

**Interventions promoting family involvement with care homes following placement of a relative with dementia: A systematic review.**

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## **Abstract**

There is a wealth of literature investigating the role of family involvement within care homes following placement of a relative with dementia. This review summarises how family involvement is measured and aims to address two questions: 1) which interventions concerning family involvement have been evaluated?, 2) does family involvement within care homes have a positive effect on resident quality of life and behavioural and psychological symptoms of dementia? After searching and screening on the three major databases of PsycINFO, MEDLINE and CINAHL Plus for papers published between January 2005 and May 2021, twenty-two papers were included for synthesis and appraisal due to their relevance to family involvement interventions and or family involvement with resident outcomes. Results show that in eleven interventions designed to enhance at least one type of family involvement, most found positive changes in communication and family-staff relationships. Improvement in resident behavioural and psychological symptoms of dementia was reported in two randomised controlled trials promoting partnership. Visit frequency was associated with a reduction of behavioural and psychological symptoms of dementia for residents with moderate dementia. Family involvement was related to positive quality of life benefits for residents. Contrasting results and methodological weaknesses in some studies made definitive conclusions difficult. Few interventions to specifically promote family involvement within care homes following placement of a relative with dementia have been evaluated. Many proposals for further research made over a decade ago by Gaugler (2005) have yet to be extensively pursued. Uncertainty remains about how best to facilitate an optimum level and type of family involvement to ensure significant quality of life and behavioural and psychological symptoms of dementia benefits, for residents with dementia.

**Keywords/phrases:**

- Family Involvement Measurement,
- Family Involvement Interventions,
- Family Participation,
- Resident Outcomes,
- Behavioural and Psychological Symptoms of Dementia BPSD,
- Quality of Life,
- Residential, Nursing and Care Homes,
- Family visits and contact

**Abbreviations:**

Advanced care planning (ACP)

Behavioural and Psychological Symptoms of Dementia (BPSD)

Care Quality Commission (CQC)

Clustered randomised control trial (CRCT)

Family Involvement (FI) *(in figures/tables, otherwise initials avoided as recommended in guidelines)*

Family Involvement Questionnaire-Long-Term Care (FIQ-LTC)

Randomised controlled trial (RCT)

Shared Decision Making (SDM)

The Mixed Methods Appraisal Tool (MMAT)

## **Introduction**

Family involvement within care homes following placement of a relative with dementia is essential for ensuring increased transparency and partnership between care provider and client (Care Quality Commission [CQC], 2015; Department of Health, 2013; van der Steen et al., 2014). In 2005, a major review of approximately 100 studies pertaining to family involvement in residential long-term care was published (Gaugler, 2005). Gaugler recommended that future research demonstrate links between family involvement and resident outcomes and evaluate interventions to refine the literature. Now, in 2021, we ask; How far have we come? Have Gaugler's (2005) research recommendations been followed and what have researchers discovered? This paper recaps Gaugler's findings and explores these questions.

### **How is family involvement defined and measured?**

Family involvement is defined as a multidimensional construct that can entail visiting, advocacy, supervising, monitoring and evaluating care, development of care partnerships and foundation care: personal, instrumental, preservative and psychosocial (Hayward et al., 2021).

Until very recently there was no single, comprehensive and robust measure that addressed the multifaceted domains of family involvement. Historically studies have relied on the Murphy et al. (2000) Involvement scale and Montgomery (1994) "Family Involvement in Care" scale. These scales appear to be similar; they measure visiting and participation in care activities, such as contact through telephone calls and letters, laundry, helping the resident walk, engagement in games and monitoring finances. They have been modified by other researchers to ensure

they are fit for purpose. For an example of this see Zimmerman et al. (2013).

Reid et al. (2007) explored two measures; the family perceived involvement (F-INVOLVE) comprised of 20 items and the importance of involvement (F-IMPORTANT) comprised of 18 items. These measured the extent to which families perceive they are involved in the care of their relative and the importance they attach to being involved.

The family perceptions of caregiving role (FPCR) is a measure that includes elements related to family involvement such as role deprivation though its focus is family member wellbeing (Maas & Buckwalter, 1990). The Family Visit Scale for Dementia (FAVS-D) measures the quality of visits between family caregivers and residents with dementia (Volicer & DeRuvo, 2008). Few papers have been published regarding the psychometric properties of these instruments.

### **Existing literature; Gaugler and more**

Gaugler (2005) made specific reference to eight studies involving residents with dementia in his seminal review. He highlighted a lack of studies exploring family involvement and resident psychosocial outcomes. Three family involvement intervention studies were reported. One found improvements in family-staff communication, another established family-staff partnership and the third intervention demonstrated a reduction in family-staff conflict. Findings from a paper related to one of the same interventions indicated that the Family Involvement in Care intervention had beneficial effects for family and staff though no significant benefit for residents (Maas et al., 2004).

While these studies appear to demonstrate a positive impact for families from their involvement with care homes, the synthesis is over fifteen years old. It remains

uncertain whether overall, family involvement interventions have a positive influence on resident outcomes and quality of life. Instead, we rely on three broader reviews looking at the course of resident behavioural and psychological symptoms of dementia (BPSD).

All three reviews pointed out the heterogeneity of studies. Two focussed on residents in care homes and found substantial variation in the path of BPSD between individual symptoms (Wetzels et al., 2010; Selbæk et al., 2017). The other review reported significant differences in the longitudinal courses of different BPSD and highlighted apathy; the only symptom with high baseline prevalence, persistence and incidence during the progression of dementia (van der Linde et al., 2016).

Petriwskyj et al. (2014) conducted a review of 26 studies published between 1990 and 2013 and primarily focussed on family choices relating to medical issues rather than wider promotion of family involvement with care. A meta-ethnographic review by Graneheim et al. (2014) focused on family role change and adjustment. Interventions to facilitate family involvement following placement of a resident with dementia were not specifically considered.

Müller et al. (2017) completed a systematic review focussed on identifying interventions to support people with dementia and their caregivers during the transition from home care to nursing home care. They discovered that there were no dementia specific interventions relating to family and no emphasis on promoting ongoing family involvement post relocation. Instead reducing caregiver burden was the main objective.

A systematic literature review by Riesch et al. (2018) investigated dementia-specific training for nursing home staff since 2006 and yielded 18 studies. Family dynamics and family related training topics were found to be consistently missing

from training curriculums. Authors highlighted this was in stark contrast to recommendations by Alzheimer's Association and The National Institute for Health and Care Excellence who advocate family dynamics being a key topic.

### **Current literature review**

This review provides an update on global developments of family involvement with care homes, specific to relatives living with dementia. It spans over fifteen years and considers two research topics to address the gaps described above;

1. Which interventions concerning family involvement within care homes have been evaluated?
2. Does family involvement within care homes have a positive effect on residents' behavioural and psychological symptoms of dementia (BPSD) and quality of life?

