

1 **Role of the local stress systems on microstructural inhomogeneity** 2 **during semisolid injection**

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11 **Abstract**

12 High pressure metal die casting is an extremely dynamic process with widely ranging cooling rates and
13 intensifying pressures, resulting in a wide range of solid fractions and deformation rates simultaneously existing
14 in the same casting. These process parameters and their complex interplay dictate the formation of microstructural
15 solidification defects. In this study, fast synchrotron X-ray imaging experiments simulating high pressure die
16 casting of aluminium alloys were conducted to investigate the effect of solid fraction, loading conditions and
17 semisolid flow on local microstructural inhomogeneity. While most of the existing literature in this field reports
18 speeds up to 10 $\mu\text{m/s}$ for *in situ* deformation, the present work captures much faster filling and solidification, at
19 speeds closer to 100 $\mu\text{m/s}$ and at different solid fractions. Semisolid deformation of low solid fractions reveals
20 two typical microstructural features: (i) coarser grains in the middle and finer ones near the walls, and (ii)
21 remelting near the solid-liquid interface due to Cu enrichment in the liquid by the flow. *Ex situ* scans and digital
22 image correlation analysis of the higher solid fraction samples reveal a porosity formation mechanism based on
23 the local state of stresses, microstructure and feeding. Four different characteristics were identified: (i) plug flow,
24 (ii) dead zone (densified mush), (iii) shear and (iv) bulk zones. These insights will be used to develop zone-specific
25 strategies for the numerical modelling of defect formation during die casting.

26 **Key words:** Semisolid; Dilatancy; X-ray radiography; Digital image correlation; Microstructural response

27 **1. Introduction**

28 Metal casting is a widely utilised process for manufacturing near-net shapes from liquid melt. In some special
29 classes of metal casting, additional pressure is applied during solidification. Among these, High Pressure Die
30 Casting (HPDC) is an expedient technique for producing non-ferrous alloy components at a rapid production rate.
31 During the HPDC process, liquid melt is injected at speeds in the range of $\sim 40\text{-}60$ m/s and cooled at rates of
32 approximately $10^2\text{-}10^3$ K/s, while maintaining an intensification pressure up to 80-100 MPa [1,2]. Moreover,

1 HPDC cast components are often intricate shapes with sections varying from ~1 millimetre to several centimetres
2 [2]. Due to the varying thickness across the cast component, the local cooling rate and thus the local solid fraction
3 changes. This affects the local permeability [3,4] and the composition of the semisolid mushy zone, i.e. mush and
4 hence its response under external pressure [5,6]. As a consequence, a number of porosity defects [7] occurring
5 that are related to filling, thermal contraction [5] and segregation bands (eutectic and porosity) [8–11] can be
6 traced to the extreme thermo-mechanical and microstructural conditions prevailing at higher solid fractions in
7 these zones. It is estimated that about ~35% of casting defects in HPDC components are porosity [12]. These
8 porosity defects severely limit the usability of HPDC products, as they may initiate fatigue failure [13].
9 Earlier research on understanding the porosity mechanisms focused on the formation of gas and shrinkage pores
10 using post-mortem observations [14,15]. Lee and Hunt [16] conducted the first *in situ* observation of porosity in
11 Al-Cu alloys using an X-ray temperature gradient stage and quantified the role of the cooling rate on the pore radii
12 and the volume fractions. Subsequently, several directional solidification experiments focusing on the hydrogen
13 micro-porosity formation have been conducted [17,18] revealing the role of gas diffusion on growth. Based on
14 these radiographic observations, empirical models of pore growth accounting for the influence of hydrogen
15 diffusion [19], volumetric shrinkage [20] and microstructural features like intermetallics [21] have been reported.
16 The primary studies on the shrinkage porosity focused on the permeability and lack of feeding [22,23]. Recently,
17 due to the equiaxed nature of HPDC microstructure and the densification of the solid under loading, the granular
18 mechanics theory [7,24] has been widely adapted to model the solidification and shrinkage formation in HPDC
19 [25,26]. Gourlay et al. [8,9,27] in their foundational papers reported a mechanism for the formation of shrinkage
20 bands due to dilatancy by considering the solid as a granular medium. They further studied the role of Externally
21 Solidified Crystals (ESC) in shrinkage band formation [28], reporting that the migration of ESCs toward the
22 geometric centre (in case of symmetrical casting) increased the local solid fraction whilst affecting the position
23 and nature of segregation bands in Mg-Al alloys. However, these studies did not account for the role of the
24 combined effect of various deformation forces and their influence on pore nucleation and growth mechanisms.
25 Also, the role of the geometry was not considered in these studies. Recently, Li et al. [29] investigated the
26 influence of melt flow and ESCs on the formation of defect bands near the gate in HPDC of a AZ91D magnesium
27 alloy. The defects were found to be along the cross-section where the narrow gate region opens to a wider die.
28 They proposed a mechanism for shrinkage pore formation based on the shearing of ESCs from bulk liquid flow
29 and allowing pore nucleation in intergranular region.

1 Recent advances in synchrotron technology have enabled time-resolved visualisation of the semisolid deformation
2 process [10,30], providing further insight into the shear shrinkage bands caused by stress-induced dilation. Cai et
3 al. [31] and Kareh et al. [10] were the first to examine volumetric dilation induced segregation of inter-dendritic
4 liquid as a response to the compression of semisolid Al-Cu, *in situ*. Cai et al. [31] defined three regimes of pore
5 growth during semisolid deformation and reported the enhancement of porosity growth due to dilatancy.
6 Semisolid extrusion and indentation experiments showed inter-dendritic liquid segregation as a response to
7 volumetric dilation [32–34]. Bhagavath et al. [35] reported the existence of dilatancy-induced convection-driven
8 gas pore growth under compressive forces. Recently, Su et al. [36] conducted *in situ* experiments to study the shift
9 of the flow regime, from a suspension to granular type, during the semisolid deformation experiments. They
10 estimated the change in liquid pressure at the first point of cracking. These radiographic experiments, along with
11 numerical results using a coupled Discrete Element Method- Lattice Boltzmann Method (DEM-LBM) model and
12 Digital Image Correlation (DIC) measurements, highlight the role of solid fraction and shear strain rate on
13 cracking [36,37]. However, the transport phenomena involving eutectic liquid convection are not sufficiently
14 explored, and to understand the volumetric dilation induced porosity bands, a thorough understanding of porosity
15 and band defects is required.

16 Most of the previous work on semisolid deformation is based on the response of the semisolid network subjected
17 to uniaxial loading conditions (tensile, compression, shear) in binary alloy systems of uniform cross-section
18 [33,38,39]. These simple systems do not account for the local changes in cooling rates and flow, as seen in castings
19 with varying thicknesses. Moreover, due to the limitations of the synchrotron image capturing capabilities, many
20 of the synchrotron studies were limited to a maximum deformation rate of 10 $\mu\text{m/s}$. Thus, for results more
21 representative of actual applications, trials need to be conducted with the maximum imaging, cooling, and flow
22 rates experimentally achievable, to surpass past experiments and to investigate a previously unexplored regime.

23
24 During the HPDC process, the feeding pressure in liquid pockets can be blocked by localized solidification, with
25 various sections subjected to different orders of cooling and deformation rates. To understand the underlying
26 mechanisms of defect formation in HPDC components and to mimic the interplay between multiple stress
27 conditions, such as bulk flow, thermal stresses and the injection pressure acting over a range of solid fractions,
28 radiographic experiments at sufficiently high image capture rate are necessary. The experiments also need to take
29 into account the effects of varying casting thicknesses, and thus the cooling conditions on the propensity of crack
30 nucleation and growth. In this study, for the first-time time-resolved *in situ* X-ray radiographic injection

1 experiments on Al-10 wt.% Cu and a modified ADC12 alloy enriched with copper were performed using a T-
 2 shaped die with a narrow constriction section opening to a wider die region. The filling processes at two different
 3 initial solid fractions of ~50% and ~75% injected at 80 $\mu\text{m/s}$ were investigated, and the mechanisms of the
 4 deformation response were examined. The samples were characterised post-mortem in 3D to quantify the pore
 5 distribution in the sample, and DIC was used to measure principal strains. At lower solid fractions, the various
 6 stages of deformation were analysed. For experiments performed at high solid fractions, solid fractions and flow
 7 zones with different microstructural properties were defined based on local stress conditions. These qualitative
 8 and quantitative process maps will be used to design zone-specific numerical models for HPDC casting and shed
 9 light on the effect of cross-sectional changes on pore formation.

