulated early in the disease course (Wilton and Stevens 2020). Such dysregulation can lead to the disruption of normal biological processes, such as impaired myelination attributed to changes in oligodendrocyte biology (Jin et al. 2015; Garcia-Miralles et al. 2019), but can also cause reactive changes, such as

the increased secretion of inflammatory cytokines by microglia (Crotti et al. 2014). Several studies have demonstrated that cell-autonomous dysfunction driven by mHTT in specific glial types is able to induce HD pathology and disease-related impairments in motor and cognitive performance (Wilton and Stevens 2020). Transcriptional dysregulation as a result of mHTT expression has been observed in both oligodendrocytes and astrocytes and their common progenitor as well as earlier stages of neural development, suggesting that their dysfunctional biology is apparent at an early stage (Diaz-Castro et al. 2019; Osipovitch et al. 2019; Wilton and Stevens 2020). Underlining this, recent work has demonstrated mHTT-driven transcriptional dysregulation in astrocytes becomes more pronounced over time and is reversed with mHTT lowering (Diaz-Castro et al. 2019). It is still unclear whether glia facilitate or mitigate HD pathogenesis, although the cell-autonomous pathology generated by selective expression of mHTT and evidence of glial dysfunction early in the disease course lends increasing weight that it is not simply a response to early neuronal damage (Wilton and Stevens 2020). Understanding how markers of glial activity relate to markers of neuronal damage in early preHD will be of specific interest in this regard.

Finally, increasing evidence for the role of somatic instability in HD pathogenesis is emerging, supported by aforementioned findings that genetic variation in genes involved in DNA repair are closely related to age of onset and rate of progression in HD (Hensman Moss et al. 2017; Goold et al. 2019). Similar findings have been reported in other CAG-repeat disorders such as spinocerebellar ataxias, supporting somatic expansion as a driver of pathogenesis across multiple CAG expansion disorders (Sobczak and Krzyzosiak 2004). This somatic instability varies between cell and tissue types, and is particularly prominent in the striatum and cortex and may partly explain the selective vulnerability of these tissues (Telenius et al. 1994; Swami et al. 2009). Intriguingly, huntingtin-lowering was recently observed to reduce somatic instability of both Htt and Atxn2 CAG tracts in knock-in mouse models and the HTT CAG tract in human neurons, suggesting a role for HTT in regulating somatic instability (Coffey et al. 2020).

1.1.3 Pathology

Post-mortem studies demonstrate that the striatum, comprising the ventral striatum, caudate and putamen, is a major site of pathology in HD (Vonsattel et al. 1985; Vonsattel and DiFiglia 1998). Striatal volume loss is mainly caused by an extensive reduction in GABA-ergic medium spiny stellate projection neurons (Graveland et al. 1985; Vonsattel and DiFiglia 1998). These account for approximately 95% of all neurons in the striatum and are the main targets of striatal input and provide the main efferent output of the striatum. The neuropathological changes within the striatum appear to follow a dorso-ventral, medial-lateral caudal-rostral gradient (Vonsattel et al. 1985).

Alongside the striatum, the thalamus is another central subcortical structure in basal-ganglia loops providing efferent output from the basal ganglia back to the cortex (Haber 2016). Degeneration of the thalamus with global atrophy, neuronal loss and astrogliosis has been described in previous neuropathological studies (Heinsen et al. 1996; Heinsen et al. 1999; Rub et al. 2016). This neurodegeneration appears to involve the different component nuclei of the thalamus including the motor ventrolateral nucleus, centromedian-parafascicular complex and mediodorsal nucleus.

Over time, all four cerebral lobes undergo thinning of their cortical mantles, layer specific neuronal loss and severe atrophy (Rub et al. 2016). The degree of neuronal loss is closely associated with the extent of reactive astrogliosis observed (Myers et al. 1991). The brainstem and cerebellum also show evidence of widespread neurodegeneration in HD, demonstrating that although the striatum shows early striking neurodegeneration, HD ultimately affects the whole brain (Rub et al. 2016).

White matter pathology has been less well characterised in HD, but substantial white matter atrophy has been consistently described with evidence of axonal inclusions in the white matter tracts (de la Monte et al. 1988; Rub et al. 2016). In post-mortem brains of preHD subjects, increased oligodendrocyte densities were observed in the striatum alongside normal densities of other cell types (Gómez-Tortosa et al. 2001). Given the central role of oligodendrocytes in myelination, this result increases interest in disrupted myelination early in the disease processes.

Increased iron deposition is a common feature in other degenerative diseases affecting the basal ganglia (Rouault 2013). Iron deposition has also been described in early stage HD (Simmons et al. 2007; Rosas et al. 2012; Muller and Leavitt 2014), including in the putamen, pallidum and occipital cortex (Rosas et al. 2012). Whether such iron deposition contributes to pathogenesis or is a secondary phenomenon is unclear (Muller and Leavitt 2014).

1.1.4 Clinical manifestations

The diagnosis of manifest HD is based on a positive genetic test coupled with the onset of motor abnormalities typical of HD. The latter is defined by a diagnostic confidence score of 4 (unequivocal motor signs) on the standardised Unified HD rating scale (UHDRS) (Huntington Study Group 1996).

One of the hallmarks of HD is chorea which increases in the early course of the disease before typically plateauing. Other motor abnormalities typically seen include dystonia, bradykinesia, motor impersistence and gait instability. Motor function is typically assessed on the UHDRS total motor score (TMS), which involves a focused examination of motor signs associated with HD. Though there is a degree of inter-rater variability, it is sensitive to change over time (Hogarth et al. 2005; Tabrizi et al. 2013; Biglan et al. 2016). More quantitative assessments include the Q-motor battery, which includes tongue force variability, grip force, speeded and self-paced tapping and also show sensitivity to longitudinal change in HD (Tabrizi et al. 2013).

HD also causes progressive cognitive impairment (Papoutsis et al. 2014). In manifest HD there is a range of cognitive impairments demonstrable over many related domains including executive function, psychomotor speed, attention, episodic memory, working memory, learning, emotion recognition and odour perception (Paulsen 2011; Papoutsis et al. 2014). Domains such as semantic memory, language comprehension, spatial awareness and orientation remain relatively intact, highlighting the subcortical nature of neurodegeneration in the early stages of the disease (Papoutsis et al. 2014).

Neuropsychiatric disturbance is the third cardinal feature of HD and can be the most problematic for patients and their families. Psychiatric symptoms have typically been assessed using either standardised self-report questionnaires (Epping et al. 2016) or

the problem behaviours assessment (PBA), where symptoms are assessed using a semi-structured interview (Craufurd et al. 2001). A number of different psychiatric symptoms can be seen at increased rates in HD compared to the general population, including apathy, anxiety, depression, irritability, obsessive compulsive symptoms and psychosis (Craufurd et al. 2001; van Duijn et al. 2014). Apathy and irritability are particularly common, occurring in approximately 70% and 40% of HD patients respectively (Craufurd et al. 2001). Apathy typically increases with disease duration and whilst affective symptoms such as depression and anxiety are very common, these do not typically associate with disease duration likely due to the availability of effective treatments for the latter (Craufurd et al. 2001; Tabrizi et al. 2013).

1.1.5 Current therapeutic approaches for disease modification

No disease modifying treatments currently exist for HD, however recent advances in DNA and RNA modifying therapies hold great promise. Most advanced in clinical development are anti-sense oligonucleotide (ASO) therapies. ASOs are short, synthetic, single-stranded oligonucleotide analogues that bind to complementary pre-mRNA targets that lead to target protein reduction via a number of potential pathways including through RNase H1 recruitment (Tabrizi et al. 2019). A non-allele selective ASO has already been shown to effectively lower mHTT in humans (Tabrizi et al. 2019) and is now in a phase 3 clinical trial whilst an allele selective approach is also in human trials (Hersch et al. 2017).

Other RNA lowering approaches include RNA interference (RNAi) compounds. RNAi uses RNA-based therapeutic molecules including short hairpin RNA, microRNA and short interfering RNA (siRNA). These molecules bind to mature spliced cytosolic mRNA, promoting its removal by argonaute2, an enzyme within the RNA-induced silencing complex (Hutvagner and Simard 2008).

Current DNA lowering approaches in preclinical development for HD include clustered regularly interspaced short palindromic repeats (CRISPR) with CRISPR associated protein 9 (CRISPR/Cas9) and zinc finger proteins (ZFNs) (Tabrizi et al. 2019). Potential applications for HD include the excision of CAG repeats, inactivation of the mutant allele by insertion of stop codons or missense mutations (Cox et al. 2015).

One aspect important to treatment success is the distribution of a disease-modifying therapy. ASOs are large molecules and do not effectively permeate the blood-brain-barrier and hence are delivered intrathecally (Tabrizi et al. 2019). Meanwhile RNAi, ZFNs and certain CRISPR-Cas9 approaches using adenoviral vectors will require delivery by injection direct to the brain parenchyma. Although a more invasive procedure, adenoviral vector delivery should mean only a single dose is required to produce a lasting huntingtin-lowering effect. However distribution, which can occur in part through axonal transport with certain adenoviral vectors (Weiss et al. 2020), is often limited. Therefore, injection sites may need to be selected based on factors such as regions most vulnerable to degeneration or areas of high connectivity to other important brain regions to help facilitate therapeutic distribution.

Collectively, these DNA and RNA editing approaches hold great promise for future disease modification in HD. Currently in preclinical development there are 3 RNAi candidates, 1 small molecule, 2 CRISPR/Cas9, 2 ZFPs and 1 ASO whilst 2 ASO candidates are currently in advanced clinical trials (Tabrizi et al. 2019). Such approaches are likely to have the most success if instituted early in the disease course before widespread neurodegeneration has occurred and preventing or delaying clinical onset will be a key goal of future treatments. Therefore, fully characterising the premanifest period, from the first detectable markers of neurodegeneration through the cascade of biomarker changes that lead to clinical onset, will be important in determining the optimal time to treat and biomarkers that may be helpful when there is little in the way of clinical impairments. The genetic basis of HD affords the possibility to study the course of disease in its entirety and trace neurodegeneration back to the very earliest timepoint.

1.2 The premanifest period

Current understanding of the premanifest period in HD has largely been shaped by TRACK-HD and PREDICT-HD, two large-scale longitudinal cohort studies. TRACK-HD was a 4 site international study involving 366 individuals, including 120 preHD individuals and 120 controls who were followed up over 36 months collecting motor, cognitive, psychiatric and imaging data along with blood collection that was not used in the original analysis (Tabrizi et al. 2009; Tabrizi et al. 2011; Tabrizi et al. 2012;

Tabrizi et al. 2013). PreHD subjects were required to have a CAG repeat length of >39. The TrackOn-HD study was an extension of TRACK-HD but with only the preHD and control participants carried over (Kloppel et al. 2015). In addition to the clinical assessments, diffusion tensor imaging and resting state functional MRI (fMRI) were added to the imaging battery whilst neurite orientation dispersion and density imaging (NODDI) was added to the final timepoint.

The PREDICT-HD study collected data from September 2001 to August 2012 from 1314 participants including 1013 preHD and 301 controls at 32 worldwide sites (Paulsen et al. 2008; Paulsen et al. 2014). The average years in the study were six with a range of 1-10. Assessments included motor, cognitive, psychiatric and brain MRI. Unlike the TRACK-HD study, individuals with intermediate repeat lengths of 36-39 were included in the preHD group. MRI assessments included either a 1.5T or 3T volumetric scan with diffusion tensor imaging added to the schedules later in the study.

1.2.1 Predicting years to clinical onset

To enable a detailed characterisation of the premanifest period, previous natural history studies have utilised models which aim to predict years to clinical onset or estimate disease burden in order to stratify subgroups according to proximity to onset. Such models leverage the strong association with CAG length on age of clinical onset by incorporating CAG length within the model.

The Penney Disease Burden Score (DBS), used in TRACK-HD to recruit a preHD cohort likely to show demonstrable change in selected outcome measures, is calculated as $\text{age} \times [\text{CAG length} - 35.5]$ (Appendix 9.1). This equation is based on the linear correlation observed between CAG repeat number and the degree of atrophy in the striatum for 89 HD patients (Penney et al. 1997). The score functions as a posteriori estimate of an individual's lifetime exposure to mHTT at any given age. The DBS does not, however, directly predict the number of years to clinical onset. The Langbehn survival analysis formula (Langbehn et al. 2004) was derived using a cohort of 2913 individuals from 40 centres worldwide and uses a parametric survival model based on CAG repeat length to predict age of disease onset. This prediction is not perfect and suffers from referral and observation biases. It also cannot account

for the influence of other genetic determinants of age of onset that are beginning to be revealed as discussed earlier. Nevertheless, a prospective validation of the model reported reasonably accurate predictions in age of onset (Langbehn et al. 2010). This model was used in TRACK-HD to divide the preHD group in two based on being < or > 10.8 years from predicted onset. The study results demonstrated a striking relationship with numerous disease biomarkers and time to predicted onset (Tabrizi et al. 2009; Tabrizi et al. 2013), indicating that this model provides a meaningful predictor of time to clinical onset at the group level to enable preHD stratification.

The PREDICT-HD study used the CAG-Age Product (CAP) score to split the premanifest group into near, mid and far stages which were <7.59, 7.59-12.78 and >12.78 years from predicted onset respectively (Paulsen et al. 2014). CAP is similar to DBS with both indexing the cumulative toxicity of mutant huntingtin by incorporating age and CAG repeat lengths within the model. The CAP score is calculated as $CAP=100 \times AGE \times [(CAG-L) \div S]$, where AGE is the patient's current age at time of study and L and S are constants (Zhang et al. 2011). S is a normalising constant chosen so that the CAP score is approximately 100 at the patient's expected age of onset as estimated by the Langbehn formula (Langbehn et al. 2004). L is a scaling constant that represents the lower limit of CAG lengths for which some pathological effect might be expected. In the original CAP score, this was estimated at 33.66 (Zhang et al. 2011), contrasting to the DBS estimation which uses 35.5. Since the inception of the CAP score, the optimal L and S values have been found to be 30 and S of 627 for correlation with a wide variety of clinical measures (Ross et al. 2014). Like the DBS, this model appeared to provide a meaningful measure of disease staging, with a variety of markers showing progressive changes from the far to near groups (Paulsen et al. 2008; Stout et al. 2011; Epping et al. 2016).

Using the above models, all of which use age and CAG length to provide an estimate of years to expected onset, large observational studies such as PREDICT-HD and TRACK-HD have shed light on when certain disease markers first become abnormal in the premanifest period.

1.2.2 Motor

Clinical diagnosis based on UHDRS requires 'unequivocal motor signs' on a focused clinical examination. Motor signs observed on the TMS typically gradually and subtly increase towards diagnosis (Biglan et al. 2009; Tabrizi et al. 2012; Tabrizi et al. 2013; Paulsen et al. 2014; Biglan et al. 2016). Paulsen et al. found that the total score on TMS, rather than the chorea, bradykinesia, ocular, rigidity and dystonia subscores, demonstrated the strongest effect size of the motor measures across the PREDICT-HD cohort (Paulsen et al. 2014). This finding was extended by a recent study demonstrating that TMS had the largest longitudinal effect size over a 3-year timespan in preHD across five large observational studies, outperforming cognitive and functional measures included across studies (Langbehn and Hersch 2020). Quantitative motor tasks included in TRACK-HD such as tongue protrusion force and speeded tapping variability begin to show changes in those who are <10 years from predicted onset (Tabrizi et al. 2013). However, preHD individuals >10 years from onset do not appear to show any detectable motor changes (Tabrizi et al. 2009; Paulsen et al. 2014). Collectively, the evidence suggests that whilst motor changes may demonstrate large effect sizes in preHD close to predicted onset, they are not sensitive to disease effects in groups > 10 years from predicted onset.

1.2.3 Cognition

Subtle cognitive impairment is detectable in preHD at least 10 years away from onset (Stout et al. 2011) and cognitive deficits are predominantly based fronto-striatal dependent tasks (Papoutsis et al. 2014). Early deficits have been reported in executive function (Lawrence et al. 1998; Stout et al. 2011; Papp et al. 2013), visuomotor integration (Lemay et al. 2005; Say et al. 2011), psychomotor speed (Lawrence et al. 1998; Snowden et al. 2002; Stout et al. 2011; Stout et al. 2012; Tabrizi et al. 2013) and emotion recognition (Stout et al. 2011; Harrington et al. 2014).

A wide variety of cognitive tasks have been used to study cognition in the premanifest period. Among the most established measures are the symbol digit modalities test (SDMT) and Stroop word reading test (SWRT), which were included in both TRACK-HD (Tabrizi et al. 2009) and PREDICT-HD (Paulsen et al. 2008) studies and continue to be utilised in the ongoing Enroll-HD study (Landwehrmeyer et al. 2016). As a

substitution task, the SDMT assesses attention, perceptual speed, motor speed and visual scanning. It has been shown to be sensitive to a variety of neurological conditions associated with impairments in processing speed (Van Schependom et al. 2014; Fellows and Schmitter-Edgecombe 2019). In the PREDICT-HD study, it demonstrated the highest effect size in the “near” and “mid” groups up to 12 years from predicted onset, but displayed little difference in the group furthest from predicted onset (Stout et al. 2011). In TRACK-HD, longitudinal change was also demonstrated in the premanifest group close to predicted onset, but not the group further from predicted onset (Tabrizi et al. 2013). The Stroop word reading test also assesses processing speed and has demonstrated cross-sectional and longitudinal change in the premanifest period with relatively high effect sizes (Stout et al. 2011; Tabrizi et al. 2013). With respect to the other components of the Stroop test, colour naming and interference variables have been found to be less sensitive compared to the word reading component (Schobel et al. 2017).

Tests of executive function that have been shown to be sensitive in the premanifest period include the trail making and verbal fluency tests (Lawrence et al. 1998; Stout et al. 2011). One previous study demonstrated significant impairments in semantic but not phonemic verbal fluency in preHD (Lawrence et al. 1998). Phonemic verbal fluency showed significant differences in the group closest to predicted onset in PREDICT-HD, but not in the groups further from onset, suggesting it is generally a less sensitive test of cognitive impairment in the early premanifest period. Although not previously included in either TRACK-HD, or PREDICT-HD cohorts, attentional set shifting has also been previously shown to be sensitive in the premanifest period (Lawrence et al. 1998).

Social cognition is also of interest in HD. Emotion recognition has been assessed in a relatively large number of HD studies within the last 20 years, with particular focus on negative emotions (anger, disgust, fear, and sadness) that appear to be more affected (Johnson et al. 2007; Henley et al. 2012). Negative emotion recognition is the only task that has shown changes in the group further from onset in both TRACK-HD and PREDICT-HD (Tabrizi et al. 2009; Stout et al. 2011), the latter with a relatively low effect size (Cohens $d = -0.26$).

Tasks sensitive to hippocampal dysfunction, such as the paired associates learning and virtual reality Morris maze task, do not appear to be sensitive to disease effects in the premanifest period (Begeti et al. 2016).

Taken together, the existing literature suggests that cognitive deficits become increasingly prominent and widespread in individuals close to predicted onset, but there has been little evidence to date of cognitive impairment in gene carriers >15 years from predicted onset. The lack of evidence for cognitive impairment in gene carriers further from onset suggests that HD is not associated with cognitive differences throughout the lifespan. However, it remains possible that cognitive changes may occur in individuals further from predicted onset, but have been so far undetected either because their effect sizes are too small, or due to a failure to target the affected cognitive functions with the right tests.

1.2.4 Psychiatric

Neuropsychiatric features are relatively common in the premanifest period but can also be observed in the general population. Most of the assessment tools used previously to examine for neuropsychiatric symptoms in preHD have quantified the degree of current neuropsychiatric symptoms, typically from the preceding days to weeks, rather than lifetime rates (Tabrizi et al. 2009; Duff et al. 2010; Epping et al. 2013; Epping et al. 2016).

Using the PBA, TRACK-HD reported significant cross-sectional differences in apathy and irritability in preHD but not in affective features (Tabrizi et al. 2009). Similarly, increased levels of apathy have also been reported in preHD using the frontal system behavioural Scale (FrsBe) (Duff et al. 2010).

Depressive symptoms, as measured on the self-report symptom checklist-90-revised (SCL-90R) and the beck depression inventory, have also been found to be more prevalent in preHD (Epping et al. 2013). However this study did not find a relationship with depressive symptoms and proximity to predicted onset or time since genetic testing. A separate study using a diagnostic interview method also found increased depressive symptoms in preHD, with the rate of depression increasing with proximity to estimated clinical onset (Julien et al. 2007). However gene carriers and non-gene

carriers did not differ in lifetime history of psychiatric diagnoses or subclinical symptoms.

Obsessive and compulsive symptoms, which are more common in HD than the general population (Beglinger et al. 2007), have also been studied in the premanifest period using a self-report questionnaire (Beglinger et al. 2008). There was evidence of increased symptoms in the preHD group overall, although mean scores were below those of patients with a clinical diagnosis of obsessive-compulsive disorder. There was also no evidence of this symptom increasing in the groups closer to predicted onset in this cohort.

In a longitudinal analysis of 1305 PREDICT-HD subjects using scores on the SCL-90R, significant cross-sectional and longitudinal increases were found in 19 out of the 24 psychiatric measures in the group closest to onset (Epping et al. 2016). In the group >12 years from predicted onset there were significant cross-sectional increases in levels of depression, anxiety and obsessive-compulsive symptoms, although these did not show longitudinal change. Longitudinal increases in psychiatric symptoms have been observed in a smaller preHD sample, with the greatest changes noted in irritability and hostility (Kirkwood et al. 2002). In TRACK-HD, only apathy showed significant longitudinal changes in the preHD group close to predicted onset (Tabrizi et al. 2013).

Since neuropsychiatric symptoms are often assessed using self-report scales, some studies have sought to establish how closely aligned HD gene carrier ratings are to companion ratings. In PREDICT-HD, companion ratings tended to be higher than preHD self-ratings, particularly in participants closest to expected onset (Epping et al. 2016). However, another study found that preHD participants self-rated higher on the FrsBe compared their companion, and the reverse was true in the manifest participants (Andrews et al. 2018). This is consistent with previous findings of decreased awareness of symptoms in HD (Chatterjee et al. 2005; Ho et al. 2006) and in preHD close to predicted onset (Duff et al. 2010; McCusker et al. 2013). There is little evidence of a lack of symptom awareness in individuals further from onset however.

In the majority of previous studies, including TRACK-HD and PREDICT-HD, medication use has been not controlled for, creating a potential limitation in the report of symptoms that can be medicated. Indeed, it was proposed as a reason why affective symptoms might not demonstrate longitudinal change in TRACK-HD (Tabrizi et al. 2013). However, in the latter study, medication use was similar in both preHD and control groups whilst in PREDICT-HD, the proportion of participants taking antidepressants increased with depression severity in both groups (Epping et al. 2013), suggesting that medication use may not substantially confound previously reported results.

The cause of increased psychiatric symptoms observed in the premanifest period remains difficult to determine. Observations of increasing prevalence and severity of psychiatric symptoms in individuals closer to predicted onset (Julien et al. 2007; Epping et al. 2016) may suggest this to be a neurobiological effect, however this relationship has not always been observed in previous preHD studies (Beglinger et al. 2008; Epping et al. 2013; Tabrizi et al. 2013). Environmental factors, such as growing up in HD families could be relevant, however the control groups in previous studies have minimised this influence by including gene negative or family members as the control group. Finally, the impact of a positive predicted test is a relevant consideration. However collective evidence from previous studies on the impact of testing has suggested that a positive test result is not associated increased psychiatric symptoms compared to those testing negative over time (Crozier et al. 2015).

1.2.5 Biofluids

The study of biofluid biomarkers in HD can further inform understanding of the premanifest period and has greatly accelerated over the past decade owing to several factors including: a greater understanding of the pathophysiology of HD, the development of new highly sensitive assays and improvements in the standardisation of sample collection and processing (Zeun et al. 2019). Cerebrospinal fluid (CSF), which is enriched with brain-derived substances, has been a focus of particular interest, but other biofluids have the potential to yield relevant biomarkers if their composition reflects that of the CNS. All biofluids, including CSF, may reflect

peripheral as well as central disease-related changes, especially since mHTT is ubiquitously expressed.

1.2.5.1 Huntingtin

The central role of mHTT in HD pathogenesis makes it a key biomarker of interest. For huntingtin-lowering therapeutics in development, it is also an important measure of target engagement and has already been successfully used as such in the first huntingtin-lowering therapy trialled in HD (Tabrizi et al. 2019). CSF mHTT can be quantified with a femtomolar-sensitive single molecule counting (SMC) immunoassay (Wild et al. 2015) which has been validated according to the guidelines for regulatory approval (Fodale et al. 2017). This assay uses the 2B7 antibody, which binds to the N-terminus of HTT; and the MW1 antibody, which binds to polyglutamine tracts for detection. As should be expected, there has been no detectable signal in control groups in previous studies (Wild et al. 2015; Byrne et al. 2018). There is a known correlation between mHTT and haemoglobin concentrations, however this only becomes significant at haemoglobin concentrations above 2µg/ml (Fodale et al. 2017).

CSF mHTT concentrations appear to increase from the premanifest to manifest stage of disease and correlate with clinical scores (Wild et al. 2015; Byrne et al. 2018). It has been shown to rise linearly with age and in a CAG-dependent fashion (Rodrigues et al. 2020). CSF mHTT is highly stable within individuals over short intervals (Byrne et al. 2018) and has been shown to be unaffected by batch, assay or storage effects (Rodrigues et al. 2020).

Total huntingtin is also a potential biomarker of interest in HD. Due to the previous lack of an assay capable of measuring total huntingtin in biofluids, it is currently unknown whether the HD mutation affects concentrations of total huntingtin in humans. Recently a total huntingtin assay has become available using a 2B7-D7F7 immunoassay on the SMC Erenna® platform, with D7F7 recognising a region surrounding Pro1220 of human HTT protein. With certain therapeutic approaches aimed at lowering total huntingtin, total huntingtin may also be a biomarker of interest to quantify target engagement for such approaches.

1.2.5.2 Neurofilament light

Neurofilament light (NfL), a protein of the axonal cytoskeleton thought to be important for the radial growth and stability of axons, has been found to be a non-specific marker of neuronal damage with elevated NfL concentrations reported across a spectrum of neurological conditions (Khalil et al. 2018).

CSF concentrations are elevated in both premanifest and manifest HD (Constantinescu et al. 2009; Niemela et al. 2017; Byrne et al. 2018) and are closely associated with CSF mHTT, suggesting both proteins are released together from damaged neurons (Wild et al. 2015; Byrne et al. 2018). Cross-sectionally, CSF NfL concentrations correlate with disease stage and motor, cognitive and functional impairment in HD (Vinther-Jensen et al. 2016; Niemela et al. 2017; Byrne et al. 2018). CSF NfL has also been shown to correlate with whole brain, white matter, grey matter and caudate volumes (Byrne et al. 2018).

In a comparison with mHTT, NfL measures showed stronger independent predictive ability than mHTT for clinical measures and stronger correlations with clinical and imaging measures of disease (Byrne et al. 2018).

NfL is also detectable at lower concentrations in blood and has been shown to closely correlate to CSF NfL, implying a CNS origin of NfL detected in plasma (Byrne et al. 2017; Byrne et al. 2018). In the TRACK-HD cohort, plasma NfL concentrations rose with every subsequent disease stage compared to the control group and were closely associated with CAG repeat length (Byrne et al. 2017). Baseline plasma NfL values were shown to predict disease onset within 3 years in premanifest subjects as well as subsequent change in cognitive and functional measures and brain atrophy in preHD.

These results suggest NfL is a robust biomarker of neuronal damage and progression in HD. Baseline values of NfL have been shown to be superior to their rate of change in predicting clinical disease status, subsequent clinical progression and brain atrophy (Rodrigues et al. 2020). Plasma NfL may represent a more appealing biomarker over CSF NfL due to sampling practicalities and cost, and current evidence suggests they have similar sensitivity and specificity in premanifest and manifest disease (Byrne et al. 2018).

1.2.5.3 Other biofluid markers studied in preHD

Tau is an axonal protein that promotes microtubule assembly and stability (Zetterberg 2017). Whilst total tau appears to be elevated in manifest HD (Constantinescu et al. 2011; Rodrigues et al. 2016), few studies have investigated tau in preHD. Vinther-Jensen et al. found tau was only elevated in manifest HD and not the preHD group (Vinther-Jensen et al. 2016). A head to head comparison of NfL with tau in a mixed group of premanifest and manifest HD reported that NfL is more strongly correlated with disease phenotype (Niemela et al. 2017) and hence appears to be a superior biomarker of HD.

Central and peripheral immune system hyperactivity driven by the effects of mHTT in monocytes and microglia has been implicated in HD pathogenesis (Björkqvist et al. 2009). Elevated concentrations of cytokines IL-6 and IL-8 have been reported in HD (Dalrymple et al. 2007; Bjorkqvist et al. 2008; Chang et al. 2015). Bjorkqvist et al. reported increased IL-6, but not IL-8 in plasma of preHD participants estimated to be approximately 16 years from predicted onset (Bjorkqvist et al. 2008). In the same study, IL-8 was increased in early HD subjects. Furthermore, concentrations of IL-6 and IL-8 in plasma and CSF were shown to be closely correlated. IL-6, but not IL-8 has been shown to be elevated in the CSF of a mixed premanifest and manifest HD cohort (Rodrigues et al. 2016). YKL-40, also known as chitinase-3-like 1 (CHI3L1) is a glycoprotein abundantly expressed in reactive astrocytes (Baldacci et al. 2017). Elevated YKL-40 concentrations have been reported in a number of neurodegenerative and neuroinflammatory diseases (Bonneh-Barkay et al. 2012; Llorens et al. 2017), reflecting a common detectable immune response to a variety of neuropathology. CSF concentrations of YKL-40 have shown mixed results in HD to date with two studies reporting no significant differences between controls and premanifest or manifest HD (Vinther-Jensen et al. 2016; Niemelä et al. 2018) and one finding increased concentrations of YKL-40 in a mixed premanifest and manifest HD cohort (Rodrigues et al. 2016). However the aforementioned studies of YKL-40 have been in relatively small sample sizes incorporating a mixture of preHD and HD participants and with the increasing evidence of astrocytic dysfunction in the early stages of HD (Ben Haim et al. 2015; Wilton and Stevens 2020), YKL-40 remains an important biomarker of interest.

1.2.5.4 Other promising biofluid biomarkers yet to be studied in HD

The above represent what are currently the biofluid biomarkers with the most evidence in preHD. Neurodegenerative diseases often involve common pathways such as astrocytic activation (Ben Haim et al. 2015) and synaptic dysfunction (Merluzzi et al. 2018; Nguyen et al. 2019). Indeed, many of the known biomarkers of HD are not specific to HD pathology and were originally discovered in studies of other neurodegenerative diseases or traumatic brain injury and this continues to be a source of novel biomarkers sensitive to neuronal injury that may be of interest in HD. For example, glial fibrillary acidic protein (GFAP), a marker of astrocyte activation, has been shown to be sensitive in frontal-temporal dementia (Heller et al. 2020), Friederichs ataxia (Zeitlberger et al. 2018) and traumatic brain injury (Bazarian et al. 2018). Another source of potential biomarkers include proteins that are found in the neuronal cytoplasm. One example of this includes ubiquitin c-terminal hydrolase L1 (UCH-L1), an abundant protein in neuronal cytoplasm (Wilkinson et al. 1989) shown to be elevated in several neurodegenerative conditions (Öhrfelt et al. 2016; Zeitlberger et al. 2018; Ng et al. 2020) and traumatic brain injury (Bazarian et al. 2018). Such biomarkers are therefore of potential interest for study in HD.

1.2.6 Imaging

Early imaging studies in HD mainly focused on volumetric imaging, with the striatum being a particular focus of attention. Over the past decade, diffusion imaging and functional MRI, both resting state and task-based, have been increasingly studied to examine white matter microstructure and functional connectivity respectively.

1.2.6.1 Volumetric imaging

The most widely studied imaging acquisition in HD is the structural volumetric MRI scan. Typically, a T1-weighted image is used as it presents the best contrast between grey and white matter, which makes delineation of structures of interest more accurate.

1.2.6.1.1 The striatum

Cross-sectional and longitudinal studies have shown that atrophy of the caudate and putamen can be observed from 15-20 years prior to predicted disease onset, and this

atrophy increases in individuals closer to onset in a linear fashion (Paulsen et al. 2008; Tabrizi et al. 2009; Aylward et al. 2011; Tabrizi et al. 2013). Caudate volumes have previously shown stronger effect sizes than putamen (Aylward et al. 2011; Tabrizi et al. 2013). The caudate is easier to delineate than the putamen due to its boundary with lateral ventricle, and this may contribute to measurements being more sensitive and less variable in the caudate. (Tabrizi et al. 2009; Tabrizi et al. 2013; Scahill et al. 2017). Striatal atrophy rates (Tabrizi et al. 2013; Paulsen et al. 2014) are predictors of conversion to manifest HD, highlighting the influence of striatal atrophy in emerging clinical signs. Striatal atrophy shows significant correlations with UHDRS TMS (Jurgens et al. 2008; Paulsen et al. 2010; Aylward et al. 2012), whilst paced finger tapping and tongue force also correlate with striatal volume (Tabrizi et al. 2009). Caudate volume loss is associated with deficits in verbal learning, working memory and emotion recognition (Aylward et al. 2013) whilst putaminal atrophy correlates with executive dysfunction and emotion recognition (Jurgens et al. 2008; Aylward et al. 2013; Harrington et al. 2014).

1.2.6.1.2 Other subcortical structures

Volume reduction has been reported in the nucleus accumbens, pallidum and thalamus from the premanifest stage (van den Bogaard et al. 2011) and longitudinal studies have also highlighted thalamic atrophy in premanifest cohorts (Aylward et al. 2011; Majid et al. 2011). However, effect sizes were small compared with the caudate and putamen. Thalamic volume has been shown to correlate with TMS (van den Bogaard et al. 2011). There is a relative lack of longitudinal studies of non-striatal subcortical structures, and no differences were found either cross-sectionally or longitudinally in any of these structures in one such study using an automated segmentation technique (Majid et al. 2011). The apparent lack of sensitivity of these structures to HD pathology compared with the caudate and putamen may be a real biological phenomenon or may just reflect the paucity of well-powered studies and/or the fact that these small structures have relatively poorly defined boundaries.

1.2.6.1.3 Cortical structures

Cortical volumes can be assessed using a number of manual (Aylward et al. 1998), semi-automated (Henley et al. 2006) and automated segmentation techniques (e.g. Statistical Parametric Mapping (<https://www.fil.ion.ucl.ac.uk/spm/>); Freesurfer

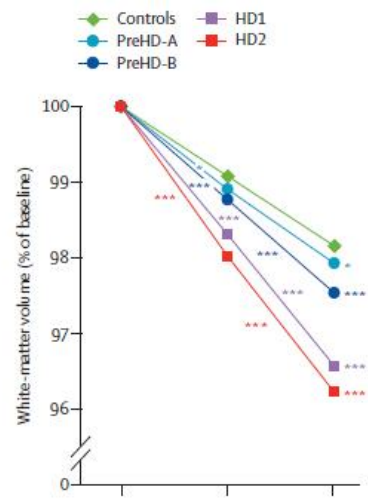
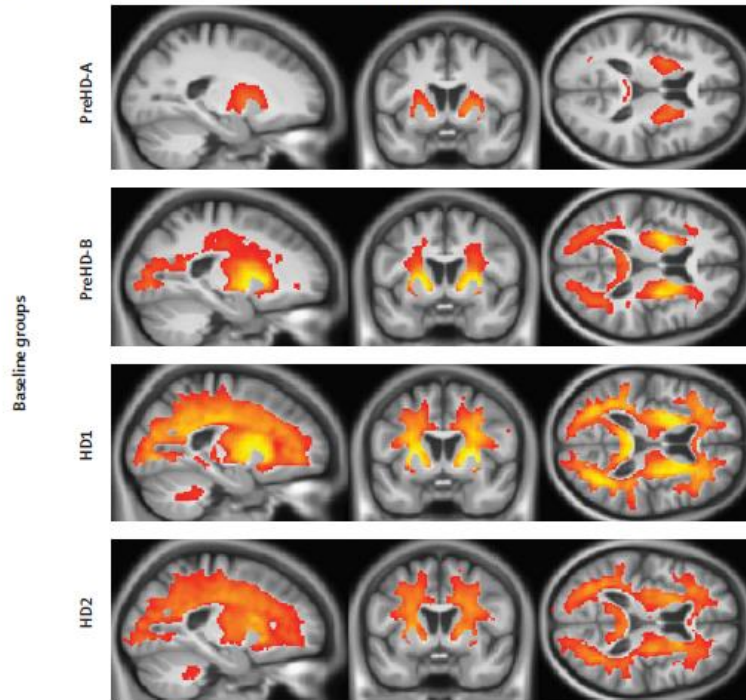
(<https://surfer.nmr.mgh.harvard.edu/>); FSL (<https://fsl.fmrib.ox.ac.uk/fsl>), although manual delineation of the cortex is generally more challenging than subcortical segmentation due to its convoluted structure.

Whole brain and grey matter volume has been shown to be reduced cross-sectionally and longitudinally, including in the premanifest stages (Kipps et al. 2005; Tabrizi et al. 2011; Tabrizi et al. 2012). Effect sizes are smaller than for striatal or white matter measures in the early premanifest stage of the disease (Tabrizi et al. 2012; Tabrizi et al. 2013). Although it is possible that the higher complexity of measuring the convoluted structure of the cortex is contributing to reduced sensitivity of global atrophy, previous work suggests that striatal and white matter loss does indeed occur early in the disease prior to symptom manifestation and that there is an acceleration of grey matter loss around the time of clinical conversion (Tabrizi et al. 2013).

Reductions in cortical thickness have been demonstrated in premanifest disease (Tabrizi et al. 2009). However, this fully automated technique can introduce errors and in particular, misclassification around the mid-sagittal plane has led to spurious results (Rosas et al. 2008; Hobbs et al. 2011). Longitudinal measures of cortical thinning appear to be less sensitive to change over time in HD than other structural measures (Hobbs et al. 2015).

A

Change in white matter



B

Change in grey matter

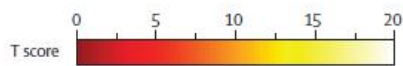
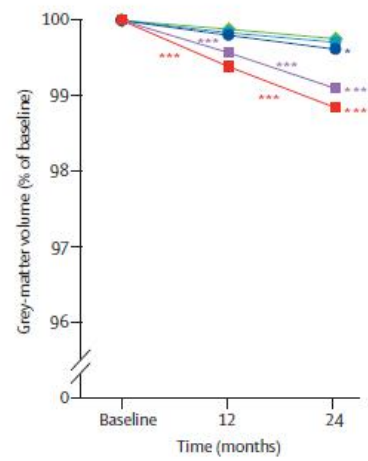
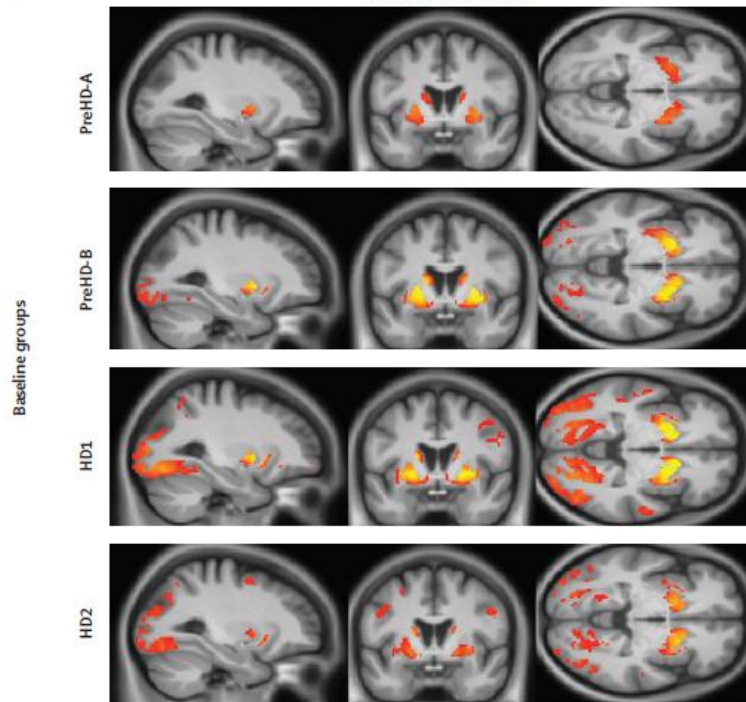


Figure 1.1 Longitudinal changes in grey and white matter. Parametric maps from the TRACK-HD study showing regions with statistically significant atrophy in (A) white matter and (B) grey matter over 24 months, relative to controls (Tabrizi et al. 2012). Corresponding longitudinal plots show mean values at baseline, 12 months, and 24 months. Significant change differences relative to controls over 0-12, 12-24, and 0-24 months are represented by * $p < 0.05$, ** $p < 0.01$, and *** $p < 0.001$. Reprinted with permission from Elsevier.

1.2.6.1.4 White matter

The importance of white matter degeneration and resulting loss of brain connectivity has been increasingly recognised in both premanifest and manifest stages of the disease. Like grey matter, white matter volumes can be analysed using manual or automated techniques, either in specific regions of interest or looking at total white matter volume.

Reduction of global white matter volume has been reported cross-sectionally and longitudinally and appears to be one of the earliest detectable changes in preHD alongside caudate volumes and certain biofluid biomarkers. Both TRACK-HD and PREDICT-HD demonstrated progressive white matter atrophy in preHD, including in the groups furthest from estimated onset (Aylward et al. 2011; Tabrizi et al. 2011; Tabrizi et al. 2012) with the most prominent changes around the striatum and posterior corpus callosum (Tabrizi et al. 2011; Crawford et al. 2013). White matter atrophy has been shown to correlate with worsening motor function (Paulsen et al. 2010; Aylward et al. 2011; Scahill et al. 2013) and cognitive function (Paulsen et al. 2010; Scahill et al. 2013).

1.2.6.2 Diffusion Imaging

Diffusion MRI provides complementary information to volumetric MRI by being sensitive to microscopic, rather than macroscopic changes. This technique measures the diffusion of water in different directions within the brain and has been used in HD to study white matter microstructure.

The most widely-studied diffusion technique in HD is diffusion tensor imaging (DTI). Typically, reductions in DTI measures of fractional anisotropy (FA) and increases in mean diffusivity (MD) are seen across various neurodegenerative diseases (Zhang et al. 2009; Atkinson-Clement et al. 2017; Slattery et al. 2017), attesting to their sensitivity but relative lack of specificity to the underlying neurodegenerative process.

These changes are generally considered to reflect one or more of axonal loss, demyelination and less coherent white matter tracts.

In cross-sectional studies, tensor measure changes in preHD have been observed in the corpus callosum, internal capsule and thalamic radiations (Rosas et al. 2010; Stoffers et al. 2010; Poudel et al. 2014; Harrington et al. 2016). In the PREDICT-HD study, MD was found to be the most sensitive DTI metric of white matter change in preHD (Faria et al. 2016). It was increased in the white matter adjacent to the posterior thalamus in the mid subgroup (estimated 7.6-12.8 years from onset), whilst the group closest to onset demonstrated more widespread diffusion changes which were especially marked in the posterior white matter (Figure 1.2). However, there were no detectable differences in the group furthest from predicted onset in this study.

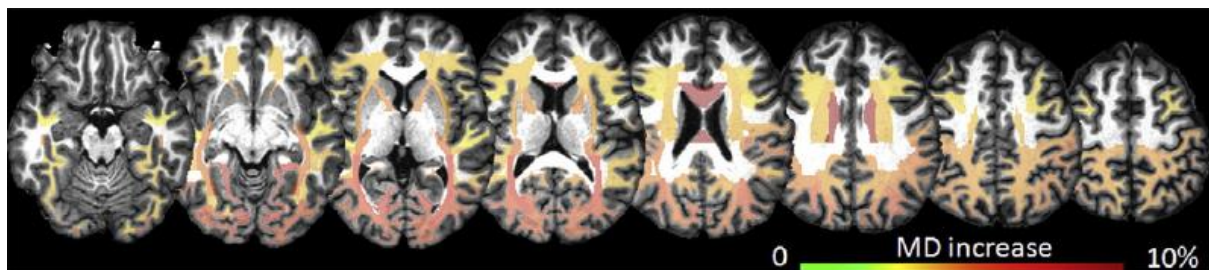


Figure 1.2 Atlas-based white matter DTI analysis. Ascending axial slices of a template brain demonstrating regions of significant changes in white matter MD between controls and the subgroup closest to predicted onset in the PREDICT-HD cohort (Faria et al. 2016). The colours represent the degree of MD increase. Reprinted under creative commons license.

Longitudinal findings using tensor measures have been inconsistent. In preHD, two studies have failed to find 12-30 month changes (Poudel et al. 2014; Odish et al. 2015), whereas two larger studies demonstrated progressive changes over 1-5 years in preHD cohorts including those up to 10 years away from onset (Harrington et al. 2016; Shaffer et al. 2017). Changes in regional tensor measures have correlated with a number of clinical measures including TMS, paced finger tapping, executive function (Poudel et al. 2014), apathy (Delmaire et al. 2013) and depression (Sprengelmeyer et al. 2014).

A substantial limitation of the diffusion tensor model is that voxel averaged measures cannot account for the contribution of free water, crossing fibres or the orientation dispersion of fibres within that voxel. For example, the observation of a reduction in

FA can be attributable to a reduction in axonal density, an increase in the dispersion of axons, or a reduction in myelin (Zhang et al. 2012; Grussu et al. 2017). The inability to resolve crossing fibres can produce misleading DTI results. This was demonstrated in a study using DTI measures alongside a technique that is able to resolve crossing fibres, where increases in FA were seen in certain voxels containing crossing fibres (Mito et al. 2018). Whilst FA typically decreases with neurodegeneration, in this example the selective degeneration of only one of these crossing fibres produced a misleading increase in FA (Figure 1.3)

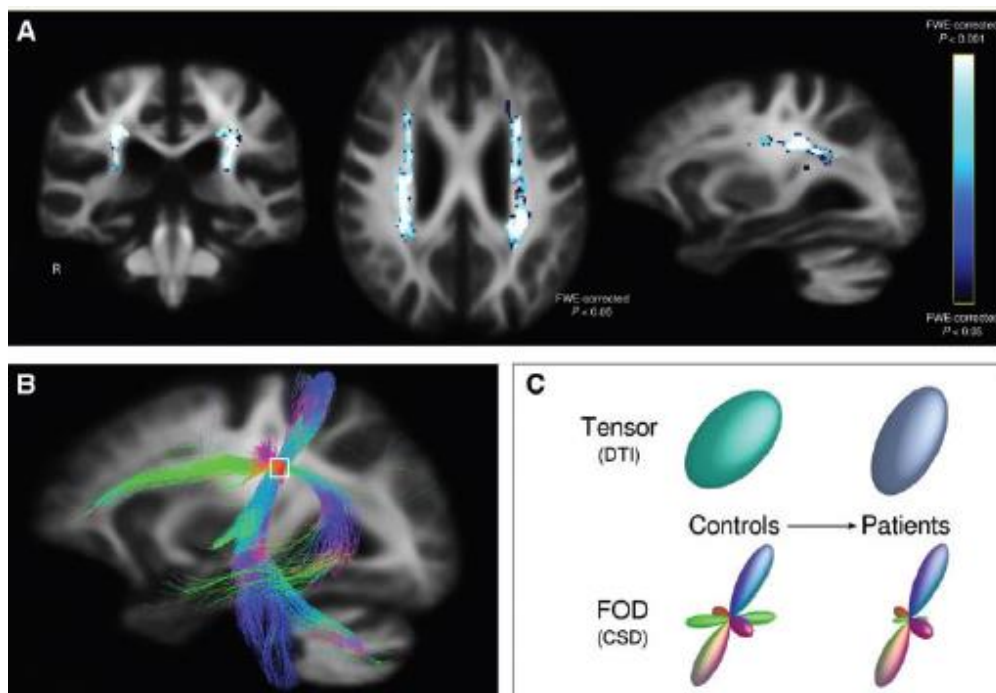


Figure 1.3 Example of limitation of tensor-based measures in regions with crossing fibres. (A) In this study of Alzheimer's subjects (Mito et al. 2018), voxels exhibit a significant (family-wise error-corrected p -value <0.05) increase in FA in the Alzheimer's group compared to healthy controls along coronal, axial and sagittal views. Increased FA typically reflects increasing white matter coherence and is the opposite of what would be expected in neurodegeneration. (B) The centrum semiovale contains fibre structures belonging to the cortico-spinal tract, superior longitudinal fasciculus, and corpus callosum, as demonstrated with probabilistic tractography. Alzheimer's disease patients showed white matter degeneration specifically within the superior longitudinal fasciculus, with relative preservation of the corticospinal tract and body of the corpus callosum. (C) Using a DTI model, this difference would be detected as an increase in FA of the tensor, given the relative contribution of the superior longitudinal fasciculus to the tensor is decreased, resulting in a misleading increase in FA along the direction of the corticospinal tract. Modelling the equivalent voxel fibre orientation distribution (FOD), the different fibre orientations within the voxel can be resolved, highlighting a specific decrease in the longitudinal fasciculus, without any significant abnormality in the corticospinal tract and corpus callosum. Reprinted with permission from Oxford University Press.

More recent advances in diffusion acquisition and modelling techniques have aimed to address these limitations and provide more sensitive and biologically meaningful measures. One such example is Neurite Orientation Dispersion and Density Imaging (NODDI) (Zhang et al. 2012). By applying a three-compartment tissue model to DWI data, NODDI enables the examination of both intra- and extra-cellular properties of white matter tissue. In turn this enables differentiation of two key aspects of axonal organisation that can both contribute to tensor measures: axonal density and the spatial organisation of axons. By also estimating the amount of free water present, changes in axonal density can be distinguished from increasing dispersion of fibres, whilst accounting for the potentially confounding effect of free water. Using NODDI in the TrackOn-HD cohort, Zhang et al. found widespread reductions in axonal density in the peristriatal white matter of the preHD group compared to controls and this correlated with increasing motor signs on the TMS (Zhang et al. 2018). The peristriatal white matter also exhibited reduced fibre dispersion with the authors suggesting that this may reflect a process of reorganisation with selective pruning of fibres within tracts linking the striatum to the cortex (Zhang et al. 2018). This example demonstrates how improved diffusion modelling with more advanced MRI acquisitions can provide further insights into the underlying white matter microstructure by separating multiple factors that can collectively contribute to diffusion within a given voxel.

1.3 Structural and functional connectivity in HD

Both volumetric MRI and diffusion MRI studies using white matter atlases have shown that white matter appears to undergo degeneration at least 15 years prior to predicted disease onset and that the peristriatal matter appears particularly vulnerable. However, such studies cannot examine how this affects connectivity between different brain regions and what role this has on the emerging clinical signs of the disease. Connectivity can be conceptualised as two interlinked elements; structural connectivity, referring to the white matter tracts consisting of bundles of axons between two regions, and functional connectivity, representing neural co-activation between two regions. Diffusion MRI and functional MRI can be used to investigate structural and functional connectivity respectively in the human brain.

1.3.1 Structural connectivity breakdown in preHD

In addition to investigating diffusion metrics in atlas-derived major white matter pathways, diffusion MRI can also be utilised to delineate white matter pathways of the brain via tractography to investigate structural connectivity between different regions. Diffusion tractography can be used either to investigate a diffusion metric, such as FA, across reconstructed tracts, or to generate a connectome which quantifies connectivity across a network of brain regions. Details of these methods are discussed more extensively in the methods section.

In an early study focusing on connections between the striatum and frontal cortex, Kloppel et al. reported reduced structural connectivity between the caudate body and frontal cortex in preHD relative to controls and this reduction in connectivity correlated with expected years to disease onset (Kloppel et al. 2008). Investigating whole brain connectivity in premanifest and manifest HD participants, McColgan et al. reported selective loss of cortico-striatal and cortical hub connections alongside increased network segregation in preHD with more widespread loss of connectivity in manifest HD (McColgan et al. 2015). These reductions in connection strength were shown to correlate with motor and cognitive deficits, suggesting pathophysiological relevance of this connectivity loss. In a follow up to this work looking at relative vulnerability between groups of connections, cortico-striatal connections displayed the greatest loss of connectivity both cross-sectionally and longitudinally, followed by interhemispheric and intrahemispheric cortical connections respectively (McColgan et al. 2017). The vulnerability of cortico-striatal connections in preHD has been reinforced by a longitudinal analysis of a separate cohort that focused specifically on tracts connecting the caudate and putamen to motor, premotor and somato-sensory regions of the cortex (Shaffer et al. 2017). This revealed change in DTI metrics across all tracts, both cross-sectionally and longitudinally, in preHD up to a decade before predicted disease onset with changes more pronounced in those closer to expected disease onset. Specifically, the right premotor-putamen tract was the only tract to show significant changes in the group furthest from onset. However other striatal connections such as to prefrontal, parietal and temporal cortices were not investigated in this work and it remains unclear whether specific cortico-striatal connections show greater change in preHD than others.

