Primary Photodynamic Therapy with Verteporfin for Small Pigmented Posterior	1
Pole Choroidal Melanoma	2
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Running title: PDT for pigmented choroidal melanoma	4
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Abstract	21

Purpose: To investigate the outcomes of primary photodynamic therapy (PDT) for				
small pigmented posterior pole choroidal melanoma.				

**Methods:** Prospective interventional consecutive case series of 15 patients with small pigmented posterior pole choroidal melanoma, who were treated with 3 sessions of PDT and followed-up thereafter. Risk factors for failure were assessed and outcome measures at presentation were compared to those at last follow-up visit.

**Results:** Tumour control was achieved in 12 (80%) patients in a median follow-up time of 15 months (mean 14, range 8-18). Three patients failed treatment, diagnosed in a median time of 5 months (mean 4, range 3-6) after first PDT. In all failed cases, lesions were 100% pigmented; de-novo melanoma rather than transformed naevi, and showed a radial growth pattern rather than increased thickness. All failed cases were subsequently successfully treated with radiotherapy. In this cohort, SRF was significantly reduced (p<0.001), vision did not deteriorate (p=0.11) and even improved in patients with subfoveal SRF at presentation (p=0.018), tumour height significantly decreased (p=0.037) and no complications were recorded.

**Conclusion:** Primary PDT was found to be a safe and efficient treatment modality for small pigmented posterior pole choroidal melanoma, achieving short term tumour control in 80% of patients. PDT offers patients the opportunity to preserve vision by avoiding the retinopathy associated with conventional radiation treatments for choroidal melanoma. However, the long-term local control of these tumours remains uncertain.

Introduction 44

The most commonly used treatment modality for choroidal melanoma is	45
radiotherapy. <sup>1</sup> This treatment, while achieving good local control, results with	46
complications compromising vision in more than 50% of cases. <sup>2</sup> Loss of vision is often	47
accepted by patients who have already experienced visual loss from medium and	48
large sized tumours, especially as larger tumours are associated with a poorer	49
survival. <sup>3</sup> However, the risk benefit ratio is perceived less for small posterior pole	50
melanoma where vision may be normal and survival figures are better.	51
Timing of treatment for an evolving melanoma is a matter of debate. While some	52
studies looked into the risk factors for tumour growth, <sup>4</sup> a synonym to active	53
melanoma, there is no consensus as to how many or what combination of risk	54
factors should be present to decide treatment is appropriate. In light of the	55
abovementioned, many clinicians are reluctant to treat small suspicious choroidal	56
lesions and wait until there is documented tumour growth, especially if the patient	57
has no visual symptoms.	58
In search of an ideal treatment for a small posterior pole choroidal melanoma, such a	59
modality would result in both high rate tumour control and cause little or no	60
collateral damage, maintaining visual function. Such a treatment would be most	61
useful for patients diagnosed in an early stage of their disease and who still have	62
intact vision, and especially for those diagnosed with a tumour in an only seeing eye.	63
Photodynamic therapy (PDT) with verteporfin, potentially, is one such treatment.	64
Originally used for choroidal neovascularization in age-related macular	65
degeneration, <sup>5</sup> in ocular oncology it is an efficient modality for selected cases of	66

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benign vascular tumours and choroidal metastasis.<sup>6</sup> The main mechanism of action of PDT with verteporfin is believed to be the formation of free oxygen radicals, which in turn cause damage to cellular components.<sup>6</sup> Since the treatment is localized and does not comprise of delivering of thermal energy, minimum collateral damage is caused.

