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42 **ABSTRACT**

43 **Purpose:** To determine classification criteria for intermediate uveitis, non-pars planitis type (IU-
44 NPP, also known as undifferentiated intermediate uveitis)

45 **Design:** Machine learning of cases with IU-NPP and 4 other intermediate uveitides.

46 **Methods:** Cases of intermediate uveitides were collected in an informatics-designed preliminary
47 data base, and a final data base was constructed of cases achieving supermajority agreement
48 on the diagnosis, using formal consensus techniques. Cases were split into a learning set and
49 a validation set. Machine learning using multinomial logistic regression was used on the
50 learning set to determine a parsimonious set of criteria that minimized the misclassification rate
51 among the intermediate uveitides. The resulting criteria were evaluated on the validation set.

52 **Results:** Five hundred eighty-nine of cases of intermediate uveitides, including 114 cases of
53 pars planitis, were evaluated by machine learning. The overall accuracy for intermediate
54 uveitides was 99.8% in the learning set (95% confidence interval [CI] 98.7, 100) and 99.3% in
55 the validation set (95% CI 96.1, 99.9). Key criteria for IU-NPP included unilateral or bilateral
56 intermediate uveitis with neither 1) snowballs in the vitreous nor 2) snowbanks on the pars
57 plana. Other key exclusions included: 1) multiple sclerosis, 2) sarcoidosis, and 3) syphilis. The
58 misclassification rates for pars planitis were 0 % in the learning set and 0% in the validation set,
59 respectively.

60 **Conclusions:** The criteria for IU-NPP had a low misclassification rate and appeared to perform
61 well enough for use in clinical and translational research.

62

63 **PRECIS**

64 Using a formalized approach to developing classification criteria, including informatics-
65 based case collection, consensus-technique-based case selection, and machine learning,
66 classification criteria for intermediate uveitis, non-pars planitis type were developed. Key criteria
67 included intermediate uveitis with neither vitreous snowballs nor pars plana snowbanks.
68 Exclusions included multiple sclerosis, sarcoidosis, and syphilis. The resulting criteria had a low
69 misclassification rate.

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DRAFT

71 The intermediate uveitides encompass several diseases characterized by the vitreous
72 being the primary site of clinically evident inflammation and an absence of choroiditis or
73 retinitis.¹⁻³ Intermediate uveitides may be due to infections, such as Lyme disease or syphilis, or
74 associated with systemic diseases, such as sarcoidosis or multiple sclerosis.³ In the absence of
75 a demonstrable infection or related systemic disease, they are presumed to be eye-limited and
76 immune mediated.³ One specific intermediate uveitic disease, pars planitis, was described in
77 1960 and was characterized by vitritis and pars plana snowbank formation (a collection of fibro-
78 inflammatory debris).³⁻¹⁰ However, not all cases of non-infectious intermediate uveitis without a
79 systemic disease have snowbanks, and these cases sometimes have been lumped with pars
80 planitis, and sometimes not, leading to confusion as to what represents pars planitis.⁶⁻¹⁰ At the
81 First International Workshop of the Standardization of Uveitis (SUN) Working Group, it was
82 decided by a supermajority of participants to classify non-infectious intermediate uveitides
83 unassociated with a systemic disease as pars planitis, if there were snowballs or snowbanks,
84 and as intermediate uveitis, non-pars planitis type, if there were not.² An alternative term for
85 intermediate uveitis, non-pars planitis type would be undifferentiated intermediate uveitis.
86 Intermediate uveitides, including pars planitis, account for up to 15% of uveitis cases in series
87 from tertiary eye care referral centers.¹¹

88 The SUN Working Group is an international collaboration which has developed
89 classification criteria for 25 of the most common uveitic diseases using a formal approach to
90 development and classification.¹²⁻¹⁶ Among the diseases being studied was intermediate
91 uveitis, non-pars planitis type.

