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Association of Apolipoprotein E ϵ 4 Allele With Clinical and Multimodal Biomarker Changes of Alzheimer Disease in Adults With Down Syndrome

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IMPORTANCE Alzheimer disease (AD) is the leading cause of death in individuals with Down syndrome (DS). Previous studies have suggested that the *APOE* ε 4 allele plays a role in the risk and age at onset of dementia in DS; however, data on in vivo biomarkers remain scarce.

OBJECTIVE To investigate the association of the *APOE* $\varepsilon 4$ allele with clinical and multimodal biomarkers of AD in adults with DS.

DESIGN, SETTING, AND PARTICIPANTS This dual-center cohort study recruited adults with DS in Barcelona, Spain, and in Cambridge, UK, between June 1, 2009, and February 28, 2020. Included individuals had been genotyped for *APOE* and had at least 1 clinical or AD biomarker measurement; 2 individuals were excluded because of the absence of trisomy 21. Participants were either *APOE* ε4 allele carriers or noncarriers.

MAIN OUTCOMES AND MEASURES Participants underwent a neurological and neuropsychological assessment. A subset of participants had biomarker measurements: A β 1-42, A β 1-40, phosphorylated tau 181 (pTau181) and neurofilament light chain (NfL) in cerebrospinal fluid (CSF), pTau181, and NfL in plasma; amyloid positron emission tomography (PET); fluorine 18-labeled-fluorodeoxyglucose PET; and/or magnetic resonance imaging. Age at symptom onset was compared between *APOE* ε 4 allele carriers and noncarriers, and within-group local regression models were used to compare the association of biomarkers with age. Voxelwise analyses were performed to assess topographical differences in gray matter metabolism and volume.

RESULTS Of the 464 adults with DS included in the study, 97 (20.9%) were *APOE* ϵ 4 allele carriers and 367 (79.1%) were noncarriers. No differences between the 2 groups were found by age (median [interquartile range], 45.9 [36.4-50.2] years vs 43.7 [34.9-50.2] years; P = .56) or sex (51 male carriers [52.6%] vs 199 male noncarriers [54.2%]). *APOE* ϵ 4 allele carriers compared with noncarriers presented with AD symptoms at a younger age (mean [SD] age, 50.7 [4.4] years vs 52.7 [5.8] years; P = .02) and showed earlier cognitive decline. Locally estimated scatterplot smoothing curves further showed between-group differences in biomarker trajectories with age as reflected by nonoverlapping Cls. Specifically, carriers showed lower levels of the CSF A β 1-42 to A β 1-40 ratio until age 40 years, earlier increases in amyloid PET and plasma pTau181, and earlier loss of cortical metabolism and hippocampal volume. No differences were found in NfL biomarkers or CSF total tau and pTau181. Voxelwise analyses showed lower metabolism in subcortical and parieto-occipital structures and lower medial temporal volume in *APOE* ϵ 4 allele carriers.

CONCLUSIONS AND RELEVANCE In this study, the *APOE* ε 4 allele was associated with earlier clinical and biomarker changes of AD in DS. These results provide insights into the mechanisms by which *APOE* increases the risk of AD, emphasizing the importance of *APOE* genotype for future clinical trials in DS.

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Supplemental content

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Corresponding Authors: Alexandre Bejanin, PhD (abejanin@santpau. cat), and Juan Fortea, MD, PhD (jfortea@santpau.cat), Department of Neurology, Hospital de la Santa Creu i Sant Pau, Sant Antoni Maria Claret, 167, 08025 Barcelona, Spain. ndividuals with Down syndrome (DS) constitute a population at ultrahigh risk of developing Alzheimer disease (AD) because of trisomy of chromosome 21, which harbors the APP (amyloid precursor protein; OMIM 104760) gene. Recent estimates indicate a lifetime dementia risk of more than 90% and identify Alzheimer dementia as the leading cause of death in this population. This elevated risk has led to the conceptualization of DS as a genetically determined form of AD that is similar to autosomal dominant forms. This idea is further supported by a recent study that showed that the pattern of AD biomarker changes follows a similar temporal profile in DS as in autosomal dominant forms, with a long preclinical phase and pathophysiological processes that are qualitatively comparable to sporadic AD.²

The apolipoprotein E (*APOE*; OMIM 107741) $\varepsilon 4$ allele is the most established genetic risk factor for sporadic AD and has been consistently associated with earlier AD symptoms^{3,4} and pathology⁵⁻⁷ in the general population. A similar disease-accelerating feature might exist in DS given that studies in this population have reported that $\varepsilon 4$ allele carriers show an earlier onset of clinical symptoms⁸⁻¹¹ and greater amyloid burden¹² than noncarriers. However, little is known about the association of the *APOE* $\varepsilon 4$ allele with the evolution of AD biomarkers.

