1	Revisiting stepwise ocean oxygenation with authigenic Ba enrichments in marine
2	mudrocks
3	Guang-Yi Wei ^{1*} , Hong-Fei Ling ¹ , Simon V. Hohl ² , Graham A. Shields ³ , Tao Yang ¹ , Yi-Bo
4	Lin ¹ , Feifei Zhang ¹
5	¹ State Key Laboratory for Mineral Deposits Research, School of Earth Sciences and
6	Engineering, Nanjing University, Nanjing 210023, China
7	² State Key Laboratory of Marine Geology, School of Ocean and Earth Sciences, Tongji
8	University, Shanghai 200092, China
9	³ Department of Earth Sciences, University College London, Gower Street, London WC1E 6BT,
10	<i>U.K.</i>
11	*Email: GY. Wei (guangyiwei@nju.edu.cn)
12	Abstract
13	There are still debates around the extent of global ocean oxygenation, particularly from
14	the late Neoproterozoic to the early Paleozoic, based on analyses of various geochemical
15	indices. Here, we present a temporal trend in excess barium (Baexcess) contents in marine
16	organic-rich mudrocks (ORMs) to provide an independent constraint on global ocean redox
17	evolution. No remarkable Baexcess enrichments in Precambrian (>ca. 541 Ma) ORMs suggest
18	limited authigenic Ba deposits in oxygen- and sulfate-depleted oceans. By contrast, in the
19	Paleozoic, particularly the early Cambrian, ORMs are marked by significant Baexcess
20	enrichments, corresponding to substantial increases in marine sulfate reservoir and oxygenation
21	level. Analogous to modern sediments, the Mesozoic and Cenozoic ORMs exhibit no prominent
22	Baexcess enrichments due to the subsequent exhaustion of dissolved Ba. The progressive decline
23	in marine dissolved Ba concentrations coincides with elevated marine sulfate levels associated
24	with global ocean oxygenation. Further, unlike Mo, U, Re abundances, significant Ba_{excess}

enrichments in ORMs indicate that the overall ocean oxygenation level in the early Paleozoic
was substantially lower than present level.

27 Introduction

28 The Earth's 4.5-billion-year history is characterized by a stepwise increase in atmospheric 29 and oceanic oxygen levels with two major steps recognized in the geologic record: the 'Great Oxidation Event' (GOE) between 2.4 and 2.1 Ga; and the 'Neoproterozoic Oxidation Event' 30 31 (NOE) between 0.8 and 0.55 Ga (Holland, 2006; Lyons et al., 2014; Knoll et al., 2017). Multiple 32 redox proxies have been used to track the long-term redox evolution of Earth's atmosphere and 33 ocean (see reviews in Canfield, 2005; Och and Shields-Zhou, 2012; Tostevin and Mills, 2020), 34 among which the concentrations of redox-sensitive metals in marine organic-rich mudrocks 35 provided insights into temporal changes in marine redox states (Robbins et al., 2016; Algeo and 36 Li, 2020). The enrichments of Mo, U, Re, Cr in marine organic-rich mudrocks (ORMs, defined by having a total organic carbon content of > 0.4 wt%) are generally indicative of evolutionary 37 38 history of global seafloor redox, based on the principle that the contents of these elements in 39 ORMs have been primarily determined by their concentrations in the ocean associated with 40 global marine redox states (Scott et al., 2008; Partin et al., 2013; Reinhard et al., 2013; Sheen et al., 2018). Secular changes in Mo, U, and Re concentrations in ORMs consistently supported 41 42 the stepwise increases in marine oxygen levels throughout Earth's history (Fig. 1) (Scott et al., 43 2008; Partin et al., 2013; Sheen et al., 2018). Significantly elevated Mo, U, and Re enrichments through the NOE highlight that marine Mo, U, and Re reservoirs were potentially comparable 44 45 to those in the modern ocean as a result of progressive ocean oxygenation. However, the sizes of marine Mo, U, and Re reservoirs may not be linearly related to the dissolved O₂ concentration 46

