1	Better together: Integrating and fusing multispectral and radar satellite
2	imagery to inform biodiversity monitoring, ecological research and
3	conservation science
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#### 18 Abstract

1. The availability and accessibility of multispectral and radar Satellite Remote Sensing 19 (SRS) imagery are at an unprecedented high. These data have both become standard source 20 of information for investigating species ecology and ecosystems structure, composition and 21 function at large scales. Since they capture complementary aspects of the Earth's surface, 22 23 synergies between these two types of imagery have the potential to greatly expand research and monitoring opportunities. However, despite the benefits of combining 24 multispectral and radar SRS data, data fusion techniques, including image fusion, are not 25 commonly used in biodiversity monitoring, ecology and conservation. 26

27 2. To help close this application gap, we provide for the first time an overview of the most
28 common SRS data fusion techniques, discussing their benefits and drawbacks, and pull
29 together case studies illustrating the added value for biodiversity research and monitoring.

3. Integrating and fusing multispectral and radar images can significantly improve our 30 ability to assess the distribution as well as the horizontal and vertical structure of 31 ecosystems. Additionally, SRS data fusion has the potential to increase opportunities for 32 mapping species distribution and community composition, as well as for monitoring 33 threats to biodiversity. Uptake of these techniques will benefit from more effective 34 collaboration between remote sensing and biodiversity experts, making the reporting of 35 methodologies more transparent, expanding SRS image processing capacity and promoting 36 widespread open access to satellite imagery. 37

4. In the context of a global biodiversity crisis, being able to track subtle changes in thebiosphere across adequate spatial and temporal extents and resolutions is crucial. By

making key parameter estimates derived from SRS data more accurate, SRS data fusion
promises to become a powerful tool to help address current monitoring needs, and could
support the development of Essential Biodiversity Variables.

- **Keywords**: Image fusion, object-level fusion, pixel-level fusion, remote sensing of
- 45 biodiversity, satellite data fusion

#### 47 Introduction

Satellite Remote Sensing (SRS) data from both radar and multispectral sensors have 48 become a standard source of information for investigating species' ecology and ecosystems 49 distribution and dynamics at large spatial scales (Buchanan et al. 2009; Pettorelli et al. 50 2014a). These two types of sensors acquire information about the Earth's surface in 51 52 fundamentally different ways: whilst multispectral sensors passively measure electromagnetic radiation reflected from the Earth's surface, radar sensors are active, 53 meaning they emit electromagnetic radiation and then measure the returning signal. 54 Multispectral sensors capture information on chemical properties of surfaces, such as 55 nitrogen or carbon content and moisture (Asner 1998; Tempfli et al. 2009), whereas radar 56 responds to the three-dimensional structure of objects, being sensitive to their orientation, 57 volume and surface roughness (Treuhaft et al. 2004). Additionally, radar sensors penetrate 58 59 atmospheric conditions that incapacitate multispectral sensors, such as clouds, haze and fog, and can (depending on wavelength) return information from below the canopy 60 61 (Santoro et al. 2007) or even from subsurface layers (McCauley et al. 1982). However, even though multispectral and radar sensors detect complementary aspects of the Earth's 62 surface (Lahat et al. 2015), the two types of data are so far not routinely combined in 63 biodiversity monitoring and ecological research. 64

To capitalise on the complementary characteristics of multispectral and radar sensors, their data needs to be integrated systematically, in a process generally called data fusion (Wald 1999). SRS data fusion can occur at three levels of analysis (Pohl & van Genderen 1998; Fig. 1). First, imagery from different sensors can be used as separate predictors to

estimate a parameter of interest. This includes using multispectral and radar imagery 69 jointly in a classification algorithm (Haack et al. 2002; Naidoo et al. 2016) or a statistical 70 model (van der Wal & Herman 2007; Hamdan et al. 2014; Poggio & Gimona 2017). This is 71 72 commonly referred to as *decision-level fusion*. Second, multispectral and radar imagery can be fused to derive entirely new predictors. This type of fusion includes *object-level fusion*, in 73 which a landscape is divided into multi-pixel objects based on information from different 74 remote sensors (Blaschke 2010), and *pixel-level fusion*, where pixel values are combined to 75 derive a fused image with new pixel values, either in the spatial (Zhang 2010) or the 76 temporal (Reiche et al. 2015a) domain. Since both pixel- and object-level fusions result in a 77 new image, we will here refer to them as image fusion. When referring to decision-level 78 fusion of SRS imagery, we will use the term "SRS data integration", to emphasise that the 79 SRS imagery remains separate. "SRS data fusion" refers to both SRS data integration and 80 81 image fusion (Box 1).

The routine use of SRS data fusion in ecology and conservation science has been previously 82 83 hampered by the need for intensive pre-processing, especially precise image coregistration (Pohl 1999). However, this obstacle has recently been removed with the 84 launch of the ESA satellites Sentinel 1 and 2, which provide multispectral and radar 85 imagery at high spatial and temporal resolutions, co-registered to sub-pixel accuracy, 86 making these data suitable for direct use in SRS data fusion (Berger et al. 2012). As a result, 87 there is now an unprecedented opportunity for ecologists and conservation scientists to 88 89 capitalise on the opportunities associated with SRS data fusion. Whilst the benefits of data fusion for land cover and land use classification have recently been reviewed (Joshi et al. 90 91 2016), there is currently no overview detailing its potential applications in ecology and

conservation. To address this literature gap, we here aim to (1) introduce relevant 92 multispectral-radar SRS data fusion techniques, outlining their benefits and limitations, (2) 93 illustrate situations where multispectral-radar SRS data fusion adds value over using a 94 95 single source of data, and (3) outline existing limitations to the widespread use of SRS data fusion in ecology and conservation science, and discuss how these can be overcome. 96 Because the application of multispectral-radar SRS data fusion in biodiversity monitoring is 97 relatively new, we here aim to provide an overview over the variety of possible 98 applications and opportunities arising from it, rather than present a systematic literature 99 review. 100

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# 102 Overview of multispectral-radar SRS data fusion techniques, their benefits and 103 limitations

A wide variety of pixel- and object-level techniques for multispectral-radar SRS data fusion
exists (Pohl & Yen 2014; Fig. 2), each with specific advantages and weaknesses.