10 **2. Materials and methods**

11 **2.1 Sample preparation**

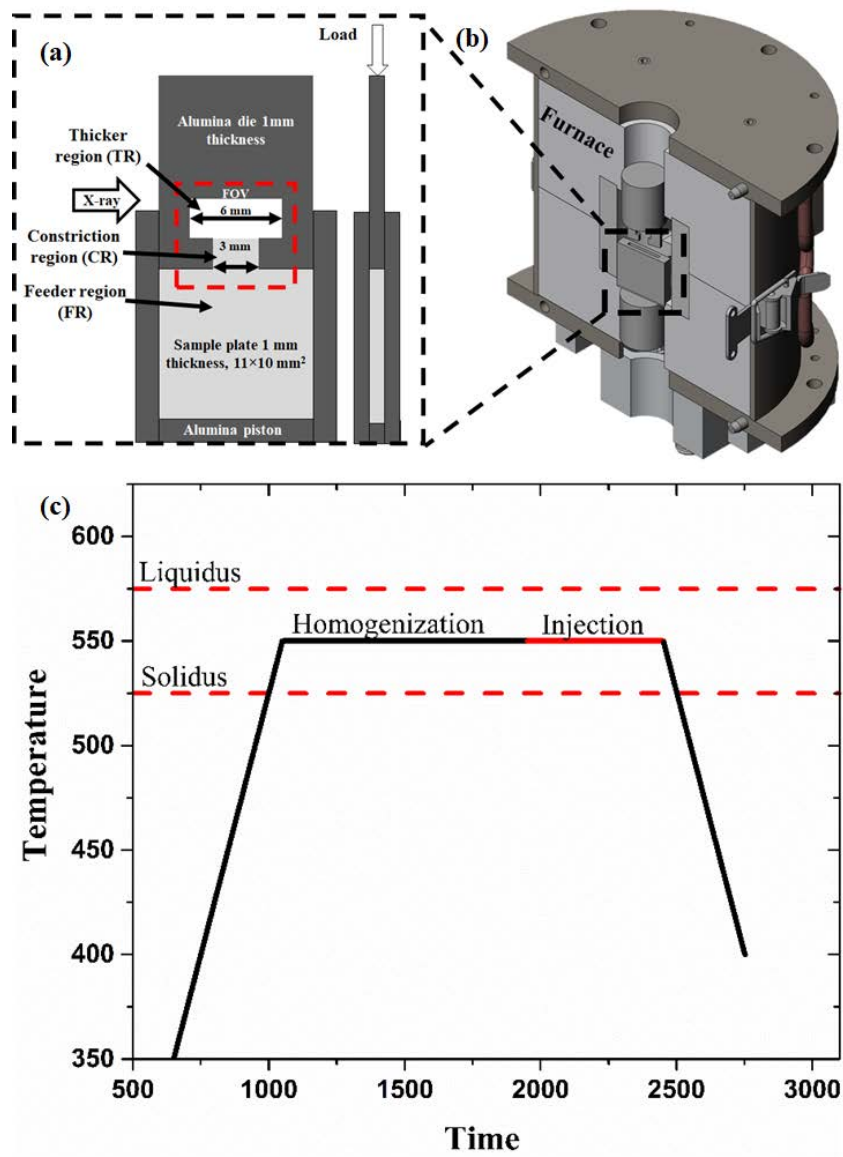
12 Based on the results of preliminary laboratory experiments, two alloy systems, Modified ADC12 and Al-10 wt.%
 13 Cu (herein referred to as MADC12 and Al10Cu, respectively), were chosen for the synchrotron experiments. The
 14 MADC12 alloy is a traditional ADC12 die-cast alloy with additional Cu to enhance the attenuation contrast
 15 between the phases (see Table 1 for the composition). The samples were prepared by melting the alloy ingots in
 16 a PID-controlled coreless induction furnace, in an 80 mm diameter stainless steel crucible. The samples were
 17 heated to about 20 °C above the melting point (superheat), stirred thoroughly with a graphite rod and then
 18 quenched in a water bath. The cylindrical cast sample was 20 mm in height and 80 mm in diameter. The ambient
 19 temperature and humidity conditions (RH ~60%) were maintained during the casting of different alloy systems.

20 **Table 1: Alloy composition in wt.% analysed by Inductively coupled Plasma-Atomic emission spectroscopy**
 21 **(ICP-AES) and Energy-Dispersive X-ray spectroscopy (EDX). The balance in both cases is aluminium.**

Sample	Cu (wt. %)	Fe (wt. %)	Si (wt. %)
Modified ADC12	10.43	0.80	10.5
Al-10Cu	9.82	0.60	0.50

22
 23 The samples were then machined using wire Electrical Discharge Machining (EDM) into flat rectangular samples
 24 of 11×12 mm² cross-section and 1 mm thickness. The edges were smoothed using 320 grit emery paper to avoid
 25 high-stress concentrations, thus ensuring the piston did not crack under heavier loads. The samples were then
 26 carefully tapered at the bottom end for transition fit of the sample inside the hollow piston, which features a 1 mm

1 wide cavity matching the sample thickness (Figure 1(a)). The machined samples were placed inside the piston,
 2 ensuring the alignment of the top surfaces of the sample and piston. They were designed to fit tightly with no
 3 sideways movement possible.
 4 After heating the assembly to the desired temperature (furnace is shown in Figure 1(b)), a vertical load was applied
 5 using a second alumina die with a cavity (Figure 1(a), top), which slides into the lower part whilst deforming the
 6 sample. The die cavity is designed in the shape of a “T”, with a 3 mm wide constriction region (CR) and a 6 mm
 7 wide ‘Thicker region’ (TR) (see Figure 1(a)). The height of the cavity is 2.5 mm.



8
 9 **Figure 1: (a) Illustration of the sample (light grey) mounted in the custom alumina die-piston combination**
 10 **(dark grey). (b) Alumina and sample are placed between the rams of the P2R loading rig inside the resistive-**
 11 **heated furnace. (c) Example of the sample temperature profile during the injection experiments, in which**
 12 **the heating and cooling rate were maintained at 0.4 °C/s.**

13

1 **2.2 *In situ* radiographic investigation of injection and solidification**

2 The fast synchrotron experiments were conducted on the I13-2 beamline of Diamond Light Source [40–42]
3 (beamtime reference MG22053). A schematic of the beamline arrangement is shown in supplementary Figure S1.
4 The custom-built, PID-controlled resistance-heated furnace (see supplementary Figure S2) featuring an 8×8 mm²
5 X-ray transparent window (Figure 1(b)) mounted on a bespoke mechanical rig (P2R) [43] was used for the
6 injection-solidification experiments. This mechanical test setup has been used to conduct a wide range of thermo-
7 mechanical experiments [43]. The mechanical rig has high precision loading control (as low as 100 nm/s) and
8 force measurement (better than 0.1 N).

9 The temperature vs. time profile followed during the deformation experiment is shown in Figure 1(c). The sample
10 was heated at 0.4 °C/s until the pre-determined isothermal temperature corresponding to an estimated solid
11 fraction was reached (heating stage). The specimen temperature was calibrated offline by placing a thermocouple
12 in contact with the sample inside the alumina piston before the deformation experiments (supplementary Figure
13 S3). The temperature vs. solid fraction was estimated based on the measured furnace temperature using the Scheil
14 solidification module of ThermoCalc®. The liquidus and solidus temperatures were further verified by
15 Differential Scanning Calorimetry (DSC) (supplementary Figure S4). The sample was held at the highest
16 temperature for 10 minutes to attain a homogeneous state (homogenisation stage). The die was then pushed
17 downwards into the piston, deforming the sample until the load reached a limit of 400 N (limit set to safeguard
18 the mechanical apparatus), followed by cooling at a rate of 0.4 °C/s (cooling stage).