47	of global seawater. Rises to current magnitudes of these marine dissolved metal reservoirs
48	correspond to limited global anoxic or euxinic seafloor (Reinhard et al., 2013; Partin et al.,
49	2013; Sheen et al., 2018), but does not require seawater dissolved O ₂ concentrations close to
50	that of the modern ocean. In this view, Mo, U, and Re enrichments in ORMs only provide a
51	maximum constraint on the extents of global anoxic or euxinic seafloor. More constraints on
52	the extent of global ocean oxygenation cannot be directly acquired based on these metal proxies.
53	For modern fully oxidized oceanic basins, with Ba-limited conditions, excess barium
54	(Baexcess) accumulations in marine sediments are commonly considered linked to the formation
55	of BaSO ₄ -supersaturated' microenvironments' in organic matter linked to shallow-marine
56	export productivity (e.g., Bishop, 1988; Dymond et al., 1992; Paytan and Griffith, 2007;
57	Martinez-Ruiz et al., 2019). However, more systemic analyses of modern marine sediments
58	deposited in different redox environments challenged the use of Baexcess as a reliable proxy
59	for paleo-productivity on a more global scale (Schoepfer et al., 2015). In particular,
60	predominantly O2-deficient oceans of the Precambrian may have had a more extensive
61	dissolved Ba reservoir in deep waters (cf. Falkner et al., 1993; Crockford et al., 2019). Thus,
62	the water column's sulfate contents, rather than Ba concentrations, may have played a first-
63	order control in Baexcess accumulation in ancient marine sediments (Torres et al., 1996).
64	Although Ba is not a redox-sensitive element, the removal of Ba from the water column is
65	intrinsically linked to marine sulfate levels, associated with global oceanic redox states and
66	pyrite burial fluxes. In this view, Ba enrichments in ancient sediments depend on co-evolution
67	of dissolved Ba and sulfate reservoirs in the ancient oceans. In this study, we present Ba
68	concentrations of ORMs from the Archean to the modern times, aiming to provide new insights

69 into the extent of global ocean oxygenation throughout the Earth's history. Combining with the 70 estimated marine sulfate concentrations, we use authigenic Ba concentrations in ORMs to 71 reconstruct the long-term evolution of the marine dissolved Ba reservoir, and further constrain 72 the global ocean oxygenation level in the critical geological periods.

73 Analytical methods

74 New major and trace element data in this study were analyzed using Thermo Finnigan 75 Element XR ICP-MS at the State Key Laboratory for Mineral Deposits Research, Nanjing 76 University. The long-term reproducibility of the measurements was better than 5% in this 77 study, based on duplicated analyses of IAPSO seawater and BHVO-2 standards. We also 78 compiled a literature database of Ba concentrations and associated Al and TOC contents in 79 ORMs through geological time. Since barite ore-bearing rocks associated with local 80 hydrothermal or burial diagenetic processes can exhibit extreme Ba enrichments non-related to global oceanic dissolved Ba concentrations, they were excluded from our database and not 81 82 discussed further on. We also chose the typical samples in the early Cambrian for SEM 83 (scanning electron microscopy) observations, in order to better constrain the origin of 84 particulate Ba in ancient ORMs (Figs. DR2, DR3). 85 Authigenic Ba concentrations of analyzed and literature marine sediments (i.e., nondetrital particulate Ba, defined as Ba_{excess}) were calculated using the following equations: 86

87
$$[Ba]_{detritus} = \left[\frac{Ba}{Al}\right]_{detritus} \cdot [Al]_{bulk}$$

88
$$[Ba]_{excess} = [Ba]_{bulk} - [Ba]_{detritus}$$

4

89 The Ba/Al ratios of the detrital component were derived from the average upper continental crust (Al_{UCC} = 8.15 wt%, Ba_{UCC} = 624 ppm) (Rudnick and Gao, 2014). Temporal trends in Ba_{excess} 90 91 enrichment in ORMs through the geological time are presented in Fig. 2.

