- 1 **Title:** Emission budgets and pathways consistent with limiting warming to
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- 20 **Opening paragraph**
- 21 The Paris Agreement has opened debate on whether limiting warming to
- 22 1.5°C is compatible with current emission pledges and warming of about
- 23 0.9°C from the mid-19<sup>th</sup>-century to the present decade. We show that limiting
- cumulative post-2015 CO<sub>2</sub> emissions to about 200 GtC would limit post-2015
- warming to less than 0.6°C in 66% of Earth System Model members of the

26 CMIP5 ensemble with no mitigation of other climate drivers, increasing to 27 240GtC with ambitious non-CO<sub>2</sub> mitigation. We combine a simple climate-28 carbon-cycle model with estimated ranges for key climate system properties from the IPCC 5<sup>th</sup> Assessment Report. Assuming emissions peak and decline 29 30 to below current levels by 2030 and continue thereafter on a much steeper 31 decline, historically unprecedented but consistent with a standard ambitious 32 mitigation scenario (RCP2.6), gives a likely range of peak warming of 1.2-2.0°C above the mid-19<sup>th</sup>-century. If CO<sub>2</sub> emissions are continuously adjusted 33 34 over time to limit 2100 warming to 1.5°C, with ambitious non-CO<sub>2</sub> mitigation, 35 net future cumulative CO<sub>2</sub> emissions are unlikely to prove less than 250 GtC 36 and unlikely greater than 540GtC. Hence limiting warming to 1.5°C is not yet a 37 geophysical impossibility, but likely requires delivery on strengthened pledges 38 for 2030 followed by challengingly deep and rapid mitigation. Strengthening 39 near-term emissions reductions would hedge against a high climate response 40 or subsequent reduction-rates proving economically, technically or politically 41 unfeasible.

### 43 Main text:

44 The aim of Paris Agreement is "holding the increase in global average 45 temperature to well below 2°C above pre-industrial levels and pursuing efforts to limit the temperature increase to 1.5°C"<sup>1</sup>. The Parties also undertook to 46 achieve this goal by reducing net emissions "to achieve a balance between 47 48 anthropogenic sources and removals by sinks of greenhouse gases in the 49 second half of this century", and hence implicitly not by geo-engineering 50 planetary albedo. Under what conditions is this goal geophysically feasible? 51 52 Human-induced warming reached an estimated 0.93°C (±0.13°C; 5-95 53 percentile range) above mid-19<sup>th</sup>-century conditions in 2015 and is currently 54 increasing at almost 0.2°C per decade<sup>2</sup>. Combined with the effects of El Niño 55 and other sources of natural variability, total warming exceeded 1°C for the first time in 2015 and again in 2016<sup>3</sup>. Average temperatures for the 2010s are 56 57 currently 0.88°C above 1861-80, which would rise to 0.93°C should they 58 remain at 2015 levels for the remainder of the decade. With few exceptions<sup>4,5</sup>, 59 mitigation pathways that could achieve peak or end-of-century warming of 60 1.5°C have thus far received little attention. Even the "Paris, increased 61 ambition" scenario of ref. 6 results in CO<sub>2</sub> emissions still well above zero in 62 2100 and hence a low chance of limiting warming to 1.5°C. 63

Long-term anthropogenic warming is determined primarily by cumulative emissions of  $CO_2^{7-10}$ : the IPCC 5<sup>th</sup> Assessment Report (IPCC-AR5) found that cumulative  $CO_2$  emissions from 1870 had to remain below 615GtC for total anthropogenic warming to remain below 1.5°C in more than 66% of members

68 of the CMIP5 ensemble of Earth System Models (ESMs)<sup>11</sup> (see Fig. 1a). 69 Accounting for the 545GtC that had been emitted by the end of  $2014^{12}$ , this 70 would indicate a remaining budget from 2015 of less than 7 years' current 71 emissions, while current commitments under the Nationally Determined 72 Contributions (NDCs) indicate 2030 emissions close to current levels<sup>13</sup>. 73 74 The scenarios and simulations on which these carbon budgets were based, 75 however, were designed to assess futures in absence of CO<sub>2</sub> mitigation, not 76 the very ambitious mitigation scenarios and correspondingly small amounts of 77 additional warming above present that are here of interest. Furthermore, 78 many mitigation scenarios begin reductions in 2010 and are already 79 inconsistent with present-day emissions, complicating the comparison with 80 pledges for 2030.

81

## 82 Updating carbon budgets and scenarios for ambitious mitigation goals

83 The black cross on Fig. 1a shows an estimate of human-induced warming, 84 which excludes the impact of natural fluctuations such as El Niño, in 2015 85 (0.93±0.13°C relative to 1861-80; 5-95 percentile range) and pre-2015 86 cumulative carbon emissions (545±75GtC since 1870; 1 standard deviation). 87 While both quantities are individually consistent with the CMIP5 ensemble, in 88 the mean CMIP5 response (coloured lines) cumulative emissions do not 89 reach 545GtC until after 2020, by which time the CMIP5 ensemble-mean 90 human-induced warming is over 0.3°C warmer than the central estimate for 91 human-induced warming to 2015. In estimating the outstanding carbon budget 92 for 1.5°C, this is an important discrepancy. IPCC-AR5 also calculated the