*Pixel- or observation-level fusion* occurs when corresponding pixel values from 106 multispectral and radar images are combined to produce a new pixel value (Zhang 2010; 107 108 Fig. 2), which is then used in the subsequent analysis instead of the original multispectral and radar values. Pixel-level fusion reduces the amount of information available later on, 109 110 meaning that relevant patterns could be lost (Zhang 2010), but further processing can be significantly sped up because data volume is reduced. One further issue with this type of 111 fusion is that it can lead to the production of new variables that can be difficult to interpret 112 and relate to ecologically meaningful entities. 113

There are three main classes of pixel-fusion techniques: Component substitution, multiresolution analysis and arithmetic (or modulation-based) techniques (Zhang 2010).

(1) *Component substitution techniques* such as principal component analysis (PCA) 116 (Yonghong 1998; Fu et al. 2017) and intensity-hue-saturation (IHS) transformation 117 (Chen et al. 2003; Leung et al. 2014) are among the most widely used pixel-fusion 118 119 techniques (Pohl & Yen 2014). During PCA fusion, the original pixel values extracted from the radar and multispectral images are used to define new axes along which 120 data variability is maximised; the new, fused pixel values are essentially linear 121 combinations of their position along these new axes (Amarsaikhan et al. 2012). PCA 122 image fusion is the only pixel-level image fusion technique that cannot be applied to 123 imagery with different spatial resolutions. It is also the only pixel-level fusion 124 technique that allows a theoretically unlimited number of multispectral and radar 125 images to be fused; others typically limit this number to four (Pohl & van Genderen 126 1998). IHS fusion represents another type of component substitution technique for 127 128 pixel-level fusion, whereby three images with lower spatial resolution (typically multispectral data) are integrated with a single image with high spatial resolution 129 (typically radar; Zhang 2010; Lu et al. 2011) to retain the radiometry but increase 130 the spatial resolution of the former. Since the resulting images can be combined into 131 a single RGB image, IHS fusion can facilitate visual interpretation (Zhang 2010). This 132 process is similar to pansharpening, in which multispectral imagery with high 133 spatial resolution is used to "sharpen" multispectral imagery with low spatial 134 resolution, whilst maintaining the spectral information of the latter. 135

(2) *Multi-resolution analysis*, such as wavelet transformation (Zhang 2010; Lu et al. 136 2011; Wang et al. 2016), is another broad type of pixel-level fusion, which starts 137 with the decomposition of multispectral and radar imagery into their respective 138 139 low- and high-frequency components (Lu et al. 2011). The wavelet transform of an image with low spatial resolution (typically multispectral) is used to replace the 140 low-frequency transform of imagery with a higher spatial resolution (typically 141 radar) before the fused image is reconstituted from the combined transforms. 142 Wavelet transformations typically require a lot of computational resources for 143 processing (Pohl & Yen 2014), but tend to be better at preserving the radiometry of 144 the imagery with the lower spatial resolution than component substitution 145 techniques such as PCA and IHS (Lu et al. 2011; Pohl & Yen 2014). 146

(3) Arithmetic fusion techniques such as the Brovey transform algorithm (Zhang 147 148 2010) and high pass filtering (HPF; Zhang 2010; Lu et al 2011) are techniques occasionally used in pixel-level SRS image fusion (Pohl & Yen 2014). They involve 149 150 combining the original pixel values of high and low spatial resolution imagery in a linear expression to "sharpen" imagery with low spatial resolution (Zhang 2010; Lu 151 et al. 2011). Arithmetic fusion techniques do not deal well with imagery from 152 different types of sensors, because they are based on the assumption that pixel 153 values in the fused image are linear combinations of those in the original images 154 (Zhang 2010), which may not be the case when combining multispectral and radar 155 156 SRS data. Additionally, they do not typically perform well if there is a small or no difference in spatial resolution between the images to be fused (Zhang 2010). As a 157

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result, arithmetic fusion techniques are unlikely to be appropriate for multispectralradar SRS image fusion.

Object-or feature-level fusion means that objects such as lines, shapes or textures are 160 extracted from radar and multispectral imagery, based on brightness and intensity values 161 of each pixel, as well as its spatial context, which are then used in subsequent analyses 162 163 (Zhang 2010). Under our definition of image fusion, this includes two techniques: First, multispectral and radar SRS images with the same spatial resolution can be fused via image 164 segmentation, during which they are used jointly to split an area of interest into 165 homogeneous, discrete and contiguous objects (Blaschke 2010; Fig. 2). This is the most 166 commonly used object-based image analysis technique (Blaschke 2010). Second, objects 167 can be extracted separately from multispectral and radar SRS data (of the same or different 168 spatial resolution) and combined in a feature map, which is then used in subsequent 169 170 analyses (Lisini et al. 2011). Object-based fusion reduces all multispectral and radar information into a single layer of discrete objects, which are often relatively easy to relate 171 172 to ecological features. As a result, object-level fusion may facilitate the mapping of nonoverlapping land cover classes because it reduces the number of objects that have to be 173 classified. By contrast, pixel-based methods may be more suitable for mapping variables 174 that vary significantly at spatial scales smaller than a given object, since they preserve the 175 unique spectral and radar signal for each pixel. 176

*Decision-level fusion*, or SRS data *integration*, does not require an additional processing
step; rather, SRS imagery from different sensors are combined as separate predictors in a
quantitative decision-making framework – such as a regression, a quantitative model or a

classification algorithm. Since SRS data integration is spatially explicit, it requires the same
 accurate co-registration and pre-processing as SRS image fusion techniques.