Using biochemical and neuroimaging measures for all 3 categories of the ATN system ¹³ (ie, amyloid, tau, and neurodegeneration), we conducted this cohort study to investigate the association of the $APOE\ \epsilon 4$ allele with clinical and multimodal biomarker changes of AD in adults with DS. We also examined the association of the $APOE\ \epsilon 4$ allele with the topography of structural and functional brain changes.

Methods

This cohort study was approved by the Clinical Research Ethics Committee at Hospital Sant Pau and the University of Cambridge Research Ethics Committee and by the Administration of Radioactive Substances Advisory Committee. In Spain, all study participants or their legally authorized representatives gave written informed consent before study enrollment. In the United Kingdom, written consent was obtained from all adults with DS who had the capacity to consent. For participants in England and Wales who lacked the capacity to consent, the procedures in the Mental Capacity Act of 2005 were followed.

Between June 1, 2009, and February 28, 2020, we recruited adults with DS. In Barcelona, Spain, adults with DS were recruited from a population-based health plan that was developed for the screening of AD from which the Down Alzheimer Barcelona Neuroimaging Initiative cohort was formed. ^{2,18} In Cambridge, UK, participants were selected from a convenience sample that was recruited from services for people with intellectual disabilities in England and Scotland. ¹⁴

The study included adults with DS who were screened for the *APOE* genotype and underwent a comprehensive clinical evaluation. Most, but not all, of these individuals had at least 1 biochemical or imaging AD biomarker assessment. Genetic screening confirmed complete trisomy 21 in 98.3% of the in-

Key Points

Question What is the association of the apolipoprotein E (*APOE*) ε4 allele with Alzheimer disease–related clinical and biomarker changes in Down syndrome?

Findings In this cohort study of 464 adults with Down syndrome, carriers of the *APOE* ε 4 allele showed both earlier clinical symptoms of Alzheimer disease and earlier changes in amyloid (cerebrospinal fluid Aβ1-42/1-40 and amyloid positron emission tomography), tau (plasma phosphorylated tau 181), and neurodegeneration (cerebral glucose hypometabolism and hippocampal atrophy) biomarkers. The *APOE* ε 4 allele also altered the topography of neurodegeneration.

Meaning Results of this study suggest that the *APOE* ϵ 4 allele can modulate both the clinical expression and the biomarkers of Alzheimer disease in a genetic form of the disease, such as in Down syndrome.

dividuals who were assessed; 2 individuals were excluded because of the absence of trisomy 21.

Clinical and Neuropsychological Assessment

The Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition was used to stratify the level of intellectual disability as mild, moderate, or severe or profound. Participants were further classified as having asymptomatic, prodromal, or AD dementia in a consensus meeting between the neurologist or psychiatrist and neuropsychologist (eMethods in the Supplement).

Global cognition was assessed using the Cambridge Cognitive Examination for Older Adults with Down Syndrome (CAMCOG-DS), ¹⁵ and episodic memory was evaluated with the modified Cued Recall Test (mCRT). ¹⁶ The mCRT was adapted for people with intellectual disabilities and consisted of 3 trials of free and cued recall performed both immediately and approximately 15 to 20 minutes after the learning phase. Free and cued performances were summed to obtain total scores of immediate and delayed recall. To account for the association of intellectual disability with cognitive performances, we excluded severe or profound cases to prevent floor effects¹⁷ and *z*-transformed the cognitive performances in the mild and moderate intellectual disability groups separately.

APOE Genotyping, Fluid Biomarkers, and Neuroimaging

DNA was extracted from peripheral blood by technicians who were blinded to clinical and biomarker data, and APOE genotyping was determined by polymerase chain reaction amplification. ¹⁸ Participants were dichotomized according to the presence of at least 1 ϵ 4 allele.

Cerebrospinal fluid (CSF) and blood samples were acquired, as previously described. 18,19 Plasma levels of phosphorylated tau 181 (pTau181) and neurofilament light chain (NfL) were measured using single molecule array technology (Simoa; Quanterix). The pTau181 analyses were carried out at the University of Gothenburg (Sweden) using a validated assay. 20 The analysis of NfL was performed at the Centre Hospitalier Universitaire de Montpellier (France) and Hospital Sant Pau (Spain). 2,18 The CSF levels of amyloid- 6 peptide

1-40 (Aβ1-40), Aβ1-42, pTau181, and total tau were quantified using a fullyautomated platform (Lumipulse; Fujirebio), following the published protocol.²¹ The CSF NfL levels were measured with enzyme-linked immunosorbent assay (NF-Light Assay; UmanDiagnostics) according to the manufacturer recommendations.