93 percentiles of the CMIP5 distribution that exceeded given thresholds of 94 warming relative to the average of 1986-2005 (Table 12.3 of ref 14), adding a 95 further 0.61°C to express these relative to 1850-1900. However, this 96 reference period and the GCM ensemble used in this table are not identical to the ESM ensemble used to derive estimates of the carbon budget, for which a 97 98 volcano-free reference period is preferred, to focus on human-induced 99 warming. Moreover, since the discrepancy in warming between ESMs and 100 observations only emerges after 2000, expressing warming relative to the 101 1986-2005 reference period does not entirely resolve it and also does not 102 address the small underestimate in cumulative emissions to date. Fig. 1b 103 shows an alternative analysis of the CMIP5 ensemble to assess the *remaining* 104 carbon budget for an additional 0.6°C of warming beyond the current decade, 105 a possible interpretation of 'pursuing efforts to limit the temperature increase 106 to 1.5°C' in light of estimated human-induced warming to date. The *median* 107 response of the CMIP5 models indicates allowable future cumulative 108 emissions (threshold-exceedance budget or TEB<sup>15</sup>) of 223GtC for a further 109 0.6°C warming above the 2010-2019 average, and a 204GtC remaining TEB 110 from 2015 to keep warming likely below this value (meaning, by the time cumulative emissions from 2015 reach 204GtC, 66% of CMIP5 models have 111 112 warmed less than 0.6°C above the present decade, consistent with the 113 methodology for assessing the 2°C carbon budget in IPCC-AR5<sup>16</sup>). Given 114 uncertainty in attributable human-induced warming to date, differences between observational products and true global surface air temperature<sup>17</sup>, and 115 116 the precise interpretation of the 1.5°C goal in the Paris Agreement (for 117 example, the choice of pre-industrial reference period which temperatures are

defined relative to<sup>18</sup>), budgets corresponding to a range of levels of future
warming should also be considered – see Table 1 and the Supplementary
Information.

121

TEBs are useful because peak CO<sub>2</sub>-induced warming is a function (shown by 122 123 the grey plume in figure 1) of cumulative CO<sub>2</sub> emissions and approximately 124 independent of emission path, although threshold behaviour, such as sudden carbon release from thawing permafrost, might complicate this relationship<sup>19</sup>. 125 126 This does not apply to non-CO<sub>2</sub> forcing, which is relatively more important for 127 ambitious mitigation scenarios. The rapid warming from the 2000s to the 128 2030s in CMIP5 arises partly from strong increases in net non-CO<sub>2</sub> forcing 129 over this period in the driving RCP scenarios, due to simulated rapid 130 reductions in cooling aerosol forcing. It remains unclear whether this increase 131 in non-CO<sub>2</sub> forcing will be observed if future reductions in aerosol emissions 132 occur because present-day effective non-CO<sub>2</sub> forcing is still highly uncertain<sup>20</sup>. 133 Table 2 shows budgets for thresholds of future warming in the CMIP5 134 ensemble under an RCP2.6 scenario, a stabilisation scenario in which non-135 CO<sub>2</sub> forcing across the rest of the century remains closer to the 2010-2019 136 average than in the RCP8.5 scenario. This allows more CO<sub>2</sub>-induced warming 137 for the same total, increasing the median TEB of the CMIP5 distribution for an 138 additional 0.6°C to 303GtC and the 66<sup>th</sup> percentile to 242GtC. 139

In many current ambitious mitigation scenarios (e.g. RCP2.6<sup>21</sup>, dark blue lines
in fig. 2), substantial CO<sub>2</sub> emission reductions begin in 2010, such that both
emissions and forcing are already inconsistent with observed climate state

143 and emission inventories to date. The thick dark green lines in Fig. 2 show an 144 amended version of RCP2.6 that is more consistent with current emissions 145 and estimated present-day climate forcing. This scenario, hereafter referred to 146 as RCP2.6-2017, assumes the same proportional rates of change of emissions of both CO<sub>2</sub> and other anthropogenic forcing components as in the 147 148 standard RCP2.6 scenario from 2010, but with the mitigation start date delayed by 7 years to 2017 (following the RCP8.5 scenario<sup>22</sup> between 2010-149 150 2017). This is more representative of a possible mitigation pathway from 151 today: many nations are already planning on policy action to reduce 152 emissions over the 2015-2020 period, in anticipation of achieving their NDC 153 commitments in the future. Total anthropogenic radiative forcing peaks in 154 2050 (at 3.41 Wm<sup>-2</sup>) in RCP2.6-2017, as opposed to in 2043 (at 3.00 Wm<sup>-2</sup>) 155 under RCP2.6. The grey lines represent emissions pathways from the IPCC 430-480ppm scenario category<sup>23,24</sup> but with proportional decreases in 156 157 radiative forcing also delayed by 7 years to start in 2017. 158 Figure 2c shows the implications of these scenarios for future warming, 159 160 evaluated with a simple climate model that reproduces the response of the 161 CMIP5 models to radiative forcing under ambitious mitigation scenarios 162 (Supplementary Material). Like other simple climate models, this lacks an 163 explicit physical link between oceanic heat and carbon uptake. It allows a 164 global feedback between temperature and carbon uptake from the 165 atmosphere, but no direct link with net deforestation. It also treats all forcing 166 agents equally, in the sense that a single set of climate response parameters 167 are used in for all forcing components, despite some evidence of component

