REINFORCING THE PULMONARY ARTERY AUTOGRAFT IN AORTIC POSITION

THE ROSS PROCEDURE WITH A TEXTILE MESH SLEEVE:

A HISTOLOGICAL EVALUATION

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1 ABSTRACT

Objectives: The Ross procedure involves replacing a patient's diseased aortic valve with their
own pulmonary valve. The most common failure mode is dilatation of the autograft. Various
strategies to reinforce the autograft have been proposed. Personalized external aortic root
support (PEARS) has been shown to be effective in stabilizing the aortic root in Marfan patients.
In this study, the use of a similar external mesh to support in the context of a Ross procedure a
pulmonary artery autograft was evaluated.

Methods: The pulmonary artery was translocated as an interposition autograft in the descending
thoracic aortas of ten sheep. The autograft was reinforced with a polyethylene terephthalate
mesh (n=7) or left unreinforced (n=3). After six months, a CT-scan was taken and the
descending aorta was excised and histologically examined using Hematoxylin-eosin and
Elastica van Gieson stains.

Results: The autograft/aortic diameter ratio was 1.59 in the unreinforced group, but much less in the reinforced group (1.11) (p<0.05). A fibrotic sheet, variable in thickness and containing fibroblasts, neovessels and foreign body giant cells, was incorporated in the mesh. Histological examination of the reinforced autograft and the adjacent aorta revealed thinning of the vessel wall due to atrophy of the smooth muscle cells (SMC). Potential spaces between the vessel wall and the mesh were filled with edema.

Conclusions: Reinforcing a pulmonary interposition autograft in the descending aorta with a
macroporous mesh showed promising results in limiting autograft dilatation in this sheep model.
Histological evaluation revealed atrophy of the SMC, and consequently thinning of the vessel
wall within the mesh support.

23 *Keywords:* Ross procedure; Reinforcement; Pulmonary autograft; PEARS; Histology; Marfan.

24 INTRODUCTION

25 In the Ross procedure, the healthy pulmonary artery root is used as an autograft to replace the diseased aortic valve (1,2). Compared to replacement with an animal tissue valve, the living 26 valve tissue is less prone to failure and compared with a mechanical valve, the patient is spared 27 28 mandatory lifelong anticoagulation (2-4). Published by Ross in 1967, it was an early innovation 29 in the history of a rtic valve replacement (5). It remains an attractive solution for young patients with aortic valve disease, but has only been adopted sporadically because of anxiety about 30 31 surgical complexity, the compromise of a healthy pulmonary valve and later deterioration of 32 either or both the autograft and the replacement pulmonary valve (3,5,6). Autograft dilatation of the pulmonary artery root in aortic position is the most important failure mode after Ross 33 surgery, occurring in 17% to 55% of patients at 5 to 10 years follow-up. Up to 12% of patients 34 35 ultimately require autograft replacement due to substantial dilatation (2-4,7,8). Clinical 36 experience is that the the autograft increases in diameter on exposure to systemic pressure. This is not detrimental to autograft valve function. It is not predictive of later dysfunction . there 37 may be further dilation during the first year and beyond. (9.10). To tackle the drawback of 38 autograft dilatation, various reinforcement techniques have been developed but none has been 39 40 consistently successful (11-15).

It is 14 years since Personalized External Aortic Root Support (PEARS) was used for the first time to halt aortic root expansion in Marfan patients. PEARS is a procedure in which a soft macroporous mesh sleeve is custom made based on the patient's CT and/or MRI images and surgically placed around the dilated area (16). Note that PEARS has only been used when the aortahas reached a diameter sufficient for adult hemodynamic function because it fixes the aortic shape and size. By the end of 2017, 117 patients with aortic root aneurysms, predominately due to genetically determined aortopathy, have had an operation to place an

ExoVasc mesh support in 14 centers (17,18). A modification of this technique might be a
promising new option for autograft reinforcement during the Ross procedure.