92

Results and Discussion

93 Dissolved Ba in the modern oceans is commonly sourced from continental weathering, along with a relatively small flux of hydrothermal fluids, and scavenged by particulate Ba (i.e., 94 95 discrete µm-sized barite) and its subsequent burial (Dickens et al., 2003). Modern pelagic sediments typically have significantly low Baexcess concentrations due to low dissolved Ba 96 97 concentrations and BaSO₄-undersaturated conditions of deep seawater (Bridgestock et al., 2018). Given the high sulfate concentration (~29 mM) in modern fully oxidized oceans, 98 particulate Ba accumulations in the sediments are dominated by the limited dissolved Ba 99 100 availability in the water column (< ~100 nM) (Martinez-Ruiz et al., 2019). Analogous to 101 modern marine sediments, the Cenozoic and Mesozoic ORMs consistently show low Baexcess 102 concentrations (<-3500 ppm), demonstrating presumably BaSO₄-undersaturated conditions of 103 the coeval seawater. By contrast, the Paleozoic ORMs are characterized by significant Baexcess 104 enrichments (up to 10000–20000 ppm), suggesting much more Ba traps from the water column compared to modern ocean. The Baexcess concentrations of the Precambrian ORMs (> ca. 541 105 106 Ma) are overall low (< 4000 ppm), except for several high values around the Paleoproterozoic 107 (ca. 1.8 Ga) (up to 10000 ppm). For Neoproterozoic and Paleozoic ORMs, no appreciable relationships of Babulk and Baexcess to Al concentrations suggest no effects of continental detrital 108 109 inputs on Ba accumulations in paleo-marine sediments (Fig. DR5A, B). For modern marine settings, clear correlations between accumulation rates of Baexcess and organic carbon were 110

111	considered only observed in specific environments (e.g., the equatorial Pacific), challenging
112	the use of Ba _{excess} as a widely reliable proxy for paleo-productivity (Schoepfer et al., 2015).
113	This case is also supported by no appreciable relationships of Ba _{bulk} or Ba _{excess} to TOC
114	concentrations in the Neoproterozoic and Paleozoic ORMs, especially in samples with notably
115	high Ba _{bulk} and Ba _{excess} concentrations (Fig. DR5C, D). In this context, we suggest that oceanic
116	sulfate concentrations associated with ocean oxygenation levels may have played a first-order
117	control on significant Baexcess accumulations in the late Neoproterozoic and Paleozoic ORMs
118	(cf. Wei and Algeo, 2020). The Precambrian oceans show inconspicuous Ba _{excess} enrichments
119	in ORMs, presumably due to pervasive deep marine anoxia and limited sulfate concentrations
120	(Canfield and Farquhar, 2009; Kah et al., 2004; Reinhard et al., 2013; Planavsky et al., 2014).
121	However, some Paleoproterozoic ORMs (ca. 1.8 Ga) reveal relatively high Baexcess, likely
122	implying a transient increase in marine sulfate reservoir following the GOE (Poulton et al.,
123	2004; Planavsky et al., 2012). The onset of significant Baexcess enrichments in ORMs focuses
124	on the late Neoproterozoic, and the early Cambrian (ca. 520 Ma) is marked by extreme Baexcess
125	enrichments in ORMs (Fig. 2A), corresponding to substantially elevated marine sulfate level
126	during this period (Kah et al., 2004; Canfield and Farquhar, 2009; Algeo et al., 2015). High
127	Baexcess enrichments in ORMs persist through the Paleozoic, suggesting the protracted existence
128	of a large dissolved Ba reservoir in the Paleozoic oceans. By contrast, no appreciable Baexcess
129	enrichments are recognized in the Mesozoic and Cenozoic ORMs, coincident with the inception
130	of a resiliently oxygenated ocean and rise to the current marine sulfate concentration from the
131	Mesozoic (Algeo et al., 2015; Lu et al., 2018).



