The use of diatom records to establish reference conditions for UK lakes subject to eutrophication

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Abstract

A knowledge of pre-disturbance conditions is important for setting realistic restoration targets for lakes. For European waters this is now a requirement of the European Council Water Framework Directive (WFD) where ecological status must be assessed based on the degree to which present day conditions deviate from reference conditions. Here, we employ palaeolimnological techniques, principally inferences of total phosphorus from diatom assemblages (DI-TP) and classification of diatom composition data from the time slice in sediment cores dated to ~1850 AD, to define chemical and ecological reference conditions, respectively, for a range of UK lake types. The DI-TP results from 169 sites indicate that reference TP values for low alkalinity lakes are typically < 10 μ g L⁻¹ and in many cases < 5 μ g L⁻¹, whilst those for medium and high alkalinity lakes are in the range 10-30 µg L^{-1} and 20-40 µg L^{-1} , respectively. Within the latter two alkalinity types, the deeper waters (> 3 m mean depth) generally had lower reference TP concentrations than the shallow sites. A small group of shallow marl lakes had concentrations of \sim 30 µg L⁻¹. Cluster analysis of diatom composition data from 106 lakes where the key pressure of interest was eutrophication identified three clusters, each associated with particular lake types, suggesting that the typology has ecological relevance, although poor cross matching of the diatom groups and the lake typology at type boundaries highlights the value of a site-specific approach to defining reference conditions. Finally the floristic difference between the reference and present day (surface sample) diatom assemblages of each site was estimated using the squared chord distance dissimilarity coefficient. Only 25 of the 106 lakes experienced insignificant change and the findings indicate that eutrophication has impacted all lake types with > 50% of sites exhibiting significant floristic change. The study illustrates the role of the sediment record in determining both chemical and ecological reference conditions, and assessing deviation from the latter. Whilst restoration targets may require modification in the future to account for climate induced alterations, the long temporal perspective offered by palaeolimnology ensures that such changes are assessed against a sound baseline.

Introduction

Eutrophication of aquatic ecosystems, principally caused by phosphorus (P) and nitrogen (N) from agricultural sources, industrial waste and domestic sewage, has been recognised as a global problem since the 1960s (Hutchinson 1969) and remains one of the foremost environmental issues threatening the quality of surface waters (Smith et al. 2006). The symptoms include high algal biomass often accompanied by dense summer blooms of cyanobacteria or green algae, reduced abundance or complete loss of submerged plants, dominance of plankti-benthivorous fish, low water clarity and deoxygenation (Moss 1998). Many lakes have been subject to nutrient loading over relatively long timescales (centuries, decades) (e.g. Bradshaw et al. 2006) and it is therefore likely that few sites in European lowland catchments remain in a pristine condition. Efforts to better manage and restore enriched systems have increased over the last decade or so, ranging from reductions in nutrient loading (e.g. Jeppesen et al. 2007) to biomanipulation (e.g. Søndergaard et al. 2007) and there are now numerous examples of lakes in recovery (Anderson et al. 2005). Nevertheless, whilst control of point sources of pollution, particularly from sewage treatment works, has improved markedly, there are increasing concerns about how to tackle the more challenging problem of diffuse nutrient sources and slow release of P from soils in agricultural regions (Carpenter 2005). Furthermore, we must now consider whether changes in our climate may exacerbate the symptoms of eutrophication and confound recovery efforts (Battarbee et al. 2005; Jeppesen et al. 2007).

Sound management requires a good understanding of ecosystem processes and a knowledge of pre-disturbance conditions to set realistic restoration targets (e.g. Moss et al. 1997; Battarbee 1999). The advent of the European Council Water Framework Directive (WFD) (European Union 2000) in 2000 has made it a requirement for all EU member states to classify their surface waters based on the degree to which present day conditions deviate from those expected in the absence of significant anthropogenic influence, termed reference conditions, and therefore the need for information on the chemical and ecological status of aquatic systems prior to enrichment is greater than ever. Unfortunately long-term datasets are rare for most lakes and, where they exist, monitoring programmes tend to have been initiated once the problem has already become apparent. The WFD states that, in the absence of long-term data, reference conditions may be derived using palaeolimnological methods (Pollard and Huxham 1998; European Union 2000). Indeed, palaeolimnology has now become a standard technique for providing information on ecological status and the timing, rate and magnitude of ecological change (Smol 2008) and has been applied in several recent studies to define reference conditions in the context of the WFD (see review in Bennion and Battarbee 2007).

One of the most widely used biological groups in such studies is the diatoms (Bacillariophyceae), unicellular, siliceous algae (e.g. Stoermer and Smol 1999; Battarbee et al.

