

# THE LANCET Infectious Diseases

## Supplementary webappendix

This webappendix formed part of the original submission and has been peer reviewed. We post it as supplied by the authors.

Supplement to: Hakim JG, Thompson J, Kityo C, et al, for the Europe Africa Research Network for Evaluation of Second-line Therapy (EARNEST) Trial Team. Lopinavir plus nucleoside reverse-transcriptase inhibitors, lopinavir plus raltegravir, or lopinavir monotherapy for second-line treatment of HIV (EARNEST): 144-week follow-up results from a randomised controlled trial. *Lancet Infect Dis* 2017; published online Nov 3. [http://dx.doi.org/10.1016/S1473-3099\(17\)30630-8](http://dx.doi.org/10.1016/S1473-3099(17)30630-8).

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## **SUPPLEMENTARY METHODS**

Factors/subgroups tested were VL and CD4 count at switch, duration of first-line ART, NRTIs taken during first-line and at failure, and NRTIs specified by physicians prior to randomisation that they would prescribe if the patients were randomised to PI/NRTI (available for both groups, in contrast to actual NRTIs prescribed which is only available for PI/NRTI), viral subtype (based on available genotypes), presence of intermediate-high resistance to NRTIs, number of NRTIs available with susceptibility/low-level resistance, presence of M184V mutation, a future options genotypic susceptibility score (GSS; obtained from the total of the scores for predicted susceptibility of 3TC/FTC, ABC, D4T, DDI, TDF and ZDV determined using the Stanford algorithm according to predicted susceptibility), adherence to second-line (percentage of visits reporting missing pills in the last month, missed, or attended over 7 days late) and self-reported alcohol consumption during second-line, and employment status. VL, CD4, and duration of first-line ART were subgroups specified in the Statistical Analysis plan; other factors were exploratory.

## SUPPLEMENTARY TABLES

**Supplementary Table 1: Early virological responses on second-line therapy by randomized group**

	PI/NRTI	PI/RAL	Difference PI/RAL-PI/NRTI (95% CI)	P value
<b>Week 4</b>				
Available, N	374	389		
VL<50 copies/ml, N (%)	31 (8%)	125 (32%)	+23.8% (+18.4%, +29.3%)	<0.0001
VL<400 copies/ml, N (%)	180 (48%)	333 (86%)	+37.5% (+31.3%, +43.6%)	<0.0001
VL<1000 copies/ml, N (%)	278 (74%)	360 (93%)	+18.2% (+13.1%, +23.4%)	<0.0001
Change in $\log_{10}$ VL from baseline, mean (95% CI)*	-2.13 (-2.22, -2.03)	-2.88 (-2.97, -2.78)	-0.75 (-0.88, -0.62)	<0.0001
<b>Week 12</b>				
Available, N	381	394		
VL<50 copies/ml, N (%)	163 (43%)	259 (66%)	+23.0% (+16.1%, +29.8%)	<0.0001
VL<400 copies/ml, N (%)	335 (88%)	361 (92%)	+3.7% (-0.6%, +8.0%)	0.09
VL<1000 copies/ml, N (%)	357 (94%)	367 (93%)	-0.6% (-4.0%, +2.9%)	0.76
<b>Week 24</b>				
Available, N	382	389		
VL<50 copies/ml, N (%)	266 (70%)	299 (77%)	+7.2% (+1.0%, +13.5%)	0.02
VL<400 copies/ml, N (%)	341 (89%)	358 (92%)	+2.8% (-1.3%, +6.9%)	0.19
VL<1000 copies/ml, N (%)	350 (92%)	363 (93%)	+1.7% (-2.0%, +5.4%)	0.37

\*Declines in  $\log_{10}$ VL from baseline to week 4 and 12 were compared between the PI/NRTI and PI/RAL groups (PI-mono same as PI/RAL to week 12) using interval regression (left censoring those <40 copies/ml at week 4 at 0  $\log_{10}$  copies/ml; similar results censoring at 39  $\log_{10}$  39 copies/ml, not shown).