It has been found that the external mesh, closely fitting the aorta, becomes fully incorporated in 50 the adventitia and preserves the vascular architecture, in contrast to wrapping with low porosity 51 52 and poorly fitting Dacron grafts (17,18). A clinical case report confirmed these findings and 53 showed that the supported aneurysm had the histological appearance of a normal aorta as opposed to Marfan related degeneration (19). Verbrugghe et al. investigated the histological 54 55 characteristics more thoroughly in sheep (20). They reported full incorporation of the exostent in the outer layer of the carotid artery and minimal structural changes in the wrapped arterial wall. 56 Recently, the principle has been applied to the Ross pulmonary autograft in seven patients. No 57 58 follow-up data on these patients is yet published.

59 Currently, there is very limited data concerning the incorporation of the ExoVasc mesh support 60 and its influence on the histological properties of the aorta. Concerns about thinning of the 61 media of the aorta within the ExoVasc mesh support, and the potential for aortic dissection within and beyond the exostent support have been raised by critics. The neo-aorta no longer 62 relies on the media for its strength and relative thinning can reasonably be reviewed as an 63 64 adaptive change and to date, dissection within ot beyond the support has never been seen in 65 470 patient years of follow up. If the technique is to have a place in the clinical use of the Ross 66 procedure, further investigation of the impact of ExoVasc mesh implantation around the pulmonary artery could bring further insights. Our goal was to assess in a large animal model 67 whether the macroporous mesh can be used to protect pulmonary artery tissue in aortic position 68 69 from dilatation. We wanted to study the effect of the mesh on the histological features of the arterial wall. 70

71 MATERIALS AND METHODS

72 Surgical procedure

73 The animal experiments were approved by the Animal Ethics Committee of the KU Leuven 74 (P053/2013). In thirteen Lovenaar sheep, a pulmonary artery interposition graft was placed in aortic position. Three of them died during surgery and were excluded from further analysis. Only 75 76 female sheep were used to avoid inter-gender differences. The sheep were sedated with an 77 intramuscular injection of ketamine (15 mg/kg). Subsequently, anesthesia was induced and maintained with isoflurane (5% and 2-3% respectively). Through a left thoracotomy, the 78 79 pulmonary artery was carefully exposed. During cardiopulmonary bypass, ± 15 mm of pulmonary artery was resected and relocated as an interposition graft in the descending aorta. 80 In seven sheep (age 40.1 ± 7.3 weeks), the pulmonary autograft was reinforced with a 81 82 polyethylene terephthalate mesh with a pore size of 0.7 mm (Exstent Ltd., Tewkesbury, UK). 83 The amount of overlap of the mesh on the aorta was about 1 cm on both sides. By contrast, the 84 autograft was left without reinforcement in three control sheep (age 37.2 ± 5.8 weeks). Six to eight months later, a CT-scan was taken and the sheep were euthanized with euthasol (120 85 mg/kg). After sacrifice, cylindrical samples of both pulmonary artery and descending aorta were 86 excised in all sheep. Additionally, unreinforced pulmonary artery tissue in aortic position of one 87 88 control sheep was collected. A diagram of the surgical procedures and the tissues collected is shown in Figure 1. 89

90 *Aortic diameter*

The diameter of the pulmonary artery and the pulmonary autograft was measured on the CTimages. In addition, the diameter of the descending thoracic aorta about 1.5 cm proximal and distal to the pulmonary autograft was measured.

94 Histological analysis

95 The obtained samples were fixed in paraformaldehyde (6%) and dehydrated (Medite TES 99),

96 before being embedded in paraffin. 5-µm-thick serial cross-sections were created (Microm

97 HM360) and stained with Hematoxylin and eosin and Elastica van Gieson stains using standard

98 laboratory protocols. All specimens were examined with the use of a Zeiss Imager M2

99 microscope and pictures were taken with an Axiocam MRc5 camera. Measurements of the wall

thickness and the smooth muscle cell and elastin content were performed with AxioVision

101 software (carl Zeiss AG, Oberkochen, Germany).

102 Statistics

103 Data was analyzed with Matlab R2016b (MathWorks Inc., Natick, Massachusetts, USA) and

104 with Microsoft Office Excel (Microsoft Corp., Redmond, Washington, USA). Results are

105 displayed as mean ± standard deviation (SD). A p-value less than 0.05 was considered

significant. Variables were compared using the unpaired t-test.

