1	Systematic	review	of	the	efficacy	and	safety	of	biological	therapy	for
2	inflammato	ry condit	ions	s in H	IV-infecte	ed indi	ividuals				

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- 34 Introduction
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Biologic therapies are a class of protein drugs that target specific chemicals or 36 37 cells in the human immune system. Most licensed biologic agents antagonise cytokines to treat a range of immune-mediated inflammatory diseases (Table 1). 38 More than 90% of biologic therapies used for inflammatory conditions target 39 tumour necrosis factor-alpha (TNF-a) (1). Like other biologic therapy targets, 40 41 TNF-*a* has protean pro-inflammatory effects *in vivo*, such as inflammatory cell 42 recruitment and release of additional cytokines interleukin-1 (IL-1) and IL-6 (2). Multiple therapeutic mechanisms have been employed to down-regulate its 43 effects, including monoclonal antibodies that target TNF-*a* directly (e.g. 44 45 infliximab), and neutralising soluble receptor antagonists (e.g. etanercept). These therapies have transformed care for HIV-negative populations with severe 46 47 inflammatory conditions. Approximately 70% of anti-TNF-*a* agents used globally 48 are prescribed for rheumatoid arthritis (3). In the UK approximately 6% of patients with rheumatoid arthritis (RA), over 12,000 individuals, receive biologic 49 50 drugs. This is closer to 12% in The Netherlands and Spain where clinical 51 thresholds for biologic therapy are lower (3).

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53 While such agents dampen inflammation, they may also impair appropriate immune responses to infection, although the precise mechanisms are poorly 54 understood (4,5). In light of this potential increased infection risk, HIV-infected 55 individuals have not been included in randomised controlled trials of biologic 56 57 therapies alongside elderly and other co-morbid patients that are thought to constitute nearly one third of current real-life biologic therapy use (6). Clinical 58 59 data reporting use of biologic therapy for inflammatory disease in HIV-infected 60 individuals are largely limited to case reports and case series. Literature reviews are limited to single specialty journals and do not cover the full spectrum of 61 inflammatory conditions that affect HIV-infected individuals. There is a more 62 substantial literature on use of biologic agents as chemotherapy for 63 64 haematological malignancy in HIV-infected individuals (7).

In this article we review published data on the use of biologic therapies used to 66 treat inflammatory conditions in HIV-infected individuals, focusing on, but not 67 limiting discussion to, dermatological, gastroenterological and rheumatological 68 69 indications. The paper also considers the clinical need for biologic therapy in the treatment of immune-mediated pathology in HIV-infected individuals. We 70 71 address what might be extrapolated regarding efficacy and safety data from the biologic therapies literature of HIV-associated malignancy and HIV-uninfected 72 73 populations.

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75 Methods

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77 The primary purpose of this systematic review was to provide an overview of all available studies of biologic treatments of non-malignant and non-78 79 lymphoproliferative inflammatory conditions in HIV-positive patients. The 80 review focuses on dermatological, gastrointestinal, and rheumatological indications for biologic therapy. The range of biologic treatments examined was 81 limited to those medications recommended by the National Institute for Health 82 83 and Care Excellence (NICE) up to 1 July 2015, to pragmatically capture those therapies in current use. Since that date anakinra has been removed from RA 84 85 treatment guidelines however the agent was included in our literature search. 86 The study conduct was in accordance with the PRISMA statement for systematic reviews. 87

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89 Search strategy:

Studies were extracted from search of online databases Embase_and Medline
(OvidSP)_up to 1 July 2015. The search was restricted to adult articles from the
English literature (Figure 1; Supplementary Material: Additional file 1: Search
Strategy).

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Initial screening of the titles and abstracts excluded animal studies, basic science studies, duplicate publications, and identified studies primarily on biologic therapy for inflammatory disease in HIV-infected individuals. The full texts of the remaining 52 articles were assessed by two authors for eligibility. Thirty-seven papers were collected for final review. All English language case reports, case
series and both prospective and retrospective observational studies were
included. References, guidelines and expert opinion were consulted for
additional information.