### **Research Design and Methodology**

This literature review is based on the York Centre for Reviews and Dissemination (University of York, 2009) guidelines on conducting systematic literature reviews in health care. The full inclusion and exclusion criteria were as follows:

#### **Inclusion criteria**

- Randomised controlled trial (RCT) designs, quasi-experimental designs, interrupted time-series designs with the family member or family member and their relative as own comparison and qualitative studies.

- Families (or those most responsible for caregiving and informal caregivers, of all ages) with a relative with dementia residing in a residential care home or nursing home.
- Studies where  $N \geq 10$ .
- Published in English in peer-reviewed journals between 2005 and May 2021.
- Training or interventions for staff, families (or families and residents) that pertained to family involvement or partnership with long-term care providers and related resident psychosocial outcomes.

### **Exclusion criteria**

- Studies, training or interventions solely set in home care, assisted community living or inpatient settings.
- Training or interventions for staff and/or residents that do not involve families.
- Family interventions focused solely on physical, medical or non-psychological outcomes e.g. decisions about psychotropic medication.
- Studies focused exclusively on caregiver burden, stress or wellbeing
- End-of-life or advanced care planning (ACP) studies where family involvement was not of primary interest.

### **Search strategy**

In January 2016 databases PsycINFO, MEDLINE and CINAHL Plus were searched for papers published between 2005 and 2015. This search was extended in May 2019 and again in 2021. Key terms were entered into Keyword, Subject heading and Ovid .mp searches in order to find studies pertaining to family involvement ('family', 'families', 'informal caregiver', 'involvement', 'engagement',



'participation', 'role/roles', 'interaction', 'visit/visiting') within a care home setting ('care home', 'residential care', 'residential aged care', 'nursing home', 'skilled nursing facility/facilities', 'institutionalisation', 'long-term care') for relatives with a diagnosis of dementia ('dementia', 'Alzheimer's', 'Alzheimer's disease'). Key phrases were also used to ensure a broad search ('working with families' and 'family-staff relationships').

Three authors reviewed the papers ensuing from the search by title, abstract and full paper according to the inclusion and exclusion criteria. A snowball sampling strategy was used as reference lists from systematic reviews and each selected paper were examined to identify additional studies.

### **Quality rating**

The Mixed Methods Appraisal Tool (MMAT) – Version 2011 developed by Pluye et al. (2011) was chosen to assess the quality of studies as it enables the rating of studies with various methodologies. Permission to use the MMAT was obtained from the authors. Four researchers applied the tool and sought consensus when any differences arose.

Ratings of quality were based on a 21 criteria checklist involving two screening questions for all studies and five sections; qualitative (four criteria), quantitative (randomised, non-randomised and descriptive, all with four criteria each) and mixed methods (three criteria). The sections and subsets of criteria were applied according to the type of study being reviewed. Responses to rating questions included 'Yes', 'No' and 'Can't tell'.

Papers received a score denoted by descriptors \*, \*\*, \*\*\*, and \*\*\*\*. For qualitative and quantitative studies, this score is the number of criteria met divided by

four with scores varying from 25% (\*) with one criterion met to 100% (\*\*\*\*) with all criteria met. For mixed methods studies, overall quality is the lowest score of the study components. Criteria included quality of data sources, consideration of researcher influence and sample recruitment bias, as well as data outcome completion and dropout rates.

### **Classification and analysis**

The selected studies were classified according to the research questions posed and divided into two tables by methodology. The tables include a synopsis and the appraisal results for each included paper. A convergent approach (Creswell, et al., 2011) was predominantly employed for reporting the review findings in relation to each research question.

## **Results**

### **Included studies**

A total of 564 papers were identified from the database and hand searches. 228 remained after application of the exclusion criteria and a review of titles. Following an abstract review, a further 140 papers were excluded. 88 papers were read in full and 22 papers were retained for their relevance to the intervention and or resident outcome research questions (see Figure 1).

Table 1 depicts studies with a quantitative or mixed methods (n=17) design. Table 2 shows studies with qualitative designs (n=5). Research was primarily conducted in the USA (n=7). Other countries included Australia (n=4), UK (n=3), Canada (n=2), Japan (n=2) and New Zealand (n=1). Three papers reporting inter-

country studies were found; Italy and Netherlands (n=2, same study) and Canada, Italy and Netherlands (n=1).

Data from one study was investigated in multiple ways and reported separately (Dobbs et al., 2005; Zimmerman et al., 2005). Two separate datasets were collected within a single study and reported across more than one paper (Mariani et al., 2017; Mariani et al., 2018). Therefore, 22 papers representing 21 studies drawn from 22 unique data sets informed the results.

### **Study design and quality**

Quality ratings ranged from \* to \*\*\*\* indicating a wide variation in study quality (see Table 3). The majority of the studies scored \*\*\* or above and showed many methodological strengths including use of multiple sites in their samples, clear description of analyses, management of confounding variables and application of verification procedures. The remaining two studies were of low to medium quality, receiving ratings between \* and \*\*. Findings remain included where other studies identified similar or corroborating results. Despite appropriate study designs for the questions posed, some studies had high attrition rates, did not appear to consider power, and involved sample size too small for analyses conducted. The quality of other studies was reduced by incomplete reporting of data collection or results.

923 records identified from

36 articles identified from hand search and

Figure 1.

articles

564 records remaining after

336 records excluded, not relevant to topic

reviewed

228 records abstract reviewed

140 studies excluded:

88 full text articles assessed for  
eligibility

66 full text records excluded as:  
Not specific to Family Involvement (13)  
Not Care Home setting (3)  
Scale development/pilot (1)  
Family grief, distress or burden focussed (1)  
Biomedical, End of life/Advanced care planning  
focussed without FI emphasis (2)  
Reviews, editorial or protocol only (5)  
Not dementia specific (9)  
Full paper unable to be found (1)  
Case studies and studies with N<10 (5)  
Not relevant to review topic (26)

22 articles (21 studies using 22

11 from hand and reference list search

Figure 1. Flowchart of literature identification and eligibility

Table 1

## Papers reporting family involvement (FI) intervention or impact of FI on resident BPSD with a quantitative or mixed method study design