The SRS data fusion techniques detailed above are typically used separately (e.g. Lu et al. 182 2011); however, the development of hybrid techniques is an active research field, adding to 183 the large choice of available techniques (Souza-Filho et al. 2009; Pohl & Yen 2014). The 184 185 quality of information obtained through data fusion can vary significantly depending on the choice of the technique to be used (or combinations of techniques, e.g. Waske & 186 Benediktsson 2007; Lisini et al. 2011; Lu et al. 2014; Wang et al. 2016). Since there is no 187 coherent framework to choose the optimal technique given the large range of data types 188 and applications, the current best practice is to test several different fusion methods on a 189 representative subset of the area of interest, to gauge which approach may give the best 190 results. 191

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#### 193 Benefits for ecology, biodiversity research and conservation science

Biodiversity is here defined as the structural, compositional and functional diversity of life, 194 at different levels of organisation, including the genetic, species/population, and ecosystem 195 196 levels (Noss 1990). Applications of multispectral-radar SRS data fusion (both image fusion and data integration) in biodiversity monitoring, ecology and conservation have the 197 198 potential to improve the estimation of a wide range of key parameters, including 1) species distribution and community composition, 2) ecosystem distribution and structure, and 3) 199 threats to biodiversity (Box 1; see Table 1A for case studies using image fusion, Table 1B 200 for case studies for SRS data integration). 201

#### 202 Species distribution and community composition

203 Species distribution and community composition are central topics in macroecology, 204 biogeography, conservation science and environmental management (Myers et al. 2000; 205 McDowall 2004; Keith et al. 2012). Species is here understood as a group of organisms 206 sharing a unique, fixed set of heritable traits that allows them to be distinguished from 207 other such groups (Cracraft 1987, Sangster 2014), whilst a community is defined a set of 208 species co-occurring at a given time and place (McGill et al. 2006).

209 If a species of interest significantly affects the reflectance or backscatter signal received by a sensor, its distribution can be mapped directly from SRS (He et al. 2015). Whilst Light 210 211 Detection and Ranging (LiDAR) and hyperspectral imagery (Box 1) is often recommended for mapping species directly, especially trees (e.g. Jones et al. 2010, Dalponte et al. 2012, 212 213 Alonzo et al. 2014, Gosh et al. 2014), it is not possible (at present) to scale up these efforts to regional or continental scales, and systematically repeat them, since there are currently 214 215 no active spaceborne LiDAR or hyperspectral missions. Due to the relatively coarse spatial resolution of most freely available multispectral and radar imagery (Fig. 3), direct species 216 217 detection using this type of information has thus been mostly limited to mapping relatively 218 large, homogenous stands of plants (e.g. Bradley & Mustard 2006, Gavier-Pizarro et al. 219 2012). In this context, multispectral-radar imagery fusion has the potential to improve mapping of sufficiently large stands of plants with subtly different growth forms or 220 phenology. For instance, Hong and colleagues (2014) were able to map stands of alfalfa 221 *Medicago sativa* in grasslands by fusing radar backscatter (from the RADARSAT-2 sensor) 222 223 and multispectral radiance (from the MODIS sensor) via IHS transformation, essentially

pan-sharpening the MODIS imagery before classification. This image fusion improved 224 overall distribution mapping accuracy by 11% and 20% compared to using multispectral 225 and radar data alone, respectively. The complementary strengths of multispectral and 226 227 radar sensors can also be exploited by integrating them at the decision level to support species distribution mapping efforts. For instance, Ghulam et al. (2014) mapped three 228 invasive plant species in a tropical forest by combining multispectral-derived information 229 on vegetation type (from IKONOS and Geo-Eye-1) and radar-derived information on 230 canopy structure (from ALOS PALSAR/RADARSAT-2) in a decision tree framework. The 231 authors did not quantify how integrating these SRS data affected mapping accuracy 232 compared to using a single data source, but they did report that the detection of one of the 233 species was not possible based on multispectral SRS alone. 234

Indirect species mapping is based on the principle that remotely sensed variables reflect 235 236 habitat conditions that in turn are related to species distribution (He et al. 2015). Usually, rather than fusing imagery, multispectral and radar SRS data are integrated as distinct 237 238 predictor variables in species distribution models. For bird species in particular, vertical habitat structure is important (Bergen et al. 2009), and may be better captured by radar 239 backscatter than more indirect parameters derived from multispectral SRS, such as forest 240 cover (Buermann et al. 2008; Culbert et al. 2013). For instance, Bergen et al. (2007) found 241 242 that integrating radar-derived biomass information with vegetation type derived from multispectral SRS data increased the accuracy with which the distribution of bird species 243 244 could be predicted. A similar approach has been used to predict the distribution of trees species across South America, using the Leaf Area Index (LAI) and the Normalised 245 246 Difference Vegetation Index (NDVI) data (both derived from multispectral SRS; Box 1) in

combination with canopy moisture and roughness metrics derived from radar data (PratesClark et al. 2008). Notably, in some of the above examples, the size of the study area was
several million square kilometres (Table 1), illustrating the potential offered by SRS data
fusion for large-scale habitat mapping.

Compared to direct and indirect monitoring of species, SRS data fusion has rarely been applied to support the monitoring of communities. One exception to this pattern is the study by Wolter & Townsend (2011), who mapped the relative basal area of different tree species in a temperate forest after fusing multispectral and radar imagery (Landsat TM/SPOT-5 and RADARSAT-1/ALOS PALSAR respectively). In this particular situation, SRS image fusion improved the accuracy with which community composition was estimated, likely because the sensors had complementary strengths in detecting different tree species.

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#### 259 Ecosystem distribution and structure

Changes in ecosystem distribution and condition is at the heart of ecosystem accounting 260 (UNSD SEEA-EEA 2013; Mace et al. 2015), and plays an important role in ecosystem risk 261 assessments (Nicholson et al. 2009) such as the Red List of Ecosystems (Keith et al. 2013). 262 263 Ecosystem here refers to a community, an associated abiotic environment, the interactions among and between them, and the physical space in which they interact (Tansley 1935; 264 265 Pickett & Cadenasso 2002). SRS data fusion has been used to estimate a wide range of parameters informing the distribution of ecosystems, as well as parameters related to the 266 horizontal and vertical structure of ecosystems (Table 1). 267

