

Green Synthesis of Tunable Fluorescent Carbon Quantum Dots from Lignin and its application in Anti-counterfeit Printing

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ABSTRACT: Lignin converted to carbon quantum dots (CQDs) attracts tremendous attentions for the large-scaled CQDs production and value-added disposal of the biomass wastes (such as the black liquor from pulping industry and the residue from hydrolysis of biomass). The green synthesis of lignin-derived CQDs is proposed through a facile two-step route with the adjustment of acid additives containing the N or S. The synthesized CQDs exhibited bright fluorescence in gradient colors from blue to yellowish green, in which the N and S co-doped CQDs with the addition of 2, 4-diaminobenzene sulfonic acid show the optimal fluorescence quantum yield (QY) of 30.5%. The red-shift photoluminescence emission behaviors of the CQDs can be attributed to the increased graphitization degree and reduced optical energy band gaps (2.47→2.17 eV) with regard to the incorporation of various heteroatoms. The improved fluorescence QYs are consistent with the variation trend of the increased N/C content in the

1 CQDs. The high-efficient yellowish green-emissive CQDs performed well in
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3 anti-counterfeiting printing, regarding its bright fluorescence, strong water solubility and
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5 excellent chemical stability. The green synthesis of tunable fluorescent CQDs exhibits the
6
7 value-added utilization of lignin for the fluorescence ink production.
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12 **Keywords:** Alkali lignin, Carbon quantum dots, Heteroatoms, Fluorescence mechanism,
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14 Anti-counterfeit printing.
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20 21 **1. Introduction**

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24 Lignocellulosic biomass, the only sustainable and non-fossil resource in nature has great
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26 promise as alternative sources of petroleum-based energy and materials. It is composed of
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28 cellulose (45%), hemicellulose (25%) and lignin (30%) [1, 2]. Although breakthrough progress
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30 has been achieved in the efficient conversion of cellulose and hemicellulose into fuel ethanol
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32 and chemicals [3, 4]. The high-valued utilization of lignin remains a major challenge due to its
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34 complexity and recalcitrance, and more than 98% of it is discharged into rivers as black liquor
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36 or burned for heat as solid waste. Lignin is a three-dimensional amorphous polymer consisting
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38 of three principal phenylpropane units (p-hydroxyphenol (H), guaiacyl (G) and syringyl (S))
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40 linked by C–C bond and C–O bond [5-7]. Profiting from its unique aromatic rings in the native
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42 structure, one of the most advanced strategies for lignin utilization is for the green production of
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44 carbon nanomaterials with aromatic subunit such as carbon quantum dots (CQDs) [8, 9].
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57 CQDs, as a rising star of zero-dimensional (0D) carbon nanomaterials, have gained tremendous
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60 attention due to their outstanding biocompatibility, excellent water solubility, good chemical
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1 stability, superior nontoxicity, high conductivity, and controllable PL performance [10, 11].
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3 Owing to these advantages, CQDs are widely applied in optical and electrochemical fields,
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5 including bioimaging, sensing, light-emitting diode, nanomedicine, photocatalysis,
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7 electrocatalysis, supercapacitors, batteries and photovoltaics [12]. However, the high-cost raw
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9 material and the low production yield hinder the industrial application of CQDs. The green
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11 production of CQDs from renewable and low-cost lignin has shown great potential for
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13 addressing these issues [13]. Recently, massive efforts have been devoted to improving the
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15 photoluminescence (PL) performance of lignin-derived CQDs, especially the fluorescence
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17 quantum yield (QY). It is found that the presence of additives (acid or alkali) play a dual role of
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19 hydrotrope and dopant in the synthesis process, as to enhance the fluorescence QY of
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21 lignin-derived CQDs [14]. The blue N-doped CQDs were obtained from lignin via one-step
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23 hydrothermal method with the addition of alkali additives (ammonia water or ethylenediamine),
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25 the QYs of which were 14.2% and 17.6%, respectively. The lignin-derived CQDs as fluorescent
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27 nanoprobe both showed high sensitivity and selectivity in sensing metal ions [15, 16]. In term
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29 of acid additives, the light green S-doped lignin-derived CQDs were synthesized by one-step
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31 hydrothermal treatment of lignin and sulfuric acid. The resultant CQDs with a QY of 13.5%
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33 exhibited excellent selectivity and sensitivity on the detection of Sudan [17]. The blue N-doped
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35 CQDs from alkali lignin were prepared by a two-step process including sonication in nitric acid
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37 followed by hydrothermal treatment. The as-prepared single-crystalline CQDs had a QY of
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39 21.0% and had potential in bioimaging [18]. The cyan S, N co-doped CQDs from lignin and
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41 p-amino-benzenesulfonic acid monosodium salt were synthesized through the same two-step
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43 process described above. The as-synthesized CQDs with a QY of 20.2% presented sensitive
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1 fluorometric detection of Fe^{3+} ions [19]. Nevertheless, the production of lignin-derived CQDs
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3 is still largely under-developed with the bottleneck in the monotonous fluorescence color (blue
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5 or green) and the limited fluorescence QY (below 21%). It can be found that acids are the
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7 mainstream additives especially containing N or S elements due to its significantly positive
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9 effect on the improvement of the PL performance of CQDs. The uncertainty of fluorescence
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11 mechanism also leads to the disorientation of performance regulation thus constraining its wide
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13 application. There is already an ever-increasing demand for low-cost lignin-derived CQDs of
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15 high performance.
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25 In this work, alkali lignin was employed as the carbon source and mild organic acids
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27 (4-aminobenzoic acid, benzenesulfonic acid, 4-aminobenzene sulfonic acid, and 2,
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29 4-diaminobenzene sulfonic acid, instead of corrosive acids) served as the dopants to tune the N
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31 or S doping. The green synthesis of the lignin-derived CQDs via a facile two-step route was
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33 proposed, involving acid hydrolysis followed by hydrothermal treatment (Figure 1). The
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35 fluorescence mechanism was explored for the tunable PL performance of the multicolor
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37 fluorescent CQDs, revealing the reason of the red-shift emission wavelengths and improved
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39 fluorescence QYs. The pH-dependent PL performance of the optimal CQDs was also evaluated
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41 to validate the chemical stability, which were used as valuable fluorescent inks and successfully
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43 applied in optical anti-counterfeit printing. The utilization of abundant and inexpensive
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45 biomass waste paves the way for green and large-scale production of CQDs and facilitates the
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47 development of sustainable applications.
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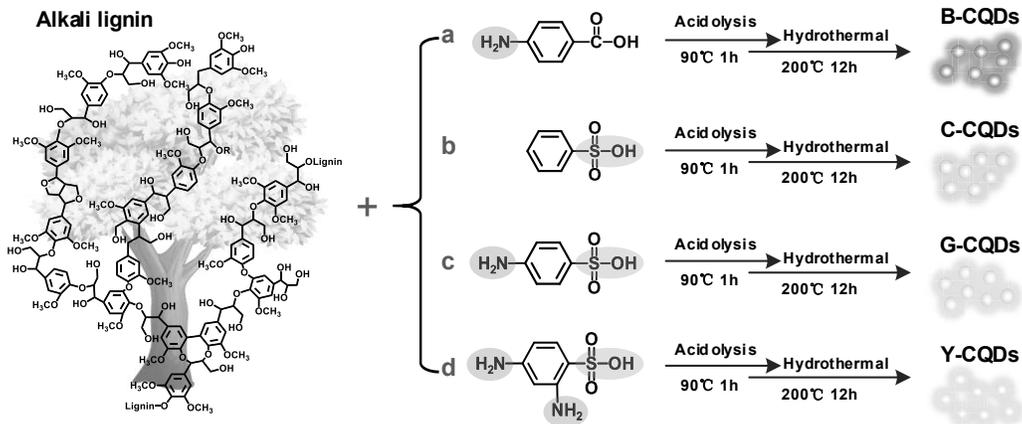


