Control of brain energy supply by astrocytes

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#### Abstract

Astrocytes form an anatomical bridge between the vasculature and neuronal synapses. Recent work suggests that they play a key role in regulating brain energy supply by increasing blood flow to regions where neurons are active, and setting the baseline level of blood flow. Controversy persists over whether lactate derived from astrocyte glycolysis is used to power oxidative phosphorylation in neurons, but astrocytes sustain neuronal ATP production by recycling neurotransmitter glutamate that would otherwise need to be resynthesized from glucose, and by providing a short term energy store in the form of glycogen that can be mobilised when neurons are active.

## Introduction

The human brain is critically dependent on a constant supply of energy (almost exclusively in the form of glucose) to meet its high metabolic demands. Despite comprising only 2% of the body's mass, the brain consumes approximately 20% of its resting energy, mainly to reverse ion fluxes that underlie synaptic potentials and action potentials [1, 2, 3], and if the energy supply of brain cells is compromised they quickly become injured or die. To ensure that the fluctuating activity-dependent energy requirements of neurons are met, the brain has evolved 'neurovascular coupling' mechanisms to regulate energy supply, which increase the blood flow to regions where neurons are active - a response termed 'functional hyperaemia' [4]. Once delivered to an active area, glucose must then be successfully transferred from the blood to brain cells, where it is used to generate ATP, converted to other forms of energy substrate (such as lactate or glutamate) or converted to the storable energy reserve glycogen [5, 6].

Astrocytes, with 'endfoot' processes abutting blood vessels supplying glucose, and finer processes surrounding the synapses that are the brain's major energy consumers, are potentially important regulators of brain energy supply. Surprisingly, however, there has been intense debate about the role of astrocytes, both in regulating local blood flow to power active neurons, and in transforming glucose to other molecules that are the immediate substrate for ATP production. In this review we discuss the pivotal roles that astrocytes may play in the 'flow' of energy from the circulation to brain cells.

## The role of astrocyte [Ca<sup>2+</sup>]<sub>i</sub> transients in adjusting brain energy supply

Astrocytes, which are present in the brain at similar numbers to neurons [7\*], are ideally positioned between the vasculature and neurons to fulfil several key roles in regulating the flow of energy to neurons, including mediation of neurovascular coupling. Astrocytes extend fine processes that ensheath neuronal synapses and more substantial 'endfeet' that wrap much of the surface of the brain vasculature. For example, astrocyte processes cover ~63% of capillaries, with most of the rest of the endothelial tube being covered by pericytes (the role of which we discuss below), and <1% of the endothelium facing clefts between these cells [8]. This topographical arrangement allows astrocytes to detect changes in neuronal activity (and hence metabolic demand) by sensing neurotransmitter release, and to relay this information to the vasculature to alter the energy supply. Classically, regulation of blood flow is achieved by vascular smooth muscle cells altering their tone to adjust the diameter of arterioles, and thus alter blood flow.

The first evidence that astrocytes can regulate arteriole diameter came from brain slices, where the Carmignoto group showed that raising astrocyte  $[Ca^{2+}]_i$  with metabotropic glutamate receptor (mGluR) agonists dilated arterioles by generating a cyclooxygenase derivative of arachidonic acid [9], and the MacVicar group showed that raising astrocyte  $[Ca^{2+}]_i$  by uncaging  $Ca^{2+}$  led to arteriole constriction (later found to become a dilation at physiological oxygen levels [10, 11]). Similarly, Nedergaard's group reported that *in vivo* a similar mGluR-evoked arteriole dilation occurred in response to neuronal activity and was mediated by a cyclooxygenase derivative [12]. Subsequently, debate has raged over whether the astrocyte  $[Ca^{2+}]_i$  transients evoked by neuronal activity are too small, too slow, or too infrequent to have a causative role in neurovascular coupling [13, 14, 15], and whether  $[Ca^{2+}]_i$ raising mGluRs exist in adult astrocytes [16]. These arguments partly reflected the fact that conclusions were based on measuring  $Ca^{2+}$  signals within astrocyte cell bodies rather than in the fine processes of astrocytes near synapses which are presumably the first responders to neuronal activity, and on using bulk loading of  $Ca^{2+}$ -sensing dyes which may go into both astrocytes and neurons thus making it hard to be certain which cell type is generating the observed  $Ca^{2+}$  signal [17, 18\*, reviewed in depth by 19].