268 Ecosystem distribution

Multispectral-radar SRS data fusion generally increases land cover classification accuracy 269 (Joshi et al. 2016), allowing the separation of subtly different land cover types. This is 270 271 relevant for biodiversity monitoring where it allows distinguishing between different types of ecosystems, vegetation types or geomorphological structures. Integrating multispectral 272 273 and radar data at the decision level has been shown to increase accuracy of forest type 274 mapping. SRS data integration has been mainly applied in tropical rainforests (e.g. Laurin et al. 2013), though such efforts have also been successful in temperate regions (e.g. 275 Hégart-Mascle et al. 1998, Polychronaki et al. 2014, Barrett et al. 2016). This is likely 276 because multispectral and radar sensors respond to different characteristics of forest 277 stands: whilst multispectral data picks up on differences in vegetation "greenness", radar 278 279 backscatter contains information about canopy volume, and thus helps distinguish 280 different stages of regrowth, or structurally distinct plantations from natural forests (e.g. Dong et al. 2013). This point is well illustrated by Rignot and colleagues' work (1997) in the 281 Amazon, which combined forest maps derived from Landsat 5 TM and SIR-C radar imagery 282 to distinguish primary from secondary forest, as well as several regrowth stages. The 283 combined approach allowed distinguishing a higher number of forest categories at high 284 accuracy than using either data type alone. In some cases, image fusion can have additional 285 benefits over SRS data integration: Lu and colleagues (2014) for example argue that 286 multispectral-radar SRS image fusion (Landsat TM and ALOS PALSAR L-band respectively) 287 enables a better distinction between different stages of tropical forest succession than is 288 achieved by integrating the same SRS data using a classification algorithm. Fusion of 289 multispectral with longwave radar in particular facilitates mapping succession stages in 290

tropical forests (Lu et al. 2011), potentially because it penetrates the canopy to a greater degree than shortwave radar (Baghdadi et al. 2009). Similarly, Morel et al. (2012) reported that effectively combining multispectral and radar at the *post-decision* stage (i.e. combining maps derived from each sensor separately) is less effective than SRS image fusion or data integration for improving forest detection accuracies.

296 In addition to forest mapping, wetland mapping efforts can benefit from multispectralradar synergies, mostly via object-level image fusion (Table 1; but see Bwangoy et al. 2010) 297 for an example of multispectral-radar SRS data integration applied to tropical wetlands). 298 The rationale for combining multispectral and radar imagery for wetland monitoring is 299 300 that radar imagery allows the mapping of surface water or wet soils, even beneath a canopy (Bourgeau-Chavez et al. 2009), whilst multispectral data responds to vegetation 301 302 and wetness in open canopies. Multispectral-radar image fusion has been used to 303 distinguish between geomorphological structures in wetlands (Hamilton et al. 2007, Souza-Filho et al. 2009), or different vegetation types (Bourgeau-Chavez et al. 2009, 2016). 304 However, these studies did not compare mapping accuracy achieved after image fusion to 305 that achieved by using a single type of sensor, so it is unclear what the added value of 306 image fusion was in these cases. Some insights are provided by Fu et al. (2017), who found 307 that fusing ALOS-PALSAR/RADARSAT-2 and multispectral imagery from GF-1 increased 308 mapping accuracy of wetland vegetation types beyond that achieved by using these data on 309 their own. Similarly, when multispectral and radar imagery (Landsat TM5 and ALOS 310 PALSAR, respectively) were integrated in a classification tree modelling approach, 311 misclassification of different types of wetland vegetation and the extent of standing water 312 were significantly reduced (Ward et al. 2014). Nevertheless, benefits of multispectral-radar 313

SRS data fusion may vary by wetland class (Maillard et al. 2008; Robertson et al. 2015) or
with patch size (Gala & Melesse 2012).

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317 Horizontal structure

318 Apart from enabling a better assessment of ecosystem and vegetation type distributions, integrating multispectral and radar imagery can also support efforts to estimate 319 320 continuous parameters that characterise horizontal ecosystem structure related to canopy or soil structure. Horizontal ecosystem structure is here understood as the horizontal 321 322 arrangement of ecosystem components in space (Bergen et al. 2009). Naidoo et al. (2016) for example reported that woody canopy cover is more accurately estimated when adding 323 324 ALOS PALSAR and Landsat TM to a Random Forest algorithm (instead of using ALOS PALSAR on its own). Similarly, Cartus et al. (2011) successfully mapped tree stem density 325 across 1.5 mio km<sup>2</sup> of forest using on ERS-1 and 2 imagery and the MODIS Vegetation 326 Continuous Field product. Multispectral-radar SRS data integration has also helped map 327 328 sediment grain size in intertidal flats (van der Wal & Herman 2007), as well as soil properties such as moisture (Wang et al. 2004) and chemical or physical composition 329 (Poggio & Gimona 2017). 330

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332 Vertical structure

Estimating the vertical structure of ecosystems, which refers to the vertical arrangement of ecosystem components in space (Bergen et al. 2009), can be facilitated by SRS image fusion and data integration. So far, the focus has been on forest ecosystems and monitoring

biomass, as demonstrated by Basuki and colleagues (2013) who used multispectral-radar 336 image fusion to assess aboveground biomass in tropical forests. Others have derived 337 biomass from integrating multispectral and radar data in quantitative (Wang & Qi 2008) or 338 339 empirical (Hyde et al. 2006, Ismail et al. 2015) models. Hyde et al. (2006) found that whilst LiDAR does provide more accurate estimates of canopy height and biomass on its own, 340 integrating multispectral and airborne (X-band) radar data could achieve comparable 341 accuracies, much higher than that achieved by either sensor type alone. This result is 342 echoed by Hamdan et al. (2014) and Attarchi & Gloaguen (2014), who both used 343 spaceborne L-band radar to estimate biomass in tropical and temperate forests 344 respectively. This suggests that integrating or fusing multispectral and radar imagery could 345 provide an important opportunity to improve biomass monitoring in the absence of a 346 spaceborne LiDAR sensor. Apart from estimating biomass, multispectral and radar imagery 347 348 have been fused to monitor forest height (Walker et al. 2007; Kellndorfer et al. 2010) with moderate accuracy (R<sup>2</sup> between 0.7 and 0.9) when validated against forest inventory or 349 LiDAR-derived samples of forest height. However, since these studies did not explicitly 350 compare the accuracy of their models with and without data fusion, it is unclear to which 351 extent combining the data types benefited them. 352

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354 Threats to biodiversity

Being able to detect threats to biodiversity at all levels of biological and ecological organisation is necessary to prioritise areas for conservation (Joppa et al. 2016), plan conservation interventions (Pressey & Botrill 2008; Tulloch et al. 2015) and understand

the processes that shape biodiversity across a landscape (Shea et al. 2004). So far, SRS data 358 fusion has rarely been applied to detect threats to biodiversity, but some promising case 359 studies have emerged, mainly mapping deforestation across medium-sized study areas 360 361 (hundreds or thousands of square kilometres, Table 1). It's worth mentioning here that deforestation mapping has a different focus than forest distribution assessments: 362 deforestation mapping aims to optimise the identification of areas where trees have been 363 removed by humans, whereas forest mapping seeks to optimise the assessment of forest 364 extent, often aiming to distinguish between different forest types (e.g. successional stages, 365 Lu et al. 2011, 2014), and/or quantify forest condition. 366

