

Rare Genetic Variant in *SORL1* May Increase Penetrance of Alzheimer's Disease in a Family with Several Generations of *APOE*- ϵ 4 Homozygosity

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Abstract.

Background: The major genetic risk factor for late onset Alzheimer's disease (AD) is the *APOE*- ϵ 4 allele. However, *APOE*- ϵ 4 homozygosity is not fully penetrant, suggesting co-occurrence of additional genetic variants.

Objective: To identify genetic factors that, next to *APOE*- ϵ 4 homozygosity, contribute to the development of AD.

Methods: We identified a family with nine AD patients spanning four generations, with an inheritance pattern suggestive of autosomal dominant AD, with no variants in *PSEN1*, *PSEN2*, or *APP*. We collected DNA from four affected and seven unaffected family members and performed exome sequencing on DNA from three affected and one unaffected family members.

Results: All affected family members were homozygous for the *APOE*- ϵ 4 allele. Statistical analysis revealed that AD onset in this family was significantly earlier than could be expected based on *APOE* genotype and gender. Next to *APOE*- ϵ 4 homozygosity, we found that all four affected family members carried a rare variant in the VPS10 domain of the *SORL1* gene, associated with A β PP processing and AD risk. Furthermore, three of four affected family members carried a rare variant in the *TSHZ3* gene, also associated with A β PP processing. Affected family members presented between 61 and 74 years, with variable presence of microbleeds/cerebral amyloid angiopathy and electroencephalographic abnormalities.

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Conclusion: We hypothesize that next to *APOE-ε4* homozygosity, impaired *SORL1* protein function, and possibly impaired *TSHZ3* function, further disturbed Aβ processing. The convergence of these genetic factors over several generations might clarify the increased AD penetrance and the autosomal dominant-like inheritance pattern of AD as observed in this family.

Keywords: Alzheimer's disease, APOE, genetics, penetrance, *SORL1*

INTRODUCTION

Alzheimer's disease (AD) is a complex and heterogeneous neurodegenerative disease. AD incidence increases with age, and about one third of the population aged 85 years and older is estimated to have AD [1]. AD is typically characterized by deficits in short-term memory, language, praxis, and visuospatial and executive functioning, eventually resulting in global cognitive decline. Despite intense research during past decades, the exact causes of AD are not yet understood. The leading hypothesis of AD pathogenesis is the amyloid cascade hypothesis, which proposes that aberrant processing of the amyloid-β protein precursor (AβPP) leads to increased production of amyloid-β (Aβ) peptide in the brain cells (reviewed in [2]). In turn, Aβ peptides are misfolded and accumulate into protein aggregates, ultimately leading to the formation of neurotoxic amyloid plaques that disrupt normal cellular processes. Genetic mutations in autosomal dominant AD are detected in genes involved in Aβ processing: the amyloid precursor protein (*APP*), which is the source of Aβ, and the presenilins (*PSEN1* and *PSEN2*) involved in AβPP-processing [3–5].

Twin studies estimated that ~60–80% of late onset AD risk is heritable with the remainder being environmental (LOAD, age at onset >65 years) [6]. By far the most important susceptibility gene for late onset AD is the *Apolipoprotein E* (*APOE*) gene [7]. Next to functions related with lipid and cholesterol processing, the protein product of the *APOE* gene, ApoE, is suggested to be involved in the clearance of Aβ from the brain (reviewed in [2]). The *APOE* gene contains three common allelic variants (*APOE-ε2*, *APOE-ε3*, *APOE-ε4*), which encode the ApoE2, ApoE3, and ApoE4 protein isoforms. Optimal Aβ clearance efficiency has been suggested to explain the neuroprotective nature of the ApoE2 isoform relative to the most common ApoE3 isoform, whereas presumably, the impaired Aβ clearance by the ApoE4 isoform explains the increased AD risk for *APOE-ε4* allele carriers [2]. In fact, more than 30% of the AD cases in the population can be attributed to the

APOE-ε4 allele (population attributable fraction), whereas at most 8% of AD cases can be attributed to any of the genes detected in a genome-wide association studies [8].

Carrying the *APOE-ε4* allele predisposes for AD in a dose-dependent manner: compared to non-*APOE-ε4* carriers, AD risk is increased 3–5 fold for heterozygous *APOE-ε4* carriers, and 10–15-fold for homozygous *APOE-ε4* carriers [9]. However, despite this large effect size, the penetrance of *APOE-ε4* homozygosity is incomplete. The chance that *APOE-ε4* homozygotes develop AD before the age of 85 years is 50% for males and 60% for females [10]. Some *APOE-ε4* homozygotes reach ages over 100 years while retaining their cognitive health [11]. This suggests that next to being homozygous for the *APOE-ε4* allele, additional genetic modifiers are necessary for the development of AD. To our knowledge, it has never been investigated whether other genetic variants co-occur with *APOE-ε4* homozygosity in AD patients.