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104 **<u>Results</u>**

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Clinical need is poorly defined in HIV-infected individuals. Prevalence data on 106 107 inflammatory conditions in HIV-infected populations are based largely on case reports, case series and single-centre studies. These heterogeneous data are 108 further limited by the variable application of standardised disease classifications 109 110 (8). This may be due to the absence of specific diagnostic tests or the lack of 111 collaboration with specialty physicians (9). The natural history of inflammatory diseases in HIV-infected individuals is also complex. Onset of inflammatory 112 113 pathology may be HIV-associated or independent of HIV infection, either preceding or postdating HIV acquisition. Case reports describe occult 114 inflammatory disease unmasked both by HIV-mediated immunosuppression 115 (10) and paradoxically after restitution of the immune system by initiation of 116 antiretroviral therapy (ART) (11,12). Inflammatory manifestations associated 117 118 with, or intrinsic to, HIV infection are well recognised and may mimic recognised conditions, in particular rheumatologic manifestations (13). Describing the 119 confounding effect of HIV infection and ART on any of these processes is 120 complicated and there are no comprehensive long-term prospective data (9). 121 122 Despite this paucity of information inflammatory conditions are considered 123 common in HIV-infected individuals with possibly different disease courses 124 compared with HIV-uninfected populations.

125 Specific inflammatory conditions in HIV-infected individuals

126 Rheumatologic symptoms are common in HIV-infected individuals. In a large 127 retrospective analysis of North American inpatients, arthritis or arthralgia was 128 reported in 5.5% of HIV-infected individuals (13). High positive rates of non-129 specific autoantibodies, such as antinuclear antibodies (ANA) and rheumatoid 130 factor (RF), were described in HIV-infected individuals in studies prior to ART 131 (14), however seroprevalence after initiating modern ART regimens are thought to be comparable to rates in the general population (15). Pre-ART era data 132 suggested that rheumatoid arthritis and HIV-infection were mutually exclusive: 133 134 the decline in CD4+ T cells mitigating lymphocyte-mediated autoimmunity (16). This dogma may have significantly prejudiced the nomenclature of subsequent 135 studies. Since the introduction of ART multiple case reports and case series 136 describe new presentations of symmetrical polyarthritis clinically suggestive of 137 138 Rheumatoid Arthritis. This may affect between 0.1% and 5% of HIV-infected 139 populations, vary geographically, and presentation usually occurs after HIV suppression (9). Reveille *et al* have proposed that HIV arthritis represents a 140 distinct self-limiting acute arthropathy affecting principally large joints (17). 141 142 Ankylosing spondylitis, psoriatic arthritis and reactive arthritis occur in HIV 143 infected populations although accurate prevalence and natural history studies are not available, with undifferentiated spondyloarthropathy commonly used as 144 145 a unifying term (9).

HIV-associated psoriasis most commonly appears as abrupt widespread skin 146 disease or as a severe exacerbation in patients with known psoriasis (18). 147 Paradoxically, for pathology caused by T cell activation, psoriasis presentation is 148 associated with increasing immunodeficiency (18). These mechanisms are 149 150 poorly understood but may relate to the proportional increase in CD8+ T cells late in HIV infection that are thought to mediate skin disease (19). In advanced 151 HIV infection, generalised skin failure is relatively more common as are co-152 153 existence of several psoriasis phenotypes (20). Unlike other inflammatory conditions in the setting of HIV infection, ART is included in formal guidance for 154 155 treatment of HIV-associated psoriasis (21).

Of the inflammatory conditions considered in this review, there is least known about the relationship between HIV infection and inflammatory bowel disease. A recent review of the subject identified only 47 eligible patients for study across all relevant literature (22). A small retrospective case-control study suggested that HIV infection predicted lower relapse rates of all causes of inflammatory bowel disease over 18 years follow up (23). The authors speculated that impaired cell-mediated immunity may be responsible. 163 Non-biologic treatment of inflammatory conditions in HIV-infected individuals

We identified no randomised placebo-controlled trials evaluating safety and 164 efficacy of any treatments for inflammatory conditions in HIV-infected 165 166 individuals. The small randomized controlled studies of disease-modifying antirheumatic drug use in HIV-infected patients were conducted to evaluate their 167 role as HIV therapies, they did not include patients with autoimmune diseases 168 169 and the study durations were short (24-26). However, in patients with wellcontrolled HIV infection use of standard immunosuppression, including 170 171 methotrexate for treatment of inflammatory disease, is supported with caution 172 (9,21,27). Corticosteroids are widely used in HIV-infected individuals to treat inflammatory conditions. The metabolic and endocrine toxicity of these drugs 173 should be monitored closely in all patients with HIV infection (28), in particular 174 those patients receiving ritonavir-containing ART regimens, which may potently 175 increase the action and duration of corticosteroids. Cushing's syndrome has been 176 177 reported following single injections of triamcinolone and methylprednisolone 178 and these should not be co-administered (29).