A subset of participants underwent 3-T magnetic resonance imaging (MRI; n = 175), fluorine 18-labeled (18F) fluorodeoxyglucose (FDG) positron emission tomography (PET; n = 132), and/or amyloid PET (n = 75). The Computational Anatomy Toolbox (CAT12; Christian Gaser and Robert Dahnke) for the SPM12 software (Wellcome Centre for Human Neuroimaging, University College London, Queen Square Institute of Neurology) was used to preprocess the structural, 3-dimensional, T1-weighted MRI and extract the hippocampal and total intracranial volumes. The $^{18}\mbox{F-FDG}$ PET images were intensity scaled by the pons-vermis region and spatially normalized using the SPM12 software. The standardized uptake value ratios were extracted from the region of interest in Landau et al.²³ Both the ¹⁸F-FDG PET and the segmented and modulated gray matter maps were smoothed using an 8-mm full-width at half-maximum Gaussian kernel for voxelwise analyses. The amyloid PET data were collected using ¹⁸F-florbetapir in Barcelona and carbon 11-labeled Pittsburg compound B in Cambridge. Images were spatially normalized using the MRI transformations computed with Advanced Normalization Tools²⁴ and scaled using the whole cerebellum as the reference region. 2,25,26 The mean cortical standardized uptake value ratio²² was then transformed into centiloid units according to standard procedures.27

Statistical Analysis

All statistical analyses were performed with R software, version 4.0.4 (R Foundation for Statistical Computing). Differences in baseline characteristics, percentage of symptomatic cases across age intervals, and age at diagnosis were analyzed using χ^2 tests (or Fisher exact tests, when appropriate) for categorical data, and Mann-Whitney or 2-sample, unpaired, 2-tailed t tests were used for continuous variables. Survival analysis with a log-rank test was also conducted to assess between-group differences in the age at the first diagnosis of symptomatic AD (which combines prodromal and dementia cases). The threshold for significance was set at P < .05.

To compare the age-associated changes in cognition and biomarkers between $APOE\ \epsilon 4$ allele carriers and noncarriers, we fitted a first-order locally estimated scatterplot smoothing curve, with a tricubic weight function and a span parameter of 0.75, in each group independently. Given that no $\epsilon 4$ allele carriers were older than 60 years, the curves did not include noncarriers older than carriers for a more uniform comparison. The exact age at which the intervals diverge depends on the intrinsic limitations of the study, such as the nature of the variable, the sensitivity of the assay, the slope of the association, and, in the present case, the uneven sample sizes for the different measurements. Therefore, we defined cognitive or biomarker change as the age at which the groups' curves appeared to start diverging visually and provided the age range at which the 95% CIs between groups did not overlap. A con-

venience sample of cognitively unimpaired euploid participants (n = 158; eTable 1 in the Supplement) from the Sant Pau Initiative on Neurodegeneration cohort²¹ was included in the analyses as a visual reference of the biomarker changes occurring in individuals without trisomy 21. In addition to the locally estimated scatterplot smoothing analyses, we conducted betweengroup comparisons for each biomarker and each decade using Mann-Whitney tests (eFigures 2-4 in the Supplement).

We used voxelwise linear models to compare the topography of gray matter metabolism and volume in $\epsilon 4$ allele carriers vs noncarriers. Analyses were performed in a mask that excluded non-gray matter voxels, and the statistical models were corrected for age and sex as well as for total intracranial volume and recruitment center for the models that included volume. Voxelwise results are presented at an uncorrected threshold of P < .001 (cluster size k >100 mm³).

Results

Of the 464 adults with DS included, 97 (20.9%) were APOE ε4 allele carriers ($\varepsilon 2/4$: n = 7; $\varepsilon 3/4$: n = 86; and $\varepsilon 4/4$: n = 4) and 367 (79.1%) were noncarriers. The 2 groups did not differ significantly in age (median [interquartile range (IQR)], 45.9 [36.4-50.2] years vs 43.7 [34.9-50.2] years; P = .56), sex distribution (male participants: 51 [52.6%] vs 199 [54.2%]; female participants: 46 [47.4%] vs 168 [45.8%]; P = .86), level of intellectual disability (mild: 23 [23.7%] vs 82 [22.5%]; moderate: 50 [51.6%] vs 190 [52.2%]; and severe or profound: 24 [24.7%] vs 92 [25.3%]; P = .97), or the most common health conditions observed in DS (eg, hypothyroidism: 29 [44.6% of those evaluated] vs 124 [51.2% of those evaluated]; P = .42) (Table; eTable 2 in the Supplement has details on the subsamples for each biomarker). Similar to the general population, the E3 allele was the most prevalent, followed by ε4 and ε2 alleles. When comparing recruiting sites, we found no demographic, genetic, or clinical differences between the Barcelona and Cambridge cohorts except for intellectual disability (eTable 3 in the Supplement).