107 **RESULTS**

108 Macroscopic evaluation

During the initial surgery, as in clinical experience with the Ross procedure, immediate dilatation of the autograft in both the control and reinforcement group was visible. After six to eight months, macroscopic examination showed that the ExoVasc mesh was entirely surrounded by an inhomogeneous fibrotic sheet, extending to either end of the material. The lumen was well preserved and showed no erosions or obstructions. Finally, the aorta proximal and distal to the autograft appeared normal in both groups (Figure 2).

115 Aneurysmatic dimensions

116 The diameter of the thoracic aorta proximal and distal to the pulmonary autograft served as a 117 reference to indicate the amount of dilatation. In the control group, the autograft/aortic diameter ratio was 1.59 ± 0.40 at sacrifice. A significant smaller ratio of 1.11 ± 0.06 was measured in the reinforced group (p < 0.05) (Table 1).

120 Histological evaluation

121 The mean native aortic and pulmonary arterial wall thicknesses of the reinforced group were 2.86 ± 0.47 mm and 1.61 ± 0.59 mm respectively. After reinforcing the pulmonary autograft and 122 123 the adjacent aorta, the mean wall thicknesses, measured from the tunica intima to the tunica 124 adventitia, significantly decreased to 1.36 ± 0.63 mm (53% decrease) and 0.84 ± 0.22 mm (42% decrease), six to eight months after surgery (p < 0.05 and p < 0.05). In contrast, if the 125 126 mesh and fibrotic sheet are included in the wall thicknesses, they increase with 3% and 57% 127 respectively (Table 2). However, there is a large variation in increase, ranging from -27% to 37% for the aorta and from -12% to 132% for the pulmonary artery, due to the variable 128 129 thickness of the fibrotic sheet.

Atrophy of the vascular smooth muscle cells (SMC) was present in all the samples of both the 130 wrapped pulmonary autograft (Figure 3) and the surrounding wrapped aorta (Figure 4), causing 131 the uniform thinning. An average decrease of 34% ± 21% and 36% ± 27% in SMC concentration 132 was measured in the wrapped pulmonary autograft and wrapped aorta respectively. Overall, the 133 134 elastin fibers appeared intact, although in some areas, fragmented elastin fibers were seen. As 135 a consequence of vessel wall thinning, the density of the elastin fibers increased by $28\% \pm 36\%$ for the pulmonary autograft and $25\% \pm 21\%$ for the aorta. The SMC/elastin ratio in the 136 pulmonary artery and aorta decreased from 3.00 ± 0.62 to 1.12 ± 0.54 and from 0.81 ± 0.40 to 137 0.39 ± 0.19 respectively, again illustrating the atrophy of the SMC after wrapping. The evolution 138 139 in SMC and elastin fiber content per sheep is given in Table 3.

In this experiment, the macroporous mesh was not custom made to fit as it has been in clinical
use. After six to eight months, the gap between the vessel wall and the mesh was mainly filled

with fluid and a limited amount of fibroblasts. Additionally, edema between the elastin fibers in
the media of the vessel wall was sometimes present (Figure 4B). The mesh itself was entirely
covered by a fibrotic sheet, consisting of collagen fibers, fibroblasts, neovessels and foreign
body giant cells.

In one control sheep, samples of aorta, pulmonary artery and pulmonary artery in aortic position were collected. The initial thicknesses of the aortic and pulmonary arterial wall were 1.07 mm \pm 0.05 mm and 1.90 mm \pm 0.11 mm respectively. Overall, after placing the pulmonary artery in aortic position, the wall thickness stayed the same. However, more variability in wall thickness was present (1.06 mm \pm 0.18 mm). Concerning the SMC and elastin amount, no conclusion can be drawn since samples of only one sheep were available and these samples show a large variability.