Systematic review of biologic therapies for inflammatory conditions in HIVinfected individuals

181 Overview

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183 The literature search identified two case series and 15 case reports of HIV-184 infected individuals receiving biologic therapy for inflammatory conditions. One 185 further case report published after our original literature search was executed 186 was also included (30). This represents 37 treatment episodes with 6 different biologic agents encompassing 10 inflammatory conditions (see Table 2). Two 187 case reports describe the same individual patient over 12 years of follow up 188 (31,32). Five treatment episodes were identified in a report of Spanish biologic 189 registry data but no individual clinical details were available for detailed 190 191 outcomes analysis (6). Only for individual patients with diagnoses of psoriasis, 192 psoriatic arthritis and rheumatoid arthritis were more than two cases returned. Of 37 treatment episodes 33 (89%) entailed use of anti-TNF-a agents. 193

195 HIV diagnosis and control

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For 25 individual patients with adequate clinical details, HIV acquisition 197 198 preceded onset of inflammatory symptoms in seven, post-dated inflammatory 199 symptoms in nine and in nine other patients the relative timing is unknown. Two individuals started and failed biologic therapy before HIV testing was performed 200 The psoriatic symptoms of both of these patients responded 201 (33,34). 202 dramatically to ART. Besides different systemic diagnoses, the small group of 203 patients described in the literature may also represent disparate inflammatory syndromes: individuals with recognised pre-existing inflammatory disease 204 (35,36), occult inflammatory conditions unmasked by both HIV-mediated 205 206 immunosuppression (33,37) and ART (31,32,38), and inflammatory symptoms 207 intrinsically related to HIV infection (33,34,39). It is unknown whether these 208 represent clinical entities that can be directly compared in a study of therapeutic 209 efficacy.

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Baseline CD4 lymphocyte count and HIV viral load values were available in all 211 but two patients receiving biologic therapy for inflammatory conditions (Table 212 2). The median CD4 count prior to initiation of biologic therapy was 446 cells/ μ L. 213 214 Two patients developed inflammatory symptoms with advanced HIV infection and CD4 counts of 50 cells/µL or less. Both received only two doses of biologic 215 therapy (33,37). Twenty patients (20/25, 80%) were established on ART at the 216 time of commencing biologic therapy and 15 of these individuals had an 217 undetectable HIV viral load. Two individuals commenced ART during their 218 219 treatment with biologic agents. Of those established on ART before or during 220 biologic therapy, the precise regimen was only described in 13 individuals 221 (13/22, 59%). Two ART regimen changes were reported during biologic therapy 222 however these were not attributed to any interaction with the biologic agent. No negative immunological or virological outcomes were described across the 223 available literature. However CD4 count and viral load monitoring was 224 225 inconsistent and often infrequent across the literature.

In a case control study of HIV-tuberculosis co-infected individuals not yet started on ART, 16 study patients received 8 doses of etanercept over 4 weeks in conjunction with routine quadruple anti-tuberculosis therapy (40). The 42 CD4 count matched control patients were already receiving oral prednisolone as part of a separate trial. Even in the absence of ART only a single patient experienced a significant rise in their viral load, leading to cessation of etanercept at 2 weeks.

- 233
- 234 Efficacy
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Treatment duration ranged from induction therapy of three doses to years of 236 maintenance therapy with a median follow up of 13 months after initiation of 237 238 biologic therapy. The methods of reporting treatment efficacy were variable. The largest case series of eight patients was designed as a "study of safety" and thus 239 does not include any disease activity scores at baseline or after biologic therapy 240 241 (38). In the remaining cases, specific disease activity scores were recorded at baseline and at least once after initiation of biologic therapy in only five out of 37 242 treatment episodes (13.5%). Remission was achieved in all five cases. Where 243 specific disease activity scores were unavailable a range of informal descriptions 244 were used. Table 3 summarises the response of inflammatory conditions to 245 246 biologic therapy: 'unresponsive' implies failure to respond to therapy from induction, 'partial' implies therapy did not reach unspecified therapeutic targets, 247 248 'transient' implies therapy did reach therapeutic targets but failed to sustain response, and 'good' implies therapy reached and sustained therapeutic targets 249 250 which might include remission (Table 3). Of all treatment episodes 20/37 (54%) 251 demonstrated a 'good' primary response to treatment and only 4/37 (11%) were 252 'unresponsive'. Biologic therapy was stopped or switched in 14/37 (38%) 253 treatment episodes. This rate is comparable with non HIV-infected populations 254 (41). In these 14 instances, three were prompted by adverse events and 11 by efficacy. Etanercept accounted for 50% (7/14) of the biologic agents stopped. 255 However Etanercept was also the most common biologic agent used across all 256 257 conditions (43%, 16/37). Dosing information was available for 11 out of 37 treatment episodes (30%) and largely conformed to international guidelines. 258