92 **Methods**

93 The SUN Developing Classification Criteria for the Uveitides project proceeded in four
94 phases as previously described: 1) informatics, 2) case collection, 3) case selection, and 4)
95 machine learning.¹²⁻¹⁵

96 *Case collection and case selection.* De-identified information was entered into the SUN
97 preliminary database by the 76 contributing investigators for each disease as previously
98 described.^{14,15} Cases in the preliminary database were reviewed by committees of 9
99 investigators for selection into the final database.^{14,15} Because the goal was to develop
100 classification criteria,¹⁰ only cases with a supermajority agreement (>75%) that the case was the
101 disease in question were retained in the final database (i.e. were “selected”).^{14,15}

102 *Machine learning.* The final database then was randomly separated into a learning set
103 (~85% of cases) and a validation set (~15% of cases) for each disease as described in the
104 accompanying article.¹⁵ Machine learning was used on the learning set to determine criteria
105 that minimized misclassification. The criteria then were tested on the validation set; for both the
106 learning set and the validation set, the misclassification rate was calculated for each disease.
107 For intermediate uveitis, non-pars planitis type, the diseases against which it was evaluated
108 were: multiple sclerosis (MS)-associated intermediate uveitis; pars planitis, sarcoid intermediate
109 uveitis, and syphilitic intermediate uveitis. Too few cases of Lyme disease uveitis were
110 collected in the database for analysis by machine learning.

111 The study adhered to the principles of the Declaration of Helsinki. Institutional Review
112 Boards (IRBs) at each participating center reviewed and approved the study; the study typically
113 was considered either minimal risk or exempt by individual IRBs.

114 **Results**

115 Two hundred nine cases of intermediate uveitis, non-pars planitis type were collected,
116 and 114 (55%) achieved supermajority agreement on the diagnosis during the “selection” phase
117 and were used in the machine learning phase. These cases of pars planitis were compared to
118 475 cases of other intermediate uveitides, including 112 cases multiple sclerosis-associated
119 intermediate uveitis, 226 cases of pars planitis type, 52 cases of sarcoidosis-associated
120 intermediate uveitis, and 85 cases of syphilitic intermediate uveitis. The details of the machine
121 learning results for these diseases are outlined in the accompanying article.¹⁵ The

122 characteristics at presentation to a SUN Working Group Investigator of cases with intermediate
123 uveitis, non-pars planitis type are listed in Table 1. The criteria developed after machine
124 learning are listed in Table 2. Key features are the presence of inflammation primarily in the
125 vitreous, absence of snowballs and snowbanks, and the exclusion of syphilis, multiple sclerosis,
126 and sarcoidosis. The overall accuracy for intermediate uveitides was 99.8% in the learning set
127 (95% confidence interval [CI] 98.7, 100) and 99.3% in the validation set (95% CI 96.1, 99.2).¹⁶
128 The misclassification rate for intermediate uveitis, non-pars planitis type in the learning set was
129 0% and in the validation set 0%.¹⁶

130 **Discussion**

131 The classification criteria developed by the SUN Working Group for intermediate uveitis,
132 non-pars planitis type have a low misclassification rate, indicating good discriminatory
133 performance against other intermediate uveitides.

134 Intermediate uveitis, non-pars planitis type is to some extent a diagnosis of exclusion. It
135 must have the features of an intermediate uveitis, but not be pars planitis, multiple sclerosis-
136 associated intermediate uveitis, sarcoidosis, syphilis, or Lyme disease. The type of uveitis most
137 often seen with Lyme disease is an atypical intermediate uveitis or an anterior and intermediate
138 uveitis, but disease indistinguishable from intermediate uveitis, non-pars planitis type has been
139 described.^{17,18} Lyme uveitis is sufficiently uncommon that we were unable to collect a sufficient
140 number of cases for analysis. Nevertheless, it would be prudent to exclude Lyme disease in
141 cases of intermediate uveitis from Lyme disease endemic areas or in Lyme disease exposed
142 patients. However, in Lyme disease non-endemic regions, there appears to be little value to
143 screening for Lyme disease.¹⁹