We identified a family with AD patients with a relatively early onset of disease, spanning at least four generations, with an inheritance pattern that suggests autosomal dominant AD. DNA was available from four affected and seven unaffected family members, from the two youngest generations. We found that all four genetically tested affected family members were homozygous for the *APOE-ε4* allele. Therefore, this family provided the unique opportunity to investigate additional genetic variants next to *APOE-ε4* homozygosity, which might have contributed to AD. We describe the pedigree, the phenotype of the affected family members, the outcome of whole exome sequencing, and the segregation of the genetic variants.

MATERIAL AND METHODS

Pedigree and participants

We describe a family comprising nine individuals with AD symptoms who span four generations within one pedigree (Fig. 1, Supplementary Table 1A): eight

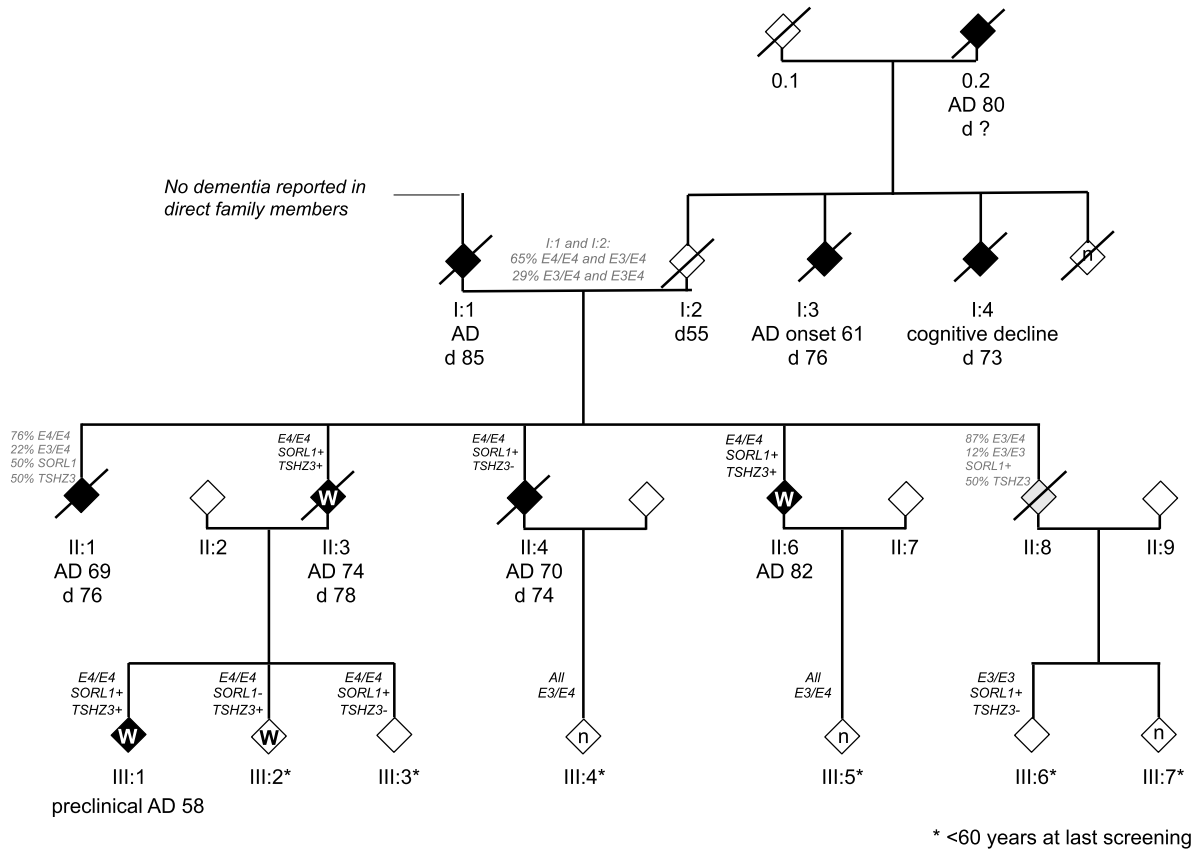


Fig. 1. Pedigree of family with four generations of AD patients. Black diamonds: Family members affected with AD; White diamonds: non-affected family members at time of death or last screening; Grey diamond: no consent to reveal disease history; “W” in diamond: family member included in whole exome sequencing; ‘n’ in a diamond, multiple family members merged and represented as one; AD [number], Alzheimer’s disease with age at diagnosis; d [number], age at death. E4/E4, APOE-ε4 homozygosity; SORL1+, subject is positive for the variant c.2012A>G in *SORL1*; TSHZ3+ subject is positive for the variant c.707C>T in *TSHZ3*; Grey text, DNA was not available for these family members, we estimated the chances that an individual has a given APOE genotype, based on (i) frequency of genotype combinations in the Dutch population, (ii) Mendelian inheritance patterns given the genotype distribution within the family structure and (iii) disease status. The chances for APOE genotypes do not add up to 100% when (smaller) chances for other APOE genotypes remain (Supplementary Table 1). Inferred chances of carrying of *SORL1* and *TSHZ3* genes are based on normal Mendelian inheritance patterns; Sex is not indicated and the order of siblings is rearranged to avoid recognition of this family and individual family members. See Supplementary Table 1 for list of genotype/phenotype data per-family member.