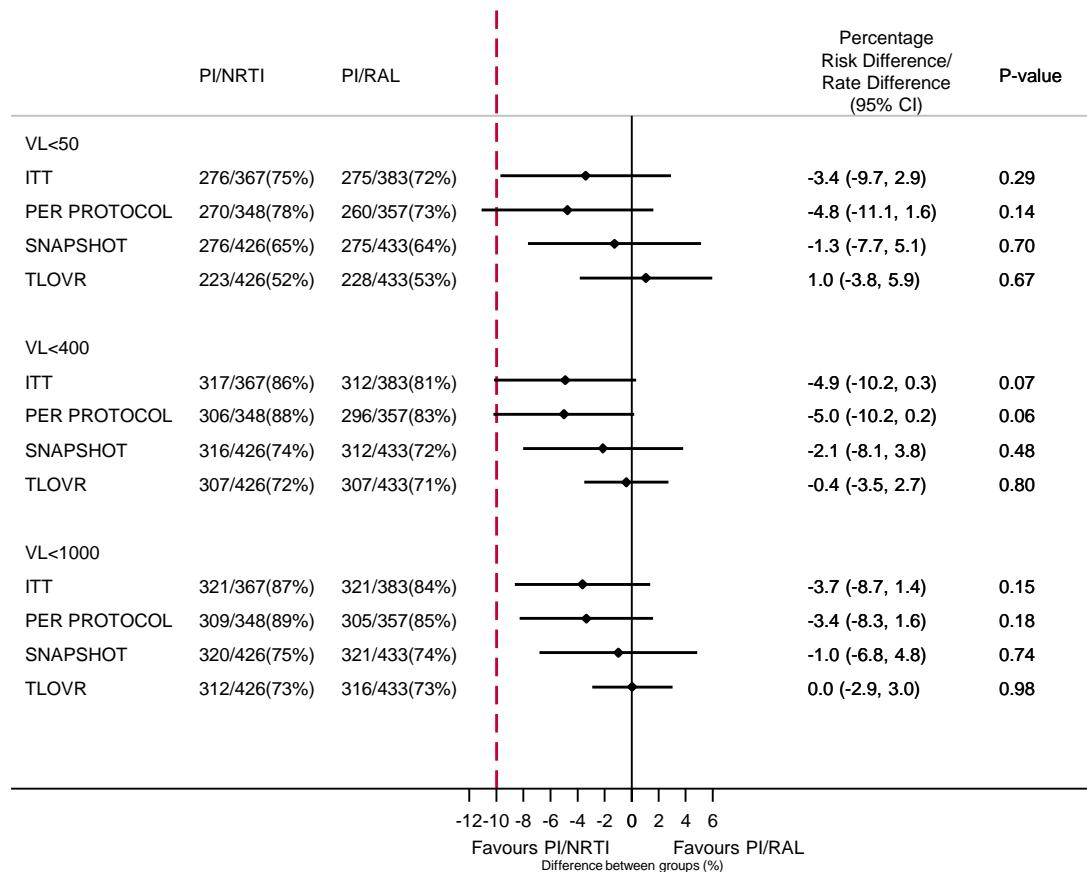
**Supplementary Table 2: Serious Adverse Events to week 144, by category**

	PI/NRTI (N=426)	PI/RAL (N=433)	PI-mono (N=418)
SAE – n patients (%)	113 (27%)	106 (24%)	99 (24%)
Total SAEs	139	127	131
Fatal	42	33	31
Life threatening	18	18	13
Caused or prolonged hospitalisation	98	89	92
Persistent or significant disability/incapacity	1	3	1
Congenital anomaly/ birth defect	0	0	0
Other important medical condition	3	7	11

Table shows number of patients experiencing an SAE (n, %) followed by the total number of SAEs (a patient may have more than one SAE)