Authors	Method, approach and setting	N	Key FI domain, measures and time points	Key results	Quality rating and Comments
Arai et al., 2021 (Japan) RQ: 2	Correlational (longitudinal)  Investigated how social interaction affected BPSD among residents with dementia  10 Long-term care facilities 1 Region	<u>Residents</u> 312	<u>Family</u> Frequency of visits/contact  <u>Resident</u> Activities of daily living (ADL); Cognitive function (MFIS); BPSD (NPI-Q); BPSD Severity; Social interaction; activity participation, resident friendships, quality of family relationships, external contact  Baseline, 12 months	Less communication with family associated with increased resident BPSD and BPSD Severity. Severity stayed the same for those in frequent communication. 37% of residents had communication from family more than once per week.	MMAT: **** <u>Pos</u> : Confounding factors and interactions accounted for, clear results reporting including effect sizes and potential biases.  <u>Neg</u> : Attrition rate
Bramble, Moyle & Shum., 2011 (Australia) RQ: 1	CRCT (MM)  Family Involvement in Care (FIC) intervention  2 Long-term care facilities	<u>Family</u> 57  <u>Staff</u> 59	<u>Family</u> Knowledge (FKOD); Stress (FPCR); Satisfaction (FPCT)  <u>Staff</u> Knowledge (SKOD); Stress (SPCR; CSI); Attitudes towards family (AFC)  Baseline, 1, 5 and 9 months	Sig increase in both family and staff knowledge of dementia, sig decrease in family satisfaction regarding staff consideration and management effectiveness.	MMAT: **** <u>Pos</u> : Randomised sites, blinding, power and attrition aims  <u>Neg</u> : Small sample, follow up attrition, no variance reported
Brazil et al., 2018 (Northern Ireland, UK) RQ: 1	CRCT (MM)  Evaluated effectiveness of an advance care planning intervention designed to assist families to participate in decision making  24 Care Homes	<u>Family</u> 197	<u>Family</u> Uncertainty in decision making (DCS); Carer satisfaction (FPCS) including involvement related factors of support and communication  Baseline, 6 months	Significant reduction in family carer uncertainty in decision making and improved family carer satisfaction in nursing home care. No impact on resident hospitalizations or number of deaths found.	MMAT: **** <u>Pos</u> : cluster randomisation of care homes, balancing confounding variables, variance reporting  <u>Neg</u> : size of care homes unknown, power unclear, no blinding

Table 1 (Continued)

Authors	Method, approach and setting	N	Key FI domain, measures and time points	Key results	Quality rating and Comments
Chappell, Kadlec & Reid., 2014  (Canada)	Correlational (longitudinal)  Examined predictors of change in social skills among residents with dementia	<u>Family</u> 135  <u>Residents</u> 149	<u>Family</u> Involvement (F-INVOLVE); Involvement importance (F-IMPORT)  <u>Resident</u> Social skills (MAS-R)  Baseline (admission), 6, 12 months	FI was not a sig predictor of changes in resident social skills over time, larger decreases in social skills associated with smaller social networks and sig fewer total visits	MMAT: ****  <u>Pos</u> : Power, analysis reporting, longitudinal (12m), CI reporting, measures, response rate  <u>Neg</u> : Type I error risk
RQ: 2	18 Care homes 3 communities				
Dobbs et al., 2005  (USA)	Correlational (cross-sectional)  Compared dementia care in residential care (RC) / assisted living (AL) to care homes	<u>Family</u> 400  <u>Residents</u> 400	<u>Family</u> Frequency of visits  <u>Resident</u> Activity involvement (PAS-AD);  Baseline	Families visited at least once in the last week, family assessing activities and social involvement was related to more resident activity involvement.	MMAT: ***  <u>Pos</u> : Large sample, adjustments, variance reporting  <u>Neg</u> : No description of family participants, non-standardised facility measures, missing data
RQ: 2	35 RC/AL, 10 Care homes 4 USA states				
Grabowski & Mitchell., 2009  (USA)	Correlational (longitudinal)  Examined caregiver visit duration and resident quality end-of-life care	<u>Family</u> 323  <u>Residents</u> 323	<u>Family</u> Oversight (visit hours per week); Satisfaction with care (SWC-EOLD)  <u>Resident</u> Health and dementia severity (BANS-S); Quality of life (QUALID); Quality of care (seven domains)	Most families spent between one and seven hours visiting each week, family satisfaction with care highest in group that did not visit, quality of care sig worse for residents visited over 7 hours per week.	MMAT: ***  <u>Pos</u> : Longitudinal, large sample, confound control, variance and limitation reporting  <u>Neg</u> : One non-representative, geographical site
RQ: 2	22 Care homes 1 USA city		Baseline, quarterly for 18 months/death.		

Table 1 (Continued)

Authors	Method, approach and setting	N	Key FI domain, measures and time points	Key results	Quality rating and Comments
Jablonski, Reed & Maas., 2005  (USA) RQ: 1 & 2	CRCT  Family Involvement in Care (FIC) Intervention  14 Care home special care units Midwest	<u>Family</u> 164  <u>Residents</u> 164	<u>Resident</u> Cognition (GDS); Function (FAC)  Baseline, 3, 5, 7, 9 months	Resident deterioration reversed initially though not sig different by 9 months, no sig effect on resident self care ability, inappropriate behaviour or agitation.	MMAT: ** <u>Pos</u> : Attrition adjustment, site randomisation, cluster effects considered  <u>Neg</u> : blinding, no family description, power calculation or effect size, attrition
Livingston et al., 2017  (UK) RQ: 2	Correlational, cross sectional  Reported prevalence and determinants of agitation in residents with dementia  86 care homes	<u>Family</u> 1281  <u>Resident</u> 1483  <u>Staff</u> 1701	<u>Family</u> visits  <u>Resident</u> Agitation (CMAI); Quality of life (DEMQOL); Dementia severity (CDR); Neuropsychiatric symptoms (NPI)  Baseline	Clinically significant agitation shown by 40% of residents with dementia. Agitation was not associated with number of visits by the main family carer.	MMAT **** <u>Pos</u> : large sample, sensitivity analyses, confound control, variance reporting, generalisability of results  <u>Neg</u> : possible underestimation of agitation level
Mariani et al., 2018  (Italy & Netherlands) RQ: 1 & 2	Quasi-experimental (MM)  Analysed Shared Decision Making (SDM) on agreement of residents' 'life-and-care plans'  2 Care homes (IT 2; NL 1)	<u>Family</u> 49  <u>Residents</u> 49  <u>Staff</u> 34	<u>Family</u> Quality of life (EuroQoL); Sense of competence (SSCQ)  <u>Resident</u> Care Plans (Case Report Form); Dementia stage (GDS); Katz Index of ADL  <u>Staff</u> Sense of competence (SSCQ) Baseline and 6 months post intervention	Overall, care plans showed higher level of agreement with policy recommendations post SDM. Improvements in resident and family involvement in care planning found in Italy.	MMAT: **** <u>Pos</u> : Use of control groups in each location, clearly operationalised care plan measures, inter-rater agreement applied, group difference considered  <u>Neg</u> : Small sample size per outcome, site control group variance, no estimate of variance reported

Table 1 (Continued)