In addition to releasing enzyme-derived chemical messengers, neuronal activity-driven astrocyte  $[Ca^{2+}]_i$  transients may also signal to blood vessels by releasing K<sup>+</sup> ions onto vessels, through

 $Ca^{2+}$ -activated K<sup>+</sup> (BK) channels in astrocyte endfeet [20]. In the physiological voltage range increasing  $[K^+]_o$  hyperpolarises vascular smooth muscle, by increasing the conductance of, and thus increasing outward current through, inward rectifier K<sup>+</sup> channels. This hyperpolarisation reduces  $Ca^{2+}$  influx through voltage-gated channels, and leads to vessel dilation. A similar mechanism has been suggested to initiate a propagating hyperpolarization along capillary endothelial cells, to send a signal instructing arterioles to dilate [21].

## Astrocytes mediate neurovascular coupling at the capillary level

Despite a general focus on neurovascular coupling at the arteriole level, it was recently demonstrated that the majority of the hydraulic resistance which can be reduced to increase blood flow in the cortical vasculature is located in capillaries rather than arterioles [22]. This has shifted attention to the role of pericytes - spatially isolated contractile cells on capillaries - in controlling cerebral blood flow. Indeed, *in vivo* data suggest that a major fraction of the increase in blood flow evoked by neuronal activity reflects active relaxation of capillary pericytes [23, 24\*].

Dialysing astrocytes in brain slices with a high concentration of the rapid calcium buffer BAPTA was found to inhibit pericyte-mediated capillary dilation, while having no effect on arteriole dilation [25] (perhaps surprisingly, given previous reports [11, 12] of astrocyte  $[Ca^{2+}]$  regulating arteriole dilation), establishing a role for astrocyte  $[Ca^{2+}]_i$  transients in regulating brain energy supply at the capillary level. Further pharmacological analysis demonstrated that the signalling evoked by neuronal activity to dilate the two types of vessel in the brain slices used for these experiments was different, with arterioles being dilated by nitric oxide release (presumably from interneurons), and capillaries being dilated by prostaglandin  $E_2$  which was generated from arachidonic acid derived from the sequential action (in astrocytes) of phospholipase D2, diacylglycerol lipase and cyclooxygenase 1. (We note however that other studies [11, 12] have reported that arachidonic acid metabolites such as PgE<sub>2</sub> can also dilate arterioles, suggesting that different neurovascular coupling mechanisms may occur in different circumstances). The astrocyte  $[Ca^{2+}]_i$  transients evoked by neuronal activity to initiate capillary dilation were surprisingly shown to be produced, not by mGluRs as discussed above, but by postsynaptically released ATP activating P2X<sub>1</sub> receptors on astrocytes [25] (Fig. 1). Similarly, in the retina, astrocyte (Müller cell)  $[Ca^{2+}]_i$  transients were found to dilate intermediate layer capillaries but not arterioles [26\*]. It has been suggested that a low level of neuronal activity can evoke arteriole dilation in the absence of astrocyte endfoot  $[Ca^{2+}]_i$  transients, which are only detectable when a higher level of neuronal activity occurs [27] and it will be interesting to determine whether this dilation is mediated by NO, as found in [25]. If so this might imply that low levels of neuronal activity increase blood flow solely by dilating arterioles, while higher activity also dilates capillaries via astrocytes and pericytes.

The control of capillary diameter by astrocytes and pericytes may be relevant to developing strategies for preventing deleterious decreases of blood flow that occur in pathology. Both after ischaemia [23] and in epilepsy models [28] localised constrictions of capillaries produced by pericytes reduce local blood flow, which will contribute to neurodegeneration. An important issue for the future will be whether the normal control of pericyte tone by astrocytes can be harnessed therapeutically to maintain a normal energy supply to the tissue in such situations.