19 A pink X-ray beam with an energy of 27 keV was used. During the deformation and cooling stage of each
20 experiment, a set of 6000 radiographs was acquired using a PCO.Edge 5.5 camera coupled with camera module
21 1 [42]. Radiographic images with a size of 2560×2160 pixels were obtained with a pixel size of 2.6 μm,
22 corresponding to an effective field of view of 6.7 mm × 5.6 mm [40,41]. The exposure time was kept at 50 ms.
23 The images were initially corrected using flats and darks taken before and after the experiments. The 6000-image
24 stack was further de-noised using a 2D median filter with 3 pixels radius and cropped to appropriate sizes,
25 removing the areas showing only alumina die and piston. For the segmentation of pores, the trainable Weka
26 segmentation plugin [44] in Fiji ImageJ [45] was used.

27 After the *in situ* experiments, the deformed samples were characterised further in 3D using high-resolution lab X-
28 ray tomography (Nikon XTH, 225, UCL Centre for Correlative X-ray Microscopy). Per tomogram, 3185
29 projections were taken over 360° at an effective pixel size of 7.96 μm. The tomographic images were segmented
30 to quantify the pores and solid networks, using the same machine learning-based Weka segmentation plugin, as

1 above in Fiji. An open-source 3D slicer was used for volume rendering [46]. For the 2D and 3D quantification of
2 the pores measured, the 3D count label and morpholibj plugins [47] of ImageJ were applied.

3 **3. Results and discussions**

4 **3.1 Low solid fraction injection**

5 Time-resolved radiographic images showing the *in situ* deformation of the low solid fraction (~50%) Al10Cu
6 sample, injected at a loading rate of 80 $\mu\text{m/s}$, are shown in Figures 2(a-d). Figure 2(a) illustrates the state of the
7 sample at the end of the heating (574 °C sample temperature) and homogenisation stages of the experiment (note
8 that the image has been registered and cropped to maintain the die position in the image sequence). Based on the
9 greyscale, three phase features were identified as solid α phase, liquid phase, and pores. A lighter grey shade
10 indicates pre-existing pores (including the air ahead of the solid interface) or pores generated during the heating
11 stage, whilst medium and darker shades indicate the solid α and liquid phases, respectively. The white region on
12 top of Figure 2(a) has been cropped to maintain a fixed position of the die position in the image. At this stage of
13 the experiment, the distribution of the various phases is stochastic with no evident phase segregation, suggesting
14 that buoyancy forces can be ignored.

15 On applying the load, the semisolid enters the narrow constriction region traversing with a nearly flat interface.
16 By measuring the position of the interface, the velocity was found to be $29.6 \pm 0.2 \mu\text{m/s}$. This system is analogous
17 to plug flow seen during semisolid extrusion of non-Newtonian fluids like Bingham plastics [48,49]. The plug
18 flow behaviour depends on the strain rate, which affects the effective viscosity, and persisted for all the loading
19 rate conditions in the experiments. The wall friction effect was found to be minimal, probably due to a thin layer
20 of liquid alleviating the frictional effects.

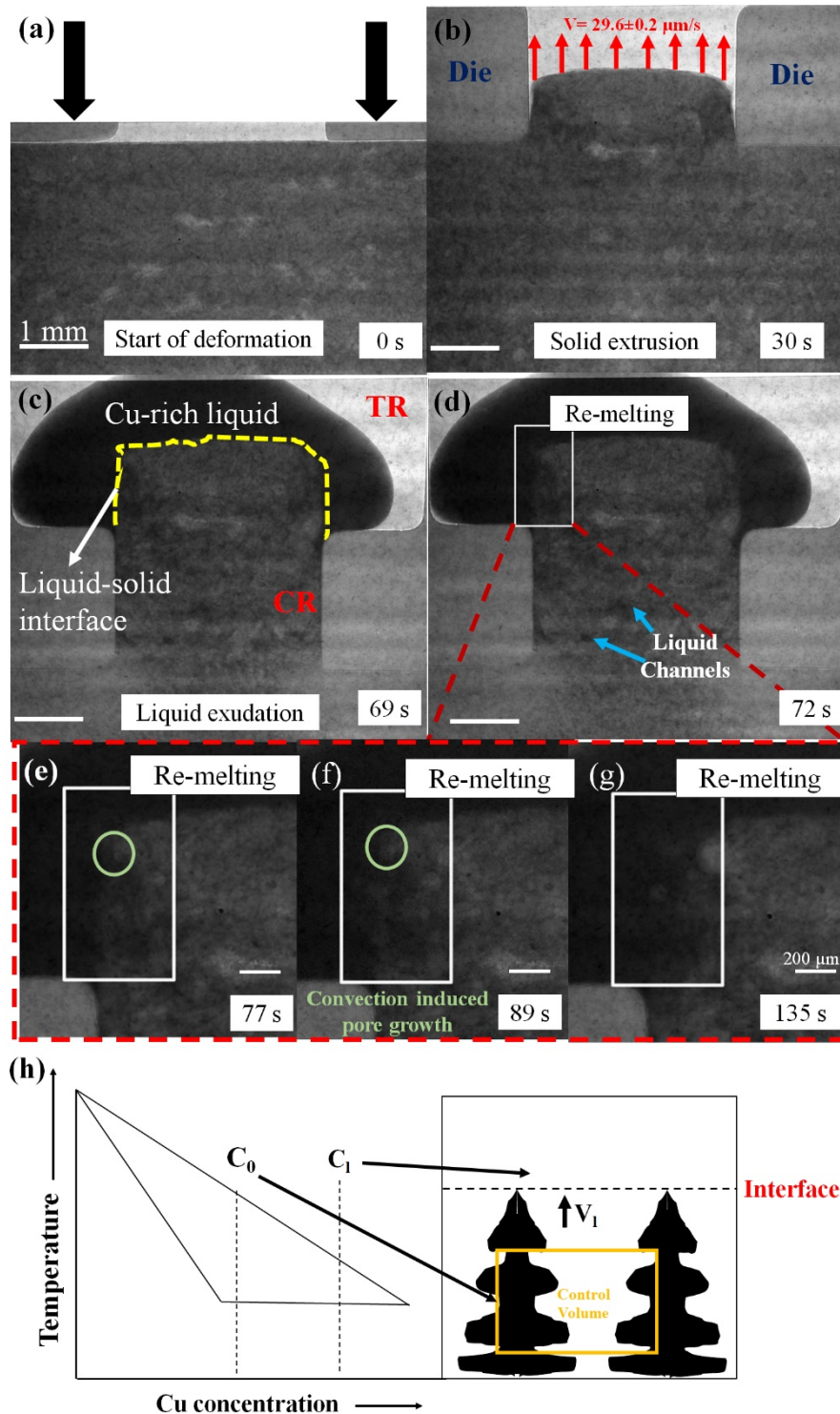
21 Due to the effects of squeezing action by the compressive forces, the region just below the die is found to be
22 depleted of inter-dendritic liquid (left and right sides below the die, Figure 2 (b)). The compressive forces squeeze
23 the inter-dendritic liquid toward the central die opening, further enhanced by the suction caused by the volumetric
24 dilation. The region below the ceramic wall at the constriction zone is subjected to shear due to the downward
25 movement of the die. The increased volumetric strain due to this shear induces a negative pressure, which draws
26 in the inter-dendritic liquid from the neighbouring compressed dead zone. This liquid network formation due to
27 volumetric dilation has been previously reported for semisolid tensile, compression, and extrusion experiments
28 for Al-Cu binary alloy systems [31,35].