2001a). Diatoms are sensitive to water quality and are good indicators of lake trophic status (Hall and Smol 1999). They have been employed both qualitatively, whereby shifts in diatom assemblages over time coupled with ecological information can provide a record of ecological change, and quantitatively, whereby transfer functions have been developed to model the relationship between diatom assemblage composition and water chemistry in a training set of lakes (Birks 1998). With respect to eutrophication, diatom-P transfer functions have been the most commonly developed models for inferring past nutrient concentrations in lakes (Hall and Smol 1999). In most cases, the models reconstruct total P (TP) concentrations as this is the component that is routinely measured in water chemistry surveys given that it is typically the limiting nutrient in fresh waters (Vollenweider 1969), it is traditionally used in lake trophic status classifications (OECD 1982) and it is consequently the nutrient parameter most familiar to lake managers. Numerous diatom-P transfer functions have been developed for European lakes (e.g. Wunsam and Schmidt 1995; Bennion et al. 1996a; Lotter et al. 1998; Bradshaw and Anderson 2001; Kauppila et al. 2002; Chen et al. 2008), several of which were combined in the EU project EDDI ("European Diatom Database") (Battarbee et al. 2001b). The approach is able to provide estimates of baseline (reference) TP concentrations in lakes and, when coupled with dating of sediment cores, the timing, rates and possible causes of enrichment at a particular site (e.g. Bennion et al. 1996b; Anderson 1997; Taylor et al. 2006).

In contrast to many of the methods commonly used to define reference conditions for lakes such as the loss coefficient method (e.g. Moss et al. 1997) and the morphoedaphic index (Vighi and Chiaudani 1985), palaeolimnological techniques can be employed not only to provide a chemical reference condition but to provide an ecological target for management purposes, a concept fundamental to the WFD (European Union 2000). The WFD places emphasis on the ecological structure and function of aquatic ecosystems with biological elements (fish, invertebrates, macrophytes, phytobenthos and phytoplankton) at the centre of the status assessments, and hydromorphology and physico-chemistry as supporting elements. The fossil assemblages in the reference samples of cores can potentially be used to describe the pre-impact communities, i.e. the ecological reference condition, of a particular lake or lake type.

In this paper we evaluate the role of the sedimentary diatom record for defining reference conditions and assessing the degree of ecological change with respect to eutrophication for a set of UK lakes. The lakes have been classified into types based on a scheme designed for WFD implementation which enables the environment agencies in England, Wales and Scotland to report reference conditions on a type-specific basis. We apply diatom transfer functions to establish reference TP concentrations and classify the compositional data to characterise the reference diatom communities for each lake type. Dissimilarity measures are calculated between the reference and surface sediment diatom assemblages to assess the degree of floristic change at each site.

Methods

The datasets

A dataset of 169 UK lakes was used for establishing chemical reference conditions. This was derived from existing data held in the database, AMPHORA, at the Environmental Change Research Centre (ECRC), University College London. Data were retrieved for all sites where diatoms had been analysed from a sediment core collected from a UK lake. Each site was assigned to a type according to the lakes reporting typology developed for ecoregion 18 (Great Britain-GB) (Phillips 2003). This was designed to meet the needs of river basin characterisation required by the WFD whereby lakes are classified into types such that type-specific reference conditions can be described for the ecological quality elements. The GB lake typology scheme is based on alkalinity (catchment geology) and lake mean depth data and the 169 lakes represent a broad range of the main lake types with good geographical coverage (Table 1). However, because the diatom data were derived from projects undertaken by the ECRC over the last few decades, many of which have focused on areas sensitive to acidification, there was a strong bias towards low alkalinity and upland systems (Table 1). The numbers of medium and high alkalinity lakes in the diatom database were relatively few. Given that the current paper is concerned with lakes subject to eutrophication, for the definition of ecological reference conditions and assessment of floristic change, a slightly modified version of the dataset was used. This included samples from additional medium or high alkalinity waters in predominantly low lying areas of the UK that were added to the database after 2004. It also screened out all those lakes in acid sensitive regions where the main driver of ecological change was unlikely to be eutrophication. This resulted in a smaller but more appropriate dataset of 106 lakes with more equal representation of the three main alkalinity classes (Table 1, Appendix 1).

A sediment core had been collected from the deepest point of each lake during the original studies which were conducted at some time between the late 1980s and 2003. In most cases the cores were dated, using either radiometric techniques (Appleby et al. 1986) or the spheroidal carbonaceous particle (SCP) profile method (Rose et al. 1995). The sample approximating to ~1850 AD was selected to represent the reference condition, herein termed the reference sample. In the absence of dates (at ~40 sites), the bottom sample of the core was taken to represent the reference sample although it is possible, particularly where cores are short, that this does not extend back as far as 150 years. For the UK, it is generally agreed that ~1850 AD is a suitable date against which to assess impacts for lakes as this represents a period prior to major industrialisation and agricultural intensification (Battarbee 1999; Fozzard et al. 1999). The analysis of reference samples in this way removes the problem inherent in spatial-state schemes in which the lakes have been subject to different pressures and varying degrees of impact (Cardoso et al. 2007). It is accepted, however, that aquatic systems have been subjected to anthropogenic

impacts over much longer time-scales and, therefore, the ~1850 reference conditions are unlikely to equate to the natural or pristine state. The diatoms in the reference samples were counted using standard techniques (Battarbee et al. 2001a) and in all cases a minimum of 300 valves were identified. All diatom data were expressed as percentage relative abundance.