specific responses<sup>25,26</sup>. We do not, however, attempt to calibrate the model 168 169 directly against observations, using it instead to explore the implications of ranges of uncertainty in emissions<sup>12</sup>, and forcing and response derived 170 171 directly from the IPCC-AR5, which are derived from multiple lines of evidence 172 and, importantly, do not depend directly on the anomalously cool 173 temperatures observed around 2010. Non-CO<sub>2</sub> forcing and the transient 174 climate response (TCR) co-vary within AR5 ranges to consistently reproduce 175 present-day externally-forced warming (Methods), and as in figure 1b, we 176 quote uncertainties in future temperatures relative to this level. 177 178 The limits of the green plume in Fig. 2c show peak warming under the 179 RCP2.6-2017 scenario is *likely* between 1.24-2.03°C (1.12-1.99°C for 2100 180 warming) given a 2015 externally-forced warming of 0.92°C. The IPCC-AR5 181 did not propose a 'best-estimate' value of the TCR, but using a central value 182 of 1.6°C (the median of a log-normal distribution consistent with IPCC-AR5 183 likely ranges, the typical shape of most reported TCR distributions in ref. 16), RCP2.6-2017 gives a median peak warming of 1.55°C above pre-industrial 184 185 (1861-1880 mean) and 1.47°C in 2100, approximately consistent with as likely

186 as not (50% probability) of warming below 1.5°C in 2100.

187

188 The shaded green bands show the central four probability sextiles of the

distribution of responses to RCP2.6-2017 for a log-normal distribution for TCR

190 (see Supplementary Material for alternative distributions). Under RCP2.6-

191 2017, peak warming is *likely below* 2°C, and well below 2°C by the end of the

192 century. However, such a scenario cannot exclude a non-negligible probability

193 of peak warming significantly in excess of 2°C, particularly given the

possibility of non-linear climate feedbacks for which there is some evidence in
more complex GCMs<sup>27</sup>.

196

Emissions in Fig. 2a are diagnosed from radiative forcing in Fig. 2b using a 197 version of the IPCC-AR5 carbon cycle impulse-response function<sup>28</sup>, with a 198 199 minimal modification to account for the change in the impulse response between pre-industrial and 21<sup>st</sup> century conditions due to atmospheric CO<sub>2</sub> 200 201 and temperature-induced feedbacks on carbon uptake, as observed in Earth 202 System Models<sup>29</sup>. This simple model reproduces the response of ESMs to 203 ambitious mitigation scenarios (Supplementary Information) including, with 204 best-estimate parameters, near-constant temperatures following a cessation 205 of CO<sub>2</sub> emissions. The temperature response of the UVic Earth System Climate Model (UVic ESCM)<sup>30–32</sup> driven by the diagnosed RCP2.6-2017 206 207 emissions scenario and non-CO<sub>2</sub> forcing is shown in Fig. 2c (orange line), 208 which is emulated well by the simple carbon-cycle-climate model with equivalent climate response parameters (thin green line, see Methods). 209 210 Carbon-cycle feedback uncertainties (see Methods) only have limited scope 211 to influence the allowable emissions under scenarios in which concentrations 212 and temperatures peak at a relatively low level. 213

Since RCP2.6-2017 represents a scenario with ambitious CO<sub>2</sub> and non-CO<sub>2</sub>
mitigation, it currently lies near the lower limit of 2100 anthropogenic forcing
available in the literature<sup>4,15</sup>, as shown by the grey lines in Figure 2. We have
not assumed any additional non-CO<sub>2</sub> mitigation beyond RCP2.6, but

uncertainties in mitigation technologies and demand reduction measures
decades into the future mean that non-CO<sub>2</sub> mitigation may yet play a larger
role than indicated here.

221

## Adaptive mitigation paths and implications for carbon budgets

223 The Paris Agreement establishes a regime of continuously updated 224 commitments informed by on-going scientific and policy developments and 225 the overarching temperature and emission reduction goal. We therefore re-226 estimate carbon budgets, accounting for the present-day climate state and 227 current uncertainty in the climate response, and assuming mitigation efforts 228 are perfectly adapted over time to achieve a warming in 2100 of 1.5°C for a 229 range of possible realisations of the climate response<sup>2,33</sup>. Figure 3a shows a 230 distribution of future temperature trajectories, for different climate responses, 231 that are all consistent with observed attributable warming in 2015 and a 232 smooth transition to 1.5°C in 2100. The limits of the green plume show 233 temperature trajectories associated with IPCC-AR5 likely ranges for TCR and 234 equilibrium climate sensitivity (ECS), with bands delineating the central four 235 sextiles of the distribution. These temperatures initially follow the responses to 236 the RCP2.6-2017 scenario (the green plumes in Figure 2c) but are then 237 smoothly interpolated over the coming century to the trajectory given by the 238 best-estimate response (see Supplementary Methods). This provides a simple 239 representation of goal-consistent pathways for a range of possible climate responses<sup>34</sup>. In contrast to a scenario-driven, forward-modelling approach 240 241 (e.g. ref. 6 and Fig. 2), the temperature trajectories in Figure 3a define the 242 scenario, from which corresponding CO<sub>2</sub> emission pathways (Figure 3b) are

derived, similar to the temperature-tracking approach used by ref 10. This
implicitly assumes that information on the emerging climate response is
available and acted upon instantaneously. In reality, both resolving the
response and adapting policies will be subject to delay, although the impact
can be reduced if policies respond to both observed and decadal predictions
of human-induced warming, which are much better constrained than longterm projections of, for example, ECS.