Clinical and Neuropsychological Findings

The overall proportion of individuals with DS with a diagnosis of symptomatic AD was similar between *APOE* $\varepsilon 4$ allele carriers and noncarriers (36.8% vs 29.9%; $\chi^2_{1,460}$ = 1.4; P = .24). However, an age-stratified analysis by 5-year intervals revealed 2 key differences (**Figure 1A**). First, in the 40 to 45 years of age range, the prevalence of symptomatic AD was 40% in carriers and 12% in noncarriers ($\chi^2_{1,75}$ = 4.9; P = .03). Second, in contrast to the noncarrier group in which 18 individuals were older than 60 years, we found no individuals carrying the $\varepsilon 4$ allele who were older than 60 years, even when examining data from follow-up visits.

Next, we used baseline and follow-up data to examine the age at which individuals with DS had been diagnosed with symptomatic AD. The survival curve showed a significant difference in the distributions of the 2 groups (log-rank test, P = .01), with the carrier group showing a greater probability of being diagnosed with symptomatic AD at an earlier age (Figure 1B). The between-group comparison further con-

Fable. Study Participants ^a			
Variable	APOE ε4 allele noncarrier	APOE ε4 allele carrier	P value
All participants (n = 464), No. (%)	367 (79.1)	97 (20.9)	NA
Age, median (IQR), y	43.7 (34.9-50.2)	45.9 (36.4-50.2)	.56
Sex, No. (%)			
Female	168 (45.8)	46 (47.4)	.86
Male	199 (54.2)	51 (52.6)	
Level of intellectual disability, No. (%) ^b			
Mild	82 (22.5)	23 (23.7)	.97
Moderate	190 (52.2)	50 (51.6)	
Severe or profound	92 (25.3)	24 (24.7)	
Diagnostic group, No. (%) ^b			
Asymptomatic AD	256 (70.1)	60 (63.2)	.24
Symptomatic AD	109 (29.9)	35 (36.8)	
APOE alleles, No.			NA
ε2/ε2	1	0	
ε2/ε3	47	0	
ε2/ε4	0	7	
ε3/ε3	319	0	
ε3/ε4	0	86	
ε4/ε4	0	4	
Medical conditions, No. (%) ^b			
Hypothyroidism (n = 307)	124 (51.2)	29 (44.6)	.42
Epilepsy (n = 289)	19 (8.3)	8 (13.3)	.35
Sleep apnea (n = 297)	27 (11.4)	11 (18.3)	.22
Depression (n = 330)	34 (13.1)	13 (18.3)	.36
Cognition, median (IQR) ^c			
CAMCOG-DS score (n = 301)	74.0 (59.0-85.0)	73.5 (63.0-83.0)	.68
mCRT immediate recall (n = 263)	35.0 (33.0-36.0)	35.0 (31.0-36.0)	.14
mCRT delayed recall (n = 262)	12.0 (10.0-12.0)	12.0 (9.0-12.0)	.30
Fluid biomarkers, median (IQR)			
CSF Aβ1-42/1-40 (n = 156)	0.1 (<0.1-0.1)	0.1 (<0.1-0.1)	.08
CSF NfL (n = 139)	493.7 (305.6-791.9)	663.9 (372.5-880.0)	.47
CSF pTau181 (n = 158)	44.2 (25.8-122.3)	71.8 (32.0-119.7)	.29
CSF total tau (n = 158)	398.5 (247.8-755.0)	516.0 (236.0-749.0)	.82
Plasma NfL (n = 354)	9.6 (5.3-15.3)	10.7 (6.3-16.8)	.11
Plasma pTau181 (n = 354)	12.5 (8.6-22.2)	17.8 (11.4-25.8)	.007
Imaging biomarkers, median (IQR)			
Centiloid amyloid PET (n = 75) ^d	7.6 (0.3-33.8)	17.4 (5.0-67.7)	.08
¹⁸ F-FDG PET SUVR (n = 132)	1.3 (1.1-1.4)	1.2 (0.9-1.4)	.44
Bilateral hippocampal volume (n = 175)	6.8 (6.2-7.4)	6.5 (5.1-7.4)	.20
	0.0059 (0.0053-0.0062)		

Abbreviations: Aβ1-40, amyloid-β peptide 1-40; Aβ1-42, amyloid-β peptide 1-42; AD, Alzheimer disease; APOE, apolipoprotein E: CAMCOG-DS, Cambridge Cognitive Examination for Older Adults with Down Syndrome; CSF, cerebrospinal fluid; ¹⁸F FDG, fluorine 18-labeled fluorodeoxyglucose; IQR, interquartile range; mCRT modified Cued Recall Test-NA, not applicable; NfL, neurofilament light chain; PET. positron emission tomography: pTau181, phosphorylated tau 181; SLIVR standardized uptake value ratio; TIV, total intracranial volume.