Figure 1. Synthetic routes of lignin-derived CQDs using four different N or S containing

acid additives.

2. Experimental Section

2.1 Materials and chemicals

Alkali lignin (AL) with low sulfonate content was offered by Sigma in Shanghai. All other chemicals (ACS, $\geq 96\%$) were obtained from Aladdin in Shanghai and were used as received without any further purification. Phosphate buffer solutions (PBS) of different pH values were provided by Kehuashi in Nanjing. Deionized (DI) water was used throughout this experiment. The dialysis bag (retained molecular weight: 1000 Da) was purchased from Viskase (MD44, US). The PTFE microporous filter membranes (0.8 and 0.22 μm) were supplied by Relab in Shanghai.

2.2 Synthesis of the lignin-derived CQDs

The CQDs were synthesized by a simple two-step method of hydrothermal treatment. Firstly, acid additives (0.3 g) were fully dissolved into DI water (30 mL), and then AL (0.3 g) was

1 slowly added under constant stirring. Afterwards the water bath heater was used to treat the
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3 mixed solution keeping at 90 °C for 1 h with a constant stirring (350 rpm). The solution after the
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5 reaction was vacuum filtered by a PTFE microporous membrane (0.8 μm). Subsequently, the
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7 obtained filtrate was transferred into a 50 mL Teflon-lined stainless autoclave and maintained at
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9 200 °C for 12 h. After naturally cooling to room temperature, the solid carbon insoluble in the
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11 CQDs aqueous solution was removed out by a PTFE microporous filter membrane (0.22 μm).
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14 The remaining brown supernatant was further dialyzed in a dialysis bag (1000 Da) for 2 days.
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17 Finally, the purified CQDs aqueous solution was freeze-dried in a lyophilizer below -60 °C for
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20 characterization and property evaluations. In this experiment, the four fluorescent CQDs were
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23 designed by changing the different types of acid additives, including 4-aminobenzoic acid,
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26 benzenesulfonic acid, 4-aminobenzene sulfonic acid, and 2, 4-diaminobenzene sulfonic acid,
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29 denoted as B-CQDs, C-CQDs, G-CQDs and Y-CQDs, respectively.
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34 *2.3 Characterization*

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37 The high-resolution transmission electron microscopy (HR-TEM) observation was performed
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39 on a FEI Tecnai G2 F20 TEM. Raman spectra were recorded on a ThermoFisher Dxi2Xi with a
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42 532 nm laser beam. Fourier transform infrared (FT-IR) spectra were carried out on a Nicolet
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45 iN10 FT-IR spectrometer. The matrix-assisted laser desorption/ ionization time of flight mass
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48 spectrometry (MALDI-TOF MS) was measured on a Bruker ultrafleXtreme MALDI TOF/TOF
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51 Analyzer using a-cyano-4-hydroxycinnamic acid (CHCA) as matrix media in the analysis.
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54 X-ray photoelectron spectroscopy (XPS) experiment was conducted on a ThermoFisher
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57 ESCALAB 250Xi photoelectron spectrometer. The two-dimensional heteronuclear singular
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60 quantum correlation nuclear magnetic resonance (2D-HSQC NMR) experiment was performed
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1 on a Bruker Avance III 600 MHz spectrometer with the dispersant of dimethyl sulfoxide-d₆
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3 (DMSO). The ultraviolet–visible (UV-vis) absorption spectra were measured by a YuanXi
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5 UV-5200 spectrophotometer. The PL spectra were characterized by a Agilent Cary Eclipse. The
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9 PL lifetimes were measured by Edinburgh FLS1000 spectrofluorometer.

10 11 12 13 14 **3. Results and discussion**

15 16 17 *3.1 Morphological and optical characterization of CQDs*

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20 The morphology and lateral sizes of the four CQDs are shown in the TEM images (Figure 2).

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23 The as-synthesized CQDs are all zero-dimensional and discrete quasi-spherical nanoparticles
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25 with the average size of 2.88, 4.01, 4.56, 5.05 nm, respectively. The HRTEM images of the four

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28 CQDs demonstrate well-resolved lattice fringes with similar lattice distance of 0.32 nm,
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30 resembling the (002) crystal plane of graphitic carbon [20, 21]. Furthermore, the CQDs
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32 aqueous solutions display bright fluorescence of blue (B-CQDs), cyan (C-CQDs), blueish
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34 green (G-CQDs) and yellowish green (Y-CQDs) color under UV light of 365 nm irradiation.