Outside cortico-striatal connectivity, very little is known about other specific subcortical connections in preHD. In particular, the thalamus is central to the cortical-basal ganglia feedback loops and cortico-thalamic connections have scarcely been studied to date. In a whole brain analysis, McColgan et al. found reduced thalamic connectivity to superior parietal, precuneus and superior frontal regions in manifest HD but not in preHD (McColgan et al. 2015). In a small study of 12 premanifest participants approximately 23 years from predicted onset, Gorges et al. found no significant difference in DTI metrics of a single reconstructed cortico-thalamic tract (Gorges et al. 2017). However, the thalamus has widespread connections to different cortical areas and it is currently unknown if and when these connections become abnormal in HD.

1.3.2 Functional connectivity in preHD

Functional MRI (fMRI) studies use regional cerebral blood flow to infer brain activity and connectivity at rest or during tasks. Functional connectivity is a descriptive measure of the temporal correlations between regional activity across the brain or in specific networks.

In task-fMRI, participants perform a task or function whilst their brain activity is recorded, as compared to resting state fMRI where brain activity is recorded at rest. There have been a number of task-fMRI studies in preHD showing differences in activation patterns in preHD during motor, cognitive and behavioural tasks. Activation may be elevated or reduced and can vary according to disease stage, the task being presented and the regions of the brain being examined (Gregory and Scahill 2018). Variability in task performance can confound activation patterns, requiring studies to ensure that behavioural performance is matched between gene carriers and controls.

Resting state fMRI avoids the issue of variability in task performance by examining activation patterns at rest and has been increasingly used to examine functional networks in preHD in recent years. There are different ways in which resting state data can be analysed, ranging from seed-based approaches to investigate specific networks of interest, to data-driven approaches to investigate whole brain connectivity.

Some studies have used seed-based approaches to investigate specific networks of interest in HD, such as motor or cognitive networks. In one early example, reduced connectivity was found between the posterior cingulate, a region of the default mode network, and ventromedial and dorsomedial prefrontal cortices, with the former correlating with Stroop test performance (Quarantelli et al. 2013). However results from such approaches are influenced by the choice and means of defining regions of interest which can introduce variability and limit generalisability to other studies. Highlighting this, another study found network-wide increased connectivity when using the posterior cingulate cortex and supplementary motor area as seed regions (Sánchez-Castañeda et al. 2017).

Other studies have used data-driven approaches to investigate whole-brain connectivity. Using such an approach, reductions in putaminal and putamen-insula connectivity have been reported in preHD with increasing CAG length, with the latter correlating with motor and cognitive performance. Concurrently, visual networks showed increasing fronto-occipital connectivity with increasing CAG length (Espinoza et al. 2018). Reduced connectivity in the somatosensory cortex and dorsal attention network has also been reported in preHD, the latter correlating with motor performance (Poudel et al. 2014).

Resting state fMRI has also been analysed using a connectomic approach to examine network characteristics. Using this approach, premanifest gene carriers have been shown to display reduced connectivity between highly connected “rich club” regions – hub areas that are key to integration of diverse processing (Harrington et al. 2015; Gargouri et al. 2016). Harrington et al. also found reduced connectivity in long-range frontostriatal and frontoparietal connections as disease burden increased whilst functional segregation, relating to distinct regions that work together to facilitate function, was maintained both locally and globally. However Gargouri et al. found no longitudinal changes in connectivity, raising the question of whether these results represented genuine change, limitations in test-retest reliability (Noble et al. 2019) or represent a process too subtle to detect change over a 3 year period.

Relatively few studies have sought to examine how early changes in functional connectivity can be detected in preHD. In the PREDICT-HD cohort, no changes in functional connectivity were found in the group >12.8 years from predicted onset,

whilst reductions in connectivity were identified in the group 7.6-12.8 years from predicted onset and increased connectivity only in the group <7.6 years from onset (Figure 1.4). In a comparatively smaller study of preHD further from predicted onset, no differences in sensorimotor functional connectivity were reported in a 11 preHD participants approximately 23 years from predicted onset compared to 22 matched controls (Gorges et al. 2017). Hence, it is currently unclear where and when changes in functional connectivity can be detected in preHD and whether functional upregulation is seen before reductions in connectivity.

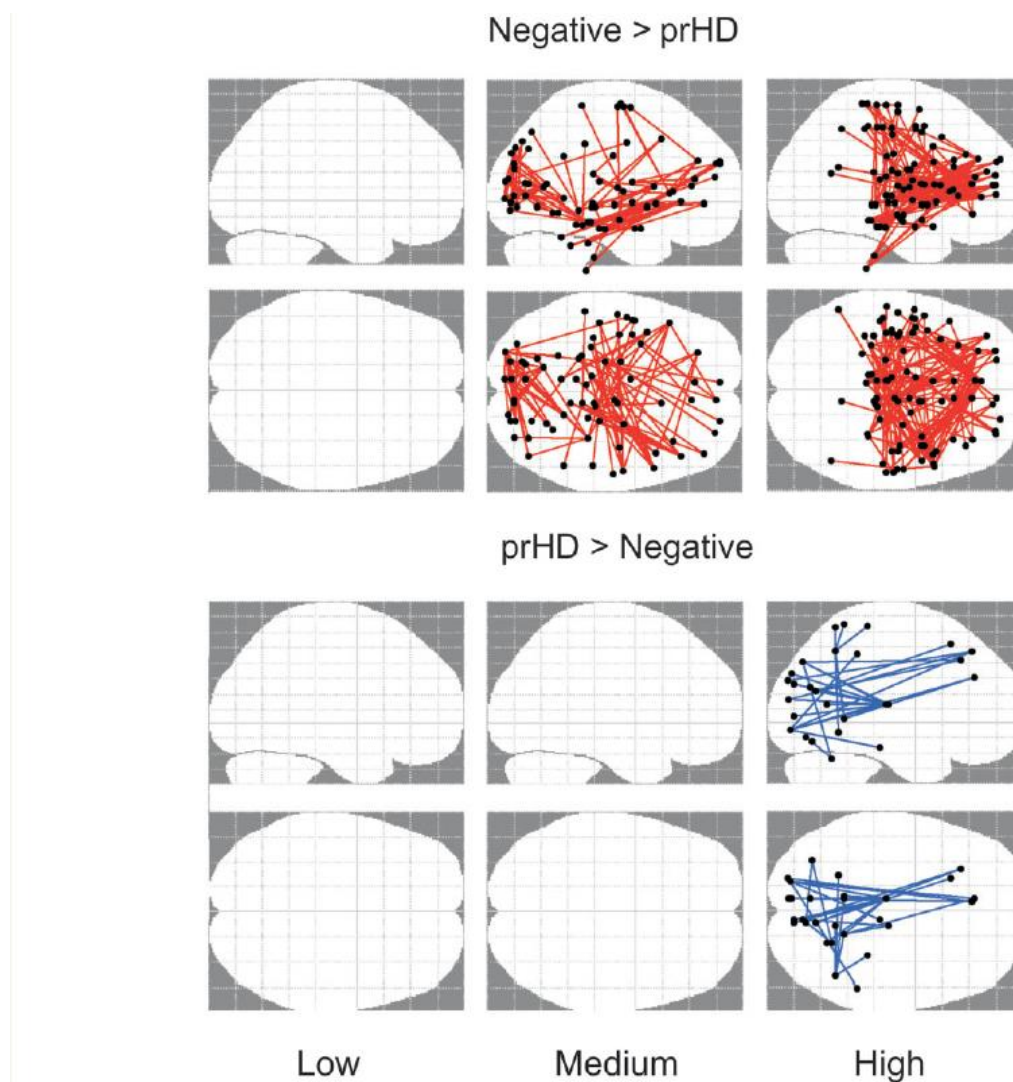


Figure 1.4 Functional connectivity changes in preHD. Differences in functional connectivity in the PREDICT-HD cohort were investigated using network-based statistics (Harrington et al. 2015). The cohort were stratified based on predicted years to onset to low (>12.78 years), medium (12.78 to 7.59 years) and high (<7.59 years) groups. Glass brains at the top show reductions in functional connectivity

(red lines). Brains at the bottom display connections that were stronger in each prodromal group (blue lines). Reprinted with permission from Oxford University Press.

1.3.3 The relationship between structural and functional connectivity in preHD

As detailed above, the majority of studies have investigated changes in structural or functional connectivity in HD separately, despite both being clearly interlinked in facilitating neural connectivity and function. This is partly due to the fact that many methods of analysis are not directly transferable between diffusion and fMRI data. One exception to this is the connectomic approach, where connectivity is examined between parcellated regions across the brain using graph theory. As previously detailed, this approach has been used to investigate structural and functional connectivity separately. Converging evidence from these studies has suggested that structural and functional connectivity to rich club regions is reduced in preHD (Harrington et al. 2015; McColgan et al. 2015; Gargouri et al. 2016). The only existing study to date that has sought to assess the relationship between structural and functional connectivity in HD found that strong structural connectivity predicted reduced functional connectivity in preHD (and vice versa) and that posterior regions showed reduced functional connectivity as compared to anterior regions (McColgan et al. 2017). The latter finding is consistent with previous structural connectivity results in preHD (McColgan et al. 2017).

1.4 The Scope of this thesis

1.4.1 Towards a better understanding of the early premanifest period in HD

Recent progress in huntingtin-lowering techniques raises the prospect that the first effective disease modifying therapies for HD may become available in the near future. With this, the question of when such therapies should be initiated is timely. Ideally effective treatments would be administered prior to widespread neuronal damage and any future trials in premanifest cohorts will require biomarkers for recruitment, staging, target engagement and to evaluate efficacy.

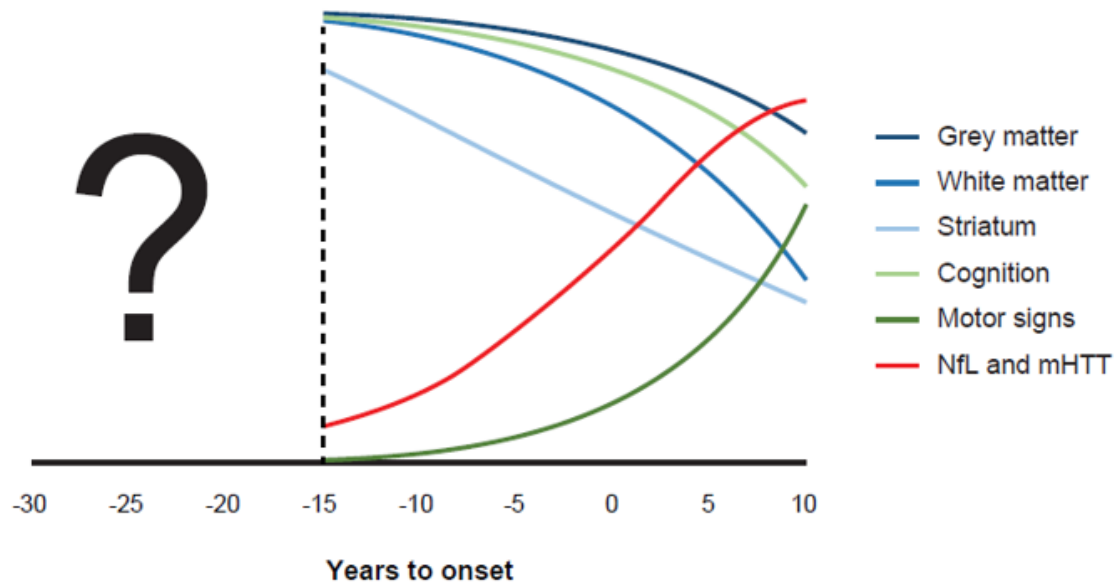


Figure 1.5. Evidence-based schematic of disease trajectory in HD from early adulthood to manifest disease. Biofluid changes are shown in red, brain volumetrics in blue and functional performance in green. CSF NfL and mHTT are already elevated 15 years prior to onset (Byrne et al. 2017; Byrne et al. 2018), with initial slow increases followed by an acceleration shortly prior to disease onset. Striatal volumes are already declining 15 years from onset (Tabrizi et al. 2011) and this is approximately linear with volume reduced by around 50% of control volume by the time of clinical onset (Georgiou-Karistianis et al. 2013; Langbehn et al. 2019). Peristriatal white matter volume is already reduced 15 years from onset (Tabrizi et al. 2009) and shows higher rates of atrophy in a non-linear fashion, becoming more generalised by time of onset (Aylward et al. 2011; Langbehn et al. 2019). Grey matter loss extends beyond the striatum later at around 10 years before symptom onset, after which it progresses non-linearly (Tabrizi et al. 2009; Langbehn et al. 2019). Soft motor signs in the form of increased variability in voluntary movements are apparent by 15 years prior to symptom onset and increase non-linearly (Biglan et al. 2009; Tabrizi et al. 2009; Long et al. 2014). Subtle selective cognitive changes are apparent approximately 15 years from expected symptom onset (Tabrizi et al. 2009; Stout et al. 2011), declining relatively slowly following a non-linear trajectory (Tabrizi et al. 2012; Langbehn et al. 2019). There have been no previous studies examining across these domains in cohorts >15 years from onset.

As summarised in this introduction and Figure 1.5, the existing literature in preHD has consistently reported subtle motor, cognitive and neuropsychiatric impairments at least 10-15 years from onset. At this stage there is already evidence of striatal atrophy, white matter degeneration, altered structural and functional connectivity and elevations in biofluid markers of neuronal injury and immune activation. Since the preHD cohorts studied to date already show disease effects across these multiple domains, if we are to identify the earliest manifestations of HD pathology and indeed establish whether there is a time when they are undetectable, we need to look back even earlier in the disease process.

Meanwhile certain disease modifying approaches using viral-vectors such as RNAi and CRISPR/Cas9 therapeutics require direct injection into the brain parenchyma and their distribution, which can occur in part through axonal transport (Weiss et al. 2020), is often limited (Tabrizi et al. 2019). Therefore, targeting injections to areas most affected by early pathology, but before significant neurodegeneration and white matter loss has occurred, may represent an optimal treatment strategy. However it is not currently known when white matter connections begin to degenerate, which connections are affected first and how this affects functional connectivity in the early disease course.

In this thesis, I detail the HD Young Adult Study (HD-YAS), which was established to look further back in the premanifest period to investigate how early HD neurodegeneration can be detected and which measures may prove most sensitive in early preHD. I then focus on how early basal ganglia white matter tract degeneration can be detected in preHD and whether specific tracts show selective vulnerability early in the disease course by performing a combined analysis in the HD-YAS and TrackOn-HD cohorts, the latter representing preHD closer to expected disease onset. Finally, I characterise the relationship between structural and functional connectivity in early preHD and their relationship to the axonal marker NfL.

1.4.2 Thesis aims

- 1) To establish a cohort of young adult preHD individuals >18 years from predicted onset and a matched control group who have undergone state-of-the-art imaging, cognitive, neuropsychiatric assessments with CSF and blood collection.
- 2) To evaluate how early disease-related changes in preHD can be identified, and which measures are most sensitive in very early preHD.
- 3) To identify how early degeneration of white matter connections can be detected in HD and which connections are most susceptible to early degeneration.
- 4) To characterise the relationship between structural and functional connectivity and concentrations of NfL in far from onset preHD.

2 General methods

This chapter introduces the methods used in this thesis which will then be expanded upon in each subsequent chapter. After detailing the cohorts, I will introduce the assessment methods used throughout this thesis. Processing and analytical methods for diffusion weighted imaging will be discussed in particular detail, given its prominence in chapters 4 and 5.

2.1 Cohorts

The data used in this thesis were collected from two different patient cohorts as outlined below. I was the lead clinician for the HD young adult study (HD-YAS) which involved patient recruitment, assessing eligibility, collecting demographic details, performing functional scales and motor assessments, collecting blood and CSF samples and participant follow up to capture adverse events. All cohort studies were performed in accordance with the declaration of Helsinki with all participants providing written consent before enrolment.

2.1.1 The HD Young Adult Study (HD-YAS)

HD-YAS was a single-site cross-sectional study of 64 young adult gene carriers far from predicted onset with a closely matched control group (N=67). The minimum sample size of 60 gene carriers and 60 controls was derived from power calculations that the resulting effect size of 0.53 would be sufficient to detect striatal volume differences seen in the group furthest from onset in TRACK-HD restricted to those under 40 years of age.

The study involved detailed cognitive, neuropsychiatric, and imaging assessments alongside blood and CSF collection for biofluid biomarker assessments, as summarised in Figure 2.1. By recruiting preHD participants who had a DBS of <240, approximating to ≥ 18 years from predicted onset, the study aimed to look further back in the premanifest period than previously studied to evaluate how early disease-related changes can be identified, and which measures are most sensitive in very early preHD.

PreHD participants required a previous positive HD genetic test (CAG ≥ 40) that was performed on a clinical basis without clinical signs of the disease (UHDRS Diagnostic confidence score <4). CAG lengths were re-measured at a single lab for statistical analysis. Controls were either gene negative (family history of HD but negative genetic test), family members with no HD risk (partners/spouses of gene carriers) or members of the wider HD community (recruited through support groups or friends of participants).

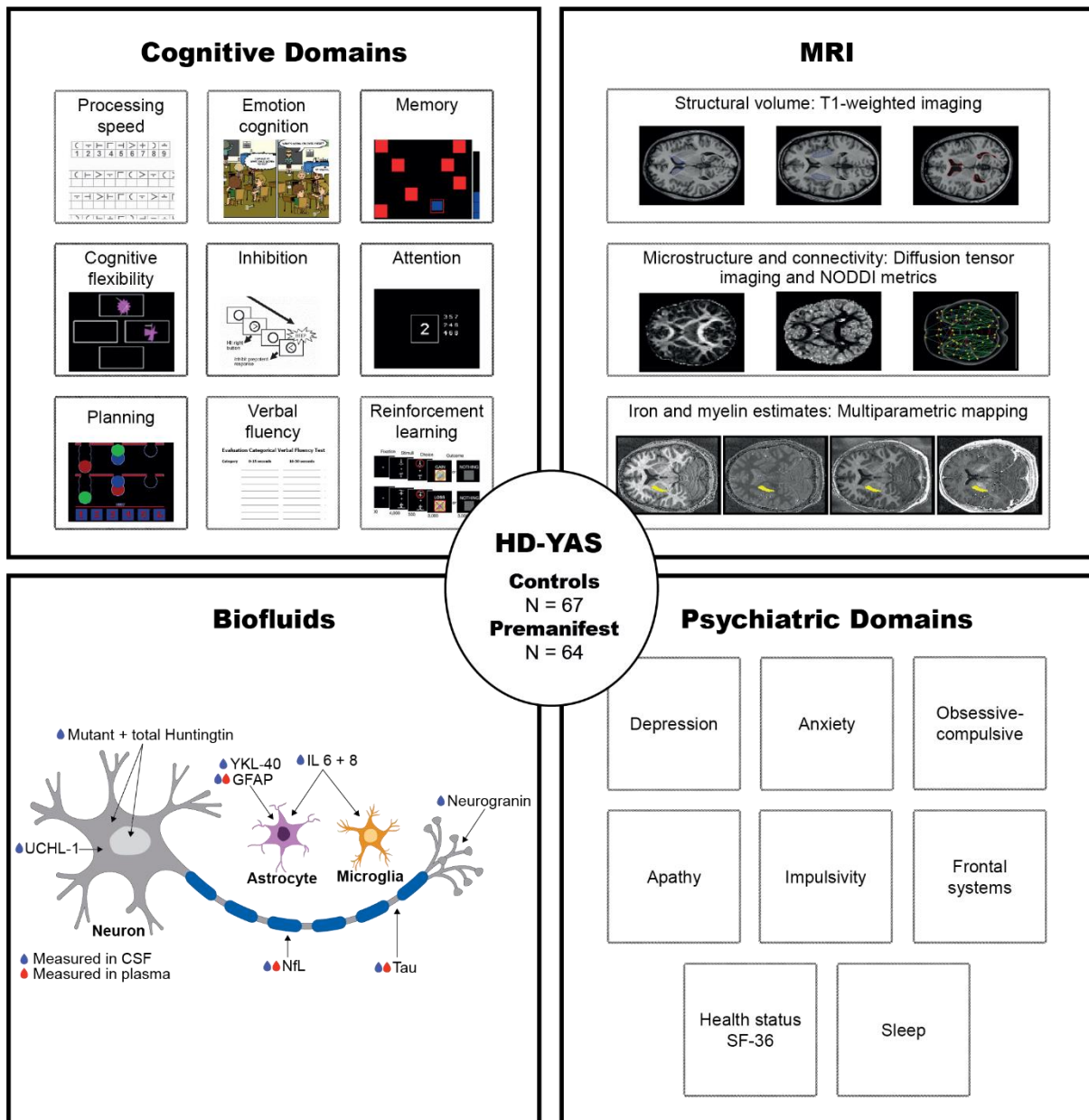


Figure 2.1. Overview of HD-YAS assessments. Cognitive assessment included tasks from the CANTAB and EMOTICOM batteries to study multiple cognitive domains. 3T Multi-modal MRI was performed to investigate white and grey matter macro and microstructure and connectivity. Psychiatric domains were measured using validated self-report questionnaires. Several biofluid biomarkers were measured in CSF and plasma.

Participants were initially screened via telephone against the study eligibility criteria (Appendix 9.2) before formal screening on the first day of the study. The study procedures took place over a day and a half for each participant at the National

Hospital of Neurology and Neurosurgery and UCL Institute of Neurology, United Kingdom. The study protocol is available at https://www.ucl.ac.uk/ion/sites/ion/files/hd-yas_protocol_v6.0_sep18_signed.pdf.

2.1.2 The TrackOn-HD Study

TrackOn-HD was an extension of the TRACK-HD study and aimed to investigate compensatory mechanisms that allow preHD individuals to maintain cognitive and motor performance despite grey and white matter brain atrophy as noted in the TRACK-HD study (Tabrizi et al. 2013). Data were collected over 3 annual time-points between 2012-2014 in 4 sites: London (UK), Leiden (Netherlands), Paris (France) and Vancouver (Canada). Assessments included 3T volumetric MRI, task and resting state fMRI and diffusion weighted imaging (DWI). Participants also underwent cognitive, motor and psychiatric assessment.

The baseline cohort included 110 preHD, 21 early HD and 112 controls (Kloppel et al. 2015). Most preHD and control participants were also in TRACK-HD. PreHD participants were required to have a CAG repeat length ≥ 40 , a DBS of ≥ 250 , approximating to ≤ 15 years from predicted onset, and a TMS of ≤ 5 at recruitment. Participants were aged 18-65 years and other inclusion and exclusion criteria were similar to HD-YAS (Appendix 9.3).

2.2 Genetic testing

In both studies, preHD and gene negative participants required evidence of having previously had a genetic test on a clinical basis in an accredited UK laboratory. In HD-YAS, CAG lengths were resized for to control for the slight variations in sizing that can occur between different laboratories in the statistical analysis. Genetic tests were performed at the National Hospital of Neurology and Neurosurgery Neurogenetics Laboratory according to standardised protocols (Losekoot et al. 2013). Testing involved DNA extraction and PCR amplification of the HTT CAG repeat region followed by size fractionation and fragment analysis. A total of 5 preHD participants' CAG length changed on resizing, 4 of which changing ± 1 and the other increasing by 3 repeats. This result was not fed back to participants as detailed on the participant consent form as such differences are not clinically significant.

2.3 Demographic and general clinical assessments

All participants had their demographics and medical history documented, including previous or current comorbidities. In HD-YAS, participants were also explicitly asked about a history of head injury including concussion or previous head injury requiring hospitalisation, due to potential influence on NfL or cognitive performance in particular. Medications, non-pharmacological therapies and recreational drug use were recorded for all participants.

Gene carriers and controls were matched by monitoring group means for sex, age, years of education, and standard deviation (SD) for age and education as recruitment progressed, to assist targeted recruitment to match the groups as closely as possible for these demographics.

In HD-YAS, participants also had a full neurological examination, principally to exclude contraindications for lumbar puncture.

2.4 Motor and functional assessments

The following motor and functional scales were performed in both studies and are derived from the Unified Huntington's Disease Rating Scales (UDHRS) (Huntington Study Group 1996). All raters using the scale receive periodic training and assessment to optimise interrater reliability.

Total Motor Score (TMS)

The TMS (Appendix 9.4) is a combined score for all aspects of HD-related motor abnormalities derived from a standardised focus examination. This incorporates assessment of 31 items rated on a scale of zero to four, with a score of zero indicating no abnormalities and four indicating the most severe impairment. The assessment covers eye movements, motor impersistence, tone, bradykinesia, motor planning, chorea, dystonia, gait, balance and dysarthria. The maximum possible score is 124.

Diagnostic Confidence Score (DCS)

The DCS (Appendix 9.5) is a measure of how confident a rater is in classifying an individual as manifest HD based on the TMS. The rating ranges from: 0 = normal to

4 = unequivocal signs of HD (>99% confidence). Typically scores of ≥ 15 on the TMS will trigger a DCS of 4 and hence diagnosis of manifest HD, although this depends on which items are scoring and the judgement of the rater.

Total Functional Capacity (TFC)

The TFC is a combined score assessing the individual's ability to work, complete household finances, perform activities of daily living and whether they can be cared for at home. A score of 13 = fully functional and independent whilst a score of 0 would indicate complete dependence for all care (Shoulson and Fahn 1979).

2.5 Cognitive assessments

All cognitive assessments discussed in this thesis were performed as part of HD-YAS. This included tests from the Cambridge Neuropsychological Test Automated Battery (CANTAB) (Sahakian and Owen 1992; Robbins et al. 1994; Robbins et al. 1998) as well as social and emotional cognition and motivation from the EMOTICOM battery (Bland et al. 2016). Tests were specifically chosen to measure performance across multiple domains in which there is previous evidence of impairment in preHD including cognitive flexibility (Lawrence et al. 1998), planning (Ho et al. 2003), verbal fluency (Paulsen and Long 2014), emotion recognition (Henley et al. 2008), inhibition (Hart et al. 2012), attention (Hart et al. 2012), learning (Begeti et al. 2016) and memory (Lawrence et al. 2000; Begeti et al. 2016). Stroop word reading, semantic verbal fluency, and symbol digit modalities testing, all part of the Enroll-HD study battery, were also included given previous literature of impairment in preHD (Lawrence et al. 1998; Stout et al. 2011; Tabrizi et al. 2013).

CANTAB intra-extra dimensional set shifting (IED)

The IED (Figure 2.2A) is a 7-minute test measuring cognitive flexibility and is similar to a computerised version of the Wisconsin Card Sorting test. It initially features rule acquisition and reversal and then attentional set formation and set shifting. There are 9 stages to the test. Initially the test presents simple stimuli with just one dimension (pink shapes). These later change to compound stimuli (white lines overlaid on pink shapes). Early in the test the shifts are intra-dimensional (ID) (pink shapes are relevant) to establish set formation. Then an extra-dimensional (ED) shift (white lines

become relevant) occurs (attentional set-shifting). This latter stage is followed by a final reversal of the rule. Outcomes measures include the number of pre-ED errors, ED shift errors, ED reversal errors and stages completed.

CANTAB one-touch stockings of Cambridge (OTS)

The OTS is a modified test of visuospatial planning and working memory based on the Tower of London which takes approximately 10 minutes to complete. Participants are shown example configurations of three coloured balls (Figure 2.2B). There are two displays and participants are asked the number of moves required to match their display to the example display without actually moving the balls. The problems differ in the number of moves required to match the example configuration, starting at one move progressing to six moves. The outcome measures include average response latency and number of problems solved efficiently at the first choice.

CANTAB rapid visual information processing (RVP)

The RVP is a 10 minute test which measures sustained attention by presenting a rapid stream of digits and requiring participants to detect target sequences. A white box is displayed in the centre of the screen in which digits 2-9 are rapidly presented at 100 digits per minute (Figure 2.2C). Participants are required to detect target sequences (e.g. 2-4-7, 3-5-7 or 4-6-8) and respond to this target sequence as quickly as possible. Outcome measures include A' , a signal detection theory measure of target sensitivity, and mean response latency.

CANTAB stop signal test (SST)

The SST is a test of response inhibition and takes 20 minutes to complete. The participant is shown an arrow in the centre of the screen and must respond with a button depending on the direction the arrow is pointing (left or right); (Figure 2.2D). If an audio tone is presented together with the arrow, the participant must withhold making the response. The outcome measures are stop signal reaction time (SSRT), mean reaction time on go trials and the proportion of successful stops. Only the SSRT from the last half of the trials was calculated.

CANTAB paired associates learning (PAL)

The CANTAB PAL (Figure 2.2E) is an eight-minute test assessing visuospatial memory and learning. Boxes are displayed on the screen in a spatial array and opened in a random order. One or more boxes contain a visual pattern. The patterns are subsequently displayed one by one in the middle of the screen and the participant must select the box in which the pattern was previously presented. If the participant makes an error, the boxes are opened in the same order again to remind the participant of the locations of the patterns before they re-attempt. The outcome measure is the total number of errors adjusted (errors added for stages not completed).

CANTAB spatial working memory (SWM)

The CANTAB SWM (Figure 2.2F) is a nine-minute test assessing spatial working memory. The test requires the retention and manipulation of visuospatial information. Coloured boxes are shown on screen and participants must select a box with a token. The token is stored on the edge of the screen and will not appear in the same location for the rest of the trial. Returning to the same location on the next search constitutes an error. The colour and position of the boxes used are changed from trial to trial to discourage the use of stereotyped search strategies. The outcome measure is the total number of between search errors.

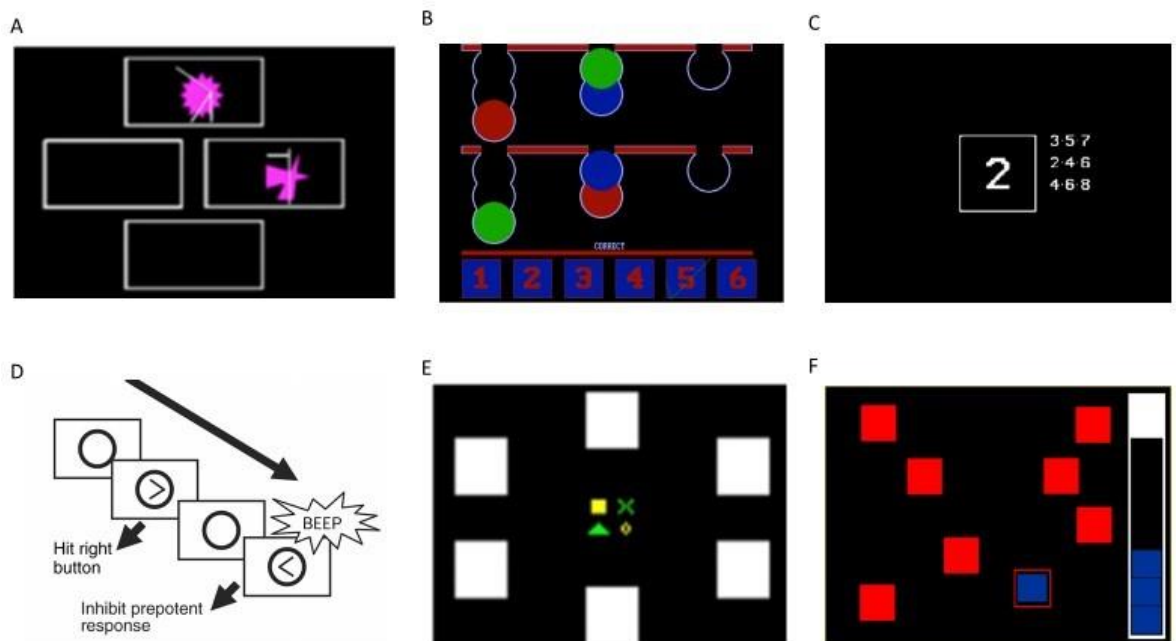


Figure 2.2. Composite image of CANTAB tests. A) CANTAB Intra-extra dimensional set shifting; B) CANTAB One Touch Stockings of Cambridge; C) CANTAB Rapid Visual Informational Visual Processing; D) CANTAB Stop Signal Test; E) CANTAB Paired Associate Learning; F) CANTAB Spatial Working Memory.

EMOTICOM emotional intensity face morphing

The intensity morphing test (Figure 2.3A) measures the emotional intensity at which participants recognise a facial emotion. In a 10 minute test, participants are presented with faces that either increase or decrease in emotional intensity. Participants are required to respond when they either first see the emotion (increasing) or can no longer see the emotion (decreasing). The outcome measures were average detection threshold of sad faces, for the increasing and decreasing condition separately.

EMOTICOM moral emotions test

In the 20 minute moral emotions test (Figure 2.3B) participants view cartoon figures depicting moral scenarios. Participants are asked to rate their levels of guilt, shame, annoyance and feeling “bad” following each of the cartoons. Half of the cartoons are portrayed as deliberate harm and half as unintended harm. Participants are asked to rate their emotions from the perspective of both the victim and the perpetrator. The main outcome measure defined for this study was the guilt score collapsed across all conditions (deliberate vs unintentional and perpetrator vs victim).

EMOTICOM progressive ratio

The progressive ratio test (Figure 2.3C) is a measure of motivation and effort and is adapted from similar animal tests (Bland et al. 2016; Heath et al. 2019). Participants are shown four red squares and are asked to select the odd one out (i.e., the large square). Participants are rewarded progressively less per trial and each trial requires more effort (number of presses) for the reward. The total number of trials is 436. Participants are told they may end the test at any point, but they must stay and face the screen for the remaining time (for 20 minutes minus the time they performed the test). The outcome measure is the breakpoint, which is calculated as the maximum total number of trials performed before the participant no longer wishes to continue the test.

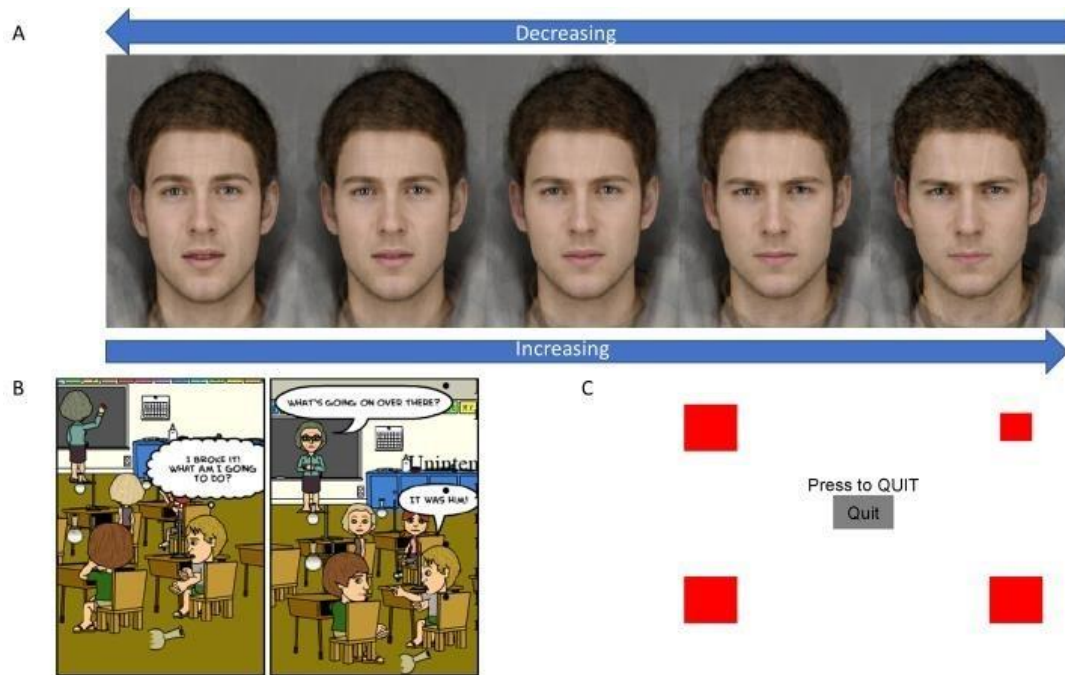


Figure 2.3. Composite image of EMOTICOM tests. A) EMOTICOM Emotional Intensity Face Morphing; B) EMOTICOM Moral Judgement; C) EMOTICOM Progressive Ratios

Semantic verbal fluency

The verbal fluency test is a short test of semantic verbal retrieval. Participants are asked to list as many items as possible in a particular category (animals) in 60 seconds. The outcome measure is the number of words recited correctly.

Stroop word reading test (SWRT)

During the word reading test, participants are asked to read the words (colours) on the page as quickly possible. The outcome measure was the number of words read within 45 seconds.

Stroop colour naming test

During the colour naming test, participants are asked to name the colours on the page as quickly as possible. The outcome measure was the number of colours named within 45 seconds.

Symbol digit modalities test (SDMT)

The SDMT is a short test of psychomotor speed. It involves a substitution task, whereby using a reference key, the participant has 90 seconds to pair specific numbers and geometric figures.

Reinforcement learning

This task aim requires participants to choose the best symbol from a pair of abstract symbols displayed on the screen (Palminteri et al. 2012). One of the symbols is associated with a favourable outcome with a probability of 0.8 and the other symbol is associated with the same outcome with a probability of only 0.2. Participants see three pairs of such symbols corresponding to the three conditions – gains, neutral and loss. With the gain frame the better outcome is to win fictional money (£1) as compared to earning no reward. In the loss frame, participants either receive no reward or lose £1. In the neutral conditions, both outcomes yielded no reward. In the gain condition if participants win, they see a high resolution image of a £1-coin with a green surrounding halo. If they lost, they see the same £1-coin image with a red cross superimposed over it indicating they had lost money. In the neutral condition the two outcomes were either an empty grey disc the same size as the pound coin or the word 'Nothing'. Participants are shown each pair of stimuli 30 times with a total of 90 choices per run. Participants are not paid for performance in this task. The outcome measure was total percentage correct over both gains and losses.

2.6 Neuropsychiatric assessments

Neuropsychiatric assessments included in this thesis are a series of well-validated self-report questionnaires, capturing the following domains: depression, anxiety, apathy, sleep, impulsivity, obsessive compulsion, frontal behaviour and general health. In each case, higher scores reflect higher levels of the domain being assessed.

Apathy motivation index (AMI)

The AMI (Ang et al. 2017) is a recently developed apathy scale (adapted from the Lille Apathy Rating Scale (Sockeel et al. 2006) assessing three distinct subtypes of apathy: 1) behavioural activation; 2) social motivation; and 3) emotional sensitivity.

The items are assessed on a five-point scale that represents how true each statement is over the past 2 weeks.

Baltimore irritability and apathy scale (BAIS)

The BAIS was designed to form a composite picture of an apathetic or irritability syndrome in HD (Chatterjee et al. 2005). The apathy scale consists of 14 items regarding different dimensions of apathetic behaviour. The irritability scale also consists of 14 items regarding various dimensions of irritable behaviour.

Barratt impulsivity scale (BIS-11)

The BIS (Patton et al. 1995) assesses the personality/behavioural construct of impulsiveness. It measures three factors, including attentional, motor and non-planning impulsivity. Items are scored on a four-point scale relating to frequency of behaviours.

Frontal systems behavioural scale (FrSBE)

The FrSBE (Stout et al. 2003) is a 46-item rating scale of three frontal systems behavioural syndromes: apathy, disinhibition and executive dysfunction. In each domain, it provides a measure of frequency of the behaviour over the previous two weeks and the distressed caused. It includes a Total Score and scores across the three subscales, where 14 items relate to apathy, 15 items to disinhibition and 17 items to executive dysfunction.

MOS 36-Item short-form health survey (SF-36)

The SF-36 (Ware and Sherbourne 1992) quantifies eight health concepts: 1) limitations in physical activities because of health problems; 2) limitations in social activities because of physical/emotional problems; 3) limitations in usual role because of physical health problems; 4) bodily pain; 5) general mental health (psychological distress and well-being); 6) limitations in usual role because of emotional problems; 7) vitality (energy and fatigue); and 8) general health.

Obsessive-compulsive inventory (OCI-R)

The OCI (Foa et al. 2002) is a brief questionnaire to determine severity of obsessive and compulsive behaviours over the past month. The items are scored on a five-point

scale identifying how often an individual is distressed by behaviours relating to washing, checking, ordering, obsessing, hoarding and neutralising.

Pittsburgh sleep quality index (PSQI)

The PSQI (Buysse et al. 1989) assess several subcategories including; subjective quality of sleep, sleep onset latency, sleep duration, sleep efficiency, presence of sleep disturbances, use of hypnotic-sedative medication and presence of daytime sleepiness over the past month.

Spielberger state/trait anxiety (STAI)

The STAI (Spielberger et al. 1983) is a commonly used measure of anxiety, quantifying both state and trait levels of anxiety. Items are scored on a four-point scale that reflects usual frequency of anxious thoughts/behaviours.

Zung self-rating depression scale (SDS)

The SDS (Zung et al. 1965) is a self-rated scale for depression. It covers affective, psychological and somatic symptoms associated with depression. Items are scored on a four-point scale based on how often the participant has felt or behaved this way over the previous few days.

2.7 Biofluid assessments

All biofluids assessed in this thesis were collected as part of HD-YAS using standardised and well validated conditions, methods and equipment. Blood collection was performed between 0930-1030 without fasting, using up to four 10 ml lithium heparin tubes. Samples were placed on wet ice and processed within 30 minutes of collection. Plasma was isolated by centrifugation and frozen.

CSF sample collection and processing was performed using standardised methods derived from previous HD studies (Wild et al. 2015; Byrne et al. 2018). Lumbar punctures were carried out between 0830-1030 after overnight fasting. Polypropylene tubes were precooled on ice prior to CSF collection. The lateral decubitus position was used as preference for the procedure. On occasions, if CSF collection was challenging, an upright position was used to obtain access, before reverting back to

the lateral decubitus position for collection. Lidocaine 2% was administered subcutaneously for local anaesthesia. Whitacre 22-gauge atraumatic spinal needles were used for the procedure to minimise post-lumbar puncture headaches (Nath et al. 2018). Upon access, CSF was collected without suctioning until 20 ml had been collected or 20 minutes had elapsed. If the CSF was initially macroscopically bloody, it was discarded until it had become clear, but no more than 20 ml was collected in total. The stylet was reinserted at the end of collection before the spinal needle was removed. Immediately after the lumbar puncture, an additional fasted blood sample was taken using up to four 10 ml lithium heparin tubes. Samples were placed on wet ice and processed within 30 minutes of collection by centrifugation and freezing using standard kits containing polypropylene plasticware supplied by the HDClarity study (Clinicaltrials.gov; NCT02855476 2016). All samples were stored at -80°C and analysed blinded to disease status and clinical data. Haemoglobin concentration was measured using a commercial ELISA to determine CSF contamination by blood.

CSF concentrations of mHTT were quantified using the SMC Erenna Immunoassay system (Singulex) at a clinical research facility (Evotek), using a protocol previously described (Wild et al. 2015). The assay has been subsequently validated, where the signal generated by the assay was partially dependent on the polyglutamine length of the protein, the HTT fragment size as well as mHTT concentration (Fodale et al. 2017). Capturing antibodies MW1 target the polyglutamine expansion whilst 2B7 target the first 17 amino acids of huntingtin. Total huntingtin was quantified for the first time in human samples with the 2B7-D7F7 assay where D7F7 binds to the middle HTT region at Proline 1220 (Weiss et al. 2012; Cariulo et al. 2017).

Neurogranin was quantified using an in-house ELISA at the Zetterberg lab in Gothenburg, as previously published (Wellington et al. 2016). All other analytes were quantified using commercially available assays as detailed in

Table 2.1. Plasma UCH-L1 results were not included in the analysis in this thesis due to previously reported high variability in assay performance (Zeitlberger et al. 2018; Thelin et al. 2019). Haemoglobin, mutant and total huntingtin (tHTT) concentrations were measured in triplicate with the other analytes all measured in duplicate.

Table 2.1. Biofluid assay details

Analyte	Source	Assay name	Platform	Manufacturer	Performed by	Median CV	N CV >20%
mHTT	CSF	2B7-MW1 immunoassay	SMC™ Erenna®	Singulex	Evotek	9.9	6
tHTT	CSF	2B7-DF7 immunoassay	SMC™ Erenna®	Singulex	IRBM	9.2	10
NfL	CSF	Neurology 4-Plex A	SIMOA HD-1 Analyzer™	Qunaterix	UCL	2.5	0
NfL	Plasma	Neurology 4-Plex A	SIMOA HD-1 Analyzer™	Qunaterix	UCL	4.3	2
Tau	CSF	Neurology 4-Plex A	SIMOA HD-1 Analyzer™	Qunaterix	UCL	2.6	0
Tau	Plasma	Neurology 4-Plex A	SIMOA HD-1 Analyzer™	Qunaterix	UCL	4.1	2
GFAP	CSF	Neurology 4-Plex A	SIMOA HD-1 Analyzer™	Qunaterix	UCL	2.0	0
UCH-L1	CSF	Neurology 4-Plex A	SIMOA HD-1 Analyzer™	Qunaterix	UCL	4.8	2
IL-6	CSF	IL-6	SIMOA HD-1 Analyzer™	Qunaterix	UCL	2.4	0
IL-8	CSF	IL-8	SIMOA HD-1 Analyzer™	Qunaterix	UCL	4.7	5
Neurogranin	CSF	Neurogranin	ELISA	Euroimmun	UCL	3.5	2
YKL-40	CSF	Human YKL-40 Assay	U-PLEX®	MSD	UCL	1.8	2

Coefficient of Variability; SMC = Single Molecule Counting, MSD = Mesoscale Discovery; SIMOA = Single Molecule Array.

2.8 MRI

MRI allows for visualisation of the structure and function of the brain in-vivo, enabling measurement of neuropathological change as it occurs over time without invasive procedures. This section will introduce the different imaging acquisitions referenced in this thesis before focusing in more detail on DWI which represents the main data analysed in chapters 4 and 5.

2.8.1 Acquisition of MRI data

MRI scans use a magnet and radiofrequency pulses to change the state of hydrogen atoms in the body, with the energy created by these changes in state measured and outputted in the form of an image (Currie et al. 2013). The strength of this magnetic field is described in units of Tesla (T), with higher Tesla scanners typically resulting in higher resolution images. Most modern scanners are either 1.5T or 3T in strength. 7T scanners are just starting to become available having been approved by the FDA in 2017 (FDA 2017).

In the MRI scanner, there is a constant magnetic field in the longitudinal or “z” plane, prior to the administration of a pulse sequence. This causes protons to spin together about the direction of the magnetic field generating longitudinal magnetisation. To create a detectable signal, a second magnetic field is applied that moves protons into the “transverse” (x-y) plane. The longitudinal magnetisation is reduced to zero and the protons now spin due to magnetisation in the transverse plane. The ensuing movement induces an electromagnetic current which is measured as the MR signal. As soon as protons are moved into the transverse plane, longitudinal magnetisation begins to return to zero, known as longitudinal or T1 relaxation and characterised by the time constant, T1. When protons are moved into the transverse plane, they interact with each other and lose magnetisation; known as transverse relaxation and characterised by the T2 time constant. T1 relaxation can take several seconds whilst T2 relaxation just a few milliseconds. T1 and T2 weighting determine the nature of the image contrast, where differences in signal intensity occur due to different physical properties of tissue types. The weighting is governed by two parameters: repetition time (TR), the time between pulse sequence repetitions; and echo time (TE), the duration between the initial pulse and the point at which the signal is measured. These parameters are then manipulated to produce different weightings based on the requirements of the scan (Currie et al. 2013; Gregory et al. 2018).

2.8.2 Volumetric imaging

Volumetric imaging is a form of structural MRI scan that quantifies volumes either in structures of interest or the whole brain. T1-weighted images are used, since they provide the best between-tissue contrast. Volumetric analysis can be performed on

regions of interest or the whole brain. Segmentation of regions of interests can be performed by manual delineation, using software that performs automated segmentations, or semi-automated approaches where a combination of the two are used. Automated techniques such as Multi Atlas Label Propagation with Expectation Maximisation-Based Refinement (MALP-EM) (Ledig et al. 2015) and Freesurfer (Fischl et al. 2002) can perform brain segmentations quickly and accurately, which is advantageous when multiple region segmentations are required for each scan in large datasets, such as in a structural connectivity analysis. Whilst semi-automated techniques such as the medical image display and analysis system (MIDAS) (Fox et al. 1996) take longer to process, they are well established within the field of neuroscience and are typically used when only segmenting select structures such as whole brain, or caudate for example.

2.8.3 Multi-parametric mapping

Multi-parametric mapping (MPM) was designed to provide absolute measures of select MR parameters and thus data that are comparable across sites and time points (Weiskopf et al. 2013). It provides maps of the longitudinal relaxation rate ($R = 1/T1$), effective proton density (PD), magnetisation transfer saturation (MT) and effective transverse relaxation rate ($R2^* = 1/T2^*$). The multiple parameter maps and high resolution allow for a detailed assessment of white and grey matter microstructure, including providing proxy estimates of iron and myelin (Stüber et al. 2014). MT measures macromolecular content, particularly myelin so a decrease in MT represents demyelination. PD is most sensitive to microstructural water content, $R1$ detects the relative contribution of myelin and water content as well as paramagnetic content such as iron, and $R2^*$ is most sensitive to iron and myelin distribution. Hence, the study of MPM results in parallel facilitates biological interpretation, for example changes in $R1$ or $R2^*$ without congruent changes in PD would be suggestive of iron, rather than myelin changes.

MPM has been previously validated showing good reproducibility (Weiskopf et al. 2013). It has been used in contexts such as healthy aging (Draganski et al. 2011) and mapping cortical myelination (Serenio et al. 2013), but has not been used to study HD before.

2.8.4 Resting state fMRI

fMRI measures signals related to the coupling of neuronal activity and blood flow. BOLD (blood oxygen level-dependent) fMRI measures a signal predominantly related to blood oxygen, a proxy measure of metabolic activation and thereby brain activity. The vascular origin of the change in signal has a time course known as the haemodynamic response function which is modelled to provide localization of neuronal activity (Gregory and Scahill 2018). Resting state fMRI analysis focuses on connectivity between regions in the brain at rest. In chapter five of this thesis, resting state fMRI is used to investigate temporal correlations in functional activity across the network.

2.8.5 Diffusion weighted imaging

Diffusion weighted imaging (DWI) is a method of signal contrast generation based on the differences in Brownian motion. The diffusion signal is generated from the phase change resulting from the displacement of spins along the axis of an applied field gradient. The longer the protons are allowed to diffuse (diffusion time, Δ) and the higher the mean squared displacement per unit time of the molecules (apparent diffusivity), the further molecules will distribute from their origin. The signal attenuation depends on two factors; the distribution of displacements during the diffusion time along the axis of the applied gradient, and the gradient strength and duration which determine the sensitivity of the signal phase towards displacement. For example, since water can freely diffuse in CSF, the signal is strongly attenuated. In white matter however, water diffusion is restricted by tightly packed axons and the signal is therefore higher (Jones et al. 2013).

A single DWI image is created by a single pulse in a single direction. Thus, repeated pulses with multiple gradient directions create a more detailed diffusion image. A diffusion gradient can be represented as a 3D vector 'q', whose orientation is in the direction of diffusion and length is proportional to gradient strength. The gradient strength, also referred to as the diffusion weighting, can be expressed in terms of the b-value. This is a combined measure of gradient strength and diffusion time. Therefore, a larger b-value represents increased gradient amplitudes or duration and/or widened intervals between gradient pulses. As a result, increased b-values

result in greater diffusion contrast but lowered signal-to-noise ratio. Shells refer to a set of volumes with the same b-value. Adding multiple shells to an acquisition improves the ability to model the diffusion signal and to further probe tissue microstructure (Jones et al. 2013; Fritz et al. 2019)

2.8.5.1 Diffusion Tensor Imaging (DTI) and Neurite Orientation Dispersion and Density Imaging (NODDI)

The most commonly used diffusion imaging technique is DTI and because white matter diffusion is more easily modelled than grey matter, the majority of DTI studies in clinical neuroscience focus on the white matter. A tensor is a 3x3 matrix that represents water movement in the three dimensions in each voxel and can be represented as three eigenvectors (Figure 2.4) through eigenvalue decomposition (Le Bihan et al. 2001).