- ^a Unless otherwise indicated, values were number (%) or median (IQR). All fluid biomarker concentration units, except for the CSF α Aβ1-42 to α Aβ1-40 ratio, were picograms per milliliter. P values refer to analyses of α tests for categorical variables and Mann-Whitney tests for continuous variables.
- b Percentages for intellectual disability, diagnostic group, and medical conditions were calculated according to the total of patients with available data in each group.
- ^c Cognition included cognitive performances only for individuals with DS who had mild or moderate intellectual disability.
- ^d Forty-five participants had amyloid PET with fluorine 18-labeled florbetapir, and 30 participants had amyloid PET with carbon 11-labeled Pittsburgh compound B.

firmed this earlier age at diagnosis in carriers compared with noncarriers (mean [SD] age, 50.7[4.4] years vs 52.7[5.8] years; P = .02) (Figure 1C).

We also evaluated the performance on the CAMCOG-DS and mCRT tests (immediate and delayed recall) expressed as z scores and as a function of age (eFigures 1 and 2 in the Supplement have raw data and analyses in participants with mild and moderate levels of intellectual disability). All participants with DS showed decreased CAMCOG-DS z scores with age regardless of APOE

genotype. Visually, the change seemed earlier and steeper in $APOE\ \epsilon 4$ allele carriers compared with noncarriers starting from age 40 years, but the CIs did not diverge (Figure 1D). Carriers also showed a decrease in both the immediate and delayed recall scores of the mCRT at an earlier age compared with noncarriers (Figure 1E and F). The difference between the 2 groups was evident from age 40 years (nonoverlapping CIs from age 44 years). These age-associated differences were not reflected by significant group differences, when the mCRT scores were

A Point prevalence of symptomatic AD **B** Probability by age of developing symptomatic AD c Age at diagnosis of symptomatic AD 100 APOE ε4 allele 0.8 80 Symptoms, probability Symptomatic cases, % APOE ε4 allele Age at diagnosis, y 60 0.6 60 0.4 40 50 0.2 60 70 APOE ε4 allele APOE ε4 allele 30 35 50 30 40 40 45 50 noncarriers 5-y Age interval Age, y APOE status **D** CAMCOG-DS E mCRT immediate recall F mCRT delayed recall z Score z Score z Score 20 30 40 50 20 30 40 20 40 Age, y Age, y Age, y

Figure 1. Association of Apolipoprotein E (APOE) & Allele With Clinical Diagnosis and Cognitive Performance in Adults With Down Syndrome (DS)

The horizontal lines in panel C represent the mean values, and the error bars represent the nonparametric bootstrapped 95% Cls. Bands in panels D to F represent the 95% Cls. AD indicates Alzheimer disease; CAMCOG-DS,

Cambridge Cognitive Examination for Older Adults with Down Syndrome; and mCRT. modified Cued Recall Test.

compared between the whole group of carriers and noncarriers (median (IQR) immediate recall: 35.0 [31.0-36.0] vs 35.0 [33.0-36.0]; P = .14; median (IQR) delayed recall: 12.0 [9.0-12.0] vs 12.0 [10.0-12.0]; P = .30) (Table).

Amyloid and Tau Pathology Biomarkers

The CSF A β 1-42 to A β 1-40 ratio was lower in *APOE* ϵ 4 allele carriers than in noncarriers among the youngest participants (20s to 30s) and overlapped with noncarriers starting from age 40 years (**Figure 2A** and eFigure 3A in the Supplement). This result was driven by the lower levels of CSF A β 1-42 in carriers (eFigure 4A and D in the Supplement). Similarly, carriers showed an earlier and greater increase in cortical amyloid PET uptake than noncarriers (mid-30s vs early 40s), with nonoverlapping CIs throughout the older ages (41 to 54 years) (Figure 2B) up to when amyloid uptake seemed to plateau.

The age-associated trajectories of CSF pTau181 (Figure 2C) did not differ between $\epsilon 4$ allele carriers and noncarriers. However, when analyzing this biomarker in plasma in a larger sample, carriers showed higher levels starting from the mid-40s and with CIs not overlapping by age 50 years (Figure 2D).

Neurodegeneration Biomarkers

No between-group differences in the levels of NfL were found in CSF or in plasma as seen by the overlapping CIs across all ages and in the analyses by decade (Figure 2E and F; eFigure 3E and F in the Supplement). The CSF total tau likewise showed no differences between groups (eFigure 4C and F in the Supplement).