were diagnosed with AD, or were reported to have symptoms of AD (0.2, I.1, I.3, I.4, II.1, II.3, II.4, and II.6) and one individual had preclinical AD (III.1). Multiple individuals did not present AD symptoms at the time of observation (youngest individuals were merged into III.4-III.5 to avoid recognition).

Four family members with (preclinical) AD and seven of the unaffected family members (aged ≤60 years) consented to participate in this study (II.3 and II.4 by consent of their proxies). Affected family member II.1 consented to the use of his clinical data for research purposes. Detailed clinical data were not available for the affected family members of the first generations (0.2, I.1, I.3, and I.4).

Two family members with AD (II.1 and II.6) and the family member with preclinical AD (III.1) visited the Alzheimer center at the VU University medical center (VUmc) in the Netherlands and underwent extensive standardized diagnostic work-up [12]. The other affected family members (II.3 and II.4) were diagnosed elsewhere in the Netherlands. All diagnoses of AD were based on the NINCDS-ADRDA criteria as described by McKhann et al. [13]. Postmortem autopsy results of individual II.4 were reviewed by our neuropathologist. The local medical ethics committee of the VUmc approved the study. We did not obtain consent to reveal disease history of family member II.8.

DNA availability

Using common procedures, DNA was isolated from peripheral blood from three affected family members (II.3, II.6, II.4) the family member with preclinical AD (III.1), and the seven unaffected participating family members (III.2, III.3, four family members merged into III.4-5, and III.6).

APOE genotyping and imputation

For all participants with DNA available, *APOE* genotyping was performed after genomic DNA isolation from 7–10 mL EDTA blood, using a QIAxcel DNA Fast Analysis kit (Qiagen, Venlo, The Netherlands). For the individuals comprising generation I and two individuals from generation II, blood samples could not be collected. For individuals I.1, I.2, II.1, and II.8 *APOE* genotypes were determined in retrospect by estimating the posterior probability of the possible *APOE* genotypes. To this end, we applied Bayes theorem based on (i) the known *APOE* genotype combinations in generations II and III, (ii) the population frequencies of *APOE* genotype combinations in the Dutch population published by LASA [14] (Supplementary Table 1B), and (iii) the chances for developing dementia for all *APOE*-genotype combinations by age and gender published by Genin et al. [10] (Supplementary Table 1C).

Analysis of AD penetrance in this family

In a Caucasian sample comprising >17,500 cases and controls, Genin et al. evaluated the AD incidence per *APOE*-genotype, across age at onset and gender relative to baseline AD incidence [10]. These AD incidence distributions across age allowed us to determine the *a priori* chance for any individual to develop AD at a certain age given their *APOE* genotype and gender. For each member of our family, the age at AD onset can be seen as a *p*-value w.r.t. to empirical the incidence distributions extracted from the Caucasian cohort.

Persons who had not yet reached 60 years at last check-up were excluded, as the chance to develop AD before this age is very low. To account for the unknown age at AD onset for several family members, we determined a *p*-value by repeated sampling from a uniform distribution of *p*-values (truncated *p*-value approach [15]). We used both Fisher's approach and Stouffer's approach to combine *p*-values of all family members. Apart from

their genetic dependency (which we are testing), we assume that development of AD is independent between family members.