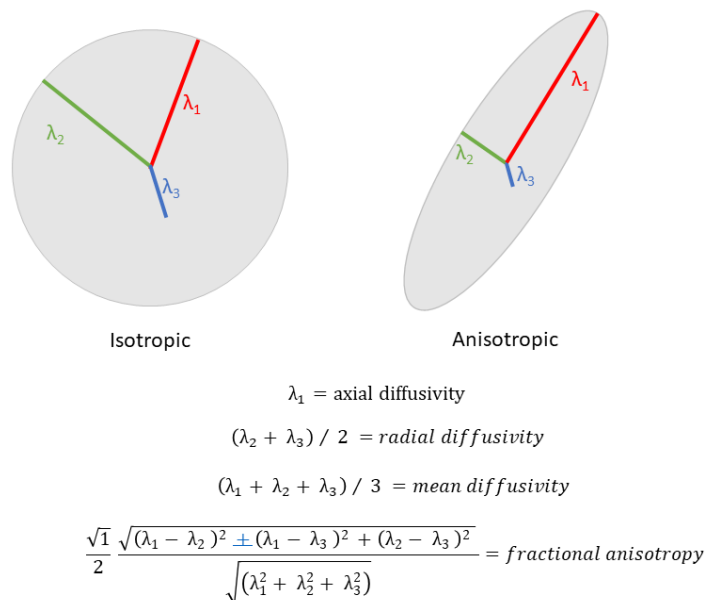


Figure 2.4. Examples of tensor shapes and DTI measures.

As shown in Figure 2.4, λ_1 represents diffusivity along the principal axis of diffusion, whilst λ_2 and λ_3 measure diffusivity orthogonal to the principle axis of diffusion. The eigenvalues are then used to calculate measures of fractional anisotropy (FA), axial diffusivity (AD), radial diffusivity (RD) and mean diffusivity (MD). In the diffusion tensor

model, diffusion is assumed to be anisotropic in white matter which can be represented as an ellipsoid, where the principle direction of diffusion runs parallel to the axon. Increases in AD/RD/MD and reductions in FA are typically reported in neurodegeneration irrespective of cause (Zhang et al. 2009; Gregory et al. 2015; Atkinson-Clement et al. 2017) and is thought to represent axonal degeneration although several processes can influence these metrics, including demyelination and increases in water content (Jones et al. 2013; Grussu et al. 2017).

Recent advances in MRI have facilitated new techniques that attempt to provide a more direct in vivo characterisation of white matter microstructure. One of the most commonly used models is NODDI (Zhang et al. 2012) which applies a three-compartment tissue model to multi-shell DWI data. By modelling the packing density of axons (neurite density index; NDI), the spatial dispersion of axons (orientation dispersion index; ODI) and the amount of free water in each voxel (free water fraction; FWF), all of which can affect a diffusion tensor measure, it aims to quantify each process separately to provide more specificity to any white matter change observed. It has been previously successfully applied to study several neurodegenerative conditions (Slattery et al. 2017; Broad et al. 2019; Mitchell et al. 2019) including preHD, where reductions in NDI coupled with increased local organisation in peristriatal regions were found to be the dominant changes (Zhang et al. 2018).

2.9 Diffusion MRI processing

In this section, I explain the diffusion processing that relate to the following chapters in this thesis.

2.9.1 Pre-processing

Pre-processing of DWI data can be important to increase the signal-to-noise for subsequent analysis. Pre-processing steps were performed using tools within MRtrix3 following recommended guidelines (Tournier et al. 2019). This included denoising of data (Veraart et al. 2016), Gibbs-ringing artefact removal (Kellner et al. 2016) eddy-current correction and motion correction (Andersson and Sotiropoulos 2016), bias correction (Tustison et al. 2010) and up-sampling diffusion MRI spatial resolution in all 3 dimensions using cubic b-spline interpolation to $1.3 \times 1.3 \times 1.3 \text{ mm}^3$

voxels (Dyrby et al. 2014). The up-sampling of data helps to increase the anatomical contrast, which improves downstream spatial normalisation and statistics (Raffelt et al. 2017).

2.9.2 Constrained spherical deconvolution

One of the major limitations of the previously widely used diffusion tensor model was that its voxel averaged measurement meant it was incapable of resolving crossing fibres within a voxel, a phenomenon estimated to occur in up to 90% of all voxels (Jeurissen et al. 2013). Constrained spherical deconvolution (CSD) enables the robust determination of the orientation of various fibre bundles present within an individual voxel and provides a better angular resolution than many other multiple-fibre reconstructions whilst maintaining a modest computation time (Tournier et al. 2007; Ramirez-Manzanares et al. 2011).

The principle here is that the diffusion signal measured from a fibre population is proportional to the volume of that population. The response function corresponds to the diffusion signal measured for a single fibre bundle aligned with the z axis, and is assumed as constant in all 3 tissue types (Tournier et al. 2004). CSD involves the spherical deconvolution of the response function, which simply transforms the data such that the resulting amplitude along a particular direction is proportional to the measured signal that is orientated in that direction. CSD is performed on the DWI signal within each voxel for grey matter, white matter and CSF separately, to produce the fibre orientation density function (FOD). The white matter FOD then contains all the information regarding the fibre orientations present within a given voxel and their corresponding volume fractions which can then be used for tractography or estimating fibre density as described later.

2.9.3 Connectivity-based parcellations of the striatum and thalamus

In order to investigate structural connections between different brain regions, it is necessary to parcellate the structures of interest. In chapters three and four, I investigate cortico-striatal and cortico-thalamic white matter tract integrity using connectivity-based atlases. Previously, studies examining white matter connectivity

in HD have utilised parcellation schemes based on macrostructural properties (McColgan et al. 2015; McColgan et al. 2017; Shaffer et al. 2017; Zhang et al. 2018), typically splitting the striatum into a single caudate and putamen region. However this does not take into account structural connectivity patterns of the brain regions being studied, which can vary substantially across a single anatomical region. Indeed, several imaging and primate tracer studies have consistently demonstrated a distinct topographical organisation of cortical connections to the striatum and thalamus along a dorsal-ventral caudal-rostral gradient (Behrens et al. 2003; Haber 2003; Haber et al. 2006; Tziortzi et al. 2014; Fan et al. 2016; Parkes et al. 2017). Therefore one of the aims in this thesis was to examine these subconnections separately to further understanding of the patterns of structural connectivity breakdown in preHD.

Three different striatal connectivity-based parcellation schemes were tested for use in this thesis; the Oxford-GSK-Imanova (Tziortzi et al. 2014), Brainnetome (Fan et al. 2016) and Parkes striatal (Parkes et al. 2017) atlases. The Oxford-GSK-Imanova atlas (Figure 2.5), available in FSL (Jenkinson et al. 2012), was selected due to satisfactory registration to diffusion space and possessing enough granularity (option of three or seven divisions per hemisphere) to examine subregion tracts separately. In chapter three, the three subregion atlas was used to avoid too many comparisons given connectivity in several cortical regions was also investigated. In chapter four, the aim was to look in more detail at subnetworks and so the seven division atlas was used. In the seven striatal subregion parcellation, the limbic region connects to the orbital gyri, gyrus rectus and ventral anterior cingulate. The executive region connects to dorsal prefrontal cortex. The rostral motor region connects to the supplementary motor, pre-supplementary motor cortex and the frontal eye field region. The caudal motor region connects the post-commissural striatum to the pre- and primary motor cortex, whilst parietal, temporal and occipital regions connect to respective cortices as defined by the Harvard-Oxford cortical atlas (Tziortzi et al. 2014). The three subregion striatal atlas is comprised of limbic, executive and sensorimotor subregions only.

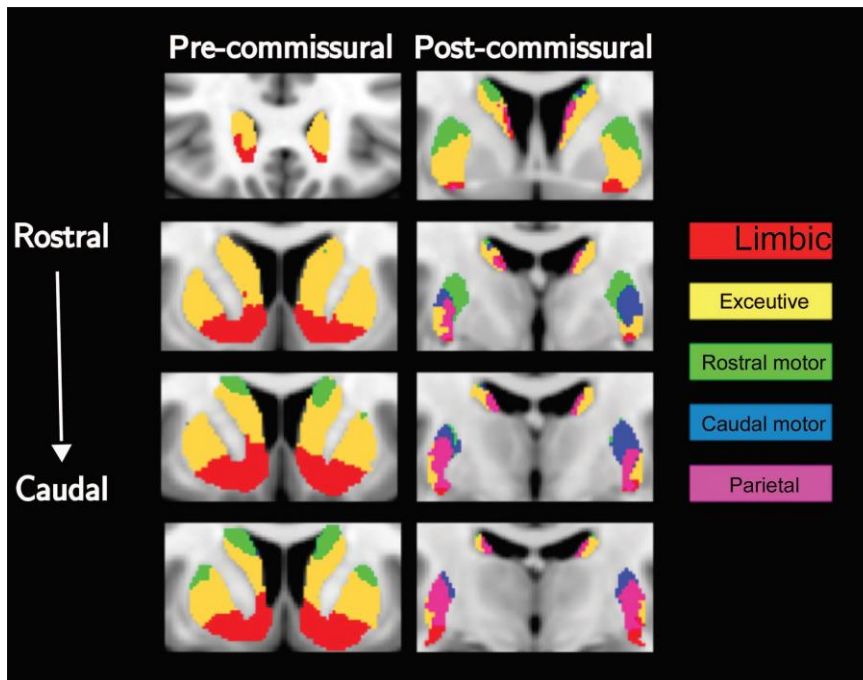


Figure 2.5. Striatal connectivity-based atlas. Showing the subdivisions of the striatal atlas used in this thesis. The atlas is based on cortico-striatal anatomical connectivity information derived from diffusion tractography in health human subjects (Tziortzi et al. 2014). The temporal and occipital subdivisions are the smallest subregions and are not shown in this figure. Reprinted with permission from Oxford University Press.

As a key output structure in the cortico-basal ganglia network, cortico-thalamic connectivity was also examined in preHD as part of this thesis in chapter four. For consistency, the seven region per hemisphere connectivity based parcellation also available in FSL (Behrens et al. 2003; Johansen-Berg et al. 2005) was used (Figure 2.6). Here, the medial and dorsal thalamus including the mediodorsal nucleus connect to prefrontal and temporal regions. The ventral posterior nucleus connects to sensory cortex. The ventral lateral and anterior nuclei connect to primary motor and premotor cortex. The lateral posterior nucleus and parts of the pulvinar connect to parietal cortex.

In chapter five, a similar approach to segmenting the striatum is used, except the atlas selected was derived from fMRI data (Choi et al. 2012), rather than diffusion data, although the resulting subdivisions are similar to those derived from diffusion data.

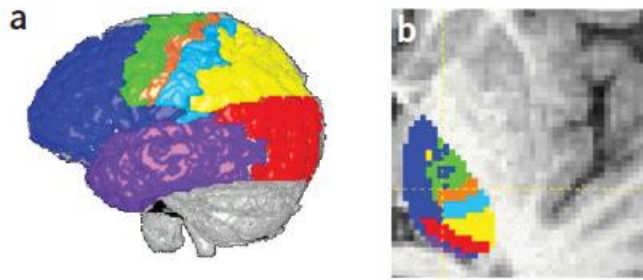


Figure 2.6. Thalamic connectivity-based atlas. Showing subdivisions of the thalamus based on diffusion tractography in healthy human subjects (Behrens et al. 2003). a) Divisions of the cortex were based on anatomical landmarks. Blue is the prefrontal cortex, green is the premotor, orange primary-motor, light blue sensory, yellow parietal, purple temporal and red occipital. b) showing the corresponding subregions of the thalamus that predominantly connect to the corresponding cortical region. Reprinted with permission from Springer Nature.

2.9.4 Tractography

Diffusion tractography is a method of using the DWI signal to reconstruct white matter tracts in-vivo and is utilised in all following chapters.

Tractography was performed using the iFOD2 algorithm available in MRtrix3 (Tournier et al. 2019). This is a probabilistic algorithm that uses the FOD as input to reconstruct white matter tracts. Candidate streamline paths are drawn and a streamline is more probable to follow a path where FOD amplitudes (relating to the estimated fibre density) is large. Similarly, a streamline will not follow a path where the FOD is very low, limiting false positive tract generation. Despite this however, probabilistic tractography does suffer from a significant false positive tract generation (Maier-Hein et al. 2017; Sarwar et al. 2019).

In chapters using connectomic approaches, these streamlines are seeded throughout the white matter to provide whole brain tractograms. In chapter 4, streamlines are seeded in specific striatal and thalamic subregions to enable reconstruction of select tracts only.

2.9.5 Fixel-based analysis

In chapter 4, a recently developed technique known as fixel-based analysis (FBA) is utilised to assess cortico-striatal and cortico-thalamic white matter tract microstructure. FBA enables fibre tract-specific comparisons by generating measures

of fibre density and cross-section for a single fibre population within a voxel (termed fixel) (Raffelt et al. 2017). It generates measures of fibre density (FD), fibre cross-section (FC) and fibre density and cross-section (FDC) (Figure 2.7). FD is a measure proportional to the intra-axonal volume of white matter axons aligned with a fibre population (Raffelt et al. 2012). It is derived from segmenting the FOD under the assumption that the integral of the FOD along a particular direction is proportional to the intra-axonal volume of axons aligned in that direction.

While FD estimates changes in intra-axonal volume, another possibility in neurodegeneration is atrophy of a fibre bundle across its whole cross section. The FC metric is computed to capture such a change (Raffelt et al. 2017). Here, the morphological differences in the fixel cross-section (in the plane perpendicular to the fixel direction) are estimated for each fixel by using the non-linear warps required to spatially normalise the subject image to the template image to compute the change in fibre bundle cross-section. With respect to the population template, a FC of >1 indicates a larger fibre cross-section in the subject, while FC values <1 indicate a smaller cross-section.

To account for the possibility that white matter pathology may manifest as both changes in FD and FC, the FDC is computed by a multiplication of FD and FC to give a combined measure that captures both changes (Raffelt et al. 2017).

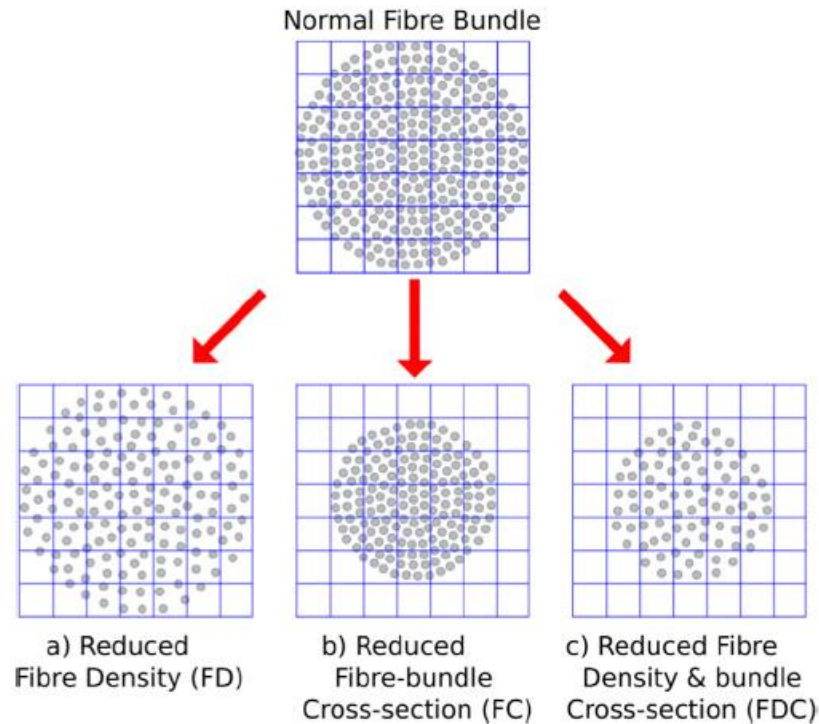


Figure 2.7. Fixel-based metrics. A schematic representing a fibre bundle cross-section with grey circles representing axons and the grid representing a voxel. A change in intra-axonal volume may be represented by a change in (a) fibre density, (b) reduction in fibre cross section or (c) a combination of both. Reprinted from (Raffelt et al. 2017) under creative commons license.

2.9.6 Connectomics and graph theory

Whilst the previously described methodology can interrogate microstructural changes in specific white matter connections, it is unable to characterise connectivity across a given network and cannot be used to study functional connectivity. Through the construction of structural and functional white matter networks, connectomics provides a framework to investigate connectivity on a broader scale. This approach will be used to investigate structural and functional connectivity in early preHD in chapters 3 and 5.

Structural and functional brain networks can be constructed using diffusion tractography and resting state fMRI. The brain can be parcellated into a number of distinct regions using a brain atlas. Atlases may be based on structural (Desikan et al. 2006) or functional profiling (Yeo et al. 2011) or incorporating both structural and

functional aspects of brain regions (Fan et al. 2016; Glasser et al. 2016). Each parcellated brain region represents a node in a network of other nodes. In structural brain networks, these nodes are connected by white matter connections whereas in functional networks these connections represent temporal correlations from fMRI time series (Fornito and Bullmore 2015).

The topological characteristics of these brain networks can be described using a mathematical approach known as graph theory (Rubinov and Sporns 2010). Graph theory measures can be classified as local or global, depending on whether they quantify connectivity within clusters, or across the network. An example of local measure includes degree and graph strength. Degree represents the number of binary connections a brain region has. However, this cannot capture the density of such connections which will strongly influence connectivity. Strength calculates the sum of connection weights to the rest of the network for a given brain region, providing a measure of density for each connection that is missing from degree. An example of a weight might be a fibre density measure across the connection estimated directly from the underlying diffusion signal (Smith et al. 2015).

Whilst local measures can be useful in examining the connectivity of specific regions of interest, global measures can quantify connectivity across a whole network. Global measures used in this thesis are global efficiency and modularity (Figure 2.8). Global efficiency is the inverse of the average shortest path length (the average of shortest paths between brain regions in a network) and a decrease represents loss of network integration. Modularity refers to the community structure within brain networks. Modules are clusters of nodes with dense interconnectivity within the cluster but sparse connections between nodes in different clusters (Rubinov and Sporns 2010). As modularity increases, the network is more segregated with fewer connections between different modules. Calculations for these measures are detailed in the appendix 9.6.

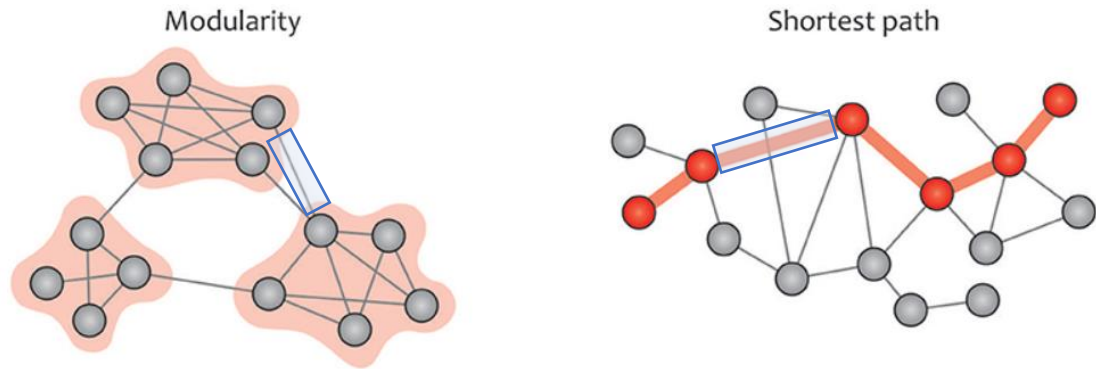


Figure 2.8. Summary of global graph measures used in this thesis. On the left is an example of three interconnected modules. If this network lost the connection highlighted in the blue box, modularity would increase as the network is more segregated. On the right, highlights the shortest path through a network. If the connection within the blue box is lost, the shortest path increases and thus global efficiency of the network decreases. Adapted under creative commons license (Farahani et al. 2019).

2.9.6.1 Connectome considerations

Connectivity matrices can be influenced by several factors including scale of the brain parcellation, tractography algorithm, weighting scheme, thresholding approach and streamline filtering (Bullmore and Sporns 2009; Smith et al. 2015).

In choosing a parcellation scheme, using a higher number of brain regions can provide more detail whereas using lower numbers of brain regions can potentially improve reproducibility of results (Cammoun et al. 2012). In chapter three, reproducibility was prioritised and therefore the Freesurfer Desikan atlas (Desikan et al. 2006) was used since it has a relatively coarse parcellation and has been used in previous studies of structural connectivity in HD (McColgan et al. 2015; McColgan et al. 2017). In chapter five, I further investigate reproducibility of results by using a 100 and 500 parcellation scheme in a connectivity analysis.

Interpretation of the connectome relies on the assumption that streamlines have terminated at the right place (i.e. when it meets a grey matter boundary). Older methods included using a FOD or FA threshold, which is not always reliable, or using a cortical brain mask, which suffers from poor spatial resolution of diffusion images. Anatomically constrained tractography (ACT) is a newer method that utilises anatomical information from a co-registered T1 image (where the grey-white matter boundary is clearer) to define appropriate terminations of streamlines and rejecting

streamlines that pass through CSF for example, whilst still also using the FOD to help remove false positive tracks (Smith et al. 2015).

Another potential problem in connectomics is that the density of reconstructed connections is not indicative of the density of underlying axonal connections (Jones et al. 2013) and false positive tractograms can occur. In order to address this, the second iteration of spherical deconvolution informed filtering of tractograms (SIFT2) was performed (Smith et al. 2015). This determines an appropriate cross-sectional area multiplier for each streamline, based on the underlying FOD, to provide a weighted measure of connectivity that is representative of the underlying axonal bundle density. This also limits the influence of any false positive tracts generated that can be assumed to have low FODs.

2.9.6.2 Network based statistics

Network-based statistics (NBS) is a statistical approach for graph analysis that aims to increase power to detect group differences by controlling the family-wise error (FWE) rate when mass univariate testing is performed at every connection in the graph (Zalesky et al. 2010). NBS is a well validated method that has been used to examine brain network dysfunction in neurological and psychiatric diseases (Fornito and Bullmore 2015). Here, a test statistic is calculated for each connection independently and a primary threshold ($p < 0.05$, uncorrected) is then applied to form a set of suprathreshold connections. Permutation testing is used to assign a p-value controlled for FWE to each set of suprathreshold connections. For each permutation the test statistic is recalculated, after which the same threshold is applied to define a set of suprathreshold connections. The maximal component size for each permutation is then determined to provide an empirical estimate of the null distribution of maximal component size. The FWE corrected p-value of the observed component size k is estimated by finding the proportion of permutations for which the maximal component is greater than k . The FWE adjusted p-value is set at 0.05.

2.9.7 Statistical considerations

In the HD-YAS, appropriately powering the study was an important consideration when any true disease effects in gene carriers far from predicted onset would likely be subtle. The study was powered based on the effect size of striatal volumes

between controls and the preHD group furthest from onset, limited to those under 40, in the TRACK-HD study. However, the study may be underpowered to detect more subtle differences in this measure in a group further from predicted onset and indeed in other measures whose effect size, if there was a true difference, would be anticipated to be even smaller based on previous evidence. Therefore power across other domains and variables is unknown and likely to be relatively weak. An alternative route might have been to conduct power calculations for different modalities, such as one test from each modality with the largest observed effect size in previous premanifest studies such as TRACK-HD or PREDICT-HD. This would have likely concluded a larger sample size would be required to achieve sufficient power for these variables. However in planning the study, it was felt that recruiting a larger sample size would not be possible based on the known low levels of predictive testing in young adults in the UK.

HD-YAS had 165 different measures analysed across the four domains of cognition, neuropsychiatry, imaging and biofluids and consideration of statistical adjustment for multiple comparisons was important. One approach is not correct for multiple comparisons and instead report individual P values and confidence intervals, acknowledging that 5% of significant values may be wrongly rejecting the null hypothesis. In this study, this approach was not pursued as it was felt to be more important to control for multiple comparisons and set a higher bar for reporting significant results.

There are different methods for correcting for multiple comparisons. Family-wise error rates, such as the Bonferroni correction are often highly conservative, controlling the probability of type I errors at the cost of significantly reduced power to detect true positives (Korthauer et al. 2019). FDR is an alternative method that has been shown to have greater power to detect true positives, while still controlling the proportion of type I errors at a specified level (Benjamini and Hochberg 1995; Benjamini and Hochberg 2000). Therefore, given the limited power across the study, the Benjamini Hochberg false discovery rate (FDR) calculation was performed, correcting variables each domain separately, to maximise power to detect true positives in the study. Importantly, rigor is not diminished by performing these calculations at the domain level rather than in a single appraisal of the combined domains. Unlike correction for

family-wise error rate, FDR calculations depend on the distribution but not on the number of hypothesis test p values considered simultaneously, which is possibly advantageous in a study with a large number of hypotheses such as HD-YAS.

3 Clinical and biomarker profiling of gene carriers far from predicted onset: The HD Young Adult Study (HD-YAS)

This chapter is based on data published in *Lancet Neurology*, where I was joint first author (Scahill et al. 2020). This study performed a cross-sectional deep phenotyping of HD gene carriers further from onset than previously examined, to identify how early HD related changes can be detected and which measures are most sensitive early in the disease course.

3.1 Introduction

As discussed in the introductory chapter, the past decade has seen significant progress in the development of therapeutics that can target RNA and DNA. As a monogenetic disorder with onset typically in midlife, HD represents an appealing disease candidate for such approaches. A detailed characterisation of the early premanifest period in HD is crucial for disease staging, informing the optimum time to initiate treatments, and identifying biomarkers for future trials in preHD. Although dependent on the profile of a given therapeutic, one potential strategy would be to initiate treatment before neurodegeneration has affected clinical function, but at a time where there is a measurable biomarker of disease to measure efficacy.

Previous large observational studies have characterised the premanifest stage of disease up to 15 years before expected clinical onset. Of the clinical features, cognitive impairment and increased neuropsychiatric symptoms appear to be the earliest detectable changes in the premanifest period, with motor signs emerging closer to expected disease onset (Tabrizi et al. 2013).

Subtle cognitive impairments in domains such as executive function (Lawrence et al. 1998; Stout et al. 2011; Papp et al. 2013), psychomotor speed (Lawrence et al. 1998; Snowden et al. 2002; Stout et al. 2011; Stout et al. 2012; Tabrizi et al. 2013), visuospatial integration (Lemay et al. 2005; Say et al. 2011) and emotion recognition (Stout et al. 2011; Harrington et al. 2014) have been previously reported in preHD. Among the most widely studied tasks, the SDMT, Stroop word reading, and emotion recognition have shown strong effect sizes in the premanifest period (Stout et al. 2011; Tabrizi et al. 2013). Such cognitive impairments become increasingly pronounced in individuals close to predicted onset, but there is little previous evidence of cognitive impairment in gene carriers approximately 15 years from predicted onset (Stout et al. 2011; Tabrizi et al. 2013).

Increased neuropsychiatric symptoms previously reported in the premanifest period include apathy, depression, anxiety, and obsessive-compulsive symptoms (Tabrizi et al. 2013; Epping et al. 2016). Similarly to cognitive features, these become more prevalent in groups closer to onset, although associations between affective

symptoms and disease stage are less consistent, possibly owing to their treatable nature.

Progressive striatal atrophy has been consistently reported at the earliest stages studied in preHD (Paulsen et al. 2008; Aylward et al. 2011; Tabrizi et al. 2011) and striatal volumes have shown the largest effect size in the group furthest from onset in the TRACK-HD study (Tabrizi et al. 2013). Progressive white matter atrophy has also been detected in preHD in the groups furthest from onset in TRACK-HD and PREDICT HD (Aylward et al. 2011; Tabrizi et al. 2013), with prominent changes in the peristriatal white matter and corpus callosum. Reductions in whole brain and grey matter volumes have been reported in preHD, but with smaller effect sizes than for striatal or white matter measures in the early premanifest stage of the disease (Tabrizi et al. 2012; Tabrizi et al. 2013).

Diffusion MRI has been utilised to examine white matter microstructure and structural connectivity in the premanifest period. Both DTI and the more recently developed NODDI model have shown the most prominent changes occurring in the corpus callosum, internal and external capsules (Rosas et al. 2010; Stoffers et al. 2010; Poudel et al. 2014; Harrington et al. 2016; Zhang et al. 2018). In previous structural connectivity analyses using the TRACK-HD and TrackOn-HD cohorts, cortical rich club and cortico-striatal connections have appeared particularly vulnerable to degeneration in the premanifest period (McColgan et al. 2015; McColgan et al. 2017). Similarly, network measures of reduced integration and increased segregation have also been reported at this stage (McColgan et al. 2015).

Several biofluid biomarkers of neuronal damage or immune activation have also been reported in the premanifest period (Zeun et al. 2019). mHTT and NfL have been examined most extensively, the latter in blood and CSF. Both have been reported to be elevated in preHD and to track disease progression (Byrne et al. 2017; Byrne et al. 2018). Of other markers of neuronal damage, elevated concentrations of tau has also been reported in preHD, although it appears to be less related to disease progression than NfL (Niemela et al. 2017). Of the immune mediated markers, the astrocytic marker YKL-40 has been reported to be increased in the CSF of premanifest gene carriers (Rodrigues et al. 2016) whilst elevated concentrations of cytokines IL-6 and IL-8 have also been observed in preHD in plasma (Bjorkqvist et

al. 2008). IL-6, but not IL-8, was found to be elevated in a mixed group of preHD and HD (Rodrigues et al. 2016). The studies above have previously examined select biomarkers together such as mHTT and NfL (Byrne et al. 2018), tau and NfL (Niemela et al. 2017), or select immune biomarkers (Bjorkqvist et al. 2008; Rodrigues et al. 2016), but no previous study has evaluated across a series of biofluid markers in the premanifest period to establish which biofluid biomarkers may be most sensitive at this time.

Recent results from the Kids-HD study have suggested there are neurodevelopmental differences in striatal volume and cerebellar-striatal connectivity of gene carriers (van der Plas et al. 2019; Tereshchenko et al. 2020), raising the consideration that any early differences in brain structure and function observed in preHD could potentially be as a result of either early neurodegeneration or a neurodevelopmental effect.

It is currently unknown when these various manifestations of HD first arise in the premanifest period, or indeed whether there is ever a time in which they are undetectable. To address this, we need to look back earlier in the disease process. Using state-of-the-art methods to examine for potential group differences between healthy controls and a preHD cohort far from predicted onset, this study aimed to assess how early disease-related changes can be identified and which measures are most sensitive in early preHD.

3.2 Contributions and collaborators

I was the lead clinician on this study and led on initial participant screening and recruitment with support from Jessica Lowe, Sarah Gregory, Eileanoir Johnson and Rachael Scahill. I led on eligibility screening, demographic assessment, clinical examination and blood collection with support from Akshay Nair and cover from Carlos Estevez-Fraga. I performed CSF collection with cover from Carlos Estevez-Fraga and Filipe Rodrigues and performed follow up to document and manage any adverse events. Initial biofluid processing was performed by Kate Fayer, with cover from myself and Jessica Lowe. I led on the diffusion MRI processing for structural connectivity analysis with support from Peter McColgan. I led on coordinating biofluid storage and analysis. Rachael Scahill and myself led on drafting the manuscript with

additional input from Ed Wild, Geraint Rees and Sarah Tabrizi, along with the help and review of all other co-authors. This work was published in the *Lancet Neurology* (Scahill et al. 2020), where I was equal first author.

The study was conceived by Sarah Tabrizi who obtained funding and led as principal investigator with additional input on study design from Cristina Sampaio, Rachael Scahill, Geraint Rees, Trevor Robbins, Barbara Sahakian and Doug Langbehn. Imaging assessments were conceived by Sarah Gregory, Rachael Scahill, Eileanoir Johnson, Hui Zhang and Geraint Rees. Imaging acquisition was led by Sarah Gregory, Rachael Scahill, Eileanoir Johnson and Marina Papoutsis with support from the UCL Wellcome Centre for Human Neuroimaging. Further imaging processing was performed by Rachael Scahill, Eileanoir Johnson, Chris Parker and Sarah Gregory. Imaging safety reviews were done by Harpreet Hyare. Cognitive and neuropsychiatric batteries were designed by Trevor Robbins, Barbara Sahakian and Claire O'Callaghan and implemented by Katie Osbourne-Crowley and Eileanoir Johnson. Cognitive and neuropsychiatric processing and analysis was done by Katie Osbourne-Crowley, Christelle Langley, Trevor Robbins and Barbara Sahakian. The biofluid assay battery was designed by Ed Wild, Amanda Heslegrave, Henrik Zetterberg and Sarah Tabrizi. Biofluid assays were run by Henny Wellington and Amanda Heslegrave. Biofluid data sharing from HD-CSF was from Lauren Byrne, Filipe Rodrigues and Ed Wild. Statistical analysis design and execution was by Doug Langbehn.

This study was supported by a Wellcome Trust Collaborative Award 200181/Z/15/Z. Funding for CSF collection was provided by the CHDI Foundation, a not-for-profit organisation dedicated to finding treatments for HD.

3.3 Methods

3.3.1 Study design and participants

This was a single site cross-sectional study of preHD and control participants aged 18-40 who were recruited from across the UK. PreHD participants required a previous positive HD genetic test (CAG \geq 40), a DCS of $<$ 4 confirming premanifest status and a DBS of \leq 240 (Appendix 9.1), approximating to more than 18 years from predicted

clinical onset. CAG lengths were re-measured at a single lab for statistical analysis. Controls were gene negative, partners of either gene carriers or someone at risk of HD, or members of the wider HD community (recruited through support groups or as friends of participants). Exclusion criteria included contraindication to MRI scanning, significant comorbidity likely to impair ability to complete study assessments and at risk status without a genetic test. Full inclusion and exclusion criteria are detailed in Appendix 9.2.

Recruitment initially centred on searching HD clinic databases at the National Hospital or Neurology and Neurosurgery to identify gene negative participants and gene positive participants who met age and CAG criteria. Eligible participants from the Enroll-HD study at UCL were also identified and invited to participate. Health Research Authority approval was obtained to set up several regional genetic and HD centres as patient identification centres (PICs) to help identify eligible participants for this study nationally. PIC's were asked to identify potentially eligible participants from the Enroll-HD study at their own site. HD-YAS study information material was provided to PICs to disseminate to eligible participants and participants then made contact with the UCL team directly. Recruitment was also facilitated through advertisement in newsletters and social medial channels of the HD Association and the HD Youth Organisation. Control subjects were recruited through the above channels, but also through recruiting partners, spouses and in some cases, friends of those from a HD family.

Gene carriers and controls were matched by monitoring group means for sex, age, years of education, and standard deviation (SD) for age and education as recruitment progressed, to assist targeted recruitment.

3.3.2 Procedures and outcomes

3.3.2.1 Initial assessment, confirmation of eligibility

Study assessments took place over a day and a half in a standardised order. Participants were pre-screened via telephone and eligibility was confirmed at the start of the study, including a physical examination to confirm the TMS and exclude motor signs of HD. A full neurological examination along with bloods for a full blood count and coagulation profile was performed to confirm safety of the lumbar puncture and

absence of any incidental neurology. Other demographic details including education, medical and medication history were obtained and TFC was assessed for all participants.

All prespecified outcomes for analysis by modality are listed in Table 3.1. All study procedures were mandatory except for CSF collection, which was made an optional component in order to enhance recruitment rates to the study.

Table 3.1. Assessments in HD-YAS

Source of Measure	Task/Measure
Cognition	
CANTAB	Intra-extra dimensional set shift Paired Associate Learning Rapid Visual Processing One Touch Stockings of Cambridge Spatial Working Memory Stop Signal Task
EMOTICOM	Emotion Intensity Face Morphing – Increasing and Decreasing Moral Judgement Progressive Ratio
Other	Stroop Colour Stroop Word Symbol Digit Modalities Test Verbal Fluency (Category) Reinforcement Learning
Neuropsychiatric	
Self-report Questionnaires	Apathy Motivation Index Barratt Impulsivity Scale Frontal systems behaviour scale MOS 36-Item Short-Form Health Survey Obsessive-Compulsive Inventory Pittsburgh Sleep Quality Index Spielberger State/Trait Anxiety Index Zung self-rating depression scale

Imaging	
Volumetric	Caudate Putamen Grey Matter White Matter Whole Brain
DTI	Axial Diffusivity Fractional Anisotropy Mean Diffusivity Radial Diffusivity Axial Diffusivity
NODDI	Free Water Fraction Neurite Density Index Orientation Dispersion Index
MPM	MT PD R1 R2*
Structural Connectivity (Graph Theory)	Connection Strength Efficiency Modularity
Biofluids	
CSF	Mutant Huntingtin Total Huntingtin GFAP IL-6 IL-8 Neurogranin NfL Total tau UCH-L1 YKL-40
Plasma	GFAP NfL Total tau

3.3.2.2 Cognitive and neuropsychiatric assessments

Participants undertook a battery of neuropsychological tests in a standardised order, including from the CANTAB and EMOTICOM battery (General Methods 2.5). Tests were chosen to measure performance across multiple domains for which there was

previous evidence of impairment in HD, including cognitive flexibility (Lawrence et al. 1998), planning (Ho et al. 2003), verbal fluency (Paulsen and Long 2014), emotion recognition (Henley et al. 2008), inhibition (Hart et al. 2012), attention (Hart et al. 2012), learning (Begeti et al. 2016), and memory (Lawrence et al. 2000; Begeti et al. 2016).

A comprehensive battery of neuropsychiatric function was collected using well validated self-report questionnaires, capturing the following domains: depression, anxiety, apathy, sleep, impulsivity, obsessive-compulsive behaviour, frontal behaviour, and general health (General Methods 2.6). The UHDRS PBA short form was also performed as part of the study assessment protocol, along with other components of the Enroll-HD study for future comparability between studies, but was not included for this analysis.

3.3.2.3 Biofluid assessments

Plasma and CSF collection was performed using standardised, well validated conditions, methods, and equipment (General Methods 2.7). Total huntingtin, mHTT, NfL, YKL-40, total tau, neurogranin, IL-6, IL-8, GFAP, and UCH-L1 were measured in CSF; NfL, total tau, and GFAP were also measured in plasma. Total huntingtin has not yet been studied in humans whilst UCH-L1 and GFAP were included since they are included in the Quanterix 4-Plex assay used to generate NfL and Tau concentrations for analysis. Given the lack of prior evidence in HD, total huntingtin, GFAP and UCH-L1 were deemed exploratory in this analysis (see 3.3.4). The unfasted plasma samples were used in this analysis. CSF haemoglobin was also quantified to determine CSF contamination by blood. Red and white cell counts were measured in CSF via microscopy in the local lab, with a cut-off for flagging of > 1000 and ≥ 5 cells/ μ l respectively.

3.3.2.4 Neuroimaging assessments

Neuroimaging was performed for all enrolled participants when possible. However, despite initial screening for MRI contraindications some participants did not undergo scanning on the day of their visit, either due to claustrophobia or another previously unidentified contraindication. Assessments included volumetric T1-weighted imaging, DWI, and MPM (General Methods 2.8).

3.3.2.4.1 Imaging acquisition

All MRI data were acquired on a 3T Prisma scanner (Siemens Healthcare, Germany) with radiofrequency body coil for transmission and a 64-channel head coil for signal reception using a protocol optimised for this study. The T1-weighted images were acquired using a 3D magnetization prepared rapid gradient echo sequence with the following parameters: repetition time (TR) =2530ms; time to echo (TE) =3.34ms; inversion time =1100ms; flip angle = 7°; field of view = 256x256x176mm³ and a resolution of 1.0x1.0x1.0 mm³. DWI were acquired using a multiband spin-echo echo planar imaging sequence with TR=3260ms, TE=58ms, flip angle=88°, field of view=220x220mm². Seventy-two slices were collected with a resolution of 2x2x2mm³. The multi-shell data consisted of b-values of 0 (n=10), 100 (n=8), 300 (n=8), 1000 (n=64) and 2000 (n=64) s/mm².

The MPM acquisition protocol consisted of three differently weighted 3D multi-echo acquisitions: MT-weighted, PD-weighted and T1-weighted in addition to two scans collected to estimate participant-specific field inhomogeneities. The MT, PD and T1 scans were all acquired using a field of view of 256x224x179 mm³, TR=25ms, flip angle of 6° and resolution of 0.8x0.8x0.8mm³. Field maps were acquired with 64 slices using TR=1020ms, TE1=10ms TE2=12.46ms, slice thickness=4 mm; field-of-view: 192x192 mm³.

3.3.2.4.2 Volumetric MRI

Volumetric measures of whole brain, striatum (putamen and caudate), grey and white matter, and the ventricles were derived from T1-weighted images. Whole brain, total intracranial and ventricular volumes were measured using MIDAS with previously described semiautomated protocols (Fox et al. 1996; Whitwell et al. 2001). Putamen and caudate volumes were derived using MALP-EM software (Ledig et al. 2015) (version 1.2), after linear registration into standard space using the International Consortium of Brain Mapping 152 template. White and grey matter volumes were generated in native space using voxel-based morphometry (Ashburner and Friston 2000) (Statistical Parametric Mapping version 12) with the Computational Anatomy Toolbox (version 12). All segmentations were visually inspected blind to disease status and no segmentations failed this quality control.

3.3.2.4.3 MPM

Estimates of R1, R2*, PD and MT were generated from MPM as previously described (Weiskopf et al. 2013). R1 represents estimates of iron and myelin, R2* iron, PD sensitivity to water content and MT myelin (Figure 3.1). Eight regions of interest were specified a priori. The caudate and putamen regions generated by MALP-EM were registered and resampled to native MPM space for each participant via an affine registration using NiftyReg software (Ourselin et al. 2001). White matter regions from the John Hopkins University (JHU) White Matter Label Atlas (Mori et al. 2008) were used to generate values for the external capsule, posterior and anterior internal capsule, posterior- mid- and anterior- corpus callosum. These were registered and resampled to native MPM space for each participant via affine transformation, followed by non-linear registrations (Ourselin et al. 2001). Quantitative values representing the average value within each region were then extracted for each quantitative map, for every participant and values for the control group were found to be in line with previously published values (Kullmann et al. 2016).

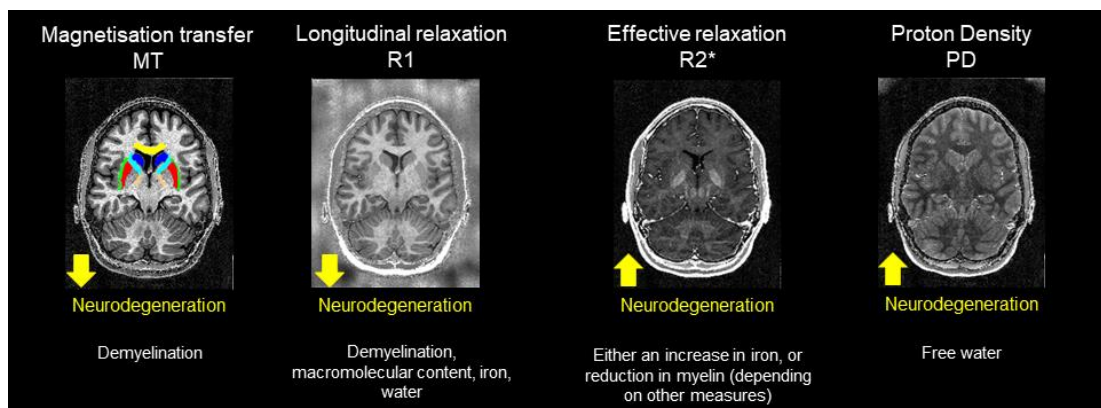


Figure 3.1. MPM and interpretation. Regions analysed in HD-YAS displayed in the MT image. Caudate blue, putamen red, anterior corpus callosum yellow, anterior internal capsule light blue, posterior internal capsule light orange, external capsule green. Mid and posterior corpus callosum were also included in the analysis but not shown in this image. Yellow arrows indicate whether the value would increase or decrease in neurodegeneration.

3.3.2.4.4 DTI and NODDI

White matter microstructure was also analysed using DTI (Basser et al. 1994) and NODDI (Zhang et al. 2012). DWI images were corrected for susceptibility-induced artefacts and motion using FSL's eddy (version 5.0.11) (Andersson and Sotiropoulos

2016). Diffusion tensors were fitted to DWI data using FSL *dtifit*. DTI metrics FA, AD, MD and RD were then calculated using DTI-TK software (version 2.3.3). The NODDI model was fitted to DWI data using the accelerated microstructure imaging via convex optimization toolbox to output metrics of NDI, ODI and FWF. The average values of DTI and NODDI metrics were computed for the genu, splenium and mid-body of the corpus callosum, anterior and posterior limbs of the internal capsules, and the external capsules. For the internal and external capsules, the left and right hemispheric regions were combined as one. Regions of interest (ROIs) were extracted from the JHU white matter atlas (Mori et al. 2008) and each participant's data was registered to the JHU atlas via a bootstrapped population template using linear and non-linear deformation in DTI-TK (Keihaninejad et al. 2013; Mahoney et al. 2015).

3.3.2.4.5 Structural connectivity

Processing for the structural connectivity in HD-YAS is summarised in Figure 3.2. Seventy-six cortical ROIs were segmented on the T1-weighted images using FreeSurfer (version 6.0.0) (Desikan et al. 2006). The amygdala was not included as automatic segmentation of this structure is not sufficiently reliable (Hibar et al. 2015). The cerebellum was not included as diffusion data was incomplete. Tissue partial volume maps of the brain white matter, grey matter, and CSF were prepared for anatomically constrained tractography (ACT) (Smith et al. 2012).

Twelve cortical rich club regions and six striatal regions were selected a priori based on previous evidence of selective rich club connectivity loss in HD (McColgan et al. 2015). The striatum was segmented using the three subregion Oxford-GSK-Imanova striatal connectivity atlas (Tziortzi et al. 2014). These subregions are labelled limbic, executive and sensorimotor based on the dominant cortical connectivity to each striatal subregion (Tziortzi et al. 2014). For this study, the equivalent seven subregion atlas was not used to minimise the number of multiple comparisons, anticipating these three regions would be the key regions of interest. A surface-based registration was used to register the atlas to participant T1 space before registering to participant diffusion space using the NiftyReg toolkit (Modat et al. 2010).

FODs were computed using multi-shell, multi-tissue constrained spherical deconvolution with group averaged response functions for white matter, grey matter

and CSF (Jeurissen et al. 2014). Multi-tissue informed log-domain global intensity normalisation was then performed (Tournier et al. 2019). Whole-brain probabilistic tractography was performed in participant-space using a FOD threshold of 0.06. Ten million streamlines were generated for each scan. The 'back-tracking' mechanism was used within the ACT framework (Smith et al. 2012) to allow tracks to be truncated and re-tracked if poor structural termination was encountered. All diffusion processing steps were conducted using commands either implemented within MRtrix3 (version 3.0) or using MRtrix scripts that interfaced with external software packages (Tournier et al. 2019).

Connectomes were constructed by combining streamline tractograms with the participant's grey matter parcellation. Streamlines were assigned to the closest node within a 2-mm radius of each streamline endpoint. Structural connections were weighted by streamline count and a cross-sectional area multiplier, as implemented in SIFT2 (Smith et al. 2015). Connections were then combined into an 82 x 82 undirected and weighted matrix. SIFT2 was chosen in preference to SIFT as it can retain the full connectome and requires significantly less processing time.

Graph metrics were calculated using the brain connectivity toolbox (version 2016-16-01) (Rubinov and Sporns 2010). Connection strength of the 6 striatal regions, 12 cortical rich club regions and whole brain network measures of modularity and global efficiency were measured. The strength of each connection is calculated by the sum of its connection weights. The 12 cortical rich club regions selected were those with the highest connection strength in the network. These were the superior frontal, precentral, superior parietal, thalamus, inferior parietal and rostral middle frontal regions from both hemispheres. The rich club regions were the same for gene carriers and controls and consistent with previous literature (Baggio et al. 2015; McColgan et al. 2015).

Whole brain connectivity was assessed by using measures of modularity and global efficiency (General Methods 2.9.6). Average path length represents the average of shortest paths between brain regions in a network. Global efficiency is the inverse of the average shortest path length and a decrease represents loss of network integration. Modularity refers to the clustering of nodes with dense interconnectivity within the cluster but sparse connections between nodes in different clusters. As

modularity increases, the network is more segregated with fewer connections between different modules.

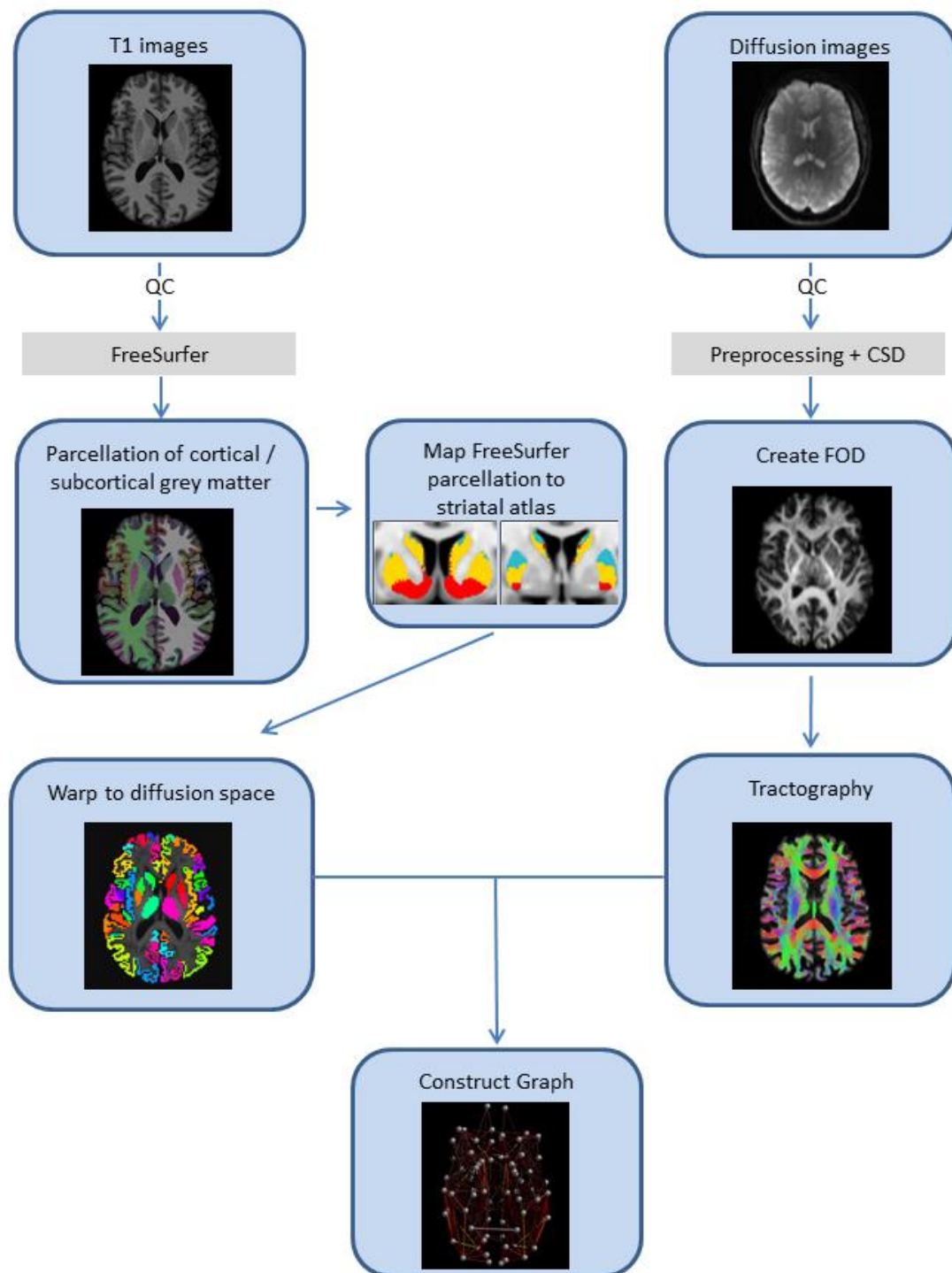


Figure 3.2. Summary of connectivity processing pipeline. QC = quality control. Pre-processing = Eddy current and motion correction, bias correction. CSD = Multi-shell multi-tissue constrained spherical deconvolution. FOD = fibre orientation distributions. Striatal atlas reprinted from FSL <https://fsl.fmrib.ox.ac.uk/fsl/fslwiki/Atlases/striatumconn>

3.3.3 Participant follow up

A 24-72hr follow up call was performed for all participants to record any adverse events (AE) from the study. Those with AEs were followed up until completion of the AE. The T1 weighted MRI brain was also reviewed by an experienced consultant neuroradiologist and CSF white and red cell counts were also reviewed to further ensure absence of neurological comorbidity.

3.3.4 Statistical analysis

The study had 80% power and a 5% risk of type 1 error to reject the primary null hypothesis based on a sample size of 60 participants per group if, after statistical adjustment for covariates, the group difference was 0.53 within-group standard deviations. This hypothetical difference is based on the striatal volume difference between controls and the group furthest from onset in the TRACK-HD study (Tabrizi et al. 2009).