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37 According to the PL spectra, the optimum fluorescence emission peaks of B-CQDs, C-CQDs,
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39 G-CQDs, and Y-CQDs are centered at 344, 360, 470, and 530 nm at the excitation wavelengths
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41 of 280, 280, 340, 440 nm, respectively. The emission wavelengths of B-CQDs and C-CQDs

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44 mainly concentrate in the UV region, while those of G-CQDs and Y-CQDs extend from the UV
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47 to the visible region, displaying an evident red-shift PL behavior from B-CQDs to Y-CQDs. On
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50 the basis of the PL emission spectra under different excitation wavelengths, it can be observed

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53 that B-CQDs and C-CQDs present the most obvious excitation-dependent behavior. In
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56 comparison, the G-CQDs exhibit a weak excitation dependence. The Y-CQDs show almost no
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1 excitation dependence due to the emission wavelengths maintain the position under the varying
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3 excitation wavelengths. The PL excitation-independent behavior is considered to be often
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5 related to fewer surface defects such as the oxygen, nitrogen or sulfur functional groups. It can
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7 be speculated that with the red-shift of the emission wavelengths, the surface defects of the
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9 CQDs decrease. The UV-vis absorption spectra of the four CQDs present a similar absorption
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11 peak at 200–300 nm ascribed to the π - π^* transition of C=C in the sp^2 core, corresponding to the
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13 formation of conjugated skeletons. Notably, the absorption of G-CQDs and Y-CQDs are
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15 stronger than that of B-CQDs and C-CQDs, implying more C=C groups in their carbon core.
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17 The weak adsorption shoulder at 300–450 nm is attributed to the n - π^* transition of C=O or
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19 C=N on the surface of the four CQDs [22]. The cut-off energy absorption spectra of G-CQDs
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21 and Y-CQDs is shifted forward to 370 and 350 nm in comparison with 410 and 400 nm of
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23 B-CQDs and C-CQDs, which suggests that the energy gap of valence electron transition
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25 decreases due to increased conjugation [23]. In addition, the relative fluorescence QYs of the
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27 four lignin-derived CQDs can be calculated as 10.8% of B-CQDs, 7.3% of C-CQDs, 23.6% of
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29 G-CQDs and 30.5% of Y-CQDs according to the measurement method [24] (in the Supporting
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31 Information).

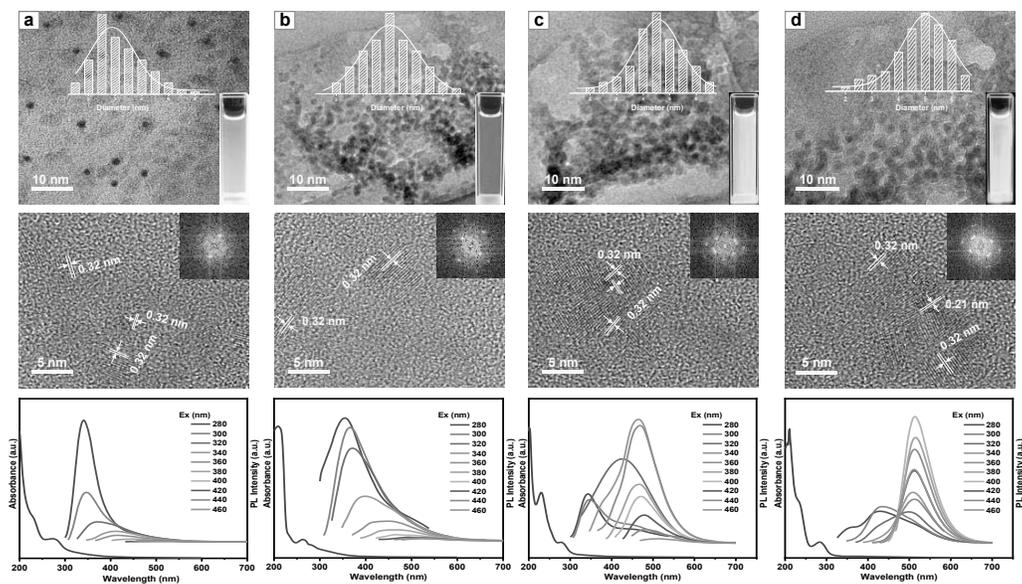


Figure 2. TEM, HRTEM images, UV-vis absorption spectra and PL emission spectra at different excitation wavelengths from 280 to 460 nm with a 20 nm increment of (a) B-CQDs, (b) C-CQDs, (c) G-CQDs, and (d) Y-CQDs (insert: fluorescence image of CQDs aqueous solution under 365 nm UV light and diameter distribution of CQDs).

3.2 Structural characterization of CQDs

The Raman, MS, FTIR and NMR are employed to analyze the chemical structure of CQDs. The Raman spectra of the four CQDs obviously show a G peak ($1568\text{-}1586\text{ cm}^{-1}$) and a D peak ($1350\text{-}1380\text{ cm}^{-1}$) (Figure 3a), which are associated with the vibrations of graphitized sp^2 carbon and disordered sp^3 carbon, respectively [8, 25]. The intensity ratios (I_G/I_D) can characterize the degree of graphitization and defects of the CQDs. The I_G/I_D values of the blue to yellowish green CQDs are 0.39, 0.44, 0.47 and 0.58, respectively. The increased graphitization degree is consistent with the red-shift PL emission behavior observed for the four CQDs. The 2D peak of the CQDs can be also found around 2800 cm^{-1} , indicative of graphene with few layers [18, 26]. The degree of polymerization and molecular weight of the four CQDs