250

251 Green bands in Fig. 3b show emissions compatible with the goal-consistent

temperature trajectories and climate responses of Figure 3a, computed using

the modified IPCC-AR5 impulse-response function with carbon-cycle

254 feedback uncertainty assumed positively correlated with TCR (see Methods).

Such an assumption may be pessimistic, but uncertainty in these feedbacks

may also be underestimated in CMIP5 – the impact of thawing permafrost, for
example, is generally not represented.

258

Fig. 3c shows cumulative emissions (net carbon budgets) consistent with

limiting warming to 1.5°C warming in 2100 under the climate response

261 uncertainty distribution and these goal-consistent pathways. The median ('as

262 *likely as not*") case corresponds to a cumulative budget of 370GtC

263 (1400GtCO<sub>2</sub> - all carbon budgets given to 2 significant figures) from 2015 to

264 2100, including ~10GtC of net negative emissions in the final decades.

265 Compared to this, higher cumulative CO<sub>2</sub> emissions budgets are associated

with lower climate responses and vice versa (hence the ordering of the

267 coloured bands in 3a and 3b). Assuming completely successful adaptive CO<sub>2</sub>

268 mitigation to achieve a warming of 1.5°C in 2100 (allowing for mid-century 269 temperature overshoots, assuming non-CO<sub>2</sub> forcing following RCP2.6-2017, 270 and imposing no restrictions on the rate of net carbon dioxide removal), the 271 cumulative carbon budget from 2015 to 2100 is unlikely (<33% probability) to 272 be less than 250GtC (920GtCO<sub>2</sub>), in good agreement with the 242GtC TEB for the 66<sup>th</sup> percentile of the CMIP5 distribution for 0.6°C warming above the 273 274 2010-2019 average in the RCP2.6 scenario (Table 2). Conversely, cumulative 275 future emissions from 2015 compatible with a warming of 1.5°C in 2100 are 276 *unlikely* to be greater than 540GtC (the top of the 50-67% band in Figure 3c) 277 even under such an idealised perfectly responsive mitigation policy. The 278 relationship between CO<sub>2</sub>-induced future warming compatible with the 279 cumulative emissions shown in Fig. 3c is also broadly consistent that 280 expected from the IPCC-AR5 likely range of TCRE (see Fig. S4), which, when 281 combined with varying contributions from non-CO<sub>2</sub> forcing, informs the all-282 forcing budgets quoted here.

283

The small difference that varying TCR makes to warming between 2015 and 284 285 2030 (Fig. 3a) highlights both the importance of continuous quantifications of 286 human-induced warming in any stock-take of progress to climate stabilization. 287 and the need for a precautionary approach even under an adaptive mitigation 288 regime<sup>34</sup>. Although more progress has been made on constraining TCR than 289 ECS, uncertainties are unlikely to be resolved rapidly. Allowing emissions to 290 rise in the hope of a low climate response risks infeasible subsequent 291 reductions should that hope prove ill founded. Conversely, the risk of "over-292 ambitious" mitigation is low: the darkest green plume in fig. 3b shows that the

293 difference between a TCR of 1.3°C and 1°C has a substantial impact on the 294 allowable carbon budget for 1.5°C, but the probability of a TCR in that range is already assessed to be low. Since IPCC-AR5 a number of studies have 295 suggested an increase in the lower bound on TCR towards 1.3°C (e.g ref. <sup>25</sup>), 296 297 whilst others indirectly support a 1.0°C lower bound through upward revisions 298 of radiative forcing<sup>35,36</sup>. Using a TCR *likely* range of 1.3-2.5°C and an ECS 299 *likely* range of 2.0-4.5°C, the remaining budget for a 1.5°C warming would be 300 unlikely greater than 400GtC and unlikely less than 220GtC (see 301 Supplementary Information figure S18).

302

## 303 Discussion and implications for the 'emissions gap'

304 Much recent policy discussion has centred on the 'emissions gap' between

305 the NDCs emerging from the Paris Agreement and emission scenarios

306 consistent with  $1.5^{\circ}$ C and  $2^{\circ}$ C<sup>13,37</sup>. The extent of any 'gap' depends on the

307 uncertain climate response; the definition of the Paris Agreement goals; the

308 interpretation, delivery and/or revision of the NDCs, and in particular the

309 technical and/or socio-economic feasibility of subsequent emissions

310 reductions.