Chemical reference conditions

The technique of weighted averaging (WA) regression and calibration (e.g. ter Braak and van Dam 1989), and its extension to WA partial least squares (WAPLS) (ter Braak and Juggins 1993), have become standard techniques in palaeolimnology for reconstructing past environmental variables (Anderson 1995; Battarbee 1999). Existing diatom transfer functions, derived using these methods, for inferring TP were applied to the diatom data in the reference samples from the 169 lakes, following taxonomic harmonisation between the training sets and the fossil data. Reconstructions of reference DI-TP were produced using either a Northwest European training set of 152 relatively shallow lakes (< 10 m maximum depth) with a median value for the dataset of 104 μ g TP L⁻¹ and a root mean squared error of prediction (RMSEP) of 0.21 log_{10} ug TP L⁻¹ for the WAPLS twocomponent (WA-PLS2) model (Bennion et al. 1996a), or a model based on a training set of 56 relatively large, deep lakes (> 10 m maximum depth) from Scotland, Northern Ireland, Cumbria, southern Norway and central Europe, with a median value for the dataset of 22 μ g TP L⁻¹ (Bennion et al. 2004). For this dataset, the best model was generated with simple WA and inverse deshrinking (RMSEP of 0.25 log_{10} µg TP L⁻¹). For each reference sample, the training set containing the greatest percentage of the taxa present in the fossil sample was selected, which in most cases resulted in the shallow lake training set being used for the shallow lakes and the deep lake model being used for the deep lakes. All reconstructions were implemented using C^2 (Juggins 2003).

Ecological reference conditions

The smaller dataset of reference samples from 106 UK lakes was used to identify the diatom communities typically associated with reference condition of the main lake types. All 274 taxa present in the samples were included and a Hellinger transformation (Legendre and Gallagher 2001) was applied to the diatom data prior to analysis. *k*-means cluster analysis was used to partition the diatom data into $k = 2, ..., 10$ clusters. One hundred random starting locations for the *k*-means algorithm were generated and the best solution for each *k* was retained for further analysis. A combination of the Calinski-Harabasz criterion and ordination was used to determine the number of clusters to retain and interpret. The results of the *k*-means clustering were projected into a two dimensional solution from a non-metric multi-dimensional scaling (nMDS) analysis of the Hellinger pair-wise dissimilarities between sites, following the recommendations of Minchin (1987).

nMDS aims to provide an optimal, low-dimensional mapping of the original dissimilarities by preserving only the rank ordering of pair-wise, between site distances. The indicator species associated with each cluster were identified using the IndVal procedure of Dufrêne and Legendre (1997). The indicator species retained were those taxa which had a significant IndVal score, assessed using 1000 random permutations.

In order to assess how closely the diatom based clusters matched the GB lake typology, the typology classes were converted to dummy (presence-absence) class variables. Each lake was assigned a value of 1 for the dummy class variable representing the GB type the lake belonged to and a 0 for the other types. IndVal was then performed using the diatom-based cluster membership as the grouping variable and the dummy class variables as the 'species' data. As above, 1000 permutations were used to assess the significance of the calculated IndVal scores. The IndVal method combines measures of *fidelity* and *specificity* such that good indicator species are those species that are found predominantly in a single group and are present in the majority of samples within that group, and are not necessarily the most abundant taxa. IndVal can be extended to the use of presence-absence data as described above (Dufrêne and Legendre 1997).

All analyses were performed using R version 2.7.1 (R Core Development Team 2008) using the vegan (version 1.11-5; Oksanen et al 2008) and labdsv (version 1.3-1, Roberts 2007) R packages.