Combining multispectral and radar imagery has been shown to improve deforestation 367 monitoring and reduce the lag between a deforestation event and its detection in areas 368 where multispectral SRS data have significant cloud gaps (Asner 2001; Lehmann et al. 369 2011; Reiche et al. 2015a,b). Reiche and colleagues (2015a) for example fused time series 370 of multispectral and radar information at the pixel level, using the correlation between 371 372 overlapping multispectral and radar time series to predict missing values in the former; this yielded a fused image for each time step, which was used to track deforestation. They 373 reported that image fusion increased the overall accuracy with which deforestation was 374 detected by 2.4% (compared to using only multispectral SRS data) under less cloudy 375 376 conditions, but that, as cloud cover increased, this improvement in accuracy increased to ca. 40%. Additionally, the lag between deforestation events and their detection was 377 378 significantly reduced, which makes these analyses more useful for informing responses on the ground. 379

Integrating multispectral and radar SRS data acquired at (roughly) the same time can help 380 simultaneously reduce data gaps from cloud cover (for multispectral SRS) and layover (for 381 radar SRS, Reiche et al. 2013), as well as improve the ability to distinguish forest from 382 383 other land cover classes (Lehmann et al. 2011), both of which facilitates deforestation detection. By contrast, integrating subsequent multispectral and radar images in a Bayesian 384 decision-framework has given mixed results: Whilst Lehmann et al. (2015) report that 385 switching between SRS data types (here: from Landsat to PALSAR) increases erroneous 386 detection of deforestation, Reiche et al. (2015b) found higher deforestation detection 387 accuracy, and a reduction in detection lag, when integrating these SRS data sets. Forest 388 degradation is another key threat to forest biodiversity which could benefit from fusing 389 multispectral and radar SRS data. Forest degradation can either be detected through 390 changes in forest structure, composition and function; it is also possible in some instances 391 392 to map discrete degradation categories. For instance, integrating backscatter from ALOS PALSAR and tasselled cap-transformed Landsat 5 TM imagery in a Random Forest 393 classification framework helped distinguish different stages of palm swamp degradation 394 (Hergoualc'h et al. 2017). 395

Compared to species- and ecosystem-level biodiversity monitoring multispectral-radar SRS data fusion has been applied to a relatively small range of biodiversity threats (Table 1), but four potential further avenues are worth mentioning. First, SRS data fusion has been shown to improve the accuracy with which indicators of eutrophication – such as Secchi depth – can be estimated across large areas (Zhang et al. 2002, Liu et al. 2014). This suggests that SRS data fusion could be a useful tool for monitoring threats to freshwater and marine ecosystems which are under pressure from anthropogenic eutrophication, such

as coastal areas (e.g. Kemp et al. 2005). Second, Stroppiana and colleagues (2015) reported 403 that integrating radar imagery from Envisat ASAR and multispectral imagery from Landsat 404 5 TM in a fuzzy decision framework improved burned area mapping. Though it is not 405 406 possible to distinguish anthropogenic and wildfires from space, this suggests that SRS data fusion could support monitoring changes in fire dynamics, which threaten biodiversity in 407 many ecosystems (Enright et al. 2015). Third, the detection of infrastructure associated 408 with anthropogenic threats to biodiversity, such as roads, may benefit from SRS data 409 fusion. Integrating LiDAR information about three-dimensional structure of landscapes 410 with multispectral imagery has been shown to improve road detection over using 411 multispectral imagery alone (Hu et al. 2004). Given the current lack of a satellite-based 412 LiDAR mission, however, radar imagery could be used to provide similar information about 413 the vertical structure of surfaces, and could be fused with multispectral imagery to 414 facilitate road mapping (Lisini et al. 2011). Fourth, multispectral-radar synergies could 415 help improve the mapping of invasive plant species, which may differ from native species 416 in spectral characteristics (reflected in spectral reflectance), as well as growth and volume 417 patterns (reflected in radar backscatter; Ghulam et al. 2014). Whether and to which degree 418 data fusion can improve the detection of these anthropogenic threats however remains to 419 420 be tested.

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#### 422 What currently limits wider use of data fusion techniques?

Multispectral-radar SRS data fusion can have significant added value over using
multispectral and radar SRS data alone, but there are currently four challenges that could
prevent its routine use.

First, there is a lack of understanding of the contexts in which multispectral-radar SRS data 426 fusion likely improves estimates, which makes it difficult for scientists to decide where to 427 428 invest time and energy in additional processing and analysis. There are indeed contexts in which multispectral-radar SRS data fusion does not improve accuracy over using a single 429 type of SRS data, or in which the benefits of fusion depend on the type of multispectral and 430 radar data used (Ban 2003), the fusion technique (Lisini et al. 2011; Basuki et al. 2013; 431 Hong et al. 2014; Wang et al. 2016) or (in the case of classifications) which land cover class 432 and/or which time period is considered (Polychronaki et al. 2014; Robertson et al. 2015; 433 Carreiras et al. 2017). Collaboration between the ecology/biodiversity and the remote 434 435 sensing communities, via platforms like the Group on Earth Observations Biodiversity Observation Network, will be crucial in identifying which biodiversity parameters could 436 437 benefit most from SRS data fusion approaches, and which datasets and processing techniques are most appropriate (Pettorelli et al. 2014b). 438

Second, multispectral-radar image fusion is often used without reporting a reason for data selection or fusion techniques, so it is hard to learn from experience. Additionally, it is often difficult to report all data analysis steps to a reproducible level of detail. A key step towards improving the transparency of SRS data fusion is making the code used in such analyses accessible, e.g. by using open-source software (Fig. 2). Scientific workflow systems, such as

Kepler (Ludäscher et al. 2009), could also help make SRS fusion methods more transparent,
and aid quick identification and uptake of useful approaches (Michener & Jones 2012).