All measures were processed and analysed blinded to disease status and clinical data. All CSF and serum measures were analysed after log transformation, given the skewed empirical distributions of the raw data. To test possible contamination by blood, CSF haemoglobin concentration was measured and for any CSF analyte with a significant association with haemoglobin, the analysis was repeated including haemoglobin concentration as an additional covariate. More than 10% of the combined case and control CSF data was missing, primarily due to participants declining to undergo the optional lumbar puncture. Multiple imputations generated via random forest predictions were used to estimate CSF-model parameter estimates for missing data and associated hypothesis test results.

General least-squares linear models were used to assess for overall group differences and age interactions between groups. The primary analysis model had the generic form:

$$y = b_0 + I_{preHD} * (b_1 + b_2 * CAG + b_3 * age + b_4 * CAG * age) + b_5 * age + b_6 * gender + b_7 * age * gender + b_8 * IQ + e$$

Where y is an outcome variable, $I_{preHD} = 1$ if a participant is from the preHD group and 0 otherwise, the b 's are linear regression coefficients, and e is the residual random error term, assumed to be independently, identically normally distributed among the participants with 0 mean. Two-tailed tests were performed. Within these same models possible differences driven by age-CAG interaction within the preHD group were controlled and tested for, since this interaction closely relates to predicted years to onset.

Covariates included age, sex, and age interactions with sex. For cognitive measures, the national adult reading test score (NART), an estimate of premorbid IQ, and the International Standard Classification of Education (ISCED), an index of the highest level of education achieved, were also included as covariates. For volumetric imaging measures, total intracranial volume was included as a covariate.

Within the preHD group, unadjusted correlations between mHTT and NfL were assessed. Further analysis of how well the clinical, neuroimaging and biofluid variables are predicted by CSF concentrations of mutant huntingtin and by CSF and plasma NfL was also performed. Analyses were performed one biomarker at a time, with the general form;

$$\gamma = b_0 + b_1 * biomarker + b_2 * age + b_3 * gender + b_4 * age * gender + b_5 * IQ + e$$

Multiple comparisons were addressed via the FDR, with an FDR estimate of less than 0.05 deemed to be significant. Exceptions were the relationship of mutant huntingtin concentrations to age and CAG length - a fundamental a priori hypothesis which was assessed with traditional p values. The biofluid measures total huntingtin, GFAP, and UCH-L1 were deemed exploratory in this analysis based on the absence of previous published evidence in HD and therefore were excluded from FDR correction.

Informed by primary hypothesis results, several further analyses were performed. First, a receiver operator characteristic (ROC) area under the curve analysis of YKL-40, CSF, and plasma NfL to assess their ability to distinguish preHD participants from

controls. Second, an age-by-NfL concentration comparison combining the HD-YAS and HD-CSF study (Byrne et al. 2018) cohorts to generate CAG-specific curves across the adult lifespan. This was performed using backwards variable elimination from a saturated two-degree polynomial model of main effects and interactions of age and CAG-length. All HD-YAS NfL data was included in the modelling. HD-CSF had CSF and plasma NfL data from 40 manifest subjects, 20 preHD and 20 controls. These subjects were older than the HD-YAS cohort with average ages and standard deviations of 50.7 +/- 11.0, 42.4 +/- 11.1 and 56.0 +/- 9.4 respectively. Therefore combining the two datasets enabled modelling NfL trajectories from 20-70 years for commonly occurring CAG repeat lengths of 40-45. The mean age of onset for each given CAG was annotated using estimates provided from previously published data of 2913 HD individuals using the Langbehn equation (Langbehn et al. 2004). Finally, a nonparametric bootstrapped comparison of caudate and putamen volumes to test for a relative significant difference between the two volumes (i.e. if one was more affected than the other) in the relationship to gene-carrier status. In each bootstrap replication, regression models were separately fitted for caudate and putamen volumes. After controlling for age and sex, the adjusted preHD versus control ratios of both caudate and putamen volumes were calculated. The null hypothesis is that these caudate and putamen ratios are equal. The bootstrapped statistic was the ratio of these two ratios, which would equal 1 under the null. Two-sided p-values were calculated by inverting bias corrected interval estimates from a set of 5,000 bootstrap replications.

All analyses were performed in R (versions 3.5.1 and 3.5.3). SAP models were fit via the `lm()` function, and false discovery rates were calculated via the `p.adjust()` function with option 'BH'. The `boot` library was used for model-comparison boot-strapping and the `mouse` library for multiple imputation generation and summarization. A combination of the `ggROC` and `pROC` packages were used to generate ROC curves and to calculate associated summary statistics.

3.4 Results

In total, 314 individuals were screened to recruit 131 individuals between August 2017 and April 2019. Of the 183 individuals excluded, common reasons included a DBS of

> 240 (n=75), contraindications for MRI (n=41), at-risk genetic status (n=23), and significant comorbidity (n=11; four of which were psychiatric). Twenty-three individuals were not included owing to inadequate matching. One participant was excluded on the basis of an incidental finding of probable demyelinating lesions on the MRI. The final cohort comprised of 64 preHD and 67 controls, closely matched for age, sex, and education (Table 3.2). Controls were gene negative (28), partners with no known risk of HD (29), or HD community members (10). The preHD cohort was estimated to be a mean 23.6 years (SD 5.8, range 10.6-38.4) from clinical disease onset. Although all participants were required to be over 18 years from onset based on their original CAG repeat length, due to one participants resized CAG increasing by +3 repeats, one participant was estimated to be 10.6 years from predicted onset.

Sixty-one (91%) controls and 62 (97%) preHD participants were assessed to be suitable for MRI scanning on the day of the procedure and underwent neuroimaging. Since DWI and MPMs occurred after the T1, occasionally the sequences were not acquired if participants were unable to tolerate the whole session. All but one participant had plasma for analysis; 109 (83%) participants also underwent optional CSF collection obtained via lumbar puncture. The final recruitment number ensured there was a minimum of 60 participants complete datasets, not including CSF, according to prior power calculations. The total number of assessments by modality is detailed in Table 3.3.

Table 3.2. Participant demographics

	PreHD (N =64)	Control (N=67)	P value
Age (years)	29.0 ± 5.6	29.1 ± 5.7	0.95
Male sex (%)	47	42	0.81
Education (years)	16.2 ± 2.1	16.3 ± 2.2	0.93
NART	102.4 ± 7.5	103.5 ± 8.3	0.42
UHDRS TMS (range)*	0 (0-5)	0 (0-1)	
TFC (range)**	13 (13-13)	13 (13-13)	
CAG Repeat Length	42.2 ± 1.6	N/A	

Estimated years to onset	23.6 ± 5.8	N/A
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Data presented are means ± standard deviation unless otherwise specified. Group comparisons were made using t tests (age, education, NART) or chi-squared test (sex). *Motor scores in both groups were low and within previously reported control ranges (Biglan et al. 2009; Landwehrmeyer et al. 2016), confirming the absence of early HD-related motor signs.**All participants in both groups had a TFC of 13/13, representing no functional impairment.

Table 3.3 Number of assessments by modality

Assessment	Gene Carriers (N=64)	Controls (N=67)
T1 Volumetric	62	61
DTI	60	60
NODDI	60	60
MPMs (all acquisitions)	56	58
MPM R2* only	56	56
Structural Connectivity	55	54
Plasma	63	67
CSF	58	51

Showing complete, usable datasets in the study. For imaging, this includes having passed quality checks.

3.4.1 Cognition

There were no significant differences between preHD and controls in any cognitive measures (FDR 0.22–0.86; Figure 3.3; Table 3.4). A number of tasks did show non-significant trends in the direction of more impairment in preHD however. The PreHD group made more ED reversal errors ($p=0.01$), although this did not survive FDR correction for multiple comparisons (FDR=0.22). The RVP task showed some evidence of impaired attention in the preHD group ($p=0.05$) but this did not survive FDR correction (FDR=0.38). PreHD recognised sad faces for longer in the emotion intensity decreasing task, although this did not survive correction with FDR ($p=0.05$; FDR=0.28) and recognition of sad faces (emotion intensity increasing) was unchanged compared to controls (FDR=0.74). Semantic verbal fluency also showed a non-significant trend of reduced performance in the preHD group ($p=0.09$;

FDR=0.35). No further variables showed any notable evidence of group effects and no cognitive measures showed any association with age-CAG (FDR=0.10-0.98).

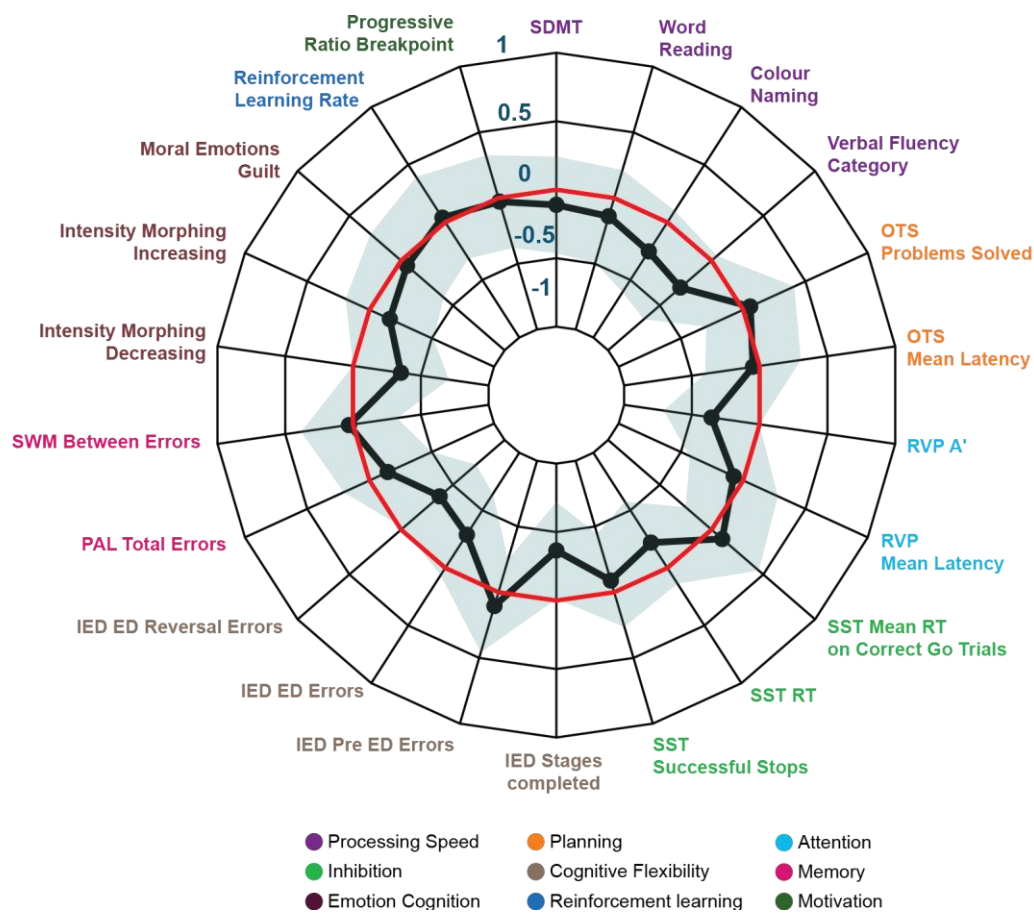


Figure 3.3. Radar plot showing cognitive variables in HD-YAS. The black line is the standardised mean difference between preHD and controls, with conventional frequentist 95% confidence intervals (CI) shaded in light blue. The red line represents no difference between means and a value within this line represents greater impairment in the preHD group. After FDR correction for multiple comparisons, there were no significant group differences in any cognitive measures.

Table 3.4 Cognitive results

Outcome Measure	Control Mean	HD Mean	Effect size (CL)	p	FDR
IED Pre ED Errors	5.87	5.64	0.10 (-0.25, 0.46)	0.35	0.74
IED ED Errors	5.06	7.09	-0.29 (-0.64, 0.06)	0.13	0.47
IED ED Reversal Errors	2.71	5.40	-0.37 (-0.73, -0.02)	0.01	0.22
IED Stages completed	8.92	8.73	0.37 (0.01, 0.72)	0.1	0.44

Intensity Morphing Decreasing	11.7	11.2	0.35 (0.00, 0.71)	0.05	0.28
Intensity Morphing Increasing	10.1	10.3	-0.16 (-0.51, 0.19)	0.37	0.74
Moral Emotions Guilt Score	6.06	6.12	-0.10 (-0.45, 0.26)	0.58	0.80
OTS Mean Latency	11.7	11.8	-0.05 (-0.40, 0.30)	0.78	0.86
OTS Problems Solved	11.5	11.6	-0.05 (-0.41, 0.30)	0.75	0.86
PAL Total Errors	19.3	22.3	-0.15 (-0.50, 0.21)	0.42	0.80
Reinforcement Learning Rate	0.81	0.81	-0.04 (-0.39, 0.31)	0.82	0.86
Progressive Ratio Breakpoint	391	388	0.03 (-0.32, 0.38)	0.24	0.58
RVP A'	0.93	0.92	0.36 (0.00, 0.71)	0.05	0.38
RVP Mean Latency	445	450	-0.07 (-0.43, 0.28)	0.68	0.83
SDMT	60.7	59.7	0.11 (-0.24, 0.46)	0.53	0.80
SST Proportion Successful	0.44	0.43	0.09 (-0.26, 0.44)	0.62	0.80
Stops					
SST RT	191	201	-0.22(-0.58, 0.14)	0.23	0.58
SST Mean RT on Correct Go	522	512	0.10 (-0.25, 0.45)	0.56	0.80
Trials					
Stroop Colour Naming	87.5	84.0	0.25 (-0.10, 0.61)	0.16	0.51
Stroop Word Reading	106	104	0.25 (-0.10, 0.61)	0.16	0.51
SWM Between Errors	69.3	68.2	0.03 (-0.32, 0.38)	0.87	0.87
Verbal Fluency	25.0	23.3	0.30 (-0.05, 0.65)	0.09	0.44

CL = confidence levels; t = t-test; Effect size is the standardised mean difference between preHD and control groups; FDR = false discovery rate. RVP A' = a signal detection theory measure of target sensitivity, and mean response latency. Outcomes measures are described in General Methods 2.5.

3.4.2 Neuropsychiatry

There were no significant differences between preHD and controls in any neuropsychiatric measure (FDR=0.31–0.91; Figure 3.4; Table 3.5), although there was a general trend of higher levels of neuropsychiatric symptoms in the control group. Controls had higher scores in FrsBe disinhibition, but this did not survive correction ($p=0.04$, FDR=0.31). None of these measures showed a significant relationship with age-CAG in the preHD group (FDR 0.31–0.88).

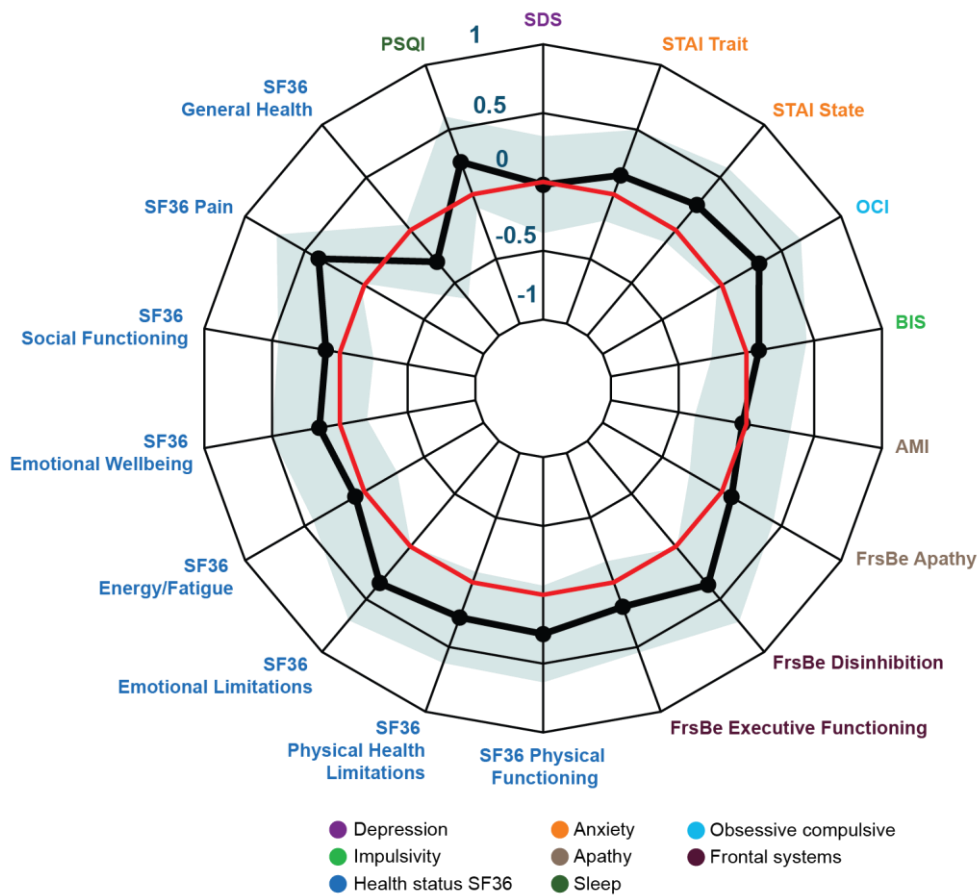


Figure 3.4. Radar plot showing neuropsychiatric variables in HD-YAS. The black line is the standardised mean difference between preHD and controls, with conventional frequentist 95% confidence intervals (CI) shaded in light blue. The red line represents no difference between means and a value within this line represents higher levels of symptoms in the preHD group. After FDR correction for multiple comparisons, there were no significant group differences in any neuropsychiatric measures.

Table 3.5 Neuropsychiatric results

Outcome Measure	Control Mean	HD Mean	Effect size (CL)	p	FDR
PSQI	5.02	4.38	0.25 (-0.10, 0.60)	0.16	0.36
BIS	61.7	60.8	0.09 (-0.26, 0.44)	0.60	0.76
STAI Trait	40.2	38.8	0.15 (-0.20, 0.50)	0.41	0.61
STAI State	35.1	33.0	0.24 (-0.11, 0.59)	0.18	0.36
OCI	10.3	7.79	0.31 (-0.04, 0.66)	0.08	0.33

SDS	33.2	33.4	-0.02 (-0.37, 0.33)	0.91	0.91
AMI	1.25	1.27	-0.04 (-0.39, 0.31)	0.82	0.91
FrsBe Apathy	27.6	26.4	0.08 (-0.27, 0.43)	0.65	0.76
FrsBe Disinhibition	29.8	25.3	0.36 (0.01, 0.71)	0.04	0.31
FrsBe Executive Functioning	32.8	29.8	0.19 (-0.16, 0.54)	0.29	0.52
SF36 Physical Functioning	95.4	98.1	-0.28 (-0.64, 0.07)	0.11	0.33
SF36 Physical Health Limitations	93.5	97.5	-0.27 (-0.62, 0.08)	0.13	0.33
SF36 Emotional Limitations	83.1	92.5	-0.35 (-0.70, 0.00)	0.05	0.31
SF36 Energy/Fatigue	59.6	61.0	-0.08 (-0.43, 0.28)	0.67	0.76
SF36 Emotional Wellbeing	75.3	77.6	-0.15 (-0.50, 0.20)	0.40	0.61
SF36 Social Functioning	87.9	89.5	-0.10 (-0.45, 0.25)	0.56	0.76
SF36 Pain	88.5	93.1	-0.38 (-0.73, -0.03)	0.03	0.31
SF36 General Health	73.0	67.9	0.30 (-0.05, 0.65)	0.09	0.33

Outcome measures described in General Methods 2.6.

3.4.3 Imaging

Putamen volumes were significantly smaller in preHD participants compared with controls after FDR correction (FDR=0.03; Figure 3.5). Uncorrected caudate volumes were also smaller in preHD participants ($p=0.05$), but the corresponding FDR was non-significant (FDR=0.20). These differences were small: the preHD group had 5.5% smaller putamen and 4.0% smaller caudate volumes. Putamen and caudate volumes did not show a significant relationship with age-CAG in preHD (FDR corrected value 0.54 for both). The nonparametric bootstrapped comparison of caudate and putamen volumes showed no significant differences between the two ($p=0.30$), suggesting that the putamen volumes did not appear more affected than the caudate volumes. There were no significant group differences in volumes of whole brain, grey or white matter, or ventricles (Figure 3.5, Table 3.6).

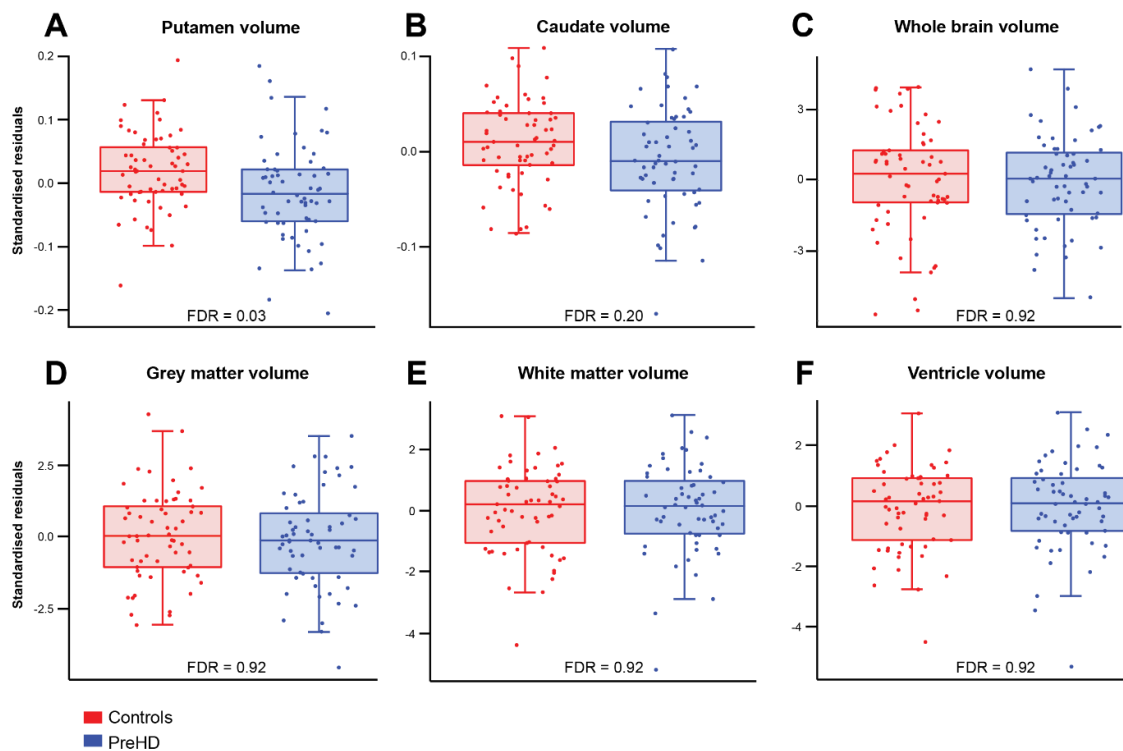


Figure 3.5. Volumetric MRI results. Boxplots of covariate adjusted standardised residuals of a) Putamen b) Caudate c) Whole Brain d) Grey Matter e) White Matter and f) Ventricle volumes corrected for intracranial volume. Horizontal lines are median; boxes are upper and lower quartiles; whiskers are 1.5 x interquartile range.

Table 3.6 Volumetric results

Outcome Measure	Controls Mean	HD Mean	Effect size (CL)	p	FDR
Putamen	0.66	0.62	0.53 (0.16, 0.89)	0.005	0.03
Caudate	0.50	0.48	0.37 (0.00, 0.74)	0.05	0.20
Grey matter	43.7	43.5	0.10 (-0.27, 0.46)	0.60	0.92
White matter	34.5	34.6	-0.02 (-0.39, 0.35)	0.91	0.92
Whole brain	80.3	80.2	0.06 (-0.31, 0.42)	0.76	0.92
Ventricles	0.86	0.88	-0.05 (-0.41, 0.32)	0.81	0.92

All measures are expressed as a percentage of intracranial volume

There were no significant differences in white matter microstructure as assessed by diffusion tensor imaging and NODDI (FDR 0.27–0.98; Table 3.7). Axial diffusivity in the genu of the corpus callosum was lower in the preHD group than controls ($p=0.01$), although this did not survive correction for FDR (FDR=0.27). The free water fraction in this region also showed uncorrected group differences ($p=0.04$; FDR=0.54), being increased in controls. In addition, the orientation dispersion in the posterior limb of the internal capsule was reduced in the preHD group ($p=0.04$) but this did not survive FDR correction (FDR=0.54).

Table 3.7 Diffusion results

Outcome Measure	Controls Mean	HD Mean	Effect size (CL)	p	FDR
AD CC Genu	1.27	1.26	0.53 (0.16, 0.91)	0.006	0.27
AD CC Spl	1.33	1.32	0.28 (-0.10, 0.66)	0.15	0.75
AD CC mid	1.27	1.27	0.17 (-0.20, 0.55)	0.37	0.86
AD IC ant	1.00	1.00	-0.26 (-0.63, 0.11)	0.17	0.75
AD IC post	1.05	1.05	-0.15 (-0.52, 0.23)	0.46	0.87
AD EC	0.95	0.95	-0.21 (-0.59, 0.17)	0.27	0.75
FA CC Genu	0.69	0.69	-0.01 (-0.30, 0.36)	0.95	0.98
FA CC Spl	0.75	0.75	-0.03 (-0.40, 0.35)	0.89	0.98
FA CC mid	0.67	0.67	-0.07 (-0.45, 0.30)	0.70	0.92
FA IC ant	0.58	0.58	-0.19 (-0.56, 0.19)	0.32	0.84
FA IC post	0.68	0.68	-0.23 (-0.60, 0.15)	0.23	0.75
FA EC	0.45	0.45	-0.25 (-0.62, 0.13)	0.19	0.75
MD CC Genu	0.64	0.63	0.33 (-0.04, 0.71)	0.09	0.75
MD CC Spl	0.63	0.63	0.24 (-0.14, 0.61)	0.21	0.75
MD CC Mid	0.65	0.65	0.13 (-0.25, 0.50)	0.51	0.87
MD IC ant	0.57	0.57	-0.09 (-0.46, 0.29)	0.64	0.92
MD IC post	0.54	0.54	0.07 (-0.30, 0.45)	0.70	0.92
RD CC Genu	0.32	0.32	0.12 (-0.25, 0.50)	0.53	0.87
RD CC Spl	0.28	0.28	0.15 (-0.23, 0.53)	0.43	0.86
RD CC Mid	0.34	0.34	0.08 (-0.30, 0.46)	0.67	0.92
RD IC ant	0.35	0.35	0.09 (-0.28, 0.47)	0.63	0.92
RD IC post	0.29	0.29	0.23 (-0.14, 0.61)	0.22	0.75

RD EC	0.45	0.45	0.12 (-0.25, 0.50)	0.54	0.87
ND CC Genu	0.62	0.62	0.02 (-0.36, 0.40)	0.91	0.98
NDI CC Spl	0.67	0.67	-0.04 (-0.42, 0.33)	0.82	0.98
NDI CC Mid	0.64	0.63	0.16 (-0.22, 0.53)	0.41	0.86
NDI IC Ant	0.63	0.63	-0.01 (-0.39, 0.36)	0.94	0.98
NDI IC Post	0.69	0.70	-0.16 (-0.53, 0.22)	0.41	0.86
NDI EC	0.52	0.52	-0.06 (-0.44, 0.31)	0.74	0.92
FWF CC Genu	0.12	0.11	0.40 (0.02, 0.77)	0.04	0.54
FWF CC Spl	0.14	0.13	0.25 (-0.12, 0.63)	0.18	0.75
FWF CC Mid	0.15	0.15	0.21 (-0.16, 0.59)	0.27	0.75
FWF IC ant	0.15	0.15	0.21 (-0.16, 0.59)	0.27	0.75
FWF IC post	0.08	0.08	-0.07 (-0.44, 0.31)	0.73	0.92
FWF EC	0.03	0.03	-0.24 (-0.61, 0.14)	0.22	0.75
ODI CC Genu	0.08	0.08	-0.07 (-0.45, 0.30)	0.70	0.92
ODI CC Spl	0.07	0.07	0.15 (-0.23, 0.52)	0.43	0.86
ODI CC Mid	0.09	0.09	0.02 (-0.35, 0.40)	0.90	0.98
ODI IC ant	0.12	0.11	0.12 (-0.26, 0.49)	0.54	0.87
ODI IC post	0.11	0.11	0.40 (0.02, 0.78)	0.04	0.54
ODI EC	0.21	0.20	0.30 (-0.07, 0.68)	0.11	0.75

AD = axial diffusivity; MD = mean diffusivity; RD = radial diffusivity; FA = fractional anisotropy; NDI = neurite density index; MD = mean diffusivity; ODI = orientation dispersion index; FWF = free water fraction CC = corpus callosum; IC = internal capsule; EC = external capsule; ant = anterior; post = posterior; mid = mid-body; Spl = splenium

Using diffusion tractography and graph theory measures, there were no significant group differences in connection strength for any hub or striatal region, nor any difference in network measures of integration or segregation between preHD and controls (FDR=0.71-0.99; Table 3.8).

Table 3.8 Structural connectivity results

Outcome Measure	Controls Mean	HD Mean	Effect Size (CL)	p	FDR
L Limbic	0.01	0.01	-0.31 (-0.71, 0.08)	0.12	0.77
R Limbic	0.01	0.01	-0.05 (-0.45, 0.34)	0.80	0.99
L Executive	0.01	0.01	0.01 (-0.38, 0.40)	0.96	0.99

R Executive	0.01	0.01	0.07 (-0.32, 0.47)	0.71	0.99
L Sensorimotor	0.01	0.01	0.00 (-0.39, 0.40)	0.99	0.99
R Sensorimotor	0.01	0.01	-0.33 (-0.72, 0.07)	0.11	0.77
L Superior Frontal	0.05	0.05	0.01 (-0.38, 0.41)	0.94	0.99
R Superior Frontal	0.05	0.05	-0.19 (-0.58, 0.21)	0.36	0.87
L Precentral	0.04	0.04	-0.02 (-0.41, 0.37)	0.92	0.99
R Precentral	0.04	0.04	-0.21 (-0.60, 0.19)	0.30	0.87
L Superior Parietal	0.03	0.03	-0.17 (-0.57, 0.22)	0.39	0.87
R Superior Parietal	0.03	0.03	0.12 (-0.28, 0.51)	0.56	0.99
L Thalamus	0.03	0.03	-0.03 (-0.43, 0.36)	0.86	0.99
R Thalamus	0.03	0.03	0.21 (-0.19, 0.60)	0.29	0.87
L Inferior Parietal	0.02	0.02	0.19 (-0.20, 0.59)	0.33	0.87
R Inferior Parietal	0.03	0.03	0.12 (-0.28, 0.51)	0.54	0.99
L Rostral Middle Frontal	0.02	0.02	0.42 (0.03, 0.82)	0.04	0.71
R Rostral Middle Frontal	0.02	0.02	-0.02 (-0.41, 0.38)	0.92	0.99
Efficiency	0.00	0.00	-0.02 (-0.41, 0.38)	0.92	0.99
Modularity	0.46	0.46	0.25 (-0.14, 0.65)	0.20	0.87

Efficiency is a measure of network integration whilst Modularity is a measure of network segregation. All other measures represent connection strength of each respective region. Limbic, executive and sensorimotor are divisions of the striatum. Other measures are cortical hub regions. L = Left, R = Right.

There were no significant differences between preHD and controls in MPM measures (FDR=0.17-0.98; Table 3.9). There were trends of increased R1 and R2 in the putamen ($p=0.006$ and 0.03 respectively) and external capsule ($p=0.04$ and 0.03 respectively), whilst R2 was also increased in the posterior limb of the internal capsule ($p=0.03$) in preHD. None of these differences survived FDR correction however.

Within the preHD group, there were no significant relationships between any imaging measure and age-CAG (FDR 0.44–0.96).

Table 3.9 MPM results

Outcome Measure	Controls Mean	HD Mean	Effect size (CL)	p	FDR
R2* Putamen	21.5	22.4	-0.42 (-0.81, -0.04)	0.03	0.20
R1 Putamen	0.75	0.77	-0.54 (-0.92, -0.15)	0.005	0.17
PD Putamen	77.9	77.9	-0.01 (-0.39, 0.38)	0.91	0.98
MT Putamen	0.90	0.89	0.25 (-0.14, 0.63)	0.21	0.36
R2* Caudate	18.7	19.2	-0.34 (-0.73, 0.05)	0.09	0.36
R1 Caudate	0.71	0.72	-0.38 (-0.76, 0.01)	0.06	0.36
PD Caudate	80.0	80.2	-0.19 (-0.57, 0.20)	0.34	0.78
MT Caudate	0.83	0.83	0.24 (-0.14, 0.63)	0.21	0.38
R2* CC Spl	23.3	23.5	-0.13 (-0.52, 0.26)	0.51	0.78
R1 CC Spl	1.02	1.03	-0.13 (-0.51, 0.26)	0.51	0.99
PD CC Spl	68.6	68.7	-0.04 (-0.43, 0.34)	0.83	0.98
MT CC Spl	1.58	1.59	-0.08 (-0.46, 0.31)	0.69	0.98
R2* CC Genu	22.3	22.5	-0.15 (-0.54, 0.24)	0.45	0.78
R1 CC Genu	1.07	1.08	-0.21 (-0.60, 0.17)	0.27	0.78
PD CC Genu	67.8	68.0	-0.22 (-0.61, 0.16)	0.26	0.67
MT CC Genu	1.67	1.66	0.13 (-0.25, 0.52)	0.49	0.67
R2* CC mid	20.6	20.8	-0.32, (-0.71, 0.07)	0.11	0.39
R1 CC mid	1.01	1.02	-0.31 (-0.70, 0.07)	0.11	0.59
PD CC mid	68.7	68.8	-0.14 (-0.52, 0.25)	0.48	0.85
MT CC mid	1.55	1.55	-0.07 (-0.45, 0.32)	0.73	0.98
R2* IC pos	20.4	20.8	-0.43 (-0.82, -0.04)	0.03	0.20
R1 IC pos	1.00	1.01	-0.21 (-0.60, 0.17)	0.28	0.78
PD IC pos	67.6	67.7	-0.11 (-0.49, 0.28)	0.58	0.78
MT IC pos	1.52	1.52	0.01 (-0.38, 0.39)	0.96	0.84

R2* IC ant	22.5	22.5	-0.03 (-0.41, 0.36)	0.90	0.99
R1 IC ant	1.00	1.01	-0.29 (0.67, 0.10)	0.15	0.67
PD IC ant	69.7	69.8	-0.04 (-0.43, 0.34)	0.83	0.98
MT IC ant	1.49	1.47	0.23 (-0.15, 0.62)	0.23	0.36
R2* EC	18.5	18.8	(-0.43 (0.82, -0.04)	0.03	0.20
R1 EC	0.89	0.91	-0.30 (-0.79, -0.02)	0.04	0.29
PD EC	71.1	71.0	0.09 (-0.30, 0.47)	0.66	0.81
MT EC	71.1	71.0	0.09 (-0.30, 0.47)	0.65	0.98

CC = corpus callosum; IC = internal capsule; EC = external capsule; ant = anterior; post = posterior; mid = mid-body; Spl = splenium. R1 = longitudinal relaxation rate; R2* = the effective transverse relaxation rate; MT = magnetization transfer. PD = proton density.

3.4.4 Biofluids

The CSF quality of the study was good, with no CSF samples above the predefined cut-off for red cell count. Only one participant's white cell count was above the white cell cut-off, being mildly raised at 8 cells/ μ l. Given the non-specificity of the count with a normal neurological examination and T1-weighted MRI, this control was kept in the analysis. The median and inter-quartile range for CSF white cell count across the cohort was 0 and 1 cells/ μ l respectively. For red cell count, it was 1 and 5 cells/ μ l respectively, indicating minimal blood contamination across samples. Similarly, no participants had significant abnormalities on full blood count or coagulation, prior to lumbar puncture.

CSF haemoglobin was associated with mHTT ($p=0.03$) and YKL-40 ($p=0.01$) and was controlled for in the subsequent analyses for these analytes. CSF haemoglobin was not associated with any other analyte. One control outlier for CSF NfL was noted. They had a normal T1 brain scan, normal CSF white cell and red cell counts and did not outlie in other biofluid or cognitive parameters and so were not excluded from the analysis.

CSF mHTT was detectable at low concentrations for all mutation carriers except in three participants, all of whom had a low disease burden score. mHTT was

undetectable in all controls. Higher mHTT concentrations were associated with increasing age-CAG ($p=0.05$). However, 53% of preHD mHTT values were between the limit of detection and limit of reliable quantification (8–25 fM), a range in which the output from the assay is not linear. Total huntingtin concentrations were not significantly different between controls and preHD ($p=0.23$) (Figure 3.6).

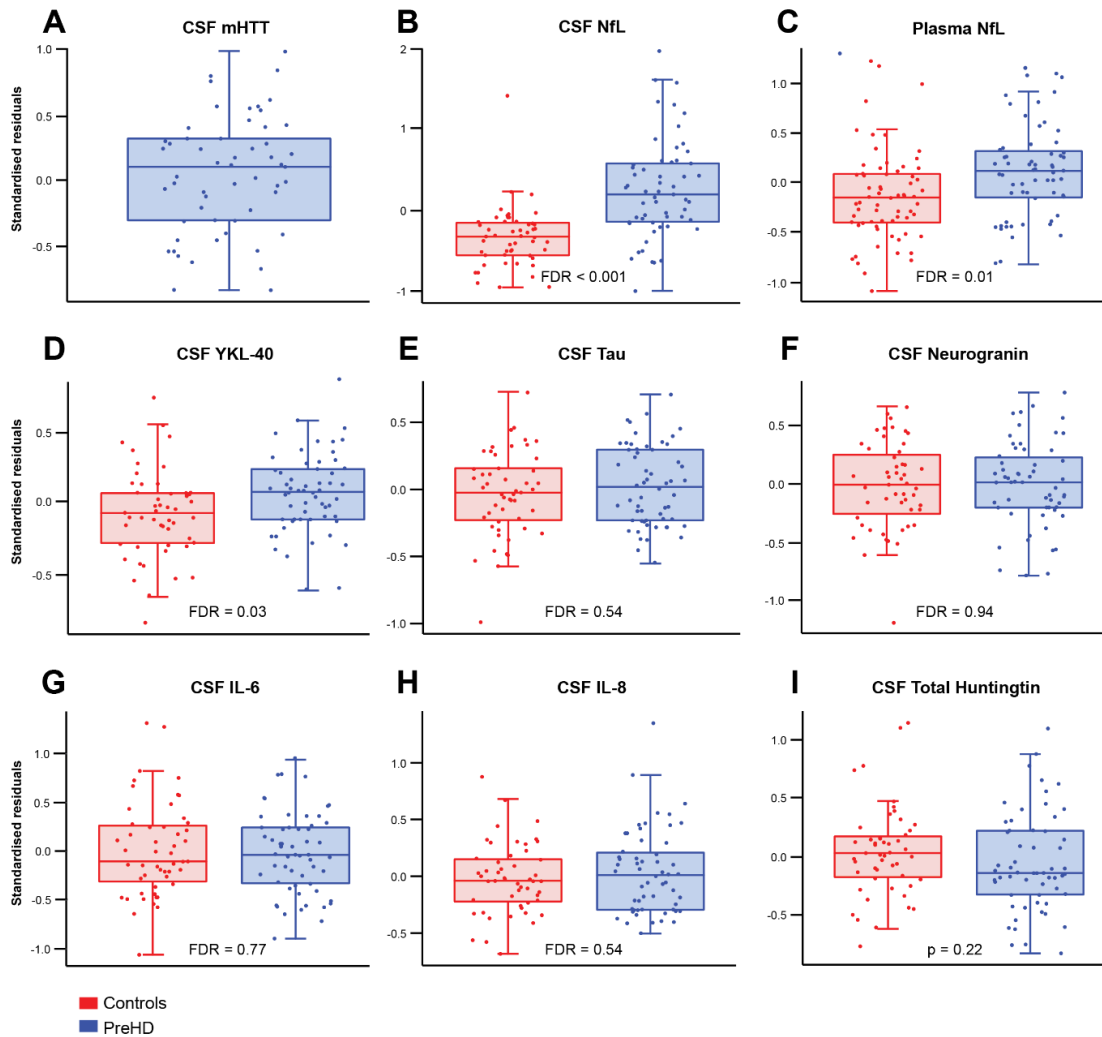


Figure 3.6. Selected biofluid results. Boxplots of standardised residuals (covariate adjusted) of a) CSF mHTT b) CSF NfL c) plasma NfL d) YKL-40 e) CSF total tau f) CSF neurogranin g) CSF IL-6 h) IL-8, i) CSF total huntingtin. All analytes were log transformed. As expected mHTT was undetectable in all controls. There were significant differences between preHD and controls in CSF NfL, plasma NfL, and CSF YKL-40. No other analytes showed significant group differences ($FDR>0.5$).

The preHD cohort had significantly higher concentrations of CSF NfL, plasma NfL, and CSF YKL-40 than the control cohort (FDR <0.0001, FDR=0.01, and FDR=0.03, respectively; Figure 3.6). In the preHD group, 53% had CSF NfL concentrations within the normal control range (95th percentile of controls) whilst 87% had plasma NfL concentrations within this range.

There were no significant differences between the preHD and control cohorts for CSF and plasma tau and GFAP, nor for CSF neurogranin, IL-6 or IL-8 (FDR 0.48–0.94; Table 3.10).

Table 3.10 Biofluid results

Outcome Measure	Controls Mean	HD Mean	Effect Size (CL)	p	FDR
NfL CSF	5.79	6.40	-1.17 (-1.56, -0.79)	<0.0001	<0.0001
NfL plasma	2.02	2.28	-0.55 (-0.90, -0.20)	0.003	0.01
YKL-40 CSF	10.97	11.12	-0.50 (-0.89, -0.12)	0.01	0.03
IL6 CSF	1.01	0.98	0.07 (-0.31, 0.46)	0.68	0.77
IL8 CSF	4.14	4.19	-0.15 (-0.54, 0.23)	0.41	0.54
Neurogranin CSF	5.68	5.68	0.00 (-0.38, 0.39)	0.94	0.94
Tau CSF	4.16	4.22	-0.20 (-0.58, 0.19)	0.34	0.54
Tau plasma	1.57	1.44	0.20 (-0.14, 0.56)	0.26	0.52
UCH-L1 CSF	7.14	7.17	-0.16 (-0.55, 0.22)	0.41	0.48
GFAP CSF	9.43	9.37	0.14 (-0.25, 0.52)	0.48	N/A
GFAP Plasma	4.38	4.51	-0.23 (-0.58, 0.12)	0.20	N/A
tHTT CSF (log fM)	3.85	3.75	0.24 (-0.15, 0.62)	0.22	N/A
mHTT CSF (log fM)	0	3.08	N/A	N/A	N/A

All values in log pg/ml unless otherwise stated.

Only CSF NfL concentrations showed a strong positive association with age-CAG in preHD (FDR<0.0001). Plasma NfL showed a weaker association that did not reach statistical significance (p=0.07, FDR=0.18).

ROC analysis of CSF NfL, plasma NfL, and CSF YKL-40 gave areas under the curve of 0.79, 0.65, and 0.64 respectively, implying superior discriminatory ability of CSF NfL over plasma NfL and YKL-40 in preHD far from predicted clinical onset (Figure 3.7).

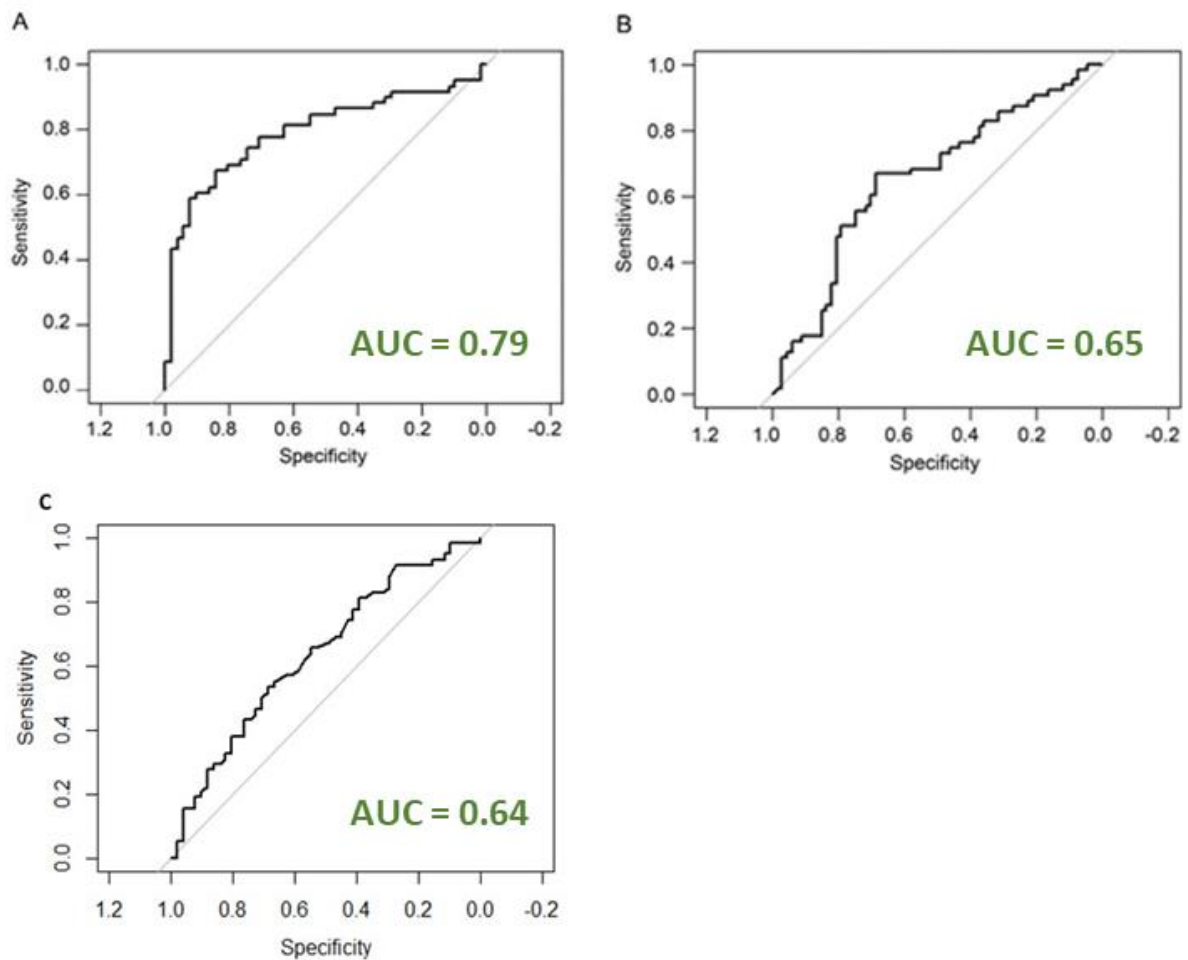


Figure 3.7 ROC curves for CSF NfL (A), plasma NfL (B) and YKL-40 (C). AUC = area under the curve.

NfL trajectories across an age range of 20–70 years were modelled by combining HD-YAS data with baseline values from the HD-CSF study (Byrne et al. 2018), showing the age at which NfL is predicted to rise above the 95th percentile of controls for each given CAG count (Figure 3.8). CSF NfL concentrations showed a sigmoid trajectory, increasing slowly initially before accelerating as individuals moved close to

predicted clinical onset, followed by a deceleration later in the disease. Plasma NfL showed a similar trajectory, but with concentrations remaining within the normal range for longer.

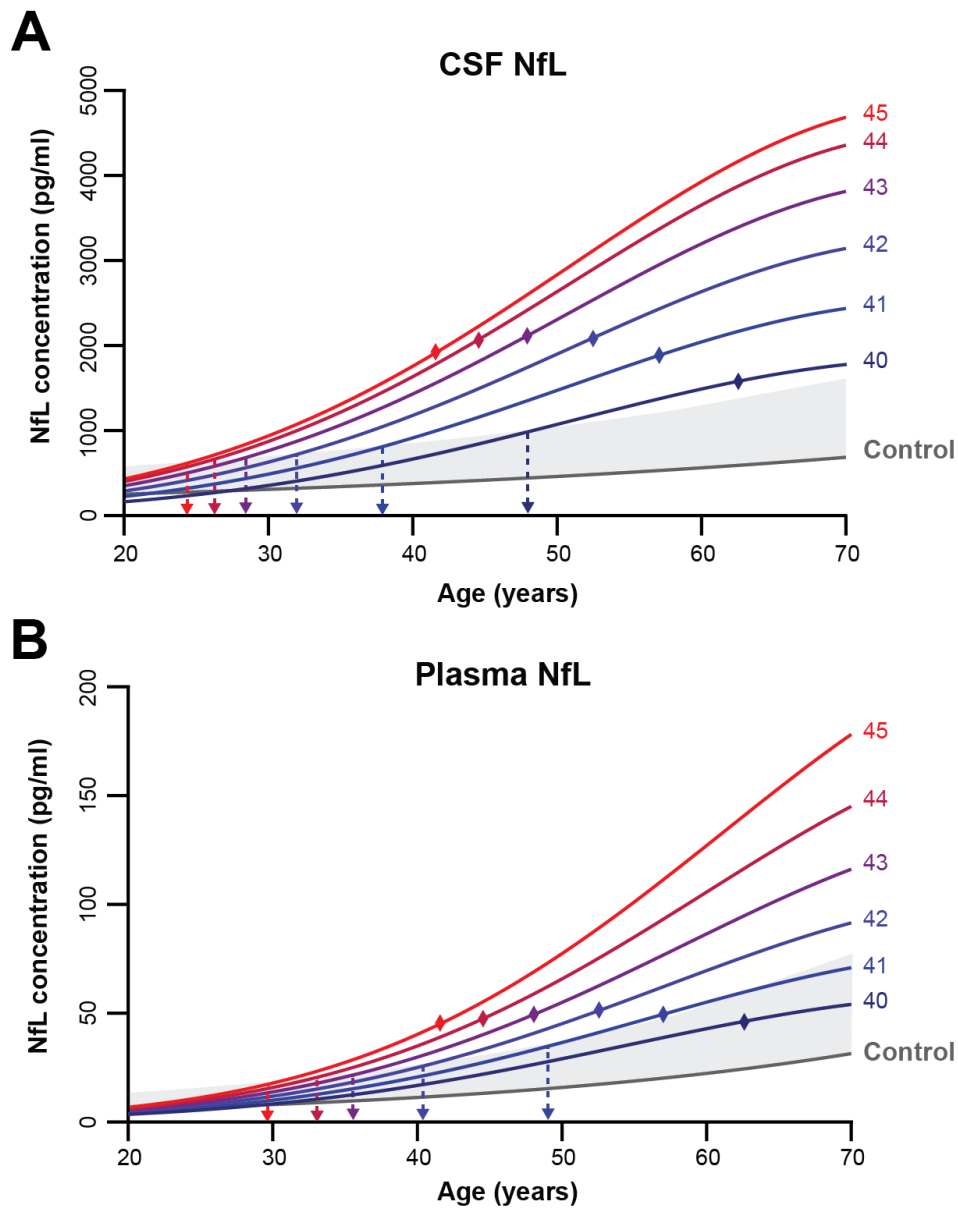


Figure 3.8. NfL trajectories. Associations of NfL concentration in (a) CSF and (b) plasma with age and CAG repeat count (right of figure) from combined datasets of HD-YAS and HD-CSF (Byrne et al. 2018). Data were modelled with a polynomial function of age, CAG repeat counts, their squares, and their interactions. NfL concentrations were reverse-transformed from log NfL values. CAG repeat counts are coloured separately and labelled on the right of the image. Shaded in grey is the range between the control curve (dark grey line) and the 95th prediction interval of controls. Coloured dotted lines show the intercept of NfL trajectory in HD and the 95th prediction interval of controls, representing the age at which NfL concentrations become abnormal. Diamonds show mean age of onset for each CAG based on the Langbehn equation using previously published data (Langbehn et al. 2004).

3.4.4.1 Associations of mHTT and NfL with other measures in HD-YAS

Associations between CSF mHTT, NfL and plasma NfL with other biofluid, imaging, cognitive and psychiatric measures were investigated. CSF NfL was highly correlated with plasma NfL and CSF mHTT ($r=0.68$ and 0.57 ; $p<0.0001$) whilst plasma NfL also correlated with mHTT to a lesser extent ($r=0.31$, $p=0.02$). There were significant associations between CSF mHTT and CSF YKL-40, CSF tau, and CSF UCH-L1 ($r=0.54$, 0.50 and 0.50 respectively, $FDR=0.003$). CSF NfL significantly correlated with CSF YKL-40 ($r=0.37$; $FDR=0.04$).