Floristic change

The diatom data from the surface (uppermost 0.5 or 1 cm) sample of each of the 106 lake cores were used to provide information on the current diatom assemblages of the lakes. The surface sediment sample represents the last few years' accumulation of diatoms deposited from a variety of habitats within the lake. However, because this study makes use of existing data from previous studies, some of the core tops represent conditions from up to a decade ago. The diatoms were counted using standard techniques (Battarbee et al. 2001a) in the same way as for the reference samples. The degree of floristic change between the reference and surface sample was assessed using the squared chord distance (SCD) dissimilarity coefficient (Overpeck et al. 1985) computed using R (R Development Core Team 2008) and the analogue package version 0.5-2 (Simpson 2007a, b). SCD values range from 0 to 2 where a value of 0 indicates that two samples are perfectly similar and a value of 2 perfectly dissimilar. Scores less than 0.29, 0.39, 0.48 and 0.58 indicate insignificant floristic change at the 1st, 2.5th, 5th and 10th percentile, respectively (Simpson et al. 2005). The 2.5th percentile is used here to define sites with low floristic change between the reference and surface sample. This is more stringent than the $5th$ percentile used by Bennion et al. (2004) and reflects revised thinking by the authors about what constitutes biologically important change at a site based largely on the observation that unimpacted lakes in the AMPHORA database (including lakes analysed for evidence of acidification and not included in this study) typically have SCD scores of <0.4. Where insignificant change is detected, sites can be considered as potential reference lakes and where significant change is observed, the degree of change from site-specific reference conditions is evaluated to assess ecological status.

Results

Chemical reference conditions

The DI-TP reference values for the 169 lakes range from 2 to 50 μ g l⁻¹. Typical ranges of reference TP concentrations were determined for the main lake types (Fig. 1). The low alkalinity lakes, both deep and shallow, had reference TP values of $< 10 \mu g L^{-1}$ and in many cases of $< 5 \mu g L^{-1}$ reflecting the naturally nutrient-poor status of these waters. The medium alkalinity, deep lakes typically had reference TP concentrations of 10-20 μ g L⁻¹, although some lakes had values < 10 μ g L^{-1} and some were > 30 µg L^{-1} reflecting the more productive status of many of these waters even prior to the major period of enrichment. The medium alkalinity, shallow lakes had higher values than the deep lakes in this alkalinity band with reference TP concentrations typically in the range 20-30 μ g L⁻¹, and the values for the high alkalinity, deep lakes were also in this range. The high alkalinity, shallow lakes had somewhat higher reference TP concentrations than the other lake types, typically of 30-40 μ g L⁻¹, reflecting the naturally higher productivity of these systems, although there was a large range of values in this group with a maximum of \sim 50 µg L⁻¹. Finally, a small group of shallow marl lakes had concentrations of \sim 30 μ g L⁻¹.

Ecological reference conditions

Following removal of five outliers from the full dataset of 106 lakes owing to their unusual species composition, three clusters were identified in the remaining dataset of 101 lakes (Fig. 2a) along with their associated indicator species (Table 2). The outliers included both of the low alkalinity, shallow lakes and therefore this type was omitted from the cluster analysis. Cluster 1 (N=33) was comprised of high alkalinity and marl lakes, most of which were shallow but with a small number of deep lakes also present. The indicator taxa associated with this cluster included the benthic *Fragilaria* species (e.g. *F. construens* var. *venter, F. construens, F. pinnata, F brevistriata*), *Amphora pediculus* and several planktonic taxa including *Stephanodiscus parvus, Stephanodiscus hantzschii* and *Aulacoseira granulata* (Fig. 2b). Cluster 2 (N=36) was comprised of mostly medium alkalinity and several high alkalinity lakes, including both shallow and deep waters. The assemblages of these lakes were typically comprised of *Cyclotella radiosa*, *Cymbella* *microcephala*, *Fragilaria virescens* var *exigua, Eunotia incisa, Navicula radiosa* and *Achnanthes pusilla* (Fig. 2b). Finally, cluster 3 (N=32) was comprised of low to medium alkalinity lakes and was largely restricted to deep waters. The indicator taxa associated with these lake types included planktonic *Cyclotella* taxa (e.g. *C. comensis, C. kuetzingiana*), *Brachysira vitrea, Tabellaria flocculosa, Achnanthes minutissima* and *Hannaea arcus* (Fig. 2b).

The IndVal analysis showed that the diatom clusters map on reasonably well to particular lake types (Table 3). The LA-D and MA-D lakes were significantly associated with cluster 3, MA-Sh lakes were significantly associated with cluster 2, and HA-Sh and Marl-Sh lake types, and to a lesser extent Marl-D types, were significantly associated with cluster 1. Only the HA-D group had an insignificant score being equally distributed between clusters 1 and 2. There were no representatives of the LA-Sh lake type in the cluster analyses.