29 On the continuation of loading (Figure 2(c)), the liquid front moves upwards ahead of the solid network. Due to
30 the relatively higher density of copper compared to aluminium, it is evident from the images that this liquid is

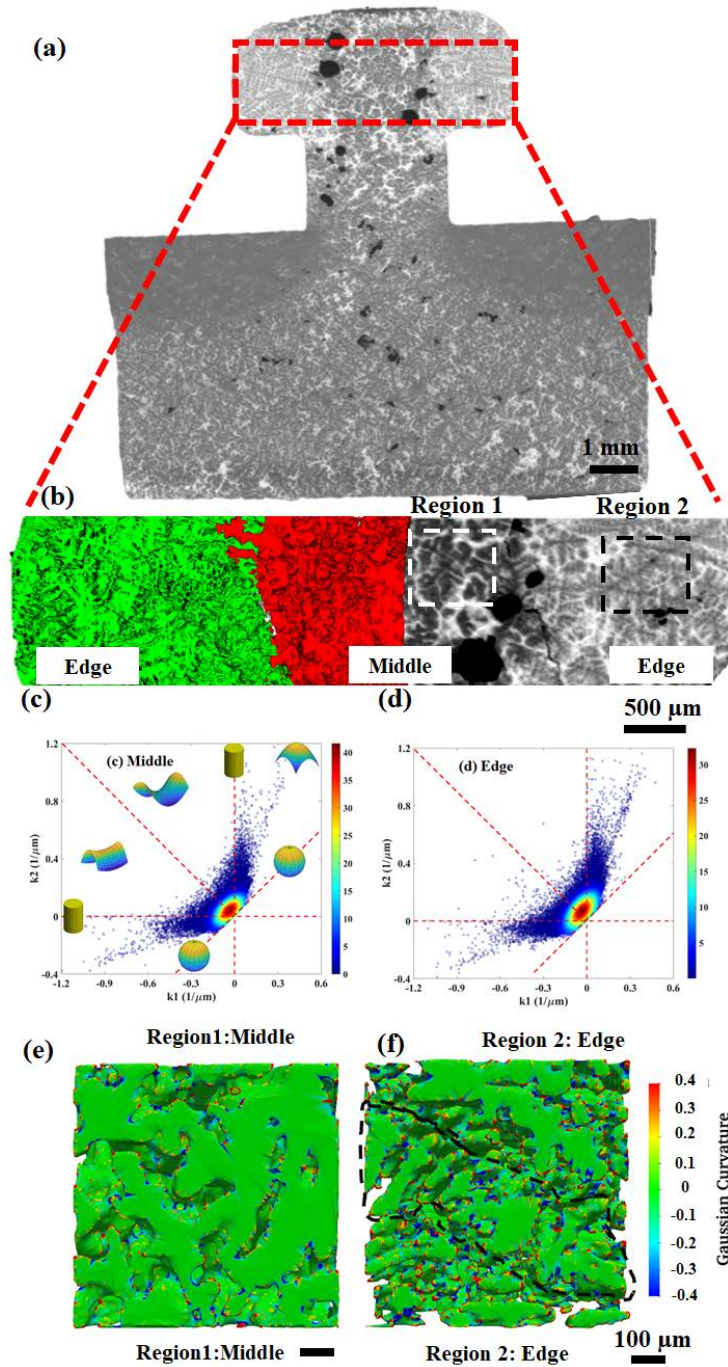
1 enriched in copper, compared to the initial composition. The tomograms of the deformed sample were cropped
2 into several smaller volumes for estimating the copper concentration. These 3D volumes were then segmented to
3 find the fraction of α aluminium and liquid. By back calculation and using the Lever rule, the copper concentration
4 is estimated to be 29%. With the rising liquid, tiny hydrogen gas [50,51] pores squeeze through the solid network,
5 rising to the liquid-air interface and escaping to the atmosphere. During deformation, the gas pores which are
6 trapped in the solid network grow according to the diffusion laws [19,52] (deformation and solidification driven).
7 The required flux is provided by hydrogen convected from the rising liquid [35]. During the cooling stage (Figure
8 2(d)), the pores grow further, initially due to the influx of hydrogen partition from the solid and later by the
9 shrinkage forces.

10 After the deformation is completed, dendrite fragmentation, followed by remelting was observed at the interface
11 of extruded solid and liquid, as observed from the change in grey scale intensity from lighter (solid) to dark (liquid)
12 (shown in green circle in Figure 2(e-g). This is attributed to the conservation of local species concentration, which
13 is re-distributed due to the copper-rich liquid layer [51] (Figure 2(e-g)). The mechanism behind the remelting is
14 illustrated in Figure 2(h). The copper concentration at the interface of solid mush and the exudated liquid
15 ($C_1=29.06$ wt.%) is considerably larger than in the mush ($C_0=10$ wt.%), without considerable temperature
16 differences across, indicating near isothermal deformation. A local equilibrium can be achieved by either
17 remelting of the solid (which is lean in solute) or diffusion of the species to the solid [51]. As the rate of species
18 diffusion is considerably lower compared with the bulk motion of the semisolid, the solid mush starts re-melting
19 due to the influx of copper rich liquid.

20



1
2 **Figure 2: Time-resolved radiographic images (a) just before the application of load; with the loading**
3 **direction is shown as black arrows, (b) extrusion of the solid network, (c) Copper-rich liquid exudation, (d)**
4 **start of the remelting during the deformation process of Al10Cu alloy. (e-g) show a magnified view of the**
5 **evolution of interfaces during the remelting process (supplementary video 1). (h) Simplified phase diagram**
6 **illustrating the mechanism for the remelting phenomenon. The scale bar for figures (a-d) measures 1 mm**
7 **and for (e-g) measures 200 μm .**



1
2 **Figure 3: (a) Vertical tomographic slice of the sample. Note that the representative slice is taken at the**
3 **axisymmetric plane along the thickness, (b) Magnified view of the TR (see Figure 1(a)) of the solidified**
4 **sample and the corresponding 3D rendering (parallel to loading axis) showing the microstructural changes**
5 **between the extruded solid (shown in red) and the copper-rich liquid region (shown in green). ISD plots**
6 **highlighting the distribution of principal curvatures for (c) Region 1 (middle) and (d) Region 2 (edge) shown**
7 **in (b). Distribution of Gaussian curvature of (e) middle and (f) side section for the 50% semisolid Al10Cu**
8 **loaded at 80 $\mu\text{m/s}$.**

1 A tomographic slice of the sample along the loading direction, post deformation is shown in Figure 3(a), whilst
2 Figure 3(b) depicts a magnified view of the 3D structure in the top region, with a corresponding vertical
3 tomographic slice of the sample in the die (TR). To characterise the coarse and fine grain structures, the local
4 principal curvatures were measured and plotted as Interfacial Shape Distribution (ISD) graphs [53,54]. The
5 principal curvatures κ_1 and κ_2 are defined as the reciprocal of principal radii R_1 and R_2 . ISD plots are commonly
6 used to represent the coarsening of dendrites, as the shape features described by the plots are used to define various
7 morphological features in dendrites [55]. For example, a saddle shape indicates a region connecting two
8 secondary/ternary dendrites; elliptic shapes represent dendrite tips and hyperbolic shapes indicate dendrite
9 branches.

10 The ISD plots at the end of deformation and cooling are shown in Figure 3(c-d) for the middle and edge sections,
11 respectively. The colour red in the figure indicates the highest probability of the solid-liquid interfacial shape. The
12 two main branches are aligned along $\kappa_1 = \kappa_2 = 0$, indicating a flatter morphology. The highest probability region for
13 edges was found to incline away from the elliptic shape moving towards the $\kappa_2 = 0$ line. This is an indication for
14 the start of dendrite coarsening and filling of the space between secondary arms. Since the liquid is rich in copper,
15 the solidification range and, consequently, the solidification time is small, resulting in only limited coarsening
16 (Figure 3(f)) compared with more coarsening in the middle (Figure 3(e)). The dendrites hence mostly fit a
17 paraboloid shape [55]. The grains in the middle region are pre-existing (pre-melting), and even though they are
18 slightly coarsened during cooling, the general trends are not conclusive, as the shape of the grains is not only
19 affected by solidification but also deformation. It is to be noted that the ISD plots indicate a general qualitative
20 trend of the curvature distribution, as it is strongly influenced by the image segmentation.