Variant detection: Exome sequencing of four family members

DNA of three affected family members (II.3, II.6, and III.1) and the oldest unaffected family member (III.2) was exome sequenced in parallel with 400 AD patients from the Amsterdam Dementia cohort (ADC) [12] who had been diagnosed with early onset dementia. The exomes were captured by the Nimblegen human exome v3 capture kit, and 100 bp paired-end sequencing reads were generated on the Illumina HiSeq 2000 platform, according to the manufacturer's protocol. We sequenced to at least 40x mean coverage to ensure sufficient read depth for variant calling. Reads were mapped to the human reference genome sequence (UCSC hg19) using Burrows-Wheeler alignment [16]. Duplicate read removal, local sequence realignment and base quality recalibration were performed by Picard (<http://picard.sourceforge.net>) and with GATK (Genome analysis tool kit) [17]. Variants were called using GATK haplotype caller, and filtered using the variant filtration tool. For each variant we set the filter to PASS if the variant complied with (I) GATK quality score ≥ 50 , (II) quality over depth ≥ 1.5 , (III) Strand bias ≤ 60 , (IV) total variant read depth ≥ 5.0 . Variants were annotated and analyzed with Cartagenia (<http://www.cartagenia.com/>) using a filter tree specifically designed to detect variants causative for a trait with an autosomal dominant inheritance pattern. Since we aimed to identify rare pathogenic variants, we selected variants that (i) were absent in the following databases: dbSNP138 (<http://www.ncbi.nlm.nih.gov/projects/SNP>, build 138), the 1,000 genome project (<http://www.1000genomes.org>) or the National Heart Lung Blood Institute Exome Variant Server (EVS) (<http://evs.gs.washington.edu/EVS/>); (ii) had a prevalence of $\leq 5\%$ in the whole Amsterdam Dementia cohort; (iii) were heterozygote in the 3 affected family members; and (iv) were localized in a gene listed in the OMIM database (<http://www.omim.org>). The predicted functional effects of the selected sequence variants were assessed by PolyPhen2 (<http://genetics.bwh.harvard.edu/pph2/>), SIFT (<http://sift.jcvi.org/>), Mutation Taster (<http://www.mutationtaster.org>), and the combined annotation dependent deletion (CADD) score [18]. Information about localization

and conservation of the selected variants was assessed by Uniprot (<http://www.uniprot.org/uniprot/Q92673>), and Alamut visual (<http://www.interactive-biosoftware.com/alamut-visual/>). Detected variants were confirmed by Sanger sequencing. Also, loci were genotyped in the all family members for whom DNA was available.

RESULTS

Clinical description

Family member II.1 presented with complaints of memory decline over the previous three years at the age of 67. As a child, the family member had suffered a skull fracture. The Mini-Mental State Examination (MMSE) [19] score was 25/30, and neuropsychological assessment showed impairment of episodic memory. Routine blood analysis was normal, except for increased serum cholesterol levels. Magnetic resonance imaging (MRI) showed mild bilateral hippocampal atrophy (medial temporal lobe atrophy grade 1 [20]), mild white matter hyperintensities (WMH), (Fazekas grade 1 [21], and no microbleeds. Electroencephalogram (EEG) revealed a discordant low background rhythm of 6 to 7 Hz with increased amounts of intermitting delta activity in the frontotemporal regions. Cerebrospinal fluid (CSF) was not obtained. Based on these findings, the diagnosis was mild cognitive impairment (MCI) [22]. At the age of 69, the MMSE was 21/30. Repeated neuropsychological assessment showed progression of memory impairment and impaired executive functions. At this time, MRI showed biparietal atrophy, with no progression of the hippocampal atrophy or WMH. The clinical diagnosis was probable AD [13]. Disease progression was characterized by further deterioration in all cognitive domains, including the development of behavioral disturbances (loss of initiative and increased irritability). This family member was admitted into a nursing home, suffered from episodes of focal neurological deficits probably due to recurrent strokes, and died at the age of 76 years. This family member gave consent to his physician to use his medical data for research purposes, but DNA was not available.

Family member II.3 visited a geriatrician at a local hospital at the age of 72 because of memory complaints and fatigue for two years. This family member had diabetes mellitus type 2, hypertension, and dyslipidemia, and had been treated for depression with

amitriptyline for over 20 years. MMSE was 26/30 with disorientation in time, and neuropsychological testing showed impaired recall on memory tests. Computed tomography (CT) imaging showed mild diffuse cortical atrophy and aspecific hypodensities in the brainstem and in the basal ganglia. No formal diagnosis was made at that time. A second opinion by another neurologist was obtained at the age of 74. At this point, the family member reported the occurrence of headaches, and scored 23/30 on the MMSE. Routine blood analysis and EEG were normal. MRI and CSF analysis were not performed. The family member was diagnosed with probable AD [13]. Diagnostic DNA testing revealed no variants in *PSEN1*, *PSEN2*, or *APP*. The family member died at the age of 78 years (cause unspecified).

Family member II.4 visited a local memory clinic at the age of 70, because of progressive memory complaints, which initiated at the age of 59 after a head trauma. The family member had suffered from bacterial meningitis at the age of 69. Neuropsychological testing showed impairments in concentration and memory, disorientation in place, and dyscalculia. No additional investigations were performed. The family member was diagnosed with probable AD [13]. The patient died at the age of 74 years most likely due to a heart attack. Postmortem examination of the brain confirmed the diagnosis of severe AD (Braak stage 6/6 for tau and Thal phase 5/5 for A β with extensive cerebral amyloid angiopathy (CAA) type 1 [23] (Fig. 2).