153 **DISCUSSION**

154 Effect of external wrapping on autograft dilatation

155 In theory the Ross procedure is an attractive alternative to the standard aortic valve 156 replacement for young patients allowing the potential of many years free from anticoagulation 157 and re-operation. This has been achieved for many patients but it has not been widely adopted due to major concerns about technical difficulty, trading 'single valve disease for the double 158 159 valve disease' and the long term failure due to autograft dilatation and consequent aortic 160 regurgitation. (6) In order to avoid the deterioration of the autograft, several reinforcement 161 techniques and materials have been developed (11-13,15). In our sheep study reported here, a macroporous ExoVasc mesh was used to successfully limit autograft dilatation of the pulmonary 162 163 interposition graft. Nappi et al. used a similar approach to reinforce the pulmonary interposition 164 autograft in growing sheep. Their semi-resorbable macroporous mesh prevented pulmonary autograft dilatation while allowing the natural process of growth (21-23). Overall, studies 165

investigating pulmonary autograft dilatation after wrapping with different materials came to the
 same conclusion, namely reduction or complete prevention of dilatation (11-15). However, the
 experiences with a low porosity Dacron and Gore-Tex graft were unsatisfactory.(2)

169 Effect of external wrapping on histological features

170 One of the most frequently voiced concerns associated with historical 'wrapping' of the aorta is 171 thinning of the arterial wall. This concern arose mainly from two case reports describing an 172 extremely thin aortic wall several years after Dacron graft-supported aortoplasty (24). Robicsek et al. coined the term under-the-wrap atrophy (25). These observations may be inherent to the 173 174 use of a low porosity vascular graft material, which was not designed for this purpose but to be 175 a prosthetic replacement for the aorta. In a previous experiment of our research group, a low 176 porosity Dacronvascular tube graft and macroporous ExoVasc mesh material were implanted 177 around the abdominal aorta of the same three sheep for twelve months. Atrophy of the vascular 178 SMC in the tunica media was present with a Dacron wrap while changes were much less 179 pronounced in the aortic wall sleeved with the macroporous mesh (26). In the current study, 180 depletion of the SMC in the mesh supported pulmonary arterial and aortic wall, and the 181 corresponding thinning of those vessel walls, was also seen. An overall increase in wall 182 thicknesses was seen due to the fibrotic sheet covering the mesh.

183 In contrast to our results, Nappi et al. reported thinning of the media in their control group and an intact media in the reinforced group (22,23). Also Verbrugghe et al. reported minimal 184 structural changes in the tunica media of carotid arteries of growing sheep after implantation of 185 a macroporous mesh for four to six months (20). Similar observations were mentioned in two 186 187 follow-up studies of patients with aortic wall reinforcement with a highly porous mesh. The aortic wall architecture was well preserved after wrapping and no erosion of the mesh through the 188 aortic wall was observed (27,28). A more recent patient report confirmed these findings, 189 190 additionally mentioning that the supported aortic root had the histological appearance of a

normal aorta. Also, the fact that the unsupported aortic arch showed medial degeneration raises
the possibility of microstructural recovery of the damaged aorta after wrapping (19).

As stated above, our results are in line with the previously mentioned concern of thinning.
However, in this context thinning of the media does not result in loss of strength (30) or an
increased propensity for dissection.

196 Mechanical analysis

197 Mechanical testing of similar samples is reported by Vastmans et al. (30). The difference in behavior of aortic and pulmonary arterial tissue was clearly visible. The stress-strain curves 198 indicated that the pulmonary artery is 'stiffer' than the aorta. After mesh support, the difference 199 200 in stiffness was less evident. In addition, exposed to aortic pressure, no difference between the 201 arterial tissues with or without mesh was visible, since at low pressures, the macroporous mesh 202 nicely fits around the artery and does not contribute significantly to the mechanical stiffness. 203 Only at higher pressures, the textile fibers of the mesh are put under tension and start to 204 contribute mechanically. These results indicate the importance of a personalized mesh. The mesh should have no influence at physiological stresses and only restrict motion at higher 205 206 pressures, which is only possible if the mesh encloses the vessel precisely.