Concurrent with biologic agents 18 patients (18/25, 72%) received other
synthetic disease-modifying anti-rheumatic drugs (DMARDs), including steroid
therapy (14 patients) and methotrexate (eight patients). Dosing and duration of
these agents was very poorly reported.

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266 Adverse events

Analysis of adverse events described in the literature search encompassed those 267 268 42 treatment episodes involving treatment of inflammatory conditions 269 (including five patients from the Spanish biologic registry data and a total of 37 treatment episodes described in other papers) and 33 treatment episodes 270 involving treatment of HIV-infected individuals with biologic agents as trial 271 therapy for HIV infection or tuberculosis. For those individuals where the 272 identity of the biologic agent was known, 94% were anti-TNF-a agents (66/70 273 274 treatment episodes).

275 Infectious complications

276 We identified three infection episodes requiring hospital admission that were attributed to biologic therapy: facial abscess, listeriosis, and "frequent 277 polymicrobial infections" (37,38,42). This equates to three infectious episodes in 278 the cumulative 50 patient-years of all biologic therapies reported in our review. 279 280 This is similar to HIV-uninfected populations where relative risk of infection is reported for individual biologic agents. In the German Biologics Register RABBIT 281 (Rheumatoide Arthritis: Beobachtung der Biologika-Therapie) study the 282 reported relative risk of serious infection is 2.70 for all infliximab treatment 283 284 (20.59 episodes per 100 patient-years) (43). By comparison, for synthetic DMARDs in HIV-uninfected patients registry data suggests that the incidence of 285 serious infection is 5.08 per 100 patient-years (4). 286

Given the very small case numbers, our review cannot categorically report any
clear association between CD4 count at time of initiation of biologic therapy and
increased incidence of infectious complications. The patient with "polymicrobial
infections" had a baseline CD4 count of 50 cells/µL. No clinical detail is provided

on the nature of these infections. However, the only other patient with a CD4 cell
count less than 200 cells/µL among patients treated for inflammatory conditions,
with 29 cells/µL, did not develop any infectious sequelae.

Combined corticosteroid and DMARD use with anti-TNF therapy is associated 294 295 with increased risk of infectious complications in HIV-uninfected cohorts: an 296 odds ratio (OR) of 14.5 for combined therapy compared with 2.9 for anti-TNF 297 monotherapy in HIV negative patients (44). All four patients identified in our review with any infectious complications attributed to biologic therapy received 298 299 concomitant corticosteroid therapy. However seven other patients in our review 300 who also received concurrent corticosteroid therapy developed no infectious complications. Corticosteroid dosing was not consistently reported. 301

Age greater than 50 years is associated with a threefold increased risk for serious infections in patients receiving anti-TNF therapies (44). Advancing age is an independent risk factor for *Listeria monocytogenes* infection in any setting (45). The case of neuroinvasive listeriosis occurred in a patient aged 69 years (42).

307 In HIV-uninfected patients, the highest incidence of infectious complications 308 occurs within six months of starting biologic therapy (46). All infectious 309 complications occurred within six months of initiating biologic therapy in our review. There is little discussion of antibiotic prophylaxis in the cases returned. 310 However one individual received dapsone prophylaxis following pneumocystis 311 pneumonia, despite a well-preserved CD4 cell count at time of biologic therapy 312 313 initiation (38). In the trial of etanercept as adjuvant therapy for tuberculosis as 314 described above, two patients, with a mean CD4 count of 394 cells/µL, were withdrawn after four doses of etanercept owing to increasing burden of acid fast 315 bacilli in sputum samples (40). We did not consider this evidence of a serious 316 adverse event nor clearly attributable to the biologic therapy. In this study there 317 were no increased infectious complications in those 16 HIV-infected individuals 318 319 receiving etanercept compared with the 42 HIV-infected control patients.