Family member II.6 was evaluated at our memory clinic at the age of 70 years because of the positive family history of dementia. At this visit, this family member reported no cognitive complaints, MMSE was 30/30 and neuropsychological testing showed no abnormalities except for some difficulties with concentration. Routine blood analysis showed no abnormalities. MR imaging displayed no hippocampal atrophy (medial temporal lobe atrophy grade 0), mild WMH (Fazekas grade 1), but a high number of 47 microbleeds, suggestive of CAA (Fig. 3). EEG showed a remarkably decreased background pattern with reactive alpha-theta activity till 8 Hz. CSF analysis showed a decreased A β level of 232 ng/L (reference >550 ng/L), an increased total tau level of 993 ng/L (reference \leq 375 ng/L), and an increased level of tau phosphorylated at threonine-181 (ptau) of 123 (reference \leq 52 ng/L)). Based on the clinical examination, the family member was diagnosed with subjective cognitive decline [24]. During the following years, the family member developed memory

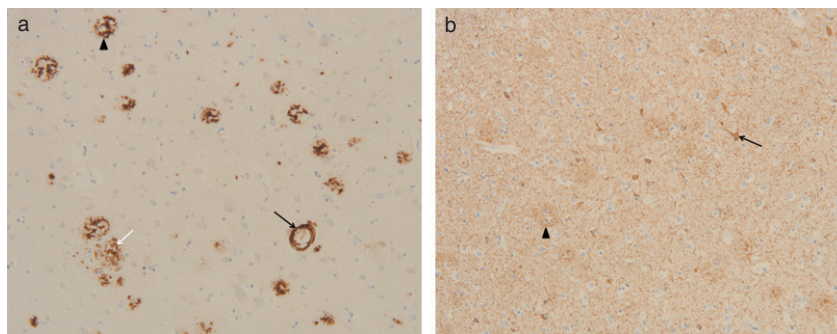


Fig. 2. Immunohistochemistry in temporal cortex of subject II.4. a) Immunohistochemical staining for A β reveals cerebral A β angiopathy (black arrow), classical plaques (arrow head), and diffuse plaques (white arrow) in the temporal cortex (10x obj.); b) Immunohistochemical staining for tau (mab AT8) reveals neuropil threads, (pre)tangles (arrow), and neuritic plaques (arrow head) in the temporal cortex (10x obj.).

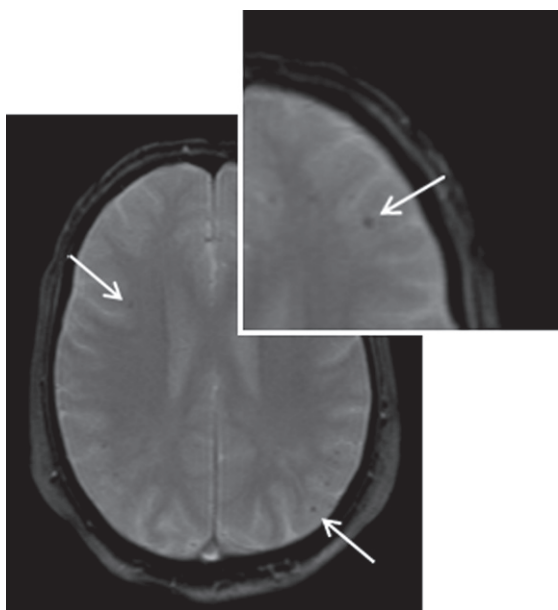


Fig. 3. Baseline MR imaging of subject II.6. Cerebral MRI imaging of subject II.6 at age 70 showing several microbleeds (arrows). T2 weighted image.

complaints, loss of initiative, and sleeping problems. At the age of 74 years, MMSE was 29/30, and neuropsychological testing showed disturbances in episodic memory. MRI showed no progression of WMH, but the number of microbleeds had increased to 58. Repeated EEG displayed progressive slowing with theta activity of 7 Hz next to dominant posterior rhythms of 8 Hz. The family member was diagnosed with MCI. The family member was diagnosed with probable AD by a local geriatrician at the age of 82, with a MMSE score of 21 out of 30.

Family member III.1 presented at our memory clinic at 58 years with memory complaints, and self-

reported difficulties with organizing and planning. This family member was treated for diabetes mellitus, hypertension, and dyslipidemia. MMSE score was 29/30, performance on neuropsychological testing was normal, and MRI showed no abnormalities. EEG was disturbed with a normal alpha background pattern of 9 Hz, but with early intermitting left predominant temporal theta activity. CSF concentrations showed a mildly decreased A β level of 549 ng/L (reference >550 ng/L) and increased tau level of 435 ng/L (reference \leq 375 ng/L) and ptau level of 68 (reference \leq 52 ng/L). Pittsburgh compound (PiB)-PET showed increased A β binding in all cortical areas. F18-fluorodeoxyglucose (FDG)-PET showed a normal pattern of glucose metabolism. Based on clinical evaluations, the diagnosis was subjective cognitive decline. The abnormal AD biomarkers indicated pre-clinical AD, with a high likelihood of underlying AD pathophysiology [25]. Over the next four years, the family member remained clinically stable.