As primary treatment for choroidal melanoma, PDT was successfully used in 72 experimental animal studies, <sup>7</sup> including when verteporfin was used as a 73 photosensitizer. 8,9 Clinically, PDT with verteporfin was tested only in a handful of 74 studies and case reports,  $^{6,10-14}$  with positive response in most. Interestingly, while in 75 some reports PDT was effectively used for both amelanotic and pigmented 76 tumours, <sup>12</sup> others raised doubt as to its efficacy in treating pigmented ones. <sup>6,14</sup> As 77 most choroidal melanomas are pigmented, it is important to investigate its role in 78 treating these tumours. We aimed in this study to prospectively investigate the 79 outcomes of primary PDT with verteporfin for small pigmented posterior pole 80 choroidal melanomas. 81

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### **Subjects and Methods**

Eye Hospital institutional review board in concordance with the declaration of 84 Helsinki. Since 01 April 2014, all patients in the London Ocular Oncology Service with 85 small posterior pole choroidal tumours were offered treatment with PDT. To be 86 included, tumours had to either demonstrate documented growth, or to have at 87 least 3 risk factors for growth. 4 Of the risk factors, the presence of lipofuscin was a 88 prerequisite, to differentiate cases of choroidal melanoma from leaking choroidal 89 naevi. Patients were also offered the option of observation or conventional 90 treatment with plaque radiotherapy or proton beam radiotherapy, according to each 91 clinical scenario. The potential benefits and disadvantages of each management 92 option were discussed and informed consent was obtained. 93 Included for analysis were tumours treated with 3 PDT sessions and followed-up for 94 at least 6 months from first session. In addition, analysis was restricted to tumours 95 that were 100% pigmented or partly pigmented, defined as pigmentation involving 96 at least 50% of the tumour's surface area. 97 At presentation and on ensuing follow-up clinical appointments, patients underwent 98

The study was performed in a prospective manner and approved by the Moorfields

At presentation and on ensuing follow-up clinical appointments, patients underwent a full ophthalmic evaluation, including slit lamp examination, color fundus imaging, autofluorescence, optical coherence tomography of the lesion and macula and B-scan ultrasonography.

Treatment protocol included an infusion of verteporfin (Visudyne, Novartis, UK), 6mg per m<sup>2</sup> body surface area of over 10 minutes. Five minutes after infusion completion laser treatment commenced. Parameters were set to a light dose of 50J/cm<sup>2</sup>, power

density of 600mW/cm², double duration treatment time (83 sec x 2) and spot size to cover the entire lesion. After completion of treatment, patients were instructed to avoid exposure to direct light for 48 hours. Patients received 3 PDT sessions, 4-8 weeks apart, and were closely monitored thereafter, once every 3 months. At completion of the study all clinical, imaging and technical data were retrieved from medical records and analyzed.

## **Data and Statistical Analysis**

For treatment success cases, variables from presentation and last follow-up visit 113 were used for analysis, whereas for failed treatment cases those at presentation and 114

at time of failure were used. Treatment success was defined as achieving tumour

control after PDT and throughout follow-up.

All calculations and plotting were completed using the R Statistical Environment.

Continuous variables were evaluated with Student t tests and categorical variables

with Fisher's Exact Test. P-value<0.05 was considered significant. Snellen acuity was

converted to logMAR equivalent.

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Results	121
IVESUITS	121

Fifteen patients were found to fulfill the inclusion criteria for the study. There were 5	122
males and 10 females at a median age of 66 years (mean 64, range 32-81). <b>Table 1</b>	123
depicts the demographic and clinical features of the study patients at presentation	124
and the PDT parameters used. Four (27%) tumours showed documented growth at a	125
median time of 7 years (mean 8, range 2-16) after first presentation. Seven (47%)	126
tumours were located within one disc diameter (DD) from the fovea and 10 (67%)	127
within one DD from the optic disc (Figure 1). Tumour control was achieved in 12	128
(80%) cases (Figure 2), and for these, median follow-up time from first PDT session	129
to last visit was 15 months (mean 14, range 8-18).	130