Floristic change

The SCD scores calculated between the reference and surface sediment samples of the 106 lakes range from 0.13 to 1.77 (Fig. 3), with only 25 lakes experiencing low floristic change $($0.39=2.5th$$ percentile) (Table 4). Of the 21 low alkalinity, deep lakes, seven have a score below the critical value at the $2.5th$ percentile whereas neither of the two low alkalinity, shallow lakes have a score below this value (Table 4). The remaining low alkalinity lakes have experienced significant change with nine sites exceeding the critical value at the $10th$ percentile (> 0.58). Of the 23 medium alkalinity, deep lakes and 13 medium alkalinity, shallow lakes, only five and three sites, respectively, have a score below the critical value at the $2.5th$ percentile (Table 4). A total of 22 medium alkalinity lakes exceed the critical value at the $10th$ percentile. Of the eight high alkalinity, deep lakes, three have a score below the critical value at the $2.5th$ percentile and of the 28 high alkalinity, shallow lakes, only six have a score below this value (Table 4). Many of the lakes in the high alkalinity band have experienced significant floristic change with 21 lakes exceeding the critical value at the $10th$ percentile. Of the 11 marl lakes, only one deep site has a score below the critical value at the $2.5th$ percentile (Table 4). Five of the marl lakes have undergone significant floristic change at the $10th$ percentile.

Discussion

Chemical reference conditions

Application of transfer functions to the fossil diatom assemblages in the 169 lakes enabled typical ranges of reference TP concentrations to be determined for the main lake types. The results indicate that reference concentrations increase with alkalinity and decrease with lake depth. The

low alkalinity lakes have the lowest DI-TP reference values in the dataset with almost all lakes in this group having concentrations < 10 µg L⁻¹ and many with values < 5 µg L⁻¹. The similarity in the median and range of DI-TP values for both the deep and shallow, low alkalinity lakes suggests that lake depth has little influence on the low alkalinity systems and it is the low nutrient availability that exerts the strongest control on the diatom populations. In contrast, within the medium and high alkalinity lake types there is a clear relationship with depth such that the shallow lakes within each of these types have higher DI-TP values than their deeper counterparts. For example, in the medium alkalinity deep lakes DI-TP is mostly in the range 10-20 μ g L⁻¹ compared with 20-30 μ g L⁻¹ for the medium alkalinity shallow lakes. Likewise the values for the high alkalinity, deep lakes were largely in the range 20-30 µg L⁻¹ compared to values typically of 30-40 µg L⁻¹ in their shallow equivalent.

These findings agree with other studies on background nutrient conditions which have demonstrated a clear relationship between TP concentrations and alkalinity and mean depth. Indeed the strength of this relationship led Vighi and Chiaudani (1985) to construct the morphoedaphic index (MEI) which predicts background TP concentrations based on the ratio between total dissolved solids, as measured by alkalinity or conductivity, to lake mean depth. In an analysis of data from over 500 European reference lakes, Cardoso et al. (2007) observed that TP increased with alkalinity and declined with depth and a similar conclusion was drawn from the examination of over 1000 TP observations from a range of lake types across Europe (Phillips et al. 2008). Owing to the strong relationship between TP and chlorophyll a in these lakes (Phillips et al. 2008) chlorophyll a similarly varies with lake type such that reference concentrations are highest in high alkalinity, shallow lakes and are lowest in low alkalinity, deep lakes (Carvalho et al. 2008). Alkalinity reflects the fertility and base status of the catchment geology and soils and is therefore likely to be positively correlated with TP. The relationship between mean depth and TP is somewhat more complex but proportionally more nutrients are lost from deep lakes via sedimentation processes. In contrast, most shallow lakes are permanently mixed (polymictic) with a consequent lack of stratification of temperature and oxygen, and increased potential for the recycling of nutrients (Holdren and Armstrong 1980; Carrick et al. 1994). Interestingly, the small group of shallow marl lakes had concentrations of \sim 30 µg L⁻¹, a similar range to those seen in the medium alkalinity shallow waters. In marl systems, inorganic P is sequestrated from the water column as a result of its co-precipitation with carbonate, thus P concentrations are reduced. Hence, marl lakes have background TP concentrations more akin to medium than to the high alkalinity waters.

Reassuringly the DI-TP values for the main lake types are in the same range as those derived from the palaeo meta-database (Battarbee et al. this issue). Nevertheless, the standard deviations, particularly for the medium and high alkalinity types, are large. The WFD requires reporting at the level of lake type, yet this within-group variability highlights the importance of

defining site-specific reference conditions rather than describing a chemical reference condition on a type-specific basis. It could be argued that some of the variation is associated with errors in the diatom inferences and, like all models, diatom transfer functions are not without their limitations. Light, temperature, flushing rate, substrate and grazing pressure have all been shown to influence diatom distributions in lakes (e.g. Anderson et al. 1993; Bennion 1994) and the problems of using diatoms as indicators of lake trophic status in some shallow systems have been widely acknowledged (e.g. Bennion 1995; Bennion et al. 2001; Sayer 2001). Nevertheless, when the errors in predicted DI-TP values are compared with the inter-annual TP ranges in lakes, the models can be considered to estimate TP with reasonable accuracy (Bennion et al. 2005). Furthermore, when the predictive ability of the diatom transfer functions are compared to OECDtype regression relationships, the errors associated with the former are as good or better (Anderson et al. 1993). Returning to our argument, background TP concentrations depend on a range of factors and are, therefore, site-specific. On the basis of the current study, it is recommended that type-specific values be used only as a guide when determining nutrient reference conditions and assessing ecological status. Carvalho et al. (2008) reached the same conclusion following their analysis of chlorophyll a concentrations in over 500 European reference lakes and highlighted the likelihood of greater errors in type-specific assessments for sites that lie close to type boundaries. However, preliminary analysis of the GB typology TP data appears to suggest that some of the lake types may be distinguishable on the basis of mean TP concentrations (Simpson and Bennion, in prep).