Generation 0 and I: Family member I.2 died of cancer at age 55. Family member I.3, a sibling of I.2, was reported to have dementia onset at 61. Family member I.4, also a sibling of I.2, was reported to have cognitive decline prior to death at age 73. One of their parents (generation 0) was reported to have dementia symptoms suggestive of AD at age 80, Family member I.1 (partner from I.2) died at the age of 85 years from a heart attack and was reported to have had dementia with AD characteristics. For these family members, no formal diagnosis was available at the time and no DNA was secured.

Unaffected family members in generation III: The seven unaffected family members (III.2, III.3, III.4-5, and III.6) for whom DNA was available were aged 47 to 60 years and self-reported no cognitive complaints. Their partner and/or a close relative confirmed

absence of signs of cognitive impairment. No formal cognitive tests were performed in these family members.

APOE genotype distribution in family structure

All affected family members for whom DNA was available (II.3, II.4, II.6, III.1) were homozygous for the *APOE-ε4* allele. Of the seven unaffected family members from generation III for whom DNA was available, two were genotyped *APOE-ε4/ε4*, four were *APOE-ε3/ε4*, and one was *APOE-ε3/ε3* (Supplementary Table 1A, Fig. 1).

Given the frequency of all *APOE* genotype combinations in the Dutch population, disease status of each family member by age, and the *APOE* genotypes for all individuals in generations II and III, we estimated a 65% chance that individuals I.1 and I.2 are *APOE-ε3/ε4* and *APOE-ε4/ε4*; and we estimated a 29% chance that individuals are both *APOE-ε3/ε4* (grey text in Fig. 1). Chances for other possible genotypes were negligible (Supplementary Table 1D). Likewise, we estimated a 76% chance that the *APOE* genotype of individual II.1, who was diagnosed with AD at 69, was *APOE-ε4/ε4*; and a 22% that it was *APOE-ε3/ε4*; chances for other possible genotypes were negligible (Supplementary Table 1E). Individual II.8 has an 87% chance of being *APOE-ε3/ε4* and a 12% chance of being *APOE-ε3/ε3* (Supplementary Table 1F). Together, this provides support that this family included at least three generations of *APOE-ε4* homozygotes.

Chances of developing AD in this family:

A statistical analysis

We evaluated the AD incidence in this family w.r.t. empirical age at onset distributions extracted from a Caucasian cohort, given *APOE*-genotype and gender [10] (Supplementary Table 1C). The age of AD onset in this family was significantly earlier than expected: $p=0.0001$ and $p=0.00006$ using respectively Fisher's method and Stouffer's method of combining p -values. P -values remain significant when we use the age at diagnosis, suggesting that the finding is robust: $p=0.0290$ and $p=0.0094$ using Fisher's method and Stouffer's method respectively (Supplementary Table 1A).

Of note, the high incidence of AD may have stimulated family members to visit our hospital for cognitive testing. This may have introduced a bias at the age at AD onset level that we cannot account

for. However, further family research revealed that I.3 and I.4 (two siblings of I.2, who died of cancer at age 55) were reported to have dementia at relatively early ages at onset irrespective of family bias (61 and 73 years, respectively).

Exome sequencing outcome

To investigate whether additional genetic variants occurred next to *APOE-ε4* homozygosity, we performed exome sequencing in two siblings with AD (II.3, II.6), in one family member with preclinical AD (III.1) and in the eldest participant without cognitive complaints hitherto (III.2), all homozygous for the *APOE-ε4* allele. Exome sequencing revealed no mutations in the *PSEN1*, *PSEN2*, and *APP* genes in any of the family members.

We detected 16 variants that passed filtering, several of which were rare and predicted to have a deleterious effect on protein function (Supplementary Table 2). Two of these occurred in a gene that might be functionally associated with amyloid processing or AD: (i) the missense variant c.2021A>G, p.Asn674Ser, in exon 14 of the *sortilin related receptor 1 (SORL1)* gene (NM.003105.5), and (ii) c.707C>T, p.Thr236Met, in exon 2 of the *teashirt zinc finger homeobox 3 (TSHZ3)* gene (NM.020856.2). The coverage of *SORL1* and *TSHZ3* captured with the exome kit was similar to the median read depth over the whole exome.