# Tonic regulation of cerebral blood flow by astrocytes

Changes of blood flow evoked by neuronal activity, in part generated through astrocytes as discussed above, initiate the signals detected by the widely used BOLD fMRI (blood oxygen level dependent functional magnetic resonance imaging) technique. However, a tonic regulation of cerebral blood flow by astrocytes may be of equal importance for brain function. Introducing the calcium chelator BAPTA into astrocytes in brain slices was found to produce a constriction of arterioles that was prevented by blocking cyclooxygenase 1, but was unaffected by blocking neuronal activity with TTX [29]. Thus, either the mean resting level of astrocyte  $[Ca^{2+}]_i$  or  $[Ca^{2+}]_i$  transients generated independently of action potentials may tonically activate the prostaglandin-mediated pathway described above [25], although it is surprising that in this case it is affecting arterioles rather than capillary pericytes. Recently, astrocyte  $[Ca^{2+}]_i$  transients generated independently of action potential as resulting from bursts of  $Ca^{2+}$  efflux through the mitochondrial permeability transition pore [30\*\*]. Although these transients occur in TTX, their rate was raised when neuronal activity was increased by blocking synaptic inhibition with picrotoxin. This may occur because the mitochondrial permeability transition pore opens more when demand for oxidative phosphorylation is greater during neuronal activity [30\*\*]. If so, this would provide another astrocyte-mediated

mechanism to increase the supply of glucose and oxygen substrates to oxidative phosphorylation when needed.

Another possible way in which astrocytes may play a key role in regulating cerebral blood flow tonically is in response to changes of CO<sub>2</sub> level [31\*]. When [CO<sub>2</sub>] rises, astrocyte  $[Ca^{2+}]_i$  rises (possibly as a result of activation of Na<sup>+</sup>/HCO<sub>3</sub><sup>--</sup> co-transport into the cell followed by a slowing or reversal of Na/Ca<sup>2+</sup> exchange [32]), and this activates cyclooxygenase 1 (COX1) to generate vasodilating prostaglandin E<sub>2</sub>. The enzyme downstream of COX1 that synthesizes prostaglandin E<sub>2</sub> depends for its activity on glutathione, which can fall in concentration in disease or old age, suggesting a possible mechanism by which cerebral blood flow and energy supply might be reduced in these conditions [31\*].

# Signalling from the vasculature to neurons via astrocytes

Remarkably, communication between neurons and the vasculature via astrocytes may be bidirectional. Increasing the flow and pressure within arterioles in brain slices has been found to constrict the arterioles and raise  $[Ca^{2+}]_i$  in astrocyte processes via activation of TRPV4 channels [33]. This astrocyte  $[Ca^{2+}]_i$  rise leads, perhaps via release of the gliotransmitter ATP which is converted extracellularly into adenosine, to increased spiking activity of inhibitory interneurons and a hyperpolarization and decreased spiking activity of pyramidal neurons [33]. At present the functional significance of this pathway for matching neuronal activity to supply of energy substrates is uncertain.

# Astrocyte control of neuronal energy use?

After arriving in the blood, glucose crosses cerebral vascular endothelial cells via facilitative (sodium-independent) GLUT1 glucose transporters, before uptake mainly via GLUT1 and GLUT3 into glial cells and neurons, respectively (Fig. 1). Here, it undergoes conversion to glucose-6-phosphate by hexokinase, before being processed by the glycolysis pathway to generate ATP and pyruvate, by the pentose phosphate pathway to generate NADPH, or (in astrocytes only) being converted to the energy store glycogen [34]. Pyruvate generated by glycolysis can either be used directly (in the same cell) by oxidative phosphorylation to generate a much larger amount of ATP per glucose than glycolysis provides, or can be converted to lactate and exported from the cell, either to be lost from the brain or to be taken up into another cell and converted back to pyruvate to be used in oxidative phosphorylation [34].