Authors	Method, approach and setting	N	Key FI domain, measures and time points	Key results	Quality rating and Comments
Mbakile-Mahlanza et al., 2020  (Australia)  RQ: 1 & 2	CRCT Crossover  Evaluated impact of Montessori activities implemented by family on visitation experiences of people living with dementia  9 Care Homes 1 Australian State	<u>Family</u> 20  <u>Residents</u> 20  <u>Staff</u> 9	<u>Family</u> Quality of visits and satisfaction (5-point Likert scale); Personal Mastery (truncated PMS); Quality of relationship with relative (5-point Likert scale) and across 4 dimensions (MSFCI); Carer Mood (CESDS); Quality of life (Carer-QOL), frequency and length of visits  <u>Resident</u> Affect and engagement (PGCARS and MPES)  Baseline, during and post intervention	Visits ranged from 2 to 32 per month, average 2 hours per visit. Resident displays of pleasure and constructive engagement were significantly higher; anger, anxiety and passive engagement were significantly lower in Montessori versus control. Families experienced higher total visit satisfaction, and higher care-resident quality of relationship than in the control group.	MMAT: ****  <u>Pos</u> : Use of control groups and randomisation, group interaction and crossover effects analysed, effect size reported, low missing data rates  <u>Neg</u> : Small sample size for multiple testing, no adjustment for group differences, control group components
Minematsu., 2006  (Japan)  RQ: 2	Correlational (longitudinal)  Investigated family visits and behavioural and psychological symptoms of dementia (BPSD)  1 Care home	<u>Residents</u> 67	<u>Family</u> Hours per week visiting/talking  <u>Resident</u> Cognition (HDS-R); BPSD suppression (DBD)  Baseline, 12 months	Majority of residents visited between none and ten times per month on average, frequency of visits associated with positive change in HDS-R and DBD in residents with initial moderate HDS-R, change was lower where visit frequency was above average.	MMAT: *  <u>Pos</u> : Longitudinal (12m), measures, description of analysis, multiple appraisers  <u>Neg</u> : Small single site sample, minimal description of participants and data collection, missing measure reference and limitations



Table 1 (Continued)

Authors	Method, approach and setting	N	Key FI domain, measures and time points	Key results	Quality rating and Comments
Reinhardt et al., 2014 (USA)  RQ: 1 & 2	RCT  Palliative care conversation with follow up calls intervention  1 Care home Northeast	<u>Family</u> 90	<u>Family</u> Satisfaction with care (SWC-EOLD)  <u>Resident</u> Symptom control (SM-EOLD); single item rating across seven end-of-life domains  Baseline, 3, 6 months	Families had sig increased care satisfaction and had documented sig more end-of-life care decisions in care records, no sig difference in symptom management	MMAT: *** <u>Pos</u> : Randomisation, blinding, control group  <u>Neg</u> : Sample size, no power calculation, description of randomisation
Robison et al., 2007 (USA)  RQ: 1 & 2	CRCT (MM)  Partner in Caregiving intervention adapted for Special Care Units (PIC-SCU)  20 Care homes 1 USA state	<u>Family</u> 388  <u>Staff</u> 384	<u>Family</u> Conflict (ICS); Staff Provision (SPRS); Staff Behaviour (SBS); Staff Empathy (SES); Hassle (NHHS); Family Involvement (FIS)  <u>Resident</u> Agitation (CMAI)  <u>Staff</u> : Conflict (ICS); Family Behaviour (FBS); Family Empathy (FES)  Baseline, 2 and 6 months	Improvements in ease of talking with staff, and resident behaviours. Spouse/same-generation visits increased, number of programs offered to families increased.	MMAT: *** <u>Pos</u> : Sample size, 6m follow-up, confounding accounted for, response rates  <u>Neg</u> : No variance reported, measure reliability
Toles et al., 2018 (USA)  RQ: 2	Correlational (cross-sectional)  Compared family perceptions of quality of communication with staff and clinicians, and links with resident and family characteristics.  22 care homes 1 USA state	<u>Family</u> 302  <u>Residents</u> 302	<u>Family</u> Quality of Communication including involvement and interactions, demographics  <u>Resident</u> Demographics  Baseline	Family decision makers rated quality of communication with NH staff higher than that with clinicians and reported poor quality end-of-life communication for both staff and clinicians. 26% of staff and 50% of clinicians did not involve family decision makers in decisions about treatment residents would want.	MMAT **** <u>Pos</u> sample size, diverse homes, data collection, measure, adjusted for clustering effects, non-significant result included in reporting.  <u>Neg</u> : 1 geographical state, uncontrolled potential confounds identified, no effect size reporting

Table 1 (Continued)

Authors	Method, approach and setting	N	Key FI domain, measures and time points	Key results	Quality rating and Comments
Van der Steen et al., 2012  (Canada, Netherlands, Italy)  RQ: 1	Quantitative retrospective study  Evaluated families' perspectives on acceptability, usefulness, preferred timing and way of obtaining a booklet on comfort care in Dementia.  38 Care homes, (NL 28; IT 4; Canada 6)	<u>Family</u> 138	<u>Family</u> : Author developed 8-item scales  <u>Residents</u> : Demographics & health problems assessed.  Baseline	Most families perceived the booklet as useful. Approximately half of the families endorsed availability not through practitioners. Italian families' ratings differed from other countries across several domains including way of obtaining, profession preferred and timing.	MMAT: **** <u>Pos</u> : factor adjustments made, confounding and clustering factors considered, missing data management detailed  <u>Neg</u> : Non-standard scales, discrepancy in care home representation across locations. Retrospective design may have introduced bias (acknowledged)
Verreault et al., 2018  (Canada)  RQ: 1 & 2	Quasi-experimental study  Assessed intervention to increase quality of care and quality of dying in people with advanced dementia including education provision, early and systematic communication with families.  2 long-term care facilities	<u>Family</u> 124  <u>Residents</u> 193	<u>Family</u> Quality of care (FPCS);  <u>Resident</u> Symptom management (SM-EOLD); quality of dying (CAD-EOLD); Pain (PACSLAC).  48h before death and 4 weeks post or within 6 months of relative death	Sig increase in family satisfaction with care. Frequency of discussion with families and provision of information booklet higher than in care as usual. Sig increase in families' perception of comfort assessment and symptom management.	MMAT: **** <u>Pos</u> : control group, validated measures, factor adjustments. confounding and clustering factors considered, full data management detailed  <u>Neg</u> : Response rate disparity between study groups, no estimate of variance reported

Table 1 (Continued)

Authors	Method, approach and setting	N	Key FI domain, measures and time points	Key results	Quality rating and Comments
Zimmerman et al., 2005	Correlational (longitudinal)	<i>Family</i> 170	<i>Family</i> Frequency of visits <i>Resident</i> Activity involvement (PAS-AD); Quality of life (QOL in AD-activity); Behaviour (DCM)	Families spent almost seven hours per week on average visiting or talking with the resident, FI was associated to higher resident quality of life.	MMAT: **** <i>Pos</i> : Longitudinal, randomisation within site, confound adjustments, limitation reporting <i>Neg</i> : Missing data, no power analysis or effect size
(USA) RQ: 2	Compared dementia care in residential care (RC) / assisted living (AL) to care homes  35 RC/AL, 10 Care homes 4 USA states	<i>Residents</i> 170	Baseline, 6 months		