This *SORL1* variant was present in all three affected family members and the family member with preclinical AD, and in four unaffected family members (aged <60 years). The variant was not detected in any other subject in the Amsterdam Dementia cohort. One study detected the variant in a 63-year-old healthy female (control group $n=1938$, MAF <0.001) [26], and the ExAC database reports only one heterozygous carrier of this variant (MAF <0.00001). The variant locus is at a highly conserved glycosylation site in the VPS10 domain of *SORL1* (Fig. 4), it has a CADD score of 23.6, and it is considered deleterious by PolyPhen and Mutation Taster (although not by SIFT).

The p.Thr236Met missense variant in *TSHZ3* was detected in two of the three family members with AD, in the family member with preclinical AD and in one unaffected family member, who was also homozygous for *APOE-ε4* but did not carry the *SORL1* variant. This *TSHZ3* variant is located in a highly conserved amino acid, has a CADD score of 27.0 and is predicted to be deleterious by PolyPhen, Mutation

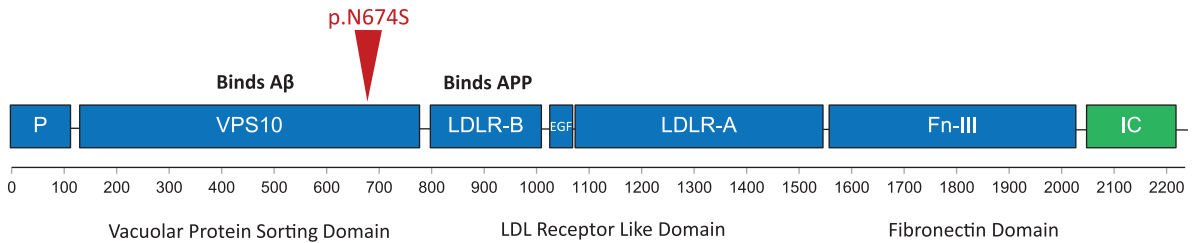


Fig. 4. p.Asn674Ser in the *SORL1* protein. *SORL1* is located on chromosome 11q23.2-q24.2 and codes for a 250-kDa membrane protein with seven distinct domains. Blue (dark grey), extracellular domains; green (light grey), intracellular domain; arrow, the p.Asn674Ser variant we identified in this family; P, Pro-peptide; VPS10, vacuolar protein sorting domain 10; LDLR-B, LDL-receptor class B repeats; EGF, epidermal growth factor precursor type repeat; LDLR-A, LDL-receptor class A repeats; FN-III, fibronectin type-III repeats; IC, intracellular component; LDL, low density lipoprotein. The figure is based upon information from Uniprot (<http://www.uniprot.org/uniprot/Q92673>), transcript NM_003105.

Taster, and SIFT. The variant was reported in 47 individuals in the ExAC database (MAF <0.001).

DISCUSSION

We describe a family with an inheritance pattern suggestive of autosomal dominant AD of which all affected family members tested were homozygous for the *APOE-ε4* allele. The age at AD onset was significantly earlier than expected, based on the *APOE* genotypes and gender of family-members, suggesting that next to a high load of *APOE-ε4*, this family is relatively enriched with other AD-associated elements. Whole exome sequencing revealed two additional variants co-inherited with *APOE-ε4* homozygosity that might disturb Aβ processing: a rare missense variant leading to p.Asn674Ser in the *SORL1* protein and a rare missense variant leading to p.Thr236Met in the *TSHZ3* protein. We speculate these *SORL1* and *TSHZ3* variants increased the penetrance of AD in this family. Without *APOE-ε4* homozygosity, neither variants may reach full AD penetrance, as can be observed in the pedigree where several *APOE-ε4* heterozygous or *APOE-ε4* negative family members carry the *SORL1* and/or *TSHZ3* gene variants without having the disease. It should be noted however, that these family members are still young, and may develop AD at a later age, such that we cannot exclude the possibility that either variant confers full AD penetrance. For the same reason we cannot (yet) identify the effect of *APOE-ε4* gene dosage, by analyzing to what extent impaired *SORL1* and/or *TSHZ3* modulates AD penetrance in a background of heterozygous *APOE-ε4*. Moreover, this family carried several additional rare genetic variants, some of which were predicted to have a deleterious effect on protein

function. Although these variants map in genes that are currently not associated with AD, we cannot a priori rule out that they modulate AD susceptibility in this family.

TSHZ3 may modulate Aβ processing

The rare variant detected in *TSHZ3* is predicted to have a damaging effect on protein function by all effect predictor algorithms, and has a relatively high CADD score of 27. Although evidence is limited, this rare variant might complicate Aβ processing since the *TSHZ3* protein has been found to bind to FE65, an adaptor protein that can modulate AβPP trafficking and/or processing [27]. *TSHZ3* downregulates Caspase 4, which is involved cell death induced by cytotoxic AβPP peptides [28–30]. However, more evidence is needed to link disturbed *TSHZ3* protein function to AD.