Ecological reference conditions

The classification of the diatom data from the reference samples of 101 of the original 106 lakes accords reasonably well with the GB lake typology scheme, indicating that the latter has some ecological relevance. Cluster 1, comprised of mostly shallow, high alkalinity and marl lakes, is located on the left of the plot, Cluster 2 lakes of intermediate alkalinity but containing both deep and shallow waters are positioned in the centre, and Cluster 3, comprised of predominantly deep, low alkalinity and several medium alkalinity lakes, is located on the far right of axis 1 (Fig. 2a). This indicates that alkalinity and, to a lesser extent, lake depth, the two criteria used in the GB lake typology scheme, are important in explaining the diatom distributions. This allows the diatom assemblages at reference condition to be described for the main lake types. The reference floras of the deep, low to medium alkalinity lakes were characterised by oligotrophic, acidophilous to circumneutral taxa, namely the planktonic *Cyclotella* taxa (*C. comensis, C. kuetzingiana*), *Brachysira vitrea, Tabellaria flocculosa, Achnanthes minutissima* and *Hannaea arcus*. These taxa have been observed in the pre-enrichment assemblages of numerous lakes lying on relatively base poor geology (e.g. Bennion et al. 2004). The reference floras of the lakes with intermediate alkalinity contained taxa commonly found in more productive, circumneutral to slightly alkaline

waters such as *Cyclotella radiosa*, *Cymbella microcephala* and *Fragilaria virescens* var *exigua.* Finally the reference floras of the high alkalinity and marl, predominantly shallow, lakes were characterised by the benthic *Fragilaria* species, *Amphora pediculus* and several planktonic taxa typically found in circumneutral to alkaline, productive waters, such as *Stephanodiscus parvus, S. hantzschii* and *Aulacoseira granulata.* With the exception of some of the West Midlands meres, which are known to have naturally high P concentrations (Moss et al. 1994), the *Stephanodiscus* taxa occur in low relative abundances in the reference samples compared with high percentages seen in the modern assemblages of enriched lakes (e.g. Bennion et al. 2004).

Whilst there is some degree of type-specific discrimination of the diatom floras, there are no clear discontinuities between clusters, and medium alkalinity lakes, for example, are present in all three clusters. Even within each lake typology class, lakes are likely to cover a broad alkalinity range and, therefore, we might expect poor cross matching of the diatom groups and the lake typology at these boundaries. Given that there are no discrete groups of lakes in nature and that variables such as alkalinity and depth are continuous, the emphasis placed on definition of typespecific reference conditions by the WFD does result in the forcing of water bodies into boxes that do not really exist. Our data suggest that whilst the typology can be used to broadly characterise ecological reference conditions, a site-specific approach is likely to be more ecologically meaningful and indeed the sediment record lends itself perfectly to such an approach. However, ongoing work is exploring further the extent to which lake types can be separated on the basis of their diatom communities (Simpson and Bennion, in prep), and the assessment of reference conditions for other biological elements in UK lakes such as macrophytes, phytoplankton and chironomids is also in progress.

Floristic change

According to the WFD, lakes must be classified into one of five ecological status classes (High, Good, Moderate, Poor, Bad) based on the degree to which present day conditions deviate from reference conditions. Whilst there has been considerable output in terms of ecological indicator development (e.g. Solheim and Gulati 2008), with notable exceptions (e.g. Moss et al. 2003; Søndergaard et al. 2005), few studies have attempted ecological classification. Here we have employed a simple dissimilarity measure, the SCD, between core bottom and top samples to calculate the deviation from the reference diatom community. Based on analysis of a much larger unpublished dataset of diatom assemblage change, the critical SCD score of 0.39 (significant change at the $2.5th$ percentile) was selected as the threshold below which a lake can be described as minimally impacted or high status, and hence a potential reference lake. In the current dataset of 106 UK lakes, only 25 sites met this criterion. The critical SCD score of 0.58 (significant change at the 10th percentile) was selected to represent significant floristic change and 57 lakes ($>$ 50% of