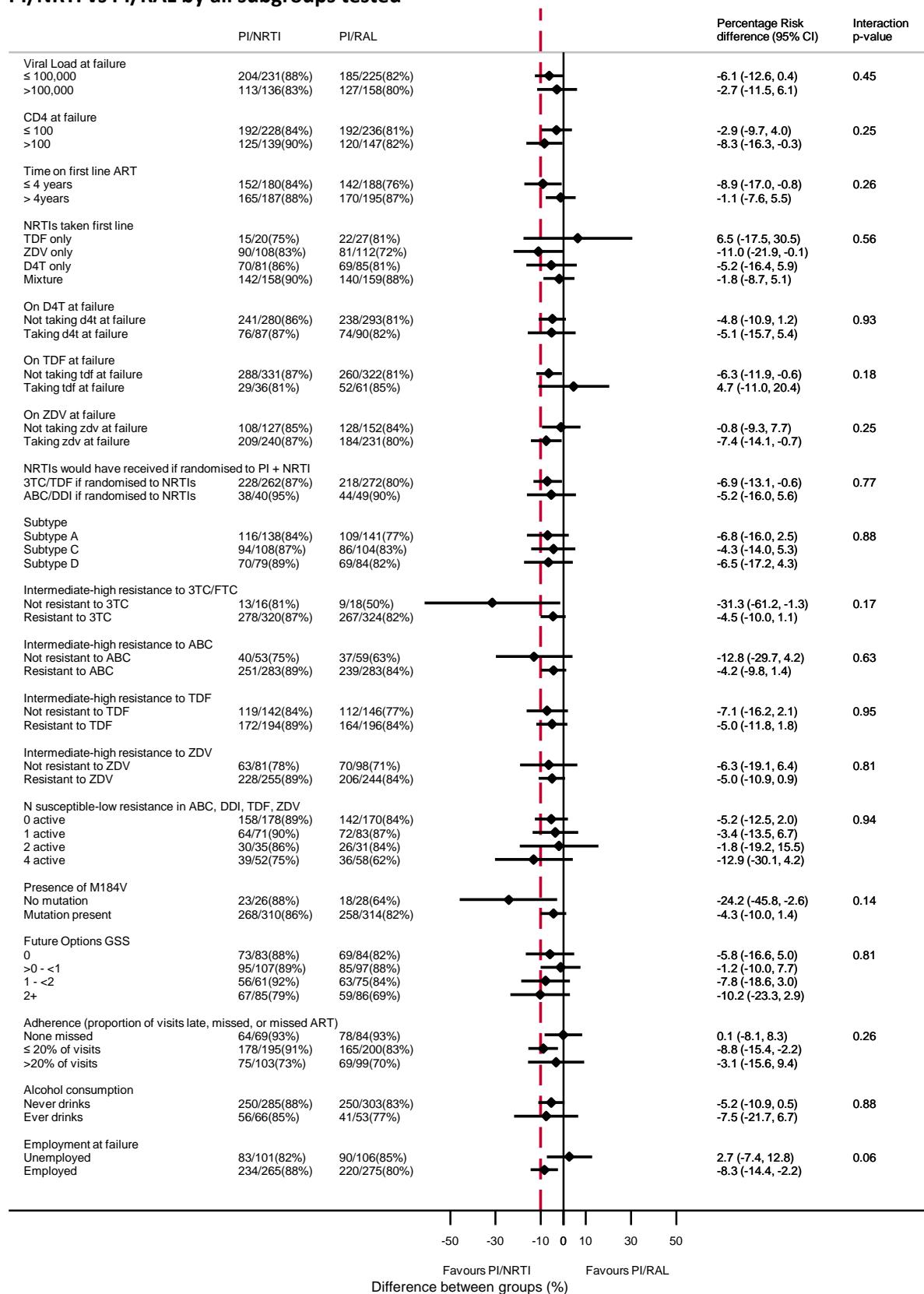
## SUPPLEMENTARY FIGURES

**Supplementary Figure 1: Plasma VL<400 copies/ml 144 weeks after switch to second-line in PI/NRTI vs PI/RAL by analysis approach**