Third, data fusion requires the capacity, both in terms of hardware and analysis skills, to 446 source and process two very different types of SRS data. Barriers to more widespread use 447 of SRS data by ecologists in general - such as unfamiliar data formats, pre-processing 448 449 requirements, or lack of appropriate hardware (Kuenzer et al. 2014) – are multiplied when 450 using two different types of data. Even among ecologists and biodiversity researchers which routinely use SRS data, self-reported data handling proficiency tends to be lower for 451 active sensors such as radar (Palumbo et al. 2017). The added value of multispectral-radar 452 SRS data fusion may well outweigh these technical drawbacks in many cases, but will 453 clearly present an obstacle for widespread adoption in a scientific community that is still in 454 the process of embracing big data (Michener & Jones, 2012). However, the emergence of 455 456 cloud computing (e.g. in the Google Earth Engine), and growing coding literacy amongst ecologists could help minimise the impact of this obstacle (Marvin et al. 2016). Moreover, 457 458 many open-source software platforms already have tools supporting common data fusion techniques, reducing the entry barrier to a more widespread uptake (Fig. 1). 459

Fourth, data accessibility can remain a significant obstacle to multi-sensor SRS data fusion.
Whilst multispectral SRS data, notably from the Landsat mission, have been freely available
for almost a decade (Wulder et al. 2012), open access radar data has been more restricted,
although ESA's Sentinel missions are likely to alleviate this data accessibility problem
(Turner et al. 2015; Fig. 3). To fully take advantage of multispectral-radar data fusion
opportunities, especially for time series analysis, accessibility to past acquisitions is

466 necessary. As a result, promoting open-access data policies for current and future missions
467 as well as data archives remains important (Turner et al. 2015).

468

#### 469 **Conclusion**

SRS data fusion allows taking advantage of the complementary information captured by 470 different sensors (Lu et al. 2014; Joshi et al. 2016), and has the potential to increase the 471 quality of SRS-derived parameters for ecology and conservation. The case studies 472 presented here are entry points for more structured efforts to benefit from the growing 473 availability of multi-satellite data. There are currently many SRS missions with an open-474 access policy providing global and repeated coverage of the Earth's surface, both for 475 multispectral and radar data (Fig. 3). This offers the unique opportunity to scale up SRS 476 image fusion and integration to large spatial scales, and support global biodiversity 477 monitoring efforts. These efforts are currently centred on the concept of Essential 478 Biodiversity Variables (EBVs) (Pereira et al. 2013), which are variables that allow 479 quantification of the rate and direction of change in one aspect of the state of biodiversity 480 over time and across space (Pettorelli et al. 2016). SRS variables have been identified as a 481 482 key resource to produce EBVs (so-called SRS-EBVs; Pettorelli et al. 2016), mainly for 483 variables relating to ecosystem structure and function (Geijzendorfer et al. 2016, Pettorelli et al. 2017). So far, however, development of SRS-EBVs and other variables for large-scale 484 biodiversity monitoring has been largely focused on using SRS data from a single sensor 485 (e.g. Pasher et al. 2013, Vihervaara et al. 2017). Whilst multispectral and radar SRS data 486 fusion has mainly been applied to structural or compositional aspects of biodiversity, it 487

could also benefit monitoring of functional aspects. For instance, mapping wetland 488 inundation extent (e.g. Ward et al. 2014), forest succession stage (e.g. Lu et al. 2011), or 489 burned areas (Stroppiana et al. 2015), and monitoring water quality (Zhang et al. 2002, Liu 490 491 et al. 2014), have all been shown to benefit from multispectral-radar synergies, allowing more accurate characterisation of related ecosystem processes and functions (such as 492 water and disturbance regulation and primary productivity). Identifying how SRS data 493 fusion could support global biodiversity monitoring efforts through SRS-EBVs requires 494 collaboration between remote sensing scientists and biodiversity/ecology scientists (Fig. 495 4). Each community's expertise and experience will be required to match monitoring 496 requirements to remote sensing capability, so that SRS-EBVs are relevant, cost-effective 497 and their production feasible (Pettorelli et al. 2016). This process will be particularly 498 important for exploring the as-yet untapped opportunities arising from SRS data fusion to 499 expand our biodiversity monitoring options from space. Much remains to be discovered 500 about how best to capitalise on recent technological developments and changes in SRS data 501 availability; we hope this contribution, by providing a solid introduction to SRS data fusion 502 503 and its benefits for ecology and conservation, paves a way for this.

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### 983 **Figures and Tables**

**Box 1:** Definitions of key terms relating to remote sensing and data fusion.

985	<b>Table 1</b> Overview of current applications of multispectral-radar SRS data fusion for species
986	and ecosystem monitoring , as well as biodiversity threat detection via (A) image fusion
987	(P= pixel-based fusion; O=object-based fusion) or (B) decision-level data fusion
988	(integration). (*) identifies studies that explicitly compare multispectral-radar image
989	fusion/data integration to approaches which use either data alone.
990	Figure 1: Schematic overview of multispectral-radar SRS data fusion techniques. The
991	parameter of interest can be a categorical variable, like land cover, or a continuous
992	variable, like species richness. In pixel-level fusion, the original pixel values of radar and
993	multispectral imagery are combined to yield new, derived pixel values. Object-based fusion
994	refers to 1) using radar and multispectral imagery is input into an object-based image
995	segmentation algorithm, or 2) segmenting each type of imagery separately before
996	combining them. Finally, decision-level fusion corresponds to the process of quantitatively
997	combining multispectral and radar imagery to derive the parameter of interest (by e.g.
998	combining them in a regression model, or classification algorithm).
999	Figure 2: Overview of the advantages and drawbacks of the most common multispectral-
1000	radar SRS image fusion techniques, as well as examples for open-source software to

1001 implement them.

Figure 3: Spatial resolution and launch date of freely available SRS data with global
coverage from active, long-term missions. Included are only missions which are currently

active. The relative lack of radar missions is due to the fact that most missions with openaccess data policies are not active (e.g. JERS-1, ALOS PALSAR, ERS-1/2) whilst imagery
from active radar missions is often behind a paywall (ALOS-2, RADARSAT-1/2, TerraSARX).