207 *Experimental sheep model*

Sheep are widely used for testing cardiovascular surgical devices because of the cardiovascular similarities between sheep and humans (30). Therefore, we developed an experimental model of a pulmonary artery interposition graft in sheep. Performing an actual Ross procedure from our perspective is not feasible in sheep due to anatomic differences (21,30). Firstly, the ascending aorta is too short and immobile. Secondly, re-implantation of the coronary ostia on the pulmonary autograft is challenging since they are positioned very low. Third, and most important, the failure mode of the human Ross operation takes place over decades. This is not

215 evaluable in animal experiments. In our model the behavior of the pulmonary artery under 216 systemic pressure was examined, avoiding the complexities of the valve leaflets, coronary ostia 217 and the sinuses of Valsalva. The one centimeter overlap of the mesh of onto the aorta protects 218 the anastomosis. Despite these limitations we consider re-implanting the pulmonary artery in the 219 descending aorta to be a clinically relevant model. This experimental approach is lower risk for 220 the survival of the animal, reproducible and allowed us to assess the histological and structutal effects of mesh reinforcement on the pulmonary artery under systemic hemodynamic 221 222 conditions..

223 Limitations and further research

We acknowledge the fact that only one CT-scan per sheep makes it hard to evaluate autograft dilatation. The baseline diameter of the pulmonary interposition graft was not measured by CT, the 6-months/postoperative pulmonary autograft diameter ratio describes the differential effect. In addition, no knowledge on the cardiac phase during which the CT-scan was taken is available. As a final remark, the lack of sufficient control sheep is one of the limitations of this study.leaving uncertainty as to the reproducibility of the changes in wall thicknesses and composition. In any further studies, more imaging and more control sheep can be considered.

231 Conclusion

To evaluate the effect of exostent reinforcement on dilatation of the pulmonary artery

interposition graft and on the histological features of the arterial wall, we developed a

reproducible and clinically relevant sheep model. Reinforcing the pulmonary autograft with a

235 macroporous mesh, currently used to halt aortic root expansion in Marfan patients, successfully

- limited autograft dilatation. Thinning of the media, due to atrophy of the vascular SMC, was
- present in all of the samples. However, the mesh supported pulmonary arterial wall was
- stronger when tested mechanically. We propose for discussion that a macroporous mesh is

- 239 likely to be applicable to circumvent the major drawback of the Ross procedure. This is being
- considered for clinical use and the fist clinical uses will be reported soon.

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249 CONFLICT OF INTEREST

None declared.

FIGURES



Figure 1. The surgical procedure with a list of the collected tissues. The removed portion of the main trunk of the pulmonary artery has been replaced with standard low-porositu vascular iternposition tube graft (white). The colour key identifies the aorta and pulmonary artery and where they have been reinforced. For ease of interpretation the illustrations are based on human anatomy. PA: Pulmonary artery.



Figure 2. (A) Surgical view of the pulmonary artery in aortic position. An instantaneous dilatation of the autograft was noticed. (B,D) Macroscopic analysis of the reinforced pulmonary autograft after six to eight

months, revealing a fibrotic sheet covering the mesh and a preserved lumen. (C) Macroscopic analysis of the pulmonary autograft of a control sheep after six to eight months.



Figure 3. Transverse microscopic sections of native pulmonary artery and wrapped pulmonary autograft of sheep 0091, Elastica van Gieson stain, magnification x25. The lumen is marked with *. (A) Native pulmonary artery. (B) Wrapped pulmonary autograft with increased density of the elastin fibers due to atrophy of the vascular smooth muscle cells.



Figure 4: Transverse microscopic sections of native and wrapped aorta of sheep 0091, Elastica van Gieson stain, magnification x25. The lumen is marked with *. (A) Native aorta. (B) Wrapped aorta with uniform thinning of the media. Fluid accumulation between the vessel wall and the mesh (arrowhead) and peripheral within the media of the vessel wall (Δ) is clearly visible.



Figure 5: Transverse microscopic sections of aorta, pulmonary artery and pulmonary artery in aortic position of control sheep 0321, Elastica van Gieson stain, magnification x25. The lumen is marked with *. (A) Pulmonary artery. (B) Aorta. (C,D) Pulmonary artery in aortic position. Both pictures are taken from the same transverse microscopic section, showing the large variability in wall thickness and composition.¹

¹ Reprinted from Julie Vastmans, Heleen Fehervary, Peter Verbrugghe, Tom Verbelen, Emma Vanderveken, Jos Vander Sloten, Tom Treasure, Filip Rega, Nele Famaey, Biomechanical evaluation of a personalized external aortic root support applied in the Ross procedure, Journal of the Mechanical Behavior of Biomedical Materials, 2018;78:164-174, with permission of Elsevier.