Neurons are the principal consumers of brain energy (~80%), which they use mainly to reverse the ion entry generating synaptic and action potentials [2, 3]. The vasculature-wrapping morphology of astrocytes described above might suggest that glucose may be initially transferred from blood vessels into astrocytes before being distributed to neurons through the astrocyte cytoplasm (although modelling based on transporter data [35] has indicated that most glucose could in fact reach neurons by diffusion through the extracellular space: Fig. 1), and even through astrocyte-astrocyte gap junctions to more distant regions [36]. The influential astrocyte-neuron lactate shuttle (ANLS) hypothesis [37] proposed an appealing mechanism by which neuronal activity could thus be linked to energy supply. When neurons are active and releasing glutamate, uptake of the glutamate into astrocytes, generating lactate (made from pyruvate via astrocytic lactate dehydrogenase (LDH): Fig. 1) that could then be exported to neurons and used as fuel for oxidative phosphorylation (after conversion by neuronal LDH back to pyruvate). Export of the lactate would occur via monocarboxylate transporters (MCTs) or perhaps a channel-based mechanism [38].

The ANLS hypothesis was based partly on the affinities of the LDH and MCT isoforms present in neurons and astrocytes being suited to transfer lactate from astrocytes to neurons, however the overall direction of the lactate flux is determined by concentrations of the reactants involved in the reactions catalysed by LDH and not by the MCT and LDH affinities [39]. The ANLS hypothesis was also based on the notion that astrocytes are much more glycolytic than neurons, which were hypothesised to generate ATP by oxidative phosphorylation using astrocyte-derived lactate as a substrate. Indeed, 6phosphofructo-2-kinase/fructose-2,6-biphosphatase 3 (Pfkfb3), which promotes glycolysis by converting fructose-6-phosphate to fructose-2,6-bisphosphate which allosterically activates the ratelimiting glycolytic enzyme phosphofructokinase, is more highly expressed in astrocytes than in neurons [40, 41\*]. Further downstream in glycolysis, astrocytes and neurons differ in the splice variant they express of the enzyme pyruvate kinase, which generates pyruvate from phosphoenolpyruvate, as a result of which it has been suggested that astrocytes are more able than neurons to regulate their glycolytic rate to match the prevailing energetic conditions [42]. Furthermore, in cultured cells, the activity of pyruvate dehydrogenase (PDH), which regulates entry of pyruvate into the TCA cycle, is more inhibited by phosphorylation in astrocytes than in neurons, thus diverting pyruvate from the TCA cycle and promoting lactate formation [42, 43].

Despite these data suggesting that astrocytes use glycolysis more than neurons, it certainly is not the case that all astrocyte ATP generation is via glycolysis, while all neuronal ATP is produced by lactate-fuelled oxidative phosphorylation in mitochondria. Tracing a fluorescent glucose analogue has suggested that neuronal activity promotes its uptake mainly into neurons rather than astrocytes, consistent with neurons being important users of glycolysis [44]. Astrocytes have mitochondria even in their fine processes [45], and indeed neuronal activity localises mitochondria at positions in astrocyte processes that are near synapses [46, 47], presumably in order to power glutamate uptake that occurs there. Furthermore, if neuronal ATP were made using oxidative phosphorylation fuelled by astrocytederived lactate under all circumstances, then blocking LDH would inhibit the rise in oxygen consumption evoked by stimulating neuronal activity, but in brain slices blocking LDH has no such effect [48]. One might ask why the effect of an astrocyte-selective inducible deletion or knock-down of MCTs or LDH has not yet been reported (as has been performed for oligodendrocyte-axon energetic interactions [49]), as an incisive test of the idea that lactate trafficking from astrocytes to neurons is crucial for neuronal function. In fact when this was done for MCT1 in astrocytes or MCT2 in neurons [50], although it inhibited long-term memory, it had no effect on memory acquisition or short-term memory, arguing against an obligatory role for lactate transport via MCT1 and MCT2 to power neuronal function on a rapid time scale (but see below).