Impaired *SORL1* increases Aβ production

In sharp contrast with *TSHZ3*, evidence has accumulated that impaired *SORL1* function associates with AD: common genetic polymorphisms in the *SORL1* locus were associated with AD in a genome-wide association studies [31], disruptive variants were only detected in AD cases and not in controls [26] and rare pathogenic *SORL1* variants were found to increase the risk for early onset AD by five-fold [32].

Functional studies suggested that the *SORL1* sorting receptor has a dual function: (i) *SORL1* binds AβPP and prevents it from processing into Aβ [33], and (ii) *SORL1* binds newly synthesized extracellular Aβ and targets it to the lysosome for degradation [34]. To exert these functions, *SORL1* has two important

protein domains: an A β PP-binding complement type repeat domain, and an A β -binding VPS10 domain [33].

The p.Asn674Ser variant in *SORL1* that we detected in this family affects a highly conserved N-glycosylation site in the VPS10 domain, which is important for proper protein folding and for protein-protein interaction [33]. Previously, variants that map in the VPS10 domain (p.Glu270Lys, p.Ala528Thr) were associated with impaired retrograde sorting of A β PP and enhanced A β production when expressed in cells [35], suggesting that a wild-type VPS10 domain is essential for proper A β processing. We speculate that the p.Asn674Ser change detected in this family may at disrupt the A β -binding capacity of *SORL1*, resulting in less efficient lysosomal degradation of A β [34].

Combined effect of APOE- ϵ 4 homozygosity, impaired SORL1 and impaired TSHZ3

Experimental studies suggest that the proteins encoded by the *APOE* and *SORL1* genes functionally interact [36]. By binding to the complement type repeats of the *SORL1* protein, ApoE4 reduced the A β PP-binding-capacity of *SORL1* [37]. Furthermore, overexpression of *SORL1* increases the uptake of extracellular A β in an ApoE-isoform-dependent manner, most efficiently in the presence of the ϵ 4 isoform [36]. Therefore, the clearance of A β is expected to be more dependent on *SORL1* expression in *APOE- ϵ 4* carriers than in individuals with no *APOE- ϵ 4* alleles. A combination of homozygous or heterozygous ApoE4 and dysfunctional *SORL1* may therefore lead to abnormal increases in extracellular A β loads, which may underlie the neurodegenerative processes in this family.

Effect of the genotype on phenotype

Homozygous *APOE- ϵ 4* carriers typically present with an amnesic phenotype, however the AD phenotype of the five affected family members for whom detailed clinical data were available, was heterogeneous. The age at onset differed between affected family members and ranged between 61 and 74 years, which fits with the relatively early age of disease onset associated with *APOE- ϵ 4* homozygosity [38]. Homozygous *APOE- ϵ 4* and disrupted *SORL1* are both associated with CAA, presumably as a result of the less effective A β clearance [39–41]. Indeed, two family members with AD had extensive microbleeds

and CAA, while two others remained free of microbleeds.

Both *APOE* and *SORL1* are involved in cholesterol metabolism/transport, and *APOE- ϵ 4* carriers have been found to have increased cholesterol levels [42, 43]. Indeed, three affected family members were diagnosed with hypercholesterolemia in this family. Likewise, the EEG pattern of *APOE- ϵ 4* allele carrier-patients shows a greater decrease of alpha activity than non-*APOE- ϵ 4* carrier-patients [44, 45]. However, in this family, neither microbleeds/CAA, hypercholesterolemia or EEG patterns cosegregated with *APOE- ϵ 4* homozygosity and/or the *SORL1* variant.

Conclusion

We hypothesize that the convergence of multiple genetic factors that disturb the A β processing pathways over several generations results in an autosomal dominant like inheritance pattern of AD in this family. Extracellular A β load might be abnormally increased as a result of the concerted effects of (i) ineffective clearance of extracellular A β from the brain by the ApoE4 protein isoform, and (ii) impaired uptake of extracellular A β for lysosomal degradation due to a disturbed VPS10 domain of the *SORL1* protein. It is possible that a disturbed TSHZ3 function might have further contributed to impaired A β PP regulation, but compared to ApoE4 and *SORL1*, the evidence for an association of disrupted TSHZ3 with AD is currently very limited. Moreover, other genetic variants that were left undetected with our analysis strategy might have further influenced disease penetrance.

Given these findings, the currently unaffected family member who is homozygous for *APOE- ϵ 4* and who carries the *SORL1* variant may be at the highest risk to develop AD. Follow-up of this family in the future will resolve these speculations. We expect that this polygenic model, possibly involving other genetic variants, might also explain autosomal dominant inheritance patterns in other *APOE- ϵ 4* positive families.

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SUPPLEMENTARY MATERIAL

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