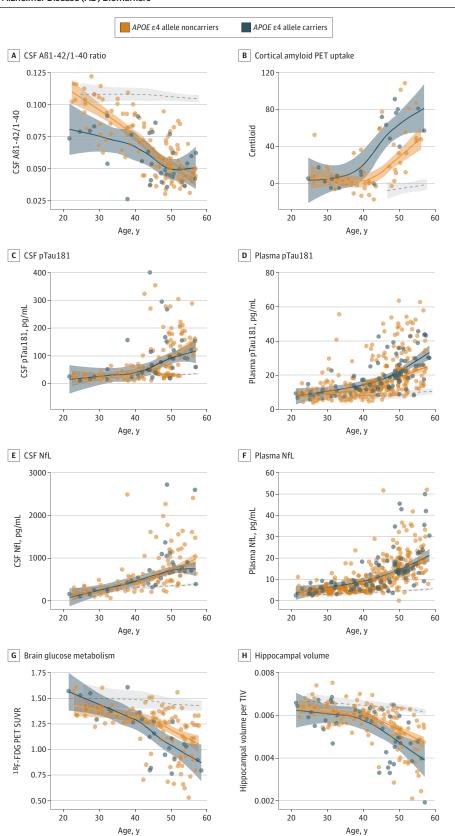
By contrast, neuroimaging biomarkers revealed significant differences between *APOE* genotypes. Specifically, the £4 allele carriers showed lower brain metabolism than noncarriers starting at age 40 years. This difference was sustained until the sixth decade, and the CIs of both groups diverged from age £45 to 53 years (Figure 2G and eFigure 3G in the Supplement). Similarly, carriers showed an earlier loss of hippocampal volume starting from age £40 years and with nonoverlapping CIs from age £8 to 52 years (Figure 2H and eFigure 3H in the Supplement).

Topography of Brain Hypometabolism and Atrophy

The voxelwise analysis that was adjusted by age and sex revealed lower metabolism in the APOE $\varepsilon 4$ allele carriers compared with noncarriers in subcortical structures (caudate, lentiform nucleus, and thalamus), posterior insula, medial, and lateral parietal and occipital cortices (**Figure 3A** and eFigure 5 in the Supplement). No brain region showed higher metabolism in carriers than in noncarriers.

In addition, carriers showed lower gray matter volume in the hippocampus bilaterally and right superior parietal

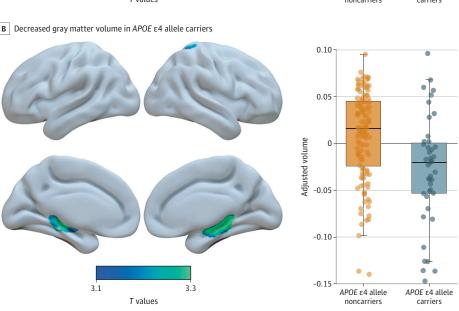
Figure 2. Association of Apolipoprotein E (APOE) ϵ 4 Allele With Age-Related Changes in Alzheimer Disease (AD) Biomarkers



Shading represents 95% CIs, and the dashed lines represent the age-related changes in euploid individuals for visual reference. Aβ1-40 indicates amyloid-β peptide 1-40; Aβ1-42, amyloid-β peptide 1-42; CSF, cerebrospinal fluid; ¹⁸F-FDG, fluorine 18-labeled fluorodeoxyglucose; NfL, neurofilament light chain; PET, positron emission tomography; pTau181, phosphorylated tau 181; SUVR, standardized uptake value ratio; and TIV, total intracranial volume.

A Decreased gray matter glucose metabolism in APOE ε4 allele carriers 0.3 0.2 0.1 Adjusted metabolism -0.1 -0.2-0.3 3.1 3.3 APOE ε4 allele APOE ε4 allele Tivalues B Decreased gray matter volume in APOE ε4 allele carriers

Figure 3. Association of Apolipoprotein E (APOE) ε4 Allele With Gray Matter Metabolism and Volume



The boxplots illustrate the voxelwise results and represent the metabolism (A) and volume (B) adjusted for the covariates within the significant clusters. For each boxplot, the box represents the interquartile range, the band represents the median value, and the dots represent individual values. Results are presented in neurological convention and were generated using the Surf Ice tool (https://www.nitrc.org/projects/ surfice/). eFigures 5 and 6 in the Supplement show a slice-by-slice display of the results.

cortex compared with noncarriers (Figure 3B and eFigure 6 in the Supplement). No brain region showed greater volume in carriers than in noncarriers.

Discussion

To our knowledge, this is the first large, multimodal biomarker study to characterize the association of the APOE ε4 allele with clinical and biomarker changes of AD in DS. We found that APOE E4 allele carriers (1) presented an earlier decline in episodic memory and were diagnosed with symptomatic AD a mean of 2 years before noncarriers, (2) exhibited earlier changes in AD biomarkers, and (3) showed differences in the topography of structural and functional brain changes. These results demonstrate that the APOE $\epsilon 4$ allele can modulate both the clinical expression and changes in AD biomarkers in a genetic form of the disease.