*Note.* ADL=Activities of daily living; AFC=Attitudes towards family checklist; BANS-S=Bedford Alzheimer's Nursing Severity subscale; CAD-EOLD=Comfort Assessment in Dying; Carer-QOL=Carer's quality of life; CDR=Clinical Dementia Rating; CESDS=Center for Epidemiological Studies Depression Scale; CMAI=Cohen-Mansfield Agitation Inventory; CRCT=Clustered randomised controlled trial; CSI=Caregiver stress inventory; DBD=Dementia behaviour disturbance scale; DCM=Dementia Care Mapping; DCS=Decisional Conflict Scale; DEMQOL=Dementia Quality of Life Measure; EuroQOL=EQ-5D Standardised Health Outcome Measure; FAC=Functional Abilities Checklist; FBS=Family Behaviors Scale; FES=Family Empathy Scale; FIS=Family Involvement Scale; FKOD=Family Knowledge of dementia test; FPCR=Family perceptions of caregiving role; FPCS=Family perceptions of care scale; FPCT=Family perceptions of care tool; GDS=Global Deterioration Scale; HDS-R=Hasegawa Dementia Scale-Revised; ICS=Interpersonal Conflict Scale; MAS-R= Multi-Focus Assessment Scale Revised; MFIS=Mental Function Impairment Scale; MPES=Menorah Park Engagement Scale; MSFCI=Mutuality Scale of the Family Caregiving Inventory; NHHS=Nursing Home Hassles Scale; NPI=Neuropsychiatric inventory; PACSLAC=Pain Assessment Checklist for Seniors with Limited Ability to Communicate; PAS-AD=Patient Activity Scale–Alzheimer's Disease; PGCARS=Philadelphia Geriatric Center Affect Rating Scale; PMS=Pearlin mastery Scale; QUALID=Quality of Life in Late-Stage Dementia; RCT=Randomised controlled trial; RQ= Research Question; SBS=Staff Behaviors Scale; SES=Staff Empathy Scale; sig=significant; SKOD=Staff knowledge of dementia test; SM-EOLD=Symptom Management at the End-of-Life in Dementia Scale; SPCR=Staff perceptions of caregiving role; SPRS=Staff Provision to Residents Scale; SWC-EOLD=Satisfaction with Care at the End-of-Life in Dementia Scale; SSCQ=Short Sense of Competence Questionnaire.

Table 2

*Papers reporting family involvement (FI) interventions or impact of FI on resident BPSD with a qualitative design*

Authors	Method, Approach and setting	N	Key domain and time points (single unless stated)	Key results	Quality rating and Comments
Aveyard & Davies., 2006  (UK)  RQ: 1	Interviews, focus group  Action group intervention (Senses Framework)	<u>Family</u> 7  <u>Staff</u> 18	Collaborative working between residents, relatives, staff and researchers	Families and staff created a shared understanding, learned to value each other, became a powerful voice for change and moved forward.	MMAT: **** <u>Pos:</u> Longitudinal design, member checks, researcher influence, limitation reporting  <u>Neg:</u> Small sample, atypical single site
1 Care home					
Brannelly et al., 2019  (New Zealand)  RQ: 1 & 2	Focus group interviews  Thematic analysis  1 Care home	<u>Family</u> 11  <u>Staff</u> 9	Impact of a new inclusive care model, <i>live an ordinary life</i> on care support workers and family where encouraging family contact was core aim.	Families found the unit calmer, more welcoming, with improved staff-family communication. Staff reported increased confidence and positive changes in wellbeing for residents. FI resulted in improved, tailored activities for residents.	MMAT: *** <u>Pos:</u> Longitudinal design, use of audio recording, multiple authors involved in levels of analysis and theme validation  <u>Neg:</u> Small sample size, 1 location, no unit details, no result verification with participants, researcher influence unclear

Table 2 (continued)

Authors	Method, Approach and setting	N	Key domain and time points (single unless stated)	Key results	Quality rating and Comments
Mariani et al., 2017  (Italy & Netherlands)  RQ: 1 & 2	Focus group interviews  Descriptive with content analysis  2 Care homes (IT 1; NL 1)	Staff 19	Barriers, facilitators and influencing factors to the implementation of a Shared Decision Making (SDM) framework for care planning of which involving family was a central aim	Training using role play found to be useful for staff learning how to involve residents and family caregivers in optimal way. Improvements found in cooperation with families and care records. Multidisciplinary working and communication skills key to enabling FI as were family compliance factors; closeness, usual involvement with care tasks, family perceptions about need for SDM.	MMAT: ****  Pos: Interview guide, multi-country, inter-rater agreement and consensus, group difference considered, well reported analysis results and participant quotes.  Neg: Small sample size, difference in dementia severity by location, 1 setting per location, different languages used
Stirling et al., 2014  (Australia)  RQ: 1	Interviews, focus and action groups  Dementia and Dying: discussion tool  4 Care homes	Family 11	Facilitation of staff-family communication about palliative care	Families and staff reported the tool promoted a different type of communication where families were engaged, confidence in talking about dementia trajectory and palliative care was improved and family-staff relationships were enhanced.	MMAT: ***  Pos: Description of tool development, stakeholder review  Neg: Small sample, no result verification, researcher influence unclear
Walmsley & McCormack., 2017  (Australia)  RQ: 2	Video recorded observations  Phenomenological with thematic analysis  4 Care homes	<u>Family</u> 14  <u>Resident</u> 5	Relational social engagement (RSE) and retained awareness in people with severe dementia during interactions with family  Two separate time points at families' convenience	Family interactions during visits resulted in retained awareness beyond assessed levels in those with severe dementia. RSE evident whether interactions were positive or negative.	MMAT: ***  <u>Pos</u> : Independent audit, separate analysis, theory links, researcher stance and bias considered.  <u>Neg</u> : Subjectivity of interpretation; speech of residents was limited, small sample size, care home details missing from results

Note. RQ=Research Question

Table 3

*Mixed methods appraisal tool (MMAT) scores for included studies*

Study	MMAT
Quantitative studies	
Minematsu (2006)	*
Jablonski, Reed & Maas (2005)	**
Dobbs et al (2005) <sup>a</sup>	***
Grabowski & Mitchell (2009)	***
Reinhardt et al (2014)	***
Arai et al (2021)	****
Chappell, Kadlec & Reid (2014)	****
Livingston et al (2017)	****
Mbakile-Mahlanza et al (2020)	****
Toles et al (2018)	****
Van der Steen et al (2012)	****
Verreault et al (2018)	****
Zimmerman et al (2005) <sup>a</sup>	****
Qualitative studies	
Brannelly et al (2019)	***
Stirling et al (2014)	***
Walmsley & McCormack (2017)	***
Aveyard & Davies (2006)	****
Mariani et al (2017) <sup>b</sup>	****
Mixed methods studies	
Robison et al (2007)	***
Bramble, Moyle & Shum (2011) <sup>d</sup>	****
Brazil et al (2017) <sup>c</sup>	****
Mariani et al (2018) <sup>b</sup>	****

*Note.* Scores vary from \*(25%) one criterion met, to \*\*\*\* (100%) all criteria met

<sup>a</sup> related studies (Dementia Care Project, USA)

<sup>b</sup> related studies (Shared Decision Making framework, Italy and Netherlands)

<sup>c</sup> mixed method RCT; 2018 reports qualitative results (UK) - related specific domain

<sup>d</sup> mixed method RCT; 2009 paper reports qualitative results

## Research questions

### 1. *Which interventions concerning family involvement within care homes have been evaluated?*

Thirteen of the 22 papers reported interventions designed to promote or improve at least one aspect of family involvement. Eleven separate interventions were described. Five of these were family focussed (Bramble et al., 2011; Brazil et al., 2018; Mbakile-Mahlanza et al., 2020; Robison et al., 2007, Stirling et al., 2014). The remainder (Aveyard & Davies, 2006; Brannelly et al., 2019; Mariani et al., 2018; Reinhardt et al., 2014; Van der Steen et al., 2012) with contexts of care planning, care quality, decision making, and a new care model, considered family involvement alongside several components; in one case family involvement was one of five (Verreault et al., 2018).