TABLES

Table 1: Diameter data of the reinforced group (top) and control group(bottom) at sacrifice2

Sheep	Diameter aorta	Diameter	Autograft/aortic
	(mm)	autograft (mm)	diameter ratio
0091	19.95	21.13	1.06
0073	21.85	23.02	1.05
0385	19.39	20.86	1.08
0393	17.88	21.66	1.21
0434	Missing	20.99	Missing
0320	19.37	22.51	1.16
0418	19.89	21.29	1.07
Mean ± SD	19.72 ± 1.17	21.64 ± 0.76	1.11 ± 0.06
0321	20.00	22.24	1.11
1983	22.21	46.45	2.09
1858	19.88	31.08	1.56
Mean ± SD	20.70 ± 1.07	33.26 ± 10.01	1.59 ± 0.40

SD: Standard deviation. The diameter aorta is the average of the aortic diameter about 1.5 cm proximal and distal to the interposition graft.

² Reprinted from Julie Vastmans, Heleen Fehervary, Peter Verbrugghe, Tom Verbelen, Emma Vanderveken, Jos Vander Sloten, Tom Treasure, Filip Rega, Nele Famaey, Biomechanical evaluation of a personalized external aortic root support applied in the Ross procedure, Journal of the Mechanical Behavior of Biomedical Materials, 2018;78:164-174, with permission of Elsevier.

Native			After reinforcement			
	Wall	Wall	Wall	Wall	Total wall	Total wall
Sheep	thickness	thickness	thickness	thickness	thickness	thickness
	aorta (mm)	PA (mm)	aorta (mm)	PA (mm)	aorta (mm)	PA (mm)
0091	3.14	1.58	1.89	1.18	2.95	2.95
0073	2.53	1.11	1.04	0.74	3.11	2.58
0385	2.48	1.32	1.95	0.65	3.41	1.50
0393	2.05	1.71	0.49	0.60	1.61	1.45
0434	3.02	2.83	1.42	1.13	3.28	3.12
0320	3.30	1.10	1.47	0.90	2.41	2.42
0418	3.49	Missing	1.25	0.67	3.72	2.72
Mean ± SD	2.86 ± 0.48	1.61 ± 0.59	1.36 ± 0.47	0.84 ± 0.22	2.93 ± 0.66	2.39 ± 0.62

Table 2: Wall thickness data of the reinforced group

PA: Pulmonary artery; SD: Standard deviation. The wall thickness includes the tunica intima, tunica media and tunica adventitia. The total wall thickness includes the three layers of the vascular wall as well as the mesh and the fibrotic sheet.

Sheep	Tissue	SMC/elastin ra Native	tio After reinforcement	Elastin increase (%)	SMC decrease (%)
0091	PA	4.18	0.75	73.99	-28.86
	aorta	0.84	0.17	27.56	-70.12
0073	PA	2.47	1.81	-12.39	-27.55
	aorta	0.77	0.33	32.24	-33.94
0385	PA	2.74	1.41	-2.46	-40.61
	aorta	0.60	0.52	22.04	10.00
0393	PA	2.45	1.58	38.26	0.57
	aorta	0.75	0.69	-11.76	-1.24
0434	PA	3.44	0.19	74.03	-70.10
	aorta	0.65	0.17	40.04	-34.65
0320	PA	2.71	1.41	-0.82	-38.94
	aorta	1.03	0.60	7.27	-41.03
0418	PA	Missing	0.76	Missing	Missing
	aorta	1.86	0.27	58.26	-64.08
Mean ± SD	PA	3.00 ± 0.62	1.12 ± 0.54	28.34 ± 35.99	-34.25 ± 20.95
	aorta	0.81 ± 0.40	0.39 ± 0.19	25.09 ± 20.93	33.58 ± 27.43

Table 3: Data on the impact of mesh implantation on the vascular smooth muscle cell and elastin amount

SMC: Smooth muscle cells; PA: Pulmonary artery; SD: Standard deviation.

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