NfL and mHTT did not significantly correlate with any imaging, cognitive, or neuropsychiatric measure. Of particular interest given the above results, caudate volumes showed a negative correlation with NfL in plasma ($r=-0.35$, $FDR=0.06$), and CSF ($r=-0.19$, $FDR=0.24$). Putamen volumes also showed a negative correlation with NfL in plasma ($r=-0.22$, $FDR=0.21$) and CSF ($r=-0.15$, $FDR=0.36$).

3.5 Discussion

The results of HD-YAS provide crucial new insights in early preHD and suggest that cognitive and psychiatric function, as measured using the above scales, are preserved in gene carriers approximately 24 years from predicted onset. At this stage, there is little evidence of brain imaging changes, yet elevations of NfL and YKL-40 are suggestive of subtle early neuronal injury in this cohort. The results indicate CSF NfL, mHTT and YKL-40 may be the earliest detectable markers of neurodegeneration in HD. By combining the results of HD-YAS with pre-existing literature on the later stages of HD, an evidence-based schematic of disease trajectory was generated (Figure 3.9), extending the timeline of pathological changes back to the very start of adulthood. It should be noted however that there remains a gap in the literature between HD-YAS and previous studies characterising disease-related changes <15 years from predicted onset. Hence this schematic would need further validation from follow up in the HD-YAS and/or other studies in the range between 20-15 years from predicted onset.

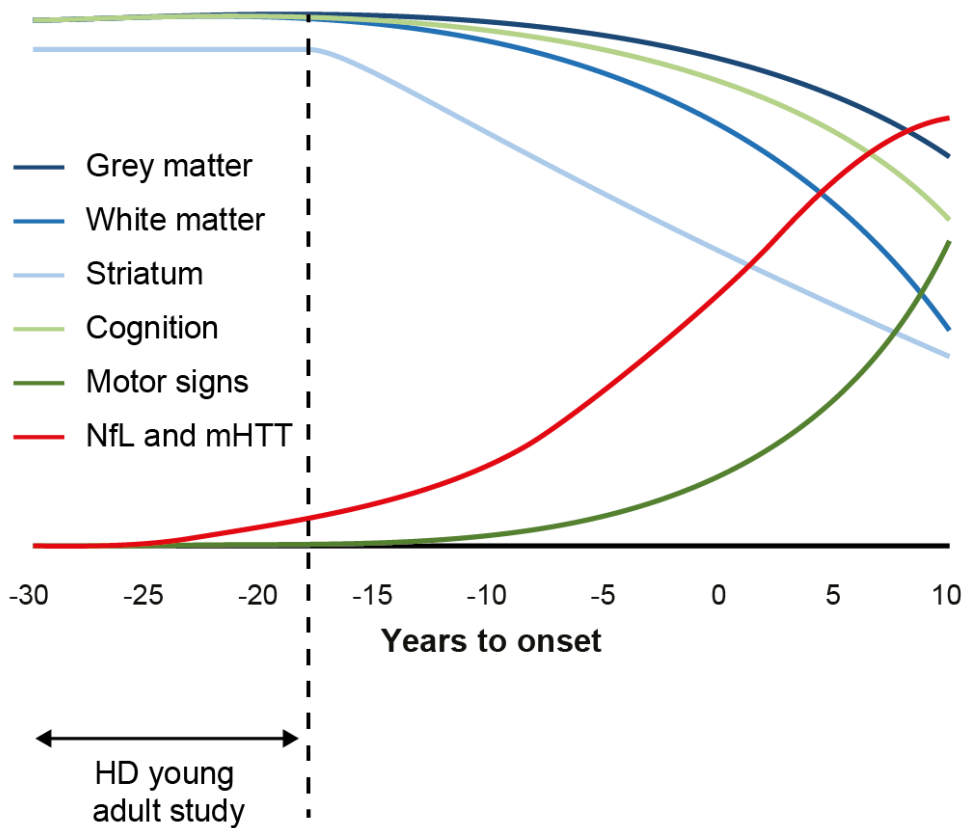


Figure 3.9. Updated evidence based schematic of disease trajectory in HD. With results from the HD-YAS, we can now extend our understanding of disease-related changes from those presented in Figure 1.5. CSF NfL and mHTT are among the earliest pathological changes, occurring around 24 years before expected clinical onset (data from the HD-YAS), with slow increases for approximately 10 years, followed by an acceleration prior to expected onset already elevated 15 years prior to onset (Byrne et al. 2017; Byrne et al. 2018). Striatal volumes are slightly smaller than those of age-matched controls at the beginning of adulthood (data from the HD-YAS) and start a linear decline around 18 years before expected symptom onset (Tabrizi et al. 2011) with volume reduced by around 50% of control volumes by the time of clinical onset (Georgiou-Karistianis et al. 2013; Langbehn et al. 2019). Peristriatal white matter volume shows reductions approximately 15 years from onset (Tabrizi et al. 2009) and shows higher rates of atrophy in a non-linear fashion, becoming more generalised by time of onset (Aylward et al. 2011; Langbehn et al. 2019). Grey matter loss extends beyond the striatum later at around 10 years before symptom onset, after which it progresses non-linearly (Tabrizi et al. 2009; Langbehn et al. 2019). Soft motor signs in the form of increased variability in voluntary movements are apparent by 15 years prior to symptom onset and increase non-linearly (Biglan et al. 2009; Tabrizi et al. 2009; Long et al. 2014). Subtle selective cognitive changes are apparent approximately 15 years from expected symptom onset (Tabrizi et al. 2009; Stout et al. 2011), declining relatively slowly following a non-linear trajectory (Tabrizi et al. 2012; Langbehn et al. 2019).

3.5.1 No significant differences in cognition, but some trends of interest

Cognitive deficits centred around executive function and emotion processing have been reported previously in premanifest cohorts (Tabrizi et al. 2009), with the PREDICT-HD study suggesting that cognitive function starts to decline around 15 years before clinical onset (Paulsen et al. 2008; Paulsen and Long 2014). The cognitive battery in HD-YAS represents a targeted assessment of cognitive domains that are known to be vulnerable in HD, including tasks that have not previously been studied in the premanifest stage. Although there were no measures that showed corrected significant differences, there were some small group differences in measures of cognitive flexibility, sustained attention, and emotion processing trending in the direction of greater impairment in preHD that will be of interest for further study.

Cognitive flexibility is a key component of executive function and can be measured at the extra-dimensional shift stage of the IED task. Although not as widely investigated as other cognitive tasks in HD, the ED set shifting stage of the IED has previously been shown to be sensitive in early HD (Lawrence et al. 1996) and preHD up to 10 years prior to onset (Lawrence et al. 1998). ED shift errors have previously been associated with reduced functional connectivity in fronto-striatal networks in healthy controls (Morris et al. 2016). These networks have also been shown to be disrupted in HD (Enzi et al. 2012; McColgan et al. 2017). Therefore, although not reaching corrected significance in this study, the ED set shift may be a sensitive measure of emerging cognitive impairment in preHD closer to predicted onset.

Deficits in semantic verbal fluency in preHD have also been demonstrated previously (Lawrence et al. 1998), and the subthreshold group difference in this task may be suggestive of a small subset of individuals showing very mild impairment in this task.

Sustained visual attention has been comparatively understudied in HD. The trail making test has been shown to be sensitive in preHD and involves visual attention (Paulsen and Long 2014), but also it also involves other cognitive components such as set-shifting, perceptual processing and working memory (O'Rourke et al. 2011). A comparatively small study using a simple go/no-go task found some evidence of attentional deficits in the HD, but not preHD group (Hart et al. 2012). Here, the preHD

group showed subtle reductions in sustained attention as measured in the RVP task, however this did not reach statistical significance. As with the other subthreshold trends, the RVP may be a task of interest for future studies of early preHD.

Although impaired performance on the OTS, PAL and SWM have been shown in early HD previously (Lawrence et al. 2000; Ho et al. 2003; Begeti et al. 2016), studies in preHD have not found evidence significant impairments in these tasks (Lawrence et al. 1998; Begeti et al. 2016). The results here are consistent with these tasks not being sensitive in the premanifest period.

The SDMT and SWRT have proved to be among the most robust measures of early cognitive deficits in HD (Tabrizi et al. 2013; Paulsen and Long 2014). In TRACK-HD, both had relatively high longitudinal signal-to-noise ratios compared to other measures (Tabrizi et al. 2013) and have subsequently been incorporated into a composite measure of disease progression (Schobel et al. 2017). This composite is currently being used as a primary outcome measure in huntingtin-lowering clinical trial (Clinicaltrials.gov; NCT03761849 2018), reflecting the relative sensitivity of these two cognitive tasks in HD. Both tasks have also demonstrated progressive declines in preHD as individuals moved closer to predicted onset (Tabrizi et al. 2013; Paulsen and Long 2014). It is striking then, just how similar preHD performance was to controls for these tasks in the current results and is supportive but not confirmatory of the hypothesis that cognition may be preserved at this early stage in preHD.

Of the cognitive assessments performed in this study, social cognition, motivational and emotional functioning was investigated using the recently developed EMOTICOM battery (Bland et al. 2016). Among these domains, emotion recognition has been most widely studied, with evidence that recognition of negative emotions is impaired in both preHD and HD (Tabrizi et al. 2009; Henley et al. 2012). Previous studies have tended to use either static, or morphing images with the outcome measure of detecting the emotion in question (Henley et al. 2012). In this study, preHD participants showed no differences in detecting the emotion in the increasing task, but recognised the sad face for longer in the decreasing task. Comparatively little is known about the implications of this observation, but inability to disengage from negative stimuli has previously been observed in depression (Dam et al. 2020). Our neuropsychiatric data suggests this would not explain the small observed effect

however. The emotion intensity decreasing condition has not been previously studied before in HD, and so it will be interesting to see if this subtle difference remains or increases over time in preHD.

Decreased breakpoints have been recently described in humans and animal models of HD (Heath et al. 2019). However there were no differences observed in the progressive ratio breakpoint in this cohort, suggesting motivational deficits likely occur later in the disease course.

Reinforcement learning was included in this study since it has been shown to be sensitive to pharmacological manipulation with a D2 receptor antagonist (Eisenegger et al. 2014) and was anticipated to be sensitive to any early indirect pathway dysfunction that has been observed in HD previously (Albin et al. 1992; Waldvogel et al. 2015). Furthermore, reward learning has been shown to be impaired in HD (Palminteri et al. 2012) and other diseases of the basal ganglia (Frank et al. 2004). However, reinforcement scores in this group were virtually identical in this study, showing no evidence of impaired learning by reinforcement at this stage of disease.

Collectively, there was no evidence of significantly impaired cognition across a broad spectrum of assessments. Although some non-significant differences appeared to be trending in the direction of more impairment in the preHD group, there were no significant associations with age-CAG to support the notion these differences are driven by those closer to expected clinical onset. Longitudinal follow up will be important in examining whether these trends persist or increase at this stage, although little cognitive change has been previously detected in preHD over a 24 month period (Stout et al. 2012), suggesting that a longer interval will likely be required to detect any longitudinal changes in this group.

3.5.2 No significant differences in behavioural or psychiatric symptoms

Using validated self-report questionnaires, current behavioural and neuropsychiatric symptoms were assessed across both groups. Increased neuropsychiatric symptoms have been reported in preHD (Kirkwood et al. 2002; Beglinger et al. 2008; Tabrizi et al. 2009; Epping et al. 2013), including in those more than 12 years from predicted

onset (Epping et al. 2016). The reported progressive nature of these symptoms has given credence to this being as a result of neurodegeneration. The results reported here suggest that neuropsychiatric features of the disease are not increased comparative to controls 24 years from predicted onset, and is a phenomenon that becomes observable approximately 15 years from predicted onset.

There was a non-significant trend towards higher pain scores and emotional limitations on the SF-36 in preHD. This scale has been previously used in manifest participants where the pain subsection has not shown notable differences between groups (Helder et al. 2002; Ho et al. 2004), and it is unlikely this is a meaningful difference.

These results also add to previous literature on neuropsychiatric outcomes following a positive predictive test. In a systematic review of the literature, Crozier et al. found that the psychological impact of predictive testing was not associated with test result (Crozier et al. 2015), although some studies have reported an increase in neuropsychiatric symptoms following a positive test result (Crozier et al. 2015; Quaid et al. 2017). However, the mean age across all studies included in this review ranged from 36.9 to 41.9 years, with no studies previously investigating outcomes of predictive testing in younger adults. Although the HD-YAS was not specifically set up to investigate the impact of predictive testing, the neuropsychiatric profiling included similar assessments to previous studies in this area (Crozier et al. 2015). The findings in the HD-YAS are therefore consistent with previous reports of no significant changes in the extent of neuropsychiatric symptoms in those with a positive predictive test, even at younger ages. These results may further support current clinical approaches to predictive testing in young adults.

3.5.3 Little evidence of change in brain structure

There was little evidence of differences in brain structure assessed by multi-modal imaging at this stage of HD, with the only significant finding across a wide range of measures being a subtle reduction in preHD putamen volumes. Volumetric imaging is the most established imaging method in HD and brain atrophy has been widely reported in premanifest cohorts closer to predicted onset (Paulsen et al. 2008; Tabrizi et al. 2012). Both TRACK-HD (Tabrizi et al. 2009) and PREDICT-HD (Paulsen et al.

2008) studies suggested that striatal volumes are reduced compared with controls at least 15 years from expected disease onset. Although gene carriers in this study were found to have significantly smaller putamen volumes, a statistical comparison of volume reduction between striatal subregions did not provide evidence for the putamen being more affected than the caudate. The lack of significant associations between striatal volumes and age-CAG or NfL points towards a possible neurodevelopmental constitutive difference in striatal volumes, supported by previously published work in child and adolescent HD gene carriers (van der Plas et al. 2019). Alternatively, reduced striatal volumes might be a result of neurodegeneration that is too subtle and variable to show robust associations with age-CAG or subtle elevations in NfL at this stage; longitudinal follow up might help to resolve this question. Nevertheless, the small effect size and absence of association with disease burden indicates striatal atrophy might be limited as a marker of progression at this stage of HD.

Whole brain, grey matter and white matter atrophy has also been observed previously in the premanifest period (Kipps et al. 2005; Tabrizi et al. 2012). White matter atrophy is particularly pronounced, and is detectable around 15 years from symptom onset, whereas grey and whole brain atrophy typically become detectable closer to predicted onset (Aylward et al. 2011; Tabrizi et al. 2011). It is notable then, that not only was there no significant between-group differences in whole brain, grey matter and white matter volumes in this study, but how similar the two groups were in these measures, with corresponding FDR values all over 0.9.

Previous literature had reported changes in peristriatal white matter microstructure (Zhang et al. 2018) and loss of cortico-striatal connections (McColgan et al. 2015; McColgan et al. 2017) in preHD closer to onset. Here, there were uncorrected differences observed in the corpus callosum for AD and FWF, although these were in the opposite direction to what is typically observed later in the disease course (Gregory et al. 2015; Shaffer et al. 2017; Zhang et al. 2018). Other diffusion metrics and structural connectivity measures were remarkably similar with no consistent directional trends, indicative of there being very little detectable change in white matter microstructure and connectivity at this timepoint despite using varied and well established methods.

MPM was included in this study to investigate evidence of abnormalities in iron or myelin in the striatum and peristriatal white matter. Abnormalities in myelin producing oligodendrocytes have been described previously in neuropathological studies in humans (Myers et al. 1991; Gómez-Tortosa et al. 2001) and HD animal models (Huang et al. 2015; Peng et al. 2016). A previous imaging study using MT and DTI imaging has provided additional support for myelin breakdown in the basal ganglia white matter tracts of HD participants (Bourbon-Teles et al. 2017). Iron dysregulation has also been described in HD neuropathology (Muller and Leavitt 2014), including evidence of increasing striatal iron deposition (Dexter et al. 1992; Simmons et al. 2007; Rosas et al. 2012) with HD progression. MRI studies, typically using T2-based or susceptibility weighted imaging, have also reported indirect evidence of subcortical iron accumulation which appears to be detectable in preHD (Rosas et al. 2012; Domínguez et al. 2016) and increases with disease progression (Dumas et al. 2012; Rosas et al. 2012). MPM, used here for the first time in HD, did not identify any regional differences between preHD participants and controls at this stage of the disease process. However, the subthreshold R1 and R2 signal changes in the putamen, caudate and external capsule, in the absence of changes in MT, may indicate that iron is starting to accumulate at this early stage. Similarly, these results indicate that oligodendrocyte dysfunction and myelin abnormalities previously observed are a feature of later disease progression, rather than a neurodevelopmental effect as previously suggested (Myers et al. 1991).

3.5.4 NfL is a promising biomarker of early neurodegeneration in HD

NfL concentrations are closely associated with brain volumes, clinical scores, and subsequent clinical onset and progression in HD (Byrne et al. 2017; Byrne et al. 2018). CSF and plasma NfL were both significantly elevated in this cohort 24 years from predicted onset. CSF NfL had the highest effect size of any measure in this study and was the only measure showing a significant association with age-CAG. However, 53% of preHD participants had CSF NfL concentrations within the 95th percentile of controls and 87% had plasma NfL concentrations within this range, suggesting a crucial point where NfL begins to rise has been identified. The ROC analysis indicated that CSF NfL is more sensitive and specific for early preHD compared to plasma NfL,

in contrast to their near-equivalence in manifest HD (Byrne et al. 2018). These results advance NfL as a potential candidate to measure disease progression in early preHD and might eventually be used as a marker of response to treatment in future preventive trials. Importantly, our results suggest that despite its practical and cost advantages, plasma NfL may not be as sensitive as CSF NfL in early preHD, although even more highly sensitive assays may improve plasma performance. Future trials targeting early preHD might enrich recruitment by combining an age-CAG score (e.g. DBS) with NfL above a predefined cut-off to increase the likelihood of seeing measurable change over a typical trial timeframe. Through modelling NfL with age, the approximate age at which NfL becomes abnormal for a given CAG length is highlighted and may serve to guide future studies and trials in HD which may consider the use of NfL as a biomarker. The use of NfL as an enrichment marker requires further validation however, as does its possible use as a treatment-response marker. Although non-specific to HD, the usefulness of NfL is strengthened in this case since individuals with HD can be reliably identified by genetic testing and other neurological diseases are rare in the age ranges studied in this cohort.

3.5.5 Measures of target engagement for huntingtin-lowering therapeutics

A central aim of current therapies in development for HD is to reduce mHTT in the nervous system. Accordingly, CSF mHTT represents a marker of target engagement for these trials and has already been successfully used as such in the first huntingtin-lowering trial in manifest HD (Tabrizi et al. 2019). In this cohort further from predicted onset, mHTT concentrations were lower than previous reports (Wild et al. 2015; Byrne et al. 2018); only 40% had concentrations above the limit of reliable quantification. This finding suggests that, although suppression of mHTT could be a viable measure of target engagement for clinical trials at this early stage, it would not be possible to quantify the percentage of huntingtin-lowering to assess dose-response. Alternatively, with concentrations consistently well above the limit of quantification, total huntingtin might provide a more reliably quantifiable marker of target engagement in non-allele selective huntingtin-lowering therapies than mHTT at this early stage. This is the first study to examine total huntingtin concentration in humans. That concentrations are consistently detectable above the lower limit of quantification

would suggest that huntingtin is naturally released from neurons into CSF. mHTT on the other hand is known to aggregate within neurons (Bates et al. 2015) and is believed to be released with neuronal damage, based on observations of rising mHTT with disease progression and its close association with NfL (Byrne et al. 2018). Therefore this discrepancy between mHTT and total huntingtin concentrations in this cohort suggests that mHTT may be aggregating at this early stage, but not to a level sufficient to cause significant neuronal death and subsequent widespread release into the CSF.

3.5.6 Evidence of astrocytic activation in the early premanifest period

The astrocytic marker YKL-40 has previously been investigated in HD with inconsistent results. Rodrigues et al. found increased YKL-40 in a mixed preHD/HD group (Rodrigues et al. 2016), whilst two other studies found non-significant increases in the HD group, but no differences in the preHD group (Vinther-Jensen et al. 2014; Niemelä et al. 2018). Here in a larger sample size, YKL-40 was found to be significantly elevated in the preHD group. YKL-40 concentrations were also closely associated with CSF mHTT and NfL, suggesting astrocytic activation may be occurring in response mHTT-induced neuronal injury or cell-autonomous effects of mHTT in astrocytes. Its utility as a marker of early disease progression may be limited however, since concentrations do not appear to associate with age-CAG at this stage and results from the ROC analysis show that YKL-40 is less sensitive and specific than plasma and CSF NfL in early preHD.

YKL-40 is known to be elevated in a number of neurodegenerative and neuroinflammatory diseases (Bonneh-Barkay et al. 2012; Olsson et al. 2016; Llorens et al. 2017). Its transcription in astrocytes is associated with cell migration and morphological changes characteristic of reactive gliosis (Bonneh-Barkay et al. 2012). In Alzheimer's disease, YKL-40 positive astrocytes have been found around β -amyloid plaques and surrounding vessels with β -amyloid angiopathy, highlighting how their activation is often closely related to pathological events (Llorens et al. 2017). YKL-40 activation also appears as a preclinical event in experimental models of prion diseases and Alzheimer's pathology (Llorens et al. 2017). Although YKL-40's role in

neurodegenerative disease pathogenesis remains unclear, dysregulated neuroinflammation can be contributory to the pathogenesis of various neurological diseases (Tansey and Goldberg 2010; Heneka et al. 2015; Wilton and Stevens 2020). However, in two previous in-vivo studies of controlled cortical impact (Wiley et al. 2015) and autoimmune encephalitis (Bonneh-Barkay et al. 2012), YKL-40 knock-out mice presented with more severe neuropathology and gliosis than wild-type littermates. Therefore YKL-40 may, in certain circumstances, be neuroprotective in modulating the neuroinflammatory response in response to injury.

In HD, astrocytic activation has been reported in the moderate, but not the earliest pathological grades of HD in humans (Myers et al. 1991). Mouse models have similarly reported elevations in GFAP expressing astrocytes in the moderate to late disease stages (Tong et al. 2014). However, the role of astrocytes and other glial cells in HD pathology is complex and incompletely understood (Wilton and Stevens 2020). For example, there is evidence that mHTT expression in certain glial cells is sufficient to generate cell-autonomous pathology in HD (Benraiss et al. 2016). Furthermore, astrocyte biology seems to be disrupted by mHTT expression. In a recent study, mHTT was found to alter the expression of many genes associated with basic astrocytic functions which was then restored with huntingtin-lowering (Diaz-Castro et al. 2019). Whilst the complexities of glial and astrocytic biology are outside the scope of this study, the current results provide the first evidence of detectable astrocytic activation very early in the premanifest period.

The absence of corresponding elevations in GFAP, another marker of astrocytic activation, may reflect the limited nature of astrocytic activation at this stage and/or GFAPs relative insensitivity as an early disease biomarker. This is consistent with previous findings from a meta-analysis in Alzheimer's cohorts where YKL-40 has been found to be increased without corresponding elevations in GFAP (Olsson et al. 2016).

3.5.7 Other candidate fluid biomarkers for HD show limited change

Concentrations of total tau have been previously reported to be increased in HD (Constantinescu et al. 2011; Rodrigues et al. 2016), although two previous studies have found no significant differences in preHD (Vinther-Jensen et al. 2016; Niemela

et al. 2017). Results here, with a larger sample size than previous studies, corroborate previous findings that total-tau is not elevated in preHD, and that elevations of tau occur later in the disease course.

Increases in plasma IL-6 and IL-8 have been reported previously in preHD (Bjorkqvist et al. 2008), whilst IL-6, but not IL-8 was found to be elevated in the CSF (Rodrigues et al. 2016). In the latter study, IL-6 elevations were not as marked as elevations of YKL-40. IL-6 has been also been shown to correlate with YKL-40 in several mouse models of neuroinflammation and with IL-1, upregulates YKL-40 expression in human astrocytes (Bhardwaj et al. 2015). The results here suggest that these cytokines are not elevated centrally early in the disease process and that YKL-40 elevation is not seemingly being driven by increased IL-6 production.

Neurogranin was included as the only measure of synaptic function in the study biofluid battery since there is evidence for a role of synaptic dysfunction in HD (Sepers and Raymond 2014) and neurogranin has previously been shown to be increased in Alzheimer's disease (Blennow et al. 2010; Tarawneh et al. 2016). Furthermore, a post mortem study found neurogranin gene expression to be robustly downregulated in HD (Hodges et al. 2006). The conclusively negative result here for CSF neurogranin alongside a previous negative result in HD (Byrne et al. 2018) suggests that neurogranin is not a biomarker of HD.

UCH-L1 has not been studied in HD before. However as an abundant protein in the neuronal cytoplasm, it has been shown to be elevated in several neurodegenerative conditions and traumatic brain injury (Öhrfelt et al. 2016; Bazarian et al. 2018; Zeittlberger et al. 2018; Ng et al. 2020). The negative result in this cohort therefore supports the lack of significant neuronal dysfunction or death in this cohort. Based on the aforementioned results in other neurological diseases, it is still possible that UCH-L1 may be a biomarker of later stage disease progression however.

3.5.8 Limitations

With respect to limitations, this study was powered to detect plausible disease-related changes in striatal volumes and might have been underpowered to detect more subtle changes across the range of measures studied. Therefore it cannot be confidently concluded that an absence of significant differences is proof of normality in preHD in

any given measure. Longitudinal follow up of this cohort will be important, particularly in re-examining subthreshold trends in the data, whilst also providing further clarity on the biomarkers that are most suitable for clinical trials in such far-from-onset cohorts.

The uptake of predictive testing in the UK is 17.4% (Baig et al. 2016) and there may be factors unique to those obtaining genetic testing, particularly at an early age, which may be a source of selection bias limiting the generalisability of the cognitive and psychiatric results in particular. Although factors that influence those pursuing a predictive testing has been previously described, little is known about differences between individuals who choose to have predictive testing and those who do not (Crozier et al. 2015). For example, it is unknown whether those who undergo predictive testing show demographic differences with respect to socioeconomic status, education level, mental health or other less easily defined personal characteristics that may influence the measures included in this study. Selection bias is particularly relevant to the interpretation of neuropsychiatric results, since it can be reasonably expected that those with active mental health problems such as depression, anxiety or apathy would be significantly less likely to volunteer for such a demanding study. The latter is a general limitation to any observational study of mental health, but may be particularly relevant given this study's demanding schedule of procedures that included extensive cognitive assessments, a long scanning time, venepuncture and lumbar puncture.

Education levels for both groups in this study were fairly high (61% with university education), and this is higher than in the general UK population of similar ages where 50% undertake university education (UK Government Department for Education 2019). It is possible that higher education levels may conceal any emerging subtle cognitive deficits that could have otherwise been present by means of an early compensatory effect (Brayne et al. 2010). Whilst significant neuropsychiatric disease was an exclusion criterion for this study to guard against confounding the cognitive results, only four gene carriers were excluded on this basis. This suggests our neuropsychiatric results are not unduly influenced by excluding potential preHD participants on this criteria, particularly given the trend of higher psychiatric symptoms in the control group.

The neuropsychiatric self-report questionnaires used in this study were selected to provide a broader coverage of neuropsychiatric symptoms than is achieved on the PBA, with good evidence that these tools can be sensitive in the premanifest period (Beglinger et al. 2008; Epping et al. 2013; Epping et al. 2016). However these assessment tools ask participants to provide ratings for items over recent days, weeks or the past month depending on the questionnaire. As such, the results do not capture behavioural or psychiatric symptoms over a longer period and so would miss any differences in psychopathology that have occurred prior to the point of assessment. Analysing the 'worst' scores on PBA items collected may be a future avenue to provide further insights on this point. Longitudinal follow up may also help establish trends overtime, but would suffer from the same problem of potentially missing psychopathology that has occurred in-between assessment visits. Further, although medication use was not factored into this analysis, the rates of antidepressant use across the cohort was low, being 9% in preHD and 5% in the control group, and hence would be unlikely to influence the neuropsychiatric results. No participants were on other forms of psychiatric medication. Whilst some studies have previously included companion ratings in neuropsychiatric assessments to minimise the potential of lack of awareness, evidence suggests that such lack of awareness only becomes evident in manifest HD or preHD close to predicted onset and so is unlikely to confound the neuropsychiatric results (Epping et al. 2016; Andrews et al. 2018).

The control group were specifically required to be from a HD-background, either as a gene-negative, family member or friend, to control for any influence of being from a HD-environment. It also provides an effective way of matching groups, since such controls are likely to share similar demographics to the gene carriers included in the study. However the trade-off is that this control group cannot be directly inferred to be representative of the general population.

The cognitive assessments included in this study were designed to interrogate a broad range of cognitive functions known to be affected in preHD, as well as more exploratory tests such as some EMOTICOM tasks. However, this battery of tasks is not exhaustive in coverage of all cognitive domains. Although there is some provisional evidence from small scale studies for preHD deficits in language (Nemeth et al. 2012; Hinzen et al. 2018) and calculation (Nanetti et al. 2018) for example, larger

replication studies in manifest groups may be helpful before warranting their inclusion in studies of preHD cohorts far from predicted onset.

Similarly, the biofluid assessments were selected largely on the basis of previous evidence in preHD, with some exploratory markers with evidence of sensitivity in other neurodegenerative diseases. GFAP and UCH-L1 were included within this study because their regulatory acceptance as biomarkers for head injury (Bazarian et al. 2018) has resulted in their inclusion in the Quanterix Neurology 4-Plex ultrasensitive immunoassay kit that was used here to generate results for NfL and tau. Other candidate biomarkers of neurodegeneration are increasingly being uncovered (Schindler et al. 2019) that could also be tested in HD. However, as a general principle it would be prudent to evaluate such exploratory markers in larger cohorts with manifest HD (Clinicaltrials.gov; NCT02855476 2016; Byrne et al. 2018), since biofluid resources are more abundant in these groups and because of the likely futility of evaluating markers in early preHD that do not show evident effects in manifest cohorts.

Finally, whilst our imaging assessments have shown very little evidence of change in brain structure at this early stage, it is possible that with continuing advances, techniques such as 7T imaging might provide higher signal-to-noise ratios that could help uncover early disease effects that may be otherwise undetectable and this will be a future avenue of interest in the field (Springer et al. 2016).

3.5.9 Conclusion

In summary, these results highlight a timepoint in preHD where subtle elevations in select biological measures of neurodegeneration occur in the absence of detectable functional impairment. This therefore may represent the very beginnings of the neurodegenerative process in HD. Treatments initiated at this stage may offer the prospect of delaying or preventing further neurodegeneration while function is intact, giving gene carriers many more years of life without impairment.

4 Timing and specificity of basal ganglia white matter loss in premanifest HD

Having described some of the earliest detectable changes in preHD, this chapter focuses more specifically on cortical-basal ganglia white matter connections known to be susceptible to early HD pathology. By combining the HD-YAS dataset with the TrackOn-HD dataset, I sought to evaluate whether specific cortico-striatal and cortico-thalamic connections show differential vulnerability in preHD and if so, how far before predicted onset this could be detected.

4.1 Introduction

The striatum has long been recognised as a central region in HD pathology with atrophy detectable early in the disease course (Aylward et al. 1994; Kipps et al. 2005; Tabrizi et al. 2011). However, it has been increasingly recognised that the white matter also undergoes significant atrophy early in the premanifest period (Aylward et al. 2011; Tabrizi et al. 2011).

Several studies using DTI have described the vulnerability of cortico-striatal connections in manifest HD (Bohanna et al. 2011; Marrakchi-Kacem et al. 2013; McColgan et al. 2015; Novak et al. 2015), with sensorimotor connections showing the greatest HD-related changes and the limbic connections appearing relatively preserved. Some studies have failed to detect such DTI metric changes in premanifest groups (Novak et al. 2015; Gorges et al. 2017; Gregory et al. 2018), whilst others have reported significant differences in these tracts (Dumas et al. 2012; McColgan et al. 2015; Orth et al. 2016; McColgan et al. 2017). Studies that have investigated specific cortico-striatal subnetworks in the premanifest period have reported changes in sensorimotor (Dumas et al. 2012; Poudel et al. 2014; Orth et al. 2016) and prefrontal tracts (Poudel et al. 2014).

Few previous studies have characterised how early in the premanifest period these changes can be detected however. In the PREDICT cohort, cross-sectional differences in tensor measures of cortico-striatal tracts were apparent predominantly in the group closest to predicted onset, with no tracts showing change in the group estimated to be >13 years from predicted onset (Shaffer et al. 2017). Longitudinal findings using tensor measures have been inconsistent. In preHD, two studies have failed to find 12-30 month changes (Poudel et al. 2014; Odish et al. 2015), whereas two larger studies demonstrated progressive changes over 1-5 years in premanifest HD cohorts (Harrington et al. 2016; Shaffer et al. 2017).

Whilst the striatum is the major input in cortico-basal ganglia circuits, its output back to the cortex is predominantly via cortico-thalamic white matter connections (Haber 2016). The thalamus has received comparatively less attention than the striatum in HD, although its involvement in HD pathology has been well described (Heinsen et al. 1996; Heinsen et al. 1999; Rub et al. 2016). Cortico-thalamic connections are

thought to be relatively preserved in preHD (Dumas et al. 2012; Poudel et al. 2014; McColgan et al. 2015; Gorges et al. 2017), although changes in posterior thalamic radiations have been described (Stoffers et al. 2010).

Previous studies investigating cortico-striatal or cortico-thalamic connections have typically investigated these as one homogenous group of connections (McColgan et al. 2015) or have focused on select motor and sensory connections (Dumas et al. 2012; Orth et al. 2016; Gorges et al. 2017; Shaffer et al. 2017; Scahill et al. 2020). However, the striatum and thalamus have a distinct topographical organisation of cortical connections forming individual subnetworks (Behrens et al. 2003; Tziortzi et al. 2014). It is currently unknown when these connections are first affected in HD and whether specific subregional connections are more vulnerable in the early disease course.

The majority of the previous literature on this topic comes from DTI, where voxel-averaged measures are unable to account for crossing fibres and have poor interpretability as measures of structural connectivity (Jones, Knosche *et al.* 2013; Raffelt, Tournier et al. 2017; Mito, Raffelt et al. 2018). FBA is a more recently developed diffusion technique which can resolve crossing fibre populations to provide more reliable tractograms whilst also providing more biologically specific measures of fibre density and cross-section (Raffelt et al. 2017). Similarly, whereby the majority of previous diffusion imaging studies in HD have used single-shell acquisitions, there is an increasing trend towards acquisitions using multiple-shells with higher b-values, which can improve the ability to model the diffusion signal and further probe tissue microstructure (Zhang et al. 2018; Genc et al. 2020). It is possible that such modern acquisitions may increase signal-to-noise when searching for early disease effects using diffusion MRI.

Understanding the timing and pattern of white matter connection degeneration in HD has particular relevance to emerging viral-vector based therapeutics such as RNAi approaches. These therapeutics typically require direct injection into the brain parenchyma and drug distribution, which can occur through axonal transport (Salegio et al. 2013; Weiss et al. 2020), may be limited. Therefore, targeting injections to vulnerable subregions before significant white matter loss has occurred may represent a potential treatment strategy for these therapeutics.

I tested the hypothesis that cortico-striatal and cortico-thalamic connections may be preserved early in preHD and that subregions of the striatum and thalamus might show differential vulnerability to degeneration in a group closer to expected clinical onset. To test this hypothesis, diffusion MRI tractography with fixel-based analysis (FBA) of specific cortico-striatal and cortico-thalamic connections was performed using datasets from two cohorts with preHD participants approximately 11 and 25 years before expected clinical onset.

4.2 Contribution and Collaborators

I led on project conception and design along with Peter McColgan. Contributors for data collection for HD-YAS and TrackOn-HD has been described previously in Chapter 3 and by Kloppel et al. (Kloppel et al. 2015) respectively. Sarah Gregory and Peter McColgan provided original quality checks of diffusion data. I performed further scan and processing quality checks, including registrations for all subjects in the study. I conducted all data processing, except for single-shell multi-tissue CSD, with supervision from Peter McColgan. Thijs Dhollander performed the single-shell multi-tissue CSD, a technique not available in the public domain at the time. I performed statistical analysis with support from Peter McColgan and further input from Marina Papoutsis. Thijs Dhollander, Sarah Gregory, Eleanoir Johnson, Akshay Nair, Marina Papoutsis, Rachael Scahill, Geraint Rees and Sarah Tabrizi have all provided critique and input into study design, execution and interpretation. I have written up the results for publication, including all tables, figures and collating edits from co-authors. Supervision for the project has been provided by Peter McColgan, Rachael Scahill, Geraint Rees and Sarah Tabrizi.

4.3 Methods

4.3.1 Cohorts

To investigate how early basal ganglia white matter loss could be detected, MRI data from HD-YAS (Scahill et al. 2020) were utilised. PreHD participants required a DBS of ≤ 240 , approximating to ≥ 18 years from predicted clinical onset. Multi-shell diffusion MRI data were analysed from 54 preHD and 53 control participants.

To investigate whether there is selective loss of specific basal ganglia white matter connections in preHD closer to predicted onset, MRI data from the TrackOn-HD study (Kloppel et al. 2015) was used. This included single-shell diffusion data acquired at three timepoints over 24 months from four sites (London, Paris, Leiden, and Vancouver). Gene carriers were required to have a disease burden score of > 250, approximating to < 17 years from predicted onset. The total number of participants each year was as follows: year one (72 gene carriers, 85 controls), year two (81 gene carriers, 87 controls) and year three (80 gene carriers, 78 controls). Participant demographics are summarised in Table 4.1.

Table 4.1. Participant demographics

	TrackON-HD			HD-YAS		
	PreHD N=72	Control N=85	p	PreHD N=54	Control N=53	p
Age	43.3 ± 9.2	48.8 ± 9.8	4.0 × 10 ⁻⁴	29.8 ± 5.6	29.3 ± 5.5	0.67
Male (%)	53	38	0.06	48	43	0.49
Education	4.0 ± 1.0	4.0 ± 1.0	0.94	4.9 ± 1.8	4.8 ± 1.6	0.71
CAG	42.9 ± 2.3			42.2 ± 1.7		
Years to Onset	11.3 ± 3.9			24.7 ± 6.3		

Unless otherwise specified, values are means ± standard deviations. Group comparisons were made using t tests (age, education) or chi-squared (sex and education). Education was measured using ISCED. Years to predicted onset was calculated using the Langbehn equation (Langbehn, Brinkman et al. 2004). TrackOn-HD demographics presented for baseline visit.

At the last TrackOn-HD visit, participants at London and Paris sites had an additional multi-shell diffusion MRI scan, similar to the HD-YAS acquisition, which included 33 gene carriers and 40 healthy control participants (Table 4.2). The existence of multiple shells, including some with higher b-values, is a more common feature in modern DWI acquisitions and was added to the final time-point assessments in TrackON-HD as a relatively new technique of interest to detect early disease effects. The MRI acquisitions used for this study are summarised in Table 4.3.

Participants were excluded if they were left-handed, ambidextrous, or had poor quality diffusion MRI data, as defined by visual quality control performed (Appendix 9.7).

Table 4.2. TrackOn-HD multi-shell acquisition subcohort

	PreHD N=33	Control N=40	p
Age	42.1 ± 9.5	47.2 ± 10.6	0.03
Male (%)	42	63	0.09
Education	3.9 ± 1.2	4.0 ± 0.9	0.28
CAG	43.0 ± 1.9		
Years to Onset	11.5 ± 4.0		

A multi-shell diffusion MRI scan was performed for a subgroup of TrackOn-HD participants at the final time point. Education was measured using the ISCED Years to predicted onset was calculated using the Langbehn equation (Langbehn et al. 2004).

4.3.2 Diffusion MRI processing

Pre-processing of diffusion data was performed with a combination of tools in the MRtrix3 (Tournier et al. 2019) and FSL (Jenkinson et al. 2012) software packages. This included denoising of data (Veraart et al. 2016), Gibbs-ringing artefact removal (Kellner et al. 2016), eddy-current correction and motion correction (Andersson and Sotiropoulos 2016) and up-sampling diffusion MRI spatial resolution in all 3 dimensions using cubic b-spline interpolation to $1.3 \times 1.3 \times 1.3 \text{ mm}^3$ voxels (Dyrby et al. 2014). The up-sampling of data helps to increase the anatomical contrast, which improves downstream spatial normalisation and statistics (Raffelt et al. 2017).

Three-tissue CSD modelling of diffusion data was performed using MRtrix3Tissue (<https://3Tissue.github.io>), a fork of MRtrix3. For all data, response functions for single-fibre white matter as well as grey matter and CSF were estimated from the data themselves using an unsupervised method (Dhollander et al. 2019). For the single-shell TrackOn-HD data, FODs for the three tissues were computed using single-shell three-tissue CSD (Dhollander and Connelly 2016) whilst multi-shell multi-

tissue CSD (Jeurissen et al. 2014) was utilised for the TrackOn-HD multi-shell and HD-YAS data.

Table 4.3. MRI acquisitions

	TrackOn-HD single-shell	TrackOn-HD multi-shell	HD-YAS
Manufacturer	Tim Trio, Siemens Achieva, Phillips	Tim Trio, Siemens	Prisma, Siemens
Tesla	3T	3T	3T
b-Value	0, 1000	0, 300, 700, 2000	0, 300, 1000, 2000
Gradient Directions	8, 42 (Siemens) 1, 42 (Phillips)	14, 8, 32, 64	10, 8, 64, 64
Voxel-size (mm)	2 x 2 x 2 (Siemens) 1.96 x 1.96 x 1.96 (Phillips)	2.5 x 2.5 x 2.5	2 x 2 x 2
TR/TE (ms)	13100/88 (Siemens) 11000/56 (Phillips)	7000 /90.8	3260/58
Slices	75	55	72
Acquisition time	10 mins	15 mins	15 mins

3T=3 Tesla, TR=repetition time, TE=echo time.

4.3.3 Spatial correspondence

Spatial correspondence was achieved by generating a study-specific population template (i.e. separate template for TrackOn-HD and HD-YAS analyses) with an iterative registration and averaging approach using WM FOD images for 40 subjects (20 preHD and 20 controls). Each subject's WM FOD image was then registered to the template via a FOD-guided non-linear registration (Raffelt et al. 2011; Raffelt et al. 2012). For longitudinal data, an intra-subject template was produced using scans from all 3 time points before creating a common population template using the same

registration approach. The resulting template was visually quality checked by creating a FOD-based directionally encoded colour map (Figure 4.1) and inspecting consistency of core structures such as the corpus callosum and anterior and posterior commissures. A template mask was then created by warping all individual subject masks into template space and computing the mask as an intersection of all subject masks in template space. The resulting mask ensures that subsequent analysis is only performed in voxels that contain data from all participants.

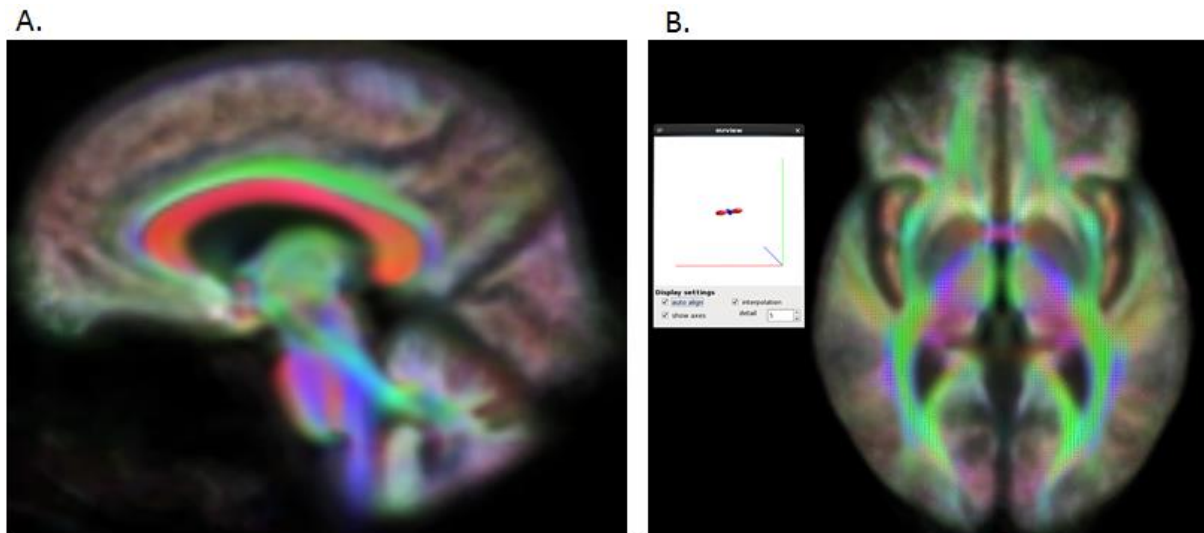


Figure 4.1 FOD-based directionally encoded colour map of population template. To quality check the population template for alignment, a FOD-based directionally colour encoded map was produced. Colour indicates orientation of fibres with red for mediolateral, green for anteroposterior, blue for superoinferior. (A) Sagittal view showing that even small structures like the anterior commissure (red) remain visible within the template. (B) Axial view with FODs overlaid. The FOD lobes of the anterior commissure inspected at focus (white box, left side of image) showing correct transverse alignment. These checks indicate good alignment of structures within the population template.

4.3.4 Generating a fixel map and fixel metrics

Fixels were then segmented from the FOD template to create a fixel mask that acts as a grid for subsequent analysis. The FOD lobe threshold recommended for segmentation is 0.06 (Tournier et al. 2019). However at this threshold, particularly in the single-shell datasets, there was a considerable number of spurious fixels in the grey matter and CSF. Hence the threshold was optimised to 0.1 which removed the vast majority of spurious fixels from grey matter and CSF to produce a fixel map more in line with known anatomy and white matter tracts (Figure 4.2).

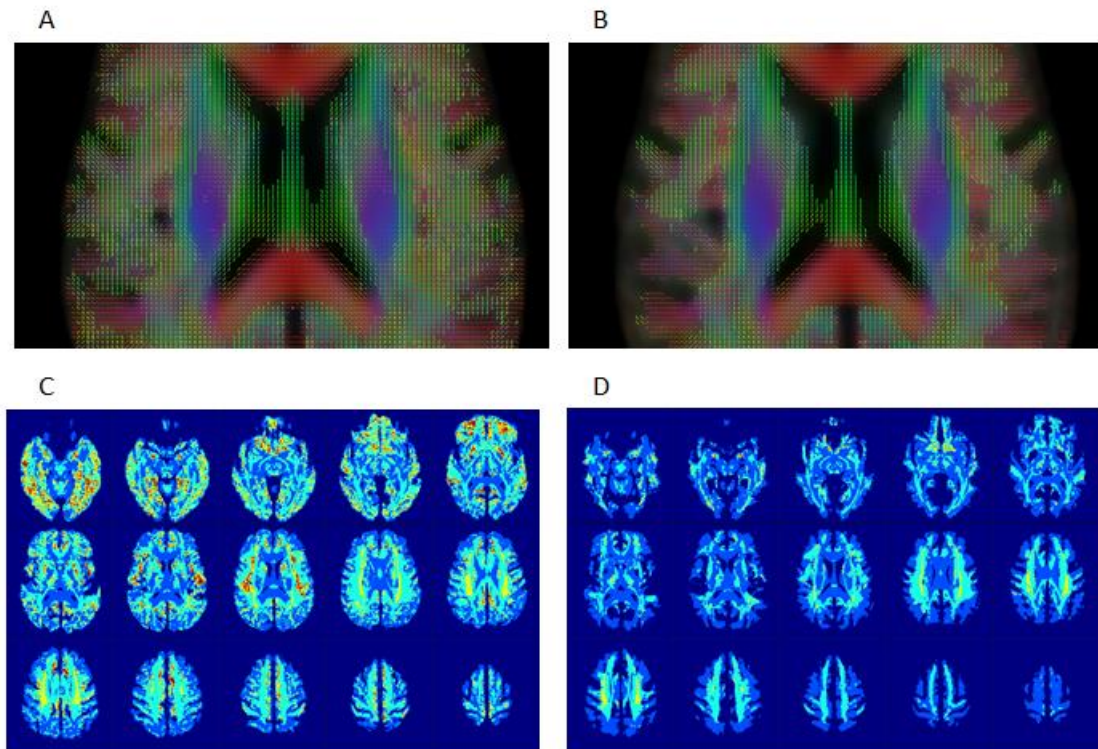


Figure 4.2 Varying the threshold of the raw peak FOD amplitudes to produce discrete set of fixels in TrackOn-HD. (A) A fixel map with a 0.06 threshold where there are many spurious fixels in the grey matter and CSF. (B) With a raised threshold of 0.1 many of these spurious fixels are now removed. Below – images displaying fixel count per voxel. Blue/Cyan/Yellow/Orange/Red = 1,2,3,4 and 5 fixels/voxel respectively. (C) At a threshold of 0.06, more voxels contain 4-5 fixels which are likely spurious given known normal anatomy. (D) Using the 0.1 threshold, these excess fixels are removed giving more reliable fixel counts and better contours of certain white matter structures. Each participant's FOD image was then warped to template space before each FOD lobe was segmented to identify the number and orientation of fixels in each voxel and compute FD. Fixels were reoriented for all participants in template space based on the local transformation at each voxel in the warps used previously. Participant fixels were then assigned to template fixels to achieve alignment for all participant fixels and FC was derived from the warps generated during registration.

FBA was used to interrogate changes in white matter (General Methods 2.9.5). For the main analysis, to avoid excessive multiple comparisons only FDC is reported as it can be expected that neurodegeneration will cause combined reductions in both fibre density and bundle atrophy, supported by results in other neurodegenerative diseases (Mito et al. 2018; Rau et al. 2019). However, results of FD and FC were also analysed and discussed separately to provide a more complete overview of fixel metric change in preHD.

4.3.5 Generating tracts for analysis

Connectivity-based atlases of the striatum and thalamus were used to segment each structure into seven subregions per hemisphere based on the dominant area of cortical connectivity in each subregion (General Methods 2.9.3). For the striatum, subregions included limbic, executive, rostral motor, caudal motor, parietal, temporal and occipital regions (Tziortzi et al. 2014). For the thalamic segmentation, subregions included prefrontal, premotor, primary motor, sensory, parietal, temporal and occipital cortices (Behrens et al. 2003). The striatal and thalamic atlases were registered to the population template with a linear registration using NiftyReg (Modat et al. 2010). Registrations were visually checked to ensure accurate alignment.

The objective for tractography was to create tractograms for each subregion that were consistent with the original connectivity-based atlases, with each striatal and thalamic subregion having a tract connecting to its target cortical region and with minimal overlap between regions. A tractogram for each striatal and thalamic subregion was generated using probabilistic tractography on the population template using tools within MRtrix3 (Tournier et al. 2019). Twenty thousand streamlines were seeded in each striatal subregion. This streamline count was selected as it produced well reconstructed tracts in all regions with a modest computation time and further increases in streamline seed counts did not appear to produce superior reconstructions. Streamlines were initiated in each subregion, with all other subregions excluded to avoid streamlines traversing other subregions and creating large amounts of overlap between the tracts. An inter-hemisphere mask was also used to stop streamlines traversing to the contralateral hemisphere and creating overlapping tracts. Since the striatal atlas also had corresponding cortical target regions, tracks were only included if they traversed their corresponding cortical target region. Although the thalamic atlas did not have corresponding cortical target regions, the tractograms generated appeared to adequately reach their cortical targets without the need for further constraints. The minimum FOD amplitude for seeding tracts was set at 0.08. This was above the recommended threshold of 0.05 for FBA and was selected after inspecting tractograms thresholded between 0.05-0.18, with the raised threshold removing some likely spurious and isolated streamlines.

The result was a single fibre bundle for each subregion connecting to its respective main cortical region. A track density image was then created from each tractogram by mapping the tractograms to the template fixel map. This track density image is then converted to a mask for each tract to compute fixel metrics within. Here, after some experimentation, the threshold was set at 50, since this provided non-overlapping but well-constructed tracts that were consistent with the original connectivity-based parcellation atlases. Fixel-based metrics were then calculated for all fixels within each tract and averaged to generate a single measure of FDC for each tract.