1 are recorded by MALDI-TOF MS (Figure 3b). The number-average molecular weights of the
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3 CQDs increase in the order of B-CQDs (525.5) < C-CQDs (534.8) < G-CQDs (573.4) <
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5 Y-CQDs (609.5), which may result from the larger size of conjugate structure. As for the FTIR
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7 spectra (Figure 3c), they all exhibit –OH, hydrocarbyl, carboxylic C=O, sp² C=C, and C–O
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9 stretching vibration peaks at 3000-3600, 2900, 1700, 1400-1500, and 1100 cm⁻¹, respectively.
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11 Specially, the C-S/C=S vibration peaks in C-CQDs, G-CQDs and Y-CQDs spectra can be
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13 clearly detected at 600-800 cm⁻¹ [24, 27], originating from sulfonic groups in additives. The
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15 strengths of the C=C vibration peaks in Y-CQDs and G-CQDs spectra are greater than those
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17 observed in B-CQDs and C-CQDs spectra, implying that long-wave emission CQDs may
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19 contain higher sp² conjugation degree. The 2D-HSQC NMR spectra (¹H -¹³C) are used to
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21 identify the sp³-hybridized carbon domains and the sp²-hybridized carbon domains of the four
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23 CQDs (Figure S2) (in the Supporting Information). In the NMR spectra, signals in range of 50–
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25 75/3–4 ppm (δ_C/δ_H) are attributed to the aliphatic region (sp³ C), and signals of 100-140/6-8
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27 ppm are corresponding to the aromatic region (sp² C). The content of sp³ C is decreased from
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29 B-CQDs to Y-CQDs, while that of sp² C is increased, indicative of that higher degrees of
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31 sp²-conjugation domains make the PL emission wavelengths of CQDs red shift [23, 28].
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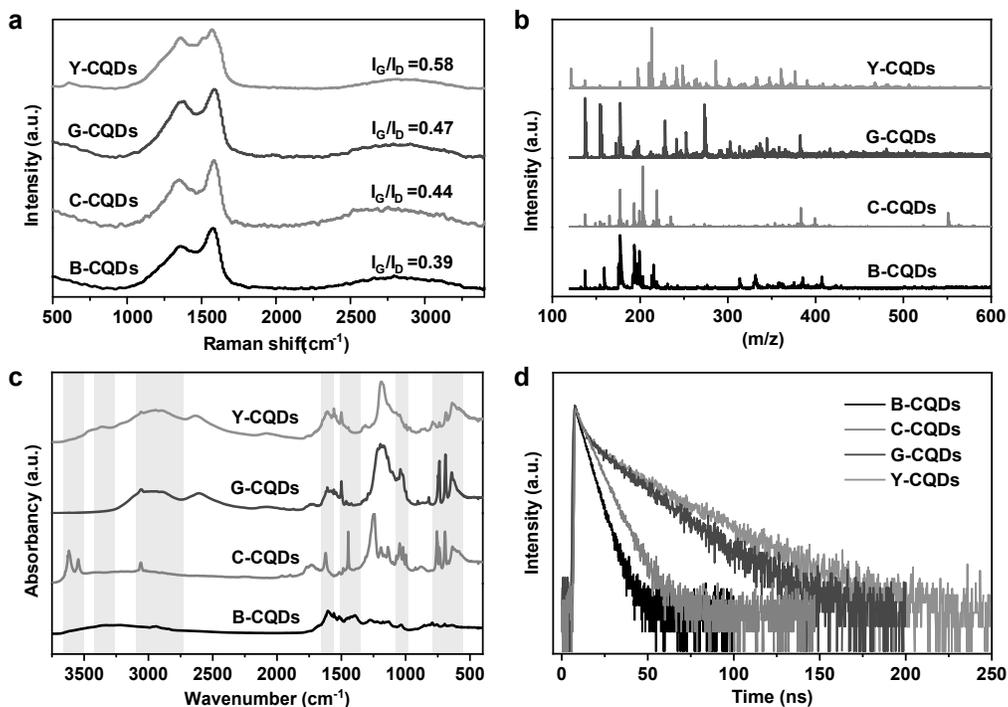


Figure 3. Structure characterization of the four CQDs. (a) Raman spectra, (b) FTIR spectra, (c) MALDI-TOF MS spectra and (d) time-resolved PL spectra of the four types of CQDs.

The chemical composition of the four CQDs are further characterized by XPS (Figure 4a-d). They are mainly composed of C (284.8 eV) and O (531.1 eV) elements, and a small amount of N (401.1 eV) or S (168.1 eV) elements. In detail, the samples from B-CQDs to Y-CQDs are N-doped, S-doped, N, S co-doped and N, S co-doped lignin-derived CQDs, respectively. These heteroatoms of N or S are introduced via the regulation of different organic acid additives containing sulfonate and amine. The high-resolution XPS spectra reveal that the four CQDs present similar C 1s and O 1s spectra, where sp^2 carbon (C-C/C=C, 284.5 eV), sp^3 carbon (C-O, 286.2 eV), carbonyl groups (C=O, 288.0 eV) in the C 1s spectra and C=O (532.1 eV), C-O (533.4 eV) in the O 1s spectra. In comparison, the C-C/C=C content in the C 1s spectra