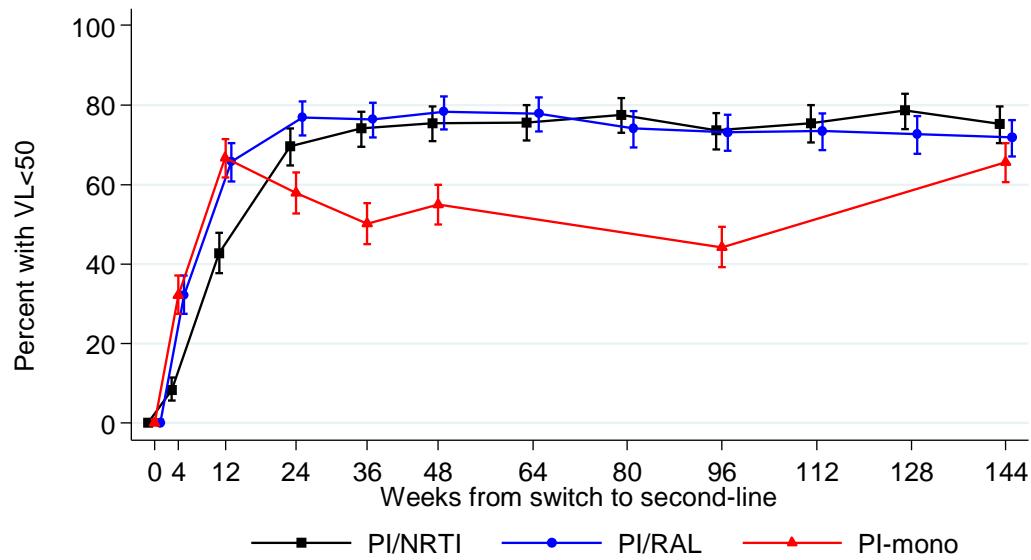
TLOVR result given as absolute rate difference per 100 person years

**Supplementary figure 2: Plasma VL<400 copies/ml 144 weeks after switch to second-line in PI/NRTI vs PI/RAL by all subgroups tested**



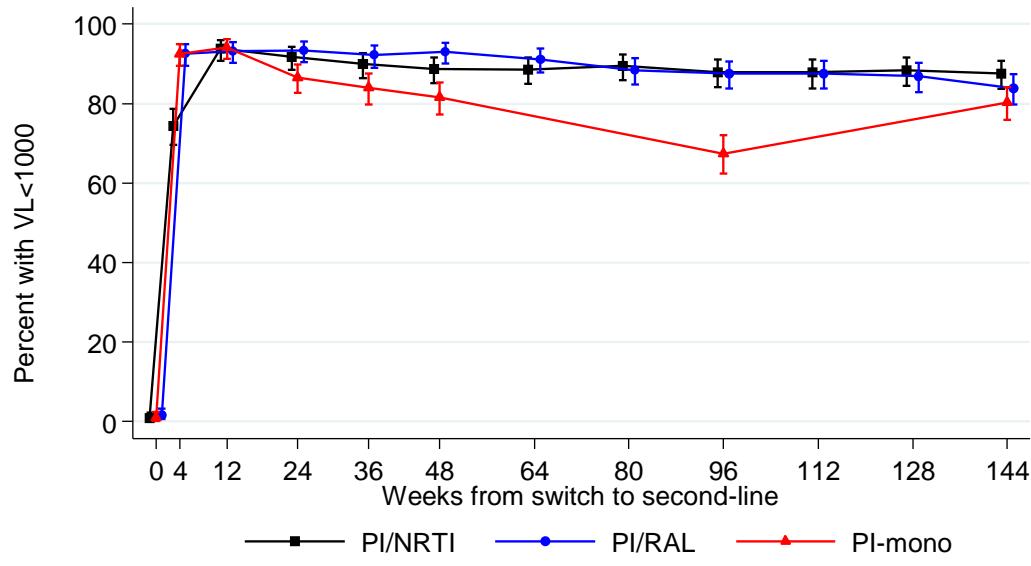
Note: See Supplementary Methods for details on factors tested.