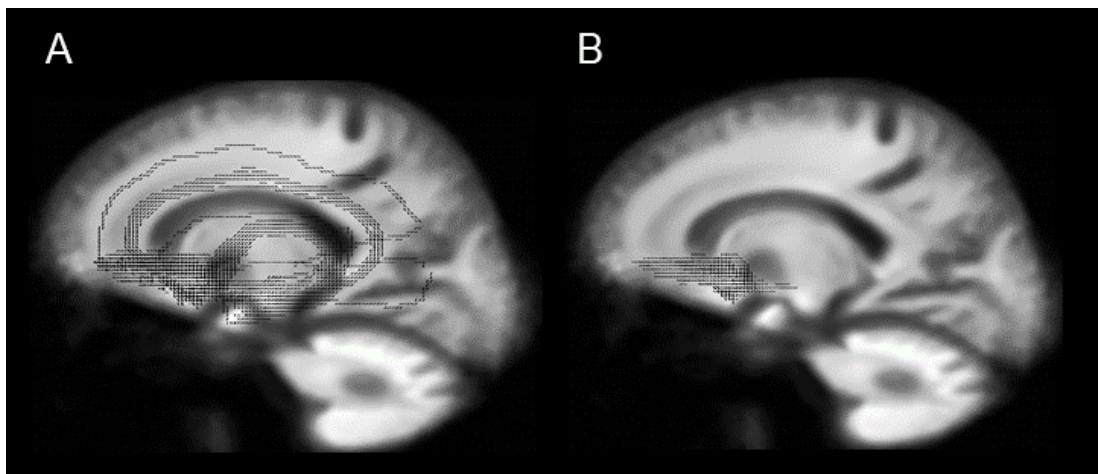


Figure 4.3 Thresholding tract density images to create concise non-overlapping tracts. (A) Showing the initial track density image for the cortico-striatal limbic tract on the group template. Here there are relatively dense tracts towards the medial prefrontal cortex. However there are more sparse tracts elsewhere, including some tracking through the corpus callosum. (B) By applying a threshold, the less dense tracts have been removed and there is now one concise tract running from the ventral striatum to the target medial prefrontal cortex. This now forms a mask for the subsequent analysis and the FDC can be computed for all fixels within this mask to generate a result for the limbic cortico-striatal tract.

4.3.6 Clinical scales

To investigate whether reductions in FDC observed in the TrackOn-HD baseline results were associated with clinical findings, correlations were performed with these tracts and specific a priori clinical tasks in preHD. For limbic cortico-striatal tracts, relationships with apathy using the BAIS (General Methods 2.6) were investigated given the known clinical relevance of these tracts in neurological conditions causing apathy (Levy and Dubois 2006; Le Heron et al. 2018; Prange et al. 2019). Only the

apathy scale was used in this study where higher scores indicate higher levels of apathy.

For caudal cortico-striatal connections and thalamic premotor and motor cortical connections, relationships between FDC and motor signs on the UHDRS TMS (Huntington Study Group 1996) (General Methods 2.4) were investigated.

4.3.7 Statistical analysis

Statistical analysis was performed in MATLAB R2018a and box plots were generated in R using the ggplot function (version 3.63). Analysis of the single time point HD-YAS and TrackOn-HD multi-shell data involved permutation testing (10,000 permutations) with two-tailed t-tests to investigate group differences.

For the longitudinal TrackOn-HD analysis, linear mixed effects regression was used as it provides unbiased estimates under the assumption that the missing data is ignorable whilst accounting for dependence due to repeated measures. The model was defined as:

$$Y_{ij} = \alpha + \beta t_{ij} + \gamma (\text{group}_i) + \delta (\text{group}_i) (t_{ij}) + \theta X_i + a_i + b_i t_{ij} + e_{ij}$$

Where Y_{ij} is FDC for the i^{th} participant ($i = 1, \dots, N$) at the j^{th} time point ($j = 1, \dots, n_i$), with time metric $t_{ij} = \text{visit}_{ij} - 1$, so that $t_{i1} = 0$. Group_i is a dummy variable taking the value of 0 if a participant is in the control group and the value of 1 if a gene carrier. Greek letters denote fixed effects; α is the control group mean at the first visit, β is the control group linear slope, γ is the mean difference among the preHD and control groups at the first visit (difference of intercepts), δ is the slope difference among the groups (rate of change difference), X_i is the matrix of covariates with associated regression coefficient vector θ ; a_i and b_i are random effects (random intercepts and slopes), and e_{ij} is random error. Maximum likelihood methods are used for estimation under the assumption that the random effects have a joint-normal distribution with zero-means and non-singular covariance matrix, and the random error is normally distributed with zero mean and constant nonzero variance. The objects of inference were γ and δ , with the former being the baseline cross-sectional mean difference among the groups adjusting for the covariates and the latter being the group difference in the rate of change (slope difference) adjusting for the covariates. The

null hypothesis of interest were $H_0: \gamma = 0$ (no initial mean group difference) and $H_0: \delta = 0$ (no group difference in rate of change), which were tested with the z-values of $z = \hat{\gamma} / SE(\hat{\gamma})$ and $z = \hat{\delta} / SE(\hat{\delta})$.

Age, sex, study site and education were included as covariates in each analysis. Multiple comparisons were addressed by applying a false discovery rate (FDR) approach to each separate cohort analysis and considered an FDR estimate < 0.05 to be significant.

To investigate whether changes in FDC show a relationship with clinical measures in preHD, a priori clinical correlations were performed for the tracts showing baseline change in the TrackOn-HD single-shell results and selected clinical scores from the baseline data. Partial correlations were performed using Spearman's rank-order correlations due to the non-normal distribution of the clinical data. Age, sex and site were included as covariates for correlations.

4.4 Results

4.4.1 No significant differences in cortico-striatal and cortico-thalamic connections 25 years from predicted onset

In the group of gene carriers approximately 25 years from predicted onset, no significant changes were seen in any cortico-striatal or cortico-thalamic tract compared to matched controls after FDR correction (Table 4.4 and Table 4.5; Figure 4.4 and Figure 4.5 A-B respectively). The preHD group had reductions in striatal left caudal motor ($p=0.04$) and right temporal FDC ($p=0.01$) that did not survive correction (FDR=0.35 and FDR=0.22 respectively). This suggests that cortico-basal ganglia white matter connections are structurally preserved approximately 25 years from predicted disease onset in preHD.

Table 4.4 Cortico-striatal FDC in HD-YAS

Cortico-striatal Tract	Control Mean	PreHD Mean	SE	P	FDR
L Limbic	0.55	0.55	0.001	0.31	0.38
R Limbic	0.54	0.53	0.001	0.16	0.35

L Cognitive	0.58	0.57	0.001	0.32	0.38
R Cognitive	0.56	0.57	0.001	0.49	0.53
L Rostral Motor	0.58	0.59	0.001	0.54	0.54
R Rostral Motor	0.59	0.59	0.001	0.33	0.38
L Caudal Motor	0.62	0.60	0.001	0.04	0.35
R Caudal Motor	0.61	0.60	0.001	0.16	0.35
L Parietal	0.67	0.67	0.001	0.33	0.38
R Parietal	0.68	0.68	0.001	0.34	0.38
L Temporal	0.60	0.60	0.001	0.18	0.35
R Temporal	0.64	0.61	0.001	0.01	0.22
L Occipital	0.73	0.71	0.001	0.07	0.35
R Occipital	0.71	0.70	0.001	0.18	0.35

Unadjusted means displayed. SE = standard error.

Table 4.5 Cortico-thalamic FDC in HD-YAS

Cortico-thalamic Tract	Control Mean	PreHD Mean	SE	p	FDR
L Prefrontal	0.61	0.61	0.001	0.18	0.35
R Prefrontal	0.62	0.62	0.001	0.32	0.38
L Premotor	0.65	0.63	0.001	0.08	0.35
R Premotor	0.63	0.62	0.001	0.30	0.35
L Primary Motor	0.61	0.61	0.001	0.34	0.38
R Primary Motor	0.60	0.60	0.001	0.34	0.38
L Sensory	0.62	0.62	0.001	0.52	0.54
R Sensory	0.58	0.58	0.001	0.33	0.38
L Parietal	0.68	0.67	0.001	0.09	0.35
R Parietal	0.65	0.64	0.001	0.17	0.35
L Temporal	0.52	0.51	0.0004	0.13	0.35
R Temporal	0.51	0.50	0.0004	0.05	0.35
L Occipital	0.51	0.50	0.0004	0.08	0.35
R Occipital	0.55	0.54	0.0004	0.18	0.35

Unadjusted means displayed. SE = standard error.

4.4.2 Anatomically specific basal ganglia white matter loss in preHD

To establish whether particular connections showed selective vulnerability in preHD closer to predicted onset, the same technique was applied to the TrackOn-HD single-shell baseline dataset. For cortico-striatal tracts, significant reductions were seen bilaterally in limbic (left FDR=0.002, right FDR=0.02) and caudal motor (left FDR=0.002, right FDR=0.006) FDC (Table 4.6; Figure 4.4 C-D respectively). For cortico-thalamic tracts, significant reductions were seen bilaterally in premotor (left FDR=0.005, right FDR=0.02), primary motor (left FDR=0.001, right FDR=0.02) and left sensory (FDR=0.006) FDC (Table 4.7; Figure 4.5 C-D).

Table 4.6 Cortico-striatal FDC in TrackOn-HD single-shell baseline

Cortico-striatal Tract	Control Mean	PreHD Mean	γ	SE	p	FDR
L Limbic	0.42	0.41	-0.03	0.008	3.0×10^{-4}	0.002
R Limbic	0.40	0.39	-0.02	0.007	0.005	0.02
L Cognitive	0.42	0.42	-0.01	0.006	0.05	0.08
R Cognitive	0.41	0.42	-0.01	0.006	0.13	0.15
L Rostral Motor	0.49	0.50	-0.007	0.008	0.38	0.55
R Rostral Motor	0.52	0.52	-0.02	0.008	0.04	0.09
L Caudal Motor	0.55	0.53	-0.03	0.008	4.9×10^{-4}	0.002
R Caudal Motor	0.56	0.54	-0.03	0.008	0.001	0.006
L Parietal	0.55	0.55	-0.02	0.008	0.02	0.06
R Parietal	0.57	0.56	-0.02	0.008	0.07	0.11
L Temporal	0.41	0.41	2.2×10^{-4}	0.006	0.97	0.97
R Temporal	0.43	0.44	0.001	0.006	0.84	0.84
L Occipital	0.55	0.55	-0.004	0.007	0.53	0.62
R Occipital	0.56	0.56	-0.01	0.007	0.08	0.11

Unadjusted means displayed. γ =estimated group intercept difference (preHD minus control); SE=standard error.

Table 4.7 Cortico-thalamic FDC in TrackOn-HD single-shell baseline

Cortico-thalamic Tract	Control Mean	PreHD Mean	γ	SE	P	FDR
L Prefrontal	0.49	0.49	-0.02	0.007	0.03	0.05
R Prefrontal	0.48	0.48	-0.01	0.007	0.08	0.08
L Premotor	0.58	0.55	-0.03	0.009	0.002	0.005
R Premotor	0.51	0.51	-0.03	0.009	0.002	0.02
L Primary Motor	0.57	0.55	-0.03	0.008	1.30×10^{-4}	0.001
R Primary Motor	0.57	0.55	-0.02	0.009	0.007	0.02
L Sensory	0.55	0.53	-0.02	0.008	0.003	0.006
R Sensory	0.53	0.52	-0.01	0.007	0.08	0.08
L Parietal	0.51	0.51	-0.006	0.006	0.35	0.40
R Parietal	0.51	0.51	-0.01	0.006	0.05	0.08
L Temporal	0.36	0.36	-0.007	0.005	0.15	0.21
R Temporal	0.36	0.36	-0.008	0.004	0.06	0.08
L Occipital	0.52	0.52	-0.002	0.007	0.08	0.35
R Occipital	0.54	0.53	-0.01	0.008	0.06	0.08

Unadjusted means displayed. γ =estimated group intercept difference (preHD minus control), SE=standard error.

4.4.2.1 No longitudinal changes in preHD 11 years from predicted onset

The next step in analysis investigated whether there was significant change over a 2-year time period at this stage using the TrackOn-HD longitudinal dataset. Although there was a trend towards slight reductions in FDC, there were no significant changes in any cortico-striatal or cortico-thalamic tracts after FDR correction (Table 4.8 and Table 4.9).

Table 4.8. Cortico-striatal FDC in TrackOn-HD single-shell longitudinal

Cortico-striatal Tract	δ	SE	p	FDR
L Limbic	-0.002	0.003	0.52	0.60
R Limbic	-0.003	0.002	0.26	0.45
L Cognitive	-0.002	0.001	0.20	0.36
R Cognitive	-0.002	0.001	0.25	0.45

L Rostral Motor	-0.003	0.002	0.14	0.33
R Rostral Motor	-0.001	0.002	0.70	0.82
L Caudal Motor	-0.004	0.002	0.09	0.30
R Caudal Motor	0.002	0.002	0.42	0.58
L Parietal	-0.002	0.002	0.37	0.51
R Parietal	0.001	0.002	0.82	0.82
L Temporal	3.0×10^{-4}	0.002	0.80	0.89
R Temporal	-0.003	0.002	0.12	0.45
L Occipital	-0.003	0.002	0.08	0.30
R Occipital	-0.003	0.002	0.17	0.45

δ = estimated group slope difference (preHD minus controls), SE=standard error.

Table 4.9 Cortico-thalamic FDC in TrackOn-HD single-shell longitudinal

Cortico-thalamic Tract	δ	SE	p	FDR
L Prefrontal	0.000	0.002	0.81	0.81
R Prefrontal	-0.001	0.001	0.64	0.75
L Premotor	-0.002	0.002	0.40	0.48
R Premotor	0.002	0.002	0.39	0.75
L Primary Motor	-0.002	0.003	0.37	0.48
R Primary Motor	0.001	0.002	0.59	0.75
L Sensory	-0.002	0.002	0.41	0.48
R Sensory	0.000	0.002	0.95	0.95
L Parietal	-0.001	0.002	0.34	0.48
R Parietal	-0.002	0.002	0.28	0.75
L Temporal	-0.001	0.001	0.32	0.48
R Temporal	-0.001	0.001	0.44	0.75
L Occipital	-0.004	0.002	0.03	0.24
R Occipital	-0.004	0.002	0.04	0.28

δ = estimated group slope difference (preHD minus controls), SE=standard error.

4.4.3 FDC changes using multi-shell acquisition at last time point in TrackOn-HD

The impact of diffusion MRI acquisition on these results was investigated by repeating the analysis in the TrackOn-HD final time-point subgroup who had an additional multi-shell diffusion MRI scan as part of the protocol. In this subgroup, there were widespread significant FDC reductions in cortico-striatal and cortico-thalamic tracts (Table 4.10 and Table 4.11; Figure 4.4 and Figure 4.5 E-F respectively) despite the number of participants being fewer.

Table 4.10 Cortico-striatal FDC TrackOn-HD multi-shell

Cortico-striatal Tract	Control Mean	PreHD Mean	SE	p	FDR
L Limbic	0.39	0.38	0.001	0.008	0.01
R Limbic	0.41	0.40	0.001	0.005	0.01
L Cognitive	0.43	0.42	0.001	0.02	0.02
R Cognitive	0.43	0.42	0.001	0.002	0.01
L Rostral Motor	0.50	0.50	0.001	0.04	0.05
R Rostral Motor	0.51	0.51	0.001	0.19	0.19
L Caudal Motor	0.59	0.55	0.001	0.002	0.01
R Caudal Motor	0.60	0.58	0.001	0.02	0.02
L Parietal	0.58	0.56	0.001	0.005	0.01
R Parietal	0.56	0.55	0.001	0.01	0.02
L Temporal	0.41	0.40	0.001	0.01	0.10
R Temporal	0.41	0.40	0.001	0.04	0.05
L Occipital	0.58	0.57	0.001	0.008	0.01
R Occipital	0.59	0.56	0.001	0.006	0.01

Unadjusted means displayed. SE = standard error.

Table 4.11 Cortico-thalamic FDC TrackOn-HD multi-shell

Cortico-thalamic Tract	Control Mean	PreHD Mean	SE	p	FDR
L Prefrontal	0.49	0.47	0.001	0.001	0.01
R Prefrontal	0.54	0.52	0.001	0.006	0.01
L Premotor	0.58	0.54	0.001	3.0×10^{-4}	0.01

R Premotor	0.56	0.54	0.001	0.04	0.05
L Primary Motor	0.49	0.47	0.001	0.01	0.02
R Primary Motor	0.45	0.43	0.001	0.02	0.03
L Sensory	0.46	0.45	0.001	0.06	0.07
R Sensory	0.44	0.42	0.001	0.007	0.01
L Parietal	0.50	0.48	0.001	0.006	0.01
R Parietal	0.52	0.49	0.001	0.001	0.01
L Temporal	0.52	0.51	0.001	0.02	0.02
R Temporal	0.53	0.50	0.001	0.002	0.01
L Occipital	0.50	0.48	0.001	0.04	0.05
R Occipital	0.44	0.41	0.001	0.001	0.01

Unadjusted means displayed. SE = standard error.

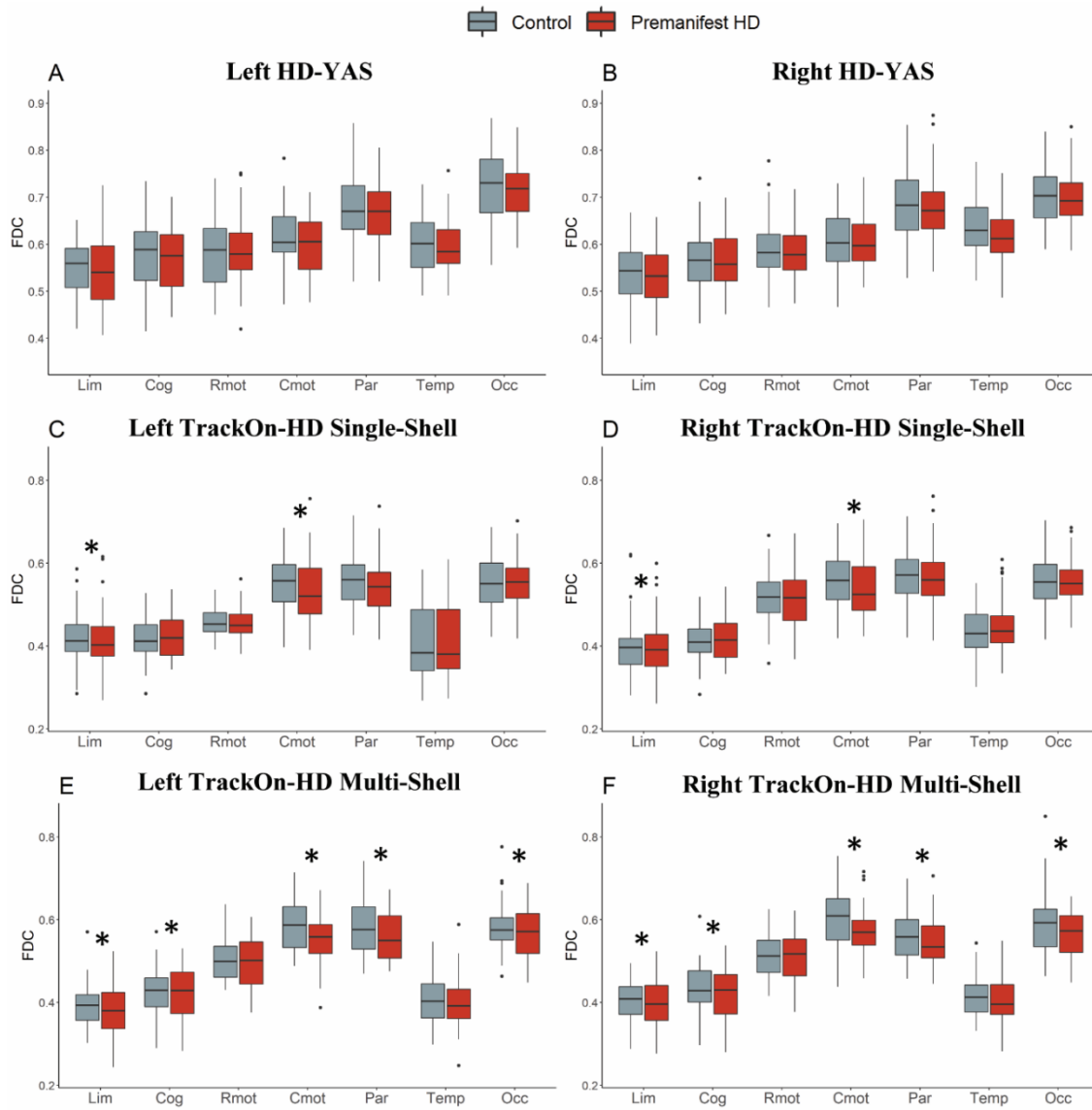


Figure 4.4. Cortico-striatal tract fibre density and cross-section left and right in HD-YAS (A+B), TrackOn-HD single-shell (B+C) and multi-shell (E+F) datasets. FDC = Fibre density and cross-section. Cortico-striatal tracts are displayed on the x-axis. Lim = Limbic, Cog = Cognitive, Rmot = Rostral motor, Cmot = Caudal motor, Par = Parietal, Temp = Temporal, Occ = Occipital. * FDR= < 0.05.

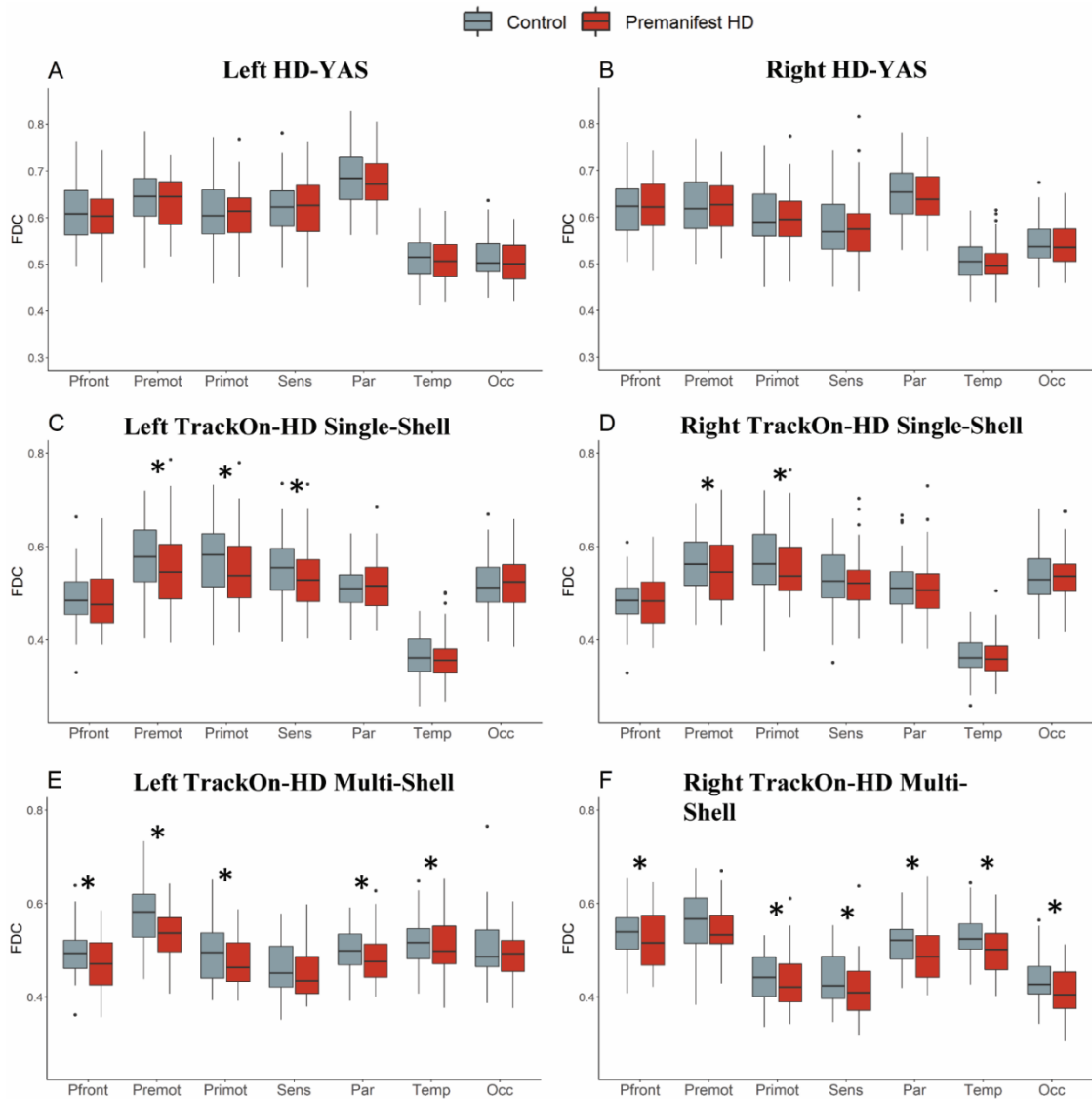


Figure 4.5. Cortico-thalamic tract fibre density and cross-section left and right in HD-YAS (A+B), TrackOn-HD single-shell (C+D) and multi-shell (E+F) datasets. FDC = Fibre density and cross-section. Cortico-thalamic tracts are displayed on the x-axis. Pfront = Prefrontal, Premot = Premotor, Primot = Primary motor, Sens = Sensory, Par = Parietal, Temp = Temporal, Occ = Occipital. * FDR = < 0.05.

4.4.4 Reductions in FDC correlate with a priori clinical measures

The next step of analysis assessed whether TrackOn-HD baseline FDC changes were associated with relevant clinical measures in preHD. There were significant positive correlations between limbic cortico-striatal FDC and apathy (left $r=0.25$, $p=0.03$, right $r=0.34$, $p=0.004$). Reductions in caudal motor-striatal FDC correlated

with increasing motor signs (left $r=-0.21$, $p=0.08$, right $r=-0.22$, $p=0.07$) after adjustment for age, sex and site. There were also correlations between increasing motor scores and reductions in premotor thalamic FDC (left $r=-0.23$, $p=0.06$, right $r=-0.22$, $p=0.07$) and primary motor-thalamic FDC (left $r=-0.20$, $p=0.10$, right $r=-0.30$, $p=0.01$). Neither apathy or TMS showed notable correlations with DBS ($r=-0.05$, $p=0.67$ and $r=0.04$, $p=0.75$).

Table 4.12 Correlations between a priori cortico-striatal and cortico-thalamic FDC and corresponding clinical task

Correlation Track – Clinical measure	r	p
L Limbic - apathy	0.25	0.03
R Limbic - apathy	0.34	0.004
L Caudal Motor – TMS	-0.21	0.08
R Caudal Motor – TMS	-0.22	0.07
L Premotor - TMS	-0.23	0.06
R Premotor - TMS	-0.22	0.07
L Primary Motor - TMS	-0.20	0.10
R Primary Motor - TMS	-0.30	0.01
DBS – apathy	-0.05	0.67
DBS – TMS	0.04	0.75

Correlations were performed using partial correlations with age, gender, and site as covariates. Apathy scores were from the Baltimore apathy/irritability scale. Caudal motor and limbic are cortico-striatal tracts. Premotor and primary motor are cortico-thalamic tracts.

4.4.5 Changes in FD and FC when analysed separately

For the primary analysis, only changes in FDC are reported since this provides a single measure incorporating changes in FD and FC to enable comparisons across the range of tracts investigated whilst limiting the extent of multiple comparisons. However to understand their relative contributions to the FDC results observed, changes in FD and FC were also analysed separately (Tables 4.13 - 4.18). As per the primary analysis, there were no significant differences in FD or FC in gene carriers

approximately 25 years from predicted onset (Table 4.13 and Table 4.14) after FDR correction. However, there were uncorrected reductions in cortico-striatal left and right caudal motor FC ($p=0.03$ and $p=0.08$ respectively), right temporal FD and FC ($p=0.03$, $p=0.06$ respectively) and left occipital FC ($p=0.04$). For the cortico-thalamic connections, there were also reductions in left premotor FC ($p=0.05$), right temporal FD ($p=0.05$) and left occipital FD ($p=0.04$) that did not reach significance after correction for multiple comparisons.

The TrackOn-HD single-shell results show a trend of greater change in FC driving the previously observed changes in FDC, with comparatively little change in FD (Table 4.15 and Table 4.16). In the multi-shell TrackOn-HD results, similar FC reductions were seen with more substantial FD reductions, leading to the more substantial FDC changes observed in the main analysis (Table 4.17 and Table 4.18).

Table 4.13 Cortico-striatal FD and FC in HD-YAS

Cortico-Striatal Tract	Control Mean	PreHD Mean	SE	p	FDR
L Limbic FD	0.50	0.50	2.3×10^{-4}	0.44	0.57
L Limbic FC	0.07	0.06	8.5×10^{-4}	0.20	0.49
R Limbic FD	0.49	0.49	2.1×10^{-4}	0.19	0.49
R Limbic FC	0.08	0.07	8.8×10^{-4}	0.17	0.49
L Cognitive FD	0.54	0.54	2.0×10^{-4}	0.37	0.52
L Cognitive FC	0.06	0.06	9.3×10^{-4}	0.33	0.50
R Cognitive FD	0.53	0.53	1.9×10^{-4}	0.51	0.59
R Cognitive FC	0.05	0.06	8.6×10^{-4}	0.47	0.59
L Rostral Motor FD	0.55	0.55	2.2×10^{-4}	0.14	0.49
L Rostral Motor FC	0.04	0.06	9.2×10^{-4}	0.78	0.79
R Rostral Motor FD	0.56	0.55	2.3×10^{-4}	0.36	0.51
R Rostral Motor FC	0.05	0.05	8.2×10^{-4}	0.32	0.50
L Caudal Motor FD	0.58	0.58	2.3×10^{-4}	0.48	0.59
L Caudal Motor FC	0.06	0.03	8.9×10^{-4}	0.03	0.48
R Caudal Motor FD	0.58	0.58	2.4×10^{-4}	0.73	0.75
R Caudal Motor FC	0.05	0.03	7.7×10^{-4}	0.08	0.48
L Parietal FD	0.66	0.66	3.0×10^{-4}	0.42	0.55
L Parietal FC	0.01	0.01	8.1×10^{-4}	0.38	0.52
R Parietal FD	0.67	0.67	3.1×10^{-4}	0.55	0.60

R Parietal FC	0.01	0.01	8.1×10^{-4}	0.28	0.50
L Temporal FD	0.56	0.56	2.4×10^{-4}	0.63	0.67
L Temporal FC	0.05	0.04	7.5×10^{-4}	0.10	0.48
R Temporal FD	0.59	0.58	2.7×10^{-4}	0.03	0.48
R Temporal FC	0.07	0.05	7.0×10^{-4}	0.06	0.48
L Occipital FD	0.65	0.65	2.2×10^{-4}	0.57	0.63
L Occipital FC	0.11	0.09	7.1×10^{-4}	0.04	0.48
R Occipital FD	0.65	0.65	2.3×10^{-4}	0.32	0.50
R Occipital FC	0.08	0.07	6.7×10^{-4}	0.21	0.49

Unadjusted means displayed. SE = standard error.

Table 4.14 Cortico-thalamic FD and FC in HD-YAS

Cortico-thalamic Tract	Control Mean	PreHD Mean	SE	p	FDR
L Prefrontal FD	0.59	0.59	2.2×10^{-4}	0.17	0.49
L Prefrontal FC	0.02	0.01	7.9×10^{-4}	0.25	0.50
R Prefrontal FD	0.6	0.6	2.3×10^{-4}	0.28	0.50
R Prefrontal FC	0.02	0.02	7.6×10^{-4}	0.38	0.52
L Premotor FD	0.62	0.62	2.5×10^{-4}	0.53	0.60
L Premotor FC	0.04	0.02	8.2×10^{-4}	0.05	0.48
R Premotor FD	0.6	0.61	2.5×10^{-4}	0.81	0.81
R Premotor FC	0.03	0.03	7.8×10^{-4}	0.19	0.49
L Primary Motor FD	0.59	0.6	2.6×10^{-4}	0.62	0.66
L Primary Motor FC	0.02	0.02	7.9×10^{-4}	0.27	0.50
R Primary Motor FD	0.58	0.58	2.7×10^{-4}	0.69	0.72
R Primary Motor FC	0.02	0.02	8.5×10^{-4}	0.26	0.50
L Sensory FD	0.61	0.61	3.0×10^{-4}	0.51	0.59
L Sensory FC	0.02	0.02	8.3×10^{-4}	0.49	0.59
R Sensory FD	0.56	0.56	3.2×10^{-4}	0.46	0.59
R Sensory FC	0.03	0.03	9.0×10^{-4}	0.26	0.50
L Parietal FD	0.64	0.64	2.5×10^{-4}	0.21	0.49
L Parietal FC	0.06	0.05	7.2×10^{-4}	0.15	0.49
R Parietal FD	0.62	0.61	2.6×10^{-4}	0.12	0.49
R Parietal FC	0.03	0.04	6.6×10^{-4}	0.36	0.51

L Temporal FD	0.51	0.5	3.0×10^{-4}	0.42	0.55
L Temporal FC	0.01	3.0×10^{-4}	8.3×10^{-4}	0.10	0.48
R Temporal FD	0.51	0.49	3.4×10^{-4}	0.05	0.48
R Temporal FC	0.001	0.007	8.0×10^{-4}	0.55	0.60
L Occipital FD	0.47	0.46	1.4×10^{-4}	0.04	0.48
L Occipital FC	0.08	0.08	7.2×10^{-4}	0.23	0.50
R Occipital FD	0.51	0.5	1.6×10^{-4}	0.10	0.48
R Occipital FC	0.07	0.07	7.2×10^{-4}	0.32	0.50

Unadjusted means displayed. SE = standard error.

Table 4.15 Cortico-striatal FD and FC in TrackOn-HD single-shell baseline

Cortico-Striatal Tract	Control Mean	PreHD Mean	γ	SE	p	FDR
L Limbic FD	0.38	0.38	-0.005	0.004	0.28	0.39
L Limbic FC	0.09	0.06	-0.06	0.01	1.2×10^{-7}	1.6×10^{-5}
R Limbic FD	0.36	0.36	1.7×10^{-4}	0.005	0.97	0.97
R Limbic FC	0.10	0.07	-0.06	0.01	4.9×10^{-7}	6.9×10^{-6}
L Cognitive FD	0.40	0.41	-0.001	0.003	0.79	0.79
L Cognitive FC	0.03	0.03	-0.03	0.01	0.01	0.02
R Cognitive FD	0.40	0.41	0.003	0.003	0.35	0.46
R Cognitive FC	0.03	0.02	-0.03	0.01	0.006	0.01
L Rostral Motor FD	0.46	0.46	0.003	0.004	0.37	0.44
L Rostral Motor FC	0.07	0.07	-0.03	0.01	0.02	0.04
R Rostral Motor FD	0.47	0.47	0.002	0.003	0.59	0.63
R Rostral Motor FC	0.10	0.08	-0.04	0.01	0.001	0.003
L Caudal Motor FD	0.50	0.50	-0.003	0.004	0.37	0.44
L Caudal Motor FC	0.09	0.06	-0.04	0.01	8.9×10^{-5}	6.3×10^{-4}
R Caudal Motor FD	0.51	0.50	-0.003	0.004	0.39	0.46
R Caudal Motor FC	0.09	0.06	-0.04	0.01	0.001	0.003
L Parietal FD	0.53	0.53	-0.002	0.004	0.67	0.73
L Parietal FC	0.04	0.03	-0.03	0.01	0.008	0.02
R Parietal FD	0.54	0.53	-0.004	0.004	0.40	0.46
R Parietal FC	0.05	0.05	-0.02	0.01	0.10	0.18
L Temporal FD	0.40	0.41	0.01	0.004	3.9×10^{-4}	0.001
L Temporal FC	0.01	-0.01	-0.04	0.01	1.3×10^{-4}	6.5×10^{-4}

R Temporal FD	0.42	0.44	0.01	0.004	0.001	0.003
R Temporal FC	0.02	0.01	-0.03	0.01	0.004	0.009
L Occipital FD	0.52	0.52	0.008	0.003	0.007	0.02
L Occipital FC	0.05	0.05	-0.03	0.01	0.009	0.02
R Occipital FD	0.52	0.53	0.003	0.003	0.35	0.46
R Occipital FC	0.05	0.05	-0.03	0.01	0.003	0.009

γ =estimated group intercept difference (preHD minus control); SE=standard error.

Table 4.16 Cortico-thalamic FD and FC in TrackOn-HD single-shell baseline

Cortico-Thalamic Tract	Control Mean	PreHD Mean	γ	SE	P	FDR
L Prefrontal FD	0.47	0.47	-0.003	0.003	0.32	0.35
L Prefrontal FC	0.02	0.01	-0.03	0.01	0.02	0.06
R Prefrontal FD	0.47	0.47	0.001	0.003	0.75	0.79
R Prefrontal FC	0.02	0.01	-0.03	0.01	0.02	0.06
L Premotor FD	0.52	0.51	-0.008	0.004	0.06	0.08
L Premotor FC	0.09	0.07	-0.03	0.01	0.006	0.02
R Premotor FD	0.51	0.51	-0.007	0.004	0.08	0.16
R Premotor FC	0.09	0.08	-0.03	0.01	0.02	0.06
L Primary Motor FD	0.53	0.52	-0.009	0.004	0.05	0.08
L Primary Motor FC	0.08	0.05	-0.04	0.01	3.3 x10 ⁻⁴	0.005
R Primary Motor FD	0.52	0.52	-0.006	0.004	0.21	0.29
R Primary Motor FC	0.07	0.06	-0.02	0.01	0.04	0.11
L Sensory FD	0.52	0.51	-0.005	0.004	0.12	0.21
L Sensory FC	0.05	0.04	-0.03	0.01	0.003	0.02
R Sensory FD	0.50	0.49	-0.003	0.004	0.45	0.53
R Sensory FC	0.07	0.07	-0.01	0.01	0.21	0.29
L Parietal FD	0.48	0.48	0.002	0.004	0.06	0.08
L Parietal FC	0.05	0.06	-0.02	0.01	0.006	0.02
R Parietal FD	0.47	0.47	-0.003	0.004	0.08	0.16
R Parietal FC	0.07	0.08	-0.02	0.01	0.02	0.06
L Temporal FD	0.38	0.37	-0.006	0.004	0.15	0.19
L Temporal FC	-0.05	-0.03	-0.002	0.01	0.85	0.85
R Temporal FD	0.38	0.37	-0.006	0.004	0.12	0.20

R Temporal FC	-0.04	-0.03	-0.008	0.01	0.45	0.53
L Occipital FD	0.47	0.48	0.007	0.003	0.03	0.08
L Occipital FC	0.09	0.10	-0.02	0.01	0.05	0.08
R Occipital FD	0.48	0.48	0.001	0.004	0.79	0.79
R Occipital FC	0.12	0.11	-0.03	0.01	0.01	0.06

Unadjusted means displayed. γ =estimated group intercept difference (preHD minus control); SE=standard error.

Table 4.17 Cortico-striatal FD and FC in TrackOn-HD multi-shell

Cortico-Striatal Tract	Control Mean	PreHD Mean	SE	p	FDR
L Limbic FD	0.36	0.36	4.1×10^{-4}	0.05	0.08
L Limbic FC	0.06	0.05	0.001	0.01	0.03
R Limbic FD	0.38	0.37	3.4×10^{-4}	0.10	0.13
R Limbic FC	0.06	0.05	0.001	0.005	0.02
L Cognitive FD	0.41	0.41	3.12×10^{-4}	0.11	0.14
L Cognitive FC	0.02	0.01	0.001	0.01	0.02
R Cognitive FD	0.42	0.42	3.9×10^{-4}	0.30	0.31
R Cognitive FC	0.03	-0.007	0.001	9.0×10^{-4}	0.01
L Rostral Motor FD	0.49	0.48	3.2×10^{-4}	0.07	0.10
L Rostral Motor FC	0.02	0.01	0.001	0.08	0.11
R Rostral Motor FD	0.49	0.49	3.2×10^{-4}	0.28	0.29
R Rostral Motor FC	0.03	0.03	0.001	0.19	0.22
L Caudal Motor FD	0.56	0.54	4.6×10^{-4}	0.10	0.12
L Caudal Motor FC	0.04	1.6	0.001	0.01	0.03
R Caudal Motor FD	0.58	0.57	4.6×10^{-4}	0.03	0.05
R Caudal Motor FC	0.02	0.01	0.001	0.16	0.20
L Parietal FD	0.58	0.57	4.2×10^{-4}	0.07	0.10
L Parietal FC	-0.009	-0.02	0.001	0.02	0.04
R Parietal FD	0.556	0.55	4.4×10^{-4}	0.06	0.09
R Parietal FC	-0.001	-0.001	0.001	0.08	0.10
L Temporal FD	0.36	0.36	3.9×10^{-4}	0.27	0.28
L Temporal FC	0.1	0.09	0.001	0.03	0.06
R Temporal FD	0.37	0.37	3.6×10^{-4}	0.44	0.44
R Temporal FC	0.09	0.07	0.001	0.01	0.02

L Occipital FD	0.53	0.53	3.6×10^{-4}	0.46	0.46
L Occipital FC	0.09	0.05	0.001	0.001	0.01
R Occipital FD	0.52	0.52	4.3×10^{-4}	0.23	0.25
R Occipital FC	0.1	0.06	0.001	0.001	0.01

Unadjusted means displayed. SE = standard error.

Table 4.18 Cortico-thalamic FD and FC in TrackOn-HD multi-shell

Cortico-thalamic Tract	Control Mean	PreHD Mean	SE	P	FDR
L Prefrontal FD	0.49	0.47	3×10^{-4}	0.002	0.02
L Prefrontal FC	-0.002	-0.02	0.001	0.01	0.03
R Prefrontal FD	0.52	0.52	2.9×10^{-4}	0.02	0.04
R Prefrontal FC	0.01	1.9	0.001	0.02	0.04
L Premotor FD	0.56	0.54	4.4×10^{-4}	0.01	0.02
L Premotor FC	0.01	-0.02	0.001	0.01	0.02
R Premotor FD	0.55	0.54	4.6×10^{-4}	0.05	0.09
R Premotor FC	-0.001	0.007	0.001	0.27	0.28
L Primary Motor FD	0.5	0.48	4.1×10^{-4}	0.06	0.10
L Primary Motor FC	-0.02	-0.03	0.010	0.05	0.07
R Primary Motor FD	0.45	0.44	3.8×10^{-4}	0.02	0.04
R Primary Motor FC	-0.02	-0.03	0.001	0.07	0.10
L Sensory Motor FD	0.46	0.45	3.9×10^{-4}	0.20	0.23
L Sensory Motor FC	-0.01	-0.01	0.002	0.12	0.14
R Sensory Motor FD	0.42	0.41	3.8×10^{-4}	0.02	0.04
R Sensory Motor FC	0.01	-0.02	0.001	0.02	0.04
L Parietal FD	0.47	0.47	3.5×10^{-4}	0.25	0.27
L Parietal FC	0.05	0.02	0.001	0.004	0.02
R Parietal FD	0.48	0.48	3.7×10^{-4}	0.22	0.24
R Parietal FC	0.06	0.02	0.001	5.0×10^{-4}	0.01
L Temporal FD	0.49	0.49	6.0×10^{-4}	0.27	0.28
L Temporal FC	0.04	0.02	0.001	0.02	0.04
R Temporal FD	0.5	0.49	4.9×10^{-4}	0.09	0.12
R Temporal FC	0.04	0.003	0.001	0.003	0.02
L Occipital FD	0.44	0.44	4.1×10^{-4}	0.21	0.23

L Occipital FC	0.11	0.09	0.001	0.004	0.02
R Occipital FD	0.37	0.36	3.1 x 10 ⁻⁴	0.09	0.12
R Occipital FC	0.16	0.12	0.001	2.00	0.01

Unadjusted means displayed. SE = standard error.

4.5 Discussion

By studying two unique cohorts, these results provide insight into the timing and anatomical specificity of basal ganglia white matter loss in preHD. As summarised in Figure 4.6, cortico-striatal and cortico-thalamic white matter connections appear structurally preserved in preHD 25 years from clinical onset. However, in preHD closer to clinical onset, specific cortico-striatal and cortico-thalamic tracts appear more vulnerable than others to early degeneration.

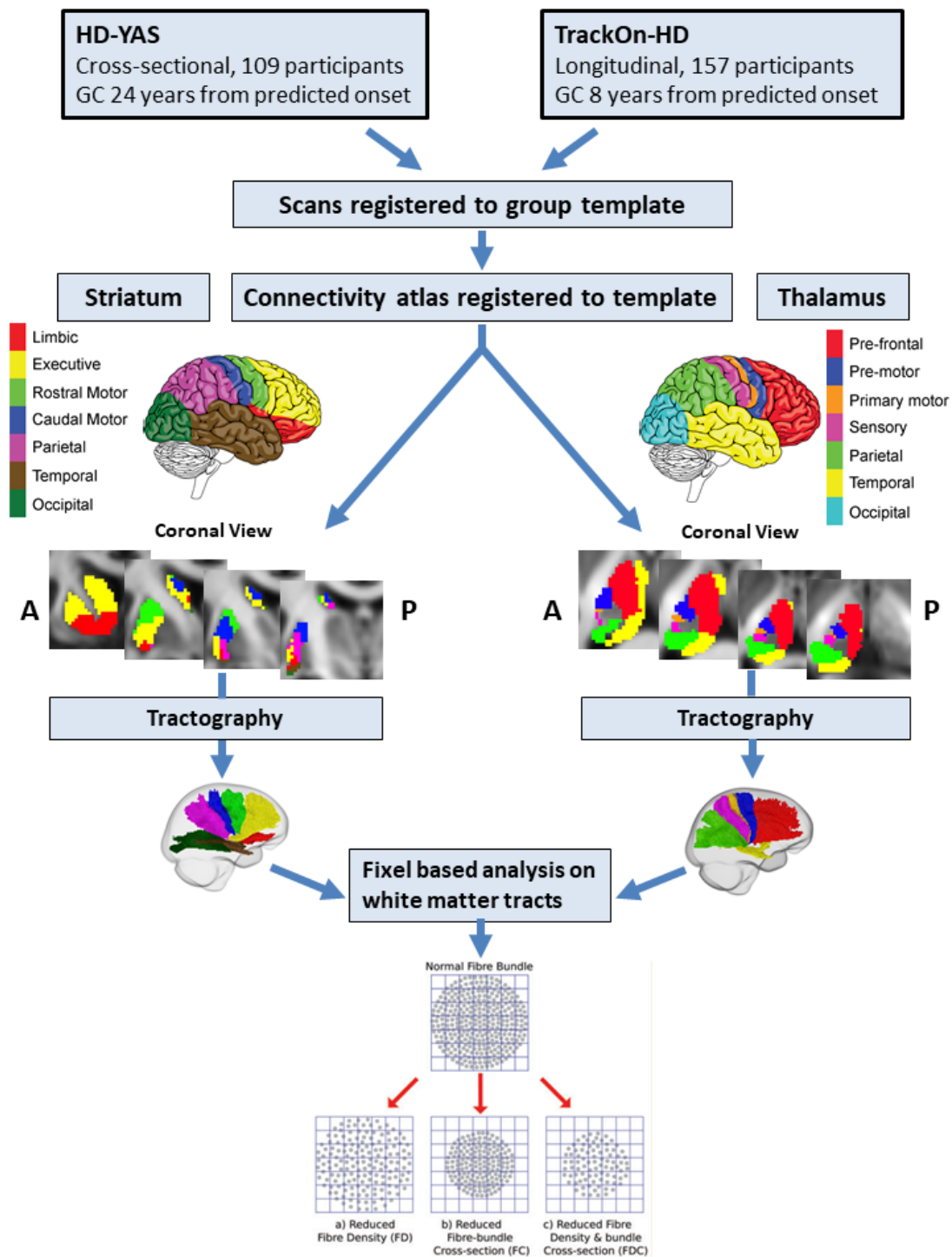


Figure 4.6 Overview of study methodology and key cross-sectional results.

For each study, scans were registered to a common template. Connectivity-based atlases of the striatum and thalamus were registered to the group template. Diffusion tractography was performed on the group template to reconstruct each of the cortico-thalamic and cortico-striatal tracts in right and left hemispheres. Measures of FDC were then computed for each tract. In gene carriers 25 years from

predicted onset, there were no differences in any cortico-striatal or cortico-thalamic tract. In gene carriers 11 years from predicted onset, reductions in FDC were seen in limbic and caudal motor cortico-striatal tracts and premotor, primary motor and sensory cortico-thalamic tracts cross-sectionally. There were no significant longitudinal changes in gene carriers 11 years from predicted onset. GC = Gene Carriers, FDC = Fibre density and cross-section. No evidence of neurodevelopmental differences in the integrity of white matter connections

Recent evidence has suggested that the HD mutation may lead to abnormalities in striatal and cortical development (Molina-Calavita et al. 2014; van der Plas et al. 2019; Barnat et al. 2020). One of the functions attributed to HTT is the promotion of striatal cell survival by ensuring the anterograde delivery of the pro-survival factor BDNF to cortico-striatal synapses, since the striatum is unable to produce BDNF independently (Saudou and Humbert 2016). Evidence for abnormal cortical development as a result of mHTT expression has stemmed from animal work, with Molina-Calavita et al. reporting defects in cortical progenitor cell division and development of the mouse neocortex (Molina-Calavita et al. 2014). More recently, cortical cellular abnormalities in neural progenitor cell differentiation, mitosis and cell cycle progression were reported using tissue from human foetuses that carry the HD mutation at 13 weeks gestation (Barnat et al. 2020). Evidence of abnormal striatal development also has come from the Kids-HD study which recruited at risk participants < 18 years of age and subsequently performed research genotyping to study differences in preHD and gene negative participants. In the initial analysis, gene carriers were reported to show striatal hypertrophy up to 14 years of age, followed by more rapid atrophy in the older preHD participants (van der Plas et al. 2019). However, the study included children with CAG repeats up to 59 which is in the juvenile HD range, limiting the interpretability of this result. In this context, the current findings suggest that there is no detectable developmental abnormality in the microstructure of cortico-striatal and cortico-thalamic white matter connections in preHD, consistent with results in the previous chapter (Scahill et al. 2020). This may suggest that any of the aforementioned neurodevelopmental effects of mHTT do not affect the microstructure of these white matter pathways, or that diffusion imaging may lack the resolution to detect more subtle effects. Importantly, this result also suggests that the initiation of disease modifying therapies early in the premanifest period could potentially preserve these important connections. Furthermore, with viral-vector based therapeutics such

as RNAi capable of axonal propagation (Weiss et al. 2020), this period may represent the best time to achieve widespread distribution in the brain for such therapeutics.

4.5.1 Selective vulnerability of specific cortico-striatal connections

The results in the TrackOn-HD cohort who are closer to predicted onset suggest certain basal ganglia subregions are more susceptible to early degeneration. Previous literature examining the integrity of cortico-striatal connections in the premanifest period has been conflicting, with some studies failing to detect differences (Novak et al. 2015; Gorges et al. 2017; Gregory et al. 2018), whilst others have reported significant differences in these tracts (McColgan et al. 2015; McColgan et al. 2017; Shaffer et al. 2017). The likely reason for these discrepancies is differing analysis techniques and the comparatively low sample sizes in those reporting negative results.

The largest study to date in this area came from the PREDICT-HD cohort. Shaffer et al. studied 191 preHD and 70 healthy controls and stratified the preHD group into 3 subgroups based on proximity to predicted onset, with the far group >13 years from predicted onset (Shaffer et al. 2017) and the middle group most comparable to the TrackOn-HD cohort. Tensor metrics FA, MD, AD and RD were investigated for twelve tracts predominantly focused on sensorimotor cortical connections to both the caudate and putamen. No differences were found in the group furthest from onset, and only the group closest to onset displayed substantial changes across the different tensor measures. Despite the large sample size, several limitations may have affected the power to detect significant change in the groups further from onset. The single control group was low in number and poorly matched for the preHD groups, being on average over 10 years older than the far preHD group. As a large multi-site study, there was also significant variability in DWI scanners and acquisitions across the 33 sites, including scanner upgrades occurring over the course of the study and a mixture of 1.5 and 3T MRI (Paulsen et al. 2014). Finally, the analysis focused largely on sensorimotor connections and did not specifically investigate limbic connections.

Poudel et al. also investigated cortico-striatal connectivity using deterministic tractography to generate a connectivity matrix of 40 regions that included the striatum and thalamus (Poudel et al. 2014). They found reduced tractography streamlines in

a tract connecting the putamen to the prefrontal and motor cortex using NBS. Using tensor based measures to further investigate, the only significant difference was an increase in putamen-prefrontal RD. However, like the Shaffer et al. study, this study did not look specifically at subregions of the striatum or thalamus and also suffers from several methodological issues such as the false positives generated by tractography, limitations of DTI measures and deterministic tractography.

Another limitation of previous research in investigating the selective vulnerability of specific cortico-striatal connections is the analysis of caudate and putamen as separate entities, when accumulating evidence demonstrates that both structures display overlapping topographical organisation of their cortical inputs (Tziortzi et al. 2014; Haber 2016; Parkes et al. 2017). For example the ventral caudate shares a similar connectivity profile to the ventral putamen, but a very different profile to the dorsal-caudal caudate. Given the reported observed gradient of neuropathological changes observed in the striatum (Vonsattel et al. 1985), it is possible that connectivity breakdown may follow a similar topographical distribution which would affect the caudate and putamen in a similar fashion. Therefore, the use of a connectivity-based parcellation to reconstruct the full spectrum of cortico-striatal connections is more directly interpretable when looking for such gradients of change in white matter organisation.