Figure 4: Roadmap for identifying and generating Essential Biodiversity Variables based 1008 1009 on SRS (SRS-EBVs) supported by data fusion. The ecology/conservation science community 1010 and the remote sensing community each contribute their intradisciplinary expertise to a collaborative, interdisciplinary process in which biodiversity monitoring requirements are 1011 1012 matched with appropriate SRS data and analysis techniques. The outcome of this process is a consensus about which SRS-EBVs will benefit from data fusion approaches, as well as the 1013 SRS data required and recommendations for data fusion techniques. This then feeds into 1014 the operationalisation stage, which involves the two science communities as well as policy-1015 makers, to enable the production and use of SRS-EBVs, and which includes validation, 1016 endorsement, repeated generation, storage, dissemination of SR\_EBVs. 1017

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Box 1: Definitions of key terms relating to satellite remote sensing and data fusion

**Band:** Defined section in the visible and near-infrared part of the electromagnetic spectrum (Wegmann & Leutner 2016).

**Data fusion:** A formal framework in which data from different sources (and hence, sensors) is combined ("alliance des données") to obtain information of greater quality (Wald 1999).

**Hyperspectral remote sensing:** Type of remote sensing which records radiance in a high number of sections of the electromagnetic spectrum (typically 100s of bands), allowing the reconstruction of a contiguous reflectance profile (Goetz et al. 1985).

**Image fusion:** A type of data fusion, in which images from different sources are combined into a new image. The aim is to create an image that retains salient information whilst minimizing artefacts or distortion.

**Integration:** We use "integration" to refer to decision-level data fusion, emphasising that whilst no new imagery is produced, the SRS data is systematically combined in a quantitative framework.

**Leaf Area Index (LAI):** One-sided green leaf area per unit ground area (Myneni et al. 1997).

**Light Detection and Ranging (LiDAR):** A type of active remote sensor which emits pulses of light and measures the proportion of the signal which is reflected; based on this

information, the three-dimensional location of the object that reflected the light can be reconstructed.

**Multispectral remote sensing:** Measuring the amount of electromagnetic radiation in a limited number of bands (typically less than 10) in the visible and infrared part of the spectrum. Widely used spaceborne multispectral sensors include the Landsat and MODIS missions. Different from hyperspectral remote sensing (see above).

**Normalised Difference Vegetation index (NDVI):** A common vegetation index based on reflectance in the red and the near-infrared part of the spectrum, sensitive to the amount of photosynthetically active vegetation in a given area (Pettorelli et al. 2005).

**Pan-sharpening:** Fusing multispectral imagery with a panchromatic image of higher spatial resolution to increase the spatial resolution of the former whilst preserving its spectral information ("colour") (Vivone et al. 2015).

**Radar remote sensing:** Emitting a signal of electromagnetic radiation with a defined wavelength in the microwave spectrum and measuring the intensity and wavephase of the returning signal. Widely used spaceborne radar sensors include ALOS PALSAR and RADARSAT-2.

## Table 1

# **(**A**)**

	Variable	Proxy	Multispectral sensor (spatial resolution)	Radar sensor (wavelength; spatial resolution)	Spatial scale	Reference	Type of data fusion
Species-level biodiversity	Species distribution	Distribution of alfalfa stands ( <i>Medicago</i> salvatica)	MODIS (250m)	RADARSAT-2 (C- band; 50m)	50,000 km <sup>2</sup>	Hong et al. 2014*	Р
	Community composition	Relative basal area of 10 tree species and 2 tree genera	Landsat 5 TM (30m), SPOT 5 (10m)	Radarsat-1 (C- band, ca. 27m), ALOS PALSAR (L- band, ca. 12.5m)	360 km <sup>2</sup>	Wolter & Townsend 2011*	Р
Ecosystem- level biodiversity	Ecosystem distribution	Distribution of different forest types, including different successional stages	Landsat 5 TM (30m)	RADARSAT-2 (C- band, 8m), ALOS PALSAR (L- band, 12.5m)	3,100 km <sup>2</sup>	Lu et al. 2011*	Р
			Landsat 5 TM (30m)	ALOS PALSAR (L- band, 12.5m)	3,000 km <sup>2</sup>	Lu et al. 2014*	Р
			Landsat 5 TM and Landsat 7 ETM+ (30m)	ALOS PALSAR (25m)	ca. 370,000 km <sup>2</sup>	Lucas et al. 2014	0
		Distribution of vegetation and/or geomorphology types in a wetland ecosystem	Landsat 7 ETM+(15m and 30m)	JERS-1 (L-band, 100m), SRTM (C-band, ca. 90m)	31,000 km <sup>2</sup>	Hamilton et al. 2007	0
			Landsat 5 TM (30m)	RADARSAT-1 (C- band, 33x27 m)	1,600 km <sup>2</sup>	Souza-Filho et al. 2009	Р
			Landsat 5 TM (30m)	ALOS PALSAR (L- band, 20m), ERS-1/2 (C-band, 30m)	250 km <sup>2</sup> /34,000 km <sup>2</sup> respectively	Bourgeau- Chavez et al. 2009, 2016	0
			GF-1 (2m and 8m)	ALOS PALSAR (L- band, 14m)	250 km <sup>2</sup>	Fu et al. 2017*	P/0

				RADARSAT-2 (C- band, 6.3m x 5.2m)			
	Vertical ecosystem structure	Canopy height	Landsat 5 TM and Landsat 7 ETM+ (30m)	SRTM (C-band, ca. 30m)	62,000 km <sup>2</sup>	Walker et al. 2007*	0
			Landsat 7 ETM+ (30m)	SRTM (C-band, ca. 30m)	110,000 km <sup>2</sup>	Kellndorfer et al. 2010	0
		Aboveground biomass	Landsat 7 ETM+ (30m)	ALOS PALSAR (L- band, 16m resampled)	31, 000 km <sup>2</sup>	Basuki et al. 2013	Р
Threats to biodiversity	Deforestation	Historic deforestation events	Landsat 7 ETM+ (30m)	ALOS PALSAR (L- band, 25m)	30 km <sup>2</sup>	Reiche et al. 2015a*	Р