**Supplementary Fig 3a: Plasma VL<50 copies/ml to week 144 in the three second-line treatment groups**



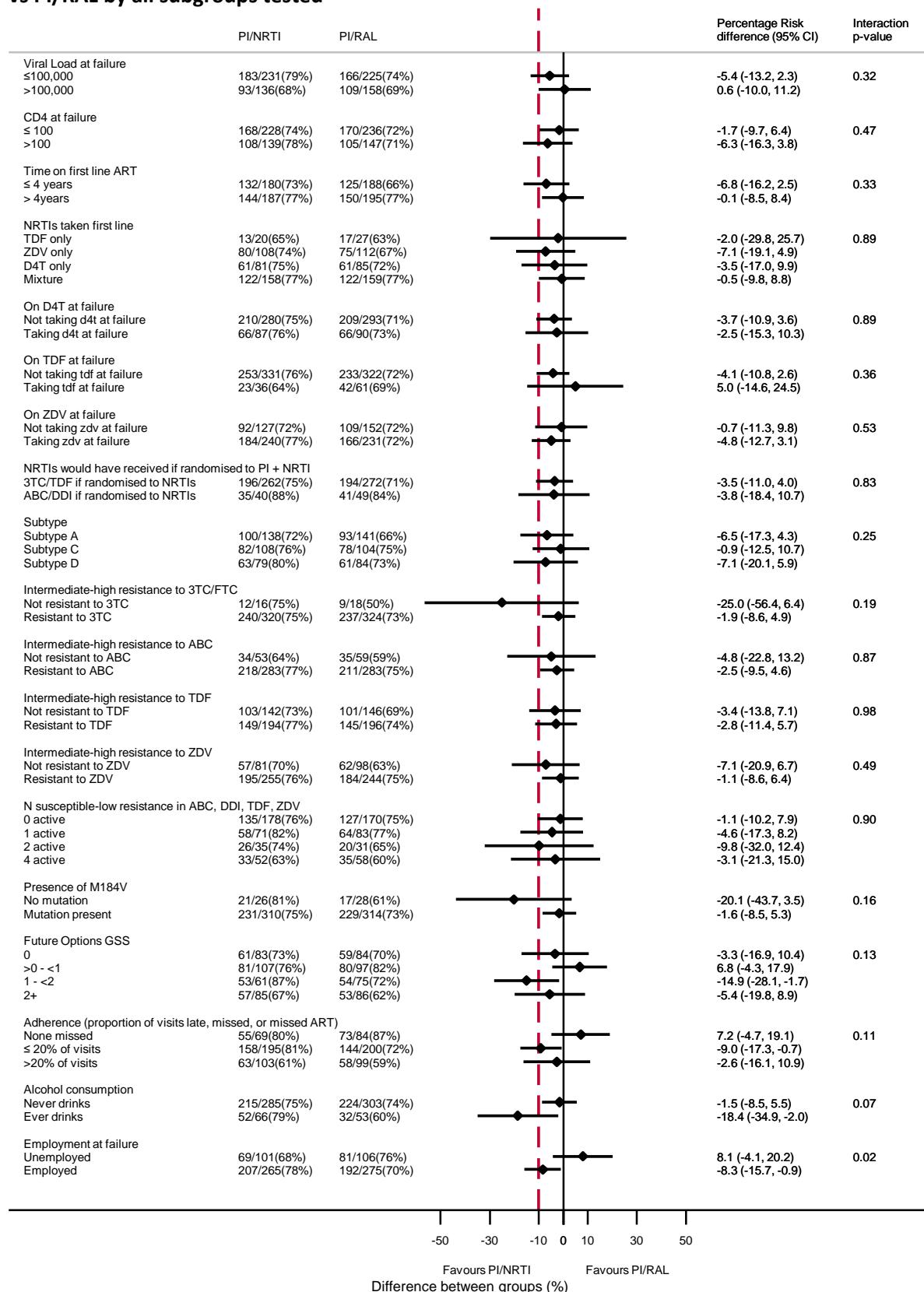
P values comparing the groups by Generalised Estimating Equations across all weeks from week 36 onwards (testing any direction of effect):  
 Global GEE  $p < 0.0001$   
 PI/RAL vs PI/NRTI GEE  $p = 0.19$   
 PI-mono vs PI/NRTI GEE  $p < 0.0001$