the dataset) exceeded this value. Although the surface sediment data are not shown here, the nature of the changes were typical of those associated with nutrient enrichment. The SCD is a useful tool, therefore, for assessing whether lakes have experienced floristic change, but it is very difficult to decide how much change constitutes classification into each of the status bands. A question remains over where one should set the boundaries for defining Good and Moderate status whilst allowing for an element of natural change. If the 2.5th percentile (0.39) is selected to define the High/Good boundary then we might logically select the 5th percentile (0.48) to define the Good/Moderate boundary and the $10th$ percentile (0.58) to define the Moderate/Poor boundary. Using these criteria, 25 sites would be classified as high status, and 12, 12, and 57 lakes, would be classified as good, moderate or poor status, respectively. Alternatively, to comply strictly with the WFD normative definition of reference or high status as 'no or very minor deviation from undisturbed conditions', we might argue that the 1st percentile value of <0.29 should be used to define true reference lakes. In the current dataset, only 13 sites meet this criterion. Further work is required to refine the method for classifying lakes based on SCD scores.

The proposed scheme seems to provide a sensible framework for ecological classification but, given that diatoms are extremely sensitive to changes in water quality, may be too stringent and thereby the palaeoecological outputs require comparison with the results from contemporary classification tools to assess the appropriateness of the boundaries. Furthermore, it should be noted that whilst the SCD is a useful tool for assessing degree of change, it does not provide any information on the nature of the species shifts, and should therefore be used in combination with the species data themselves to establish the drivers and wider ecological significance of the change.

One limitation of the present study is that not all of the cores have been dated. Several of the cores are relatively short (< 30 cm) and in productive, lowland catchments sediment accumulation rates may be as high as 0.5 to 1 cm per year (Rose et al. this issue) resulting in a record that spans only approximately the last 50 rather than 150 years. Furthermore, poor diatom preservation at a number of sites, particularly the marl lakes, prevented analysis of the deep sediment layers and the lowermost sample for which data were available may not extend back to the pre-enrichment period. It remains uncertain, therefore, whether all of our cores cover comparable time periods.

Summary

The study illustrates that palaeolimnology is a valuable tool for defining reference conditions and ecological status with respect to eutrophication pressures. When datasets are sufficiently well populated, diatom transfer functions can be employed to define reference values of TP for particular lake types. The diatom community composition data themselves, which have been

largely overlooked given the emphasis on transfer function development in recent decades, can provide an assessment of ecological reference conditions. Nevertheless, multi-proxy palaeolimnological studies could define site-specific ecological reference conditions in a more holistic way than can be achieved using a single indicator group and the addition of, for example, Cladocera, plant macrofossil and chironomid data, is recommended.

Simple measures of compositional change between reference and surface samples in cores, such as the SCD coefficient applied here, offer a powerful method for assessing degree of ecological change. These measures could prove useful in determining the extent to which the biological groups return to reference conditions following restoration efforts. Climate change may confound remediation attempts and make it impossible to achieve restoration targets, although presently lake response to the combination of reduced nutrient loading and climate change is poorly understood (Battarbee et al. 2005). It is generally assumed that increasing global temperatures will result in greater phytoplankton biomass and dominance of cyanobacteria but in some regions climate warming may stimulate macrophyte growth and potentially improve water clarity (Jeppesen et al. 2007). Whilst we must accept that restoration targets may require modification to account for such climate induced alterations, the long temporal perspective and direct ecological information offered by palaeolimnology ensures that we have a sound baseline against which to assess future change.

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Table 1 The lake typology for Great Britain indicating the number of lakes of each type in the chemical (N=169) and ecological (N=106) reference conditions datasets

Table 2 The indicator value and significance of indicator taxa associated with each of the clusters. Indicator taxa are identified as those with significant indicator values at the P < 0.01 level

Table 3 The indicator value and significance of the association of each lake type with the diatombased clusters. The LA-Sh lakes were outliers and were therefore omitted from the cluster analyses. The cluster column indicates the diatom-based cluster for which the 'species' indicator value was maximal. Level of significance: ****P≤0.001, ***P=0.001-0.01, **P=0.01-0.05, *P=0.05- 0.1 based on 1000 permutations

Marl-D 1 0 1 1 Marl-Sh 0 1 3 4 Total 25 12 12 57

Table 4 The distribution of squared chord distance dissimilarity coefficient values for each lake type in the ecological reference conditions dataset (N=106)

Figure captions

Fig. 1 Boxplot showing the distribution of the diatom-inferred TP values for the reference samples (~1850 AD) of each lake type (number in parentheses is the number of lakes in that type; total number of lakes is 169). See Table 1 for lake typology codes.

Fig. 2 a) Non-Metric Multi-dimensional scaling (nMDS) ordination (axes 1 and 2) of the betweensite (Hellinger) distances in the UK lakes diatom reference assemblage dataset (N=101). The three clusters identified by *k*-means clustering are shown and symbols depict the GB lake types; see Appendix 1 for lake names and Table 1 for lake typology codes, b) Non-Metric Multi-dimensional scaling (nMDS) ordination (axes 1 and 2) of the species scores in the UK lakes diatom reference assemblage dataset. Indicator taxa are shown in bold italic font. See Appendix 2 for taxon names

Fig. 3 Boxplot showing the distribution of the squared chord distance (SCD) dissimilarity scores for each lake type (number in parentheses is the number of lakes in that type; total number of lakes is 106). The SCD critical values at the 2.5th, 5th and 10th percentiles are indicated by horizontal lines. See Table 1 for lake typology codes.