1 significantly increases from B-CQDs to Y-CQDs, indicating the decreased defects on the
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3 surfaces. Meanwhile, the C=O content increases in the O 1s spectra, which is identified as the
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5 cause of red-shift emission due to its electron-accepting property [23, 29, 30]. These results
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7 agree well with the above-mentioned conclusion of FTIR and Raman analysis. The
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9 high-resolution N 1s spectra of B-CQDs, G-CQDs and Y-CQDs have two deconvolution peaks
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11 corresponding to amine-N (401.4 eV) and C-N (399.5 eV). The intensity ratio of C-N to
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13 amine-N peaks is decreased in the order of B-CQDs > G-CQDs > Y-CQDs. It is reported that
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15 the C-N in the form of pyridine-N, pyrrole-N as electron donors in the electron delocalization
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17 system is inclined to cause the blue-shift PL emission behavior [30-32]. The high-resolution S
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19 2p spectra of C-CQDs, G-CQDs and Y-CQDs display three deconvolution peaks around 168.5
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21 169.6 and 170.5 eV, which represent the -C-SO_x- (x=2, 3, 4) units derived from sulfonate in
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23 additives. To further explore the surface state of the CQDs, the peak areas are analyzed, and the
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25 results are listed in Table 1. The O/C ratios are 0.74 (B-CQDs), 0.59 (C-CQDs), 0.55 (G-CQDs),
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27 and 0.44 (Y-CQDs), which indicates that the surface oxidation degree from B-CQDs to
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29 Y-CQDs gradually decrease with the red-shift of the emission wavelengths. Moreover, the N/C
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31 ratios of B-CQDs, C-CQDs, G-CQDs, and Y-CQDs are 0.06%, 0.00%, 0.07% and 0.08%,
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33 respectively, which is consistent with the variation trend of fluorescence QYs.
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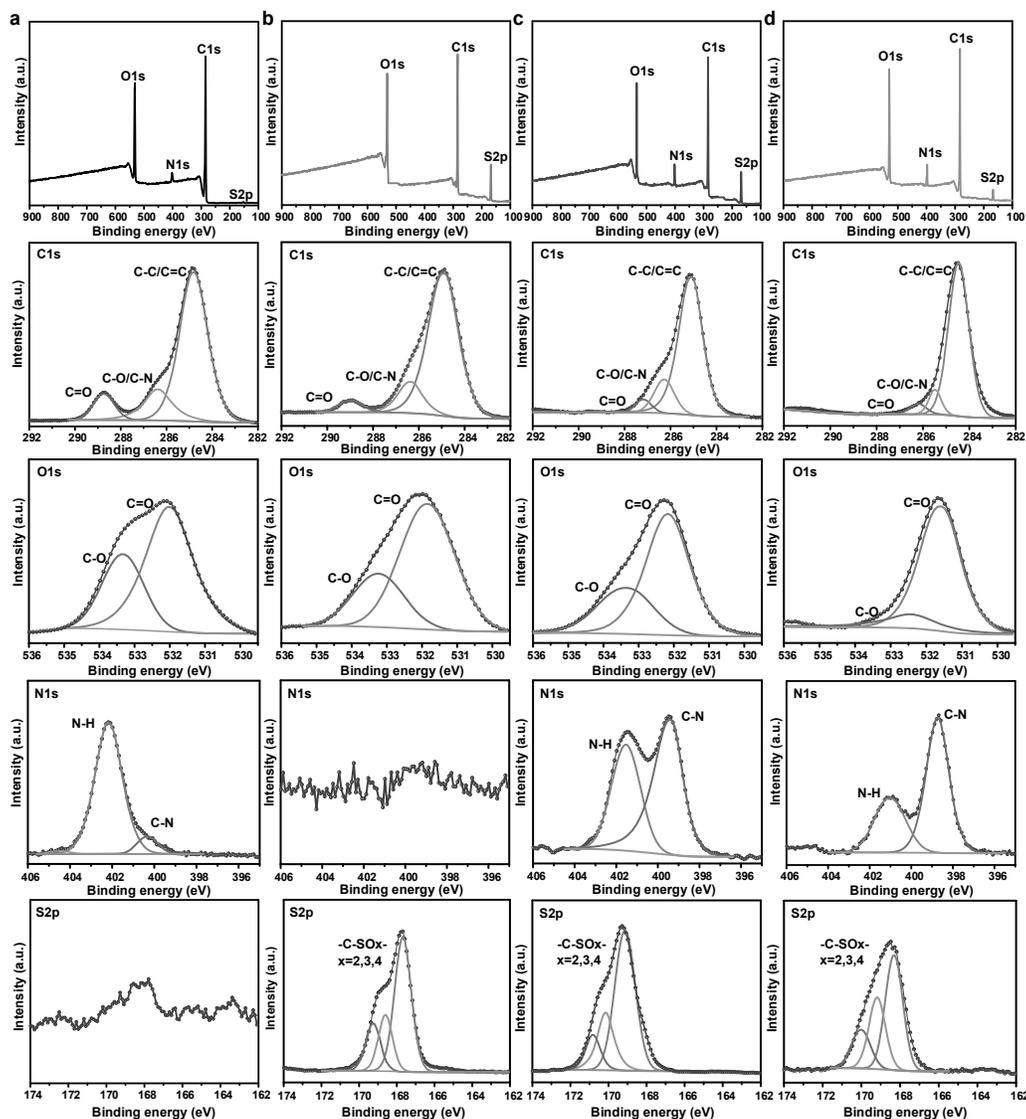


Figure 4. XPS spectra and High resolution XPS C 1s, O 1s, N 1s, S 2p spectra of (a) B-CQDs, (b) C-CQDs, (c) G-CQDs and (d) Y-CQDs.

Table 1. XPS data analysis results.

Sample	C	O	N	S	O/C	N/C	N-H	C-N	S/C	QY
	%	%	%	%	%	%	%	%	%	%
B-CQDs	55.50	40.87	3.56	0.07	0.74	0.06	77.21	22.79	0.00	10.8
C-CQDs	57.20	33.70	0.00	9.10	0.59	0.00	0.00	0.00	0.16	7.3
G-CQDs	59.40	32.55	4.31	3.74	0.55	0.07	40.77	59.23	0.06	23.6
Y-CQDs	63.70	28.22	4.82	3.26	0.44	0.08	34.09	65.91	0.05	30.5

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3 Based on the above experimental evidences, it is reasonable to deduce that the synthesized four
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6 CQDs have extensive conjugated sp^2 domains, oxygen-containing surface groups along with
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9 small amount of heteroatoms (N or S) doping. The sp^2 conjugated degrees in the carbon core
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11 from B-CQDs to Y-CQDs increase while oxidation degree on the surface reduce with the
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14 red-shift of the emission wavelengths.
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19 *3.3 Fluorescence mechanism of CQDs*