# **(B)**

	Variable	Proxy	Multispectral sensor (spatial resolution)	Radar sensor (wavelength; spatial resolution)	Spatial scale	Reference	Type of data fusion
Species- level biodiversity	Species distribution	Bird species	NLCD (from Landsat TM; 30m)	SIR-C (both L and C band, 25m)	ca. 1,200 km²	Bergen et al. 2007*	Genetic Algorithm For Rule Set Production
			MODIS-derived LAI and Vegetation Continuous Fields (500m)	QuickScat (X-band, 1km)	17.8 million km <sup>2</sup>	Buermann et al. 2008	Species Distribution Model
		Subcanopy plant species	Landsat 5 TM and 7 ETM+ (30m), GeoEye-1 (1.64m), IKONOS (4m)	RADARSAT-2 (L-band, 8m), ALOS PALSAR (L- band, 12.5m)	22.3 km <sup>2</sup>	Ghulam et al. 2014	Decision tree algorithm
		Tropical tree species	MODIS-derived NDVI, LAI, Vegetation continuous fields (500m)	QuickScat (X-band, 1km)	ca. 7.5 million km <sup>2</sup>	Prates-Clark et al. 2008	Species Distribution Model
			MODIS –derived LAI and Vegetation Continuous Fields	QuickScat (X-band, 2.25km), SRTM (C- band, ca. 30m)	17.8 million km <sup>2</sup>	Buermann et al. 2008	Species Distribution Model

			(500m)				
Ecosystem- level biodiversity	Ecosystem distribution	Wetland vegetation types	Landsat TM and ETM+ (57m)	JERS-1 (L-band, 100m), SRTM (C-band, 30m)	1.2 million km <sup>2</sup>	Bwangoy et al. 2010	Classification trees
			GF-1 (2m and 8m)	ALOS PALSAR (L-band, 14m) RADARSAT-2 (C-band, 6.3m x 5.2m)	250 km <sup>2</sup>	Fu et al. 2017*	Random forest
		Forest types	Landsat TM (30m), AVNIR-2 (10m)	ALOS PALSAR (L-band, 15m)	7,750 km <sup>2</sup>	Laurin et al. 2013	Maximum Likelihood & Neural Networks Classifiers
			SPOT 1 or 2 (20m)	ERS (C-band, 12.6m)	Not reported	Hégart- Mascle et al. 1998	Dempster-Shafner fusion
			Landsat TM (30m)	SIR-C (C and L-band, 12.5m)	ca. 520 km <sup>2</sup>	Rignot et al. 1997*	Rule-based classification
	Horizontal ecosystem structure	Forest stem density	MODIS vegetation continuous field product (500m)	ERS1-2 (C-band, 25 m or 50m depending on location)	1.5 million km <sup>2</sup>	Cartus et al. 2011	Exponential SIBERIA model, semi-empirical Interferometric Water Cloud Model
		Woody canopy cover	Landsat TM (30m)	ALOS PALSAR (L-band, 12.5m)	ca. 31,000 km²	Naidoo et al. 2016*	Random Forest
		Sediment grain size	Landsat TM (30m)	ERS-1 and 2 (C-band, 12.5m)	ca. 100 km <sup>2</sup>	van der Wal & Herman 2007*	Multiple least-squares regression
		Soil density, composition	Sentinel-2, Landsat, MODIS (rescaled to 100m)	Sentinel-1 (C-band, rescaled to 100m)	ca. 78,000 km²	Poggio & Gimona 2017*	Generalised additive model
		Soil moisture	Landsat 5 TM (30m)	ERS-2 (C-band, not reported)	400 km <sup>2</sup>	Wang et al. 2004	Quantitative modelling
	Vertical Bioma ecosystem structure	Biomass	JERS VNIR (18m)	JERS-1 SAR (L-band, 60m)	6700 km <sup>2</sup>	Wang & Qi 2008	Quantitative modelling
			Landsat 7 ETM+ (30m)	ALOS PALSAR (L-band, resampled to 30m)	107 km <sup>2</sup>	Attarchi & Gloaguen 2014*	Linear regression
			SPOT-5 (5m)	ALOS PALSAR (L-band, 25m)	1,090 km <sup>2</sup>	Hamdan et al. 2014*	Linear regression
		Timber volume	SPOT-4 (resampled to 100m)	ALOS PALSAR (L-band, resampled to 100m)	ca. 360 km <sup>2</sup>	Ismail et al. 2015	Linear regression

	Ecosystem function	Fire dynamics extent of burned areas	Landsat 5 TM (30m)	Envisat ASAR (C-band, 60m x 80m)	ca. 90,000 km <sup>2</sup>	Stroppiana et al. 2015*	Fuzzy decision algorithm
		Wetland inundation	Landsat 5TM (30m)	ALOS PALSAR (L-band, 100m)	ca. 3,400 km <sup>2</sup>	Ward et al. 2014*	Classification tree modelling
	aynamics	uynamics	Landsat 7 ETM+ (30m)	RADARSAT-1 (C-band, 12.5m)	ca. 15 km <sup>2</sup>	Gala & Melesse 2012	Post-classification combination
Threats to biodiversity	Eutrophication	Chlorophyll-a, Secchi disk depth, suspended sediment concentration, turbidity	Landsat 5 TM (30m)	ERS-2 (C-band, 12.5m)	ca. 29,600 km²	Zhang et al. 2002*	Artificial neural networks
		Inorganic nitrogen concentration	HJ-1 (30m)	RADARSAT-2 (C-band, 12 x 8m)	ca. 2,500 km²	Liu et al. 2014*	Random Forest
	Forest degradation	Degradation of palm swamp	Landsat 5 TM (30m)	ALOS PALSAR (L-band, 12.5m)	3,500 km <sup>2</sup>	Hergoualc'h et al. 2017	Random Forest
	Deforestation	Plantation expansion	Landsat TM and ETM+ (30m)	ALOS PALSAR (L-band, 50m)	3,400 km <sup>2</sup>	Dong et al. 2013	Post-classification combination
		Deforestation events	Landsat TM (30m)	SIR-C (L and C-band, 12.5m), JERS-1 (L- band, 12.5 m)	ca. 520 km²	Rignot et al. 1997*	Rule-based classification
			Landsat 5 and 7 (30m)	ALOS PALSAR (L-band, 25m)	ca. 7,800 km <sup>2</sup>	Reiche et al. 2013*	Rule-based classification
			Landsat 7 ETM+ (30m)	ALOS PALSAR (L-band, 25m)	ca. 96 km <sup>2</sup>	Reiche et al. 2015b*	Bayesian time series modeling
			Landsat MSS/TM/ETM+ (30m)	ALOS PALSAR (L- band; 25m)	3,300 km <sup>2</sup>	Lehmann et al. 2011*	Bayesian time series modeling

## Figure 1



#### Figure 2







Start of mission

#### Figure 4