This study expands on previous literature by providing a comprehensive analysis of individual cortico-striatal connection networks in two different premanifest cohorts with a mode of analysis that resolves many of the limitations of previously used tensor-based analyses. The results of the cortico-striatal analysis indicates that caudal motor tracts connecting the dorsal-caudal striatum to the primary motor cortex are particularly vulnerable in early preHD, consistent with previous results (Poudel et al. 2014; Shaffer et al. 2017). The connections to the rostral motor region, including the supplementary motor cortex and frontal eye field regions, have not been previously investigated in preHD. The results here show that the striatal connections to these regions are relatively preserved, compared to the primary motor cortical connections.

Previously, neuropathological studies have described HD neurodegeneration progressing along a dorsal-caudal rostral-ventral gradient, though most cases already

had manifest disease (Roos et al. 1985; Vonsattel et al. 1985). Clinically there is considerable psychiatric comorbidity in HD with increases in levels of depression, anxiety, irritability and apathy reported in the premanifest period (Tabrizi et al. 2013; Epping et al. 2016; Martinez-Horta et al. 2016). Functional neuroimaging studies have shown disrupted striato-prefrontal connectivity in premanifest cohorts (Enzi et al. 2012; McColgan et al. 2017), however little was previously known about the structural integrity of these connections in preHD. The current results suggest that limbic cortico-striatal connections undergo early structural degeneration in preHD, adding further weight to the selective vulnerability of these tracts early in the premanifest period.

4.5.2 Selective vulnerability of cortico-thalamic connections

Whilst the striatum has long been a key target for disease-modifying therapies, the thalamus is a major connection hub in the human brain and hence may represent a target for RNAi injections to achieve widespread cortical and striatal coverage (Evers et al. 2018). The thalamus and its white matter connections have been comparatively understudied in preHD to date. Whilst neuronal loss and astrogliosis of the thalamus has been reported in neuropathological studies (Heinsen et al. 1996; Heinsen et al. 1999; Rub et al. 2016), volumetric imaging studies have found the thalamus to be relatively preserved in the premanifest period (Majid et al. 2011; van den Bogaard et al. 2011). However, this may partly be explained by the difficulties in segmenting the thalamus at lower field strengths with less clear anatomical boundaries than the striatum.

Few studies have investigated the structural integrity of cortico-thalamic connections in preHD. In a whole brain connectomic analysis, McColgan et al. found evidence of a reduction in connectivity in manifest but not premanifest groups (McColgan et al. 2015). Similarly, Dumas et al. found higher apparent diffusion coefficient in white matter connections traversing the thalamus in manifest but not premanifest HD (Dumas et al. 2012). In a multi-modal study using DTI to investigate the sensorimotor network in preHD far from onset, there were no differences in the FA of a connection between the thalamus and the somatosensory cortex in 12 preHD and 22 control participants (Gorges et al. 2017).

Like the striatum, the thalamus is a large subcortical structure and has an extensive range of cortical connections that are highly organised with specific subregions being connected to specific cortical regions (Behrens et al. 2003; Johansen-Berg et al. 2005). No study has previously investigated differences across the range of different cortico-thalamic subnetworks in HD or preHD. By studying specific subregional connections using advanced diffusion techniques in a relatively large cohort, the current results suggest that thalamic connections are affected in the premanifest period, with the premotor, primary motor and sensory connections appearing particularly vulnerable to early degeneration. Consequently, the thalamus may also prove to be an important therapeutic target to prevent such early neurodegeneration and maximise cortical coverage for viral-vector based therapeutics.

4.5.3 No longitudinal changes detectable over a two year period

Using data from the TrackOn-HD cohort who had three scans over a two year time period, there was no evidence of longitudinal changes across cortico-striatal and cortico-thalamic tracts at this stage of disease. Previous longitudinal studies using DTI have fared differently in detecting longitudinal changes. Using a whole brain analysis, Poudel et al. found evidence of longitudinal change over an 18 month period in manifest but not preHD groups (Poudel et al. 2015). Gregory et al. also found subtle longitudinal white matter changes in early HD patients (Gregory et al. 2015). However, longitudinal changes in cortico-striatal tracts were detected in the premanifest PREDICT-HD cohort over a one to five year follow up period, predominantly in the group closest to onset (Shaffer et al. 2017). In a study comparing longitudinal effect sizes across different MRI measures in HD over a 15 month time period, DTI metrics showed notably smaller effect sizes compared to volumetric imaging (Hobbs et al. 2015). Collectively, these results suggest that changes in white matter microstructure occur slowly over time in preHD and a large sample size with a longer follow up period of >2 years would likely be required to detect such changes.

4.5.4 Relationships between changes in FDC and clinical measures

To investigate the clinical significance of these findings, correlations were performed between tracts showing changes in FDC at baseline in the TrackOn-HD cohort and selected clinical measures.

For limbic cortico-striatal tracts, apathy scores were chosen given their known close relationship in a number of neurological diseases (Le Heron et al. 2018). In addition, apathy was the only neuropsychiatric measure to show significant change in the premanifest period in Track-HD (Tabrizi et al. 2013) and cannot be modified by pharmacological treatment to confound such comparisons. Previously, using DTI in a small group of early HD subjects, FA in the bilateral gyrus rectus negatively correlated with apathy score (Delmaire et al. 2013). This area contains fibres connecting the orbitofrontal cortex and subcortical structures including the ventral striatum. The observed correlation would be consistent with white matter tract breakdown resulting in reduced FA and higher apathy scores in HD. However, two other studies have not found any associations with apathy and white matter microstructure using whole brain approaches (Gregory et al. 2015; McColgan et al. 2017). The whole brain nature of the aforementioned studies and use of tract based spatial statistics, which skeletonises the major white matter tracts, may limit the ability to detect significant relationships between white matter microstructure and apathy. No study has previously specifically investigated the association between limbic cortico-striatal tract microstructure and apathy in HD. In the current study, there was an unexpected significant positive correlation between limbic cortical-striatal FDC and levels of apathy. However, apathy scores in this preHD cohort were low, with a mean score of 10/42. Furthermore, preHD scores were similar to the control group and did not correlate with disease burden. Hence this association should be interpreted with caution. Nevertheless, converging literature across other neurodegenerative and cerebrovascular diseases associated with apathy has clearly demonstrated that degeneration or injury to this white matter pathway or connecting cortical/sub-cortical structures is associated with the emergence of apathy (Le Heron et al. 2018; Prange et al. 2019). It is therefore likely that the observed reductions in limbic cortico-striatal

tract FDC does contribute to the emergence of apathy in HD later in the disease course.

Motor symptoms have been previously associated with connectivity in the sensorimotor striatum and associated white matter tracts in HD participants (Bohanna et al. 2011; Dumas et al. 2012; Novak et al. 2015). In a preHD cohort using a network-based analysis, speeded tapping was not found to correlate white matter tract microstructure in premanifest groups (Poudel et al. 2014). A separate study investigating cortico-thalamic connections using DTI reported correlations between thalamic motor connections and grip force (Orth et al. 2016). In this study, reductions in striatal caudal motor, thalamic premotor and primary motor FDC were associated with increasing motor signs on the TMS. Although only the right primary motor-thalamic association reached statistical significance, other associations were all close to statistical significance. Furthermore, motor scores were generally low and not associated with DBS, likely reflecting the variability of this measure at this stage. Collectively, the results indicate that degeneration of these motor connections is likely to be significant in the emergence of the diagnostic motor signs of the disease.

4.5.5 Higher b-values increase signal-to-noise in FBA

The majority of previous DWI studies in HD have utilised single-shell DTI acquisitions with low b-values that were prevailing around the period up until around 2012. However since then, DWI acquisitions have increasingly moved towards multi-shell acquisitions with higher b-values that improve diffusion modelling (Zhang et al. 2012). Indeed, in TrackOn-HD, the multi-shell acquisition was only added to the final timepoint in 2014, reflecting the emergence of improved DWI acquisitions at that time. There have been very few cohort studies in HD conducted since this time to investigate the relative sensitivity of more modern DWI acquisitions to disease effects.

To investigate the influence of different acquisition parameters in this current study, the analysis was repeated in the subset of the TrackOn-HD cohort who had additional multi-shell diffusion MRI at the final time point with b-values of up to 2,000 s/mm². These results replicated findings from the single-shell analyses and in addition revealed more widespread changes in FDC, which appeared driven by greater reductions in the FD metric. This suggests improved sensitivity to white matter

differences in FBA using a multi-shell acquisition with higher b-values and also further strengthens the null result in the HD-YAS cohort which had a comparable acquisition and a larger sample size.

The apparent improved sensitivity to HD-related changes with the multi-shell acquisition can likely be explained by the origins of the FD measurement and its partial dependence on the b-values of the acquisition. In FBA, the measure of FD is derived from the amplitude of the FOD. This is based on the observation that intra-axonal water is restricted in the radial direction and that at high b-values the extra-axonal water signal is strongly attenuated (Raffelt et al. 2012). Therefore the total radial DW signal is approximately proportional to the density of fibres. Since the FOD amplitude is also approximately proportional to the radial DW signal for the corresponding fibre orientation, it provides a relative measure of the intra-axonal volume occupied by fibres aligned in that direction. However, simulations have demonstrated that whilst extra-axonal water is strongly attenuated at b-values $\geq 3,000$ s/mm², with decreasing b-values there is an increasing extra-axonal component to the FD measure (Raffelt et al. 2012). Therefore, it can be anticipated that the sensitivity of the FD measure will decrease with falling b-values due to the increasing influence of extra-axonal water, even though it is still expected to provide a useful measure at b-values as low as 1,000 mm/s, as used in the TrackON-HD single shell analysis (Raffelt et al. 2017). The improved sensitivity of FD at higher b-values observed here is also consistent with recent findings in a study of adolescent brain development, which demonstrated improved sensitivity of the FD measure to detect age-related changes with increasing b-values (Genc et al. 2020). Collectively this suggests that in future studies in HD, the use of improved diffusion acquisitions and analysis techniques as used here may aid the early detection of white matter pathology. Furthermore, the advent of multi-slice accelerated imaging has shortened acquisition times for multi-shell acquisitions, removing a previous barrier to populations that may not withstand longer acquisition times (Barth et al. 2016).

4.5.6 Other methodological considerations

This is the first application of FBA in HD. The majority of previous diffusion MRI studies in HD have utilised the diffusion tensor model for tractogram generation and interpretation of white matter microstructure. The diffusion tensor model has major

limitations in its interpretability since most white matter voxels contain contributions from multiple fibre populations. Hence, in these regions voxel-averaged DTI measures cannot provide reliable tractograms, are not fibre-specific and have poor interpretability as measures of structural connectivity (Jones, Knosche *et al.* 2013; Raffelt, Tournier *et al.* 2017; Mito, Raffelt *et al.* 2018). Furthermore, many previous diffusion MRI studies in HD have used a tract-based spatial statistics approach which skeletonises the white matter and so only analyses white matter microstructure in the very centre of each major white matter bundle. It is also unable to study specific white matter connections of interest, as done here. FBA accounts for crossing fibre populations to provide more reliable tractograms and account for the differing ways in which changes to intra-axonal volume may manifest by quantifying both a measure of fibre density and a measure of fibre bundle cross-section. As such, it enables a more comprehensive evaluation of white matter changes and has been successfully applied in other disease states for more directly interpretable measures of white matter connectivity (Vaughan, Raffelt *et al.* 2017; Mito, Raffelt *et al.* 2018; Pecheva, Tournier *et al.* 2019; Zarkali, McColgan *et al.* 2020).

In the previous chapter using a connectomics approach, I did not find any evidence of detectable abnormalities in cortico-striatal, cortico-cortical or cortico-thalamic connections (Scahill *et al.* 2020) in the HD-YAS cohort. However, this analysis did not fully investigate all subnetworks of the striatum and thalamus to minimise the extent of multiple comparisons. Further, whilst useful in studying global network properties, the connectomic approach cannot fully negate the influence of false positive connections within the network that occur with whole brain diffusion tractography (General Methods 2.9.6.1). Here, by focusing on the dominant cortical tract in each subregion, informed by previous non-human primate tracer studies (McFarland and Haber 2002; Haber 2003) and focused diffusion tractography studies in humans (Behrens *et al.* 2003; Johansen-Berg *et al.* 2005; Tziortzi *et al.* 2011), the potential issue of false positive connections is negated, and a more detailed view of striatal and thalamic subnetworks is provided.

4.5.7 Limitations

Having discussed the benefits of FBA, it is important to recognise that reductions in the FD measure do not necessarily fully correspond to reductions in axonal density.

Notably demyelination has been shown to result in increased radial diffusivity and could therefore also lead to a reduction in the FD measure (Winklewski et al. 2018). This is true of any diffusion model and represents a limitation of diffusion imaging, in that it cannot provide measures that have a singular and precise biological correlate. For example, a validation of NODDI in post-mortem spinal cords with multiple sclerosis demonstrated that both axonal density and demyelination influence the NODDI neurite density measure (Grussu et al. 2017). For this reason, multi-modal imaging approaches are best placed to relate imaging changes to neurobiological changes such as demyelination or axonal loss. Despite the lack of biological specificity, reductions in FD whether reflecting axonal loss and/or demyelination, still represent a meaningful pathological change indicative of neurodegeneration that will decrease the ability of a fibre bundle's ability to transfer information.

Direct comparisons between these two cohorts are limited by differences in MRI acquisition, participant numbers and study design between the two cohorts that required different statistical methodology. The findings of no significant difference between controls and early preHD does not exclude the possibility of subtle early changes in the latter. HD-YAS included fewer participants than TrackOn-HD cohort owing partly to the limited number of at-risk individuals undergoing genetic testing at an early age (also see 3.5.8) and therefore has comparatively reduced statistical power. Indeed, HD-YAS was powered to detect striatal atrophy based on the findings of Track-HD and it's power to detect differences in FDC here is unknown. The finding of widespread FDC changes in the TrackOn-HD subgroup with a similar acquisition to HD-YAS does however demonstrate the improved signal-to-noise with a multi-shell acquisition as used in HD-YAS compared that maximises the possibility of detecting early changes using such techniques. Longitudinal follow up of this cohort will also be important to further interrogate these important connections in early preHD, although the longitudinal results here suggest an interval of several years might be required to detect any significant changes. It is also possible that these connections, whilst appearing structurally intact, could exhibit functional differences as could be measured by functional MRI or neurophysiological studies, and this warrants further investigation. Finally, whilst it is suggested that both striatal and thalamic connections show change in this study, there is inevitably some overlap between thalamic and striatal white matter tracts in this analysis which cannot be overcome using diffusion

MRI. However on inspection of all tracts in the data, such overlap is minimal and confined to the portion close to the target cortical region. Nevertheless at these cortical convergence points, it is not possible to separate the striatal from thalamic connections and so the possibility that the observed thalamic changes may be partly influenced by striatal changes or vice versa cannot be excluded.

4.5.8 Conclusion

These findings suggest that cortico-striatal and cortico-thalamic white matter connections remain intact in HD gene-carriers approximately 25 years prior to predicted onset and that these connections have begun to degenerate by 11 years from predicted onset. Connections to limbic and motor cortices appear particularly vulnerable in the early disease course. This indicates that initiation of disease modifying therapies in the early premanifest period could protect such structural connections from undergoing neurodegeneration and highlights selectively vulnerable subregions of the striatum and thalamus that may be important targets for future therapies.

5 Relationships between structural and functional connectivity in gene carriers far from onset

Reflecting on the results of the previous two chapters, it appears that cortico-basal ganglia connections, rich club cortical connections and whole brain network structure are preserved at this early stage of preHD. However, it is also apparent that this preHD cohort have detectable rises in the axonal marker neurofilament light, particularly in those closer to onset suggestive of early axonal injury or loss. With this in mind, I next sought to evaluate whether these gene carriers displayed any differences in whole brain functional and structural connectivity at this early stage, and to evaluate the relationship between connectivity and NfL in this cohort.

5.1 Introduction

The topographical structure of the cerebral cortex and its connections provides an organising principle that, coupled with regional gene expression, shapes functional connectivity (van den Heuvel and Sporns 2013; Suárez et al. 2020). Advances in the field of human connectomics have revealed multiple largescale networks that each demonstrate distinct functional profiles (Suárez et al. 2020). Some of these functional profiles help serve core functions such as movement or sensation, whilst some networks are less well-understood such as the default mode network. Whilst studying structural and functional connectivity has provided insights into the basis of neurological function, understanding when and how early neurodegeneration affects structural and functional connectivity of the brain may also help piece together the sequence of events that lead to the emergence of early clinical symptoms for diseases like HD and further inform decisions on when to initiate treatments.

So far in this thesis, I have closely examined the integrity of white matter connections in the premanifest period of HD and its associations with the clinical features of the disease. In studying data from the HD-YAS cohort, I have found no evidence of alterations in the structural integrity of cortico-basal ganglia connections, rich club connectivity or integration and segregation of the network, in gene carriers approximately 24 years from predicted onset. However, I have not yet examined whole brain structural connectivity in this cohort. A potential limitation of this approach is the vast number of multiple comparisons that can be required. For example, assessing connections strength for each pair of connections across an 80 region brain parcellation would require 6,400 separate tests. However cluster-based thresholding approaches such as NBS (General Methods 2.9.6.2) can be used to gain statistical power when performing mass univariate testing to isolate network connections that are altered by disease in the connectivity matrix. This approach has been previously used to provide important insights in structural connectivity breakdown in preHD (Poudel et al. 2014; McColgan et al. 2015; McColgan et al. 2017). Furthermore, I have not yet examined functional connectivity in this early premanifest cohort, where resting state fMRI data is available for most subjects.

Resting state fMRI has been increasingly used to examine functional networks in preHD to understand how functional connectivity changes in response to increasing HD pathology. Some studies have used seed-based approaches to investigate specific networks of interest in HD, with some inconsistent results across studies. For example, reduced connectivity between the posterior cingulate, a region of the default mode network, and ventromedial and dorsomedial prefrontal cortices has been reported, with the former correlating with Stroop test performance (Quarantelli et al. 2013). However another study found network-wide increased connectivity when using the posterior cingulate cortex and supplementary motor area as seed regions (Sánchez-Castañeda et al. 2017). The findings from such seed-based approaches are difficult to directly compare however, since connectivity findings likely vary by seed location.

Given some of the inconsistent findings in terms of resting state changes using seed-based approaches, a data driven approach that interrogates all networks may provide a more standardised way of trying to understand functional changes in HD. However, similar to the network focused seed-based studies, there is mixed evidence in HD cohorts, who demonstrate both increases and decreases in functional connectivity across a series of networks, and sometimes with conflicting clinical correlations (Gregory and Scahill 2018). Examples of reduced connectivity have been reported in visual networks correlating with SDMT performance and DBS (Wolf et al. 2014), in the default mode network correlating with worsening cognitive function (Dumas et al. 2013) and in the sensorimotor network correlating with increasing motor signs (Poudel et al. 2014). However, the same studies have also detected increases in functional connectivity including in frontoparietal (Poudel et al. 2014) and left anterior prefrontal cortex (Wolf et al. 2014). Increased connectivity in motor and parietal cortices has also been correlated with motor impairment, whilst increased striatal, frontal, thalamic and insular connectivity correlated with worsening function on the TFC scale (Werner et al. 2014).

Several studies have previously reported altered functional connectivity in the premanifest period (Dumas et al. 2013; Harrington et al. 2015; Poudel et al. 2015; Espinoza et al. 2018). Again however, findings have varied across studies likely due to the varied cohorts and methods used. Reduced connectivity in motor and

subcortical networks has been reported in preHD (Poudel et al. 2015; Espinoza et al. 2018) which associated with increasing CAG length and worsening motor performance. However, Espinoza et al. also found increased fronto-occipital connectivity with increasing CAG (Espinoza et al. 2018). Using a connectomic approach in the PREDICT-HD cohort, Harrington et al. found patterns of decreased frontostriatal connectivity and strengthened frontal-posterior connectivity as disease burden increased (Harrington et al. 2015). However the increases in functional connectivity were only observed in the group closest to predicted onset whilst decreased connectivity was found in the mid and near groups, but not the group furthest from predicted onset. Longitudinal studies assessing functional connectivity can also help to unravel how functional connectivity changes with disease progression, although two such studies to date in preHD have both failed to find longitudinal changes over a 2-3 year time period in preHD (Odish et al. 2015; Gargouri et al. 2016). It therefore remains unclear how early changes in functional connectivity can be detected in preHD, and whether increases in functional connectivity precede decreases or vice versa.

The basis of disrupted functional connectivity in preHD is not fully understood. Reductions in functional connectivity may occur as a result of neuroaxonal loss between regions. Increases in functional connectivity may be attributable to neuroaxonal loss within that network and subsequent upregulation of the remaining connections as they work harder to support normal functions. Conversely, the upregulation may be taking place in regions unaffected by early pathology that are compensating for early neurodegenerative effects in another network (Kloppel et al. 2015; Gregory et al. 2017). Hence, studying the relationship between structural and functional connectivity may provide further insights by characterising how disrupted functional connectivity relates to underlying structural connectivity. Few studies have previously characterised the relationship between structural and functional connectivity in HD. In one previous study of the TrackOn-HD cohort, brain areas with strong structural connectivity were found to show decreases in functional connectivity and vice versa (McColgan et al. 2017). In addition, increased functional upregulation was observed in anterior regions, whilst decreased functional connections were observed in posterior regions. These findings are in keeping with the vulnerability of rich club regions (McColgan et al. 2015) and posterior white matter atrophy in early

preHD (Tabrizi et al. 2009; McColgan et al. 2017) and suggests that the earliest connectivity changes may be increased functional connectivity in regions that are relatively spared by early disease effects.

Previous studies in preHD have sought to contextualise changes in functional connectivity to disease burden by studying associations with CAG repeat length (Espinoza et al. 2018), or models estimating proximity to onset (Harrington et al. 2015). However, these are indirect proxy measures of true disease burden and hence may be limited in this sense. As previously discussed in this thesis, NfL has emerged as a sensitive marker of HD progression and is directly related to axonal integrity. It is therefore likely to be a more relevant and sensitive marker of disease progression when investigating structural and functional connectivity in HD. In the primary analysis of HD-YAS, despite reporting largely intact brain structure and function, NfL was increased in the blood and CSF of gene carriers (Scahill et al. 2020), with CSF concentrations showing greater sensitivity and specificity over plasma NfL. CSF NfL concentrations were strongly associated with age-CAG and approximately half the preHD cohort had CSF NfL concentrations above the 95th percentile of controls. It is therefore of interest to understand if and how structural and functional connectivity is affected as NfL begins to rise in preHD.

I therefore hypothesised that in early preHD, increases in functional connectivity may be detectable in the context of normal or reduced structural connectivity and that this is related to increasing concentrations of NfL. To test this hypothesis, corresponding functional and structural networks were constructed to examine both functional and structural connectivity. Associations between structural and functional connectivity and NfL were then examined.

5.2 Contributions and collaborators

The study design and execution was performed in collaboration with Sarah Gregory and Peter McColgan. Data came from the HD-YAS cohort and recruitment and data collection for this cohort was described in Chapter 3. I performed the processing and analysis for the structural connectivity component of the study. Sarah Gregory performed data collection and fMRI processing. Peter McColgan analysed the fMRI

connectivity data and performed the correlations with NfL. The project was supervised by Geraint Rees and Sarah Tabrizi.

5.3 Methods

5.3.1 Cohorts

Participants included for this study were from the HD-YAS (See sections 2.1.1 and 3.3.1 for further details). Participants who had both DWI and resting-state data were included in the analysis, with left-handed participants excluded. Five participants were also excluded after scan quality checks.

Table 5.1 Participant demographics

	PreHD N=49	Control N=53	p
Age	29.4 ± 5.7	29.4 ± 5.5	0.96
Male (%)	46.9	43.4	0.72
Education ISCED	4.7 ± 1.7	5.0 ± 1.8	0.55
CAG repeat length	42.2 ± 1.7		
Years to Onset	25.1 ± 6.2		

Data presented are means ± standard deviation unless otherwise specified. Group comparisons were made using t tests (age, education, NART) or chi-squared test (sex).

5.3.2 Imaging acquisitions

Acquisition details for DWI have been provided previously (See sections 3.3.2.4.1 and 4.3.2). Resting state fMRI data were collected using a standard 2D echo planar imaging (EPI) sequence: TR=70ms; TE=30ms; 48 slices were acquired with 2.5mm slice thickness with in-plane field of view of 192×192 mm² with 3×3 mm² resolution. Field maps were collected to correct for inhomogeneity in the B0 field of the EPI fMRI images: TR=1020ms; TE1=10ms; TE2=12.46ms, 64 slices were acquired with 2mm slice thickness with in-plane field of view of 192×192 mm² with 3×3 mm² resolution. Pulsatile information was collected using the Nonin 8600FO pulse-oximeter and a Siemens breathing belt for respiratory data. Both were recorded along with scanner

pulses using Cambridge Electronics Device CED Micro 1401 Mk II connected to a laptop running Spike v2.

5.3.3 Atlases for brain segmentation

In contrast to previous chapters, the cortical and subcortical atlases selected for this study were derived from fMRI datasets and therefore represent regions parcellated on this basis of their functional connections. The Shaeffer cortical atlas (Schaefer et al. 2018) is derived from task and resting state fMRI data from 1489 healthy participants and is available in both 100 region and 500 region parcellations. The atlas was derived using a combination of a local gradient approach, which detects abrupt transitions in functional connectivity patterns, along with the global similarity approach, which clusters similar functional connectivity patterns regardless of spatial proximity resulting in parcels with similar resting state fMRI signals. In the validation of this atlas, the generated parcellations and boundaries were found to be in agreement with known histological data (Schaefer et al. 2018).

For the striatum, the Choi atlas (Choi et al. 2012) (Figure 5.1) was used. The approach taken to generate these subdivisions was similar to previously utilised structural connectivity-based atlases, whereby each voxel in the striatum was assigned to the most strongly correlated cortical region on the basis of its functional connectivity. The parcellation was validated using two independent groups of 500 subjects. Its parcellations are consistent with anatomical studies in non-human primates with a dorsolateral to ventromedial organisation (Yeterian and Van Hoesen 1978; Selemon and Goldman-Rakic 1985) and similar to the structural connectivity-based atlas regions derived from diffusion tractography used in Chapters 3 and 4. Regions include a limbic subdivision localised in the ventral striatum, a central territory of the dorsal anterior caudate and putamen projecting to the association cortex and a motor-related subdivisions located in the posterior putamen (Figure 5.1).

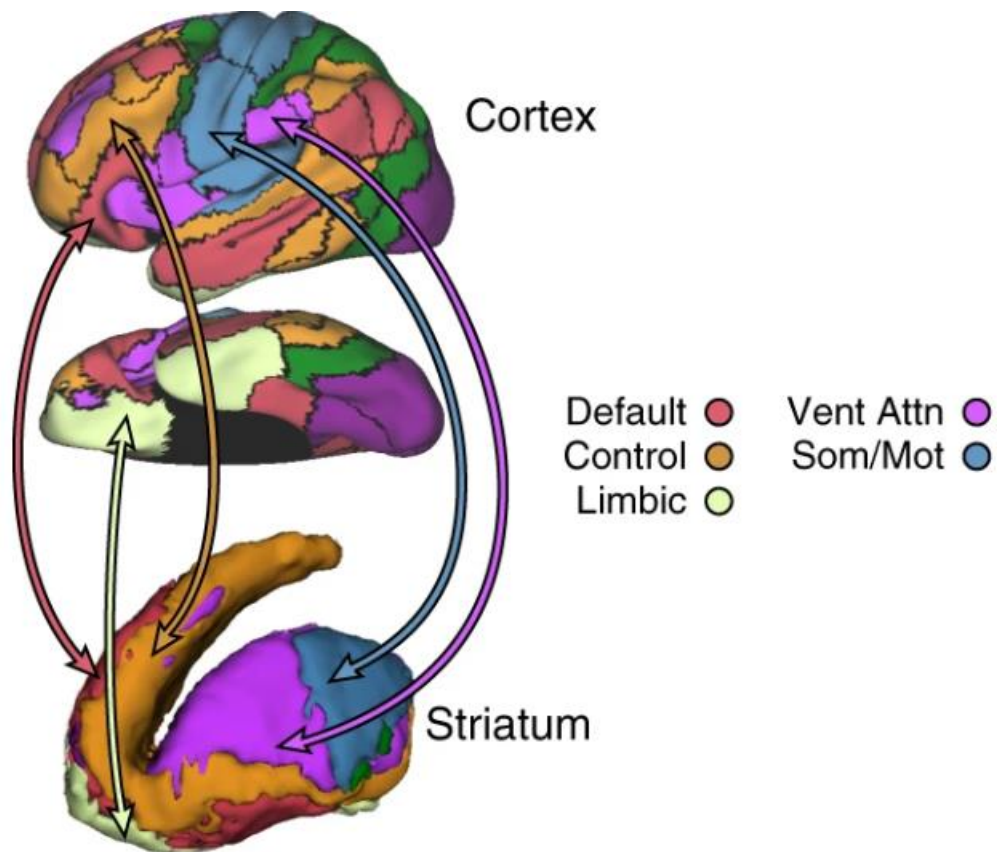


Figure 5.1 Functional connectivity-based striatal atlas subdivisions. Schematic illustrating the striatal subdivisions and corresponding cortical regions (above/middle), displayed on lateral and ventral surfaces of the left hemisphere (Anderson et al. 2018). Regions are default, frontoparietal control, limbic, ventral attention, somato/motor, dorsal attention, and visual networks. The latter two networks are not illustrated here and have sparse representation in the striatal atlas. Figure adapted under creative commons license

The Shaeffer cortical and Choi subcortical atlases were registered to a standard space (Montreal Neurological Institute (MNI) space), before being combined into one atlas. To investigate the influence of parcellation granularity on the results, one atlas was created using the 100 parcellation cortical atlas and one with the 500 parcellation atlas.

5.4 Diffusion MRI processing

Pre-processed images from the HD-YAS structural connectivity analysis were used. This included eddy-current (FSL 5.0.11) and motion correction (Andersson and

Sotiropoulos 2016) and bias field correction (Smith et al. 2004). Following these steps, fibre orientation distributions (FODs) were computed using multi-shell, multi-tissue constrained spherical deconvolution with group averaged response functions for white matter, grey matter and CSF (Jeurissen et al. 2014). Multi-tissue informed log-domain global intensity normalisation was then performed. Whole-brain probabilistic tractography was done in subject-space using the 2nd order integration over fibre orientation distributions (iFOD2) algorithm (Tournier et al. 2010) with length = 10-250 mm and FOD threshold of 0.06. Ten million streamlines were generated for each scan using dynamic seeding in the white matter (Smith et al. 2015).

The functional atlas was then registered to each participants FOD image using a linear registration (Modat et al. 2010). Connectomes were constructed by combining streamline tractograms with the participant's grey matter parcellation. Streamlines were assigned to the closest node within a 2-mm radius of each streamline endpoint. Structural connections were weighted by streamline count and a cross-sectional area multiplier, as implemented in SIFT2 (Smith et al. 2015). Connections were then combined into 114 x 114 and 514 x 514 undirected and weighted matrices.

5.5 fMRI acquisition and processing

Functional MRI data pre-processing was performed using SPM12 and the using the CONN toolbox version 14 (Whitfield-Gabrieli and Nieto-Castanon 2012) running under MATLAB (ver R.2012b.) Segmented images were used to create an improved anatomical scan for coregistration. The first five EPI images were discarded to allow for steady state equilibrium.

Functional images were first slice-timing corrected, realigned incorporating field maps used for inhomogeneity correction and then coregistered to the functional atlas (Modat et al. 2010). Using the CONN toolbox, EPI images and ROIs were denoised using the anatomical CompCorr method, along with 6 movement parameters, using a band pass filter (0.008-0.9) and linear detrending, calculation of bivariate correlations and application of a Fisher transform.

Subsequent statistical analyses were performed performed using the CONN toolbox (Whitfield-Gabrieli and Nieto-Castanon 2012). Smoothed, normalised EPI images

were included with corresponding structural images (combined, segmented grey and white matter). Connections were then combined into 114 x 144 and 514 x 514 undirected and weighted matrices, matching the corresponding structural connectivity matrices. ROIs were defined as functionally connected if there was a correlation between the time series of ROI 1 and ROI 2.

5.6 Statistical analysis

To assess whether specific structural and functional connections showed group differences between preHD and controls, the NBS method was used (Zalesky et al. 2010) (see also section 2.9.6.2). This involves creation of a general linear model with age and gender as covariates. Permutation testing was then performed using unpaired t-tests and 5000 permutations. A test statistic was then computed for each connection and a threshold applied ($t=3.1$) to produce a set of suprathreshold connections, thereby identifying networks, which show significant differences in connectivity between groups. A FWE correction was also applied ($p < 0.05$). Functional and structural connectivity were investigated separately, in both the 114 and 514 region parcellations.

The functional connectivity analysis was then repeated by constraining the functional connectome by the structural connectome. This was motivated by the known high rate of false positive rates in fMRI connectivity analyses when using cluster-based inferences (Eklund et al. 2016). To do so, the functional matrix was simply multiplied by the structural matrix to remove any functional connections that do not have a supporting structural connection and the NBS analysis was repeated on the new constrained connectome.

Next, to investigate whether preHD participants with high CSF NfL showed differences in structural or functional connectivity compared to preHD participants with normal CSF NfL, a subgroup analysis was performed where the preHD group was split in two on the basis of the CSF NfL result in the study. The low group had NfL values within the 95th percentile of controls (<951 pg/mL), whereas the high group had NfL values above this. Differences between both groups were investigated for both structural and functional connectivity using both 114 and 514 parcellations.

Finally, correlations between CSF NfL and structural and functional connections within the preHD group were investigated by including NfL as the contrast in the NBS for both structural and functional connectivity matrices. The lowest cluster p-values are reported for each analysis.

5.7 Results

5.7.1 No significant differences in structural and functional connections

Using NBS, there were no significant differences in structural connectivity between preHD and control groups in either the 114 or 514 region network parcellation, with the lowest cluster p-value 0.35 and 0.14 respectively. Similarly, there were no significant differences in functional connectivity in either the 114 or 514 region network with lowest cluster p-values of 0.40 and 0.48 respectively. Given the lack of significant differences at either resolution, the remainder of the analysis was performed for the 114 region network only.

The functional analysis was repeated after constraining the functional connectome by the structural connectome, thereby removing functional connections without a corresponding structural connection. This repeat analysis similarly revealed no significant differences in functional connectivity between preHD and controls ($p=0.39$).

5.7.2 NfL subgroup analysis results

Next, the analysis was repeated to investigate whether preHD participants with high CSF NfL ($N=22$) demonstrated altered structural and functional connectivity compared to preHD participants with CSF NfL concentrations in the control range ($< 95^{\text{th}}$ percentile of controls; $N=24$). There were no significant differences in structural connectivity between the low and high NfL groups ($p=0.13$). Similarly there were no significant differences in functional connectivity between the low and high NfL group ($p=0.56$).

5.7.3 Functional, but not structural connectivity, correlates with CSF NfL

Correlations between connectivity and NfL were performed for both structural and functional connections separately. There were no significant correlations between NfL and structural connectivity within the network ($p=0.19$). Similarly, there were no significant correlations between NfL and reducing functional connectivity ($p=0.69$). However, there were significant correlations between NfL and increasing functional connectivity in the preHD group ($p=0.03$) (Figure 5.2; Table 5.2). Within this network, there was a preponderance of posterior connections and a similar number of intrahemispheric and interhemispheric connections. There was no obvious left or right dominance. Of the significant correlations, the dorsal attention network was most well represented whilst the ventral attention and control networks had the least number of connections within this subnetwork of connections correlating with NfL.

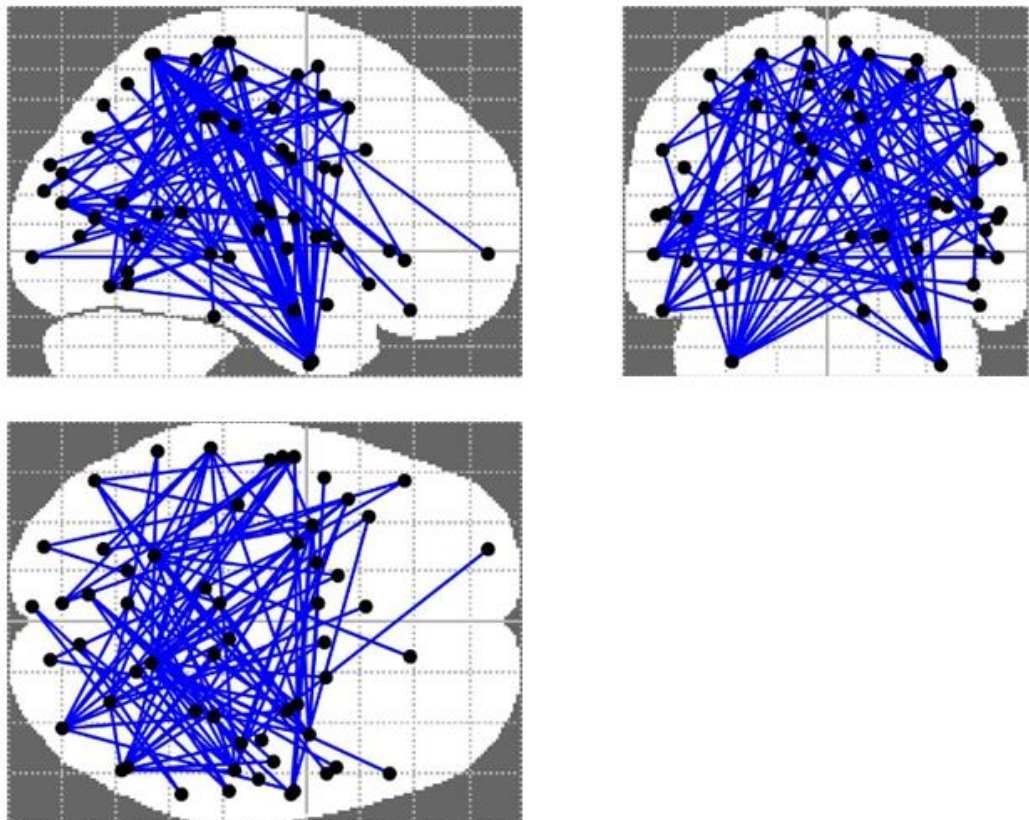


Figure 5.2 NBS correlation analysis of functional connections and CSF NfL. This network of 58 nodes and 112 connections showed significant increases in functional connectivity with increasing NfL ($p=0.03$). Significant connections also tabulated in Table 5.2.

Table 5.2 Correlations between increasing functional connectivity and CSF NfL in preHD

ROI 1	ROI 2	t
L Cont pCun 1	R Default Temp 3	3.2
L Cont pCun 1	R SomMot 2	5.02
L Cont pCun 1	R SomMot 5	4.72
L Cont pCun 1	R SomMot 6	4.94
L Default Par 1	R DorsAttn Post 5	3.33
L Default Par 1	R Vis 2	4.18
L Default PFC 1	R DorsAttn Post 5	3.73
L Default PFC 1	R SomMot 4	3.63
L Default PFC 4	R SomMot 6	3.38
L Default PFC 6	R DorsAttn Post 2	3.21
L Default PFC 6	R DorsAttn Post 5	3.51
L Default PFC 6	R SomMot 2	3.23
L Default PFC 6	R Vis 7	3.26
L Default Temp 1	R DorsAttn FEF 1	3.63
L Default Temp 1	R DorsAttn Post 5	5.01
L Default Temp 1	R Vis 2	3.39
L Default Temp 1	R Vis 7	3.48
L Default Temp 2	R DorsAttn FEF 1	3.26
L Default Temp 2	R DorsAttn Post 2	3.12
L Default Temp 2	R DorsAttn Post 5	4.27
L Default Temp 2	R Vis 2	3.61
L Default Temp 2	R Vis 3	3.2
L Default Temp 2	R Vis 7	3.64
L DorsAttn FEF 1	L Default PFC 2	3.93
L DorsAttn FEF 1	L Default Temp 1	3.27
L DorsAttn FEF 1	L Default Temp 2	3.39
L DorsAttn FEF 1	L Limbic TempPole 1	4.94
L DorsAttn FEF 1	R Limbic TempPole 1	5.06
L DorsAttn FEF 1	R Vis 8	3.61
L DorsAttn Post 3	L Default Temp 2	3.18
L DorsAttn Post 3	L Limbic TempPole 1	3.11
L DorsAttn Post 5	L Striatal Somatomotor	3.16
L DorsAttn Post 5	R SomMot 1	3.33
L DorsAttn Post 6	L Default PFC 2	4.44

L DorsAttn Post 6	L Default Temp 1	4.31
L DorsAttn Post 6	L Default Temp 2	4.33
L DorsAttn Post 6	L Limbic TempPole 1	3.18
L DorsAttn Post 6	R DorsAttn Post 2	3.24
L DorsAttn Post 6	R Limbic OFC 1	3.16
L DorsAttn Post 6	R Limbic TempPole 1	3.45
L DorsAttn Post 6	R Striatal Default	3.21
L DorsAttn PrCv 1	R Limbic TempPole 1	3.37
L Limbic TempPole 1	L Default PFC 6	3.31
L Limbic TempPole 1	R DorsAttn FEF 1	4.23
L Limbic TempPole 1	R DorsAttn Post 1	3.4
L Limbic TempPole 1	R DorsAttn Post 2	3.25
L Limbic TempPole 1	R DorsAttn Post 5	3.84
L Limbic TempPole 1	R SomMot 3	3.48
L Limbic TempPole 1	R SomMot 4	4.25
L Limbic TempPole 1	R SomMot 6	3.13
L Limbic TempPole 1	R SomMot 7	3.69
L Limbic TempPole 1	R SomMot 8	5.28
L VentAttn Med 1	R Vis 7	3.51
L VentAttn Med 2	R Limbic TempPole 1	3.96
L VentAttn Med 3	L Limbic TempPole 1	3.41
L VentAttn Med 3	R DorsAttn Post 1	3.54
L VentAttn Med 3	R Limbic TempPole 1	3.21
L SomMot 3	R Vis 7	3.27
L SomMot 4	L DorsAttn Post 6	3.36
L SomMot 4	L Limbic TempPole 1	3.16
L SomMot 4	R DorsAttn Post 5	3.48
L SomMot 5	R DorsAttn Post 1	3.48
L SomMot 6	L Default PFC 6	3.62
L SomMot 6	L Limbic TempPole 1	5.32
L SomMot 6	R DorsAttn Post 1	3.61
L SomMot 6	R Limbic TempPole 1	5.72
L Vis 3	R DorsAttn FEF 1	3.38
L Vis 3	R DorsAttn Post 2	4.09
L Vis 5	R Default Temp 3	3.12
L Vis 5	R VentAttn TempOccPar 1	4.43
L Vis 7	L Default Temp 1	3.43
L Vis 7	L Limbic TempPole 1	3.81
L Vis 7	R Default Temp 2	3.2
L Vis 7	R Limbic TempPole 1	3.28
L Vis 7	R SomMot 6	3.12
L Vis 8	L SomMot 5	3.17
L Vis 8	L SomMot 6	3.29

L Vis 9	L Default PFC 6	3.25
L Vis 9	L Default Temp 1	3.96
L Vis 9	L Default Temp 2	3.85
R DorsAttn Post 1	R DorsAttn Post 2	3.62
R DorsAttn Post 1	R VentAttn Med 2	3.6
R DorsAttn Post 2	R DorsAttn Post 5	4.79
R DorsAttn Post 5	L Striatal Frontoparietal	4.48
R DorsAttn Post 5	R Default PFCv 2	4.45
R DorsAttn Post 5	R Default Temp 3	3.12
R DorsAttn Post 5	R DorsAttn PrCv 1	3.63
R DorsAttn Post 5	R Limbic TempPole 1	3.27
R DorsAttn Post 5	R Striatal Default	3.17
R DorsAttn Post 5	R Striatal Frontoparietal	4.25
R VentAttn Med 1	R Limbic TempPole 1	5.11
R SomMot 4	R DorsAttn Post 5	3.43
R SomMot 5	R DorsAttn Post 1	3.36
R SomMot 6	R DorsAttn Post 1	5.55
R SomMot 7	R DorsAttn Post 1	3.51
R SomMot 7	R DorsAttn Post 5	3.28
R SomMot 7	R Limbic TempPole 1	4.36
R SomMot 8	R DorsAttn Post 1	4.73
R SomMot 8	R Limbic TempPole 1	4.42
R Vis 1	R SomMot 7	3.27
R Vis 2	R DorsAttn Post 1	3.36
R Vis 2	R VentAttn Med 2	3.51
R Vis 2	R SomMot 8	3.99
R Vis 3	R DorsAttn Post 1	3.43
R Vis 5	R DorsAttn Post 2	3.41
R Vis 5	R VentAttn TempOccPar 1	3.99
R Vis 6	R DorsAttn Post 2	3.35
R Vis 6	R Striatal Default	3.55
R Vis 7	L Striatal Somatomotor	3.14
R Vis 7	R SomMot 3	3.12
R Vis 7	R SomMot 8	3.44
R Vis 8	R DorsAttn FEF 1	3.66

Correlations are listed in alphabetical order of left, then right regions of the first connection. t = test statistic; L = Left; R = Right; Cont = Control network; Default = Default mode network; DorsAttn = Dorsal attention network; VentAttn = Ventral attention network; SomMot = Somatomotor network; Vis = Visual network; pCun = Precuneus; PFC = Prefrontal; OFC = Orbitofrontal; Temp = Temporal; FEF = Frontal eye field; PrCv = Precentral ventral; TempPole = Temporal pole; Med = Medial; Post = Posterior.

5.8 Discussion

Expanding on results from previous chapters, this study demonstrates that both structural and functional connectivity appear preserved at the group level in HD gene carriers approximately 25 years from predicted onset. However, by linking CSF NfL into a HD connectivity analysis for the first time, I show that the functional connectivity of certain connections increases with increasing NfL. This may represent the beginning of compensatory functional upregulation in the very early stages of neurodegeneration.

5.8.1 No significant differences in structural or functional networks in early preHD

Whilst previous literature has demonstrated changes in functional connectivity in both HD and preHD, the direction of changes and connections affected has been variable between studies. Data-driven approaches can help standardise some aspects of analysis by avoiding focusing on select networks where there can be considerable variability between the choice of networks and their definition. However, even data-driven approaches have previously recorded varying results in preHD with decreases in striatal and rich club connectivity (Poudel et al. 2014; Harrington et al. 2015; Espinoza et al. 2018) and increases in fronto-occipital, fronto-striatal and fronto-parietal regions (Harrington et al. 2015; Espinoza et al. 2018) having been reported previously.

In this study, both structural and functional connectivity across a standardised network were investigated so that any alterations in functional connectivity could be related back to any changes in their underpinning structural connections. However, there were no significant differences in structural or functional connectivity between this preHD group far from predicted onset and a well matched control group. These results build upon those from previous chapters by solidifying the evidence for preserved structural connectivity at this stage of preHD, whilst also providing evidence of no underlying changes in whole brain functional connectivity.

5.8.2 Negative results are robust to different methods of analysis

When assessing structural and functional connectivity, the method of analysis can have a significant influence on results. This study used varying but complementary approaches to investigate how robust the initial findings were to variations in analyses methods.

Connectivity analyses can be sensitive to the resolution of the parcellation scheme employed. Using parcellations with lower numbers of regions may be beneficial to maximise inter-subject correlation, however significant changes in connectivity may be lost due to large spatial averaging. Alternatively, using matrices that are too large may lead to variability due to registration errors and MRI noise that may be larger than the functional connectivity difference between the two groups (Cammoun et al. 2012). To address this issue, a validated cortical atlas with 100 and 500 region parcellations was used in this analysis to assess the influence of parcellation resolution on the results. There were no significant differences in structural or functional connectivity in using either the 100 and 500 region parcellation, demonstrating that the null result is robust to the influence of varying parcellation resolution.

In connectome construction, false positives have been shown to be a significant problem in functional connectivity analyses (Eklund et al. 2016). Utilising the existence of both structural and functional connectivity data in this analysis, functional connectivity was reassessed after removing all functional connections without an underlying structural connection. Although it is not the case that functional connections cannot exist without a direct structural link, since indirect connections can exist in the network, repeating the analysis in this way may help remove a significant proportion of false positive connections and affords another opportunity to assess how robust the null result is to differing modes of analysis. The result of no significant differences across the functional network, after constraining by structure, again strengthens the previous findings of no significant differences in structural and functional connectivity between the preHD and control groups.

5.8.3 Structural and functional associations with NfL

NfL has emerged as a leading prognostic and disease progression biomarker in preHD (Zeun et al. 2019). As a major component of the axonal cytoskeleton, it can be presumed that increases in NfL seen across a spectrum of traumatic, inflammatory or degenerative neurological diseases originate from axonal damage and/or loss. Recent studies have begun to link imaging with NfL to understand how elevations in NfL relate to changes in white matter macro- and micro-structure seen using MRI. In HD, significant associations between plasma NfL and white matter volume loss in peristriatal white matter and within the corpus callosum has been observed previously in the TRACK-HD cohort (Johnson et al. 2018). Plasma NfL also significantly predicted subsequent white matter atrophy and occipital grey matter atrophy in this cohort. In a study of a mixed preHD/HD cohort, no significant associations were found between standard DTI or NODDI metrics and CSF or plasma NfL concentrations, although there was a consistent non-significant trend of diffusion metric changes characteristic of white matter degeneration disorganisation and higher NfL concentrations (Gregory et al. 2020). The failure to find significance between diffusion measures and NfL in this cohort might be due to the relatively low sample size (30 HD and 19 controls), since a similar larger study of autosomal dominant Alzheimer's disease mutation carriers found significant associations between DTI measures and plasma NfL both cross-sectionally and longitudinally (Schultz et al. 2020). Similar associations between DTI measures and NfL has also been reported in amyotrophic lateral sclerosis (Menke et al. 2015).

Whilst NfL has previously been related to microstructural changes in white matter tracts, its impact on structural and functional connectivity has not been previously explored in HD. Since connectivity depends on axonal integrity, it should be expected that as NfL rises, structural connectivity will decrease. However, functional connectivity may increase for several possible reasons including as compensatory effect (Gregory et al. 2018) or as a result of pathological hyperexcitability (Orth et al. 2010) and therefore it is less predictable how functional connectivity may change in response to increasing concentrations of NfL. In the initial HD-YAS analysis, CSF NfL concentrations were found to be elevated in the preHD group and strongly associated with age-CAG. However, 53% of preHD participants had CSF NfL concentrations

within the 95th percentile of controls, suggesting that early axonal loss was becoming apparent in approximately half of the cohort closer to predicted onset. Therefore, it is possible that such changes in structural and functional connectivity might only be apparent in preHD participants closer to onset who show elevated CSF NfL concentrations. However, there was no evidence of significant changes in structural and functional connectivity between gene carriers with NfL concentrations within the normal range and gene carriers with concentrations above this range. This may signify that early elevations in CSF NfL are not associated with axonal loss, or more likely, that the imaging methods employed are not sensitive enough to detect early axonal loss that might only be occurring in a limited number of white matter regions at this time. This is consistent with the results in chapter three, where no significant differences or correlations with NfL were observed across a variety of white matter imaging measures in the preHD group.

Despite not finding significant group differences in this study, the correlation analysis revealed a significant correlation between CSF NfL and functional, but not structural connectivity. This subnetwork of increased functional connectivity consisted of predominantly posterior connections. The dorsal attention network was particularly well represented in this subnetwork with comparatively few connections in ventral attention and frontoparietal networks. Whilst this study was not designed to detect whether this correlation with increased functional connectivity is compensatory or as a result of early pathology, the prominence of connections in the dorsal attention network and the normal clinical profile of this preHD group as described in chapter three, might suggest that this is early evidence of compensatory upregulation in these functional connections. The finding of increased functional connectivity in posterior regions with increasing NfL is consistent with results from a previous study in the PREDICT-HD cohort that found upregulation in posterior connections in preHD participants closer to predicted onset also using NBS (Harrington et al. 2015). However, a separate study using a different method of analysis found a pattern of reduced connectivity in posterior regions and upregulation in anterior regions (McColgan et al. 2017). Since this observation was in a cohort closer to predicted onset, one possible explanation for this discrepancy with the current result is that regions most affected by early pathology show an initial increase in neuronal activity that subsequently leads to reduced neuronal activity and death with disease

progression. In support of this, mHTT has been shown to cause widespread synaptic dysfunction which may lead to a failure to regulate excitatory drive as a contributor of neuronal death (Smith-Dijak et al. 2019).