Four intervention studies used the same booklet (or an adapted version) '*Comfort Care at the end-of-life for persons with Alzheimer's disease or other degenerative diseases of the brain*' (Arcand & Caron, 2005) as part of their family education and engagement components (Brazil et al., 2018; Jablonski et al., 2005; van der Steen et al., 2012; Verreault et al., 2018). The Jablonski et al. (2005) study achieved a MMAT score of \*\* and is not described here.

Robison et al. (2007) clustered randomised control trial (CRCT) found that a Partner in Caregiving intervention was effective for improving family-staff communication and increasing spousal or same generation contact. Both of these results were sustained at a six month follow up, however, no significant change in staff reported conflict was found. Despite this, the care homes were also found to have increased the number of programmes offered to families.

Another CRCT by Bramble et al. (2011) found the Family Involvement in Care intervention to significantly improve family knowledge of dementia while family satisfaction with staff consideration and management effectiveness decreased. In Mbakile-Mahlanza et al's. (2020) crossover CRCT families experienced higher visit satisfaction when encouraged to deliver Montessori activities. Lower ratings of total quality of relationship were found for non-spousal carers. Learning how to deliver Montessori activities resulted in a negative impact on carer mood. Authors proposed family involvement include peer support, dementia education, and training in Montessori activities.

A randomised control trial (RCT) with a palliative care conversation intervention (Reinhardt et al., 2014) found families added end-of-life care decisions to resident records. Family satisfaction with care increased and remained so at six months follow-up. Along a similar end-of-life care theme, Verreault et al. (2018) conducted a quasi-experimental study examining a multifaceted ACP intervention. This included early and systematic communication with families and found frequency of discussions and booklet provision higher in the intervention group than in care as usual.

Mariani et al. (2018) quasi experimental, mixed method, two-country study trialled a shared decision making (SDM) programme in long-term care. A key aim of the intervention was for staff to learn how to involve family caregivers and residents in the care planning process. After implementation, Italian care plans for people with dementia showed significant improvements in multiple factors including family participation. Care plans across both countries showed a high level of agreement with international care planning policy in which family involvement is recommended. Specifically, in qualitative analysis of focus group data, Mariani et al. (2017) reported



that staff found the SDM framework facilitated both cooperation with families and clarity about staff-family role separation.

Brazil et al. (2018) instigated a paired RCT to explore the effectiveness of an Advanced Care Planning (ACP) intervention that included a trained ACP facilitator and involved family meetings and education. Researchers found the intervention reduced family carer uncertainty in decision-making concerning resident care and their perceptions of the quality of care in nursing homes was improved. When van der Steen et al. (2012) previously conducted a three country evaluation (N=138) of the same booklet as used by Brazil et al. (2018) most families of residents with advanced dementia rated the education tool as useful. Differences between countries were apparent across aspects of involvement such as timing of receipt of educative booklet, preferred method of access (online or direct) and content. Most families preferred to engage with the booklet at admission or soon after when care planning or a medical difficulty took place.

Stirling et al. (2014) developed and evaluated a Dementia and Dying discussion tool. They found that all care homes in their study had established processes and policies for involving families in the event that a resident's health significantly deteriorated. However, participants advised communication and information provision could be improved. Families perceived that the tool promoted a new, positive, and transparent communication style as well as improved family-staff relationships. Both family and staff confidence in talking about the course of dementia improved and overall engagement increased (Stirling et al., 2014).

The Aveyard and Davies (2006) study conducted over two years, evaluated an action group intervention that was based on relationship-centred care and a senses framework. Family and staff learnt to value each other and develop a powerful voice

for change. Results also included improved family-staff partnerships, greater shared understanding and better communication. Families reported a sense of having a place and role in the care home, improved opportunities to support staff and a new purpose in visiting. Staff reported appreciation of support, recognition and positive feedback from families.

Similar results were reported by Brannelly et al. (2019). In 'an ordinary life' model with family-centred care, a lighter atmosphere and looser rules, families found the care home calmer and more welcoming. They felt cared for, perceived staff as helpful, and reported improved staff-family communication. Staff' role satisfaction increased when encouraged to speak directly with families for advice. Barriers to involvement included staff work patterns, time consuming written communication, environmental concerns (Aveyard & Davies, 2006) and limited time available to visit (Brannelly et al., 2019).

## **2. Does family involvement with care homes have a positive effect on residents' behavioural and psychological symptoms (BPSD) and quality of life?**

Of the 22 included papers, 17 involved studies that reported resident outcomes and included eight of the family involvement intervention studies outlined above. Other papers reported resident outcomes relating to; family visits or telephone calls (7), a multi item family involvement scale (1) and quality of family communication (1). Three papers reported qualitative results. An MMAT score of \*\* or below was assigned to two of the papers reporting quantitative results, Jablonski et al. (2005) and Minematsu (2006).

The two CRCTs and RCT investigating different family involvement interventions described earlier in this review, reported contrasting outcomes of BPSD for residents at six-month follow-up. While resident behaviours (Robison et al., 2007), engagement and affect (Mbakile-Mahlanza et al. (2020) improved, Reinhardt et al. (2014) found no significant change in symptom management. This later finding was echoed in Jablonski et al. (2005) CRCT undertaken over nine months where no significant effect of the Family Involvement in Care intervention was found for resident self-care ability, inappropriate behaviour or agitation. Additional support for this idea comes from a large cross-sectional study by Livingston et al. (2017) where family visits were not associated with higher agitation levels in residents with dementia.

Conversely, in the 'ordinary life' context, Brannelly et al. (2019) reported improvements in both resident wellbeing and tailoring of activities to resident interests. Mbakile-Mahlanza et al. (2020) also found carer type was significant; higher levels of resident happiness and slightly higher levels of anger were present when offspring led sessions rather than spouses. Passive engagement was lower when a non-spouse delivered activities.

The Minematsu (2006) study found family visit frequency was associated with a reduction in BPSD for residents with moderate dementia. A positive change in BPSD was greater for residents receiving a monthly average of up to 10 visits when compared to residents receiving more than ten visits in a month. Similarly, a recent one year follow-up study also in Japan found BPSD of residents were likely to increase over time where there was low levels of communication with family and relatives (less than several times per year). Low communication levels were also associated with an increase in BPSD severity (Arai et al., 2021).