### **Treatment failure**

PDT failed in 3 cases (Figure 3), detected at a median time of 5.0 months (mean 4.3, 133 range 2.5-5.5) from first PDT session and 2.0 months (mean 1.8, range 0.5-3.0) after 134 last PDT session. In all 3 cases the tumours were 100% pigmented and de-novo. 135 Treatment failure was characterized by tumour enlargement in base diameter rather 136 than in thickness. The median base diameter in these 3 cases was 4.9mm pre-PDT 137 (mean 5.3, range 3-8) and 6.8mm post-PDT (mean 6.8, range 3.9-9.7). 138 One of the failed treatment cases (number 9 in Figure 1) was of a relatively thicker 139 tumour with apical height of 2.7mm. This patient was originally offered plaque 140 radiotherapy, however declined treatment owing to concern regarding possible 141 visual loss. 142

In all 3 failure cases the amount of SRF was reduced after PDT, in one it was totally eliminated. In two cases logMAR remained the same after treatment and in one it improved. On statistical analysis, none of the demographic or clinical variables were found to be significant risk factors for failure. This was also the case when a subgroup analysis was performed, after excluding the pre-treatment documented growth cases. The 3 PDT-failed cases required further treatment, which included ruthenium plaque radiotherapy (n=2) and proton beam radiotherapy (n=1), they continue to be under surveillance in our clinic and show good tumour response to the radiotherapy.

# The impact of PDT on subretinal fluid, vision and tumour dimensions and treatment complications

Figure 4 shows the change in SRF over the lesion and fovea, logMAR and tumour height between presentation and last follow-up visit for the whole cohort. SRF was detected in 13 cases at presentation, but was only seen in 4 cases at the last follow-up visit. Of these 4 cases, the amount of SRF was reduced in 3 after treatment. In total, SRF over the lesion was reduced by a median of -179 $\mu$ m (mean -162, range 0-395; p<0.001). Seven patients had subfoveal SRF at presentation but none of them had subfoveal SRF at last follow-up visit (p=0.03).

Median final logMAR visual acuity was 0 (mean 0.07, range -0.08-0.48). It remained the same or improved in 12 out of 15 of the cases, a change that was not found statistically significant (p=0.11). A significant improvement in median vision logMAR

was however found on subanalysis of patients with subfoveal SRF at presentation: -	165
0.08 (mean (-0.12), range 0.00 – (-0.24); <i>p</i> =0.018).	166
In terms of tumour dimensions, for the entire cohort, final median tumour thickness	167
(median 1.0mm, mean 1.1mm, range 0.4-2.6mm) was found to be significantly	168
reduced compared to presentation ( $p$ =0.037). Final tumour base diameter (median	169
4.7mm, mean 4.8mm, range 2.5-9.7mm) showed no significant change as compared	170
to presentation ( $p$ =0.72).	171
No local complications were recorded after PDT and throughout follow-up, no	172
systemic side effects were reported, and none of the patients developed metastatic	173
disease.	174

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Our early experience of treating small pigmented posterior choroidal melanoma is encouraging, especially as we report on tumour control rate of 80%. Furthermore, using this modality, treatment also resulted with significant reduction in SRF, no worsening of vision, significant anatomical change, namely reduced tumour height, and no treatment complications.

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#### **Treatment failure**

Treatment failure was documented in 20% of cases. These rates are higher 183 compared to juxtapapillary choroidal melanoma treated with plaque radiotherapy, in 184 which failure rates were 3% at one year and 7% at 2 years. <sup>15</sup> Nevertheless, close 185 follow-up of the failed cases enabled early detection of the active tumours, and 186 successful treatment with radiotherapy. All PDT-failed cases remained in the "small 187 tumour" category and their definitive treatment was delayed only by several 188 months, not posing them at significant additional local or systemic risk. 189 All failed cases were 100% pigmented and de-novo tumours. It is noteworthy that in 190 all failure was diagnosed in a narrow time frame after last PDT session, and most 191 interestingly, all showed horizontal growth failure pattern rather than increase in 192 tumour height. These findings however were not statistically significant and their 193 impact as potential risk factors for treatment failure, for the prior, or treatment 194

failure characteristics, for the latter, is yet to be determined.