**Supplementary figure 3b: Plasma VL<1000 copies/ml to week 144 in the three second-line treatment groups**



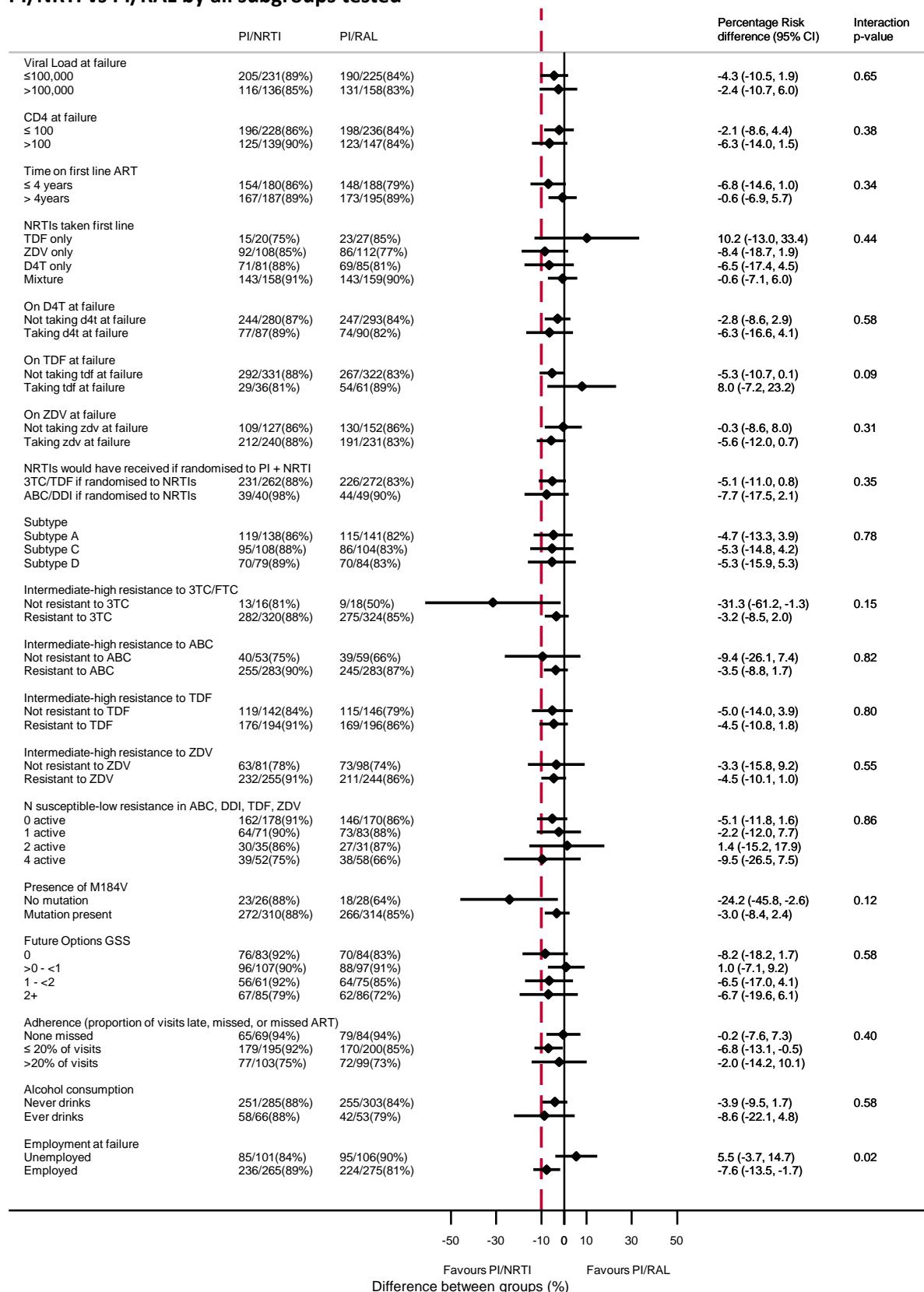
P values comparing the groups by Generalised Estimating Equations across all weeks from week 36 onwards (testing any direction of effect):  
 Global GEE  $p < 0.0001$   
 PI/RAL vs PI/NRTI GEE  $p = 0.07$   
 PI-mono vs PI/NRTI GEE  $p < 0.0001$

**Supplementary figure 4: Plasma VL<50 copies/ml 144 weeks after switch to second-line in PI/NRTI vs PI/RAL by all subgroups tested**