It has been well established that the APOE &4 allele is associated with an increased risk of sporadic AD and younger age at onset.^{3,4} This association is also true in autosomal dominant forms, in which the $\epsilon 4$ allele is associated with earlier diagnoses.²⁸ In line with this finding, we observed an association of the APOE &4 allele with earlier decline in episodic memory and earlier clinical AD diagnoses in individuals with DS. This observation is in agreement with previous findings in other DS cohorts that showed greater cognitive impairment among £4 allele carriers²⁹ and a similar 2-year advancement in symptomatic AD diagnosis.8,11

We observed this earlier age at symptom onset despite no significant difference in the overall proportion of $\varepsilon 4$ allele carriers between asymptomatic and symptomatic individuals. Discrepant results in DS have been reported concerning the ε4 allele proportions in these clinical groups, with some studies showing more carriers in the symptomatic group and others finding no differences. 10,30 Although no overall group differences were found, the age-stratified analysis that we conducted revealed an increased prevalence of symptomatic AD in carriers aged 40 to 45 years. This result further emphasizes that age and sample composition are critical variables to interpreting results in the context of DS, wherein AD is inexorable. Note that no individuals with DS older than 60 years were found in the ε4 allele carrier group, a finding that is consistent with previous studies that associated the £4 allele with lower life expectancy.8,11

The results also provided new in vivo pathophysiological data with which to interpret the clinical findings. We found that the APOE & allele was associated with age-associated changes in the 3 categories of the ATN system (amyloid, tau, and neurodegeneration). The associations with amyloid pathology appeared to be the earliest (differences in the early 20s for CSF $A\beta$ 1-42 to $A\beta$ 1-40 ratio and in the mid-30s for amyloid PET), the greatest (highest magnitude), and the most consistent across the different biomarkers. Specifically, we found lower levels of CSF Aβ1-42 and CSF Aβ1-42 to Aβ1-40 ratio associated with the APOE &4 allele. This finding reproduces previous observations in both sporadic AD^{5,31-33} and autosomal dominant AD.34,35 It also fits with the lower age-adjusted level of CSF Aβ1-42 reported in ε4 allele carriers with DS. ³⁶ The early decrease in CSF A\u03b31-42 to A\u03b31-40 ratio in carriers supports the finding that cerebral $A\beta$ deposition occurs at a young age in DS and is consistent with the abundant Aβ42-immunoreactive diffuse plaques reported in teenagers and young adults in this population.³⁷

The differences in CSF amyloid biomarkers were concordant with the earlier and higher increase in amyloid PET uptake in ϵ 4 allele carriers (mid-30s) compared with noncarriers (mid-40s). As in sporadic and other genetic forms of AD, ^{5,32} the *APOE* ϵ 4 allele might be associated with an earlier and greater brain amyloid accumulation in DS. This idea is supported by postmortem data showing a greater burden of amyloid plaques in carriers with DS than noncarriers. ¹²

Besides the association with amyloid pathology, the $\varepsilon 4$ allele altered the age-related trajectory of plasma, but not CSF, pTaul81. This association was noticeable around age 50 years, only a few years before AD symptom onset. Controversial results have been reported concerning the association between biochemical measures of pTaul81 and the *APOE* $\varepsilon 4$ allele, with some studies finding an association³⁸ but others finding no association. 5,32,33,35 In the present study, the differences in sample sizes between plasma pTaul81 and CSF pTaul81 measures (354 vs 158 participants) may partially account for the different results. The discrepancy may also be explained by the existence of other variables that modulate the association between the *APOE* $\varepsilon 4$ allele and tau pathology, such as biological sex. ^{38,39} Alternatively, the biochemical measurements across different biofluids may not consis-

tently capture the pathological changes that occur in some specific brain structures, such as the higher tau pathology in the medial temporal lobe previously reported in £4 allele carriers with sporadic AD. ⁴⁰ A similar explanation might account for the lack of association that we observed with biochemical markers of neurodegeneration (plasma and CSF NfL; CSF total tau), despite differences in neuroimaging biomarkers (¹⁸F-FDG PET and MRI).

Both neuroimaging biomarkers showed diverging trajectories between APOE &4 allele carriers and noncarriers around age 40 years. The voxelwise analyses further indicated that the APOE &4 allele was associated with not only accelerated onset of biomarker changes but also differences in the extent and topography of the pattern of neurodegeneration. Carriers had lower glucose metabolism in subcortical structures and several cortical areas, including the medial parietal region. Moreover, they presented with less medial temporal volume compared with noncarriers. These results mirror previous findings in sporadic AD that showed decreased parietal metabolism and hippocampal volume in carriers. 41 In addition, we found an earlier decline in episodic memory in carriers starting at age 40 years. This observation corroborates the literature on the association of the APOE ε4 allele with episodic memory in the general population, 42 which has also been suggested in DS. 43 Given the crucial role of the hippocampus in episodic memory, its greater atrophy likely underlies the earlier memory deficits in carriers. Carriers also showed a lower metabolism in the striatum. This finding, which is not typically reported in sporadic AD, might reflect the greater vulnerability of the striatum to AD pathology in genetically determined forms of AD.44,45