311

312 Considerable uncertainties are associated with the NDCs themselves<sup>13,38</sup>.

313 Modelling indicates that the NDCs could be consistent with global fossil fuel

and land-use change CO<sub>2</sub> emissions in 2030 only slightly above 2015

values<sup>6,13</sup> (lower limit of the brown bar in Fig. 2a and 3b), close to the RCP2.6-

316 2017 scenario. This would imply that if (i) NDCs are fully implemented

317 (including all conditional elements), with plausible values for Chinese

318 emissions in 2030, and (ii) RCP2.6-2017 mitigation rates are maintained after 319 2030, then the NDCs would still remain inconsistent with future scenarios projected to correspond to a peak warming likely below 2°C and a 2100 320 321 warming as likely as not below 1.5°C. However, a modest strengthening of the pledges corresponding to an approximate 10% reduction in proposed 2030 322 323 emissions could achieve consistency with such scenarios. Hence the NDCs 324 as they stand do not necessarily imply a commitment to a fundamentally 325 different approach, such as resorting to solar radiation management (SRM), to 326 achieve a warming of 1.5°C in 2100, if the climate response is close to or less 327 than our central estimate and *if* emissions can be rapidly reduced after 2030. 328 The RCP2.6-2017 scenario involves a smooth transition to slightly negative 329 net CO<sub>2</sub> emissions after 2080, which may require challenging rates of 330 deployment of CO<sub>2</sub> removal (CDR). Figure 3b shows that returning warming to 331 1.5°C in 2100 under a higher climate response potentially requires very 332 substantial rates of CDR, which may not be technically feasible or socio-333 economically plausible.

334

335 An additional caveat to assessments of a 2030 "emissions gap" is that most 336 NDCs are formulated in terms of  $CO_2$ -equivalent ( $CO_2$ -eq) emissions, a 337 composite metric of warming impact of different gases based on Global 338 Warming Potentials (GWPs) from various IPCC reports. It is therefore impossible to assess precisely the 2030 emissions of CO<sub>2</sub> itself that are 339 340 compatible with these pledges without additional assumptions, because CO<sub>2</sub>-341 eq pledges could be attained through varying combinations of long-lived and short-lived forcer mitigation<sup>39–41</sup>. Separate reporting of long-lived and short-342

343 lived greenhouse gases in national pledges would help clarify their long-term
 344 implications<sup>41,42</sup>.

345

346 Aside from scientific uncertainties and the interpretation of the NDCs, a crucial issue is the feasibility of achieving sufficient rates and levels of 347 348 decarbonisation required by these ambitious mitigation scenarios. Rapid 349 decarbonisation relies on societies being able to swiftly replace existing 350 capital with new investments at massive scales. Inertia within the economic system is an important constraint on realisable mitigation pathways<sup>43</sup>. 351 352 RCP2.6-like scenarios imply decarbonisation at over 0.3GtC/yr/yr in the 2030s 353 and 2040s - or 4-6% per year sustained for multiple decades. If applied to 354 gross CO<sub>2</sub> emissions, such rates of reduction have historically only been 355 observed globally for short periods, such as in the 1930s Great Recession and the 2<sup>nd</sup> World War, and regionally in the collapse of the former Soviet 356 357 Union<sup>44</sup>. Sustained decarbonisation at these rates, and the associated capital 358 displacement (run-down and replacement of fossil-fuel infrastructure), would 359 be historically unprecedented, though the parallel between intentional policy-360 driven decarbonisation in the future and historical rates remains unclear.

361

Longer-term deep decarbonisation also relies on many energy system innovations, including development and deployment on an unprecedented scale of renewable energy as well as, as yet undemonstrated, amounts of carbon capture and storage and CDR<sup>45</sup>. Given possible limits to rates of decarbonisation, near-term mitigation ambition and delays in mitigation start dates may strongly influence peak and 2100 warming. The purple dashed lines in Fig. 2 illustrate this point with a simple scenario in which CO<sub>2</sub> emissions reduce linearly (at 0.17GtC/yr/yr, about 0.6GtCO<sub>2</sub>/yr/yr) from 2020 in order to achieve approximately the same warming as RCP2.6-2017 in 2100. Under this scenario, maximum rates of decarbonisation are much lower than in RCP2.6-2017, in both absolute and percentage terms, demonstrating the potential advantage of more ambitious near-term mitigation given the risk that subsequent RCP2.6-like rates of decarbonisation may be unachievable.

376 More ambitious near-term mitigation may be more feasible than previously 377 thought. The rapid growth of global emissions 2000-2013 was dominated by 378 increases in Chinese emissions<sup>46</sup>, driven, at least in part, by unprecedented 379 levels of debt-fuelled investment in carbon-intensive industries and capital 380 stock<sup>47</sup>. Sustaining such expansion is likely to be neither necessary (the 381 infrastructure is now built) nor feasible (the debt levels are likely to prove unsustainable)<sup>47</sup>. For these reasons, the possibility that both Chinese and 382 global emissions are at or near their peak<sup>46,48</sup> and could reduce from 2020, 383 seems less far-fetched than it did. This could allow for the required 384 385 strengthening of the NDCs in the 2020 review towards an RCP2.6-2017 386 trajectory or beyond, more readily consistent a 1.5°C goal.