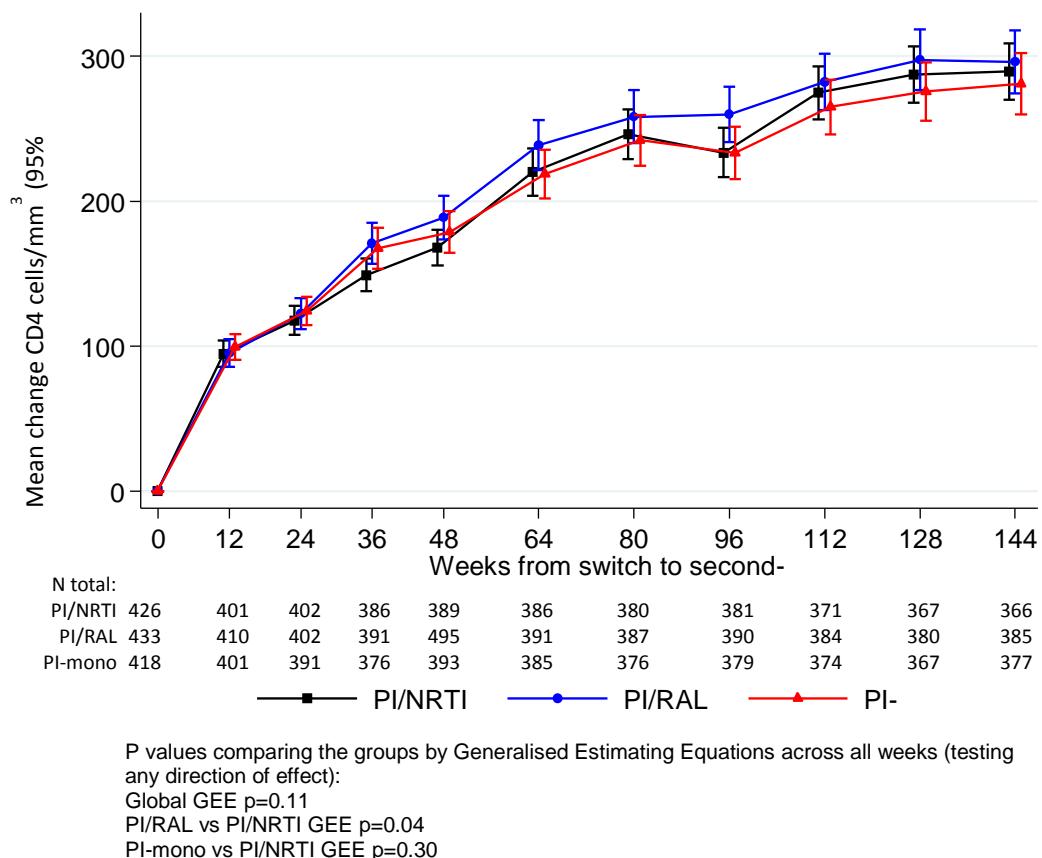
Note: See Supplementary Methods for information on factors tested. 19 subgroup analyses performed, and therefore ~1 interaction p-value would be expected to be <0.05 by chance

**Supplementary figure 5: Plasma VL<1000 copies/ml at 144 weeks after switch to second-line in PI/NRTI vs PI/RAL by all subgroups tested**