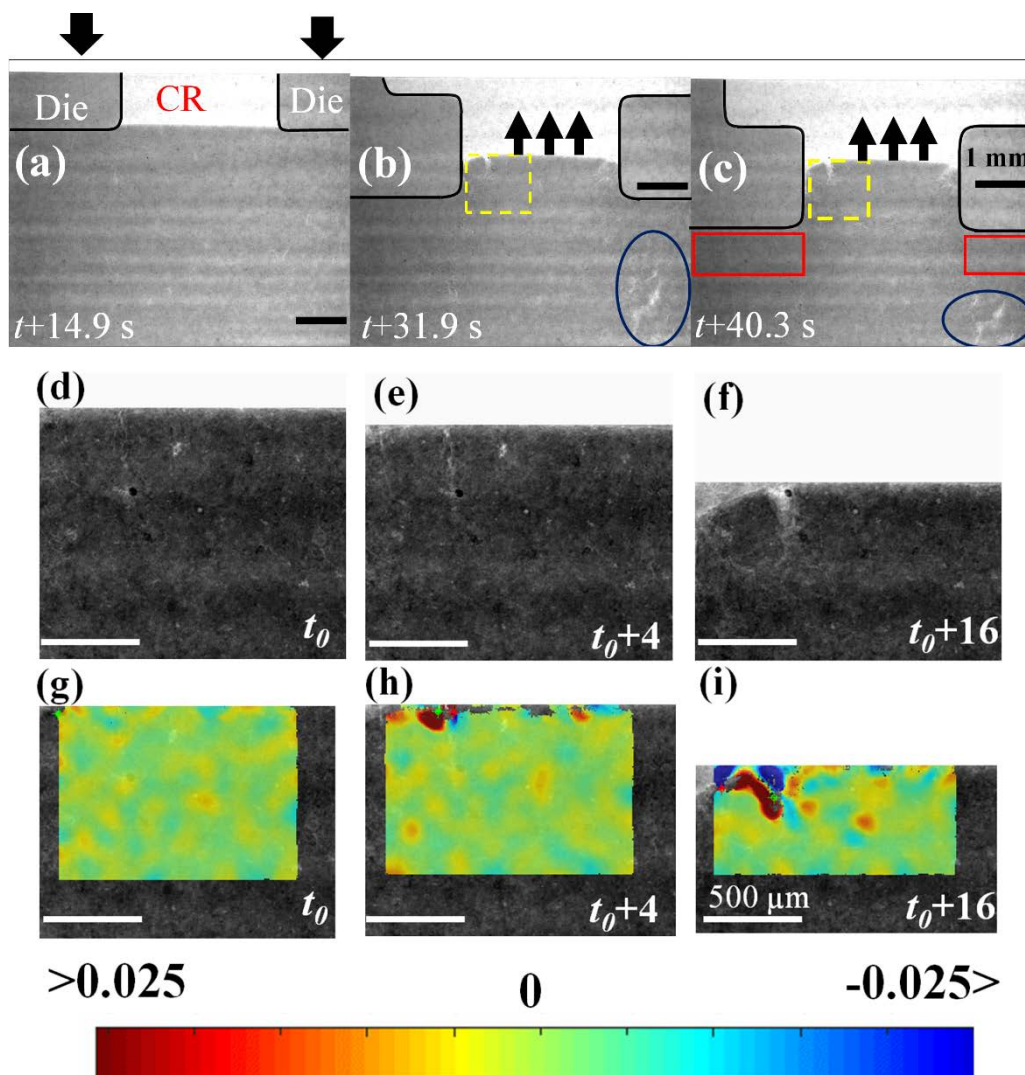
21 In a casting process such as HPDC, low and high solid fraction regions exist simultaneously due to the variations
22 in cooling rates from wall to the centre. Accordingly, the semisolid mush and resulting microstructure can vary
23 substantially with solid fraction. In the next section, the details of the semisolid response and defect formation at
24 higher solid fraction are given.

25 **3.2 High Solid fraction injection**

26 In the case of the high solid fraction mush, deformed at 80 $\mu\text{m/s}$ (Figure 4 (a-c)), cracks start to appear in the
27 constriction region (Figure 4(b)) from the instant of load application. The cracks are mostly observed to be aligned
28 along the loading axis. In the feeding region (Figure 1(a)) at the sample bottom, the nucleation of porosity is
29 caused by shearing due to the opening of solid networks and volumetric dilation. The cracks in the CR open
30 because of the lateral strain developed in the moving semisolid plug flow. Note that the experiments were stopped

1 as soon as the load reached a maximum of 400 N. In high solid fraction case, this occurs early when the semisolid
 2 enters the constriction region, and thus, its behaviour in the thicker region could not be observed.

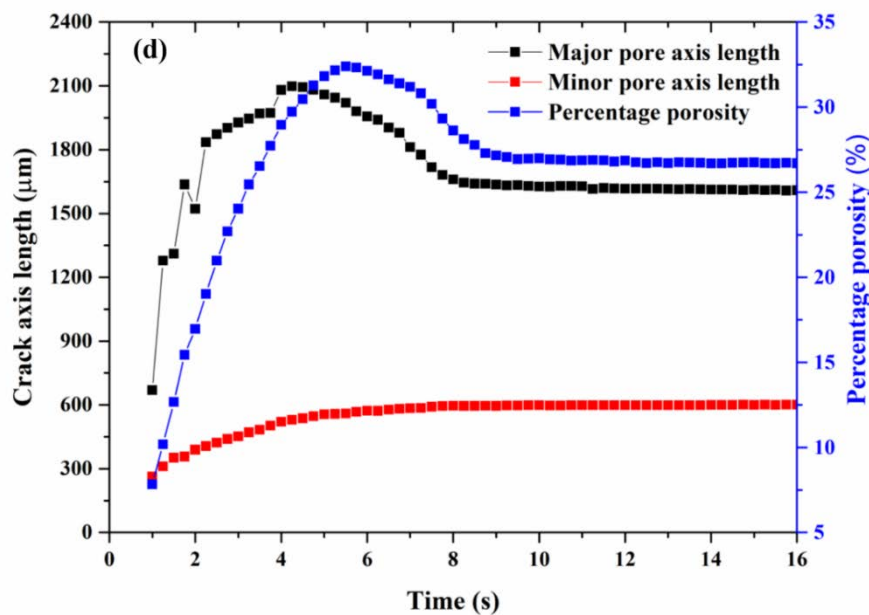
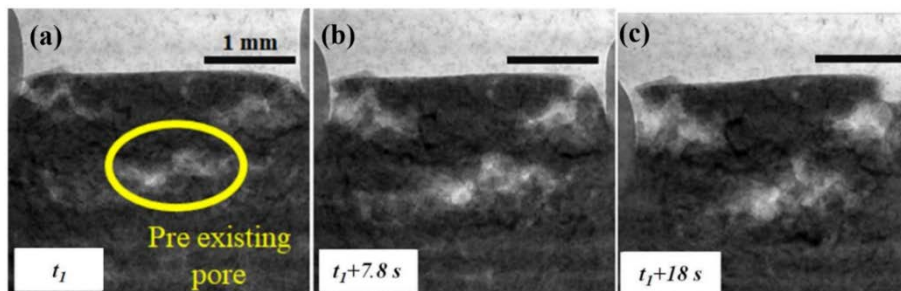
3 To determine the force distribution, the stacks of radiographs were subjected to a DIC routine using the Ncorr
 4 plugin [56] in Matlab® 2018a. The images were cropped to focus on the deforming regions and the image was
 5 filtered using anisotropic diffusion filtering to reduce noise, as shown in Figure 4(d-f). Corresponding DIC images
 6 are shown in Figure 4(g-i), where the red and blue colours indicate strains in opposing directions perpendicular
 7 to the loading axis. The DIC analysis reveals the development of large shear and lateral strains (perpendicular to
 8 the loading axis) as the solid enters the constriction region (CR) due to friction at the ceramic wall. This causes
 9 the nucleation and growth of pores in this region, as discussed in section 3.3.