Two additional studies, found family involvement to be related to positive quality of life (Chappell et al., 2014; Dobbs et al., 2005; Zimmerman et al., 2005) and end-of-life (Verreault et al., 2018) benefits for residents. Family involvement was associated to higher resident quality of life in activity participation though not a significant predictor of change in resident social skills. Post an ACP intervention, families and staff perceived resident quality of dying (in the last 90 days and 48 hours) higher than in care as usual. However, the family involvement factor of *communication with families* was only one of five components involved.

A number of studies did not directly measure resident quality of life. Quality of care is a core contributor to quality of life (Banerjee et al., 2010) therefore relevant results are reported below. Families rated care quality higher after the ACP intervention (Verreault et al., 2018) and families of people with advanced dementia rated quality of communication about resident end-of-life concerns, higher for care home staff over clinicians (Toles et al., 2018).

Grabowski and Mitchell (2009) found no significant differences in quality of end-of-life care outcomes if residents were visited for none or between one to seven hours per week. Residents who were visited by family for over seven hours per week experienced significantly worse quality of care in five out of eight end-of-life care outcomes. This resonates with the Minematsu (2006) finding above and implies there may be an optimal minimum and maximum amount of time families could spend with their relatives to ensure the best outcomes.

Similarly, in the SDM intervention study, Mariani et al. (2017, 2018) found improvements in care plans about reporting resident wishes and needs regarding social, psychological and relational factors. In contrast, the presence of family prevented staff-resident discussions about intimacy. Some types and styles of family

participation obstructed resident involvement in care planning (Mariani et al., 2017) and therefore limited residents' direct influence over their own quality of life and care.

On a different note, Walmsley and McCormack (2017) qualitative study observed family-relative interactions and the speech, voice, facial expressions, and body gestures exhibited by relatives. During family visits, residents with severe dementia retained awareness beyond assessed levels. Relational social engagement, the way in which families demonstrate optimal interaction was reciprocated in residents, whether positive or negative. For instance, resident BPSD including agitation, frustration, withdrawal, were visible when social cues were ignored, family communication was negative or appeared to leave the resident feeling powerless. In contrast, family interactions encompassing a willingness to follow social signals, appropriate communication styles, emotional and cognitive validation, positivity and spontaneity, were met with reciprocated speech and non-speech responses from the resident. These included indicators of positive BPSD including expressions of self, demonstration of having fun, intimacy and social bonding.

## **Summary**

Few interventions have been developed to specifically promote family involvement within care homes, following placement of a relative with dementia. Of the interventions evaluated, all were found to yield positive results including improvements in: family-staff communication, family knowledge of dementia and family participation. However, the impact of family involvement and related interventions on residents' BPSD and quality of life showed mixed results.

## Discussion

### What do we know now that we did not know a decade ago?

#### Involvement interventions.

Consistent with earlier reviews (Gaugler, 2005; Petriwskyj et al., 2014) there is evidence that a Partner in Caregiving intervention adapted for dementia settings produces positive benefits for families and staff. The Family Involvement in Care intervention also appeared to translate well to care homes in a country (Australia) beyond the USA. A care model that nurtured family-centred care and family involvement interventions about advanced care or shared and end-of-life decision making, were well received and linked to improved communication and care planning.

While these findings are encouraging they are informed by thirteen studies, half of which were conducted in no more than two care homes. The studies tended to explore one context for involvement such as decision making or end-of-life care. Additionally, the variation in methodology and quality of the evidence available may have contributed to the inconsistency of the review results. With so few studies to draw on, it is difficult to make conclusions in agreement or otherwise with previous reviews.

Most interventions did not unilaterally concentrate on family involvement; granular understanding about which aspect of each intervention (or whether interactions between intervention components) are positively or negatively impacting family involvement, remain largely unknown. While several countries include family participation in policy (Mariani et al., 2017) in some contexts such as care homes based in rural locations, family views remain a low priority for management and staff

(see Hamiduzzaman et al., 2020). Policy change is not sufficient; interventions that specifically target promotion of effective levels of family involvement are required.

Until rich evidence about effective interventions is available, it may be necessary to look for indirect supporting evidence, in studies where fostering family involvement was not a main aim. For instance, a recent paper reporting the feasibility for use of the Integrated Palliative care Outcome Scale for Dementia (IPOS-Dem) by non-nurse trained healthcare staff found, use of the measure increased family empowerment and engagement in care (Ellis-Smith et al., 2017).

### **Resident outcomes and family involvement.**

A detailed understanding of the active components of family involvement interventions for improving resident BPSD outcomes is also lacking. While communication with families is associated with slowing the progression and severity of BPSD (a motivator for involvement, see Tsai et al., 2021) some findings in this review challenge the Gaugler (2005) assertion that family involvement leads to improved quality of life and quality of care for residents. Instead, family involvement and involvement interventions may not universally benefit residents even when families and staff report increased contact, improved family-staff collaboration or satisfaction with care (Jablonski et al., 2005; Petriwskyj et al., 2014; Reinhardt et al., 2014). Similarly to Kidder and Smith's (2006) findings, high family contact frequency was linked to worse outcomes for residents and lower quality of care. There may be an optimum level of family contact, no more than ten visits per month or seven hours per week, that enables positive BPSD and quality of care and life outcomes for residents with dementia (Grabowski & Mitchell, 2009; Minematsu, 2006).

This idea should be treated with caution; many family, organisational and resident factors influence family contact (Blinded for Review, 2021, in press) and are consequently likely to impact related resident outcomes. Quality of time spent may be more important (see Mbakile-Mahlanza et al., 2020). How these distinctions and specific components of family involvement relate to resident outcomes is not adequately evident from available studies and warrants further investigation.

The coronavirus pandemic and restriction on visitation highlighted the valuable resource that families add to care homes and provides anecdotal evidence for how the prevention of family involvement leads to negative resident outcomes. Verbeek et al. (2020) reported experiences with allowing visitors back in nursing homes after a ban. Care homes acknowledged the added value of real and personal contact between families and residents and reported a positive impact on wellbeing for all.

The small number of studies, differences in findings, and mixed study quality mean a reliable conclusion cannot be drawn about the positive changes in BPSD, increased participation in activities and positive association with quality of life found in approximately half of the studies that considered resident outcomes. To emphasise this point, when reporting a cross-sectional prevalence study of a 292 care home, single-provider sample, McCreedy et al. (2018) made caveated proposals. While low family participation in care planning may impact resident quality of resident end-of-life care, the drivers of variation across care homes of type and level of family involvement remain unexplained. Livingston et al. (2017) also caution it may be too simplistic to consider associations between a factor (such as agitation) and a family involvement measure, particularly when the measure is restricted to one agent such as the main carer and not wider family visits.



## **Have Gaugler's (2005) recommendations for research been adopted?**

Gaugler's (2005) recommendations for refinement of the evidence base relating to study methodology, inclusion of resident outcomes and relevant interventions, have been partially met. Eleven of the 22 studies had longitudinal designs. Ten studies included resident outcome measures with a family involvement measure or intervention though any links found were not always significant. On at least five occasions family involvement was solely measured in terms of visits or contact. Staff report lower family contact frequency than families report (Cohen et al., 2014) therefore research using multiple informants is required to ensure accurate visit and contact related results.