# The impact of PDT on subretinal fluid, vision and tumour dimensions and

complications

For the entire cohort, SRF was significantly reduced as a result of PDT, a beneficial impact of treatment. The mechanism of action of this effect is not fully understood and might be related to choriocapillary occlusion. <sup>8,16</sup> It remains to be proved whether PDT has a direct effect on the choroidal tumour, or an effect purely on its vascular supply, as SRF was reduced in cases in which tumours remained active. Interestingly, PDT also resulted with fluid elimination in cases of leaking choroidal naevi, as reported by Pointdujour-Lim et al. <sup>17</sup> It is important to emphasize that lack of tumour growth after treatment, not resolution of SRF, implies successful tumour control. Hence long term follow up of all cases is required to fully determine the success of primary PDT for small choroidal melanoma. However, our early results coupled with close observation and treatment with radiotherapy is a useful strategy for the treatment of these lesions.

Visual acuity was found not to worsen during the study period. At final follow-up visit, 14 (93%) patients had vision of 20/30 or better, 10 of which had vision of 20/20 or better. Importantly, patients with SRF at the fovea showed a significant improvement in visual acuity, underscoring the cause for reduced vision on the first place.

Two thirds of tumours in this cohort were juxtapapillary. Several studies investigated

the visual outcomes after radiotherapy for juxtapapillary or juxtafoveal choroidal

melanoma. 2,18-20 Recently, Patel et al. reported on their experience with proton

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beam radiotherapy as treatment for juxtafoveal choroidal melanoma. At

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presentation, approximately 50% of patients had vision of 20/50 or worse, worsening due to radiotherapy complications to over 80% of patients with vision in that range, half of which had vision of counting fingers or worse at last follow-up visit. Of the patients with tumour elevation of 5 mm or less at presentation, after one year, 70% retained 20/40 vision, dropping to approximately 50% after 2 years. Similar findings were reported also in additional studies. 19,20 Visual outcomes of juxtapapillary choroidal melanoma cases treated with plaque radiotherapy were reported by Sagoo et al,<sup>2</sup> who found that 7% of patients had final visual acuity of 20/200 or worse after one year and nearly 20% at 2 years. Though the initial Snellen acuity in that series was not reported, 53% of their cohort presented with reduced visual acuity. 15 In that study most clinical factors predictive of poor final vision were related to tumour and plaque sizes, radiation dose and tissue damaged by radiation.<sup>2</sup> When comparing the abovementioned studies with the present one, in terms of visual function, juxtapapillary tumours are better diagnosed early and treated with PDT, rather than at a later stage and treated with radiation. It should be stressed that these clinical management suggestions are valid for juxtapapillary or perifoveal tumours where the risk of permanent vision loss after radiotherapy is high. Choroidal melanoma located away of the fovea and optic disc should still be managed with plaque brachytherapy as this treatment may have little or no negative impact on vision.

Tumour dimensions are important factors to take into account prior to using PDT for pigmented choroidal melanoma. In our hands, and in others, tumours <2mm in apical height benefit the most from this treatment modality. Canal-Fontcuberta et al. treated 3 cases of pigmented choroidal melanoma >2mm in height with PDT, one of