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22 The time-resolved PL decay (TRPL) curves of the four CQDs are assessed at their maximum
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25 excitation wavelength (Figure 3d). The average lifetimes (τ_{avg}) of B-CQDs, C-CQDs, G-CQDs,
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28 and Y-CQDs are 1.42, 1.30, 1.56 and 2.94 ns, respectively, consistent with the variation trend of
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31 fluorescence QYs in term of the same carbon source (lignin). All the lifetimes demonstrate
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34 biexponentially fitted (τ_1 and τ_2) corresponding to two fluorescence-emission centers in the four
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37 CQDs. According to the fitting parameters of the four decay curves (Table S1) (in the
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39 Supporting Information), they all contain a fast component (τ_1 : 3.04, 3.79, 4.26, 4.59 ns) and a
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42 slow component (τ_2 : 28.67, 27.53 26.95, 7.09 ns). The former fluorescence-emission center is
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45 associated with the radiative recombination of eigenstates (carbon core with sp^2 hybrid
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48 domains), in which the electrons are excited in the form of $\pi-\pi^*$ transitions. However, the latter
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51 is correlated with recombination processes of surface state (O, N or S-containing functional
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54 groups), which is a forbidden transition and the electrons are excited in the form of an $n-\pi^*$
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57 transition [33, 34]. In comparison, the lifetimes of τ_1 gradually increase from B-CQDs to
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60 Y-CQDs in turn owing to the increased graphitization degree. In addition, the Y-CQDs and
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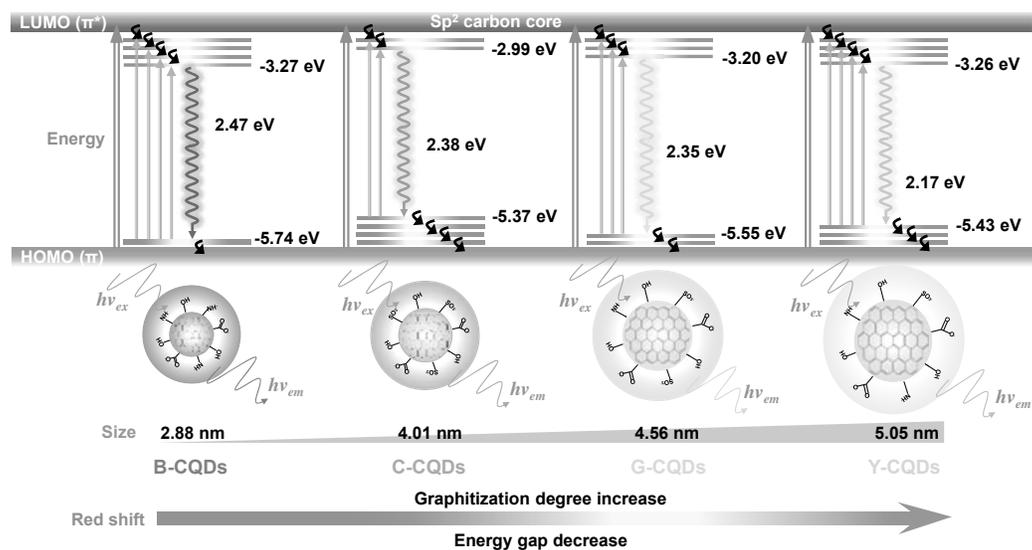
1 G-CQDs possess a significantly larger percentage of τ_1 (88.31 and 54.34%) than the B-CQDs
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3 and C-CQDs (14.46% and 17.80%). That is, the fluorescence emission behaviors of Y-CQDs
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5 and G-CQDs with fewer surface defects are dominated by eigenstates of the conjugated sp^2
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7 domains in the carbon core, while those of B-CQDs and C-CQDs mainly originate from the
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9 defects of surface states.
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17 The distinct optical energy band gaps (E_g) of the four CQDs further reflect the synergistic effect
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19 of the carbon core and surface states on the tunable PL behavior[34, 35]. The E_g (calculated by
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21 the absorption edge in the UV-vis spectra) decreases in the order of 2.47 eV of B-CQDs, 2.38
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23 eV of C-CQDs, 2.35 eV of G-CQDs and 2.17 eV of Y-CQDs. The reduced E_g from B-CQDs to
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25 Y-CQDs is corresponding to the increasing red-shift emission wavelengths. According to the
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27 Equation S1 and S2 (in the Supporting Information), the energy levels of the lowest unoccupied
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29 molecular orbital (LUMO) and highest occupied molecular orbital (HOMO) can be obtained as
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31 displayed in Table S2 (in the Supporting Information). As described in Fig 5, the reduced E_g
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33 from B-CQDs to Y-CQDs is on account of the lower energy levels of LUMO or higher energy
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35 levels of HOMO. Based on the obtained morphology, optical and structural characterization
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37 results, the fluorescence mechanism of the four CQDs can be proposed accordingly. The
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39 synthesized four CQDs have internal sp^2 conjugate domains in their carbon cores and
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41 amorphous regions on their surfaces. It facilitates a red-shift PL emission wavelength at larger
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43 size and higher degrees of graphitization of the carbon nucleus [36]. Meanwhile, the existence
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45 of C=O with the strong electron negativity displays the electron-accepting property, decreasing
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47 the energy level of LUMO [35, 37]. The lattice doping of heteroatom (N or S) introduces the
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1 additional energy level between π and π^* of carbon, inducing multiple pathways of electron
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3 transitions ($n \rightarrow \pi^*$) both in absorption and PL emission. The radiative recombination takes
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5 place after the vibration relaxation and interband crossing [27, 32, 33, 38]. Compared to the
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7 absorbed photons, the energy of the emitted photons is reduced due to the Stokes shift. The
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9 excited electrons tend to emit longer wavelengths via interband crossing, exhibiting the
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11 red-shift PL spectra. Hence, the increased graphitization degree and structure defects of the
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13 CQDs play the key roles in the reduction of E_g .
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22 Particularly, the fluorescence QYs of the four lignin-derived CQDs are significantly improved
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24 in the order of C-CQDs (7.3%) < B-CQDs (10.8%) < G-CQDs (23.6%) < Y-CQDs (30.5%), the
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26 optimal QY of which is superior to the most of biomass-derived CQDs in the previously
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28 reported works [9, 10, 39, 40]. Based on the above XPS results listed in Table 1, the variation
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30 trend of N/C ratios agrees well with that of fluorescence QYs, implying the critical effect of N
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32 content on the enhancement of QYs. It is regarded that N exists in the form of amine-N and C-N,
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34 in which the amine-N contents decrease while the C-N contents increase with the increased
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36 fluorescence QYs. The C-N can be divided into pyridine-N, pyrrole-N, and graphite-N. The
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38 pyridine-N and pyrrole-N enable to create lattice defects to the carbon network and graphite-N
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40 contributes the replacement to C in the sp^2 carbon domain [20, 25, 41]. The C-N incorporated
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42 into the carbon skeleton with its two isolated electrons may increase the electron cloud density
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44 of the entire conjugated π -domain while reducing the non-radiative recombination center of the
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46 CQDs, thereby enhancing the fluorescence intensity of the three N-doped CQDs in this study
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48 (i.e. B-CQDs, G-CQDs and Y-CQDs). The superior PL performance of G-CQDs and Y-CQDs
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1 compared with B-CQDs and C-CQDs might be attributed to the complicated synergistic effect
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 3 of N and S [14, 19, 42]. It can be summarized the PL emission behavior of CQDs can be
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 5 efficiently tuned through modulating the graphitization degree and surface defects.
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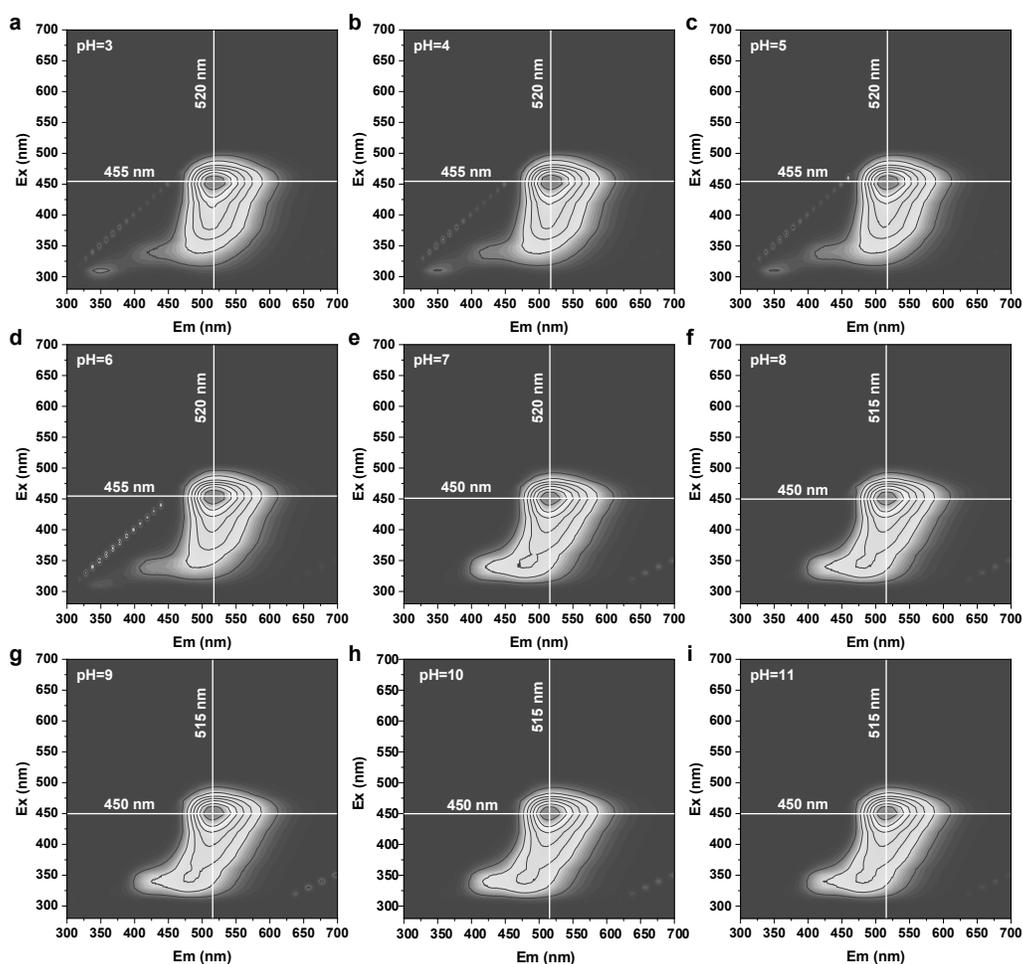