10
 11 **Figure 4: (a-c) Radiographic slices showing the evolution of pores and cracks during the deformation of**
 12 **Al10Cu. The red boxes indicate the dead zone, and the blue ovals indicate shear cracks. (d-f) Enlarged view**
 13 **of the pores at the interface in the yellow box in (b-c). (g-i) DIC images showing strain maps of the same**

1 region, highlighting the role of shear and lateral strains in pore opening. Note that the contrast is adjusted
 2 in images (d-f) to improve the DIC results. Note that scale bar for Figures (a-c) measures 1 mm and for (d-
 3 i) measures 500 μm .

4 The *in situ* radiographs of the sample in Figure 5(a-c) show pre-existing pores already prevailing in the material
 5 before deformation. In this case, the cracks are oriented perpendicular to the loading direction, following the path
 6 of least resistance along the pre-existing pores. The change in pore fraction and the largest and smallest dimensions
 7 of the near-elliptical pores (major/minor axis lengths) with deformation time are shown in Figure 5(d) (see
 8 supplementary information 5 for ellipse fit). The major axis length and pore fraction increase initially with the
 9 applied load, reaching a maximum at about 4.25 s and 5.5 s, respectively, from the beginning of the measurement.
 10 Both decrease and plateau thereafter at $\sim 1640 \mu\text{m}$ major axis length and $\sim 26.9 \%$ pore fraction at 8.5 s and 9.5 s,
 11 respectively. The decrease in the major axis length is attributed to the reactive forces that have developed as a
 12 consequence of wall friction, as seen from the DIC results of the specimen with no pores. DIC estimation of the
 13 shear strain with pore is given in supplementary Figure S5, which is in good agreement qualitatively, with the
 14 case without the pre-existing pores.



1 **Figure 5: (a-c) Radiographic slices showing the evolution of pores and cracks during the deformation of**
2 **Al10Cu with a pre-existing pore (based on trial 1 in Figure 6) (see supplementary video 2) (d) Temporal**
3 **evolution of major and minor crack length and area fraction of pore (pre-existing pore). Note that the scale**
4 **bar for figures (a-c) measures 1 mm.**

5 As seen from the two cases, for the prediction of porosity in HPDC, it is critical to consider the stresses acting on
6 the mush and the pre-existing pores or particles and the interaction of the dendrites with the die wall. The local
7 forces systems are determined by the dilatancy, friction between die wall and the melt along with the bulk force
8 due to injection.

9 **3.3 3D characterisation of the deformed sample**

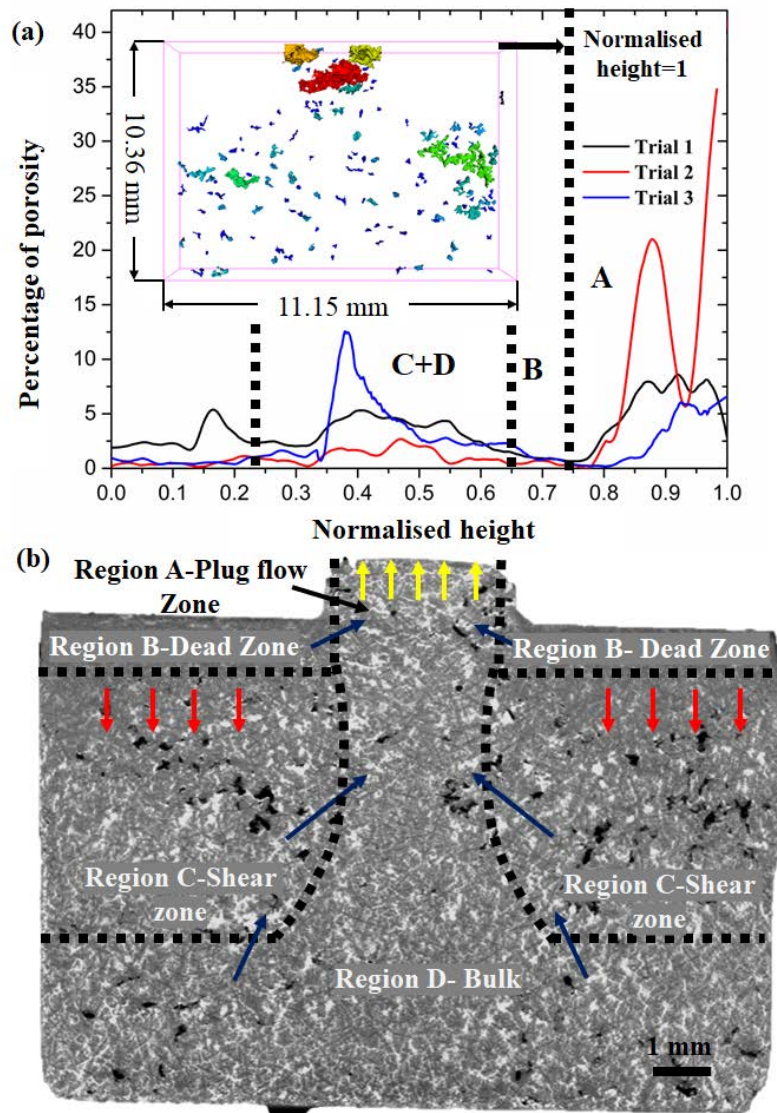
10 Post deformation, a tomography scan was performed on the high solid fraction specimen. The area pore fraction
11 was integrated over horizontal slices across the whole width of the sample, in 292 steps along its height in loading
12 direction, as shown in Figure 6(a). Three Al10Cu samples were injected at a velocity of 80 $\mu\text{m/s}$ for this study.
13 The pore fraction vs. normalised height curves are characterised by two distinctive peaks and a drop in between.
14 Based on the variation of the pore area fraction, the deformed sample has been divided into three sections A, B,
15 C. The experiment was repeated on a MAD12 alloy showing similar behaviour (see supplementary Figure S6).
16 Note that, in the trial represented by the black curve (trial 1) and a blue curve (trial 2), an additional peak appears
17 in the region left of zone C. As discussed earlier, we have slightly tapered the sample to accommodate for tolerance
18 for machining the ceramic surfaces at the bottom of the piston. Despite the taper, the sample movement may be
19 obstructed locally due to surface imperfections. The sharp peaks may hence be attributed to temporarily occurring
20 higher loads in these regions.

21 **Region A- Plug flow zone**

22 In Figure 6(b), the red and blue arrows indicate the inter-dendritic flow induced by compression and volumetric
23 dilation, respectively. Whilst the convected liquid forms isolated liquid pockets, the pore formation in these
24 pockets is due to the coupled action of volumetric dilation, compression, and rising gas bubbles convected by the
25 liquid [35]. The pore sizes are considerably larger compared to the other sections. The deformation process has
26 been explained in section 3.2. Two types of pore formation mechanisms are seen in this zone that can be explained
27 as follows:

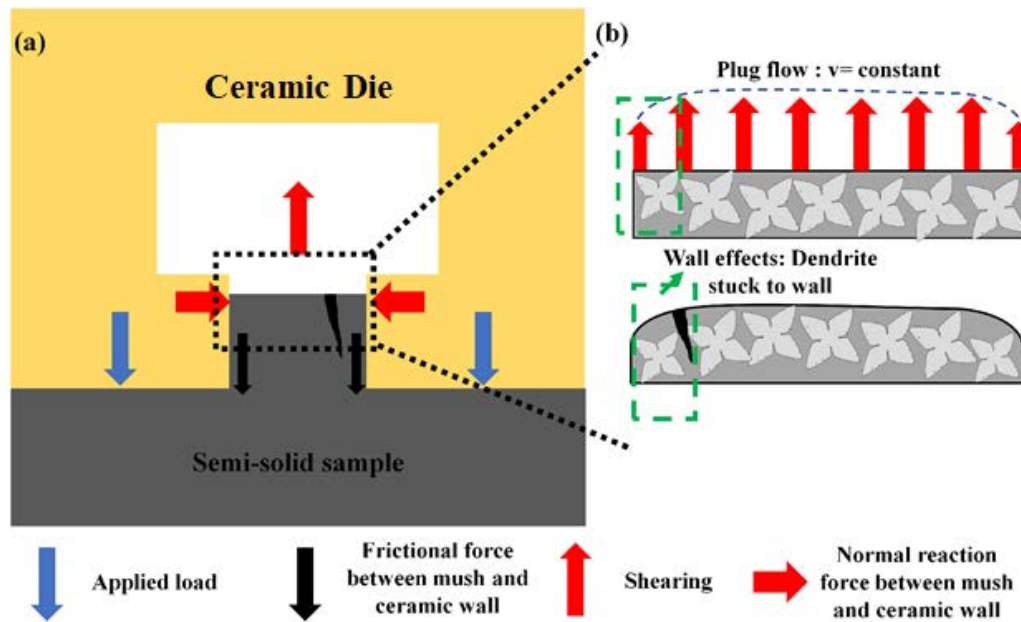
28 (a) Shearing of the network by the extrusion: Due to the pulling action caused by the flow of semisolid into the
29 constriction from the feeder zone, pores perpendicular to the loading axis were developed. Phillion et al. [57]
30 conducted tensile experiments of an Al-Cu alloy and have reported the mechanism for liquid localisation and/or

1 pore formation. The pores located along the perpendicular direction to the loading axis observed were of this kind.
 2 The mechanism is similar to the case of low solid fraction injection explained in section 3.1. The notable difference
 3 between the two cases is that the channels formed in this case are relatively thinner, and due to the high solid
 4 fraction and thus low permeability, are the location of the shrinkage pores.



5
 6 **Figure 6: (a) Porosity quantification along the height of the high solid fraction sample deformed at 80 $\mu\text{m/s}$.**
 7 **The inset shows a 3D volume rendering of the pores in one of the three samples, which is colour coded to**
 8 **show their size, with red indicating the largest pores and blue the smallest. (b) Division into zones based on**
 9 **the local pore and liquid fraction in which blue and red arrows indicate feeding due to dilation and**
 10 **compression, respectively.**

1 (b) The semisolid velocity profile in the constriction zone is characteristic for plug flow. However, due to the
 2 friction between the die and the dendrites close to the wall, the layers close to the wall have a lower velocity than
 3 the front. The differential velocity between these two layers induces a shear force. Depending on the permeability,
 4 this leads to the development of dilatant eutectic bands or a shear crack from the surface. The mechanism for the
 5 crack nucleation and growth is shown in Figure 7 (a-b). The frictional effects at the wall, influence the flow profile
 6 near the walls resulting in the nucleation and growth of cracks on the solid-liquid interface.



7

8 **Figure 7: (a) Mechanism for crack initiation and growth due to combined reaction and shearing in the**
 9 **constriction region. (b) Magnified view showing the shear-induced crack developed during the plug flow of**
 10 **the semisolid.**

11 It should be noted that trial 2 was associated with a significantly higher pore fraction in zone A (from ~10% to
 12 ~40%) (explained in section 3.2). This was due to the presence of pre-existing pores (pre-melting), whose growth
 13 was accelerated by the forces. In cases where there are no pre-existing pores present, the pore fraction for two
 14 alloys, Al10Cu and MADC12, is comparable (Supplementary Figure S6).

15 **Region B- Dead zone**

16 The region B is characterised by a low pore and eutectic liquid fraction. The liquid in this zone is squeezed in and
 17 segregates around the neck and lower-middle region. Since the applied compression is high in the region just
 18 below the piston, the solidified skeleton could not withstand the load and has collapsed. Under such conditions,
 19 ‘burst feeding’ is known to occur [15]. It has been reported that during the semisolid compression, the voids
 20 initially get compressed, followed by liquid channel formation and crack growth. However, in this case, as the

1 liquid was already depleted, a highly porous solid structure was formed. This foamy structure absorbs the load,
2 thereby reducing the compression forces acting on zone C. Thus, the pressure acting on zone C is not high enough
3 to break the solid barrier but facilitate the liquid flow due to dilatancy.

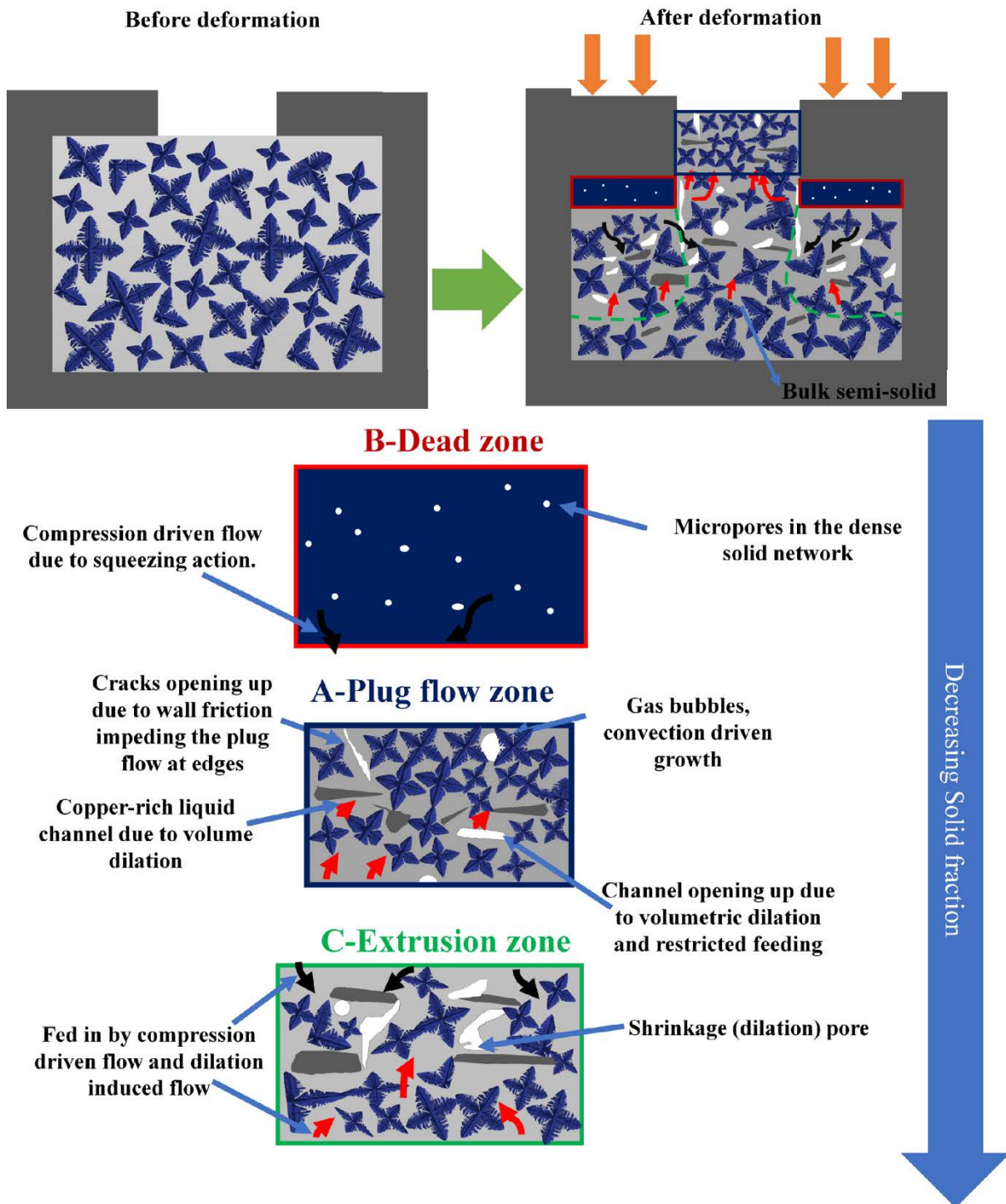
4 A similar liquid-depleted dead zone and porous solid mush are seen in the MADC12 alloy shown in the inset of
5 supplementary Figure S6. The dead zone is found to be asymmetric with a larger liquid depletion on the left side
6 of the piston compared to the right. This indicates that the force applied on the left is higher, attributed to the
7 irregularity on the ceramic piston wall.