133 likely controlled by short time-scale changes in marine redox state or depositional condition. However, the secular trend in Baexcess enrichments in ORMs throughout the Neoproterozoic and 134 135 Phanerozoic is closely related to temporal evolution of marine sulfate reservoir (Fig. 2, also see Wei and Algeo, 2020). An increase in marine sulfate concentration accelerates the consumption 136 137 of dissolved Ba in the ocean via barite precipitation. Thus, the Baexcess abundance in ORMs is determined by the relative sizes of marine sulfate and Ba reservoirs. We further qualitatively 138 estimate changes in seawater Ba concentrations throughout the Neoproterozoic and 139 140 Phanerozoic based on the calculation of marine barite saturation (Fig. 3). The presumably 141 BaSO₄-undersaturated conditions in the early Neoproterozoic oceans, demonstrated by non-142 existent Baexcess enrichments in ORMs, may have facilitated a notable Ba inventory to accumulate from continental weathering or hydrothermal fluids. The marine dissolved Ba 143 144 reservoir during the early Neoproterozoic was likely three orders of magnitude larger than that of the modern ocean (Fig. 3). The inception of BaSO₄-supersaturated oceans from the late 145 Neoproterozoic led to an overall decrease in marine dissolved Ba concentrations; nevertheless, 146 147 the pervasive BaSO₄-supersaturated conditions of the Paleozoic ocean required an oceanic Ba 148 reservoir, at least, an order of magnitude larger than that of the modern ocean (Fig. 3). The Mesozoic and Cenozoic oceans, characterized by BaSO₄-undersaturated conditions, more 149 likely had low dissolved Ba concentrations, close to that of the modern ocean (Fig. 3). 150 151 Modern anoxic basins (e.g., Black Sea, Framvaren Fjord) consistently show notably higher dissolved Ba concentrations in deep seawater (280-460 nM), relative to the global pelagic 152 ocean (< 100 nM) (Falkner et al., 1993), due to remobilization of barites following sulfate 153 reduction in sediment pore waters (e.g., Schoepfer et al., 2015). In some oxygen-depleted 154

continental margins (e.g., Peru margin), the recycled Ba can diffuse upward and re-precipitate 155 as a diagenetic barite front across the sulfate-sulfide transition zone in the sediment piles (Torres 156 157 et al., 1996). However, formation of this diagenetic barite front may have not been observed in ancient sedimentary rocks as a result of sediment compaction during the early diagenetic 158 159 process. In other words, once the bottom waters have sufficient sulfate, dissolution of barite in the deeper sediment pile may not hamper the preservation of average high Baexcess signals in 160 ancient rocks, attributed to the formation of barite fronts in the upper sediment piles. 161 162 Additionally, although increased continental or submarine weathering and hydrothermal inputs 163 of Ba may have supplied additional Ba to a local depositional system or pelagic ocean (Dickens et al., 2003), such processes more likely occur on a several-million-year scale. Long-term 164 evolution of the marine dissolved Ba reservoir throughout geological time cannot solely result 165 166 from rapid changes in its source fluxes. Moreover, significant particulate Ba accumulation in ORMs originated from the late Ediacaran to the early Cambrian when chemical weathering 167 intensity was relatively low before the colonization of land plants (e.g., Dahl and Arens, 2020). 168 169 Accordingly, chemical weathering accelerated by land plants may not play a first-order control 170 on marine authigenic particulate Ba formation in the late Paleozoic.

In conclusion, secular changes in Ba contents of ORMs dominantly corresponded to longterm global ocean redox evolution. Persistent BaSO₄-undersaturated conditions in the Precambrian oceans facilitated substantial accumulations of dissolved Ba in the seawater until marine sulfate concentrations approached a level where the saturation index was high enough for BaSO₄ precipitation. Hence, no appreciable Ba_{excess} enrichments in ORMs suggest pervasive marine anoxia before the NOE. By contrast, significant Ba_{excess} enrichments in ORMs from the

177	late Neoproterozoic to the Paleozoic substantially mark the global ocean oxygenation and
178	elevated marine dissolved sulfate concentrations. However, the persistence of a large marine
179	dissolved Ba reservoir through the Paleozoic, supported by ubiquitous Ba _{excess} enrichments in
180	ORMs and widespread bedded barite deposits in the Paleozoic (Fig. 2) (Jewell, 2000), indicates
181	that the NOE is relatively modest, and the background of the Paleozoic ocean oxygen level was
182	notably lower than that of the modern ocean. Traced by Baexcess enrichments, the stabilization
183	of a resiliently oxygenated ocean should be much later than previously inferred by Mo, U, Re
184	enrichments in ORMs. A persistently and resiliently oxygenated ocean was more likely well-
185	established until the Mesozoic, which is consistent with the records of I/Ca ratios in marine
186	carbonates (Lu et al., 2018).