New research would benefit from focussed exploration of the factors that influence family involvement raised in (Hayward et al., 2021) to determine which and if any, account for inter-family and inter-care home variation in family involvement. The learnings from this could then be incorporated into design of family involvement interventions. The evidence base needs studies that employ both a comprehensive measure of family involvement and resident outcomes.

## **Strengths and Limitations**

Three researchers and three databases were used for paper selection. Extensive hand-searches were completed to ensure search strategy bias was minimised. Four researchers and a consensus approach were used for paper appraisal. Five of the 50 papers included in reviews used for comparison matched our included studies. To limit reporting bias, findings that corroborate and contrast in

evidence to our findings have been described when alternative papers within the earlier reviews were cited.

While development continues and further improvements are recommended (Hong et al., 2018) the MMAT quality appraisal tool is an efficient, globally utilised tool with accrued evidence of content validity and reliability (Pace et al., 2012). When 75% of the papers with varied designs were appraised with an alternative tool (Kmet, Lee, & Cook, 2004) a comparison indicated that there were no obvious differences in appraisal outcome; a paper with a low Kmet et al. (2004) score was also found to have a low MMAT rating.

UK based research of interventions to promote family involvement following placement of a relative with dementia is under represented. Across the entire set of study designs and reporting there were weaknesses which may have inflated the risk of bias in results. Five studies used appropriate cluster randomisation designs (Bramble et al., 2011; Brazil et al., 2018; Jablonski et al., 2005; Mbakile-Mahlanza et al., 2020; Robison et al., 2007) however, the studies varied in their consideration of and control for, clustering effects. Confounding by site and intra-cluster correlation effects may have impacted results (Donner & Klar, 2000). Small samples of two or fewer care homes and inconsistent variance and effect size reporting were also problematic. In qualitative studies the inclusion of an atypical, non-country representative care home and the lack of result verification processes were design disadvantages.

Non-English reporting, N<10 and carer burden exclusion criteria may mean relevant papers were missed. These restrictions minimised bias and avoided emphasis on findings from non-representative samples. Due to overlapping timeframes, 2005 and 2006 papers were unlikely to address any of Gaugler's 2005

recommendations for future research. Their inclusion meant the combination of Gaugler's and this review spanned the known available literature base, from 1960 to May 2021.

### **Implications for clinical practice**

Family involvement interventions do appear to have positive outcomes for families, staff and residents' quality of life and end-of-life, although for residents this is not yet extensively substantiated. Different groups of residents according to their shared BPSD symptoms may respond differently to different types and frequency of family participation. Interventions that promote an optimal level of family involvement (yet to be established) warrant inclusion in policy and standardised practice to ensure resident and family centred care. Fernandes et al. (2018) agree, and following their recent exploratory study in a long-term institution in Brazil concluded residents were willing and happy when family were involved and intervention programs with family as the foundation, are essential.

Three of the involvement intervention guides from studies in this review were easily accessible though one required a request to be sent to the authors. Detailed theoretical frameworks for a further intervention and the booklet resource commonly used were available while other interventions appeared to be limited to the description within an empirical paper. Care home promotion of involvement continues to be sporadic and often basic (Ampe et al., 2016) therefore open access to detailed guides would encourage wider replication of the family involvement interventions and facilitate evidence-based best practice in care.

### **Future research**

A new measure, developed by Fast et al. (2019) called Family Involvement Questionnaire-Long-Term Care (FIQ-LTC) has been shown to be reliable. It involves over 40 items and measures various aspects of family involvement in the lives of older adults residing in long-term care facilities. Additional studies to verify the measure's psychometric properties are now required. Whilst the FIQ-LTC questionnaire and Reid et al. (2007) measures will enable future research to provide a more complete picture, papers in the review reported here have relied on basic descriptive and historical measures.

Future research needs to investigate links between an array of contact and involvement types such as personalisation of family-staff relationships, teamwork, family-staff discussions and resident BPSD and quality of life outcomes. This would provide more clarity about the effect the shift in emphasis to partnerships and evaluation of care (and away from foundation care) is having on residents.

People with dementia should live an 'ordinary life' in care homes (Brannelly et al., 2019) yet there is great variation in the ordinary life of each resident. We lack substantial evidence for how the absence of family or existing yet uninvolved family effect outcomes for residents with dementia, family and staff. Studies reporting the impact of Covid-19 visitation bans may provide valuable insights. Do staff prefer working with residents who do not have family or whose families are uninvolved? How does staff preference impact resident outcomes?

The evidence would benefit from testing and wider country replication of family involvement interventions that concentrate exclusively on family involvement and target more than one domain of family involvement. Future studies will need to use the recently developed comprehensive family involvement measures to ensure earlier measure limitations (inconsistent use of measures, reliance on a single, self-

report measure) are avoided enabling credibility of any effectiveness based conclusions.

Recently Backhaus et al., (2020) explored the content of interventions that foster family involvement with nursing homes. Few interventions were found that seek to promote an equal family-staff partnership. Six helpful recommendations for the future development of interventions were made including to *pay more attention to mutual exchange and reciprocity between family and staff members.*

Families are keen to participate in research (Drake et al., 2019). There are increasing calls for relationship centred models of care (Allison, Balbino, & Covinsky, 2019). It is essential to explore and resolve why there are so few interventions that promote family involvement in care homes, target removing barriers to participation and foster family involvement known and predicted to positively impact resident outcomes. Is a government level mandate required before study resources are allocated to this arena?

## **Conclusions**

A small number of intervention studies (n=13) with differences in methodological quality and heterogenous outcomes were identified. The Partner in Care intervention (Robison et al., 2007), an Advanced Care Planning intervention (Verreault et al., 2018) and a Montessori activity intervention of which family involvement was one target, were the only interventions to quantitatively demonstrate both an improvement in at least one aspect of family involvement and an improvement in resident outcomes. Evidence exists that interventions that promote family involvement yield positive results, including improved family-staff relationships and communication, improved family knowledge of dementia, better

care planning, greater family participation and higher family perceived quality of care. Reliable conclusions about positive changes in resident BPSD and quality of life are unable to be drawn due to the differences across the studies in terms of components, content, method and focus.

More research is needed that involves new and enhanced interventions, specifically designed to concentrate on ways to involve families within care homes (Heap and Wolverson, 2020) and deliver positive outcomes for both families and residents living with dementia. Many of Gaugler's (2005) recommendations have yet to be addressed and multi-faceted types of family involvement need to be included in future studies. Systematic attention to involving and empowering families when developing interventions is also essential (Ampe et al., 2016).

This review and a second paper in the series (Blinded for review, 2021, in press) provide a comprehensive view of family involvement: a proposed new definition; types; factors that influence; the process; relationship to person and family-centred care principles; measures of involvement; how family involvement is being promoted in care homes; the impact of involvement on residents wellbeing and finally recommendations for development of future interventions. This series and Backhaus et al. (2020) significantly move the dementia specific, family involvement with care homes, evidence base forward.

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**Geolocation Information**

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\* Denotes a paper included in the systematic review

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