Overall, this study provided evidence that the APOE $\varepsilon 4$ allele exerts a similar association with AD pathophysiological processes in DS as in the general population. The association with amyloid pathology and the concurrent shift toward greater hippocampal atrophy and memory impairments in APOE ε4 allele carriers resemble the association of the APOE &4 allele with the earlier clinical symptoms and pathogenesis of sporadic AD. 41 Several molecular mechanisms have been proposed by which the $\epsilon 4$ isoform may alter AD pathology, including the impairment of amyloid clearance and the promotion of its deposition into amyloid plaques. 46 Growing evidence also suggests that the APOE &4 allele might contribute to tau aggregation that is independent of amyloid-β, ⁴⁷ and an autopsy study supports an association between truncated APOE forms and tau pathology in DS. 48 Future studies that use ultrasensitive methods may explore these truncated forms in body fluids from people with DS.

Overall, this study capitalized on the largest cohort of adults with DS with clinical assessments and multimodal biomarkers to inform on the age-related association of the *APOE* $\varepsilon 4$ allele with in vivo AD biomarkers, increasing the understanding of the mechanisms that link *APOE* to the acceleration of disease in genetically at-risk populations. We believe that this work is timely in the emerging landscape of preventive trials for dementia in DS given that consideration of *APOE* genotype might be important for drugs that are designed to

lower amyloid burden and/or trials that use MRI as a surrogate marker of improved outcomes.

Limitations

This study has limitations. The cross-sectional design and the relatively small sample sizes for some biomarkers (eg, amyloid PET) did not allow for an investigation of the differences between each of the APOE genotypes. Nevertheless, note that age can be used as a proxy for disease progression in genetically determined AD, and the results remained essentially similar when sensitivity analyses were performed in the subsample with the $\epsilon 3$ and $\epsilon 4$ polymorphisms.

Conclusions

In this cohort study, $APOE\ \epsilon 4$ allele carriers (compared with noncarriers) showed an earlier decline in episodic memory, earlier clinical diagnosis of symptomatic AD, earlier changes in AD biomarkers, and differences in the pattern of neurodegeneration. These findings demonstrate that the $APOE\ \epsilon 4$ allele can modulate both the clinical expression and biomarkers of AD in a genetic form of the disease, such as in DS, and emphasize the importance of the $APOE\ genotype$ for future clinical trials in DS.

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Conflict of Interest Disclosures:

Dr Carmona-Iragui reported receiving grant GBHI_ALZ-18-543740 from the Alzheimer's Association and Global Brain Health Institute Project and grant Project No. 1913 Cycle 2019B from the Jérôme Lejeune Foundation outside the submitted work. Dr Belbin reported receiving personal fees from ADx NeuroSciences outside the submitted work. Dr Annus reported being a current employee at Johnson & Johnson. Dr Lehmann reported receiving personal fees for service on the scientific advisory boards of Roche Diagnostics and Fujirebio. Dr Holland reported receiving grants from

the Medical Research Council UK and Alzheimer's Research UK during the conduct of the study as well as nonfinancial support from the Down Syndrome Association UK outside the submitted work. Dr Zetterberg reported receiving personal fees for service on the scientific advisory boards of Denali, Roche Diagnostics, Wave, Samumed, Siemens Healthineers, Pinteon Therapeutics, and CogRx; receiving personal fees for giving lectures in symposia sponsored by Fujirebio, Alzecure, and Biogen; and being the cofounder of Brain Biomarker Solutions in Gothenburg AB (part of the GU Ventures Incubator Program) outside the submitted work. Dr Blennow reported serving as a consultant at advisory boards or at data monitoring committees for Abcam, Axon, Biogen, JOMDD/Shimadzu, Julius Clinical, Eli Lilly and Company, MagQu, Novartis, Roche Diagnostics, and Siemens Healthineers as well as being the cofounder of Brain Biomarker Solutions in Gothenburg AB (part of the GU Ventures Incubator Program) outside the submitted work. Dr Alcolea reported receiving personal fees for advisory board services and/or speaker honoraria from Fujirebio-Europe, Roche Diagnostics, Nutricia, Krka Farmacéutica SL, and Esteve Pharmaceuticals SA outside the submitted work as well as holding a patent for WO2019175379 A1 markers of synaptopathy in neurodegenerative disease (licensed to ADx). Dr Zaman reported receiving nonfinancial support from the National Institute for Health Research (NIHR) Cambridge Dementia Biomedical Research Unit and personal fees for a short-term advisory role from Lundbeck outside the submitted work. Dr Fortea reported receiving personal fees for service on the advisory boards or adjudication committees of AC Immune, Novartis, Lundbeck, Roche Diagnostics, and Merck outside the submitted work: receiving conference fees from Esteve, Novo Nordisk, Roche Diagnostics, Fujirebio, and Biogen; and holding a patent for markers of synaptopathy in neurodegenerative disease (licensed EP18382175.0). No other disclosures were reported.

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