187 Conclusions

Built from the integration of Ba_{excess} concentrations in ORMs in this study, we indicate that changes in size of the marine dissolved Ba reservoir are tightly related to the global ocean redox state. In particular, significant Ba_{excess} enrichments in the Paleozoic ORMs require the persistence of a more extensive marine dissolved Ba reservoir relative to the modern ocean. This observation suggests that the global marine oxygenation level of the Paleozoic oceans was substantially lower than that of the modern oceans, which hints a much later inception of the persistently and resiliently oxygenated ocean until the Mesozoic.

195 Acknowledgments

196 This study was funded by the Strategic Priority Research Program(B) of the CAS 197 (XDB26000000) and the NSFC program (42002002, 41872002, 41661134048). We are grateful 198 to Editor Chris Clark, Prof. Thomas Algeo and an anonymous reviewer for their constructive

- 199 reviews.
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309 Fig. 1. Compilations of Mo, U, and Re concentrations in ORMs, corresponding to the evolution of Earth's atmospheric oxygen content and key biological innovation events through the 310 311 Precambrian. The red lines in (A), (B), (C) denote the average values for Archaean, early Proterozoic (only for B), mid-Proterozoic and Neoproterozoic-Phanerozoic data (data from 312 313 Scott et al., 2008; Partin et al., 2013; Sheen et al., 2018). (D) is modified from Lyons et al. (2014), Knoll and Nowak (2017), and references therein. 314 315 Fig. 2. Temporal trends in Baexcess enrichment in ORMs (data sources are presented in the 316 supplementary table). The blue circles denote the data before the Neoproterozoic; the gray 317 circles denote the data from the Neoproterozoic to Paleozoic; the yellow circles denote the 318 data in Mesozoic and Cenozoic. The brown bars in indicate the temporal distribution of bedded barite deposits (Jewell, 2000). The evolution of marine sulfate concentrations (with 2σ 319

320 error bars) throughout the late Neoproterozoic and Phanerozoic is modified from Algeo et al.

321 (2015).

- 322 Fig. 3. Barite saturation index as a function of SO₄ and Ba concentrations of the oceans and a
- 323 qualitative evaluation of Ba concentrations from the Neoproterozoic to present oceans. The

dashed line represents a value of 1 for the barite saturation index, corresponding to a

- 325 threshold for BaSO₄-saturated conditions. The gray arrow denotes the range of SO₄ and Ba
- 326 concentrations in modern ocean. The white star indicates the Ba concentration of modern
- 327 Black Sea deep water (SO₄ concentration is zero). The dashed areas represent the ranges of
- 328 SO₄ and Ba concentrations in ancient oceans through geological time.

15







Supplementary materials for

Revisiting stepwise ocean oxygenation with authigenic Ba enrichments in marine

mudrocks

Guang-Yi Wei^{1*}, Hong-Fei Ling¹, Simon V. Hohl², Graham A. Shields³, Tao Yang¹, Yi-Bo

Lin¹, Feifei Zhang¹

¹State Key Laboratory for Mineral Deposits Research, School of Earth Sciences and Engineering, Nanjing University, Nanjing 210023, China ²State Key Laboratory of Marine Geology, School of Ocean and Earth Sciences, Tongji University, Shanghai 200092, China ³Department of Earth Sciences, University College London, Gower Street, London WC1E 6BT, U.K.

*Email: G.-Y. Wei (guangyiwei@nju.edu.cn)

DR1. Distinctions of different types of barite in marine sediments

Scanning electron microscope (SEM) images of barite crystals separated from the different depositional environments exhibit varying morphologies and sizes (shown in Fig. DR1 from Paytan et al., 2002). Marine barite crystals deposited from water column are characterized by ellipsoidal in shape and small size ($< 5-8 \mu m$). Hydrothermal barite crystals are generally precipitated as cross-cutting tabular crystals and form rosettes with nobaly large crystal size (20-70 μm). Diagenetic barite crystals are larger (20–700 μm), flat, tabular-shaped and presented as barite beds in the sediments.