# Astrocytes and long-term energy reserves

Conversion of glucose to glycogen in astrocytes provides a limited reserve of energy, which may be important for sustaining neuronal function in periods of intense energy use, which may perhaps occur during long-term term memory formation [50], since this is inhibited by preventing glycogenolysis or MCT-mediated transfer of lactate to neurons. However, estimates from experiments blocking ATP synthesis suggest that glycogen can sustain neuronal function for only a few minutes (even in brain slices where energy use is reduced compared to the *in vivo* situation) [51]. A more significant long-term contribution of astrocytes to brain energetics lies in the fact that they take up over 90% of the glutamate released as a neurotransmitter - a molar amount comparable to the amount of

glucose used by the brain [52]. If this glutamate were lost to the blood, then it would be necessary to approximately double the glucose supply to the brain in order to provide carbon skeletons for glutamate synthesis, in addition to the glucose needed as a substrate for ATP production.

# Conclusions

Although the jury is still out on the direction of lactate trafficking between neurons and astrocytes [34], an important energetic role for astrocytes is becoming clear at the level of blood flow regulation. Studying how pathology disrupts the astrocyte-based mechanisms regulating brain energy supply will be an important focus of future work.

## Acknowledgements

This work was supported by a Senior Investigator Award to DA from the Wellcome Trust (099222/Z/12/Z), an Advanced Investigator Award to DA from the European Research Council (BrainPower), and a Leonard Wolfson 4 year PhD studentship to RN. The funders had no role in the content of the review.

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## Figure 1. The role of astrocytes in regulating brain energy supply.

Astrocytes regulate both glucose supply in the blood and the use of that glucose to make ATP in different cell types. On the right a capillary provides glucose (and oxygen) in the blood. This nutrient supply can be regulated by changes in the tone of contractile pericytes (or smooth muscle around upstream arterioles). Pericytes dilate capillaries when astrocytes experience a rise in  $[Ca^{2+}]_i$ , for example when ATP released from postsynaptic neurons (stimulated by presynaptic glutamate release acting on AMPARs) activates astrocyte P2X<sub>1</sub> receptors [25]. This activates an enzyme cascade that leads to the release of prostaglandin  $E_2$ , which dilates pericytes. Glucose leaves the capillary by transport on GLUT1, and then diffuses extracellularly to neurons and astrocytes where it is taken up by GLUT3 or GLUT1, respectively, and then converted by hexokinase to G6P, a precursor for glycolysis and for glycogen formation. Glycolysis generates ATP, and either pyruvate to enter the tricarboxylic acid cycle and oxidative phosphorylation in mitochondria or lactate (via LDH), which can be exported from the cell by monocarboxylate transporters (MCT). It is often assumed that lactate passes from astrocytes to neurons, but this is controversial [34]. Once lactate is taken up into neurons by an MCT, it is converted back to pyruvate by LDH and is processed by the citric acid cycle and oxidative phosphorylation in mitochondria. The ATP thus generated is used mainly for powering the sodium/potassium pump.

Abbreviations: Pre: presynaptic terminal; Post: postsynaptic terminal; Glu: glutamate; AMPAR: AMPA receptor; P2X<sub>1</sub>: a Ca<sup>2+</sup>-permeable receptor for ATP; PLD2: phospholipase D2; PA: phosphatidic acid; DAG: diacylglycerol; COX1: cyclooxygenase 1; PGH<sub>2</sub>: prostaglandin H<sub>2</sub>; PGE<sub>2</sub>: prostaglandin E<sub>2</sub>; EP<sub>4</sub>: receptor for PGE<sub>2</sub>; GLUT1: glucose transporter 1; GLUT3: glucose transporter 3; HK: hexokinase; G6P: glucose-6-phosphate; MCT: monocarboxylate transporter; LDH: lactate dehydrogenase; mito: mitochondrion.