Fig. 1

NMDS1

28

Fig. 3

Appendix 1 List of lake sample codes with full names, location and typology

Appendix 2 List of diatom codes with full names and authorities

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EU048A *Eunotia naegelii* Migula 1907 EU105A *Eunotia subarcuatoides* Alles, Norpel, Lange-Bertalot 1991 FR001A *Fragilaria pinnata* Ehrenb. 1843 FR002A *Fragilaria construens* (Ehrenb.) Grun. 1862 FR002B *Fragilaria construens* var. *binodis* (Ehrenb.) Grun. 1862 FR002C *Fragilaria construens* var. *venter* (Ehrenb.) Grun. in Van Heurck 1881 FR002D *Fragilaria construens* var. *exigua* (W. Sm.) Schulz 1922 FR005D *Fragilaria virescens* var. *exigua* Grun. in Van Heurck 1881 FR006A *Fragilaria brevistriata* Grun. in Van Heurck 1885 FR009A *Fragilaria capucina* Desm. 1825 FR009J *Fragilaria capucina* var. *perminuta* (Grun.) L-B. 1991 FR014A *Fragilaria leptostauron* (Ehrenb.) Hust. 1931 FR015A *Fragilaria lata* (Cleve-Euler) Renberg 1977 FR018A *Fragilaria elliptica* Schum. 1867 FR019A *Fragilaria intermedia* Grun. in Van Heurck 1881 FR063A *Fragilaria robusta* (Fusey) Manguin FU002A *Frustulia rhomboides* (Ehrenb.) De Toni 1891 FU002B *Frustulia rhomboides* var. *saxonica* (Rabenh.) De Toni 1891 FU002F *Frustulia rhomboides* var. *viridula* (Breb. ex Kutz.) Cleve 1894 GO010A *Gomphonema constrictum* Ehrenb. ex Kutz.1844 GO014A *Gomphonema intricatum* Kutz. 1844 GO029A *Gomphonema clavatum* Ehr. GO050A *Gomphonema minutum* (Ag.) Ag. 1831 GY001A *Gyrosigma attenuatum* (Kutz.) Rabenh. 1853 GY005A *Gyrosigma acuminatum* (Kutz.) Rabenh. 1853 HN001A *Hannaea arcus* (Ehrenb.) Patr. in Patr. & Reimer 1966 MA001A *Mastogloia smithii* Thwaites ex W. Sm. 1856 NA002A *Navicula jaernefeltii* Hust. 1942 NA003A *Navicula radiosa* Kutz. 1844 NA009A *Navicula lanceolata* (Agardh) Kutz. NA013A *Navicula pseudoscutiformis* Hust. 1930 NA016A *Navicula indifferens* Hust. 1942 NA028A *Navicula scutelloides* W. Sm. ex Greg. 1856 NA030A *Navicula menisculus* Schum. 1867 NA033A *Navicula subtilissima* Cleve 1891 NA042A *Navicula minima* Grun. in Van Heurck 1880 NA044A *Navicula krasskei* Hust. 1930 NA055A *Navicula graciloides* A. Mayer 1919 NA066A *Navicula capitata* Ehrenb. 1838 NA068A *Navicula impexa* Hust. 1961 NA079A *Navicula pseudolanceolata* Lange-Bertalot 1980 NA084A *Navicula atomus* (Kutz.) Grun. 1860 NA099A *Navicula bremensis* Hust. 1957 NA121A *Navicula begeri* Krasske 1932 NA123A *Navicula modica* Hust. 1945 NA144A *Navicula utermoehlii* Hust. 1943 NA168A *Navicula vitabunda* Hust. 1930 NI009A *Nitzschia palea* (Kutz.) W. Sm. 1856 NI014A *Nitzschia amphibia* Grun. 1862 NI015A *Nitzschia dissipata* (Kutz.) Grun. 1862 NI042A *Nitzschia acicularis* (Kutz.) W. Sm. 1853 OP001A *Opephora martyi* Herib.1902 PE002A *Peronia fibula* (Breb. ex Kutz.) R. Ross 1956 PI004A *Pinnularia interrupta* W. Smith PI011A *Pinnularia microstauron* (Ehrenb.) Cleve 1891 PI015A *Pinnularia abaujensis* (Pant.) R. Ross in Hartley 1986 PI016A *Pinnularia divergentissima* (Grun.in Van Heurck) Cleve 1896