387

Regular review of commitments is built in to the Paris Agreement. This
stocktake should be extended to relate commitments directly to the long-term
temperature goal. As human-induced warming progresses, the question must
be asked: "Are we on track to reduce net emissions to zero to stabilise climate
well below 2°C as agreed in Paris"? Regular updates of human-induced

- 393 warming based on a standard and transparent methodology would allow
- 394 countries to adapt commitments to the emerging climate response. Our
- 395 analysis suggests that 'pursuing efforts to limit the temperature increase to
- 396 1.5°C' is not chasing a geophysical impossibility, but likely requires a
- 397 significant strengthening of the NDCs at the first opportunity in 2020 in order
- 398 to hedge against the risks of a higher-than-expected climate response and/or
- 399 economic, technical or political impediments to sustained reductions at
- 400 historically unprecedented<sup>34</sup> rates after 2030.
- 401

## 402 Tables

	Percentiles of CMIP5 models					
Warming above 2010-	90%	66%	50%	33%	10%	
2019 average (°C)						
0.3	80	106	119	142	189	
0.4	107	133	155	172	242	
0.5	137	168	186	209	299	
0.6	164	204	223	250	333	
0.7	199	245	256	289	387	
0.8	231	279	301	333	438	
0.9	274	321	348	376	505	
1.0	306	358	382	421	579	
1.1	332	395	416	464	653	

403

404 **Table 1:** Future cumulative budgets (GtC) from January 2015 for percentiles

405 of the distribution of RCP8.5 simulations of CMIP5 models and various levels

406 of future warming above the modelled 2010-2019 average. Percentiles

- 407 correspond to the percentage of CMIP5 models that have greater cumulative
- 408 emissions for the given level of warming.
- 409

	Percentiles of CMIP5 models					
Warming above 2010-	90%	66%	50%	33%	10%	
2019 average (°C)						
0.3	89	106	118	133	245	
0.4	106	152	173	193	NA	
0.5	126	191	214	258	NA	
0.6	143	242	303	NA	NA	
0.7	170	291	NA	NA	NA	
0.8	177	372	NA	NA	NA	
0.9	277	NA	NA	NA	NA	
1.0	468	NA	NA	NA	NA	
1.1	NA	NA	NA	NA	NA	

410

Table 2: Future cumulative budgets (GtC) from January 2015 for percentiles
of the distribution of RCP2.6 simulations of CMIP5 models and various levels
of future warming above the modelled 2010-2019 average. Percentiles
correspond to the percentage of CMIP5 models that have greater cumulative
emissions for the given level of warming. If an insufficient number of models
warm above a particular threshold to calculate a given percentile of the total
model distribution a value of NA is given.

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575

# 576 Figure Captions

**Figure 1:** Warming as a function of cumulative CO<sub>2</sub> emissions in the CMIP5 ensemble. (a) Cumulative emissions since 1870 and warming relative to the period 1861-80, adapted from figure 2.3 of ref 11. The red and grey plumes show the 5-95% range of model response under the RCPs and 1% annual CO<sub>2</sub> increase scenarios respectively. Thick coloured lines show ensemble mean response to the RCP forcing scenarios. Ellipses show cumulative emissions and warming in 2100 for different categories of future emissions
scenario. Black cross shows uncertainty in 2015 human-induced warming and
observed cumulative emissions. (b) As for a) but with cumulative emissions
given since January 2015 and warming relative to the period 2010-2019.
Dashed vertical grey lines show the threshold exceedance budgets (TEBs)
below which over 66% of models have warmed less than 1.5°C above 186180 in panel (a), and less than 0.6°C above 2010-19 in panel (b).

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591 Figure 2: Emissions, forcing and temperature response associated with 592 various mitigation scenarios. Solid lines in panel (b) show total anthropogenic 593 forcing for RCP8.5 (red), RCP2.6 (dark blue), RCP2.6-2017 (dark green) and 594 delayed IPCC-WG3 430-480ppm (grey) scenarios. Dotted lines show non-595 CO<sub>2</sub> forcing. Solid lines in panel (a) shows median diagnosed emissions, with 596 green shading showing the central 4 probability sextiles in the carbon-cycle 597 feedbacks distribution. The brown bar denotes projected emissions in 2030 598 based on current NDCs. Solid lines in panel (c) show median temperature 599 response, with green shading showing central 4 probability sextiles of 600 response to RCP2.6-2017 radiative forcing. Black bar shows the likely range 601 for the IPCC-AR5 scenario-independent projection for the average of the 2016-2035 period<sup>49</sup>, while black dots represent HadCRUT4 observations 602 603 (relative to right hand axis only). The response of the UVic ESCM (orange), and the simple climate model with identical climate response parameters (thin 604 605 green), both driven by the diagnosed RCP2.6-2017 emissions scenario are 606 shown in panel (c). These two lines correspond to the left hand axis only. 607 Purple dashed lines in all panels show a hypothetical scenario with linear

608 emissions decline from 2020 giving similar median warming in 2100 to609 RCP2.6-2017.