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 31 **Figure 5.** Illustration of the E_g , HOMO, LUMO and possible structures of the four CQDs.

34 *3.4 Anti-counterfeit printing application*

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 38 The chemical stability of Y-CQDs concerning the pH-dependent PL performance is further
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 40 evaluated for the anti-counterfeit printing application. There is disagreement whether pH can
 41
 42 induce emission peak shift except for the definite influence of fluorescence intensity in the
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 44 previous reports [15, 26, 43]. The pH-dependent fluorescence intensity is considered to be
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 46 influenced by the protonation and deprotonation of oxygen-containing groups on the surface
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 48 of CQDs, whereas the shift of emission peak at different pH may be related to the variation of
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 50 surface defect states. To explore the influence mechanism of pH-dependent PL performance
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 52 can help provide supporting evidence to reveal the origin of the PL emission for
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 54 lignin-derived CQDs. The PL emission behavior of Y-CQDs is investigated over a wide pH
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1 range of 3-11. As depicted in Figure 6, the optimal PL excitation and emission of Y-CQDs are
2 centered at 450-455 nm and 515-520 nm, respectively, with no significant difference at the pH
3 of 3-11. However, the PL emission behavior of Y-CQDs can be divided into two pH regions.
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1 **Figure 6.** (a-i) The Excitation–emission matrix of Y-CQDs at different pH values ranging
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3 from 3 to 11.
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9 For the further exploration, the pH-dependent PL emission performances of Y-CQDs are
10 investigated under the short and long excitation of 360 and 450 nm, respectively. As displayed
11 in Figure 7a, the PL intensities excited by 360 nm remain relatively stable initially at pH of 3-6,
12 while there is an increase in PL intensities at the further increasing of pH from 6 to 11. However,
13 the PL intensities show no significant change under 450 nm excitation. This means that the
14 pH-dependent PL behavior of Y-CQDs tends to occur at short excitation wavelengths. Specially,
15 the PL emission spectra of Y-CQDs at different PH values are characterized under the
16 excitation wavelengths of 360 and 440 nm, respectively (Figure 7b, c). The PL emission
17 spectra of Y-CQDs display a gradual blue shift and a slight increased PL intensities at pH of
18 3-11 under 360 nm excitation, which can be clearly observed in Figure 8. This may be owing to
19 the deprotonation as the increasing of pH from 7 to 11, which can reduce the aggregation
20 caused by the hydrogen bonds [44]. On the contrary, the emission spectra of Y-CQDs under 450
21 nm excitation are almost insusceptible to the different pH values. The optimal emission peak
22 position and PL intensities both exhibit good stability due to the large stokes shift under the
23 long excitation wavelength, which can avoid self-quenching and re-emission [15].
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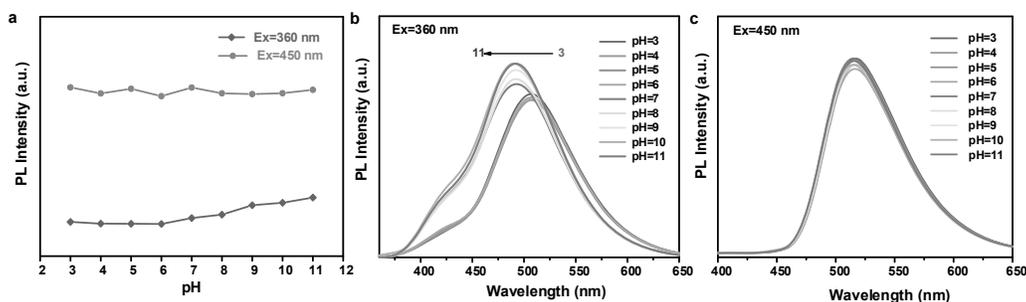


Figure 7. (a) The PL intensity of Y-CQDs at different pH values ranging from 3 to 11 excited by 360 and 450 nm, respectively. The PL emission spectra of Y-CQDs at different pH values (3- 11) excited by (b) 360 nm and (c) 450 nm.