144 Other than the presence of snowballs and snowbanks with pars planitis, and a diagnosis
145 of multiple sclerosis with multiple sclerosis-associated intermediate uveitis, there are no other
146 differences on ocular examination that reliably distinguish among the three diseases.^{16,20,21}
147 HLA-DR2 and its split antigen HLA-DR15 are risk factors for both pars planitis and multiple

148 sclerosis,^{9,10,22} so that it is unhelpful in distinguishing between them.²³ There are patients, albeit
149 few, with pars planitis with bilateral vitritis and unilateral snowbanks;^{6,7} There has been a
150 suggestion that snowbanks might herald more severe disease,⁷ but the SUN cross sectional
151 data did not confirm that.²⁰ In our opinion, these patients should be classified as having pars
152 planitis and not two diseases. Patients with pars planitis with snowballs without snowbanks
153 tend to be older and appear to have an age distribution similar to that of intermediate uveitis,
154 non-pars planitis type. Long-term follow-up studies, perhaps with immunogenetic typing and
155 neuro-imaging, might clarify whether these should be considered three distinct diseases or
156 whether pars planitis without snowbanks should be lumped with intermediate uveitis, non-pars
157 planitis type. However, at this time, it is recommended that patients be classified as: 1) pars
158 planitis with snowbanks; 2) pars planitis without snowbanks; or 3) intermediate uveitis, non-pars
159 planitis type.

160 None of the cases included in this series had clinical evidence of multiple sclerosis.
161 However, the data did not include whether every case underwent neuro-imaging for multiple
162 sclerosis. Among patients with intermediate uveitis without multiple sclerosis at presentation the
163 rate of developing multiple sclerosis can be estimated at ~2% to 4%/year,^{9,10} so that neuro-
164 imaging to exclude multiple sclerosis is likely to have a low yield and is not routinely
165 recommended.²⁴ Instead, exclusion should be based on clinical grounds (the absence of
166 relevant neurological lesions or a history of relevant neurological lesions). Nevertheless, some
167 patients with follow-up will develop multiple sclerosis and have their diagnosis updated over
168 time.

169 About 10% of the patients in the SUN data base for intermediate uveitis, non-pars
170 planitis type were over 50 years of age and thus at greater risk for intraocular lymphoma.²⁵
171 Intraocular lymphoma accounts for ~1.5% of cases of “uveitis” in the elderly presenting to
172 tertiary eye care referral centers, and ~10% of cases which undergo diagnostic vitrectomy.²⁶
173 Hence it would be unreasonable to require vitrectomy confirmation of the absence of intraocular

174 lymphoma as part of the criteria. Nevertheless, suspicion of lymphoma based on ocular
175 characteristics should lead to appropriate diagnostic studies (e.g. diagnostic vitrectomy) in
176 clinical care.

177 The presence of any of the exclusions in Table 2 suggests an alternate diagnosis, and
178 the diagnosis of pars planitis should not be made in their presence. In prospective studies
179 many of these tests will be performed routinely, and the alternative diagnoses excluded.
180 However, in retrospective studies based on clinical care, not all of these tests may have been
181 performed. Hence the presence of an exclusionary criterion excludes pars planitis, but the
182 absence of such testing does not always exclude the diagnosis of pars planitis if the criteria for
183 the diagnosis are met. Nevertheless, because of the overlapping features of sarcoidosis-
184 associated intermediate uveitis, including snowballs, a reasonable effort should be made to
185 exclude sarcoidosis, including as a minimum, chest imaging, for all cases of intermediate
186 uveitis, non-pars planitis type.²⁷

187 Classification criteria are employed to diagnose individual diseases for research
188 purposes.¹⁴ Classification criteria differ from clinical diagnostic criteria, in that although both
189 seek to minimize misclassification, when a trade-off is needed, diagnostic criteria typically
190 emphasize sensitivity, whereas classification criteria emphasize specificity,¹⁵ in order to define
191 a homogeneous group of patients for inclusion in research studies and limit the inclusion of
192 patients without the disease in question that might confound the data. The machine learning
193 process employed did not explicitly use sensitivity and specificity; instead it minimized the
194 misclassification rate. Because we were developing classification criteria and because the
195 typical agreement between two uveitis experts on diagnosis is moderate at best,¹⁴ the selection
196 of cases for the final database (“case selection”) included only cases which achieved
197 supermajority agreement on the diagnosis. As such, some cases which clinicians would
198 diagnose with intermediate uveitis, non-pars planitis type may not be so classified by these
199 classification criteria.