Looking to other neurodegenerative diseases, Alzheimer's studies have also demonstrated concurrent increases and decreases in functional connectivity in the early disease process but with more consistent widespread decreases in functional connectivity occurring with disease progression (Chhatwal et al. 2013; Badhwar et al. 2017; Demirtaş et al. 2017). A recent study using a computational model of connectivity change over time in Alzheimer's disease suggested that observed temporary excessive neuronal activity may be an early pathological event that subsequently leads to neurodegeneration and reduced functional connectivity in the same regions (de Haan et al. 2012). Considering the collective evidence, the observed correlations between NfL and increasing functional connectivity may represent an early compensatory effect, but the alternate hypothesis of representing early pathological change cannot be excluded. Further longitudinal study of well stratified cohorts will be required to better characterise the topographical and temporal nature of increased functional connectivity in preHD and its relationship to rising concentrations of NfL.

5.8.4 Future directions

There have been very few studies investigating the relationship between structural and functional connectivity in HD. Direct structural connectivity accounts for much of the variance observed in functional connectivity (Suárez et al. 2020), hence examining structural and functional connectivity in tandem can lead to further insights than the study of each in isolation. In this study, both were analysed separately to be able to relate any changes observed in functional connectivity to the corresponding underlying structural connections. However, the relationship between the structural and functional connectivity is imperfect since some functional connections may not be directly linked by a structural connection and where a corresponding structural connection exists, there is still a degree of variance between the functional and structural strength of that connection (Suárez et al. 2020).

The study of how structural connectivity constrains function has been increasingly studied. Recent studies using different methods have provided converging evidence that structure-function relationships are organised around a hierarchical gradient spanning from unimodal to transmodal cortex (Margulies et al. 2016; Preti and Van De Ville 2019; Baum et al. 2020), consistent with the spatial hierarchy established in earlier primate tract-tracing work (Mesulam 2012). Within this organisation, structure and function appear to be closely coupled in unimodal sensory and motor areas, but systematically decouple towards transmodal cortex. This decoupling appears to increase through development and is associated with age-related improvements in executive function (Baum et al. 2020). This underscores how the structure-function hierarchy reorganises during development to support key functions that are known to be disrupted in neurodegenerative diseases like HD. If there is indeed neurodevelopmental effects of mHTT on striatal and cortical development, it is possible that the normal structure-function relationship may be altered in HD gene carriers, which in turn could affect clinical performance in more subtle ways. Hence, studying structure-function coupling in this way may be of interest in future studies of early preHD.

Previous research using fMRI has found evidence of compensation in preHD, whereby maintenance of clinical performance despite detectable early disease markers is associated with increased functional connectivity in certain networks (Kloppel et al. 2015; Gregory et al. 2018). This study was not designed to study evidence of compensation, but since this preHD cohort demonstrate normal clinical function, coupled with elevations in NfL, further investigation for evidence of compensation within this cohort is warranted.

The use of the Allen transcriptome brain atlas (Hawrylycz et al. 2012) which maps regional gene expression in the brain to imaging space, also affords the opportunity to investigate relationships between regional gene expression and observed changes in functional connectivity (Anderson et al. 2018) that may help understand biological basis of altered functional connectivity in certain networks in preHD.

5.8.5 Limitations

There are several limitations to this study. Since HD-YAS was powered to detect disease-related striatal volume changes, it may be underpowered for detecting differences in structural and functional connectivity as studied here. Furthermore, whilst NBS aims to boost statistical power by cluster-thresholding, whole brain approaches are unlikely to detect any subtle, regional specific changes in connectivity, should they be present. However a whole-brain approach was necessary, since previous literature has not converged on where, when and how functional connectivity changes in preHD.

To facilitate group comparisons, a uniform parcellation was applied to all participants in this study and hence assumes that regions can be mapped to identical spatial locations in each participant. There is likely to be some individual variation in structural and functional boundaries within each subject, with functional boundaries particular likely to vary in this respect. Indeed, functional boundaries can systematically vary across individuals and cortical regions and such variability may limit the ability to detect early disease effects in preHD (Mueller et al. 2013).

Finally, whilst correlations observed between NfL and functional connectivity in this study may represent possible evidence of compensation, this study was not designed to specifically investigate for evidence of compensation in this cohort and so cannot confidently advance this hypothesis. Validated models of compensation incorporating clinical measures are best placed to test this specific hypothesis in such cohorts (Gregory et al. 2017; Gregory et al. 2018).

5.8.6 Conclusion

These results suggest that both structural and functional whole-brain connectivity is intact in HD gene carriers approximately 25 years from predicted onset. This result extends the findings from previous chapters, demonstrating that brain structure and function is largely preserved approximately 25 years from predicted onset in preHD. However, the correlations between increasing NfL and functional connectivity in certain connections may be early evidence of compensatory upregulation in this cohort. Future studies will seek to specifically test this hypothesis to build an ever

more complete picture of changes in brain structure and function through the premanifest period and further inform future therapeutic strategies for HD.

6 Discussion

The overarching aim of this thesis was to characterise brain structure and function in young adult HD gene carriers far from predicted onset. First, a novel cohort of gene carriers further from predicted onset than previously studied were recruited along with a matched control group. A state-of-the-art battery covering cognition, psychiatric symptoms, multi-modal imaging alongside blood and CSF collection was performed to provide a deep phenotyping of this cohort. Analysis of the data then aimed to evaluate how early disease-related changes in preHD could be identified, and which measures were most sensitive at this early stage of disease. The thesis then turned specifically towards further characterising structural and functional connectivity in the early premanifest period. By combining diffusion MRI data from the HD-YAS cohort with a previously recruited preHD cohort closer to expected onset, I aimed to identify how early degeneration of white matter connections could be detected in HD and which connections were most susceptible to early degeneration. Finally, I aimed to characterise the relationship between structural and functional connectivity in early preHD by performing a combined analysis of diffusion and functional MRI datasets from HD-YAS, and utilising a biofluid marker of axonal degeneration to further understand its relationship to imaging measures of connectivity. Collectively, by providing a more detailed view of brain structure and function in the early premanifest period, these results will inform future treatment strategies that aim to delay or prevent the emergence of HD signs and symptoms.

6.1 Uncovering the earliest markers of neurodegeneration in HD

Previous cohort studies in preHD had characterised the premanifest period up until approximately 15 years from predicted onset, at which time there are still detectable and progressive changes in brain structure, biofluid biomarkers, neuropsychiatric symptoms and cognition (Paulsen et al. 2008; Stout et al. 2011; Tabrizi et al. 2013; Epping et al. 2016). In order to wind back the clock further to trace how early such changes could be detected, the HD-YAS recruited participants who were 18 years or

more from predicted onset by targeting a young age group with low CAG repeat lengths. Of particular importance when searching for subtle disease-effects, was combining the previous literature with advances in methodology to create a comprehensive yet targeted assessment battery best placed to detect any early changes. The assessment battery in HD-YAS was devised on these principles and as a single-site study, afforded the ability to execute a standardised protocol without the possible confound of inter-site variability in assessors or methodological equipment such as MRI scanners.

Of the clinical features of disease, subtle cognitive impairments and increased neuropsychiatric symptoms have been shown to be the most consistently detectable features in the premanifest period (Duff et al. 2010; Stout et al. 2011; Tabrizi et al. 2013; Epping et al. 2016). Even subtle cognitive impairments observed in the premanifest period, such as executive dysfunction and attentional deficits can affect functional abilities such as work performance, driving and financial management (Beglinger et al. 2010). Similarly, neuropsychiatric symptoms that are more common in preHD, such as depression, anxiety or apathy, can have a significant impact on an individual's functioning and sense of well-being (Duff et al. 2007). It is important then for clinical practice, to understand when these features can first be detected.

Results from HD-YAS suggest that gene carriers approximately 24 years from predicted onset, show no significant cognitive or neuropsychiatric differences compared to controls. The finding of no significant cognitive differences, despite a wide range of targeted cognitive assessments, is in keeping with previous literature that has found minimal cognitive deficits in preHD further than 10 years from predicted onset (Stout et al. 2011; Papoutsis et al. 2014). It also extends this literature in scope, particularly by incorporating tests of social cognition that have not been widely studied in preHD previously.

The findings of no neuropsychiatric differences between the preHD and control groups is similarly significant. Firstly, it indicates that the previously observed increase in neuropsychiatric symptoms is a feature that may begin to emerge from approximately 15 years from predicted onset. It also adds to previous literature on neuropsychiatric outcomes following a positive predictive test, where the results are consistent with previous reports of no significant changes in the extent of

neuropsychiatric symptoms in those with a positive predictive test, even at younger ages. These results demonstrate that neuropsychiatric symptoms are common in the young adult population and supports a hypothesis that neuropsychiatric symptoms in preHD may only begin to increase later in the premanifest period as a result of neurodegeneration rather than being related to carrying the gene. However this study was not specifically designed to address the question of neuropsychiatric effects of genetic testing and the significant limitation of selection bias in this study makes it difficult to draw definitive conclusions regarding the susceptibility to and cause of neuropsychiatric symptoms in preHD.

Previous research had suggested that changes in brain structure can be detected via imaging at least 15 years from predicted onset. Striatal volume loss, peristriatal white matter degeneration, loss of cortico-striatal and rich club connectivity and changes in functional connectivity have all been consistently described in preHD (Tabrizi et al. 2013; Kloppel et al. 2015; McColgan et al. 2015; Zhang et al. 2018). In HD-YAS, the only imaging measure showing significant change in preHD was reduced putaminal volumes, although caudate volumes did also show a volume reduction to a lesser extent. Whether this slight reduction is due to early neurodegeneration or as a result of abnormal development is not clear, although there was no significant association with age-CAG or NfL in this measure. Hence, reduction of striatal volumes appears to be the earliest detectable imaging feature of HD, with other described changes beginning to appear closer to expected onset.

With no detectable changes in cognition, neuropsychiatric symptoms and brain structure appearing largely intact on MRI, it is of particular interest that increases in NfL and YKL-40 can be detected at this early stage in HD-YAS. Over the past decade, NfL has emerged as a sensitive marker of neuronal damage across a wide-spectrum of neurological diseases (Khalil et al. 2018; Bridel et al. 2019) and is already known to be track disease-progression, predict onset and closely associate with clinical measures of disease in HD (Byrne et al. 2017; Niemela et al. 2017; Byrne et al. 2018). The findings of elevated NfL indicate that neuroaxonal injury is occurring at this early stage. Concurrent increases in YKL-40 and its close association with NfL concentrations is suggestive of a detectable astrocytic response to early neuronal injury. However, that 53% of preHD had CSF NfL concentrations within the control

range, along with its strong association with age-CAG, suggests that a timepoint has been identified where a marker of neurodegeneration may be beginning to emerge before significant changes in brain structure and function have occurred.

Finally it is important to consider how the results of HD-YAS relate to emerging evidence suggesting that the HD mutation may confer to neurodevelopmental differences in brain structure and function. Data from mouse models (Molina-Calavita et al. 2014), human induced pluripotent stem cells (HD iPSC Consortium 2017) and more recently human foetal tissue (Barnat et al. 2020) have suggested that the HD mutation causes abnormalities in the developing cortex, including defects in neural progenitor cell differentiation, changes in mitosis and cell cycle progression. It is difficult to predict how this might influence the clinical, imaging and biofluid phenotype in preHD however. Data from the Kids-HD study, in some respects the antecedent study to HD-YAS, found an age-related striatal volume difference in HD mutation carriers, with initial hypertrophy followed by a more rapid volume decline. In HD-YAS, the observed reduced putaminal and caudate volumes in preHD could be construed to be consistent with a neurodevelopmental difference in striatal development in HD mutation carriers, particularly given the lack of age-CAG association. However, the observation in Kids-HD was that striatal volumes were already quickly declining having started larger than controls. If that were true, one would have expected to see a more significant association with age-CAG in striatal volumes in HD-YAS, which was not the case. Therefore it may be more likely that the reduced striatal volumes in HD-YAS are a reflection of atrophy over time which is too subtle to show a significant association with age-CAG, which is an imperfect proxy of disease stage in preHD. In support of this theory, is the observation that NfL is already elevated in CSF and plasma of preHD in HD-YAS and is associated with age-CAG, suggesting that there is detectable neuronal damage occurring at this point related to disease stage. Overall, the results included within this thesis provide little evidence of developmental differences in brain structure and function in early preHD, which is perhaps surprising if there are such pronounced differences in the developing cortex as observed in the aforementioned studies. It is possible however, that developmental differences in HD mutation carriers may manifest as making certain cell types more susceptible to damage later in this disease course as the harmful effects of the mutation accumulate (Molero et al. 2016), rather than causing fundamental differences in brain structure

and function that may be expected to be detected by neuroimaging, biofluid assessments and functional measures. Given important connotations for the development of HD therapeutics, the impact of the HD mutation in neurodevelopment remains a key area of interest in the field.

6.2 Zeroing in on selectively vulnerable structural connections in preHD

In chapter 3 as part of the initial analysis of HD-YAS, select rich club and cortico-striatal connection strengths were investigated, alongside network measures of integration and segregation. These measures were selected based on previous evidence that they were sensitive to early changes in preHD (McColgan et al. 2015; McColgan et al. 2017). No differences between gene carriers and controls were found in any of these measures however (Scahill et al. 2020). In chapter 4, by utilising an advanced diffusion analysis technique and focusing specifically on cortico-striatal and cortico-thalamic subnetworks in both HD-YAS and TrackOn-HD cohorts, I have provided further insights in the timing and anatomical specificity of basal ganglia white matter degeneration in preHD. Whilst the vulnerability of motor cortico-striatal connections has been described previously, the selectively vulnerability of limbic cortico-striatal connections has not been previously described and may be important in understanding the biological basis of how neuropsychiatric symptoms such as irritability and apathy begin to arise in the premanifest period (Le Heron et al. 2018). Similarly, the apparent vulnerability of thalamic connections to premotor and primary motor cortex is a novel finding in the field and may help broaden future research focus to continue to investigate these central connections in basal ganglia-cortical loops. Whilst select connections showed changes in the group 11 years from onset, findings in the preHD group 25 years from predicted onset extended previous findings in chapter 3, suggesting that these vulnerable connections are preserved at this early stage of the premanifest period. By using a cohort who had two different acquisition protocols, I demonstrated that a more advanced multi-shell diffusion acquisition with higher b-values can improve signal-to-noise in FBA, likely through providing a more sensitive measure of FD. This suggests that the use of improved diffusion acquisitions

and techniques may be more able to detect the early effects of neurodegeneration than techniques that had been used previously in HD cohorts.

6.3 Whole brain structural and functional connectivity is preserved in early preHD, but rising NfL is associated with functional upregulation

Although preHD participants 24 years from predicted onset showed no discernible change in cortico-striatal and cortical rich club connections, the elevations in NfL, a protein of the axonal cytoskeleton, suggests that there may be some early axonal degeneration in this preHD cohort. A whole brain network-based statistical analysis of functional connectivity and its underlying structural connections in the HD-YAS cohort revealed no significant differences in either functional or structural connections between preHD and controls. This finding was robust to the granularity of the network reconstructed, with neither the 114 nor 514 region network showing significant differences. It was also robust when constraining the functional network by structural connectivity, i.e. removing functional connections from the network if they did not have a corresponding structural connection in the network. In a subgroup analysis, preHD with elevated concentrations of CSF NfL did not show any differences in structural or functional connectivity compared to preHD with NfL concentrations within the control range. However there were correlations between increasing CSF NfL and increased functional connectivity in a subnetwork of connections. Collectively, these results suggest that whilst structural and functional connectivity appear broadly preserved at the group level, certain functional connections may begin upregulating as CSF NfL rises, potentially in a compensatory process to counteract early neuroaxonal loss and preserve clinical function.

6.4 Implications for future therapeutic strategies and trial design

Central to the work in this thesis, is furthering our understanding of the early premanifest period in HD to inform future therapeutic strategies and trial design.

6.4.1 When to treat?

Common to all dementias is that by the time symptoms have manifested, there has been many years of unchecked neurodegeneration that may not be reversible (Bateman et al. 2012; Rohrer et al. 2015). To date, all trials of disease-modifying therapeutics for dementias have been unsuccessful. One factor speculated to be contributory is a failure to initiate treatments early enough in the disease course (Gauthier et al. 2016). In this respect, the genetic basis of HD, its tendency to affect individuals in the fourth to sixth decades of life and availability of predictive testing affords an advantage, since treatments could in theory be initiated at any stage of the disease, including long in advance of likely symptom onset. Although dependent on the profile of a given therapeutic, one potential treatment strategy would be to initiate a therapeutic in the premanifest period with an aim to delay or prevent the emergence of clinical features of the disease. The HD-YAS reveals a time whereby treatments aiming to prevent or delay emerging clinical features could be given, since there was no detectable functional impairment and brain structure also appeared largely intact.

6.4.2 Which biomarkers?

For trials in the premanifest period to become viable, there is a need for biomarkers to stratify or enrich recruitment, demonstrate target engagement and also an efficacy biomarker that will show measurable change over the course of typical trial period and is closely related to relevant clinical features of disease.

Results detailed in this thesis provide valuable information regarding potential target engagement markers for huntingtin-lowering therapies currently in development. Although successfully used to demonstrate target engagement in the first huntingtin-lowering trial (Tabrizi et al. 2019), the reported low and near undetectable concentrations of mHTT observed in HD-YAS indicate that mHTT is unlikely to offer a measure of target engagement that will be informative for assessing dose-response relationships in early preHD, since concentrations will quickly fall to undetectable. However, the significantly higher concentrations of total huntingtin in this group should provide a more granular dose-response for total huntingtin therapies at this early stage of disease.

Results from this thesis also advance NfL as a potential candidate biomarker in far from onset preHD. Favourable attributes include its relatively strong effect size compared to the few other changes detected in the preHD group, its close association with age-CAG and previously described ability to predict disease onset and clinical change (Byrne et al. 2017; Niemela et al. 2017; Byrne et al. 2018; Johnson et al. 2018). Significantly, the HD-YAS results show that CSF NfL is more sensitive and specific at this early stage than plasma NfL, in contrast to their near equivalence in early manifest disease (Byrne et al. 2018). However, the wide distribution of years to predicted onset (11-38 years) in a relatively small cohort with biofluid data limits inferences about how NfL changes within this time-frame and when NfL first begins to rise. These questions are particularly important to address before NfL can become a prognostic biomarker to facilitate clinical trials in such far from onset groups in the future.

In a future preHD clinical trial, one approach might be to enrich recruitment by having a predefined CSF NfL cut-off to increase the likelihood of seeing measurable change over a typical trial timeframe. The validity of this approach is strengthened by the recent findings that baseline CSF and plasma NfL values appear to have superior prognostic value than the rate of change in NfL over a 24 month period in a mixed premanifest and manifest HD cohort (Rodrigues et al. 2020). Furthermore, computational clinical trial simulations in this study demonstrated the use of CSF or plasma NfL could substantially reduce the number of participants or trial duration required to obtain a prespecified effect size compared to currently used clinical measures. Alternatively surrogate endpoints could include time to elevation in NfL, rate of increase in NfL concentration or change from baseline. The NfL curves produced from combining HD-YAS results with a cohort later in the disease process provide an anticipated trajectory of this biomarker and the age at which NfL is predicted to rise above the 95th percentile of controls for a given CAG in both CSF and plasma.

The use of NfL as an efficacy marker will require further evaluation of its response to treatment. It has already shown ability to track therapeutic response in other neurological diseases (Kuhle et al. 2019; Olsson et al. 2019), including those delivered by intrathecal injection (Olsson et al. 2019). In HD, CSF NfL values

unexpectedly increased in response to a phase 1 trial of huntingtin-lowering ASO (Tabrizi et al. 2019). Hence, an improved understanding of NfL's response to therapeutic interventions in HD will be required as its performance in numerous clinical trial programmes becomes apparent, alongside the performance of each therapy.

Finally, it's notable that clinical improvement has typically been a requirement for regulatory approval of therapeutics, with the United States food and drug administration (FDA) being particularly stringent on requiring demonstrable clinical benefit that encompasses how a patient feels, functions or survives (Bous Hufnagel 2019). This is exemplified by the current phase III trial of tominersen, a non-allele selective huntingtin-lowering ASO, where the composite UHDRS measure which includes cognitive test performance has been permitted as a primary endpoint for European medicines agency (EMA) but not the FDA who have required that the UHDRS total functional capacity scale serves as the primary endpoint. Although the approval of therapeutics based on validated biomarkers is likely to increase in the future, it will be essential to have robust evidence that biomarker change predicts clinical benefit or improvement. Hence before biomarkers such as NfL can be permitted as surrogate endpoints, it will be necessary to demonstrate that reducing NfL either is associated symptomatic improvement or delays clinical diagnosis in preHD. For the latter, a trial would need to recruit a preHD cohort who are closer to predicted onset than the HD-YAS to minimise the necessary trial duration period. Beyond this, further characterisation of when NfL begins to rise and it's early trajectory in preHD will be important before it can be used as a potential efficacy marker in any future far from onset preHD trials.

6.4.3 Where to treat?

Viral-vector delivered therapeutics are currently in development for HD (Tabrizi et al. 2019), with the first RNAi therapeutic now in phase 1 human trials (Clinicaltrials.gov; NCT04120493 2019; Uniqure N.V 2020). A significant advantage of viral-vector based therapeutics is that a single administration can provide a long-term therapeutic effect. However, a key potential limitation is the invasive intra-parenchymal nature of delivery and that limited tissue distribution following injection may necessitate choosing only certain sites for injection. Importantly, viral-vectors commonly used

show evidence of retrograde axonal transport. Notably, in a HD-minipig widespread adenoviral-vector 5 based RNAi distribution was seen in the cortex following putaminal injection (Evers et al. 2018), suggesting retrograde axonal transport is a key mechanism of distribution for such therapeutics.

The striatum is an important target for such an approach given its notable involvement in disease pathology, however its significant anterior-to-posterior length may make it difficult to target both anterior and posterior striatum in one injection. Injection of the thalamus, a central hub region with extensive cortical connections, has been shown to achieve widespread cortical and subcortical coverage in preclinical models (Evers et al. 2018; Naidoo et al. 2018; Tabrizi et al. 2019) and is also a possible target site for such treatment approaches. However, a limitation of preclinical biodistribution studies in animal models such as HD-minipigs (Evers et al. 2018) or macaques (Weiss et al. 2020), is that they do not display the white matter pathology seen in humans with HD. It can be expected given the above, that as white matter degeneration and axonal loss occurs, the distribution of the drug to the cortex will be reduced. Therefore, understanding the temporal and topographical evolution of axonal degeneration in humans will be informative to selecting injection sites for such approaches. In particular, one approach may be to target areas most affected by early pathology, but before significant neurodegeneration and white matter loss has occurred, to maximise biodistribution and prevent white matter degeneration.

The findings in chapter 4 highlight the vulnerability of the caudal motor striatal connections in preHD. But the concurrent findings of early limbic striatal tract vulnerability and the likely significance of this to neuropsychiatric symptoms seen in HD suggest that coverage of the ventral striatum may also be important to prevent the onset of clinical symptoms, rather than only targeting the dorsal striatum. Similarly, there has been little previous attention to cortico-thalamic connections in preHD which are believed to be relatively preserved at this stage of disease. However the results of this thesis suggest that motor-thalamic connections are affected early in HD and that these thalamic subregions may also be an important injection site, not just to achieve widespread biodistribution, but to prevent further degeneration of connections that may be influential in the emergence of motor signs of the disease. Finally, results from this chapter suggest that these important connections are

preserved approximately 25 years from predicted onset, highlighting a time at which viral-vector based therapeutics should have maximal coverage in the brain to help prevent early neurodegeneration.

6.5 YAS as a resource for further research

The significant advances in understanding the natural history of HD over the past two decades owe a great deal to previous cohort studies TRACK-HD, PREDICT-HD, PHAROS and Enroll-HD. In each case, a multitude of studies providing new insights have followed the initial main analyses of the cohorts, facilitated in part by open data sharing with research groups worldwide. Similarly, the uniqueness of the cohort and extensive range of assessments in HD-YAS has generated a rich dataset that stands to generate many future studies that further our understanding of the early premanifest period.

The cohort is unique for its young age ranges and the distance from predicted onset in the preHD group. Among its strengths include an advanced 3T imaging protocol, combining well established acquisitions with novel promising acquisitions such as MPMs, which if combined can offer further in-vivo insights into brain structure than any single modality. The existence of resting state fMRI data with an extensive array of cognitive and neuropsychiatric assessments may provide the tools to further investigate the relationships between brain connectivity and clinical function, including searching for evidence of compensation in this cohort (Gregory et al. 2018).

Meanwhile the study also collected demographic and medication history as well as data from the UHDRS PBA. Unlike the neuropsychiatric questionnaires used in the main HD-YAS analysis, the PBA also measures previous worst, as well as current levels of neuropsychiatric symptoms. Hence the PBA data may be well placed to further investigate for any past or present neuropsychiatric differences between preHD and different control subgroups, including in gene negative participants.

Biofluid collection was performed using standardised and well validated conditions, methods and equipment to facilitate generalisability to previous biofluid collection studies within HD. The biofluids collected as part of HD-YAS will continue to be stored and made available for future research both internally and externally. It is also

reassuring that mHTT and NfL have recently been shown to be unaffected by batch, assay or storage effects (Rodrigues et al. 2020). Hence, this resource may be useful for any novel promising biomarkers that emerge in the future. In an example of how this approach has already been beneficial, the first large-scale study to demonstrate the potential utility of NfL as a biomarker for HD came from using plasma samples stored from the TRACK-HD study years after it had completed (Byrne et al. 2017). In addition, all preHD participants in HD-YAS had DNA storage performed as part of the protocol. Though not analysed to date, these samples may be used in the future to further emerging research around somatic instability that is increasingly appearing central to HD pathogenesis and progression (Swami et al. 2009; Hensman Moss et al. 2017; Genetic Modifiers of Huntington's Disease Consortium 2019).

Collectively, further research using this rich dataset may provide many further important insights into the early premanifest period of HD and could even be used to study brain structure and function in young controls.

6.6 General limitations and lessons learnt

6.6.1 A question of power

One limitation of the HD-YAS is that it was not possible to increase power above and beyond detecting the largest effect size in the group furthest from onset in TRACK-HD. Naturally, when looking further back in a disease process it can be expected that effect sizes will become smaller and smaller until true no difference is reached. Furthermore, since the power calculation was based on one measure (striatal volume) from a previous study, it cannot necessarily be extrapolated that the study was powered to assess for differences in other domains such as cognitive tasks or assessing associations with age-CAG. These limitations temper the interpretation of the HD-YAS results of no significant group differences across many of the measures included. However it would be a challenge for any single-site study targeting preHD this far from onset to recruit a significantly larger cohort due to the current low uptake of predictive testing worldwide, particularly in those aged 18-30 (Baig et al. 2016). Furthermore, the low CAG lengths required for this study would further exclude many premanifest gene carriers in this age range from such a study. Instead, in addition to

using the immediate resource of a national HD clinic, recruitment was boosted by setting up regional genetic centre patient identification sites across the UK, and through broader efforts such as advertising via the HD Association and HD youth organisation. The population density and research infrastructure in the UK likely aided this recruitment, as did close connections with other regional HD centres and the collective visibility and reach of the HD charitable groups to meet the recruitment target and establish this immensely valuable cohort.

6.6.2 Selection bias

As discussed in section 3.5.8, the generalisability of the results of this thesis are potentially limited by selection bias of which, there are multiple considerations that may be a source of such bias. To take part in HD-YAS, participants needed to be a UK resident and have an awareness of the study that typically came from engagement in other studies or activity in patient support groups. They often were required to take time off work, travel long distances and tolerate a challenging two day study assessment period that included a physical examination looking for diagnostic signs of HD, extensive cognitive testing, a long MRI scanning period, venepuncture and lumbar puncture. Motivation for the study may have also included those seeking positive feedback from being included in the study and individuals with active mental health problems such as depression or apathy would be unlikely to volunteer for such a study. All of the above considerations could possibly lead to selection bias and a sample not necessarily representative of the general population. The most important potential source of such bias however, is the requirement of preHD participants having previously undergone a HD genetic test, where it is estimated that less than 20% of the wider at-risk population choose to have a predictive test (Baig et al. 2016) in the UK. The limited evidence base of differences between those who choose to undergo predictive testing and those who do not represents a significant potential limitation in the generalisability of these results to the wider at-risk/premanifest population.

One possible solution to address this selection bias would have been to recruit those at risk and subsequently perform predictive testing for the study without disclosing to the participants. This approach has been used in previous studies of inheritable neurodegenerative diseases (Bateman et al. 2012; van der Plas et al.

2019) and is beneficial in eliminating such bias that may arise from requiring a predictive test to be enrolled. It would also facilitate recruitment for such a study and potentially enable many more participants to be studied. The limitation of such an approach includes the difficulty of establishing balanced groups and there would have been no way of avoiding recruitment of those who were likely to be less than 18 years from predicted onset given that CAG repeat length would be unknown. Although both limitations could be largely addressed by significantly expanding the numbers recruited, a further limitation that would follow would have been a obtaining funding to recruit large numbers of participants with such an extensive range of assessments which may have precluded such a study getting off the ground.

6.6.3 Biofluid collection in young cohorts

The results of HD-YAS illustrate the importance of blood and CSF biomarkers in characterising the early effects of neurodegeneration, consistent with findings from other neurodegenerative diseases. On this note, another potential barrier to recruitment was the willingness of participants to undergo CSF collection. Given their young age, many participants had no or limited prior experience with any type of invasive procedure, including blood collection and it was uncertain at the start of the study how many participants would be willing to donate CSF. Therefore, the CSF collection was made optional since recruitment was already likely to be challenging for reasons detailed above. Nevertheless, on reflection the high numbers undergoing CSF collection were testament to the bravery and motivation of those involved, and indicative that CSF collection can be a viable part of a young adult study. On this note however, the incidence of post-lumbar puncture headaches (22% of cohort) was higher than previously described in other, albeit older cohorts using the same equipment and approach (Nath et al. 2018; Rodrigues 2018). This is likely reflective of the known association of younger age groups being more susceptible to this adverse event (Amorim et al. 2012; Monserrate et al. 2015). It was however reassuring that such headaches were short lived and all resolved spontaneously without the need for a blood patch. The tolerability of lumbar punctures in age groups this young has not been well characterised previously and further study will be helpful to inform future use of CSF as biomarkers in trials or in the clinic for young adults.

6.6.4 The power of collaboration

The HD-YAS, funded by a Wellcome Collaborative award, is a positive example of the benefits of collaboration. To fully characterise early disease effects, a combination of imaging, cognitive, neuropsychiatric and biofluid assessment was required. Hence, the study was set up with experts in each field, not restricted to the arena of HD research, to help inform the study design and methods of assessment. The outcome of the study and interpretation of results was greatly enhanced by the collaborative approach taken. On a similar theme, it is notable that a key result was the finding of elevated NfL in the preHD group. Tracing the origins of NfL as biomarker back, its first description as potential biomarker in neurology was in a mixed amyotrophic lateral sclerosis and Alzheimer's disease cohort in 1996 (Rosengren et al. 1996). The fact it has become a leading candidate biomarker for HD reflects that many neurological diseases share similar hallmarks and that discoveries in one disease can greatly inform research in another. This potential for shared learning has been influential in the establishment of initiatives such as the UK Dementia Research Institute (Hesse and Henstridge 2018). Specifically, comparable research is ongoing with similar premanifest cohorts such as the dominantly inherited Alzheimer's disease (DIAN) (Bateman et al. 2012) and the genetic frontotemporal initiative (Rohrer et al. 2015) and it is likely that future research progress in HD will continue to be influenced by research in other neurological diseases.

6.7 Future directions

6.7.1 Longitudinal follow up in the HD-YAS

The HD-YAS was established as a cross-sectional study. Whilst some inferences were made based the influence of disease burden on the measures studied, inevitably the results raised further questions that will require longitudinal follow up to resolve. Whilst results advanced NfL as a potential biomarker of early disease, further characterisation of the longitudinal dynamics of NfL this early in the disease course and whether values are predictive of other disease related changes that arise later in the premanifest course will be important. Similarly, whether the observed reduced striatal volumes are as a result of ongoing subtle neurodegeneration, or a possible

neurodevelopmental effect can be further clarified with longitudinal follow-up. Finally, there were several sub-threshold trends in the results, including reduced performance in cognitive tasks and certain imaging changes in DTI, NODDI and MPMs. Longitudinal follow up to see if these trends persist or increase may help clarify whether they represent very early HD-related changes or not. Given that the preHD individuals are far from onset and the known slow progressive changes that occur in HD across all modalities (Witjes-Ané et al. 2007; Stout et al. 2012; Barker et al. 2013; Tabrizi et al. 2013; Gregory et al. 2015), any such follow up would benefit from a reasonable gap between visits.

6.7.2 Future multi-site studies to maximise power and generalisability

As previously discussed, single-site studies confer certain advantages when searching for subtle disease effects. This first investigation in gene carriers >18 years from predicted onset has already provided valuable insights into the very early premanifest period and will continue to help shape which assessments may be most useful for future studies wishing to build and extend upon this knowledge. In the future, recruitment of a multi-site, multi-national study of a similarly young premanifest cohort may be important to maximise power and generalisability of findings to further inform future clinical trials in early premanifest groups. In such a study, it may be advantageous to include those at risk to minimise selection bias and extend generalisability of the results, as previously discussed in section 6.6.2.

6.7.3 Combining the best established assessments with emerging techniques

As done in the HD-YAS, future studies of the early premanifest period will similarly look to combine existing assessment tools shown to be most sensitive in this early period with emerging techniques that either increase signal-to-noise or tap into previously uncharted neurobiology. Similarly, future studies will need to balance comprehensiveness of assessments with minimising unnecessary loss of power through multiple-comparisons of an extensive assessment battery.

The results of this thesis highlight assessments to include, and some that may be dropped in future premanifest studies. For example, amongst biofluids, clear null results for biomarkers such as neurogranin, UCHL-1 and GFAP may be dropped in exchange for any new emerging biomarkers that demonstrate significant potential in this area.

Among advancing techniques that may provide utility in this area are 7T imaging and huntingtin radioligand positron emission tomography (PET). To date, study of structural changes in the cortex has been limited by the inability of 3T imaging to resolve the many cortical layers and its convoluted structure. By enhancing spatial and anatomical resolution, 7T imaging may be used to investigate the susceptibility of specific cortical layers in the early disease process and its relationship with axonal loss and microcircuit dysfunction in preHD (McColgan et al. 2020). 7T imaging may also improve signal to noise of current assessments (Springer et al. 2016; McKiernan and O'Brien 2017). This could help interrogate for early disease pathology in potentially key structures of interest that are unable to be fully delineated at 3T, such as the globus pallidus whilst also enhancing the ability to detect early atrophy in key structures of interest such as the caudate and putamen which may inform decisions about when to initiate treatments in the future. However at present biofluid biomarkers such as NfL have shown superior potential as biomarkers (e.g. sensitivity, cost, reproducibility) that may help facilitate clinical trials over 3T imaging techniques and it is unlikely that 7T imaging will reverse this trend. Furthermore, 7T imaging comes with ever stricter eligibility criteria, including for tattoos. The experience from HD-YAS, where 29 potential participants screen failed due to tattoos and a further 60 study participants had tattoos that met eligibility for 3T scanning, but might fail stricter criteria, illustrates a potential limitation for 7T imaging in young cohorts. This, along with the relative scarcity of 7T scanners across the world at present, would limit the prospect of 7T imaging being used in large scale clinical trials in the near future.

With a first-in-human open-label study of novel candidate ligands now underway (Clinicaltrials.gov; NCT03810898 2020), mHTT-PET imaging may open new possibilities to quantify in-vivo mHTT aggregation in preHD and thus provide crucial insights into the relationship of mHTT aggregation to other early disease biomarkers. It may also be used to quantify regional target engagement in the same way as

Alzheimer's trials have done (Klein et al. 2019). Further development of PET imaging may provide further in-vivo insights that can complement biofluid biomarkers, such as mapping astrocyte and microglial activation for example (Cybulska et al. 2020).

6.7.4 Enhancing disease staging for natural history studies

Throughout this thesis, use has been made of models which predict years to clinical onset or estimate disease burden based on age-CAG interactions, in order to stratify subgroups according to proximity to onset. The ability to accurately stratify groups is crucial to map the evolution of disease markers over time. However current models are known to be limited, since CAG length only explains approximately 60% of the variability in age of onset. This underlines why it cannot affirmatively be concluded that the absence of age-CAG associations with e.g. reduced putamen volumes, is evidence of a static neurodevelopmental change as opposed to subtle neurodegeneration. Whilst longitudinal follow up can help address such problems, the slow nature of change poses an additional challenge for such approaches. It will be important then, to combine other genetic modifiers that are being increasingly uncovered (Hensman Moss et al. 2017; Genetic Modifiers of Huntington's Disease Consortium 2019; Goold et al. 2019) into new predictive models to enhance the ability to make inferences about biomarker changes in natural history studies in HD that feed into clinical trial design.

6.7.5 Translating from observational to interventional studies in premanifest HD

Finally, it is hoped that in addition to informing future premanifest trial design, well phenotyped premanifest cohorts such as HD-YAS may represent ideal cohorts for future clinical trials in preHD. As an example, the DIAN study was a pioneering study established in 2008 to track longitudinal change in dominantly inherited Alzheimer's disease. The results of which has subsequently informed the DIAN trials unit (DIAN-TU) (Clinicaltrials.gov; NCT01760005 2013) an adaptive platform trial which aims to implement clinical trials aimed at delaying or preventing onset whilst advancing scientific understanding of both the disease and proposed therapies (Mills et al. 2013; Bateman et al. 2017). DIAN-TU has become the forebearer for premanifest trials in neurodegeneration, notable for using longer trial durations than had been the norm

previously with dose-adjustment algorithms informed by combined safety and biomarker-target analyses to maximise target engagement. Whilst recent top line data of the first two drug arms fell short of primary endpoints, there were positive target engagement and other biomarker signals in one arm. Other themes emerging include a suggestion that presymptomatic participants did notably better than symptomatic participants, the importance of longer follow up and having a robust disease model to devise the best endpoints (<https://www.alzforum.org/news/conference-coverage/dian-tu-gantenerumab-brings-down-tau-lot-open-extension-planned>). This further strengthens the case for early interventions in HD and the importance in furthering understanding of the premanifest course to inform trial design and endpoints that are most likely to provide definitive answers and further insights into disease pathogenesis.

6.8 Conclusion

In closing, this thesis has provided evidence that early neurodegeneration can be detected in HD approximately 25 years from predicted onset. Despite elevations in NfL, YKL-40, and slightly reduced striatal volumes, gene carriers at this stage demonstrate no clinical impairments, and brain structure and function that is almost indistinguishable from controls. In addition, characterising the timing and anatomical specificity of basal ganglia white matter loss in preHD may inform the optimal timing and injection sites for future viral-vector based therapeutics. I argue that with the right therapeutic or combination of therapeutics, interventions at this stage may be best placed to delay or prevent further neurodegeneration, affording gene carriers many more years of life without impairment.

7 Publications

Zeun, P., R. I. Scahill, S. J. Tabrizi and E. J. Wild. Fluid and imaging biomarkers for Huntington's disease. *Mol Cell Neurosci* 2019; 97: 67-80.

Scahill, R. I.* , **P. Zeun***, K. Osborne-Crowley, E. B. Johnson, S. Gregory, C. Parker, *et al.* Biological and clinical characteristics of gene carriers far from predicted onset in the Huntington's disease Young Adult Study (HD-YAS): a cross-sectional analysis. *Lancet Neurol* 2020; 19(6): 502-512.

Under peer review

Zeun, P., McColgan, P., Dhollander, T., Gregory, S., Johnson, E.B., Papoutsis, M., Nair, N., Scahill, R.I., Rees, G., Tabrizi, S.J. and the TrackOn-HD and HD-YAS Investigators. Timing and specificity of basal ganglia white matter loss in premanifest Huntington's disease.

Nair, A., Johnson, E.B., Gregory, S., Osbourne-Crowley, K., **Zeun, P.**, Scahill, R.I., Lowe, J., Papoutsis, M., Palminteri, S., Rutledge, R.B., Rees, G., Tabrizi, S.J. Aberrant striatal value representation in Huntington's disease gene carriers 25 years before onset.

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Langley, C., Gregory, S., Osborne-Crowley, K., O'Callaghan, C., **Zeun, P.**, Lowe, J., Johnson, E.B., Papoutsi, M., Scahill, R.I., Rees, G., Tabrizi, S.J., Robbins, T.W., Sahakian, B.J. Fronto-striatal circuits for cognitive flexibility in far from onset Huntington's Disease: Evidence from the Young Adult Study. Under peer review.

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9 Appendix

9.1 Disease burden score (DBS)

DBS is calculated as age X [CAG length-35.5]. The score functions as a posteriori estimate of an individual's lifetime exposure to mHTT at any given age (Penney et al. 1997).

In HD-YAS, gene carriers with DBS scores of ≤ 240 were eligible. The below chart demonstrates the range of ages and CAG lengths (shaded in green) within this DBS criterion.

DBS = AGE*(CAG-35.5)									
Age	CAG								
	40	41	42	43	44	45	46	47	48
18	81	99	117	135	153	171	189	207	225
19	86	105	124	143	162	181	200	219	238
20	90	110	130	150	170	190	210	230	250
21	95	116	137	158	179	200	221	242	263
22	99	121	143	165	187	209	231	253	275
23	104	127	150	173	196	219	242	265	288
24	108	132	156	180	204	228	252	276	300
25	113	138	163	188	213	238	263	288	313
26	117	143	169	195	221	247	273	299	325
27	122	149	176	203	230	257	284	311	338
28	126	154	182	210	238	266	294	322	350
29	131	160	189	218	247	276	305	334	363
30	135	165	195	225	255	285	315	345	375
31	140	171	202	233	264	295	326	357	388
32	144	176	208	240	272	304	336	368	400
33	149	182	215	248	281	314	347	380	413
34	153	187	221	255	289	323	357	391	425
35	158	193	228	263	298	333	368	403	438
36	162	198	234	270	306	342	378	414	450
37	167	204	241	278	315	352	389	426	463
38	171	209	247	285	323	361	399	437	475
39	176	215	254	293	332	371	410	449	488
40	180	220	260	300	340	380	420	460	500

9.2 HD-YAS eligibility criteria

Inclusion criteria

- a. Are 18-40 years of age, inclusive; and
- b. Are capable of providing informed consent and
- c. Are capable of complying with study procedures and

For the **Healthy Control** group, participants eligible are persons who meet the following criteria:

- d. Have no known family history of Huntington's disease (family control or community control)*; or
- e. Have known family history of Huntington's disease but have been tested for the huntingtin gene CAG expansion and are not at genetic risk for Huntington's disease (CAG < 36) (gene negative).

For the **Young Adult Premanifest Huntington's disease** group, participants eligible are persons who meet the additional following criteria:

- f. Do not have clinical diagnostic motor features of Huntington's disease, defined as Unified Huntington's Disease Rating Scale (UHDRS) Diagnostic Confidence Score¹ < 4; and
- g. Have CAG expansion ≥ 40 and
- h. A disease burden score (DBS) ≤ 240 ² **

* Family controls were partners or spouses of someone either with the Huntington's disease gene or at risk of Huntington's disease due to having a 1st degree relative with Huntington's disease. Community controls were either friends of someone with or at risk of Huntington's disease, or from the wider Huntington's disease community recruited via advertisement through Huntington's disease support groups.

** The rationale for this DBS cut-off is that this boundary corresponds approximately to >18 years to estimated disease onset according to the Langbehn formula³.

Exclusion criteria

- a. Current use of investigational drugs or participation in a clinical drug trial within 30 days prior to study visit; or
- b. Current intoxication, drug or alcohol abuse or dependence; or
- c. If using any antidepressant, psychoactive, psychotropic or other medications or nutraceuticals used to treat Huntington's disease, the use of inappropriate (e.g., non-therapeutically high) or unstable dose within 30 days prior to study visit; or
- d. Significant medical, neurological or psychiatric co-morbidity likely^{***}, in the judgment of the Principal

Investigator, to impair participant's ability to complete essential study procedures; or

- e. Predictable non-compliance as assessed by the Principal Investigator; or
- f. Inability or unwillingness to undertake any of the essential study procedures; or g. Needle phobia; or
- h. Contraindication to MRI, including, but not limited to, MR-incompatible pacemakers, recent metallic implants, foreign body in the eye or other indications, as assessed by a standard pre-MRI questionnaire; or
- i. Pregnant (as confirmed by urine pregnancy test); or
- j. Claustrophobia, or any other condition that would make the subject incapable of undergoing an MRI.

For the optional cerebrospinal fluid (CSF) collection only

- k. Needle phobia, frequent headache, significant lower spinal deformity or major surgery; or
- l. Antiplatelet or anticoagulant therapy within the 14 days prior to sampling visit, including but not limited to:
aspirin, clopidogrel, dipyridamole, warfarin, dabigatran, rivaroxaban and apixaban; or
- m. Clotting or bruising disorder; or

- n. Screening blood test results outside the clinical laboratory's normal range for the following: white cell count, neutrophil count, lymphocyte count, haemoglobin (Hb), platelets, prothrombin time or activated partial thromboplastin time; or
- o. Screening blood test results for C-reactive protein >2x upper limit of normal; or
- p. Exclusion during history or physical examination, final decision to be made by the Principal Investigator; including but not limited to:
 - i any reason to suspect abnormal bleeding tendency, e.g. easy bruising, petechial rash; or
 - ii any reason to suspect new focal neurological lesion, e.g. new headache, optic disc swelling, asymmetric focal long tract signs; or
 - iii any other reason that, in the clinical judgment of the operator or the Principal Investigator, it is felt that lumbar puncture is unsafe.

*** Comorbidities are assessed for during an interview asking about current and previous medical and drug history. The T1 weighted MRI brain was reviewed by an experienced consultant neuroradiologist and CSF white and red cell counts were also reviewed to further ensure absence of neurological comorbidity.

9.3 TrackOn-HD eligibility criteria

Inclusion Criteria

Written informed consent must be obtained from the participant, who must agree to all the assessments. In addition:

1. All participants should be able to tolerate MRI and sample donation
2. Participants will be either

a. Control participant

- i. An existing control participant previously enrolled in TRACK-HD
- ii. A newly recruited control participant who is either

- Partner/spouse of a participant, not at risk of HD (note these participants will not have CAG repeat testing)
- HD Normal repeat length sibling or HD normal repeat length control volunteer

b. Premanifest gene carrier

- i. An existing premanifest gene carrier previously enrolled in TRACK-HD
- ii. A newly recruited premanifest gene carrier with:
 - Positive genetic test with CAG repeat length ≥ 40 and
 - Burden of pathology score $(CAG-35.5) \times \text{age} > 250$ and

Exclusion Criteria

1. Stage 1 (UHDRS diagnostic confidence score of 4) or greater at time of enrolment, unless previously enrolled as a premanifest participant in TRACK-HD
2. Less than 18 years of age
3. More than 65 years of age (unless previously enrolled in TRACK-HD)
4. Major psychiatric disorder at time of enrolment
5. Concomitant significant neurological disorder
6. Concomitant significant medical illness
7. Unsuitability for MRI, e.g. claustrophobia, metal implants
8. Unwillingness to donate blood
9. History of significant head injury
10. Predictable non-compliance by drug and/or alcohol abuse
11. Significant hand injuries that preclude either writing or rapid computerized responding
12. Participant in Predict-HD
13. Currently participating in a clinical drug trial

9.4 UHDRS total motor score (TMS)

The TMS is a score out of 124 based on a clinical assessment on the presence or absence of motor signs that can be seen in HD observed on examination. Each sign is scored 0-4 based on whether the sign is absent, or present. Where present, scores ascend from 1-4 based on whether the sign is subtle or more prominent/severe.

Ocular pursuit:

0 = complete (normal)

1 = jerky movement

2 = interrupted pursuits/full range

3 = incomplete range

4 = cannot pursue

Saccade initiation:

0 = normal

1 = increased latency only

2 = suppressible blinks or head movements to initiate

3 = unsuppressible head movements

4 = cannot initiate saccades

Horizontal and vertical scored separately

Saccade velocity:

0 = normal

1 = mild slowing

2 = moderate slowing

3 = severely slow, full range

4 = incomplete range

Horizontal and vertical scored separately

Dysarthria:

0 = normal

1 = unclear, no need to repeat

2 = must repeat to be understood

3 = mostly incomprehensible

4 = anarthria

Tongue protrusion:

0 = can hold tongue fully protruded for 10 sec

1 = cannot keep fully protruded for 10 sec

2 = cannot keep fully protruded for 5 sec

3 = cannot fully protrude tongue

4 = cannot protrude tongue beyond lips

Finger taps:

0 = normal ($\geq 15/5$ sec.)

1 = mild slowing, reduction in amplitude (11-14/5 sec.)

2 = moderately impaired (7-10/5 sec.)

3 = severely impaired (3-6/5 sec.)

4 = can barely perform task (0-2/5 sec.)

Right and left scored separately

Pronate/supinate-hands:

0 = normal

1 = mild slowing and/or irregular

2 = moderate slowing and irregular

3 = severe slowing and irregular

4 = cannot perform

Right and left scored separately

Luria:

0 = ≥ 4 in 10 sec, no cue

1 = < 4 in 10 sec, no cue

2 = ≥ 4 in 10 sec with cues

3 = < 4 in 10 sec with cues

4 = cannot perform

Rigidity-arms:

0 = absent

1 = slight or present only with activation

2 = mild to moderate

3 = severe, full range of motion

4 = severe with limited range

Right and left scored separately

Bradykinesia-body:

0 = normal

1 = minimally slow (?normal)

2 = mildly but clearly slow

3 = moderately slow, some hesitation

4 = markedly slow, long delays in initiation

Maximal dystonia:

0 = absent

1 = slight/intermittent

2 = mild/common or moderate/intermittent

3 = moderate/common

4 = marked/prolonged

Trunk, right upper extremity, left upper extremity, right lower extremity, left lower extremity scored separately

Maximal chorea:

0 = absent

1 = slight/intermittent

2 = mild/common or moderate/intermittent

3 = moderate/common

4 = marked/prolonged

Face, buccal-oral, trunk, right upper extremity, left upper extremity, right lower extremity, left lower extremity scored separately

Gait:

0 = normal gait, narrow base

1 = wide base and/or slow

2 = wide base and walks with difficulty

3 = walks only with assistance

4 = cannot attempt

Tandem walking:

0 = normal for 10 steps

1 = 1 to 3 deviations from straight line

2 = >3 deviations

3 = cannot complete

4 = cannot attempt

Retropulsion pull test:

0 = normal

1 = recovers spontaneously

2 = would fall if not caught

3 = tends to fall spontaneously

4 = cannot stand

Maximal TMS score / 124

9.5 UHDRS diagnostic confidence score (DCS)

The DCS is based on a clinical assessment in which a clinician rates how confident they are in classifying the HD mutation carrier as motor manifest HD.

0 = Normal (no abnormalities)

1 = non-specific motor abnormalities (less than 50 % confidence)

2 = motor abnormalities that may be signs of HD (50 - 89 % confidence)

3 = motor abnormalities that are likely signs of HD (90 - 98 % confidence)

4 = motor abnormalities that are unequivocal signs of HD \geq 99 % confidence)

9.6 Graph theory calculations

Graph theory metrics were calculated using the brain connectivity toolbox (Rubinov and Sporns 2010).

The degree of a node i is calculated as follows:

$$k_i = \sum_{j \in N} a_{ij}$$

where N is the set of all nodes in the network and a_{ij} is the connection between nodes i and j .

Nodal strength (graph strength or connection strength) is calculated using the weighted connection (Eq. 2):

$$s_i = \sum_{j \in N} w_{ij}$$

The path length of a node is defined as follows, where d_{ij} is the (weighted) shortest path length between two nodes:

$$L_i = \frac{1}{n} \sum_{j \in N, j \neq i} \frac{d_{ij}}{n-1}$$

The average path length for the network is calculated by taking the average across all nodes:

$$L = \frac{1}{n} \sum_{i \in N} L_i$$

Modularity is where the network is fully subdivided into a set of nonoverlapping modules M . It is calculated as follows, where e_{uv} is the portion of connections that link nodes in module u with nodes in module v :

$$Q = \sum_{u \in M} \left[e_{uu} - \left(\sum_{u \in M} e_{uv} \right)^2 \right]$$

9.7 Chapter 4 missing data

Study	Total number	QC Fail	Left handed or ambidexterous	Total
HD-YAS	122	1	14	107
TrackOn-HD Single-shell 2012	222	41	24	157
TrackOn-HD Single-shell 2013	222	30	24	168
TrackOn-HD Single-shell 2014	222	40	24	158
TrackOn-HD Multi-shell 2014	80	0	7	73

For TrackOn-HD single shell data, 56 gene carriers and 65 controls had data at 3 time points, 28 premanifest and 24 controls had data at 2-time points, and 10 gene carriers and 9 controls had data at one time point. The TrackOn-HD multi-shell acquisition was only performed at 2 of the 4 sites (London and Paris).