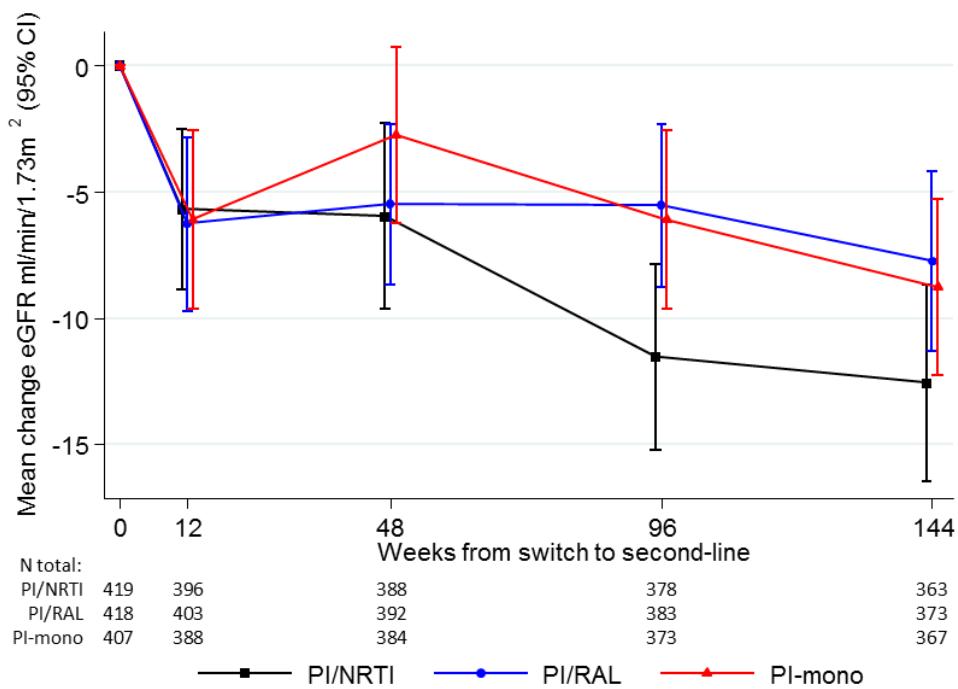


Note: See footnote to Figure 2 for information on factors tested. 19 subgroup analyses performed, and therefore ~1 interaction p-value would be expected to be <0.05 by chance

**Supplementary figure 6: Change in CD4 count to week 144 by treatment group**



**Supplementary figure 7a: Change in eGFR to week 144 by treatment group**



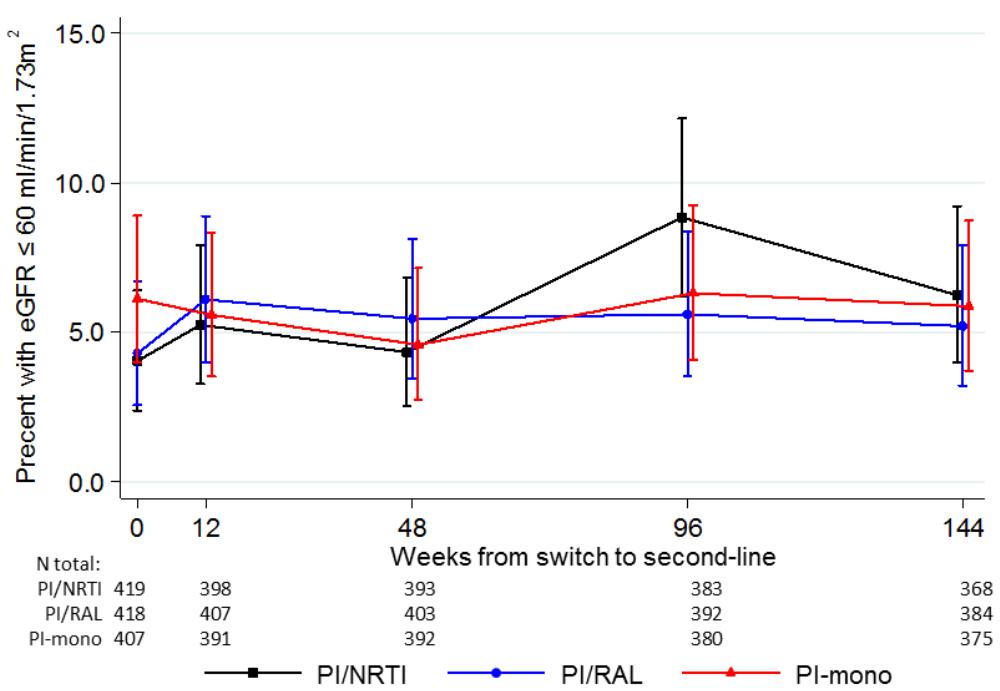
P values comparing the groups by Generalised Estimating Equations across all weeks (testing any direction of effect):

Global GEE p=0.06

PI/RAL vs PI/NRTI GEE p=0.02

PI-mono vs PI/NRTI GEE p=0.21

**Supplementary figure 7b: Proportion with grade 2 or higher eGFR to week 144 by treatment group**



P values comparing the groups by Generalised Estimating Equations across all weeks (testing any direction of effect):

Global GEE p=0.52

PI/RAL vs PI/NRTI GEE p=0.16

PI-mono vs PI/NRTI GEE p=0.62