which was 8.7mm in elevation, and found that treatment failed in all. 14 In contrast, 243 Rundle used PDT on 9 patients with pigmented choroidal melanoma measuring 244 <2mm in average and found treatment to be successful in 8 out of 9 cases. 245 Treatment failed in only one case where the melanoma was 3mm in height.<sup>12</sup> 246 Interestingly, Kim et al. used PDT as treatment for pigmented choroidal melanomas 247 ≥3mm in apical height in an *in-vivo* animal model and showed complete tumour 248 arrest in all treated animals.<sup>8</sup> This however was not shown in humans. 249 In terms of tumour response to treatment, interestingly, PDT resulted with a 250 significant reduction in tumour height, and not only had an impact on indirect 251 measures, i.e. SRF and vision. This, of all variables, emphasizes its beneficial effect on 252 these tumours. The observed reduction in tumour height might be related to 253 damaged tumour cells or local necrosis as a result of occlusion of tumour vascular 254 supply.8,16 255 Few complications of PDT are reported in the literature and these include transient 256 visual disturbances, vascular occlusion, choroidal atrophy, intravitreal hemorrhage 257 and exudative retinal detachment. 6 None of these complications however occurred 258 in the present study. 259 The limitations of this study include its small cohort size and relatively short follow-260 up time. Nevertheless, it provides significant information on the outcomes of PDT for 261 this subset of patients. While all patients in this study received treatment, some 262 might hold the view that patients in such an early stage of their disease are better 263 observed, and only treated when there is documented growth. This issue is under 264 constant debate and there is no agreement on this management dilemma.<sup>21</sup> 265 Nevertheless, it is our assumption that those who advocate observation first, prefer 266 this option as the only modality currently available for these tumours is radiotherapy 267 which causes iatrogenic damage. In terms of justification to treat, we selectively 268 chose only patients with 3 or more risk factors for growth, of these, 73% had 4 or 5 269 risk factors, and all showed lipofuscin.<sup>4,22</sup> 270 In summary, in this cohort, primary PDT with verteporfin was found to be an efficient 271 272 treatment modality for small, pigmented posterior pole choroidal melanoma with a success rate of 80%. Close follow-up, once every 3 months following PDT, enabled 273 early detection of growing tumours in 3 patients, all successfully treated with 274 radiotherapy. PDT resulted with significant reduction in SRF, no worsening of visual 275 acuity and no complications. Longer follow-up studies with larger cohorts are 276 required to see if these beneficial results are maintained. 277

Conflict of Interest	278
The authors report on no conflict of interest.	279
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Figure Legend	347
Figure 1	348
Schematic diagram of tumour locations (x marks approximate tumour center, + the	349
fovea). Table includes patient's corresponding tumour height and base diameter.	350
* Patients who failed PDT.	351
** Choroidal melanoma with documented growth.	352
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Figure 2	354
Pigmented choroidal lesion (A; patient number 8 in <b>Figure 1</b> ), 2.5mm from the optic	355
disc, with scattered lipofuscin orange pigment, corresponding to areas of hyper-	356
autofluorescence (B). Optical coherence tomography demonstrated SRF over the	357
lesion, but not over the fovea (D). Sixteen months after first PDT session, the lesion is	358
stable in size (E) and SRF eliminated (F).	359
	360
Figure 3	361
Pigmented choroidal melanoma (A; patient number 3 in Figure 1), 0.5mm from the	362
optic disc, with scattered orange pigment and overlying SRF (B). The patient was	363
treated with 3 PDT sessions; however showed tumour radial enlargement (C),	364
detected 5 months after first and 3 months after last PDT session. Note that despite	365
treatment failure SRF over the lesion was eliminated. The patient was thereafter	366
successfully treated with a notched plague	367

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Figure 4	369
Graphs to changes in clinical measures from presentation to last follow-up visit,	370
including SRF over the lesion (n=13, $p$ <0.001; A), SRF over the fovea (n=7, $p$ =0.03; B),	371
logMAR (n=15, $p$ =0.11; C) and tumour thickness (n=15, $p$ =0.037; D).	372
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Figure 1 374

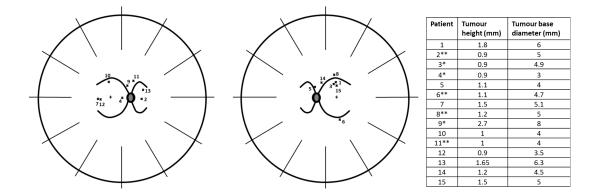
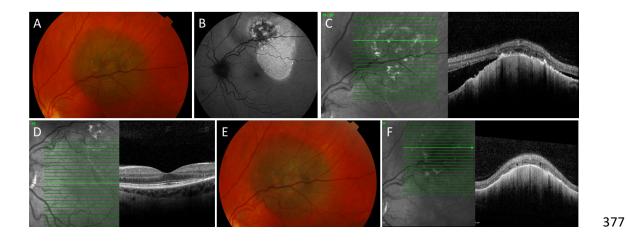