8 **Region C and D- Shear zone and the bulk semisolid**

9 The region below the dead zone can be divided into two zones, shear zone (region C) at the edges and bulk
10 semisolid (region D) in the middle. As discussed in section 3.1, the liquid from the dead zone is squeezed down
11 to region C. As a consequence of the downward movement of the die, a region of high shear stress develops along
12 the die-constriction zone interface. To alleviate the stress, the eutectic-rich liquid is drawn from zones B and D.
13 When this feeding of the liquid is cut off due to the decrease in permeability, and cracks start to nucleate to
14 compensate for the lack of feeding. Also, as the copper-rich liquid is the last solidifying region, the eutectic band
15 of the liquid becomes the site for shrinkage pores (dilatancy and volumetric shrinkage dependent). The cracks
16 formed due to shearing action further act as a nucleating zone for these shrinkage pores. The feeder region,
17 adjacent to zone C and below the constriction zone, is not subjected to the compression as seen in the dead zone.
18 This region is not affected by the applied loading, and the response of this region is similar to undeformed bulk
19 semisolid.

20 A mechanism of the pore formation based on the local solid fractions and stress-state is illustrated in Figure 8. An
21 optical micrograph of the various zones described in the Figure 8, showing the post-scan microstructure is
22 provided in supplementary Figure S7. The mechanisms highlight the role of stress systems, varying cross-section
23 and local solid fractions on the defect formation. As a response to the applied injection force, the three sections
24 of the T shaped casting, feeder region, constriction region and thicker region, are subjected to various loading
25 conditions. These loading conditions are influenced by the changing local solid fractions and the flow profile and
26 velocity. Four distinct zones are identified, (i) plug-flow zone, (ii) dead (mush densification) zone, (iii) high-shear
27 zone, and (iv) compression zone. The forces were mainly compressive in the dead zone, and the region was
28 characterised by a compact solid network with fine pores. The solid network moves with a flat velocity profile at
29 the centre with reduced velocities at the walls in the plug flow zone. The high shear created by the flow and

- 1 dilation leads to the formation of isolated liquid channels and pores. The zone C experiences shear along the edges
- 2 due to the movement of the die.



3
 4 **Figure 8: Proposed mechanism for defect formation during injection of semisolid Al alloy at high solid**
 5 **fractions.**

6 The literature on HPDC [58,59] suggests that regions with solid fractions varying from very high (>85-90%) to
 7 low (~25-50%) are present simultaneously. These regions of varying solid fractions develop due to the varying
 8 thickness in the component, which changes not only the local cooling conditions but also the flow behaviour.

1 These regions are subjected to shear and compressive forces by the melt injection and movement, high
2 intensification pressure, and shrinkage forces. As explained in Figure 8, in high solid fraction regions, the local
3 segregation and porosity are heavily influenced by the loading conditions. In this study, we have proposed distinct
4 zones to identify the interplay between the aforementioned factors on local microstructures and defect formation.
5 To better predict porosity in HPDC, it is required to develop maps of such zones that correlate the semisolid
6 response to the local stress systems. The process maps produced in these experiments can be combined with
7 macroscale fluid mechanics simulations to optimise HPDC operating conditions and casting geometries,
8 impacting the automotive component manufacturing chain.

9 **4. Conclusions**

10 Using fast *in situ* synchrotron X-ray imaging during semisolid injection experiments, the role of solid fraction,
11 semisolid flow behaviour and stress conditions on the final microstructure and porosity is revealed, in two different
12 Al die-cast alloys, utilising a die with varying cross-sections. The following conclusions are drawn from the
13 investigation:

- 14 1. For low solid fractions, the solid is extruded as a network of pinned grains and liquid convects through
15 the inter-dendritic regions. The semisolid flow as a whole shows plug flow characteristics with the
16 interface moving at a constant velocity. Significant remelting was observed at the solid-liquid interface
17 at the end of deformation. The final casting has two distinct microstructural features - coarse grains at
18 the centre surrounded by fine grains at the edges.
- 19 2. For higher solid fraction experiments, the nature of defects is determined by the local stress state. In the
20 constriction zone porosity defects were aligned both along and perpendicular to the loading directions.
21 High shear zones developed due to the flow impediment caused by the frictional effects at the wall and
22 development of dilatant shrinkage bands.
- 23 3. The area fraction of the porosity was determined from the 3D characterisation of the solidified high
24 solid fraction sample. Based on the local stress state, pore and liquid fraction, four regions of
25 significance were defined: plug flow zone, dead zone, shear zones and the bulk. Mapping of such regions
26 can be used to develop zone-specific strategies to model the defect formation during HPDC.

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Figure Captions

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Figure 1: (a) Illustration of the sample (light grey) mounted in the custom alumina die-piston combination (dark grey). (b) Alumina and sample are placed between the rams of the P2R loading rig inside the resistive-heated furnace. (c) Example of the sample temperature profile during the injection experiments, in which the heating and cooling rate were maintained at 0.4 °C/s.

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Figure 2: Time-resolved radiographic images (a) just before the application of load; with the loading direction is shown as black arrows, (b) extrusion of the solid network, (c) Copper-rich liquid exudation, (d) start of the remelting during the deformation process of Al10Cu alloy. (e-g) show a magnified view of the evolution of interfaces during the remelting process (supplementary video 1). (h) Simplified phase diagram illustrating the mechanism for the remelting phenomenon. Note that the scale bar for Figures (a-d) measures 1 mm and for (e-g) measures 200 μm.

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Figure 3: (a) Vertical tomographic slice of the sample. Note that the representative slice is taken at the axisymmetric plane along the thickness, (b) Magnified view of the TR (see Figure 1) of the solidified sample and the corresponding 3D rendering (parallel to loading axis) showing the microstructural changes between the extruded solid (shown in red) and the copper-rich liquid region (shown in green). ISD plots highlighting the distribution of principal curvatures for (c) Region 1 (middle) and (d) Region 2 (edge) shown in (b). Distribution of Gaussian curvature of (e) middle and (f) side section for the 50% semisolid Al10Cu loaded at 80 μm/s.

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Figure 4: (a-c) Radiographic slices showing the evolution of pores and cracks during the deformation of Al10Cu. The red boxes indicate the dead zone, and the blue oval indicates shear cracks. (d-g) Enlarged view of the pores at the interface in the yellow box in (b-c). (h-k) DIC images showing strain maps of the same region, highlighting the role of shear and lateral strains in pore opening. Note that the contrast is adjusted in images (d-f) to improve the DIC results. Note that scale bar for Figures (a-c) measures 1 mm and for (d-i) measures 500 μm.

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Figure 5: (a-c) Radiographic slices showing the evolution of pores and cracks during the deformation of Al10Cu with a pre-existing pore (based on trial 1 in Figure 6) (see supplementary video 2) (d) Temporal evolution of major

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1 and minor crack length and area fraction of pore (pre-existing pore). Note that the scale bar for figures (a-c)
2 measures 1 mm.

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4 Figure 6: (a) Porosity quantification along the height of the high solid fraction sample deformed at 80 $\mu\text{m/s}$. The
5 inset shows a 3D volume rendering of the pores in one of the three samples, which is colour coded to show their
6 size, with red indicating the largest pores and blue the smallest. (b) Division into zones based on the local pore
7 and liquid fraction in which blue and red arrows indicate feeding due to dilation and compression, respectively.

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9 Figure 7: (a) Mechanism for crack initiation and growth due to combined reaction and shearing in the constriction
10 region. (b) Magnified view showing the shear-induced crack developed during the plug flow of the semisolid.

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12 Figure 8: Proposed mechanism for defect formation during injection of semisolid Al alloy at high solid fractions.

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