We check the SEM images of the early Cambrian black shales in South China with significant Ba enrichments (Figs. DR2,3). The particulate Ba in the early Cambrian black shales is presented as aggregates of barite with relative small crystal size and elliptical to sub-

spherical structures. Despite the potential diagenetic process during the black shale burial, morphological observations suggest a marine authigenic origin for the barites in the early Cambrian black shales. We also select those black shale and mudstone samples that are not altered by hydrothermal fluids based on the descriptions in published literature.

DR2. Material and methods

New Ba concentration data were obtained from two early Cambrian organic-rich mudrock successions. Cherty shales, black shales and mudstones were collected from the Daotuo Drill core and the Yanjia section that were the same batches of samples in Wei et al. (2017) and (2020). These two sections are interpreted to have been deposited from the terminal Ediacaran to the lower Cambrian in a mid-depth margin-slope (Daotuo) to a deeper basin environment (Yanjia) on a continental margin. In order to avoid the contamination of Ba from authigenic carbonate, only bulk samples that have relatively low Ca concentrations (< 3%) were selected and ground into 200 mesh powders and then oven-dried at 60 °C for Ba concentration analysis. Approximately 50 mg of sample powder was weighed, then fully digested using distilled $HF + HNO_3 + HCl$ acids. The solutions were dried and re-dissolved in 6 N HCl in preparation for element and isotope analyses. The Ba concentrations were measured on a Thermo Finnigan Element XR ICP-MS at the State Key Laboratory for Mineral Deposits Research, Nanjing University and the Yale Metal Geochemistry Center (YMGC), Yale University. The IAPSO seawater and USGS BHVO-2 standards were used to monitor the long-term reproducibility of the measurements (better than 5% in this study). The Baexcess data, along with published geochemical data in the Daotuo drillcore and Yanjia section are presented in Fig. DR4.

DR3. Compilations of Ba concentrations in shales and mudstones

New analyzed and integrated data were presented in supplementary table with brief introduction and reference list in it.

DR4. Calculation of barite saturation index

The saturation index of barites in the ocean was calculated in terms of the activity product of free Ba and SO₄ ions in the aqueous solution,

$$Kd = a_{Ba} \cdot a_{SO4}$$
$$a_i = \Gamma_i(T, P) \cdot m_i = \gamma_i f_i \cdot m_i$$

where Kd is thermodynamic solubility product, a_i is the activity product of free ions, m_i is the product of the total molality, γ_i and Γ_i are the simple and apparent activity coefficients, respectively, which can be expressed as a function of temperature and pressure. Then the completed equation for barite saturation in the seawater can be defined as,

$$\mathrm{Kd}(\mathrm{T},\mathrm{P}) = (\gamma_{Ba} \cdot f_{Ba} \cdot m_{Ba}) \cdot (\gamma_{SO4} \cdot f_{SO4} \cdot m_{SO4})$$

In this study, we use the values of Kd, γ_{Ba} , γ_{SO4} , f_{Ba} , f_{SO4} as 1.1×10^{-10} , 0.24, 0.17, 0.93, 0.39, respectively, assuming a temperature of 25 °C and a pressure of one atm for calculations (Church and Wolgemuth, 1972).

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Fig. DR1. SEM (scanning electron microscopy) photographs of barite crystals from different modern oceanic settings (from Paytan et al., 2002). (A) (B) (C) are authigenic barites in marine sediments. (D) (E) (F) are hydrothermal barites near the hydrothermal chimneys. (G) (H) are diagenetic barites in marine sediments.

Fig. DR2. SEM (scanning electron microscopy) photographs of barite particles for black shales in the lower Cambrian in this study.

Fig. DR3. SEM photographs of barite particles for representative black shales in the lower Cambria. The barites in the samples are identified as peaks of O, S and Ba, using EDS (energy-dispersive spectrometry).

Fig. DR4. Geochemical profiles of the Daotuo drillcore and Yanjia section, including Ba_{excess} concentrations (this study), and U isotope, TOC, Fe speciation data (from Wei et al., 2020).

Fig. DR5. Cross-plots of (A) Ba_{bulk} vs. Al, (B) Ba_{excess} vs. Al, (C) Ba_{bulk} vs. TOC and (D) Ba_{excess} vs. TOC contents for the collected Neoproterozoic and Paleozoic samples.