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612 Figure 3: Temperature trajectories and associated emissions consistent with 613 1.5°C warming in 2100 for a range of climate responses under an adaptive 614 mitigation regime. Dark green line in panel (a) shows median response to 615 RCP2.8-2017 scenario as in Fig. 2c, green plume shows temperature 616 trajectories corresponding initially to central 4 sextiles of the response to 617 RCP2.6-2017, then smoothly interpolated over 2017-2117 to the median 618 response. The orange line shows the response of the UVic ESCM driven by 619 diagnosed emissions from the simple climate-carbon-cycle model consistent 620 with the interpolated temperature trajectory corresponding to the UVic ESCM 621 climate response parameters. The thin green line shows the response of the 622 simple climate-carbon-cycle model driven by the same emissions as the UVic 623 ESCM with identical climate response parameters to UVic ESCM and 624 identical carbon-cycle parameters to the standard RCP2.6-2017 scenario in 625 Fig 1a. These two lines correspond to the left hand axis only. Panel (b) shows 626 diagnosed emissions consistent with temperature trajectories in panel (a) and 627 the corresponding response percentile. Brown and black bars shows INDC 628 emission range and near-term temperature projection as in Fig. 2. Panel (c) shows cumulative emissions from 2015, or relative to 1870 (right hand axis) 629 630 assuming the observed best-estimate of 545GtC emissions 1870-2014. 631

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#### 635 Methods

636 We refer to "climate response" as a specified combination of TCR and ECS throughout this paper. Our median estimate climate response (TCR=1.6°C, 637 638 ECS=2.6°C) is defined as the median of log-normal distributions consistent 639 with IPCC-AR5 likely bounds on TCR and ECS (TCR: 1.0-2.5°C; ECS: 1.5-4.5°C). From this the likely above/below values are found from the 33<sup>rd</sup> and 640 66<sup>th</sup> percentiles of the distribution (TCR: 1.3-1.9°C; ECS: 2.0-3.3°C). The 641 642 median TCR of this log-normal distribution is significantly lower than in the 643 IPCC-AR5 ESM ensemble but is more consistent with observed warming to 644 date than many ensemble members (see Supplementary Methods), indicative of the multiple lines of evidence used to derive the IPCC-AR5 uncertainty 645 646 ranges. Although IPCC-AR5 did not explicitly support a specific distribution, there is some theoretical justification<sup>50</sup> for a log-normal distribution for a 647 scaling parameter like TCR. Reconciling IPCC-AR5 best-estimate of 648 649 attributable warming trend over 1951-2010 with the best-estimate effective 650 radiative forcing requires a best-estimate TCR near to 1.6°C under the simple 651 climate model used here, consistent with a log-normal distribution. As a 652 sensitivity study, we also assume a Gaussian distribution for TCR (see 653 Supplementary Methods) that raises the 2015 attributable warming to 1.0°C but only marginally affects the remaining carbon budget for a 1.5°C warming 654 655 above pre-industrial (the likely below budget is reduced to 240GtC).

657 The ECS distribution used here is derived directly from the IPCC-AR5 likely 658 bounds that drew on multiple lines of evidence, so our conclusions are not 659 directly affected by uncertainties in the efficacy of ocean heat uptake that affect purely observational constraints on ECS<sup>51</sup>. We are not here arguing for 660 the revision of uncertainty estimates on any climate response parameters, 661 662 although any such revision would of course affect our conclusions. The 663 implications of an increased lower bound on the climate response are shown 664 in figure S18.

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666 Reproducing present day temperatures with differing values for both TCR and 667 ECS requires these parameters to co-vary with present-day net anthropogenic radiative forcing<sup>52</sup>. In the best-estimate forcing case (Figure 2b), past and 668 669 future effective radiative forcing components are individually scaled 670 (multiplicatively) to match the respective best-estimate values for each component in 2011 as given in IPCC-AR5<sup>25</sup>. Figures 2 and 3 scale past and 671 672 future anthropogenic aerosol effective radiative forcing (the most uncertain forcing component<sup>28</sup>), along with accounting for combined uncertainty in the 673 674 non-CO<sub>2</sub> effective radiative forcing components that were assessed to have 675 Gaussian distributed uncertainty in IPCC-AR5 (draws from this distribution are 676 taken at a percentile equal to the TCR distribution draw). The aerosol 677 radiative forcing scaling factor is chosen to give externally-forced warming above 1861-1880 equal to that under the median climate response (i.e. 678 679 0.92°C in 2015) for all draws from the climate response distribution. In all 680 cases shown the scaled 2011 aerosol forcing is within IPCC-AR5 assessed uncertainty bounds. A summary of climate system properties used is given in 681

Table S1: in only one case (the TCRE value implied by the lowest, 17<sup>th</sup>,

683 percentile) are these outside the AR5 "likely" ranges, and this parameter

684 combination is only used in the figures, not our headline conclusions.

685

686 Temperature anomalies are computed using a two-timescale impulse-

response model from ref. <sup>29</sup> and <sup>28</sup>, in which surface temperatures adjust to an

688 imposed radiative forcing with a fast and slow timescale characterising the

uptake of heat into the upper and deep ocean (set at 8.4 and 409.5 years

respectively as in ref. <sup>28</sup>). The lower limit of the TCR *likely* range requires a

total anthropogenic forcing of 3.54Wm<sup>-2</sup> in 2011, slightly greater than the

<sup>692</sup> upper bound of the IPCC-AR5 confidence interval (3.33 Wm<sup>-2</sup>). Natural forcing

693 is taken as given at http://www.pik-potsdam.de/~mmalte/rcps/ and is

694 smoothed with a 10-year standard deviation Gaussian filter beyond 2015 in all

695 scenarios.