Figure 8. Photograph of Y-CQDs in different pH buffers of 3 to 11 under 365 nm UV light

The high-performance Y-CQDs show promising potential in anti-counterfeiting printing application [45, 46]. The experiment is carried out through a desktop inkjet printer (Canon inkjet MG2580S printer) with the aqueous solution of Y-CQDs as fluorescence inks (Figure 9a). The Y-CQDs inks are quickly recrystallized on paper fibers when printed on a non-fluorescent paper. As presented in Figure 9b, the printed patterns with Y-CQDs ink are nearly invisible and the texts are almost indistinguishable on the paper under daylight. However, these printed patterns (Southeast University, QR code and paper currency) with bright yellowish green fluorescence appear under 365 nm UV light along with a background of blue fluorescence on the paper. After switching off the excitation, the fluorescent patterns

1 completely disappeared. Moreover, these photographs of printed patterns remain fluorescent
2 stability after a week of sunlight exposure. It indicates that the as-prepared Y-CQDs-based
3 fluorescent inks can exhibit the intriguing durability and reliability [47-49]. The
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5 fluorescent inks can exhibit the intriguing durability and reliability [47-49]. The
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7 Y-CQDs-based fluorescent inks with yellowish green color would develop the application for
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9 optical anti-counterfeiting printing, regarding its green preparation, invisibility under-daylight,
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12 facile designability and high chemical stability [50-53].
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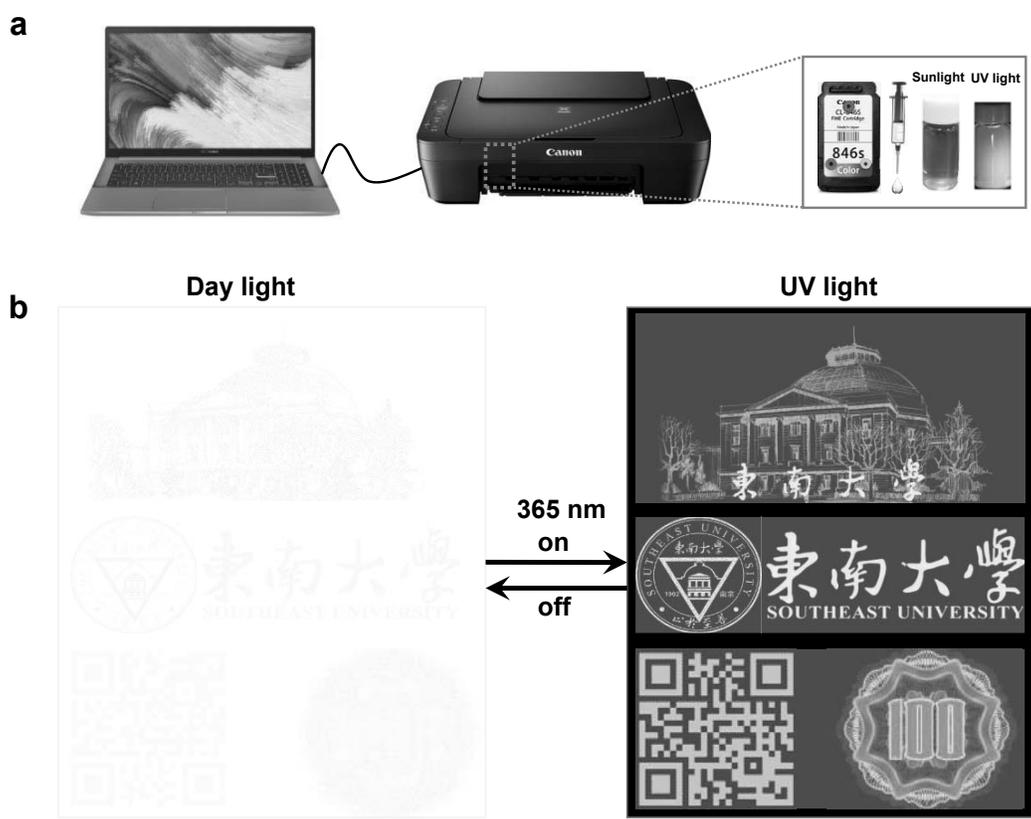


Figure 9. Anti-counterfeit printing application of the Y-CQDs. (a) Schematic illustrations of a customized desktop inkjet printer with the aqueous solutions of Y-CQDs in its cartridge. (b) Photographs of the Y-CQDs ink dispersion in daylight and under 365 nm UV light.

4. Conclusions

The green synthesis of tunable fluorescent CQDs from alkali lignin and acid additives (4-aminobenzoic acid, benzenesulfonic acid, 4-aminobenzene sulfonic acid, etc.) is achieved via a facile two-step method. The obtained N or S doped CQDs display a profuse color evolution from blue to yellowish green by varying different acid additives, in which the N, S co-doped CQDs with the adding of 2, 4-diaminobenzene sulfonic acid have the optimal fluorescence QY of 30.5%. The red-shift PL emission behavior is consistent with variation trend of the increased graphitization degree and reduced energy gaps of the CQDs. The fluorescence QYs are explained to be dominated by the N content and the synergistic effect of N and S co-doping. The high-efficient yellowish green-emissive CQDs are successfully utilized as fluorescence inks for the anti-counterfeiting printing. This work highlights the advanced strategy for the green production of high-performance CQDs from renewable lignin and promotes sustainable application development and environmental protection.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgements

The authors gratefully acknowledge the financial support from National Natural Science Foundation of China (grant numbers. 51676047 and 51861145102) and the Key Research & Development Program of Jiangsu Province (grant number: BE2020114). The authors also

1 acknowledge the funding support from the Scientific Research Foundation of Graduate School
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3 of Southeast University, China (YBPY2109) and Postgraduate Research & Practice Innovation
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5 Program of Jiangsu Province from the Education Department of Jiangsu (KYCX21_0094).
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10 **Appendix A. Supplementary data**

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12 The Supporting Information is available free of charge at
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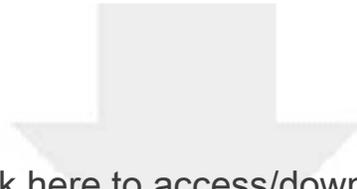
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The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.