320 Non-infectious complications

321 Allergic reactions, all within the first four doses of therapy, were experienced by three HIV-infected individuals (35,38,47). Anaemia and acute anterior uveitis 322 have been described as adverse events but no clinical detail was provided 323 324 (36,38). In a recent meta-analysis of HIV-uninfected patients, biologic therapy was associated with a small increased risk of melanoma but not other 325 malignancy. No malignant complications were identified in our systematic 326 review. Two HIV-infected patients died during the course of biologic therapy for 327 328 non-haematological indications. Both deaths occurred in patients receiving 329 etanercept as trial therapy for non-inflammatory conditions (HIV and tuberculosis, respectively) and the cause of death, mesenteric atherosclerosis 330 and pulmonary embolism, were not deemed to be related directly to anti-TNF-a 331 332 therapy (40,48).

333 Discussion

Across all organ systems autoimmune inflammatory pathology is thought to be 334 common in HIV-infected individuals. However the understanding of the natural 335 history of these diverse conditions in the setting of HIV infection, as well as long-336 term follow-up of their clinical manifestations is limited. Even for non-biologic 337 338 treatment of HIV-infected patients living with inflammatory conditions there are 339 only limited efficacy and safety data. Biologic therapies have already transformed the lives of HIV-uninfected patients with severe autoimmune 340 341 conditions. For HIV-uninfected patients these agents are increasingly used by clinicians and may in the future be "gold standard" for first-line therapy, 342 irrespective of disease severity. Therefore both current and future clinical parity 343 344 for HIV-infected individuals diagnosed with inflammatory diseases warrants closer and more rigorous consideration of biologic therapy use. 345

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Unfortunately, the available literature that specifically addresses the use of biologic agents in the treatment of HIV-infected individuals with inflammatory conditions is of poor quality. For some specific inflammatory diagnoses, such as ulcerative colitis, some available data are limited to single patient case reports. Psoriasis represents the most studied condition but only eight treatment episodes were identified in the literature. Although detailed disease scoring 353 systems were often absent, our review suggests that treatment responses were 354 comparable to HIV-uninfected patients receiving biologic therapy. Publication bias towards positive outcomes is a legitimate concern given the small sample. 355 356 Unsurprisingly there are no "control" data for inflammatory outcomes in HIVinfected individuals. Uncertainty also remains in terms of HIV control during 357 358 biologic therapy. A single case-control study examining the use of biologic agents for treatment of HIV-tuberculosis co-infection in patients not receiving ART 359 360 suggested that etanercept did not adversely affect HIV control. As there are no 361 equivalent studies for individuals established on ART, suggesting biologic 362 therapies do not adversely interact with ART currently lacks an evidence-base. However, no negative effects on ART therapy were identified in our review. 363

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365 The higher quality literature pertaining to the use of biologics for haematological indications is limited, almost exclusively to rituximab, whereas guidelines for 366 367 treatment of severe inflammatory conditions in HIV-uninfected groups is predicated largely on use of anti-TNF-*a* agents. Patients with haematological 368 malignancy and lymphoproliferative disorders may also represent a relatively 369 more immunocompromised cohort of patients and who receive concurrent 370 chemotherapy, confounding direct comparison with patients receiving the same 371 372 agents for inflammatory indications.

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374 In summary, our systematic review highlights a paucity of good quality data on use of biologic therapies to treat inflammatory conditions in HIV-infected 375 376 individuals. All evidence reviewed that addressed this clinical area directly rated 377 very low quality according to the GRADE system (49). Due to this major 378 limitation, the review of a cross-section of common inflammatory conditions and 379 agents, we cannot conclude or exclude comparable efficacy and safety of biologic 380 therapies between HIV-infected and -uninfected populations. However we feel 381 that available data_supports inclusion of HIV-infected individuals with wellcontrolled HIV infection in future studies of biologic therapy. There remains a 382 383 broader need to study the diagnosis, natural history, and management of inflammatory conditions in HIV-infected populations. Rigorous and formal 384 385 prospective data collection of this burgeoning group of patients would represent

386	a key first step to this better understanding (Table 4). This may lead to care					
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388	might benefit from biologic therapies that continue to transform the lives of HIV-					
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