696

697 In constructing temperature trajectories in Figure 3a, a smooth cosine interpolation of the CO<sub>2</sub>-induced warming is applied over the period 2017 to 698 699 2117 between the response for a specific climate response parameter set to 700 RCP2.6-2017 and the total warming under the RCP2.6-2017 median climate 701 response (which meets the goal of 1.5°C in 2100). Non-CO<sub>2</sub> warming remains 702 as originally simulated under the climate response parameter set for RCP2.6-703 2017 and only CO<sub>2</sub>-induced warming is adapted to force the total warming to 704 asymptote towards the median response of RCP2.6-2017, corresponding to a 705 scenario in which only CO<sub>2</sub> policy responds to the emerging signal.

707 CO<sub>2</sub> emissions in Figure 2a and 3b are derived using the simple carbon-cycle 708 impulse-response formulation in ref.<sup>28</sup>, modified to make airborne fraction a 709 linear function of both warming and cumulative carbon uptake by terrestrial and ocean sinks<sup>29</sup>. Emissions in all figures are smoothed with a Gaussian 710 711 filter with a standard deviation of 2 years: note that our use of an acausal filter 712 implies that emissions are continuously adjusted to projected human-induced 713 warming over this timescale in addition to warming to date. Cumulative 714 emissions (Figure 3c) are more robust than emission rates in any given year, 715 since rates depend on the method used to construct these goal-consistent 716 pathways.

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718 The strength of carbon cycle feedbacks (a single scaling factor applied to default  $r_T$  and  $r_C$  coefficients in ref. <sup>29</sup>) varies from 0-2, consistent with CMIP5 719 720 RCP2.6 ensemble (Sup. Info.). We assume that this scaling factor range 721 corresponds to the 5-95 percentiles of a Gaussian distribution. In Figure 3. 722 draws from this carbon-cycle feedback scaling factor distribution are taken at 723 an equal percentile to that from the TCR distribution. This correlation between 724 the TCR and carbon-cycle feedback distribution is chosen to maximise the 725 range of carbon budgets calculated from Figure 3. For each carbon-cycle 726 feedback strength, total airborne fraction is adjusted (via the  $r_0$  parameter in ref. <sup>29</sup>) to reproduce observed CO<sub>2</sub> emissions in 2014 and leads to a range of 727 historical cumulative CO<sub>2</sub> emissions of 467-598GtC (17<sup>th</sup>-83<sup>rd</sup> percentile of 728 729 distribution), with a median estimate of 542GtC, under carbon-cycle only 730 uncertainty.

732 Figures 2c and 3a show a version of the simple carbon-cycle-climate model 733 (thin green lines) with thermal climate response parameters as represented in 734 the UVic Earth System Climate Model (version 2.9 - TCR=1.9°C and 735 ECS=3.5°C)<sup>31,32</sup> and default carbon-cycle parameters given in ref. <sup>29</sup>. These 736 parameters achieve a good emulation of the UVic ESCM response when 737 driven with the RCP4.5 scenario (see Supplementary Methods). In Figure 2c, 738 UVic ESCM and the UVic ESCM-emulation simple carbon-cycle-climate 739 model version are driven by RCP2.6-2017 emissions, diagnosed from the 740 simple climate-carbon-cycle model using the median climate response and 741 carbon-cycle parameters (dark green line in Figure 2a) and RCP2.6-2017 742 non-CO<sub>2</sub> radiative forcing scaled as discussed previously, for a 1.9°C TCR. In 743 Figure 3a, UVic ESCM and the UVic ESCM-emulation simple carbon-cycle-744 climate model version are driven by diagnosed emissions corresponding to an interpolated temperature pathway at a 1.9°C TCR, consistent with the method 745 746 described previously. 747 We add an estimate of the 2030 land-use emissions in RCP2.6-2017 (2023 in 748

RCP2.6) as derived from the MAGICC model<sup>53</sup> (http://www.pik-

potsdam.de/~mmalte/rcps/), to the fossil fuel and industry emissions

consistent with the NDCs from ref 12 for the brown bars in Figures 2 and 3.

752

In analysis of the CMIP5 ensemble budgets given in Table 1 and 2, budgets

are calculated in an identical fashion to ref. <sup>54</sup> (both in terms of models and

initial condition ensemble members used). Budgets are TEBs and are derived

756 from percentiles of the distribution of decadal means of CMIP5 RCP8.5

- 757 integrations, linearly interpolating between adjacent rank-ordered ensemble
- members. In Table 2, where insufficient models cross a particular future
- warming threshold to calculate a particular percentile of the total model
- distribution at that threshold, no value is reported. For the grey (1%/yr CO<sub>2</sub>
- increase) plume in Figure 1, cumulative emissions and temperatures
- expressed from the beginning of the increase (1a) and relative to a ten-year
- period centred around the year in which concentrations reach the 2015 value
- of 398ppm (1b). Scenarios that peak and decline emissions were excluded
- from the red plume in Figure 1b.
- 766 Code availability: Code will be available on request to the corresponding767 author.
- 768 Data availability: RCP forcing data used in this study is available at
- 769 http://www.pik-potsdam.de/~mmalte/rcps/
- 770
- 771 **Supplementary Information:** Supplementary methods are included with this
- submission.

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