Figure 2 376



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Figure 3

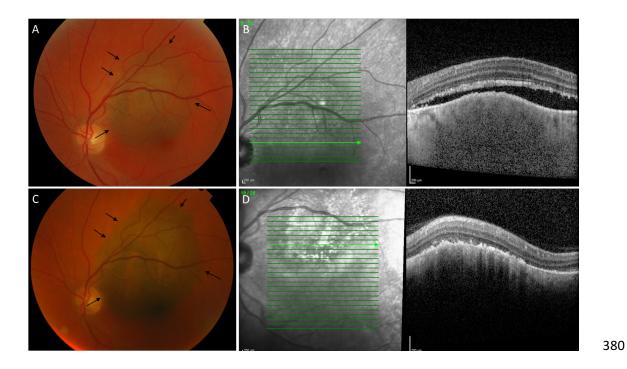
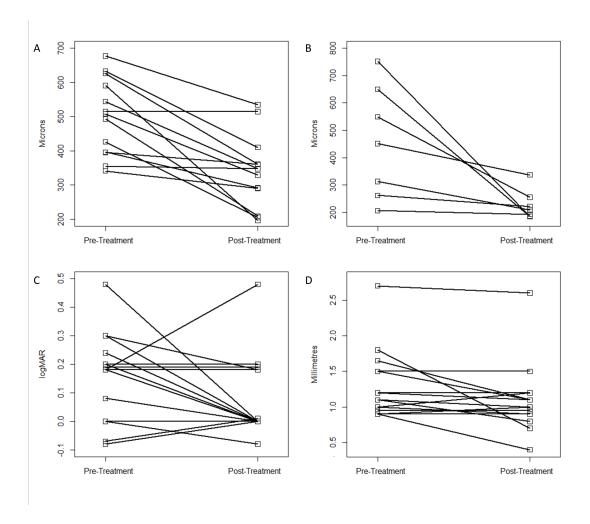


Figure 4 382



**Table 1**. Primary photodynamic therapy with verteporfin for small pigmented choroidal melanoma in 15 patients: Patient's demographic and clinical features at presentation and treatment data.

Features	Number	Percentage
Age (years)		
Median (mean, range)	66 (64, 32-81)	
Gender		
Male	5	33
Female	10	67
Laterality		
Right	8	53
Left	7	47
LogMAR visual acuity in tumour eye		
Median (mean, range)	0.18 (0.16, -0.08-0.48)	
LogMAR visual acuity in fellow eye		
Median (mean, range)	0.00 (0.15, -0.10-1.30)	
Documented growth	4	27

Number of risk factors for growth*		
(n=11)	3 risk factors – 3	27
	4 risk factors – 7	64
	5 risk factors - 1	9
Symptoms		
Photopsia	2	13.3
Blurred vision	8	53.3
None	5	33.3
Tumour dimensions (mm)		
Median (mean, range)	Height: 1.1 (1.3, 0.9-	
	2.7)	
	Base: 5.0 (4.9, 3.0-8.0)	
Distance of tumour from (mm):		
Median (mean, range)	Optic disc: 0.5 (1.3, 0-5)	
	Fovea: 1.5 (1.7, 0-4)	
Presence of subretinal fluid:		
Above lesion	13	87
Subfovea	7	47
Tumour pigmentation		
100%	12	80
>50%	3	20
PDT spot size (µm, summary of 3		
sessions)	5600 (5139, 3800-5600)	
Median (mean, range)		

<sup>\*</sup> Lesion thickness >2mm, presence of subretinal fluid, presence of lipofuscin, related symptoms or margin to optic disc ≤3mm.<sup>4</sup>