200 In conclusion, the criteria for intermediate uveitis, non-pars planitis outlined in Table 2
201 appear to perform sufficiently well for use as classification criteria in clinical research.^{15,16}

DRAFT

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Table 1. Characteristics of Cases with Intermediate Uveitis, Non-Pars Planitis Type

Characteristic	Result
Number cases	114
<i>Demographics</i>	
Age, median, years (25 th 75 th percentile)	37 (23, 52)
Gender (%)	
Men	37
Women	63
Race/ethnicity (%)	
White, non-Hispanic	68
Black, non-Hispanic	5
Hispanic	4
Asian, Pacific Islander	3
Other	8
Missing	12
<i>Uveitis History</i>	
Uveitis course (%)	
Acute, monophasic	4
Acute, recurrent	4
Chronic	86
Indeterminate	6
Laterality (%)	
Unilateral	29
Unilateral, alternating	0
Bilateral	71
<i>Ophthalmic examination</i>	
Keratic precipitates (%)	
None	82
Fine	13
Round	3
Stellate	0
Mutton Fat	1
Other	1
Anterior chamber cells (%)	
Grade 0	59
½+	17
1+	16
2+	7
3+	2
4+	0
Hypopyon (%)	
Anterior chamber flare (%)	
Grade 0	82
1+	16
2+	3
3+	0
4+	0
Iris (%)	

Normal	91
Posterior synechiae	9
Sectoral iris atrophy	0
Patchy iris atrophy	0
Diffuse iris atrophy	0
Heterochromia	0
Intraocular pressure (IOP), involved eyes	
Median, mm Hg (25 th , 75 th percentile)	14 (12, 17)
Proportion patients with IOP>24 mm Hg either eye (%)	4
Vitreous cells (%)*	
Grade 0	3
½+	14
1+	39
2+	35
3+	9
4+	1
Vitreous haze (%)*	
Grade 0	31
½+	14
1+	34
2+	17
3+	3
4+	2
Vitreous snowballs [†]	0
Pars plana snowbanks [†]	0
Peripheral retinal vascular sheathing or leakage	19
Macular edema	47

*All cases had either vitreous cells or haze; only 2 cases had haze without evident cells. [†]No cases had snowballs or snowbanks, as the diagnosis then would be pars planitis.

265

266 **Table 2. Classification Criteria for Intermediate Uveitis, Non-Pars Planitis Type**

<p>Criteria</p> <ol style="list-style-type: none">1. Evidence of intermediate uveitis<ol style="list-style-type: none">a. vitreous cells AND/OR vitreous hazeb. if anterior chamber cells are present, anterior chamber inflammation less than vitreousc. no evidence of retinitis <p>AND</p> <ol style="list-style-type: none">2. No evidence of pars planitis<ol style="list-style-type: none">a. neither vitreous snowballs NORb. pars plana snowbanks <p>Exclusions</p> <ol style="list-style-type: none">1. Multiple sclerosis, defined by the McDonald criteria²⁸2. Positive serology for syphilis using a treponemal test3. Evidence of sarcoidosis (either bilateral hilar adenopathy on chest imaging or tissue biopsy demonstrating non-caseating granulomata)4. Positive serology for Lyme disease, either IgG or IgM (e.g. positive ELISA AND Western blot with requisite number of bands for assay used)5. Evidence of intraocular lymphoma on diagnostic vitrectomy

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